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(54) **In-situ polymerization of monoethylenically unsaturated monomers with secondary amines**

(57) A process for the preparation of any of well-defined homopolymers, random and block copolymers is disclosed. The process that entails forming a mixture of monomers, a hindered secondary amine and an oxidiz-

ing agent is characterized in the absence of any additional free-radical initiator.

**Description**FIELD OF THE INVENTION

5 **[0001]** The present invention relates to a polymerization process and more particularly to a process entailing no additional free-radical initiator.

SUMMARY OF THE INVENTION

10 **[0002]** A process for the preparation of any of well-defined homopolymers, random and block copolymers is disclosed. The process that entails forming a mixture of monomers, a hindered secondary amine and an oxidizing agent is characterized in the absence of any additional free-radical initiator.

BACKGROUND OF THE INVENTION

15 **[0003]** Today, the demand for homopolymers, random copolymers and block copolymers of a specific molecular weight, narrow molecular weight distribution and/or well-defined end groups has continuously increased in a number of industries. The controlled structure of these macromolecules provides them with novel properties and allows a tailor-made property profile to be obtained. Many new technologies require controlled polymer structures such as for instance in the fields of electronics, computer science, communications, genetic engineering, biotechnology and materials science.

20 **[0004]** Many polymers are commercially produced by free radical polymerization due to the far less demanding conditions, i.e. the possible use of water as solvent, the far broader temperature range which can be employed as well as the broader range of monomers which can be polymerized. Moreover, radical copolymerization offers many opportunities for modifying the polymer properties. The neutrality of the radical species is however responsible for irreversible transfer and termination reactions, which are responsible for the poor control of the macromolecular structures including degree of polymerization, polymolecularity, end functionality and chain architecture.

25 **[0005]** On the other hand, controlled radical polymerization (CRP) is a powerful tool for controlling finely the molecular characteristics of the chains ( $M_n$ ,  $M_w/M_n$ ) and their macromolecular architecture. For example, well-defined block copolymers can be synthesized by the sequential addition of comonomers and polymers with terminal functional groups can be made available by the judicious choice of either the initiator ( $\alpha$ -chain-end) or the deactivating agent ( $\omega$ -chain-end).

30 **[0006]** Of all the CRP systems presently under investigation, nitroxyl-mediated polymerization (NMP) is one of the most efficient. This process is based on the reversible capture of the propagating radicals by nitroxyl radicals to form dormant chains. This approach is for example disclosed in US-A 4,581,429. Nevertheless, this NMP process is handicapped by slow polymerization kinetics, a limited range of suitable monomers and the high cost of the required nitroxyl radicals.

35 **[0007]** Quite recently, some of these NMP problems have been solved. Both the acceleration of the rate of polymerization and the broadening of the range of monomers to be polymerized have been reported by Hawker et al. (*J. Am. Chem. Soc.* 1999, 121, 3904) and for example in WO-A 96/24620. Reduced polymerization temperatures have been reported by Miura et al. (*Macromolecules* 2001, 34, 447) by using nitroxyl radicals with spiro structures.

40 **[0008]** Although these improved NMP processes represent attractive methods for obtaining new polymer structures, they still require the use of not readily available and complicated nitroxyl radicals and/or alkoxyamines, which considerably increase the total cost of a technical process. Consequently, there is still a need for more simple NMP processes able for polymerizing a broad range of monomers.

45 **[0009]** WO-A 99/03894 and US-A 6,262,206 disclose the use of nitrones and nitroso compounds to control the radical polymerization of vinyl monomers. When these compounds were added to the radical polymerization of vinyl monomers, nitroxyl radicals were formed in-situ by reaction of the initiating radicals or propagating chains with the nitrones or nitroso compounds. The polymerization was thus controlled by an NMP mechanism.

50 **[0010]** The use of nitrones and nitroso compounds for promoting the free radical polymerization of vinyl monomers controlled by an in situ NMP process has also been reported for example by D. F. Grishin et al., *Polymer Science, Ser. A*, 1999, 41(4), 401; D. F. Grishin et al., *Polymer Science, Ser. B*, 2000 42(7-8), 189; D. F. Grishin et al., *Russian Journal of Applied Chemistry* 2001, 74(3), 494; D.F. Grishin et al., *Mendeleev Commun.* 1999, 250; D. F. Grishin et al., *Russian Journal of Applied Chemistry* 2001, 74(9), 1594.

55 **[0011]** More recently, the controlled radical polymerization of styrene mediated by nitroso-tert-octane was reported by J.M. Catala et al., *Macromolecules* 2001, 34, 8654.

**[0012]** These in-situ processes using nitroso compounds or nitrones allow the avoidance of the tedious synthesis of the nitroxyl radicals. Nevertheless, these methods require the use of preformed reagents which may be toxic (especially

in case of nitroso compounds), and most of them are still not readily available and have to be synthesized by special methods.

**[0013]** US-A 6,320,007 and JP-A 08208714 describe the manufacture of thermoplastic polymers having narrow molecular weight distribution using an in situ NMP process, in which the stable nitroxyl radical is formed from a precursor substance in a reactor. The polymerization process occurs in two steps: firstly the nitroxyl radicals are formed from the precursor (secondary amine) and secondly, the nitroxyl radical is added to the polymerization of the vinyl monomer in order to form a thermoplastic polymer characterized by a narrow molecular weight distribution. In the two examples, 2,2,6,6-tetramethylpiperidine (TMP) is used as precursor for the nitroxyl radical, when combined with m-chloroperbenzoic acid or a mixture of hydrogen peroxide and sodium tungstate as the oxidizing agent. Drawbacks of these processes are the long reaction times to form the nitroxyl radical prior to polymerization and the use of free-radical initiators (such as benzoyl peroxide for instance) to initiate the polymerization, which makes a preliminary reaction between the monomer, the initiator and the nitroxyl radical necessary before polymerization. This is associated with an increase in the cost of the process.

