MULTIDIMENSIONAL EXTENSION OF THE MORSE–HEDLUND THEOREM

FABIEN DURAND AND MICHEL RIGO

ABSTRACT. A celebrated result of Morse and Hedlund, stated in 1938, asserts that a sequence x over a finite alphabet is ultimately periodic if and only if, for some n, the number of different factors of length n appearing in x is less than $n+1$. Attempts to extend this fundamental result, for example, to higher dimensions, have been considered during the last fifteen years. Let $d \geq 2$. A legitimate extension to a multidimensional setting of the notion of periodicity is to consider sets of $\mathbb{Z}^d$ definable by a first order formula in the Presburger arithmetic $\langle \mathbb{Z}; <, + \rangle$. With this latter notion and using a powerful criterion due to Muchnik, we exhibit a complete extension of the Morse–Hedlund theorem to an arbitrary dimension d and characterize sets of $\mathbb{Z}^d$ definable in $\langle \mathbb{Z}; <, + \rangle$ in terms of some functions counting recurrent blocks, that is, blocks occurring infinitely often.

1. INTRODUCTION

Let $d \geq 1$ be an integer. A set $M \subseteq \mathbb{Z}^d$ is said to be *periodic* if there exists a non-zero vector $\mathbf{p} \in \mathbb{Z}^d$ such that for all $\mathbf{x} \in \mathbb{Z}^d$, $\mathbf{x}$ belongs to M if and only if $\mathbf{x} + \mathbf{p}$ belongs to M. Periodic sets in dimension greater than one have been investigated recently in the spirit of the celebrated Morse–Hedlund theorem.

Theorem 1 (Morse–Hedlund theorem [12])**.** *Let A be a finite alphabet. Let $x \in A^{\mathbb{N}}$. Denote by $p_x(n)$ the number of factors of length n appearing in x. Then the following assertions are equivalent:*

- *x is ultimately periodic;*
- *there exists n such that $p_x(n) \leq n$;*
- *there exist n_0 and C such that $p_x(n) \leq C$ for all $n \geq n_0$.*

Observe that this theorem also can be transposed to any subset M of $\mathbb{N}$ by considering its characteristic sequence over the alphabet $\{0, 1\}$. The ultimate periodicity of this sequence is equivalent, for M, to be a finite union of arithmetic progressions. We are interested in generalizations to higher dimensions, that is, to find conditions on the block counting function forcing (a notion of) periodicity.

Let us briefly mention some interesting works going in that direction. We were first motivated by *Nivat's Conjecture* [15] stated in 1997, see also [1], asserting that if for some pair (n_1, n_2) the number of $(n_1 \times n_2)$-blocks appearing in $M \subset \mathbb{Z}^2$ is less or equal to $n_1 n_2$, then M is periodic. With the terminology introduced in [19] it would show that there is a (restricted) *Periodicity Principle* in $\mathbb{Z}^2$. This is also strongly related to the *Period Conjecture* stated in [8]. More details on Nivat's conjecture, Period Conjecture and related works can be found in Section 6.

In this paper, we exhibit, thanks to Theorem 2 given below, a complete extension of the Morse–Hedlund theorem to an arbitrary dimension d and characterize sets

of $\mathbb{Z}^d$ definable in $\langle \mathbb{Z}; <, + \rangle$ in terms of some functions counting recurrent blocks, that is, blocks occurring infinitely often. Note that we do not consider "purely" periodic sets in $\mathbb{Z}^2$ or even $\mathbb{Z}^d$, with $d \geq 2$, i.e., we are not looking at sets M having a vector $\mathbf{p}$ acting as "global" period. But instead we study what we consider as a natural extension of the notion of periodicity to a multidimensional setting. Indeed, when A. L. Semenov extended in [21] the theorem of Cobham [5] to a multidimensional setting, it turns out that the notion of periodicity he used was not the pure periodicity but sets in $\mathbb{Z}^d$ defined by a first order formula of the Presburger arithmetic $\langle \mathbb{Z}; <, + \rangle$. It is important to observe that the subsets of $\mathbb{N}$ which are defined by a first order formula in $\langle \mathbb{N}; =, + \rangle$ are exactly the ultimately periodic sets (i.e., finite union of arithmetic progressions). As an example, if

$$\varphi(x) := (x = 3) \vee ((\exists y)(x = 2y)) \vee ((\exists y)(x = 5y + 1))$$

is considered as a formula in $\langle \mathbb{N}; =, + \rangle$, then the set

$$M = \{ x \in \mathbb{N} \mid \langle \mathbb{N}; =, + \rangle \models \varphi(x) \} = \{ 0, 1, 2, 3, 4, 6, 8, 10, 11, 12, 14, 16, 18, 20, 21, \ldots \}$$

is ultimately periodic: for $x \geq 4$, $x \in M$ if and only if $x + 10 \in M$. For a presentation of the sets in $\mathbb{N}^d$ definable in the Presburger arithmetic $\langle \mathbb{N}; =, + \rangle$, see for instance the very nice survey [3]. Except for the scope of the variables, there is no fundamental difference between the structures $\langle \mathbb{N}; =, + \rangle$ and $\langle \mathbb{Z}; <, + \rangle$. Therefore, in this paper, for the sake of simplicity our results are described for the structure $\langle \mathbb{Z}; <, + \rangle$. Notice that in $\langle \mathbb{N}; =, + \rangle$, the relation $x \leq y$ can be easily defined by $(\exists z)(x + z = y)$ but this is no more true when x, y, z are possibly negative and this is the reason why the order relation $<$ has to be added to the Presburger arithmetic over $\mathbb{Z}$. Note that over $\langle \mathbb{Z}; <, + \rangle$ the formula $x = y$ can be defined by $\neg(x < y) \wedge \neg(y < x)$ and that $x = 0$ can be defined by $x + x = x$.

To obtain a characterization of sets M in $\mathbb{Z}^d$ defined by a first order formula of Presburger arithmetic, we estimate the function $R_M(n)$ of different blocks of size n occurring infinitely often in M. Collecting several results and observations about Presburger arithmetic and mainly applying the powerful criterion of Muchnik [14], we derive the following theorem.

Theorem 2. *Let M be a subset in $\mathbb{Z}^d$. This set is definable in $\langle \mathbb{Z}; <, + \rangle$ if and only if $R_M(n) \in \mathcal{O}(n^{d-1})$ and every section is definable in $\langle \mathbb{Z}; <, + \rangle$.*

Hence, we use a different notion of periodicity as the one occurring in Nivat's conjecture and a different complexity function but what we obtain is a necessary and sufficient condition. Note that in many recent papers [8, 15, 18, 19], the given conditions are sufficient but are not necessary (there are counter examples, see again Section 6).

If we apply Theorem 2 recursively, we can express definability in Presburger arithmetic solely in terms of recurrent block complexity. More precisely, a set $M \subseteq \mathbb{Z}^d$ is definable in $\langle \mathbb{Z}; <, + \rangle$ if and only if $R_M(n) \in \mathcal{O}(n^{d-1})$ and for all $k \in \{1, \ldots, d-1\}$ every $(d-k)$-dimensional section has a recurrent block complexity in $\mathcal{O}(n^{d-k-1})$.

In Section 2, we define the terminology. Section 3 contains several examples of definable and non-definable sets in $\mathbb{Z}^2$ to help the reader to develop some intuition about Presburger arithmetic and complexity. In Section 4 we prove the necessary condition relying mainly on the elimination of quantifiers in Presburger arithmetic. Observe that for $d = 1$ our main result corresponds to the Morse–Hedlund theorem: a set $M \subseteq \mathbb{Z}$ is periodic, i.e., there exists t such that, for all $x \in \mathbb{Z}$, $x \in M \Leftrightarrow x + t \in$

M, if and only if there exists n such that $p_M(n) \leq n$ [12]. For $d = 2$ we give, in the first part of Section 5, a direct proof using a lemma already appearing in [7] and [18]. In the second part of Section 5, we treat the general multidimensional case relying on completely different techniques developed by Muchnik in [14]. In Section 6 we describe some works around the Nivat's Conjecture, the Periodicity Principle and the Period Conjecture. Moreover, under the extra hypothesis of uniform recurrence, we obtain partial answers to these questions for subsets of $\mathbb{Z}^d$.

2. Setting up the framework

Let A be a non-empty finite alphabet and d be a positive integer. Let $\mathbf{x} = (\mathbf{x}_1, \ldots, \mathbf{x}_d) \in \mathbb{Z}^d$. We consider the norm

$$||\mathbf{x}|| = \sup_{1 \leq i \leq d} |\mathbf{x}_i|.$$

Let $k > 0$. We define the *k-neighborhood* of size k centered at $\mathbf{x}$ by

$$(2.1) \qquad \mathcal{B}(\mathbf{x}, k) := \{\mathbf{y} \in \mathbb{Z}^d \mid ||\mathbf{x} - \mathbf{y}|| < k\}.$$

Let $S \in A^{\mathbb{Z}^d}$ be an infinite d-dimensional word over A. It is convenient to consider S as a map $S : \mathbb{Z}^d \to A$. Let $S_{\mathbf{x},k}$ denote the *finite block of size k* given by the restriction of S to the domain $\{\mathbf{x}\} + [\![0, k-1]\!]^d$. In this paper, when using notation like $[\![i, j]\!]$ with $i < j$, it has to be understood that we consider the set of integers $\{i, i+1, \ldots, j\}$. If $k = 1$, we write $S_{\mathbf{x}}$ instead of $S_{\mathbf{x},1}$ to denote the letter in S pointed at $\mathbf{x}$. To compare blocks, the respective domains of $S_{\mathbf{x},k}$ and $S_{\mathbf{y},k}$ can both be identified with $[\![0, k-1]\!]^d$ and the two maps can be compared. Otherwise stated, we say that two blocks $S_{\mathbf{x},k}$ and $S_{\mathbf{y},k}$ of size k are equal if and only if the mappings are the same, i.e., for all $\mathbf{v}$ such that $\mathbf{v} \in [\![0, k-1]\!]^d$, we have $S_{\mathbf{x}+\mathbf{v}} = S_{\mathbf{y}+\mathbf{v}}$.

