

## **Alarm pheromones**

### **Chemical signaling in response to danger**

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## *Summary*

Many animals respond to the threat of predation by producing alarm signals that warn other individuals of the presence of danger or otherwise reduce the success of predators. While alarm signals may be visual or auditory as well as chemical, alarm pheromones are common, especially among insects and aquatic organisms. Plants too emit chemical signals in response to attack by insect herbivores that recruit the herbivores' natural enemies and can induce preparations for defense in neighboring plants (or other parts of the same plant). In this chapter we discuss our current understanding of chemical alarm signaling in a variety of animal groups (including social and pre-social insects, marine invertebrates, fish, and mammals) and in plants. We also briefly discuss the exploitation of alarm pheromones as foraging cues for natural enemies. We conclude with a brief discussion of the potential exploitation of alarm signaling to achieve the applied goal of managing pest species.

## *Key Words* (5 to 10 words, no "of" or "and")

Alarm pheromone, aphid, ant, honeybee, fish, plant-plant communication, pest management strategy

## *Introduction*

In response to the approach of predators--or other rapid adverse changes in the immediate environment--many organisms emit alarm signals that can alert nearby individuals (conspecifics as well as others) of impending danger. Alarm signaling has frequently been viewed as an evolutionary puzzle because the fitness benefits to individuals receiving the signal are usually apparent while signaling often appears costly for signalers (e.g. Taylor *et al.*, 1990). Genuinely altruistic signaling can presumably evolve where the benefits preferentially fall on conspecifics with higher than average relatedness to the signaler (Sherman, 1977) as suggested by inclusive fitness theory (Hamilton, 1964). But alarm signaling may also directly benefit the fitness of the signaling individual itself, for example if the anti-predator or escape behaviors induced by the call reduce the probability of successful predation (Högstedt, 1983; Sherman, 1985) or attract the predator away from the signaling individual (Charnov and Krebs, 1974). Alarm calls may also have delayed benefits for the signaler, for example by saving the lives of individuals who will reciprocate in the future (Trivers, 1971) or those of potential mates (Witkin and Fitkin, 1979) or other group members in circumstances where group living is beneficial (Smith, 1986).

Alarm signals frequently have visual and auditory components, especially in birds and mammals (e.g. Sherman, 1977; Seyfarth *et al.*, 1980; Leavesley and Magrath, 2005), but chemical alarm signals are also widespread (Wyatt, 2003). Chemical signals involved in communication with other conspecific individuals are called pheromones (from the Greek *pherein*, to transfer), and are thus distinguished from hormones (*hormon*, to excite) which mediate communication within an individual organism (Karlson and Lüscher, 1959). Most alarm pheromones likely have evolved from compounds originally having other functions.

Specifically, it has been proposed that alarm pheromones may evolve either from chemicals involved in defense against predators or from compounds released upon injury (Wyatt, 2003). To the extent that these compounds serve as reliable cues to the presence of predators, potential receivers should evolve to detect them and respond in ways that enhance fitness. The acquisition of a true signaling function then entails further evolutionary elaboration of the cue specifically in response to selection acting on its role in communication (Maynard Smith and Harper, 2003).

A large literature addresses chemical identification of alarm pheromones and their impact on the behavior of nearby individuals. In order to conclude that particular compounds acts as an alarm pheromone, it is generally considered necessary to demonstrate that (i) the chemical(s) is released exclusively under exposure to hazard (e.g. predator attack), (ii) the signal is perceived by conspecifics, and (iii) it induces in the receiving individuals behavioral reactions similar to that induced when directly exposed to the same danger (Wyatt, 2003). The latter criteria is usually the most difficult to demonstrate, as it is not enough to demonstrate a modification in the behavior of the receiving individuals; the changes must clearly be appropriate responses to danger specific threat. Generally, adaptive responses to the reception of an alarm pheromone may be classified as evasive (e.g., receivers flee from the pheromone releaser) or aggressive (receivers move toward the signal and attack or harass the predator). Observed reactions can vary according to the concentration of pheromone released and also with prior experience of the receiver (Howse, 1998).