**[0014]** The object of the present invention was to provide a new pathway for the synthesis of homo- and copolymers of controlled molecular weight and controlled molecular structure. Such a process should be a simple and inexpensive method of controlling the free-radical polymerization of vinyl monomers that overcomes the drawbacks encountered in the prior art.

#### DETAILED DESCRIPTION OF THE INVENTION

**[0015]** Surprisingly, it has now been found that it is possible to provide a process for the preparation of well-defined (co)polymers of controlled molecular weight, narrow polydispersity, high monomer conversion and controlled architecture, at relatively low temperatures and with short reaction times, if the polymerization of vinyl monomers is carried out in the presence of a secondary amine and an oxidizing agent. The addition of a free radical initiator before polymerization is unnecessary. Moreover, no preliminary reaction between the secondary amine and the oxidizing agent is required prior to the addition of the monomer(s), and the polymerization medium can be quite rapidly heated at the polymerization temperature without any preliminary reaction of the products.

**[0016]** The object of the present invention is a process for producing of oligomers, cooligomers, polymers or block or random copolymers comprising

(I) forming a mixture that includes

a) at least one monoethylenically unsaturated monomer of the general formula (M),

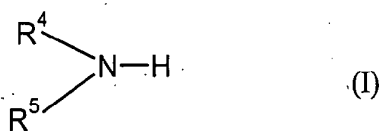


wherein

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> are independently selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>20</sub>-alkyl, C<sub>1</sub>-C<sub>20</sub>-cycloalkyl, C<sub>6</sub>-C<sub>24</sub>-aryl, halogen, cyano, C<sub>1</sub>-C<sub>20</sub>-alkylester, C<sub>1</sub>-C<sub>20</sub>-cycloalkylester, C<sub>1</sub>-C<sub>20</sub>-alkylamide, C<sub>1</sub>-C<sub>20</sub>-cycloalkylamide, C<sub>6</sub>-C<sub>24</sub>-arylester or C<sub>6</sub>-C<sub>24</sub>-arylamide,

b) at least one oxidizing agent (A) and

c) at least one secondary amine of the general formula (I),



wherein

## EP 1 428 839 A1

R<sup>4</sup> and R<sup>5</sup> are independently selected from the group consisting of C<sub>1</sub>-C<sub>18</sub>-alkyl, C<sub>2</sub>-C<sub>18</sub>-alkenyl, C<sub>2</sub>-C<sub>18</sub>-alkynyl, C<sub>3</sub>-C<sub>12</sub>-cycloalkyl or C<sub>3</sub>-C<sub>12</sub>-heterocycloalkyl; C<sub>6</sub>-C<sub>24</sub>-aryl, which are unsubstituted or substituted by NO<sub>2</sub>, halogen, amino, hydroxy, cyano, carboxy, ester, ketone, C<sub>1</sub>-C<sub>4</sub>-alkoxy, C<sub>1</sub>-C<sub>4</sub>-alkylthio, C<sub>1</sub>-C<sub>4</sub>-alkylamino; and C<sub>4</sub>-C<sub>12</sub>-alkanol; or

R<sup>4</sup> and R<sup>5</sup> optionally form, together with the intermediate nitrogen atom linking them a C<sub>2</sub>-C<sub>13</sub>-heterocycloalkyl residue containing oxygen, sulfur or nitrogen atoms; or

R<sup>4</sup> and R<sup>5</sup> together form a residue of a polycyclic ring system or a polycyclic heterocycloaliphatic ring system containing oxygen, sulfur or nitrogen atoms;

wherein the carbon atom of the R<sup>4</sup> and R<sup>5</sup> radicals directly adjacent to the nitrogen atom is in each case substituted by 2 or 3 further organic substituents;

wherein optionally at least one of the residues R<sup>4</sup> and R<sup>5</sup> contain a functional group Y which is capable of further reacting or crosslinking with functional groups known in the coatings field, and is for example hydroxyl, carboxylate, or amino groups. Preferably Y is a hydroxyl group.

(II) heating the mixture to a temperature of about 0 to 220°C.

**[0017]** Monoethylenically unsaturated monomers (M) suitable for the present invention include the alkyl esters of acrylic or methacrylic acids; such as methyl acrylate, ethyl acrylate, butyl acrylate, methyl methacrylate, ethyl methacrylate, butyl methacrylate and isobutyl methacrylate; the hydroxyalkyl esters of acrylic or methacrylic acids, such as hydroxyethyl acrylate, hydroxypropyl acrylate, hydroxyethyl methacrylate and hydroxypropyl methacrylate; acrylamide, methacrylamide, N-tertiary butylacrylamide, N-methylacrylamide, N,N-dimethylacrylamide; acrylonitrile, methacrylonitrile, allyl alcohol, dimethylaminoethyl acrylate, dimethylaminoethyl methacrylate, phosphoethyl methacrylate, N-vinylpyrrolidone, N-vinylformamide, N-vinylimidazole, vinyl acetate, conjugated dienes such as butadiene or isoprene, styrene, styrenesulfonic acid salts, vinylsulfonic acid salts and 2-acrylamido-2-methylpropane-sulfonic acid salts and acryloyl. Suitable monomers may be water-soluble or water-insoluble.

**[0018]** Examples of comonomers suitable for use in the present invention are C<sub>3</sub>-C<sub>6</sub>-ethylenically unsaturated monocarboxylic acids as well as the alkali metal salts and ammonium salts thereof. The C<sub>3</sub>-C<sub>6</sub>-ethylenically unsaturated monocarboxylic acids include acrylic acid, methacrylic acid, crotonic acid, vinylacetic acid and acryloxypropionic acid. Acrylic acid and methacrylic acid are the preferred monoethylenically unsaturated monocarboxylic acid monomers.

**[0019]** Examples of C<sub>8</sub>-C<sub>16</sub>-ethylenically unsaturated phenolic compounds which may also be used as comonomers are 4-hydroxystyrene, 4-hydroxy,  $\alpha$ -methyl styrene, 2,6-ditert-butyl and 4-vinyl phenol.