The *block complexity* of S is the map p_S counting for each n the number of different blocks $S_{\mathbf{x},n}$ appearing in S, i.e.,

$$p_S : \mathbb{N} \to \mathbb{N} : n \mapsto \#\{S_{\mathbf{x},n} \mid \mathbf{x} \in \mathbb{Z}^d\}.$$

The *recurrent block complexity* of S is the map R_S counting for each n the number of different blocks $S_{\mathbf{x},n}$ appearing infinitely often in S. These blocks are called *recurrent blocks*. Observe that we do not require to see such blocks in all quadrant. In other words, this latter function counts only the different blocks B of size n such that for all $L \in \mathbb{N}$, there exists $\mathbf{x} \in \mathbb{Z}^d$ verifying $||\mathbf{x}|| \geq L$ and $S_{\mathbf{x},n} = B$. The following inequalities are obvious

$$\forall n \geq 1, \quad R_S(n) \leq p_S(n) \leq (\#A)^{n^d}.$$

Remark 3. If the alphabet A is binary, say $A = \{0, 1\}$, then words in $A^{\mathbb{Z}^d}$ can be identified with subsets of $\mathbb{Z}^d$ and the definitions and notation given above can be applied to subsets of $\mathbb{Z}^d$. For instance, we can speak of the block complexity and recurrent block complexity of a subset M of $\mathbb{Z}^d$. We denote these complexities respectively by p_M and R_M.

Remark 4. Let $M = \{0\} \subset \mathbb{Z}^d$. Then $R_M(n) = 1$ and $p_M(n) = n^d + 1$ for all n. With this example, we stress the fact that it is required to consider R_M instead of p_M in our main result.

For the sake of completeness, we recall some essential facts about sets definable by first order formulas in the Presburger arithmetic $\langle \mathbb{Z}; <, + \rangle$. For more details, we refer the reader to [2, 3, 14].

Remark 5. Recall that the subsets of $\mathbb{N}$ definable in $\langle \mathbb{N}; =, + \rangle$ are exactly the ultimately periodic sets in $\mathbb{N}$. When taking a subset M of $\mathbb{Z}$ definable in $\langle \mathbb{Z}; <, + \rangle$, we can consider the two subsets of $\mathbb{N}$,

$$M \cap \mathbb{Z}_{\geq 0} \text{ and } -(M \cap \mathbb{Z}_{<0}) = \{-x \mid (x \in M) \wedge (x < 0)\}$$

which are both definable in $\langle \mathbb{N}; =, + \rangle$ and therefore ultimately periodic. Consequently, if $M \subseteq \mathbb{Z}$ is definable in $\langle \mathbb{Z}; <, + \rangle$, there exist $N, p, q > 0$ (which are chosen minimal) such that for $x > N$, $x \in M$ if and only if $x + p \in M$ and for $x < -N$, $x \in M$ if and only if $x - q \in M$. Notice that even in dimension one, if $p \neq q$ or $N \neq 0$, then M which is definable in $\langle \mathbb{Z}; <, + \rangle$ cannot be "purely" periodic. As a trivial example, consider the set $M = \{0\} \subset \mathbb{Z}$. Then, $p_M(n) = n + 1$ for all $n \geq 1$.

In this context, Presburger definability can be viewed as a natural extension of ultimate periodicity in dimensions higher than one. Before stating the so-called Muchnik's criterion, we need a few definitions.

Definition 6. A set $M \subseteq \mathbb{Z}^d$ is said to be *linear*, if there exist $\mathbf{x} \in \mathbb{Z}^d$ and a finite set (possibly empty) $V \subset \mathbb{Z}^d$ such that

$$M = \{\mathbf{x}\} + \sum_{\mathbf{v} \in V} \mathbb{N}\mathbf{v}.$$

A finite union of linear sets is a *semi-linear* set.

Let $M \subseteq \mathbb{Z}^d$, $i \in \{1, \ldots, d\}$ and $c \in \mathbb{Z}$. The *section* $M_{i,c} \subseteq \mathbb{Z}^{d-1}$ is given by

$$M_{i,c} = \{(x_1, \ldots, x_{i-1}, x_{i+1}, \ldots, x_d) \mid (x_1, \ldots, x_{i-1}, c, x_{i+1}, \ldots, x_d) \in M\}.$$

Definition 7. Let $\mathbf{v} \in \mathbb{Z}^d \setminus \{\mathbf{0}\}$ and $M, X \subseteq \mathbb{Z}^d$. The set M is said to be $\mathbf{v}$-*periodic inside* X if for any $\mathbf{m}, \mathbf{m} + \mathbf{v} \in X$,

$$\mathbf{m} \in M \Leftrightarrow \mathbf{m} + \mathbf{v} \in M$$

(i.e., any two points inside X that differ by $\mathbf{v}$ either both belong to M or both do not belong to M). We say $\mathbf{v}$ is a *local period* for X. When V is a subset of $\mathbb{Z}^d$, we say M is V-*periodic inside* X if M is $\mathbf{v}$-periodic inside X for some $\mathbf{v} \in V$.
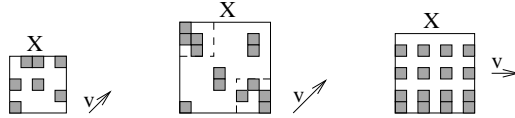

FIGURE 1. Three examples of $\mathbf{v}$-periodicity inside X.

As we will see definability in $\langle \mathbb{Z}; <, + \rangle$ can be expressed in terms of local periodicity as given in [3].

Definition 8. A set $M \subseteq \mathbb{Z}^d$ is said to be *locally periodic* if there exists a finite set $V \subset \mathbb{Z}^d \setminus \{\mathbf{0}\}$ such that for some $K > \sum_{\mathbf{v} \in V} ||\mathbf{v}||$ and $L \geq 0$,

$$\forall \mathbf{x} \in \mathbb{Z}^d, ||\mathbf{x}|| \geq L, \exists \mathbf{v} \in V : M \text{ is } \mathbf{v}\text{-periodic inside } \mathcal{B}(\mathbf{x}, K).$$

Figure 2 illustrates this notion: far enough of the origin, the set M is $\mathbf{v}$-periodic inside any K-neigborhood for some $\mathbf{v} \in V$.

In [14] the following alternative definition of local periodicity is given, see also [2, 11]. Moreover, in [18] the authors also defined a related notion of local periodicity.
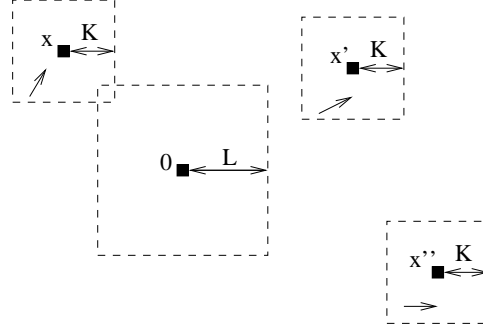
FIGURE 2. Illustration of local periodicity.

Definition 9. Let $M \subseteq \mathbb{Z}^d$. If there exists a finite subset V of $\mathbb{Z}^d \setminus \{\mathbf{0}\}$ verifying: for all $K \geq 1$ there exists $L \in \mathbb{N}$ such that

$$\forall \mathbf{x} \in \mathbb{Z}^d, \|\mathbf{x}\| \geq L, \exists \mathbf{v} \in V : M \text{ is } \mathbf{v}\text{-periodic inside } \mathcal{B}(\mathbf{x}, K),$$

then we say that M *satisfies Muchnik's condition.* A picture similar to the one in Figure 2 should also illustrate this notion.

As shown by the following theorem, these two definitions play similar role to characterize definability. The reader may already notice that the sections of M enter the picture.

Theorem 10. [14] *Let M be a subset of $\mathbb{Z}^d$. The following statements are equivalent.*

   (1) *M is definable in $\langle \mathbb{Z}; <, + \rangle$,*
   (2) *M is semi-linear,*
   (3) *every section of M is definable in $\langle \mathbb{Z}; <, + \rangle$ and M is locally periodic,*
   (4) *every section of M is definable in $\langle \mathbb{Z}; <, + \rangle$ and M satisfies Muchnik's condition.*

Remark 11. It is clear in Theorem 10 that condition (4) implies condition (3), i.e., Muchnik's condition implies local periodicity. The converse is not so obvious and as observed in [14, p. 1438], a close inspection of the proof of Theorem 10 reveals that assuming (3) is enough to derive the definability of M and that this definability implies Muchnik's condition.

Let A be a finite alphabet, $d \geq 1$ and $S \in A^{\mathbb{Z}^d}$ be a d-dimensional word over A. For each $a \in A$, we define the set

$$S^{-1}(a) := \{\mathbf{x} \in \mathbb{Z}^d \mid S_{\mathbf{x}} = a\}.$$

Definition 12. The word S is *definable* in $\langle \mathbb{Z}; <, + \rangle$ if and only if for all $a \in A$, the sets $S^{-1}(a)$ are definable in $\langle \mathbb{Z}; <, + \rangle$.

We can now recall our main result (stated in the introduction as Theorem 2).

Theorem. *Let M be a subset in $\mathbb{Z}^d$. This set is definable in $\langle \mathbb{Z}; <, + \rangle$ if and only if $R_M(n) \in \mathcal{O}(n^{d-1})$ and every section is definable in $\langle \mathbb{Z}; <, + \rangle$.*

The case $d = 1$ is equivalent to Morse–Hedlund theorem and was already discussed in Remark 5. Notice that the number of recurrent factors occurring in an ultimately

periodic word in $\{0,1\}^{\mathbb{N}}$ is bounded by a constant. The proof of Theorem 2 is given in Section 4 and Section 5. But prior to these developments, let us make a few immediate comments. Theorem 2 has an immediate application.

Corollary 13. *Let A be a finite alphabet and $d \geq 1$. A d-dimensional word $S \in A^{\mathbb{Z}^d}$ is definable in $\langle \mathbb{Z}; <, + \rangle$ if and only if $R_S(n) \in \mathcal{O}(n^{d-1})$ and every section is definable in $\langle \mathbb{Z}; <, + \rangle$.*

Let $k \in \{1, \ldots, d-1\}$. A $(d-k)$-*dimensional section* of $M \subseteq \mathbb{Z}^d$ is a subset of $\mathbb{Z}^{d-k}$ where k components have been fixed to constants. So we define the set

$$M_{(i_1,\ldots,i_k),(c_1,\ldots,c_k)} = \{\mathbf{x} \in M \mid \mathbf{x}_j = c_j, \quad j = 1, \ldots, k\}$$

viewed as a subset of $\mathbb{Z}^{d-k}$. If we apply Theorem 2 recursively, we get the following result expressing definability in Presburger arithmetic solely in terms of recurrent block complexity.

Corollary 14. *A set $M \subseteq \mathbb{Z}^d$ is definable in $\langle \mathbb{Z}; <, + \rangle$ if and only if $R_M(n) \in \mathcal{O}(n^{d-1})$ and, for all $k \in \{1, \ldots, d-1\}$, every $(d-k)$-dimensional section has a recurrent block complexity in $\mathcal{O}(n^{d-k-1})$.*

Using similar arguments as in Remark 5 we can replace in Theorem 2, Corollary 13 and Corollary 14, $\mathbb{Z}^d$ by $\mathbb{N}^d$ and $\langle \mathbb{Z}; <, + \rangle$ by $\langle \mathbb{N}; =, + \rangle$.

3. Running examples

We briefly give some examples that we hope could be helpful.