Alarm pheromones have been documented in both vertebrate and non-vertebrate animals (Wyatt, 2003), and similar types of signaling seem to occur also in plants (Wittstock and Gershenson, 2002; Heil and Karban, 2010). The chemical composition of alarm pheromones is highly variable: Table 1 presents a partial list of identified examples from animal systems.

Alarm signals may be as simple as a single molecule (e.g. citral in mites, Kuwahara et al., 1979), but can also be complicated chemical mixtures, whose activity is determined by their specific composition, the quantitative proportion of the different compounds, and the stereoisomerism of the dominating substances (Wadhams, 1990).

**Table 1 near here**

The remainder of this chapter reviews illustrative examples taken drawn from the tremendous diversity of alarm signaling systems that occur in presocial (aphids) and social (ants, termites, honeybees) insects, vertebrate animals, and plants.

*Alarm pheromones in insects*

Alarm pheromones appear to be the second most commonly produced class of chemical signals used by insects, after sex pheromones (Barbier, 1982). Alarm signaling has evolved in various Arthropod taxa in which the individuals are proximate enough to each other to rapidly communicate. Gregarious and social insects, including Hymenopterans and Hemipterans, have developed a diverse array of chemical compounds that function as releasers of alarm behavior (Table 1). Indeed, alarm pheromones appear to be highly adaptive for species in which individuals form aggregates that can exhibit a collective response to traumatic stimuli (Blum, 1985). In eusocial species, for example, they allow colony resources to be rapidly and efficiently deployed in response to specific threats. Insect alarm pheromones are usually short molecules of low molecular weight and simple structure (e.g., terpenoids or aliphatic ketones and esters). They are thus highly volatile and dissipate rapidly after emission as befits signals that operate over short time frames and at localized spatial scales (Payne, 1974). Various organs can be involved in their production, including

anal glands, mandibles, and stings. Although commonly comprising mixtures of several compounds, alarm pheromones tend to be less specialized than other types of pheromones, and few are species specific (Blum, 1985). This relative non-specificity may be an advantage to species that are able to detect alarm signals of other insects sharing vulnerability to a common threat.

In non-eusocial insects the effects of alarm pheromones are generally limited to causing dispersal. The response to alarm signals varies among eusocial species, but commonly involves attraction/recruitment of conspecific workers or soldiers and the adoption of aggressive postures. Below we discuss alarm signaling in aphids, ants, and honeybees.

#### *i.* Aphids

Because aphids are important agricultural pests throughout the world, their biology and behavior has been well studied. Aphid alarm signaling was first characterized in the 1970s. In response to predation and other disturbances, aphids secrete droplets from two cornicles situated on the upper surface of the abdomen near the tail that emit an odor repellent to conspecifics (Kislow and Edwards, 1972) (Figure 1). This pheromone induces alate and apterous *Myzus persicae* (Hemiptera, Aphididae) to stop feeding and move away from the signaler or to drop from the host plant—waving their antennae before and during these aversive behaviors. Variation in response to alarm pheromone occurs both within and between species and correlates with to the relative risk of predation and the costs of escape (Pickett *et al.*, 1992).

The active component of the liquid secreted from the cornicles of several economically important species of aphids was found to be a sesquiterpene (C<sub>15</sub>H<sub>24</sub>) named (E)-7,11-dimethyl-3-methylene-1,6,10-dodecatriene, or more commonly (E)-β-farnesene (Eβf) or

trans- $\beta$ -farnesene (Bowers *et al.*, 1972) (Table 1). This same compound was subsequently identified in many other aphid species including the green peach aphid *Myzus persicae* Sulzer (Edwards *et al.*, 1973; Wientjens *et al.*, 1973) and the pea aphid *Acyrtosiphon pisum* Harris (Wohlers, 1981).

Germacrene A (Table 1), a biogenetic precursor of many sesquiterpenes, was later isolated from the alfalfa aphid and identified as an alarm pheromone (Bowers *et al.*, 1977b), though it appears to play this signaling role only within the genus *Therioaphis*. Pickett and Griffiths (1980) found *Megoura viciae* to synthesize additional monoterpenes, including  $\alpha$ -pinene,  $\beta$ -pinene and limonene, with (-)- $\alpha$ -pinene having the most significant alarm activity. (Z,E)- $\alpha$ -farnesene and (E,E)- $\alpha$ -farnesene were also reported in several aphid species (Pickett and Griffiths, 1980; Gut and Van Oosten, 1985), but did not show biological activity (Bowers *et al.*, 1977a).