**[0020]** Another class of carboxylic acid monomers suitable for use as comonomers in this invention are C<sub>4</sub>-C<sub>6</sub>-ethylenically unsaturated dicarboxylic acids and the alkali metal and ammonium salts thereof as well as the anhydrides of cis-dicarboxylic acids. Examples include maleic acid, maleic anhydride, itaconic acid, mesaconic acid, fumaric acid and citraconic acid. Maleic anhydride and itaconic acid are the preferred monoethylenically unsaturated dicarboxylic acid monomers.

**[0021]** The acid monomers suitable for use in the present invention may be in the form of their acids or in the form of the alkali metal salts or ammonium salts of the acid.

**[0022]** Preferred comonomers are selected from the group consisting of (meth)acrylic acid esters of C<sub>1</sub>-C<sub>20</sub>-alcohols, acrylonitrile, cyanoacrylic acid esters of C<sub>1</sub>-C<sub>20</sub>-alcohols, maleic acid diesters of C<sub>1</sub>-C<sub>6</sub>-alcohols, maleic anhydride, vinylpyridines, vinyl(alkylpyrroles), vinyloxazoles, vinyloxazolines, vinylthiazoles, vinylimidazoles, vinylpyrimidines, vinyl ketones, conjugated dienes, acrolein, styrene or styrene derivatives which contain a C<sub>1</sub>-C<sub>6</sub>-alkyl radical or halogen in the  $\alpha$ -position and contain up to 3 additional substituents on the aromatic ring.

**[0023]** Particularly preferred monomers are styrene, substituted styrene, vinyl acetate, acrylonitrile, methyl acrylate, butyl acrylate, 2-ethylhexyl acrylate and maleic anhydride.

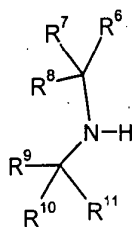
**[0024]** Suitable oxidizing agents (A) are all oxidizing agents known in the art for the oxidation of secondary amines into nitroxyl radicals. Preferred oxidizing agents are peracids such as peracetic acid, perpropionic acid, m-chloroperbenzoic acid, dimethyldioxirane, perbenzoic acid, or peroxides such as potassium peroxymonosulfate (2 KHSO<sub>5</sub>•KHSO<sub>4</sub>•K<sub>2</sub>SO<sub>4</sub>, Oxone®, DuPont Specialty Chemistry, USA), hydrogen peroxide, hydrogen peroxide/sodium tungstate, hydrogen peroxides/titanium containing catalysts, such as for example titanium dioxide and titanium silicalites (EP-A 0 488 403, page 5), dibenzoyl peroxide phosphotungstic acid and oxidizing gases such as molecular oxygen or ozone.

**[0025]** Metal oxides such as silver oxide, lead (IV) oxide and sodium tungstate may also be used, optionally in combination with another oxidizing agent. A mixture of various oxidizing agents may also be used.

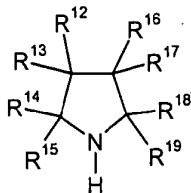
**[0026]** Particularly preferred are peracetic acid, perpropionic acid, hydrogen peroxide, hydrogen peroxide/titanium

containing catalysts, potassium peroxymonosulfate ( $2 \text{KHSO}_5 \cdot \text{KHSO}_4 \cdot \text{K}_2\text{SO}_4$ ), dibenzoyl peroxide, silver oxide and lead (IV) oxide.

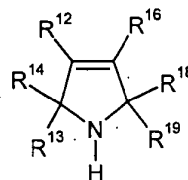
[0027] Suitable sterically hindered secondary amines of the general formula (I), include those of the following formulae (II) to (VIII):



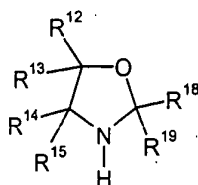
(II)



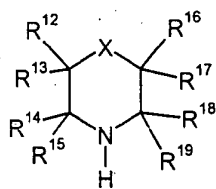
(III)



(IV)



(V)



(VI)

wherein

each of  $R^6, R^7, R^8, R^9, R^{10}, R^{11}, R^{12}, R^{13}, R^{14}, R^{15}, R^{16}, R^{17}, R^{18}, R^{19}$

independently one of the others is a member selected from the group consisting of hydrogen, halogen or cyano-, amide-, ether-, ester-, thioether-, ketone-, amide-, carbonyl-, amidine- or dialkylphosphonyl-containing groups; or

$R^6$  to  $R^{19}$

are each independently is a member selected from the group consisting of  $C_1$ - $C_{18}$ -alkyl,  $C_2$ - $C_{18}$ -alkenyl,  $C_2$ - $C_{18}$ -alkynyl,  $C_3$ - $C_{12}$ -cycloalkyl or  $C_3$ - $C_{12}$ -heterocycloalkyl,  $C_6$ - $C_{24}$ -aryl, which are unsubstituted or substituted by  $\text{NO}_2$ , halogen, amino, hydroxy, cyano, carboxy, ester,  $C_1$ - $C_4$ -alkoxy,  $C_1$ - $C_4$ -alkylthio,  $C_1$ - $C_4$ -alkylamino; or

$R^6$  to  $R^{19}$

form, together with the intermediate carbon atom linking them, a  $C_3$ - $C_{12}$ -cycloalkyl residue, a  $C_4$ - $C_{12}$ -alcohol residue or a  $C_2$ - $C_{13}$ -hetero-cycloalkyl residue containing oxygen, sulfur or nitrogen atoms; or

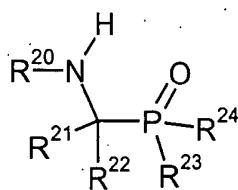
$R^6$  to  $R^{19}$

together form a residue of a polycyclic ring system or a polycyclic heterocycloaliphatic ring system containing oxygen, sulfur or nitrogen atoms; wherein optionally at least one of the residues  $R^6$  to  $R^{19}$  contains a functional group Y which is capable of reacting further or of crosslinking with functional groups known in the coatings field and is for example hydroxyl, carboxylate or amino groups. Preferably Y is a hydroxyl group.