Example 15. Consider the set $M \subset \mathbb{Z}^2$ represented on the left in Figure 3 and defined by the formula

$$\varphi(x,y) := (x \geq 0) \wedge (y \geq 0) \wedge (\exists \lambda)((x,y) = \lambda(1,1)) \wedge (\exists \lambda)((x,y) = (0,1) + \lambda(1,0)).$$

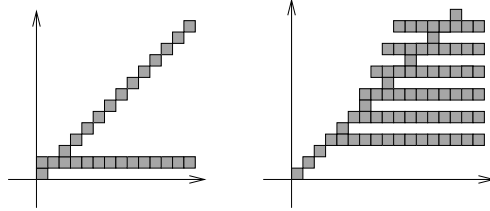FIGURE 3. Examples of sets definable in Presburger arithmetic.

One can show that the block complexity p_M satisfies $p_M(n) \geq n^2$ for n large enough. A simple argument is to observe that the n^2 blocks of size n, $(i,j) + [\![0, n-1]\!]^2$ for $i,j \in [\![-n+1, 0]\!]$ are all distinct. Local periodicity is clear with a set of periods equal to $V = \{(1,1),(1,0)\}$, and, $K = 3$ and $L = 4$. Muchnik's condition is also obvious to check with the same set of periods. The recurrent block complexity is $R_M(n) = 3\,n$.

Example 16. Consider the set $M \subset \mathbb{Z}^2$ represented on the right in Figure 3 and defined by the formula

$$\begin{aligned}\varphi(x,y) \quad := \quad & (x \geq 0) \wedge (y \geq 0) \wedge ((\exists \lambda)((x,y) = \lambda(1,1)) \\ & \vee (\exists \lambda)(\exists \mu)((x,y) = (4,3) + \lambda(1,0) + \mu(1,2))).\end{aligned}$$

Notice that this set can also be defined with a quantifier-free formula $\psi(x, y) = (x \geq 0) \wedge (y \geq 0) \wedge (\psi_1(x, y) \vee \psi_2(x, y) \vee \psi_3(x, y))$ where

(3.1)
$$\psi_1(x, y) := (y \geq x) \wedge (2x \geq y + 5) \wedge (y \equiv 1 \mod 2),$$
$$\psi_2(x, y) := (y \geq x) \wedge (x \geq y),$$
$$\psi_3(x, y) := (x \geq y) \wedge (y \geq 3) \wedge (y \equiv 1 \mod 2).$$

It is not difficult to compute that the recurrent block complexity is $R_M(n) = 7 n - 1$ for all $n \geq 1$.

The following two examples will be used to see that our main result cannot be improved.

Example 17 (Fibonacci). Let $\mathcal{F} = (f_i)_{i \geq 0} = 0100101001 \cdots$ be the Fibonacci word generated by the morphism $h : 0 \mapsto 01, 1 \mapsto 0$. It is well-known that $p_{\mathcal{F}}(n) = n + 1$. Now consider the word $\mathcal{G} = (g_i)_{i \in \mathbb{Z}}$ indexed by $\mathbb{Z}$ and defined by

$$g_i = \begin{cases} g_i = f_i & \text{if } i \geq 0, \\ g_i = 1 & \text{otherwise} \end{cases}$$

$$g = \cdots 11111111.0100101001 \cdots .$$

We have $p_{\mathcal{G}}(n) = 2n$. Consider the set $G \subset \mathbb{Z}^d$ defined by $\mathbf{x} \in G$ if and only if $\mathbf{x} = (\mathbf{x}_1, \ldots, \mathbf{x}_d)$ is such that $g_{\mathbf{x}_1} = 1$. It is clear that G is locally periodic and that $R_G(n) = p_G(n) = 2n$. None of the sections $G_{j,c}$ for $j \geq 2$ can be defined in $\langle \mathbb{Z}; <, + \rangle$. Indeed, the Fibonacci word is not ultimately periodic (see [13]) and therefore not definable in $\langle \mathbb{N}; =, + \rangle$.

Thus, the hypothesis on the sections cannot be remove. It also shows that it is not enough to consider p_G instead of R_G.

Example 18 (Toeplitz'like set). Consider the set $T \subset \mathbb{Z}^2$ depicted in Figure 4 such that

$$(i, j) \in T \Leftrightarrow (i, j \geq 0) \wedge (\exists n > 0 : i = n \, 2^{j+1}).$$

Each section is definable in $\langle \mathbb{Z}; <, + \rangle$ but the set is not locally periodic and does
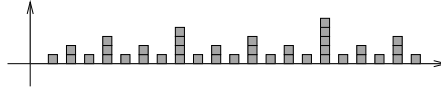

FIGURE 4. Toeplitz'like set.

not satisfy Muchnik's condition. It is not difficult to see that $R_T(n) \geq n^2$ since the patterns represented in Figure 5 appear infinitely often. Thus, the local periodicity property cannot be remove. We call it *Toeplitz'like set* because, one can obtain the

FIGURE 5. Some blocks of size 3 occurring in T.

heights of the successive "heaps" as follows. At first step, consider the infinite word $(0?)^\omega = 0?0? \cdots$, on the second step, replace in order all the "?" symbols with the letters of the infinite word $(1?)^\omega$. On the $(n+1)$-th step, replace the remaining "?" with the letters of the word $(n?)^\omega$. This process generates an infinite word over the alphabet $\mathbb{N}$ whose j-th element is the height of the j-th heap.

4. Complexity of Presburger definable sets

In what follows, as explained in Remark 3, we make no distinction between a subset of $\mathbb{Z}^d$ and its characteristic word which belongs to $\{0,1\}^{\mathbb{Z}^d}$. We consider indifferently complexity functions of both subsets of $\mathbb{Z}^d$ and binary words indexed by $\mathbb{Z}^d$. To count the number of different blocks of size n it is convenient to use the following notation:

$$\mathcal{C}(\mathbf{x}, n) = \{\mathbf{y} \in \mathbb{Z}^d \mid \mathbf{y} = \mathbf{x} + \mathbf{z}, \mathbf{z} \in [\![0, n-1]\!]^d\}.$$

One should avoid the confusion between the neighborhood $\mathcal{B}(\mathbf{x}, n)$ centered at $\mathbf{x}$ given in (2.1) and this set $\mathcal{C}(\mathbf{x}, n)$ *pointed* at $\mathbf{x}$.

Proposition 19. *If $X \subseteq \mathbb{Z}^d$ is definable in $\langle \mathbb{Z}; =, + \rangle$, then $R_X(n) \in \mathcal{O}(n^{d-1})$.*

For a better understanding of the main arguments, we first give the proof for $d = 2$.

Proof. Let $X \subseteq \mathbb{Z}^2$ be definable in $\langle \mathbb{Z}; =, + \rangle$. We can assume [16, 17] that the formula defining X is a finite boolean combination of formulas of the kind

$$\lambda_i\, x + \mu_i\, y \geq \nu_i, \quad i \in \{1, \ldots, r\} \quad \text{or} \quad \lambda_i\, x + \mu_i\, y \equiv \alpha_i \pmod{J}, \quad i \in \{1, \ldots, s\}$$

where the $\alpha_i, J, \lambda_i, \mu_i, \nu_i$'s are integers (for an example, see for instance formula (3.1)). Therefore, except for a neighborhood of the origin, X is made up of finite number of regions bounded by two half (straight) lines. Inside such a region X is periodic (the period being determined with the constant J appearing in the formulas given above). In figure 6, no two lines have the same slope. Let us make this assumption first.
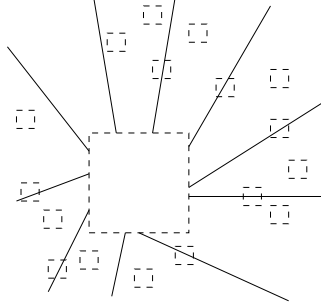

Figure 6. Regions in dimension 2.

Let $n > 0$. Note that bounded polygonal regions delimited by several lines remain in a finite domain close to the origin. Hence, only infinite regions have to be taken into account to estimate R_X. Thus we consider one infinite region bounded by two lines $\lambda_i\, x + \mu_i\, y = \nu_i$, $i = 1, 2$. Since their slopes are different, there exists $s(n)$ such that for any $\mathbf{x}$ of norm $||\mathbf{x}|| > s(n)$, $\mathcal{C}(\mathbf{x}, n)$ intersects at most one line. The number of distinct blocks of size n lying completely in one region R is bounded by a constant r_R (due to the periodicity given by a finite number of congruence relations). The number of different blocks of size n intersecting two regions is bounded by $C\, n$ where the constant C depends on the periods within the two regions and the period on the line. Indeed the number of relative positions of a square of size n with respect to a given line is bounded by $2n$ (see Figure 7). For each such position, the number
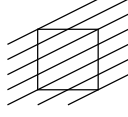
FIGURE 7. Intersection of a square and a line.

of different patterns within the two regions and on the line is bounded respectively by three constants r_1, r_2, r_3 (one for each region and one for each line). This gives a number of different blocks bounded by $2r_1r_2r_3n$.

The conclusion follows from the fact that the number of regions is finite.

We have first considered the case where the (infinite) regions were defined by lines having distinct slopes. Now, if several lines defining regions have the same slope as depicted in Figure 8, then one can proceed using the same arguments. Parallel
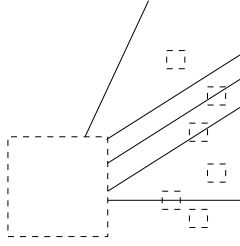

FIGURE 8. Some regions defined by parallel lines.

lines do not increase the linear bound on the complexity. Indeed, the number of relative positions of a square of size n with respect to given parallel lines is bounded by $D\,n$, for some D.

Now consider the general case.

Thanks to quantifier elimination in Presburger arithmetic [6], we can assume that the formula defining X is a finite disjunction of formulas $\varphi_i(x_1, \ldots, x_d)$, $i = 1, \ldots, k$, where each such formula is a conjunction of the form

$$(4.1) \qquad \varphi_i(x_1, \ldots, x_d) := \bigwedge_{j=1}^{\ell} t_{i,j}(x_1, \ldots, x_d)$$

with

$$(4.2) \qquad t_{i,j}(x_1, \ldots, x_d) := \sum_{k=1}^{d} u_k^{(i,j)} x_k \geq c_{i,j}$$

or

$$(4.3) \qquad t_{i,j}(x_1, \ldots, x_d) := \sum_{k=1}^{d} u_k^{(i,j)} x_k \equiv e_{i,j} \pmod{J}$$

where all the occurring constants are integers. Notice that we can choose the same constant J in every modular equation occurring in the definition of X. Each φ_i defines a domain[1] of $\mathbb{Z}^d$ intersected with a pattern[2]. The following lemma is obvious.