### **Figure 1 near here**

Recently, Francis *et al.* (2005a) characterized the volatile emissions of 23 aphid species and reported that E $\beta$ f was the only volatile chemical emitted in significant amounts by 16 of them. E $\beta$ f was a minor component of the volatile emissions of five other species. The remaining two species, *Euceraphis punctipennis* Zetterstedt and *Drepanosiphum platanoides* Schrank, did not release any E $\beta$ f, though other terpenes were isolated. In addition to the species examined by Francis *et al.* (2005b), we have identified four additional aphid species that appear to produce E $\beta$ f as their only volatile chemical: *Rhopalosiphum maidis* Fitch, *Aphis glycines* Matsumura, *Aphis spiraecola* Pagenstecher and *Brachycaudus persicae* Pesserini (Verheggen, unpublished data).

In *Myzus persicae*, the quantity and mode of action of the alarm pheromone was found to vary with morph and age of aphids (Gut and Van Oosten, 1985). The quantities of E $\beta$ f in aphids also increase in relation to increasing body weight (Byers, 2005), but its concentration declines exponentially with increasing body weight. In *Acyrtosiphon pisum*, Verheggen *et al.* (2009) found that exposure to E $\beta$ f emitted by other individuals influences the levels of E $\beta$ f produced by immature aphids during development.

In addition to its role as an alarm pheromone in aphids, E $\beta$ f is also a common component of plant volatiles emissions—including both constitutive volatile blends (e.g. Agelopoulos *et al.*, 2000) and those induced by herbivore feeding (e.g. Turlings and Ton, 2006) or mechanical damage (e.g. Agelopoulos *et al.*, 1999). And E $\beta$ f is a constituent of various essential oils found in several plants family such as Asteraceae (Reichling and Becker, 1978; Heuskin *et al.*, 2009). It is thus tempting to speculate that E $\beta$ f production by plants functions to repel or habituate aphids or to otherwise interfere with alarm signaling, but there is currently little evidence that such effects occur (Petrescu *et al.*, 2001). Instead, it appears that the presence of other sesquiterpenes—like (-)- $\beta$ -caryophyllene—in plant volatile blends allow aphids to distinguish the pure E $\beta$ f emitted by conspecifics from E $\beta$ f of plant origin (Dawson *et al.*, 1984). On the other hand, other terpenes like  $\alpha$ -pinene or isothiocyanates have been reported to enhance the dispersal-inducing activity of E $\beta$ f, leading to an increased specificity of the alarm signal in some aphid species (Pickett and Griffiths, 1980; Dawson *et al.*, 1987). Interestingly, E $\beta$ f is present in trichomes of wild potato plants where its release under aphid infestation does appear to cause dispersal (Gibson and Pickett, 1983).

The behavioral effect of alarm pheromone on aphids varies across species and also with the amount of pheromone encountered by receiving individuals. Typical responses range from

the cessation of feeding and removal of the stylet from host plant tissues to walking, jumping, or falling away from the source of emission (Edwards *et al.*, 1973; Wientjens *et al.*, 1973; Phelan *et al.*, 1976; Montgomery and Nault, 1977a, b, 1978; Roitberg and Myers, 1978; Wohlers, 1980; Clegg and Barlow, 1982; Chau and Mackauer, 1997; Losey and Denno, 1998; Shah *et al.*, 1999; Braendle and Weisser, 2001). In the sugar-cane woolly aphid, *Ceratovacuna lanigera* Zehntner (Homoptera, Pemphigidae), the alarm pheromone reportedly elicited aggressive behavior from conspecifics (Arakaki, 1989). Eßf has also a repellent effect on the landing behavior of alate aphids, which can cause them to choose an alternative host plant (Lambers and Schepers, 1978; Phelan and Miller, 1982; Wohlers, 1982). Field experiments confirmed dispersal behavior of aphids subjected to their alarm pheromone in 41 species (Xiangyu *et al.*, 2002). Kunert *et al.* (2005) also found that Eßf exposure increased the production of winged individuals specialized for dispersal. Once Eßf concentrations decrease, aphids commonly re-infest host plants (Calabrese and Sorensen, 1978). Because the amounts of alarm pheromone emitted by an individual under natural conditions might be too low to warn all nearby conspecifics, two recent studies tested the hypothesis that aphids might amplify the alarm signal by emitting additional Eßf in response to the alarm signals of other individuals but found no evidence for such an effect (Hatano *et al.*, 2008; Verheggen *et al.*, 2008b).