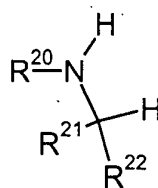
X

represents a methylene, ketone, ester group or oxygen atom, or a hydrocarbon residue, which may be substituted by a cyano, ester, hydroxy, nitro, ether or imido group.

[0028] Other useful secondary amines include those of the following formulae (VII) and (VIII):



(VII)



(VIII)

wherein

R<sup>20</sup> is a member selected from the group consisting of C<sub>1</sub>-C<sub>18</sub>-alkyl, C<sub>2</sub>-C<sub>18</sub>-alkenyl, C<sub>2</sub>-C<sub>18</sub>-alkynyl, C<sub>3</sub>-C<sub>12</sub>-cycloalkyl or C<sub>3</sub>-C<sub>12</sub>-heterocycloalkyl, C<sub>6</sub>-C<sub>24</sub>aryl, which are unsubstituted or substituted by NO<sub>2</sub>, halogen, amino, hydroxy, cyano, carboxy, C<sub>1</sub>-C<sub>4</sub>-alkoxy, C<sub>1</sub>-C<sub>4</sub>-alkylthio and C<sub>1</sub>-C<sub>4</sub>-alkylamino;

R<sup>20</sup> optionally contains a functional group Y which is capable of reacting further or of crosslinking with the functional groups known from the coatings field; and is for example hydroxyl, carboxylate, or amino groups. Preferably, Y is a hydroxyl group.

R<sup>21</sup> and R<sup>22</sup> independently one of the other is a member selected from the group consisting of hydrogen, halogen and cyano-, amide-, ether-, ester-, thioether-, ketone-, amide-, carbonyl-, amidine- or alkylphosphonyl-containing groups; preferably

R<sup>21</sup> and R<sup>22</sup> independently one of the other is a member selected from the group consisting of C<sub>1</sub>-C<sub>18</sub>-alkyl, C<sub>2</sub>-C<sub>18</sub>-alkenyl, C<sub>2</sub>-C<sub>18</sub>-alkynyl, C<sub>3</sub>-C<sub>12</sub>-cycloalkyl or C<sub>3</sub>-C<sub>12</sub>-heterocycloalkyl, C<sub>6</sub>-C<sub>24</sub>-aryl, which are unsubstituted or substituted by NO<sub>2</sub>, halogen, amino, hydroxy, cyano, carboxy, C<sub>1</sub>-C<sub>4</sub>-alkoxy, C<sub>1</sub>-C<sub>4</sub>-alkylthio and C<sub>1</sub>-C<sub>4</sub>-alkylamino; or

R<sup>21</sup> and R<sup>22</sup> optionally form, together with the carbon atom linking them, a C<sub>3</sub>-C<sub>12</sub>-cycloalkyl residue, a C<sub>4</sub>-C<sub>12</sub>-alkanol residue or a C<sub>2</sub>-C<sub>13</sub>-heterocycloalkyl residue containing oxygen, sulfur or nitrogen atoms; or

R<sup>21</sup> and R<sup>22</sup> together with the carbon atom linking them, form a polycyclic ring system or a polycyclic heterocycloaliphatic ring system containing oxygen, sulfur or nitrogen atoms; wherein R<sup>23</sup> and R<sup>24</sup> optionally form, together with the intermediate phosphorus atom linking them, a C<sub>2</sub>-C<sub>13</sub>-heterocycloalkyl residue containing oxygen, sulfur or nitrogen atoms; wherein optionally at least one of the residues R<sup>20</sup> to R<sup>24</sup> contains a functional group Y which is capable of further reacting or of crosslinking with functional groups known from the coatings field;

R<sup>23</sup>, R<sup>24</sup> independently one of the other is a member selected from the group consisting of C<sub>1</sub>-C<sub>18</sub>-alkyl, C<sub>2</sub>-C<sub>18</sub>-alkenyl, C<sub>2</sub>-C<sub>18</sub>-alkynyl, C<sub>3</sub>-C<sub>12</sub>-cycloalkyl or C<sub>3</sub>-C<sub>12</sub>-heterocycloalkyl or C<sub>6</sub>-C<sub>24</sub>-aryl, which are unsubstituted or substituted by NO<sub>2</sub>, halogen, amino, hydroxy, cyano, carboxy, C<sub>1</sub>-C<sub>4</sub>-alkoxy, C<sub>1</sub>-C<sub>4</sub>-alkylthio, C<sub>1</sub>-C<sub>4</sub>-alkylamino or a C<sub>4</sub>-C<sub>12</sub>-alkanol,

wherein R<sup>23</sup> and R<sup>24</sup> form, together with the intermediate phosphorus atom, a C<sub>3</sub>-C<sub>12</sub>-cycloalkyl residue, a C<sub>4</sub>-C<sub>12</sub>-alkanol residue or a C<sub>2</sub>-C<sub>13</sub>-heterocycloalkyl residue containing oxygen, sulfur or nitrogen atoms;

wherein at least one of the residues R<sup>23</sup> to R<sup>24</sup> optionally contains a functional group Y which is capable of further reacting or of crosslinking with functional groups known from the coatings field, and is for example hydroxyl, carboxylate, or amino groups. Preferably, Y is a hydroxyl group.