Lemma 20. *The number of distinct blocks of size n for a set $X \subset \mathbb{Z}^d$ defined by a boolean combination of formulas of the kind $\sum_{k=1}^d u_k\, x_k \equiv e \pmod{J}$ is bounded from above by J^d.*

Let $\pi_{i,j}$ be the hyperplane having equation $\sum u_k^{(i,j)} x_k = c_{i,j}$ corresponding to (4.2). We define $\pi'_{i,j}$ as the vector subspace having equation $\sum u_k^{(i,j)} x_k = 0$. For the sake of simplicity, let us enumerate all the distinct hyperplanes occurring in the quantifier-free formula as $\Pi = \{\pi_1, \ldots, \pi_t\}$ (with the corresponding vector subspaces denoted by $\pi'_1, \ldots, \pi'_t$).

Let B be a recurrent block of size n, i.e., there exist infinitely many $\mathbf{y}_1, \mathbf{y}_2, \ldots$ in $\mathbb{Z}^d$ such that

$$B = X_{\mathbf{y}_1, n} = X_{\mathbf{y}_2, n} = \cdots.$$

Our aim is to estimate the number of distinct such blocks. Assume that for large enough i, $\mathcal{C}(\mathbf{y}_i, n)$ intersect[3] no hyperplane in Π. Then it lies completely in a single domain. We can apply the previous lemma. There are at most J^d different such blocks for each domain and the number of domains is finite (and determined by the formula).

In what follows, we may therefore assume that all the blocks $X_{\mathbf{y}_i, n}$ intersect each of the hyperplanes $\pi_1, \ldots, \pi_j$ and no other, the $\mathbf{y}_i$ belonging to the same domain D. We can do the reasoning for any subset of Π and any domain D (there are at most 2^t subsets of Π). For the sake of simplicity, we have chosen the first j elements in Π. Then, it suffices to prove that there exists a constant C, not depending on n, such that the number of pairwise distinct recurrent blocks $X_{\mathbf{y}, n}$ intersecting each of the hyperplanes $\pi_1, \ldots, \pi_j$ and no other, with $\mathbf{y} \in D$, is bounded by Cn^{d-1}.

Lemma 21. *Let B be a block of size n. If there exist infinitely many (different) vectors $\mathbf{y}_1, \mathbf{y}_2, \ldots \in \mathbb{Z}^d$ such that, for all i, $\mathcal{C}(\mathbf{y}_i, n)$ intersects each of the hyperplanes $\pi_1, \ldots, \pi_j$, then*

$$\dim(\pi'_1 \cap \cdots \cap \pi'_j) \geq 1.$$

Proof. Assume to the contrary that the intersection of the vector subspaces $\pi'_1 \cap \cdots \cap \pi'_j$ is reduced to $\{\mathbf{0}\}$. Therefore, for a given n, there are finitely many hypercubes $\mathbf{z} + [0, n]^d$ of size n intersecting simultaneously $\pi_1, \ldots, \pi_j$, with $\mathbf{z} \in \mathbb{Z}^d$. □

Now let us assume that $W = \pi'_1 \cap \cdots \cap \pi'_j$ is a vector space of dimension $\ell \in \{1, \ldots, d-1\}$. Let $(\mathbf{b}_1, \ldots, \mathbf{b}_\ell)$ be a basis of W. We can suppose the entries of $\mathbf{b}_1, \ldots, \mathbf{b}_\ell$ are integers and multiples of J.

The first idea is to show that a move parallel to W only produces a constant number of distinct blocks.

---

[1] We call *domain* any subset of $\mathbb{Z}^d$ defined by a conjunction of formulas of the kind (4.2).

[2] We call *pattern* any subset of $\mathbb{Z}^d$ defined by a conjunction of formulas of the kind (4.3).

[3] In what follows, when dealing with intersections, one should understand that the underlying hypercube, considered as a convex body in $\mathbb{R}^d$, does not intersect any hyperplane.

Lemma 22. *Suppose $\mathcal{C}(\mathbf{v}, n)$, $\mathbf{v} \in D$, intersects each of the hyperplanes $\pi_1, \ldots, \pi_j$ and no other. Then for all $\mathbf{w} = \alpha_1 \mathbf{b}_1 + \cdots + \alpha_\ell \mathbf{b}_\ell$ with $\alpha_1, \ldots, \alpha_\ell \in \mathbb{Z}$, $\mathcal{C}(\mathbf{v} + \mathbf{w}, n)$ intersects each of the hyperplanes $\pi_1, \ldots, \pi_j$. Furthermore, if $\mathbf{v} + \mathbf{w} \in D$ and $\mathcal{C}(\mathbf{v} + \mathbf{w}, n)$ intersects no other hyperplane, then*

$$X_{\mathbf{v},n} = X_{\mathbf{v}+\mathbf{w},n}.$$

Proof. By definition of W, the hypercubes $\mathcal{C}(\mathbf{v}, n)$ and $\mathcal{C}(\mathbf{v} + \mathbf{w}, n)$ have the same relative position with respect to $\pi_1, \ldots, \pi_j$ (e.g., consider two intersecting planes in a space of dimension 3 and assume that a cube intersects these two planes, then a translation of $\mathbf{w}$ corresponds to a move in the direction of the intersection of the two planes, so the cube still intersects these two planes with a similar relative position of the cube compared with the planes). Moreover, since in each domain the pattern is given by congruence relations modulo J, we conclude thanks to the choice made for the basis (each of the components is a multiple of J). $\qquad\square$

Remark 23. There exist finitely many vectors of the kind $\alpha_1 \mathbf{b}_1 + \cdots + \alpha_\ell \mathbf{b}_\ell$ belonging $\mathbb{Z}^d$ with $(\alpha_1, \ldots, \alpha_\ell) \in \mathbb{Q}^\ell \cap [-1, 1]^\ell$. This observation and the periodicity induced by the previous lemma lead to the following fact. Let $\mathbf{v} \in D$ be such that $\mathcal{C}(\mathbf{v}, n)$ intersects each of the hyperplanes $\pi_1, \ldots, \pi_j$ and no other. There exists a constant Q (independent of n) such that the number of pairwise distinct blocks $X_{\mathbf{v}+\mathbf{w},n}$ is bounded by Q when $\alpha_1, \ldots, \alpha_\ell \in \mathbb{Q}$ are such that $\mathbf{w} = \alpha_1 \mathbf{b}_1 + \cdots + \alpha_\ell \mathbf{b}_\ell \in \mathbb{Z}^d$, $\mathbf{v} + \mathbf{w} \in D$ and $\mathcal{C}(\mathbf{v} + \mathbf{w}, n)$ intersects each of the hyperplanes $\pi_1, \ldots, \pi_j$ and no other.

Let $\mathbf{e}_1, \ldots, \mathbf{e}_{d-\ell}$ be integer vectors such that $(\mathbf{e}_1, \ldots, \mathbf{e}_{d-\ell}, \mathbf{b}_1, \ldots, \mathbf{b}_\ell)$ is a basis of the space $\mathbb{R}^d$. The idea is now to move in a direction not parallel to W and show that we have $d - \ell$ degrees of freedom.

Lemma 24. *For all $i \in \{1, \ldots, d - \ell\}$, there exists a constant $C_i > 0$ such that for all $\mathcal{C}(\mathbf{x}, n)$ intersecting $\pi_1, \ldots, \pi_j$ at some point $\mathbf{y} \in \mathbb{R}^d$, the following holds:*

- *if $\mathcal{C}(\mathbf{x} + k\mathbf{e}_i, n)$ intersects $\pi_1, \ldots, \pi_j$, then $|k| \leq C_i n$,*
- *if $|k| > C_i n$, then $\mathcal{C}(\mathbf{x} + k\mathbf{e}_i, n)$ does not intersect $\pi_1, \ldots, \pi_j$.*

Proof. Without loss of generality, we may assume $i = 1$. Since $\mathbf{e}_1$ does not belong to W, there exists $m \in \{1, \ldots, j\}$ such that $\mathbf{e}_1$ does not belong to the vector subspace π'_m. In a system of coordinates built on the basis $(\mathbf{e}_1, \ldots, \mathbf{e}_{d-\ell}, \mathbf{b}_1, \ldots, \mathbf{b}_\ell)$, we may assume π_m has an equation of the kind

$$\alpha_1^{(m)} x_1 + \cdots + \alpha_d^{(m)} x_d = c$$

and that $\mathbf{y} = (a_1, a_2, \ldots, a_d)$ belongs to π_m. In this case, the equation of the corresponding vector subspace π'_m is $\alpha_1^{(m)} x_1 + \cdots + \alpha_d^{(m)} x_d = 0$. The components of $\mathbf{e}_1$ in the basis $(\mathbf{e}_1, \ldots, \mathbf{e}_{d-\ell}, \mathbf{b}_1, \ldots, \mathbf{b}_\ell)$ are $(1, 0, \ldots, 0)$ and they do not satisfy the equation of π'_m (otherwise, we would get that $\mathbf{e}_1 \in \pi'_m$). Therefore, $\alpha_1^{(m)}$ is non-zero.

We can determine the values of k such that $(a_1 + k, a_2 + \ell_2, \ldots, a_d + \ell_d)$ belongs to π_m for some $\ell_2, \ldots, \ell_d \in [\![-n, n]\!]$. Indeed, this latter point belongs to π_m if and only if

$$\exists \ell_2, \ldots, \ell_d \in [\![-n, n]\!] : \alpha_1^{(m)} k + \alpha_2^{(m)} \ell_2 + \cdots + \alpha_d^{(m)} \ell_d = 0.$$

That is, if and only if

$$k = -(\alpha_2^{(m)}\,\ell_2 + \cdots + \alpha_d^{(m)}\,\ell_d)/\alpha_1^{(m)}.$$

Thus, it suffices to take

$$C_i = \sup_m \frac{d}{|\alpha_i^{(m)}|}||\alpha^{(m)}||,$$

where $\alpha^{(m)}$ is the vector whose coordinates are the $\alpha_i^{(m)}$. $\hfill\square$