In addition to serving as an alarm signal to conspecifics and other aphids, Eßf is exploited as a foraging cue by predators and parasitoids that feed on aphids. Details are presented in section *iv*.

## *ii.* Ants

The first published observation of an ant alarm pheromone was probably that of Goetsch (1934) who noted that crushed organs were capable of causing aggressive reactions in

workers. Following this original observation, all Formicid species were subsequently found to produce and use an alarm pheromone (Hölldobler and Wilson 1990), whose secretion may alert or recruit conspecifics and often stimulates aggressive reactions (Blum 1985 and references therein). Although behavioral responses can differ drastically among ant species, alarm pheromones generally serve two distinct functions (Wilson and Regnier, 1971). The response to “aggressive alarms” is characterized by rapid movements oriented toward the emitter and by aggressive attitudes ranging from mandible and gaster movements to biting or stinging the antagonist (Figure 2). Recruitment of more workers and intensified attacks on intruders are also observed. Responses to “panic alarms” entail escape, dispersion, and flight behaviors. Workers’ displacement speed is increased, as well as the frequency of direction changes. The type of reaction was found to correlate with differences among species in the size and density of colonies, with species having larger and denser colonies being more prone to aggressive responses. For example, *Lasius fuliginosus* forms large subterranean colonies and the general response workers to the alarm pheromone, *n*-undecane (Table 1), entails running toward the pheromone source with mandibles opened (Stoeffler *et al.*, 2007). In contrast, workers of *Hypoponera opacior* and *Ponera pennsylvanica*, which have small colony sizes, drastically increase their mobility but do not run toward the emitter when exposed to the main constituent of their alarm pheromone, 2,5-dimethyl-3-isopentylpyrazine (Duffield *et al.*, 1976).

### **Figure 2 near here**

A variety of natural products and associated behaviors have been highlighted in the different formicid genera, with production sites including mandibular, pygidial, metapleural and Dufour’s glands. Ant alarm pheromones are usually aliphatic carbon chains shorter and more

volatile than those characteristic of trail pheromones. These include ketones, alcohols, esters, aldehydes, alkylpyrazines, terpenes, short aliphatic hydrocarbons, and formic acid. As with aphid alarm signals, the alarm pheromones used by ants are thus well suited chemically for their role in mediating effective responses to threats that are highly localized in space in time. Different chemicals often composed the alarm pheromone blend of a single ant species and these components can elicit different behaviors in receiving individuals. Moreover, because of differences in volatility, and perhaps also due to differences in the sensitivity of receiving individuals, the areas over which specific compounds induce active responses can also vary.

Minor workers of *Pheidole embolopyx* respond to encountering intruders of other species by secreting trail and alarm pheromones produced by the pygidial gland (Wilson and Hölldobler, 1985). In combination, these signals announce the presence of the enemy and lead to the recruitment of major workers who mount a sustained attack on the intruders.

The different components of the *Camponotus obscuripes* (Formicinae) alarm pheromone are produced in Dufour's gland and in the poison gland (Fujiwara-Tsujii *et al.*, 2006). The first gland contains a mixture of aliphatic carbon chains of which *n*-undecane represents 90%. Formic acid, a compound commonly used by ants for defense, trail marking, and recruitment, appears to be the only volatile chemical produced in the poison gland. In response to danger, *C. obscuripes* releases a mixture of these substances, each having a different volatility and function. Formic acid, perceived at longer distances, informs other colony members of the presence of a threat and helps them to locate the source of the emission. At shorter range, *n*-Undecane, and other associated saturated hydrocarbons, induce aggression toward antagonists.