[0029] Preferred secondary amines of the general formula (I) are tert-butyl amine; 2,2,6,6-tetramethylpiperidine; 4-hydroxy-2,2,6,6-tetramethylpiperidine; 2,2,6,6-tetra-methyl-4-piperidinone; 2,2,6,6-tetramethyl-4-piperidinyl acetate; 2,2,6,6-tetra-methyl-4-piperidinyl stearate; 2,2,6,6-tetramethyl-4-piperidinyl benzoate; 2,6-dimethyl-2,6-diethyl-

piperidine; diethyl 1-(tert-butylamino)-2,2-dimethylpropyl-phosphonate; dipropyl 1-(tert-butylamino)-2,2-dimethylpropylphosphonate; dibutyl 1-(tert-butylamino)-2,2-dimethylpropylphosphonate; N-(tert-butyl)-1-(diethyl-phosphoryl)-2,2-dimethyl-1-propylamine; N-(tert-butyl)-1-(dipropylphosphoryl)-2,2-dimethyl-1-propylamine; N-(tert-butyl)-2-methyl-1-phenyl-1-propylamine; 2,2,4,6,6-pentamethyl-1,2,5,6-tetrahydropyrimidine; N-[(3E)-2,2-diphenyl-1,2-dihydro-3H-indol-3-ylidene]-N-phenylamine; 2,6-diethyl-2,3,6-trimethyl-4-piperidinone; 2,6-diethyl-2,3,6-trimethyl-4-piperidinol; 14-oxa-7-azadispiro[5.1.5.2]pentadecane; 2,2,4,4-tetramethyl-1,3-oxazolidine; 2,2,5,5-tetramethyl-1-pyrrolidine; 3-carboxy-2,2,5,5-tetramethyl-1-pyrrolidine; 2,5-diphenyl-2,5-dimethylpyrrolidine; 3-carboxy-2,5-diphenyl-2,5-dimethylpyrrolidine; 1,1,3,3-tetraethylisindoline; 1,1,3,3-tetramethylisindoline; 1,1,3,3-tetrapropylisindoline.

**[0030]** Particularly preferred secondary amines of the general formula (I) are tert-butyl amine; 2,2,6,6-tetramethylpiperidine; 4-hydroxy-2,2,6,6-tetramethylpiperidine; 2,2,6,6-tetramethyl-4-piperidinone; 2,2,6,6-tetramethyl-4-piperidinyl acetate; diethyl 1-(tert-butylamino)-2,2-dimethylpropyl phosphonate; dipropyl 1-(tert-butylamino)-2,2-dimethylpropyl phosphonate; dibutyl 1-(tert-butylamino)-2,2-dimethylpropyl phosphonate; 2,6-diethyl-2,3,6-trimethyl-4-piperidinone; 2,6-diethyl-2,3,6-trimethyl-4-piperidinol; 2,2,5,5-tetramethyl-1-pyrrolidine and 1,1,3,3-tetramethylisindoline.

**[0031]** Polyfunctional amines may also be used as compounds of the formula (I). In the context of the present invention polyfunctional amines are compounds which have more than one secondary amino group. These properties are particularly recommended in the instances where low viscosity of the polymer is required during processing.

**[0032]** Some examples of suitable polyfunctional amines are bis(2,2,6,6-tetramethylpiperidine) sebacate; bis(2,2,6,6-tetramethylpiperidine) succinate; bis(2,2,6,6-tetramethylpiperidine) adipate; bis(2,2,6,6-tetramethylpiperidine) phthalate; bis(2,2,6,6-tetramethylpiperidine) isophthalate; bis(2,2,6,6-tetramethylpiperidine) terephthalate; or polymeric multifunctional amines such as poly((6-((1,1,3,3-tetramethylbutyl)amino)-1,3,5-triazine-2,4-diyl)((2,2,6,6-tetramethyl-4-piperidinyl)imino)-1,6-hexanediyl-((2,2,6,6-tetramethyl-4-piperidinyl)imino)) (CHIMASSORB® 944, Ciba Specialty Chemicals, D-Lampertheim).

**[0033]** One method of carrying out the invention is that in the first step at least one secondary amine of the general formula (I), at least one oxidizing agent (A) and at least one vinyl monomer (M) are mixed together. The temperature of the reaction may range from about -20°C to about 150°C, preferably from about 0°C to about 100°C and more preferably from about 0°C to about 50°C. The reaction time may range from about 1 minute to about 72 h, preferably from about 5 minutes to about 24 h, and more preferably from about 15 minutes to about 12 h. The first step of the process of the present invention can be carried out in air or in an inert gas atmosphere such as nitrogen or argon. The secondary amine and oxidizing agent are introduced in a quantity ranging from about 30 wt.% to about 0,01 wt.%, preferably from about 10 wt.% to about 0,05 wt.% and more preferably from about 5 wt.% to about 0,06 wt.%, based on the weight of the monomer(s).

**[0034]** In the second step of the process, polymerization occurs by heating the mixture of the first step at a temperature ranging from about 0°C to about 220°C, preferably from about 50°C to about 180°C and most preferably from about 70°C to about 150°C. The second step of the process of the present invention is generally carried out in an inert gas atmosphere such as nitrogen or argon. The reaction time may range from about 10 minutes to about 72 h, preferably from about 30 minutes to about 32 h, and more preferably from about 1 h to about 24 h.

**[0035]** Another method of carrying out the invention is to heat a mixture of at least one secondary amine of the general formula (I), at least one oxidizing agent (A) and at least one vinyl monomer (M) in a single step. The temperature ranges from about 0°C to about 220°C, preferably from about 50°C to about 180°C and most preferably from about 70°C to about 150°C. Polymerization is generally carried out in an inert gas atmosphere such as nitrogen or argon. The reaction time ranges from about 10 minutes to about 72 h, preferably from about 30 minutes to about 32 h and more preferably from about 1h to about 24 h.

**[0036]** In the process according to the invention it is preferred to use as few solvents as possible. If organic solvents are required, suitable solvents or mixtures of solvents are typically pure alkanes, such as hexane, heptane or cycloalkane, hydrocarbons, such as toluene, ethylbenzene or xylene, halogenated hydrocarbons, such as chlorobenzene, esters, such as ethyl acetate, propyl, butyl or hexyl acetate, ethers, such as diethyl ether, dibutyl ether or ethylene glycol dimethyl ether, alcohols, such as methanol, ethanol, ethylene glycol, monomethyl ether, ketones, amides, sulfoxides or mixtures thereof. Water may also be used in the process according to the present invention.