We are now able to conclude this part of the proof. There are two kinds of recurrent blocks of size n. Those that do not intersect any hyperplane in Π and those that do. Let us call $R_X^1(n)$ the number of blocks of the first kind and $R_X^2(n)$ the number of blocks of the second kind. Lemma 20 shows that $R_X^1(n)$ is less than the constant $2^t J^d$. To bound $R_X^2(n)$, we make our reasoning only on the recurrent blocks $X_{\mathbf{y}_i,n}$ such that $\mathbf{y}_i$ belongs to a given domain D and $\mathcal{C}(\mathbf{y}_i, n)$ intersects each of the hyperplanes $\pi_1, \ldots, \pi_j$ and no other (recall that there is only a constant number of such cases to take into account). Thanks to Lemma 22 and Remark 23, moving such a block in any direction parallel to W leads to a number of pairwise distinct blocks bounded by a constant. On the other hand, when moving in a direction spanned by $\mathbf{e}_1, \ldots, \mathbf{e}_{d-\ell}$, Lemma 24 shows that we can have up to $\mathcal{O}(n^{d-\ell})$ pairwise distinct blocks of size n. Recall from Lemma 21 that $\ell = \dim W$ belongs to $\{1, \ldots, d-1\}$. Furthermore, as suggested by the following example, the bound on R_X^2 is tight. Consider the hyperplanes having respectively equation $x_1 = 0, x_2 = 0, \ldots, x_{d-\ell} = 0$ defining $2^{d-\ell}$ regions of the kind

$$\{(x_1, \ldots, x_d) \mid \forall i \in \{1, \ldots, d-\ell\} : x_i \square_i 0\}$$

with $\square_1, \ldots, \square_{d-\ell} \in \{\geq, <\}$. Let us define a set $X \subset \mathbb{Z}^d$ such that $\mathbf{x} = (x_1, \ldots, x_d) \in X$ if and only if $x_1 \cdots x_{d-\ell} \geq 0$, i.e., if the components of $\mathbf{x}$ are non-zero, the fact that $\mathbf{x}$ belongs to X depends inly on the parity of the number of negative components amonst the $d-\ell$ first. Observe that all $\mathcal{C}(\mathbf{v}, n)$ where $\mathbf{v} = (v_1, \ldots, v_n)$ are such that, for all $i \in \{1, \ldots, d-\ell\}$, $-n < v_i \leq 0$ give different blocks. Notice also that there is no constraint on $v_{d-\ell+1}, \ldots, v_n$, modifying any of these components gives the same block and thus such blocks occur infinitely often. Consequently, we get a number of distinct recurrent block equal to $n^{d-\ell}$.

$\hfill\square$

5. PROOF OF THE CONVERSE

In what follows, as explained in Remark 3, we make no distinction between a subset of $\mathbb{Z}^d$ and its characteristic word which belongs to $\{0, 1\}^{\mathbb{Z}^d}$. We consider indifferently complexity functions of both subsets of $\mathbb{Z}^d$ and binary words indexed by $\mathbb{Z}^d$.

5.1. **An interesting lemma.** The following lemma based on the pigeonhole principle is of particular importance in what follows. It is a first step to provide us with a set of relatively small local periods. The next step is Corollary 26 which is more explicit respectively to our problem. This lemma is proven in [18] for $d = 2$, we simply adapt it to our setting.

Lemma 25. *Let $M \subset \mathbb{Z}^d$ and $C > 0$ be such that $R_M(n) \leq Cn^{d-1}$ for all n. Let $n \in \mathbb{N}$. There exists $m_0 \in \mathbb{N}$ such that, for all m satisfying $m < n$ and*

$m^d - Cn^{d-1} \geq 1$, *and all* $\mathbf{z} = (\mathbf{z}_i)_{1 \leq i \leq d}$, *with* $||\mathbf{z}|| \geq m_0 + m$, *there exists a nonzero vector* $\mathbf{v} = (\mathbf{v}_i)_{1 \leq i \leq d}$ *with* $||\mathbf{v}|| \leq m$, *verifying*

$$M_{\mathbf{z}-\mathbf{v},n-m} = M_{\mathbf{z},n-m} = M_{\mathbf{z}+\mathbf{v},n-m}.$$

Proof. Far enough from the origin, every block is recurrent. Formally, for all $n \in \mathbb{N}$ there exists m_0 such that if $||\mathbf{x}|| \geq m_0$, then $M_{\mathbf{x},n}$ is recurrent in M.

Let m and $\mathbf{z} = (\mathbf{z}_i)_{1 \leq i \leq d}$ be such that $m < n$, $m^d - Cn^{d-1} \geq 1$ and $||\mathbf{z}|| \geq m_0 + m$. If $\mathbf{y} \in [\![-m+1, 0]\!]^d$, then $||\mathbf{z} + \mathbf{y}|| \geq m_0$. Therefore, for all $\mathbf{y} \in [\![-m+1, 0]\!]^d$, the blocks $M_{\mathbf{z}+\mathbf{y},n}$ are recurrent. Since $R_M(n) < m^d$, by the pigeonhole principle, there exist two distinct integer vectors $\mathbf{y}, \mathbf{y}' \in [\![-m+1, 0]\!]^d$ such that $M_{\mathbf{z}+\mathbf{y},n} = M_{\mathbf{z}+\mathbf{y}',n}$. Let $\mathbf{v} = \mathbf{y} - \mathbf{y}'$. We observe that $||\mathbf{v}|| \leq m$. Now consider $\mathbf{x}$ in $\{\mathbf{z}\} + [\![0, n-m-1]\!]^d$, then $\mathbf{x}$ and $\mathbf{x} + \mathbf{v}$ belong to $\{\mathbf{z} + \mathbf{y}\} + [\![0, n-1]\!]^d$, and, $\mathbf{x}$ and $\mathbf{x} - \mathbf{v}$ belong to $\{\mathbf{z} + \mathbf{y}'\} + [\![0, n-1]\!]^d$. The situation is depicted in Figure 9. The conclusion follows
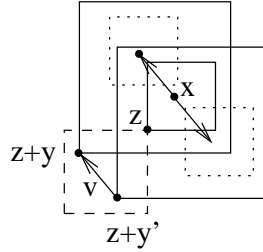

FIGURE 9. Sketch of the situation in Lemma 25.

from the fact that $M_{\mathbf{z}+\mathbf{y},n} = M_{\mathbf{z}+\mathbf{y}-\mathbf{v},n}$ and from the overlap of the two blocks. $\square$

The following corollary shows that Lemma 25 is "almost" sufficient to complete the proof of Theorem 2.

Corollary 26. *Let* $M \subseteq \mathbb{Z}^d$. *Suppose there exists* $C > 0$ *such that* $R_M(n) \leq Cn^{d-1}$ *for all* n. *Then, for all large enough* K *there exists* $L \geq 0$ *such that*

$$\forall \mathbf{x} \in \mathbb{Z}^d, ||\mathbf{x}|| \geq L, \exists \mathbf{v}, ||\mathbf{v}|| \leq (2C)^{\frac{1}{d}}(5K)^{\frac{d-1}{d}} : M \text{ is } \mathbf{v}\text{-periodic inside } \mathcal{B}(\mathbf{x}, K).$$

Proof. Suppose $d = 1$: $R_M(n) \leq C$ for all n. Let $x \in \{0, 1\}^{\mathbb{Z}}$ be the characteristic word of M. It suffices to show that there exists three words u, v and w such that $x = \cdots uuuwvvv \cdots$. We can of course suppose C is an integer. There exists a positive integer n_0 such that all words of length $C + 1$ appearing in $x^+ = x_{n_0} x_{n_0+1} \cdots$ and $x^- = \cdots x_{-n_0-1} x_{-n_0}$ are recurrent (i.e., appear infinitely many times in these sequences). Thus in x^+ and x^- the number of words of length C is less than C. Thus, due to Morse–Hedlund theorem (Theorem 1) both sequences are ultimately periodic: $x^+ = w^+ vvv \cdots$ and $x^- = \cdots uuuw^-$ where u and v are non-empty words. Moreover it is classical to deduce from the proof of Morse–Hedlund theorem that $|u|$ and $|v|$ are both less than C. This achieves the proof.

Thus we suppose $d \geq 2$. Consider the following maps $\alpha : n \mapsto (1 + Cn^{d-1})^{1/d}$, $\gamma : n \mapsto (2C)^{1/d} n^{\frac{d-1}{d}}$ and $\beta : n \mapsto (n - \gamma(n))/4$. Let n_0 be such that

- β is increasing on $[n_0, +\infty[$;
- $\gamma(n) < n$ for all $n \geq n_0$;
- $\gamma(n) \leq \beta(n-1)$ for all $n \geq n_0$;

- $n \leq 5\beta(n-1)$ for all $n \geq n_0$.

Let K, n be integers such that $K \geq \beta(n_0)$, $n \geq n_0 + 1$ and $\beta(n-1) \leq K \leq \beta(n)$. Let m_0 be given by Lemma 25. Let m be an integer satisfying $\alpha(n) \leq m \leq \gamma(n)$. Notice that m satisfies the assumption of Lemma 25. We set $L = m_0 + m + 2K$. Let $\mathbf{x}$ satisfying $||\mathbf{x}|| \geq L$. Let $\mathbf{1}$ be the vector consisting of ones. From Lemma 25, since $||\mathbf{x} - 2K\mathbf{1}|| \geq m_0 + m$, there exists a vector $\mathbf{v}$, with $||\mathbf{v}|| \leq m$ such that $M_{\mathbf{x}-2K\mathbf{1}, n-m} = M_{\mathbf{x}-2K\mathbf{1}+\mathbf{v}, n-m}$. But as $n - m \geq n - \gamma(n) = 4\beta(n) \geq 4K$, we also have

$$M_{\mathbf{x}-2K\mathbf{1}, 4K} = M_{\mathbf{x}-2K\mathbf{1}+\mathbf{v}, 4K}.$$

Thus, M is $\mathbf{v}$-periodic inside $\mathcal{B}(\mathbf{x}, K)$. Moreover, from the choice of n_0 we obtain that

$$||\mathbf{v}|| \leq m \leq (2C)^{1/d} n^{\frac{d-1}{d}} \leq (2C)^{1/d} (5\beta(n-1))^{\frac{d-1}{d}} \leq (2C)^{1/d} (5K)^{\frac{d-1}{d}}.$$

This completes the proof. $\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\square$

In order to obtain local periodicity as given in Definition 8 leading to a proof of Theorem 2, it would be nice that Corollary 26 implies the existence of a set $V \subset \mathbb{Z}^d$ such that $K > \sum_{\mathbf{v} \in V} ||\mathbf{v}||$. This is the case in particular for $d = 2$ (as presented below). For $d \geq 3$ we are not able to give such a direct proof as for $d = 2$. Nevertheless this corollary is interesting because it provides us with a finite set of quite small local periods. We will use this when $d \geq 3$.

5.2. **Proof of Theorem 2 for** $d = 2$. The following two lemmata are also true in higher dimensions. The first one is another way to settle down the underlying idea of Lemma 25. Let $\mathbf{1}$ be the vector consisting of ones.

Lemma 27. *Let $M \subset \mathbb{Z}^2$. Suppose M is $\mathbf{v}$-periodic inside $\mathcal{B}(\mathbf{x}, n)$, for some $\mathbf{v} \neq 0$, $||\mathbf{v}|| < n$, and is not $\mathbf{w}$-periodic for any $\mathbf{w}$ with $||\mathbf{w}|| < ||\mathbf{v}||$. Then, the $||\mathbf{v}||^2$ blocks $M_{\mathbf{x}-n\mathbf{1}-\mathbf{z}, 2n+||\mathbf{v}||}$ with $\mathbf{z} \in [\![0, ||\mathbf{v}|| - 1]\!]^2$ are pairwise distinct.*

Proof. Suppose there exist two distinct vectors $\mathbf{z}_1$ and $\mathbf{z}_2$ in $[\![0, ||\mathbf{v}|| - 1]\!]^2$ such that $M_{\mathbf{x}-n\mathbf{1}-\mathbf{z}_1, 2n+||\mathbf{v}||}$ and $M_{\mathbf{x}-n\mathbf{1}-\mathbf{z}_2, 2n+||\mathbf{v}||}$ are equal. Let $\mathbf{w} = \mathbf{z}_1 - \mathbf{z}_2$. Then, $||\mathbf{w}|| < ||\mathbf{v}||$ and M is $\mathbf{w}$-periodic inside $\mathcal{B}(\mathbf{x}, n)$. $\qquad\qquad\square$

Lemma 28. *Let $n \in \mathbb{N}$, $M \subset \mathbb{Z}^2$ and $\mathbf{v}_1, \ldots, \mathbf{v}_k, \mathbf{x}_1 \ldots, \mathbf{x}_k$ be vectors of $\mathbb{Z}^2$ such that*

(1) $\mathbf{0}, \mathbf{v}_1, \ldots, \mathbf{v}_k$ *are pairwise distinct;*
(2) $\ell = \max ||\mathbf{v}_i|| \leq n$;
(3) *for all i, M is $\mathbf{v}_i$-periodic inside $\mathcal{B}(\mathbf{x}_i, n)$ and is not $\mathbf{w}$-periodic inside $\mathcal{B}(\mathbf{x}_i, n)$ for any $\mathbf{w}$ with $||\mathbf{w}|| < ||\mathbf{v}_i||$;*
(4) *for all i, M is not $\mathbf{v}_j$-periodic inside $\mathcal{B}(\mathbf{x}_i, n)$ for $j < i$.*

Then, we have

$$\# \left\{ M_{\mathbf{x}_i - n\mathbf{1} - \mathbf{z}, 2n+\ell} \mid \mathbf{z} \in [\![0, ||\mathbf{v}_i|| - 1]\!]^2, 1 \leq i \leq k \right\} = \sum_{i=1}^{k} ||\mathbf{v}_i||^2.$$

Proof. From Lemma 27, for all i, we have

$$\# \left\{ M_{\mathbf{x}_i - n\mathbf{1} - \mathbf{z}, 2n+||\mathbf{v}_i||} \mid \mathbf{z} \in [\![0, ||\mathbf{v}_i|| - 1]\!]^2 \right\} = ||\mathbf{v}_i||^2$$

and thus, when considering possibly larger blocks, we get

$$\# \left\{ M_{\mathbf{x}_i - n\mathbf{1} - \mathbf{z}, 2n+\ell} \mid \mathbf{z} \in [\![0, ||\mathbf{v}_i|| - 1]\!]^2 \right\} = ||\mathbf{v}_i||^2.$$

Let i, j be such that $1 \le j < i \le k$. It is sufficient to prove that, for all vectors $\mathbf{z}_i \in [\![0, ||\mathbf{v}_i|| - 1]\!]^2$ and $\mathbf{z}_j \in [\![0, ||\mathbf{v}_j|| - 1]\!]^2$, the blocks $M_{\mathbf{x}_i - n\mathbf{1} - \mathbf{z}_i, 2n+\ell}$ and $M_{\mathbf{x}_j - n\mathbf{1} - \mathbf{z}_j, 2n+\ell}$ are distinct.

Indeed, suppose there exist $\mathbf{z}_i$ and $\mathbf{z}_j$ such that $M_{\mathbf{x}_i - n\mathbf{1} - \mathbf{z}_i, 2n+\ell}$ and $M_{\mathbf{x}_j - n\mathbf{1} - \mathbf{z}_j, 2n+\ell}$ are equal. Then, since $\mathcal{B}(\mathbf{x}_i, n)$ is included in $\mathcal{B}(\mathbf{x}_i - n\mathbf{1} - \mathbf{z}_i, 2n + \ell)$, M would be $\mathbf{v}_j$-periodic inside $\mathcal{B}(\mathbf{x}_i, n)$. This contradicts our assumption (4). $\qquad\square$

Let us conclude with the proof of Theorem 2 for $d = 2$. Let $M \subset \mathbb{Z}^2$ such that for some C we have $R_M(n) \le Cn$, for all n, and having all its sections definable in $\langle \mathbb{Z}; <, + \rangle$. Thanks to Theorem 10, it suffices to prove that M is locally periodic. Taking in Corollary 26 a large enough K, M satisfies the following property:

(P) there exist two positive integers K and L' such that

(1) $\forall \mathbf{x} \in \mathbb{Z}^2, ||\mathbf{x}|| \ge L', \exists \mathbf{v}, ||\mathbf{v}|| \le \sqrt{10CK} : M$ is $\mathbf{v}$-periodic inside $\mathcal{B}(\mathbf{x}, K)$;
(2) $\sqrt{10CK} \le K$;
(3) $8 \log(K)^3 + \frac{3CK}{\log(K)} < K$.

Let L'' be such that if $||\mathbf{x}|| \ge L''$, then the block $M_{\mathbf{x}, 3K}$ is recurrent. Let L be greater than $2K + L'' + L'$. We need the following lemma.

Lemma 29. *There exist vectors $\mathbf{x}_1, \ldots, \mathbf{x}_k \in \mathbb{Z}^2$ and $V = \{\mathbf{v}_1, \ldots, \mathbf{v}_k\} \subset \mathbb{Z}^2$ such that*

(1) *for all $\mathbf{x} \in \mathbb{Z}^2$, $||\mathbf{x}|| \ge L$, there exists $\mathbf{v} \in V$ such that M is $\mathbf{v}$-periodic inside $\mathcal{B}(\mathbf{x}, K)$;*
(2) *for all i, $||\mathbf{v}_i|| \le \sqrt{10CK}$;*
(3) *$\mathbf{0}, \mathbf{v}_1, \ldots, \mathbf{v}_k$ are pairwise distinct;*
(4) *$||\mathbf{x}_i|| \ge L$ for all i;*
(5) *for all i, M is $\mathbf{v}_i$-periodic inside $\mathcal{B}(\mathbf{x}_i, K)$ and is not $\mathbf{w}$-periodic inside $\mathcal{B}(\mathbf{x}_i, K)$ for any $\mathbf{w}$ with $||\mathbf{w}|| < ||\mathbf{v}_i||$;*
(6) *for all i, M is not $\mathbf{v}_j$-periodic inside $\mathcal{B}(\mathbf{x}_i, K)$ for $j < i$.*

Proof. Let $(\mathbf{u}_i)$ be a sequence consisting of all vectors of $\mathbb{Z}^2 \setminus \{\mathbf{0}\}$, appearing only once, which is non-decreasing with respect to their norms. Let RB be the set of recurrent blocks of size K. Note that from Property **(P)** all elements of RB are $\mathbf{v}$-periodic for some $\mathbf{v}$ whose norm is less than $\sqrt{10CK}$. Let RB_0 be the set of recurrent blocks of size K having $\mathbf{u_0}$ as a local period. Of course, RB_0 can be empty. Let RB_1 be the subset of $RB \setminus RB_0$ whose blocks have the local period $\mathbf{u_1}$. Observe that these blocks do not have $\mathbf{u_0}$ as a local period. Continuing this way, we obtain finitely many non-empty subsets $RB_{i_1}, \ldots, RB_{i_k}$ of RB such that

(1) $RB = \cup_{n=1}^{k} RB_{i_n}$;
(2) the blocks of RB_{i_n} have $\mathbf{u}_{i_n}$ as a local period;
(3) for all $s \in \{1, \ldots, k\}$ and $j < i_s$, $\mathbf{u}_j$ is not a local period for blocks in RB_{i_s}.

Property **(P)** ensures that for all s, $||\mathbf{u}_{i_s}|| \le \sqrt{10CK}$. We set $\mathbf{v}_s = \mathbf{u}_{i_s}$. For all s, there exists $\mathbf{x}_s \in \mathbb{Z}^2$ such that M is $\mathbf{v}_s$-periodic inside $\mathcal{B}(\mathbf{x}_s, K)$. The choice of L allows us to suppose $||\mathbf{x}_s|| \ge L$. This concludes the proof. $\qquad\square$

Let $\ell = \max_{\mathbf{v} \in V} ||\mathbf{v}||$. Lemma 29 provides us with vectors $\mathbf{x}_1, \ldots, \mathbf{x}_k \in \mathbb{Z}^2$ and a set $V = \{\mathbf{v}_1, \ldots, \mathbf{v}_k\} \subset \mathbb{Z}^2$ fulfilling the hypothesis of Lemma 28. To get local periodicity it remains to show that $\sum_{\mathbf{v} \in V} ||\mathbf{v}|| < K$. Applying Lemma 28, we obtain

$$\sum_{\mathbf{v} \in V} ||\mathbf{v}||^2 \leq R_M(2K + \ell) \leq C(2K + \ell).$$

Using Property $(\mathbf{P})$, we deduce that $\ell \leq \sqrt{10CK} \leq K$. Hence

$$\sum_{\mathbf{v} \in V} ||\mathbf{v}||^2 \leq 3CK.$$

Let $\delta_n = \#\{\mathbf{v} \in V \mid ||\mathbf{v}|| = n\}$. Then, we have

$$\log(K)^2 \sum_{n=\lfloor \log(K) \rfloor}^{\lfloor \sqrt{10CK} \rfloor} \delta_n \leq \sum_{n=1}^{\lfloor \sqrt{10CK} \rfloor} \delta_n n^2 = \sum_{\mathbf{v} \in V} ||\mathbf{v}||^2 \leq 3CK.$$

Consequently, we get

$$\sum_{n=\lfloor \log(K) \rfloor}^{\lfloor \sqrt{10CK} \rfloor} \delta_n \leq \frac{3CK}{\log(K)^2}.$$

Hence, using Cauchy–Schwarz inequality, we deduce that

$$\sum_{n=\lfloor \log(K) \rfloor}^{\lfloor \sqrt{10CK} \rfloor} \delta_n n = \sum_{n=\lfloor \log(K) \rfloor}^{\lfloor \sqrt{10CK} \rfloor} \sqrt{\delta_n} \sqrt{\delta_n} n$$

$$\leq \left(\sum_{n=\lfloor \log(K) \rfloor}^{\lfloor \sqrt{10CK} \rfloor} \delta_n \right)^{1/2} \left(\sum_{n=\lfloor \log(K) \rfloor}^{\lfloor \sqrt{10CK} \rfloor} \delta_n n^2 \right)^{1/2} \leq \frac{3CK}{\log(K)}.$$

Using the fact that, for all n,

$$\delta_n \leq \#\{\mathbf{v} \in \mathbb{Z}^2 \mid ||\mathbf{v}|| = n\} = \# \bigcup_{i=-n}^{n} \{(i,n), (i,-n), (n,i), (-n,i)\} = 8n,$$

we deduce that

$$\sum_{\mathbf{v} \in V} ||\mathbf{v}|| = \sum_{n=1}^{\lfloor \sqrt{10CK} \rfloor} \delta_n n \leq \sum_{n=1}^{\lfloor \log(K) \rfloor - 1} \delta_n n + \frac{3CK}{\log(K)}$$

$$\leq 8 \sum_{n=1}^{\lfloor \log(K) \rfloor - 1} n^2 + \frac{3CK}{\log(K)} \leq 8 \log(K)^3 + \frac{3CK}{\log(K)} < K.$$

This concludes the proof for $d = 2$.