**[0037]** The type of polymerization used may be bulk, solution, emulsion, dispersion or suspension polymerization and it may be carried out batchwise, semi-batchwise and continuously.

**[0038]** Optionally, additives may be added to the polymerization medium before the polymerization or during the polymerization process in order to accelerate the polymerization. Such additives are well-known in the art and are for example camphorsulfonic acid, 2-fluoro-1-methylpyridinium p-toluenesulfonate, acylating compounds such as acetic anhydride (Tetrahedron 1997, 53(45), 15225), glucose, dextrose (Macromolecules 1998, 31, 7559), ascorbic acid (Macromolecules 2001, 34, 6531) or long-life radical initiators as reported in US-A 6,288,186 (column 4, lines 8-24).

**[0039]** The polymers prepared according to the present invention show a low polydispersity ( $M_w/M_n$ ) which is usually lower than 2 and preferably below 1.5.

**[0040]** The (co)polymers of the present invention may have a number average molecular weight of from 500 to  $2 \cdot 10^6$ ,

preferably from 2000 to  $5 \cdot 10^5$  and more preferably from 2000 to  $2.5 \cdot 10^5$ .

[0041] The number average molecular weight of the polymer chains increases linearly with the monomer conversion, which allows a tailor-made polymer molecular weight to be obtained. Furthermore, the molecular weight of the polymers may be controlled by varying the amount of secondary amine(s) and/or oxidizing agent(s) in relation to the amount of monomers. High molecular weight polymers may be formed.

[0042] A further advantage of the present invention is that, after the removal of the non-polymerized monomers from the (co)polymers or after reaching a conversion rate of approximately 100%, a second polymerization step may be initiated simply by adding to the polymer synthesized in the first step a portion of fresh vinyl monomer or monomer mixture that may be different from the vinyl monomer or monomer mixture used in the first polymerization step. The polymerization of the vinyl monomer or monomer mixture added in the second step is then initiated by the polymer chains synthesized in the first polymerization step and di-block copolymers may for example be produced if the polymer chains synthesized in the first polymerization step consist of linear chains with one single growing chain end. The molecular weight and polydispersity of each block may be controlled independently during the respective polymerization step. This process may be repeated several times and may then provide multiblock copolymers of controlled molecular weight and molecular weight distribution for each block.

[0043] The resulting polymers are usually colorless and may be used in most cases without any further purification for the production of coatings, elastomers, adhesives, plastics and compatibilizers in thermoplastic blends.

[0044] The following examples illustrate the invention in more detail.

## EXAMPLES

[0045] The molecular weight was determined by gel permeation chromatography (GPC) using a Shodex RI 74 differential refractometer. A flow rate of 1 ml/min was used and samples were prepared in THF. Polystyrene standards were used for calibration.

### Example 1

Polymerization of styrene/acrylonitrile in the presence of 2,2,6,6-tetramethylpiperidine and peracetic acid, *without any additional free-radical initiator*.

[0046] To a 250 ml four-necked round bottomed flask fitted with a mechanical stirrer, a reflux condenser, a thermometer and a funnel is added 1.455 g peracetic acid (Aldrich, 35 wt.%;  $0.67 \cdot 10^{-2}$  mol). Then, a mixture of 0.955 g 2,2,6,6-tetramethylpiperidine (Aldrich, 99%;  $6.75 \cdot 10^{-3}$  mol), 112.5 g styrene (1.08 mol) and 37.5 g acrylonitrile (0.707 mol) is rapidly added via the funnel. The mixture is stirred and degassed by bubbling through argon for 10 minutes. The reaction medium rapidly becomes pink due to the formation of 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO). After 30 minutes at room temperature, the mixture is heated at reflux for 33 h. Samples are extracted from the reaction flask after 24 and 33 h and dried in vacuo at 70°C for 24 h. The monomer conversion is determined by gravimetric analysis and the molecular weight of the polymer is determined by GPC. Table 1 shows the results obtained by GPC.

Table 1:

Results of GPC				
Time (h)	Conversion (%)	$M_n$	$M_w$	$M_w/M_n$
24	37.2	17940	25790	1.43
33	65.7	26660	37530	1.40

[0047] The increase in the molecular weight of the polymer with the monomer conversion and the narrow polydispersity are consistent with a controlled process.

### Comparative Example A

*Polymerization of styrene/acrylonitrile in the presence of 2,2,6,6-tetramethylpiperidine and peracetic acid, in the presence of a free-radical initiator (benzoyl peroxide, BPO).*

[0048] To a 250 ml four-necked round bottomed flask fitted with a mechanical stirrer, a reflux condenser, a thermometer and a funnel is added 1.455 g peracetic acid (Aldrich, 35 wt.%;  $0.67 \cdot 10^{-2}$  mol). Then, a mixture of 0.955 g 2,2,6,6-te-



## EP 1 428 839 A1

tramethylpiperidine (Aldrich, 99%;  $6.75 \cdot 10^{-3}$  mol), 1.376 g BPO ( $5.68 \cdot 10^{-3}$  mol); 112.5 g styrene (1.08 mol) and 37.5 g acrylonitrile (0,707 mol) is rapidly added via the funnel. The mixture is stirred and degassed by bubbling through argon for 10 minutes. The reaction medium rapidly becomes pink due to the formation of 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO). After 30 minutes at room temperature, the mixture is heated under reflux for 1 h. After cooling at room temperature, the polymer is dissolved with chloroform, transferred to an aluminium bag, dried overnight in air and then in vacuo at 70°C for 24 h. The monomer conversion is determined by gravimetric analysis and the molecular weight of the polymer is determined by GPC. Table 2 shows the results obtained by GPC.