Observe that this kind of computation gives nothing in dimension $d \geq 3$ because, given some constants a, b, c one should find some sufficiently large K and a function $\alpha(K)$ such that $a + b\alpha(K)^{d+1} + \frac{cK^{d-1}}{\alpha(K)} < K$. This is clearly not possible. But,

maybe some of the above inequalities could be improved in order to have a direct proof for all $d \geq 3$. We leave this as an open question.

In fact, as we did not used the assumption that each section of M is definable, we prove more than what was expected. We have shown that the hypothesis $R_M(n) \in \mathcal{O}(n)$ implies the local periodicity of M. Thus, the definability of the sections is only needed to go from the local periodicity of M to the definability of M. We do not know whether it is true in higher dimensions. This is related to the previous remark.

5.3. **Proof of Theorem 2 in the general case.** It remains to prove that if M is a subset in $\mathbb{Z}^d$ such that $R_M(n) \in \mathcal{O}(n^{d-1})$ and every section is definable in $\langle \mathbb{Z}; <, + \rangle$, then it is definable in $\langle \mathbb{Z}; <, + \rangle$. Let us first recall some crucial results of Muchnik. For all $A \subset \mathbb{Z}^d$ and $\mathbf{v} \in \mathbb{Z}^d$, we define the *border of A in the direction $\mathbf{v}$* as

$$\mathbf{Bd}(A, \mathbf{v}) := \{\mathbf{x} \in A \mid \mathbf{x} + \mathbf{v} \notin A\}.$$

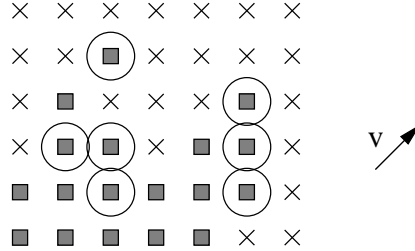In Figure 10, points in A are squares and points belonging to $\mathbf{Bd}(A, \mathbf{v})$ are inside

FIGURE 10. Illustration of the border of A in the direction $\mathbf{v}$.

circles.

The following two lemmata show that it is sufficient to prove that, for some $\mathbf{v}$, the set $\mathbf{Bd}(M, \mathbf{v})$ is locally periodic.

Lemma 30. [14, Lemma 1.0] *Let $A \subset \mathbb{Z}^d$ and $\mathbf{v} \in \mathbb{Z}^d$. If all sections of A are definable, then all sections of $\mathbf{Bd}(A, \mathbf{v})$ are definable.*

Lemma 31. [14, Lemma 1.2] *Let $A \subset \mathbb{Z}^d$ and $\mathbf{v} \in \mathbb{Z}^d$. The set A is definable in terms of $\mathbf{Bd}(A, \mathbf{v})$, $\mathbf{Bd}(A, -\mathbf{v})$ and a finite number of sections of A, i.e., A can be defined by a formula involving addition, order and unary predicates for the sets $\mathbf{Bd}(A, \mathbf{v})$, $\mathbf{Bd}(A, -\mathbf{v})$ and for a finite number of sections of A.*

The next lemma suggests to proceed by induction on the cardinality of the set of local periods to prove that $\mathbf{Bd}(M, \mathbf{v})$ is locally periodic.

Lemma 32. [14, Lemma 1.1] *Let $A \subset \mathbb{Z}^d$. Suppose there exist K, $L \geq 0$ and a finite set $V \subset \mathbb{Z}^d \setminus \{\mathbf{0}\}$ verifying that, for all $\mathbf{x} \in \mathbb{Z}^d$ with $||\mathbf{x}|| \geq L$, the set A is V-periodic inside $\mathcal{B}(\mathbf{x}, K)$. Then, for all $\mathbf{v} \in \mathbb{Z}^d$ and all $\mathbf{x} \in \mathbb{Z}^d$ with $||\mathbf{x}|| \geq L$, the set $\mathbf{Bd}(A, \mathbf{v})$ is $V \setminus \{\mathbf{v}, -\mathbf{v}\}$-periodic inside $\mathcal{B}(\mathbf{x}, K)$.*

To complete the proof of Theorem 2 we will proceed by induction on the cardinality of V.

We will say that a set $M \subseteq \mathbb{Z}^d$ satisfies Hyp(k) whenever :

(1) there exists $C > 0$ such that, for all large enough $n \in \mathbb{N}$, $R_M(n) \leq Cn^{d-1}$;

(2) all sections of M are definable;

(3) there exist K, $L \geq 0$ and $V \subset \mathbb{Z}^d \setminus \{\mathbf{0}\}$ verifying

    (a) $\forall \mathbf{x} \in \mathbb{Z}^d, ||\mathbf{x}|| \geq L, \exists \mathbf{v} \in V : M$ is $\mathbf{v}$-periodic inside $\mathcal{B}(\mathbf{x}, K)$,

    (b) $\forall \mathbf{v} \in V, ||\mathbf{v}|| \leq (2C)^{\frac{1}{d}}(5K)^{\frac{d-1}{d}} < K$, and,

    (c) $\#V = k$.

We note that, from Corollary 26, the subsets of $\mathbb{Z}^d$ such that $R_M(n) \in \mathcal{O}(n^{d-1})$ and having all its sections definable in $\langle \mathbb{Z}; <, + \rangle$, satisfy $\mathrm{Hyp}(k)$ for some k. Hence, to complete the proof of Theorem 2 it is sufficient to prove that the following assertion $P(k)$ is true for all k.

$$P(k): \quad \text{If } M \subseteq \mathbb{Z}^d \text{ satisfies } \mathrm{Hyp}(k), \text{ then it is definable.}$$

If M satisfies $\mathrm{Hyp}(1)$ then (3) corresponds to the local periodicity of M. Hence $P(1)$ is true.

Let $k \geq 1$ be such that $P(1), \ldots, P(k)$ are true. Let M be a subset of $\mathbb{Z}^d$ satisfying $\mathrm{Hyp}(k + 1)$. It remains to prove that M is definable. Let C, V, K and L be given by $\mathrm{Hyp}(k + 1)$ for M.

Lemma 33. *For all $\mathbf{v} \in \mathbb{Z}^d$ and $\epsilon > 0$ there exists n_0 such that if $n \geq n_0$, then $R_{\mathbf{Bd}(M,\mathbf{v})}(n) \leq (C + \epsilon)n^{d-1}$.*

Proof. It suffices to see that $R_{\mathbf{Bd}(M,\mathbf{v})}(n) \leq R_M(n + ||\mathbf{v}||)$ for all $n \in \mathbb{N}$. $\square$

Lemma 34. *For all $\mathbf{v} \in V$ the sets $\mathbf{Bd}(M, \mathbf{v})$ and $\mathbf{Bd}(M, -\mathbf{v})$ satisfy $\mathrm{Hyp}(k)$.*

Proof. Let $\mathbf{w} \in \{\mathbf{v}, -\mathbf{v}\}$. From Lemma 33 there exists $\epsilon > 0$ such that

$$(2(C + \epsilon))^{\frac{1}{d}}(5K)^{\frac{d-1}{d}} < K \text{ and } R_{\mathbf{Bd}(M,\mathbf{w})}(n) \leq (C + \epsilon)n^{d-1}$$

for all large enough n. From Lemma 30 all sections of $\mathbf{Bd}(M, \mathbf{w})$ are definable. We take for $\mathbf{Bd}(M, \mathbf{w})$ the constants K, L and the set V of M. With Lemma 32 we know that $\mathbf{Bd}(M, \mathbf{w})$ is $V \setminus \{\mathbf{v}, -\mathbf{v}\}$-periodic inside $\mathcal{B}(\mathbf{x}, K)$ for all $\mathbf{x}$ with $||\mathbf{x}|| \geq L$, and, thus satisfies $\mathrm{Hyp}(k)$. $\square$

We can now conclude the proof of Theorem 2. Let $\mathbf{v} \in V$. From Lemma 34, $\mathbf{Bd}(M, \mathbf{v})$ and $\mathbf{Bd}(M, -\mathbf{v})$ satisfy $\mathrm{Hyp}(k)$. BY induction hypothesis both $\mathbf{Bd}(M, \mathbf{v})$ and $\mathbf{Bd}(M, -\mathbf{v})$ are definable. Hence, by Lemma 31, M is definable.

We recall that for $d = 2$ we do not need the definability of the sections to deduce the local periodicity. Observe that for dimensions $d \geq 3$ it is not the case: We need the definability of the sections to show that the boarders are definable and then, using the induction process and the Muchnik criterion, to conclude the proof.

6. Related works

In this section we recall some well-known works on the relations between the block complexity and the periodicity for subsets of $\mathbb{Z}^d$ and $\mathbb{R}^d$. We end this section with some comments, related to these works, on our main result with the additional hypothesis of repetitiveness.

6.1. **Delone sets and repetitiveness (or recurrence).** Let us recall some terminology in [8]. Let X be a subset of $\mathbb{R}^d$. We say that X is a (r, R)-*Delone set* if it has the following two properties:

(1) *Uniform Discreteness.* Each open ball of radius r in $\mathbb{R}^d$ contains at most one point of X.
(2) *Relative density.* Each closed ball of radius R in $\mathbb{R}^d$ contains at least one point of X.

Observe that the subsets of $\mathbb{Z}^d$ are uniformly discrete for $r = 1/2$.

The *period lattice* of a Delone set X is the lattice of translation symmetries given by $\Lambda_X = \{\mathbf{p} \in \mathbb{R}^d | X + \mathbf{p} = X\}$. It is a free abelian group with rank between 0 and d. When the rank of Λ_X is equal to d, we say that X is an *ideal crystal*.

Let $B(\mathbf{x}, t)$ stands for the open ball centered in $\mathbf{x} \in \mathbb{R}^d$ of radius t. The set $\mathcal{P}_X(\mathbf{x}, t) = X \cap B(\mathbf{x}, t)$ is called a t-patch of X. In the sequel we will consider that two t-patches are equal when they are equal up to translation.

The Delone set X is *repetitive* if for each t there is $M(t) > 0$ such that every closed ball B of radius t contains all t-patches of X (up to translation). It is *linearly repetitive* if there exists a constant C such that $M(t) \leq Ct$ for all $t > 0$. We denote by $p_X(t)$ the number (possibly infinite) of different t-patches (up to translation).

6.2. **The Period Conjecture.** The *Period Conjecture* is stated in [8] for Delone sets in $\mathbb{R}^d$.

Period Conjecture. *For each integer $j = 1, \ldots, d$, there is a positive constant $c_j(r, R)$ such that any (r, R)-Delone set X of $\mathbb{R}^d$ satisfying*

$$p_X(t) < c_j(r, R)t^{d-j+1} \text{ for all } t > t_0(X),$$

for some $t_0(X)$, has j linearly independent periods.

Lagarias and Pleasants showed in [8] that this conjecture is true for $j = n$.

Theorem 35. *If a (r, R)-Delone set $X \subset \mathbb{R}^d$ has a single value t such that*

$$p_X(t) < \frac{t}{2R}$$

then X is an ideal crystal.

In [7] and [18] it is also proven for $j = 1$ and subsets of $\mathbb{Z}^2$ that are not necessarily Delone sets (see Theorem 38 below).

In [10], D. Lenz answers positively to a conjecture in [9] saying that with the extra hypothesis of linear repetitiveness the Period Conjecture is not far to be true for $j = 1$.

Theorem 36. *Every aperiodic linearly repetitive Delone set $X \subset \mathbb{R}^d$ satisfies*

$$\liminf_{t \to \infty} \frac{p_X(t)}{t^d} > 0.$$

6.3. **Nivat's Conjecture.** Let us recall the *Nivat's Conjecture* [15] stated in 1997 (see also [1]).

Conjecture 37 (M. Nivat). *Let M be a subset of $\mathbb{Z}^2$. If there exist $n_1, n_2 > 0$ such that the function p_M counting the number of distinct $(n_1 \times n_2)$-blocks occurring in M is such that $p_M(n_1, n_2) \leq n_1 n_2$, then M is periodic.*

Nivat's Conjecture cannot be an equivalence because the converse does not hold: there exists a periodic set M in $\mathbb{Z}^2$ such that $p_M(n_1, n_2) > n_1 n_2$, for all n_1, n_2, see [1, p. 49]. Moreover, it cannot be true for dimensions d strictly greater than 2 as shown in [19]. A weaker form of Nivat's Conjecture is the following. Let $\alpha < 1$. If there exist $n_1, n_2 > 0$ such such that $p_M(n_1, n_2) \leq \alpha n_1 n_2$, then M is periodic. It was proven for $\alpha = 1/144$ in [7] and improved for $\alpha = 1/16$ in [18]. This latter result is a consequence of the following one.

Theorem 38. [18] *Let M be a subset of $\mathbb{Z}^2$. If there exist $n_1, n_2 > 0$ such that for all $(2n_1 \times 2n_2)$-blocks B the function counting the maximum number of distinct $(n_1 \times n_2)$-blocks occurring in B is less or equal to $\frac{n_1 n_2}{16}$, then M is periodic.*

As explained in Section 6.2, this theorem is related to the Period Conjecture. For a survey on the relationships existing between periodicity and block complexity in $\mathbb{Z}^d$ we refer to [4] and [22]. We can also mention [20], see below.

6.4. **The Periodicity Principle.** Let us recall some terminology introduced in [19]. Let $S \in \{0,1\}^{\mathbb{Z}^d}$. For all finite subset B of $\mathbb{Z}^d$ we define the B-patterns of S to be the functions $S^{(\mathbf{v})} : B \to \{0,1\}$ defined by $S^{(\mathbf{v})}(\mathbf{x}) = S(\mathbf{x} + \mathbf{v})$, $\mathbf{v} \in \mathbb{Z}^d$. The set of all B-patterns is $P_S(B) = \{S^{(\mathbf{v})} | \mathbf{v} \in \mathbb{Z}^d\}$. When B is a cube of size n, then $P_S(B)$ is equal to the block complexity $p_S(n)$. In [19] the following conjecture is stated .

Periodicity Principle. *Let $S \in \{0,1\}^{\mathbb{Z}^d}$ and B be a finite subset of $\mathbb{Z}^d$. If $\#P_S(B) \leq \#B$, then S is periodic.*

It is shown in [19] that the Periodicity Principle is true in dimension 1 and turns to be false in higher dimensions without some additional assumptions. If the Nivat's Conjecture holds true, it could be considered as a *restricted* Periodicity Principle for rectangle blocks.

6.5. **Final comments.** First, one can show that for subsets M of $\mathbb{Z}^d$ having all its blocks of size n occurring infinitely many times, definability implies periodicity. Now let us give a corollary of our main result (Theorem 2) in the context of repetitive subsets of $\mathbb{Z}^d$, and then comment it relatively to the previously described works.

Theorem 39. *Let M be a repetitive subset of $\mathbb{Z}^d$. The following statements are equivalent:*

(1) *$R_M(n) \in \mathcal{O}(n^{d-1})$ and every section is definable in $\langle \mathbb{Z}; <, + \rangle$;*
(2) *for all $k \in \{1, \ldots, d-1\}$ every $(d-k)$-dimensional section of M has a recurrent block complexity in $\mathcal{O}(n^{d-k-1})$;*
(3) *M is an ideal crystal.*

Proof. It suffices to use Theorem 10 and to see that when a set is semi-linear and repetitive then it is a ideal crystal (the converse being also true). □

Observe that in the above result, assumptions (1) or (2) are much stronger than those of the Period Conjecture. Nevertheless we obtain a necessary and sufficient condition to be an ideal crystal in terms of block complexity. Moreover, for $j = 2$, the Period Conjecture expects only two linearly independent periods when we obtain an ideal crystal, that is d linearly independent periods.

Similar comments are also valid for the Periodicity Principle. Thus, we obtain a restricted Periodicity Principle as it holds for cubic blocks B. But we obtain a much stronger result than just a one dimensional periodicity conclusion.

A natural question is whether Theorem 39 remains true for any finite subset B in order to have a Periodicity Principle for repetitive sets. Another question is to ask whether the Periodicity Principle or the Period Conjecture are true for some well-known families of Delone sets or tilings like those of finite type, linearly repetitive, substitutive, ...

References

[1] V. Berthé and L. Vuillon. Tilings and rotations on the torus: a two-dimensional generalization of Sturmian sequences. *Discrete Math.*, 223:27–53, 2000.

[2] A. Bès. A survey of arithmetical definability. *Bull. Belg. Math. Soc. Simon Stevin*, suppl.:1–54, 2001. A tribute to Maurice Boffa.

[3] V. Bruyère, G. Hansel, C. Michaux, and R. Villemaire. Logic and p-recognizable sets of integers. *Bull. Belg. Math. Soc.*, 1:191–238, 1994. Corrigendum, *Bull. Belg. Math. Soc.* **1** (1994), 577.

[4] J. Cassaigne. Subword complexity and periodicity in two or more dimensions. In G. Rozenberg and W. Thomas, editors, *Developments in Language Theory 1999*, pages 14–21. World Scientific, 2000.

[5] A. Cobham. On the base-dependence of sets of numbers recognizable by finite automata. *Math. Systems Theory*, 3:186–192, 1969.

[6] H. B. Enderton. *A mathematical introduction to logic*. Academic Press, New York, 2001.

[7] C. Epifanio, M. Koskas, and F. Mignosi. On a conjecture on bidimensional words. *Theoret. Comput. Sci.*, 299(1-3):123–150, 2003.

[8] J. Lagarias and P. Pleasants. Local complexity of Delone sets and crystallinity. *Canad. Math. Bull.*, 45:634–652, 2002.

[9] J. Lagarias and P. Pleasants. Repetitive Delone sets and quasicrystals. *Ergod. Th. & Dynam. Sys.*, 23:831–867, 2003.

[10] D. Lenz. Aperiodic linearly repetitive Delone sets are densely repetitive. *Discrete Comput. Geom.*, 31:323–326, 2004.

[11] C. Michaux and R. Villemaire. Presburger arithmetic and recognizability of sets of natural numbers by automata: New proofs of Cobham's and Semenov's theorems. *Ann. Pure Appl. Logic*, 77:251–277, 1996.

[12] M. Morse and G. A. Hedlund. Symbolic dynamics. *Amer. J. Math.*, 60:815–866, 1938.

[13] M. Morse and G. A. Hedlund. Symbolic dynamics II. Sturmian trajectories, *Amer. J. Math.*, 62:1–42, 1940.

[14] A. A. Muchnik. The definable criterion for definability in Presburger arithmetic and its applications. *Theoret. Comput. Sci.*, 290(3):1433–1444, 2003.

[15] M. Nivat. Invited talk at ICALP, Bologna, 1997.

[16] M. Presburger. Über die volständigkeit eines gewissen systems der arithmetik ganzer zahlen, in welchem die addition als einzige operation hervortritt. *C. R. Premier congrès des Mathématiciens des pays slaves, Varsovie*, pages 92–101, 1929.

[17] M. Presburger. On the completeness of a certain system of arithmetic of whole numbers in which addition occurs as the only operation. *Hist. Philos. Logic*, 12:225–233, 1991.

[18] A. Quas and L. Zamboni. Periodicity and local complexity. *Theoret. Comput. Sci.*, 319:229–240, 2004.

[19] J. W. Sander and R. Tijdeman. The complexity of functions on lattices. *Theoret. Comput. Sci.*, 246:195–225, 2000.

[20] J. W. Sander and R. Tijdeman. The rectangle complexity of functions on two-dimensional lattices. *Theoret. Comput. Sci.*, 270:857–863, 2002.

[21] A. L. Semenov. The Presburger nature of predicates that are regular in two number systems. *Sibirsk. Mat. Z.*, 18(2):403–418, 479, 1977. In Russian. English translation in *Siberian J. Math.* **18** (1977), 289–300.

[22] R. Tijdeman. Periodicity and almost-periodicity. In *More sets, graphs and numbers*, volume 15 of *Bolyai Soc. Math. Stud.*, pages 381–405. Springer, Berlin, 2006.

(F.D.)
Université de Picardie Jules Verne
Laboratoire Amiénois de Mathématiques Fondamentales et Appliquées
UMR 6140 CNRS UPJV
33 rue Saint Leu
80039 Amiens Cedex 01
France.
E-mail address: fabien.durand@u-picardie.fr

(M.R.)
Université de Liège
Institut de Mathématique
Grande traverse 12 (B 37)
B-4000 Liège
Belgium.
E-mail address: M.Rigo@ulg.ac.be