Table 2

Results of GPC				
Time (h)	Conversion (%)	$M_n$	$M_w$	$M_w/M_n$
1	77.1	32450	62150	1.91

[0049] In the presence of BPO, the polymerization takes place very rapidly (77 % monomer conversion after 1 h) and the polydispersity is very broad ( $M_w/M_n = 1.91$ ), as expected for a conventional uncontrolled polymerization process. Moreover, the polymerization is exothermic and can be dangerous for large scale production.

### Example 2

*Polymerization of styrene in a one-pot process in the presence of 2,2,6,6-tetramethylpiperidine and m-chloroperbenzoic acid (mCPBA), without any additional initiator, according to the present invention*

[0050] To a 250 ml four-necked round-bottomed flask fitted with a mechanical stirrer, a reflux condenser, a thermometer and a funnel is added 4.684 g mCPBA (Acres Organics, 70-75 wt.%;  $1,9 \cdot 10^{-2}$  mol). Then, a mixture of 1.342 g 2,2,6,6-tetramethylpiperidine (Aldrich, 99%;  $9,5 \cdot 10^{-3}$  mol) and 93.6 g styrene (0.899 mol) are rapidly added via the funnel. The mixture is stirred and degassed by bubbling through argon for 10 minutes. The reaction is slightly exothermic (5-8°C) and becomes rapidly orange-pink due to the formation of 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO). After 5 h at room temperature, the mixture is heated at 123°C for 44 h. Samples are extracted from the reaction flask after 10, 20 and 44 h, and dried in vacuo at 70°C for 24 h. The monomer conversion is determined by gravimetric analysis and the molecular weight of the polymer is determined by GPC. Table 4 shows the results obtained by GPC.

Table 4

Results of GPC				
Time (h)	Conversion (%)	$M_n$	$M_w$	$M_w/M_n$
10	10.3	1770	2240	1.26
20	46.0	7680	9870	1.28
44	85.0	11060	16010	1.44

[0051] The increase in the molecular weight of the polymer with the monomer conversion and the narrow polydispersity are consistent with a controlled process.

### Comparative Example B

*Polymerization of styrene in the presence of 2,2,6,6-tetramethylpiperidine and m-chloroperbenzoic acid (mCPBA):*

#### [0052]

a) Polymerization of styrene in the presence of 2,2,6,6-tetramethylpiperidine, m-chloroperbenzoic acid (mCPBA) and benzoyl peroxide (BPO)

Example 1 of US-A 6,320,007 describes the polymerization of styrene in the presence of 2,2,6,6-tetramethylpiperidine, m-chloroperbenzoic acid (mCPBA) and benzoyl peroxide (BPO) in a three-step process:

- reaction of 2,2,6,6-tetramethylpiperidine with mCPBA for 5h, for the formation of the corresponding nitroxyl

radical (TEMPO);

- a mixture of styrene, BPO and TEMPO (as prepared in the first step) is reacted at 95°C for 3.5 h. During this period of time, no polymer is formed;
- controlled polymerization occurs by increasing the polymerization temperature to 123°C.

Polymerization is controlled as shown by the linear evolution of the molecular weight with the monomer conversion and the narrow polydispersity.

b) Polymerization of styrene in the presence of 2,2,6,6-tetramethylpiperidine, m-chloroperbenzoic acid (mCPBA) and benzoyl peroxide (BPO), in a one-pot process

To a 250 ml four-necked round-bottomed flask fitted with a mechanical stirrer, a reflux condenser, a thermometer and a funnel is added 4.684 g mCPBA (Acros Organics, 70-75 wt.%;  $1.9 \cdot 10^{-2}$  mol). Then, a mixture of 1.342 g 2,2,6,6-tetramethylpiperidine (Aldrich, 99%;  $9.5 \cdot 10^{-3}$  mol), 1.925 g BPO ( $8 \cdot 10^{-3}$  mol) and 93.6 g styrene (0.899 mol) are rapidly added via the funnel. The mixture is stirred and degassed by bubbling through argon for 10 minutes. The reaction is slightly exothermic (5-8°C) and the mixture becomes rapidly orange-pink due to the formation of 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO). After 5 h at room temperature, the mixture is heated at 95°C for 3.5 h. After this period of time, a sample is extracted from the reaction flask for analysis (GPC and monomer conversion). The polymerization medium is then heated at 123°C and polymerization is terminated after 10 h. The polymer is dried in vacuo at 70°C for 24 h. The monomer conversion is determined by gravimetric analysis and the molecular weight of the polymer is determined by GPC. Table 3 shows the results obtained by GPC.

Table 3

Results of GPC					
Temperature (°C)	Time (h)	Conversion (%)	$M_n$	$M_w$	$M_w/M_n$
95	3.5	60.2	11920	41800	3.50
123	10.	97.7	13010	21400	1.64

**[0053]** When Example 1 of US-A 6,320,007 is converted into a one-pot process (all the reagents being added at the beginning of the process), no evolution of the molecular weight with the monomer conversion and broad polydispersity are observed. Additionally, uncontrolled polymerization already occurs when the reaction medium is heated to 95°C.

### Example 3

*Copolymerization of styrene with n-butyl acrylate in the presence of 2,2,6,6-tetramethyl-4-piperidone and peracetic acid*

**[0054]** To a 500 ml four-necked round-bottomed flask fitted with a mechanical stirrer, a reflux condenser, a thermometer and a funnel is added 0.7275g peracetic acid (Aldrich, 35 wt.%;  $3,35 \cdot 10^{-3}$  mol). Then, a mixture of 0.5239 g 2,2,6,6-tetramethyl-4-piperidone (Aldrich, 95%;  $3,37 \cdot 10^{-3}$  mol), 75 g styrene (0.72 mol) and 75 g n-butyl acrylate (0.58 mol) are rapidly added via the funnel. The mixture is stirred at room temperature in a water bath and degassed by bubbling through argon for 10 minutes. After 30 minutes at room temperature, the mixture is heated at 110°C for 1 h, and then at 140°C. Samples are withdrawn from the polymerization medium after 4 and 6 h for analysis. After elimination of the residual monomer, the polymer is dried at 70°C in vacuo for 24 h.

After 2h:  $M_n = 29300$ ;  $M_w/M_n = 1,80$ ; yield = 18%

After 6h:  $M_n = 42000$ ;  $M_w/M_n = 1,97$ ; yield = 48%

**[0055]** The increase in the molecular weight of the polymer with the monomer conversion is indicative of a controlled process.

**[0056]** Although the invention has been described in detail in the foregoing for the purpose of illustration, it is to be understood that such detail is solely for that purpose and that variations can be made therein by those skilled in the art without departing from the spirit and scope of the invention except as it may be limited by the claims.

### Claims

1. A process for producing a polymerized compound selected from the group consisting of oligomer, cooligomer, polymer and copolymer comprising

EP 1 428 839 A1

(I) forming a mixture consisting essentially of

a) at least one monoethylenically unsaturated monomer of the general formula (M),

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wherein

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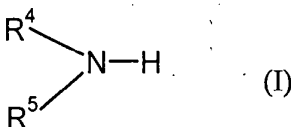
R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> independently denote a member selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>20</sub>-alkyl, C<sub>1</sub>-C<sub>20</sub>-cycloalkyl, C<sub>6</sub>-C<sub>24</sub>-aryl, halogen, cyano, C<sub>1</sub>-C<sub>20</sub>-alkylester, C<sub>1</sub>-C<sub>20</sub>-cycloalkylester, C<sub>1</sub>-C<sub>20</sub>-alkylamide, C<sub>1</sub>-C<sub>20</sub>-cycloalkylamide, C<sub>6</sub>-C<sub>24</sub>-arylester and C<sub>6</sub>-C<sub>24</sub>-arylamide,

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b) at least one oxidizing agent (A) and

c) at least one secondary amine of the general formula (I)

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wherein

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R<sup>4</sup> and R<sup>5</sup> independently denote a member selected from the group consisting of C<sub>1</sub>-C<sub>18</sub>-alkyl, C<sub>2</sub>-C<sub>18</sub>-alkenyl, C<sub>2</sub>-C<sub>18</sub>-alkynyl, C<sub>3</sub>-C<sub>12</sub>-cycloalkyl, C<sub>3</sub>-C<sub>12</sub>-heterocycloalkyl, C<sub>6</sub>-C<sub>24</sub>-aryl, or C<sub>4</sub>-C<sub>12</sub> alkanol, or

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R<sup>4</sup> and R<sup>5</sup> form, together with the nitrogen atom linking them, a C<sub>2</sub>-C<sub>13</sub>-heterocycloalkyl residue containing oxygen, sulfur or nitrogen atoms; or

R<sup>4</sup> and R<sup>5</sup> together form a residue of a polycyclic ring system or a polycyclic heterocycloaliphatic ring system containing oxygen, sulfur or nitrogen atoms;

wherein the carbon atom of the residue directly adjacent to the nitrogen atom is in each case substituted by 2 or 3 further organic substituents;

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and

(II) heating the mixture at a polymerization temperature in the range of 0°C to 220°C.

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2. The process of Claim 1 wherein each of R<sup>4</sup> and R<sup>5</sup> independently denotes a first member selected from a first group consisting of C<sub>1</sub>-C<sub>18</sub>-alkyl, C<sub>2</sub>-C<sub>18</sub>-alkenyl, C<sub>2</sub>-C<sub>18</sub>-alkynyl, C<sub>3</sub>-C<sub>12</sub>-cycloalkyl, C<sub>3</sub>-C<sub>12</sub>-heterocycloalkyl, C<sub>6</sub>-C<sub>24</sub>-aryl, which first member is substituted by a second member selected from a second group consisting of NO<sub>2</sub>, halogen, amino, hydroxy, cyano, carboxy, ester, ketone, C<sub>1</sub>-C<sub>4</sub>-alkoxy, C<sub>1</sub>-C<sub>4</sub>-alkylthio, C<sub>1</sub>-C<sub>4</sub>-alkylamino groups.

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3. The process of Claim 1 wherein at least one of the residues contains a functional group which is capable of further reacting or crosslinking with functional groups known in the coatings field.

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4. The process according to Claim 1, wherein the mixture further contains a solvent selected from the group consisting of water, alcohol, ester, ether, ketone, amide, sulfoxide, hydrocarbon and halogenated hydrocarbon.

5. The process according to Claim 1, wherein monomer (M) is at least one member selected from the group consisting of styrene, substituted styrene, vinyl acetate, acrylonitrile, methyl acrylate, butyl acrylate, 2-ethylhexyl acrylate and

maleic anhydride.

6. The process according to Claim 1, wherein the oxidizing agent (A) is a member selected from the group consisting of peracetic acid, perpropionic acid, hydrogen peroxide, hydrogen peroxide/titanium-containing catalyst, dibenzoyl peroxide, potassium peroxymonosulfate ( $2 \text{KHSO}_5 \cdot \text{KHSO}_4 \cdot \text{K}_2\text{SO}_4$ ), silver oxide and lead (IV) oxide.
7. The process according to Claim 1, wherein the polymerization temperature is between 50 and 180°C.
8. The process according to Claim 1, wherein the polymerization temperature is between 70 and 150°C.
9. The process according to Claim 1, wherein the temperature in step (I) is between 0 and 100°C.
10. The process according to Claim 1, wherein the temperature in step (I) is between 0 and 50°C.

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DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
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			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
			C08F
The present search report has been drawn up for all claims			
Place of search The Hague		Date of completion of the search 29 March 2004	Examiner Cauwenberg, C
<b>CATEGORY OF CITED DOCUMENTS</b> X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons ..... & : member of the same patent family, corresponding document	

EPO FORM 1503 03/02 (P04C01)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 03 02 7550

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
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29-03-2004

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