Among leaf cutting ants in the genus *Atta*, the mandibular gland secretions of most species contain mixtures of volatile, low-molecular-weight alcohols and ketones, which elicit the alarm response (Blum, 1968). The main volatile components of the mandibular glands of major workers are 4-methyl-3-heptanone (Table 1) and 2-heptanone (Hughes *et al.*, 2001), with the former being most active in eliciting alarm responses (Moser *et al.*, 1968). The latter chemical also occurs commonly in other ant genera (Feener *et al.*, 1996).

### *iii.* Honeybees

A vital role honeybee colony defense is played by so-called guard bees, which patrol the nest entrance and represent the first line of colony defense. These guards are also specialized for the production of alarm pheromone which they release to recruit nestmates from the interior of the colony in case of danger (Boch *et al.*, 1962; Collins *et al.*, 1982). The perception of the pheromone increases workers movement and promotes aggression. Indeed, beekeepers are well acquainted with the banana-like odor released by stressed colonies, and with the fact that one bee sting is likely to be followed by others unless one rapidly moves away from the colony or uses smoke to sedate it. Although there is a striking variation in the intensity of their response (in docile colonies, only a few bees may respond while thousands of stinging individuals may attack in more aggressive colonies), only guarding workers produce the alarm pheromone (Vander Meer *et al.*, 1998; Alaux *et al.*, 2009).

The possibility that an alarm signal, of then unknown nature and origin, could act to alert honeybee workers was first suggested in the early 17<sup>th</sup> century (Butler, 1609, cited by Wilson 1971). The signal was later proposed to be an odorant (Huber, 1814), who noticed that presenting a honeybee worker's sting (attached to a forceps) to conspecifics changed their

behavior changed from “quiet” to “aggressive”. He concluded that “some odors incite honeybees to flee”. It was later established that the honeybee alarm pheromone is produced in the mandibular as well as in the Koshewnikov gland associated with the sting apparatus (Boch *et al.*, 1962; Shearer and Boch, 1965) —though pheromone emission does not require that the sting be used.

Boch *et al.* (1962) first identified isopentyl acetate (previously called iso-amyl acetate) (Table 1) as a biologically active alarm pheromone associated with the sting (The use of smoke by beekeepers suppresses the activation of antennal receptors of isopentyl acetate, and therefore reduces nestmate recruitment (Visscher *et al.*, 1995). Subsequently, over 20 additional volatile aliphatic and aromatic active compounds, of low molecular weight, have been identified in the alarm pheromone blend (Hunt, 2007). In addition to isopentyl acetate, (Z)-11-eicosen-1-ol is thought to play an essential role (Boch *et al.*, 1962; Pickett *et al.*, 1982). Although both these compounds individually induce alarm responses in bee workers, when presented together they elicit behavioral responses comparable to the intact sting (Pickett *et al.*, 1982). Not all components of the pheromone blend in honeybees induce alarm behavior, some have other specialized functions including flight induction (e.g. benzyl acetate), and recruitment (e.g. 1-butanol, 1-octanol, hexyl acetate), while others play multiple roles (e.g. 1-hexanol, butyl acetate, isopentyl acetate, 2-nonanol) (Wager and Breed, 2000). Shearer and Boch (1965) reported alarm activity of 2-heptanone (Table 1) isolated from honeybee mandibular glands. With increasing age, the size of the gland and the amount of 2-heptanone increases (Vallet *et al.*, 1991). When filter paper treated with 2-heptanone is placed at the hive entrance, bees nearby become greatly agitated, assuming a characteristic aggressive posture and running toward the emission source in jerky circles or short zigzags.

Contrary to longstanding expectations, the honeybee alarm pheromone blend does not seem to be implicated in target localization (Free, 1961; Wager and Breed, 2000).

*iv.* Alarm pheromones used as kairomones by natural enemies

Semiochemicals provide a powerful way for organisms to communicate and coordinate their behaviors. But they also represent opportunities for other organisms to intercept and exploit such signals. Indeed, there are numerous examples of natural enemies having learned or evolved to use the pheromones of their prey as foraging cues (Vet and Dicke, 1992).

**Figure 3 near here**

For example, aphid natural enemies rely on semiochemicals, especially the aphid alarm pheromone, to locate aphid colonies (Figure 3). Previous studies have demonstrated this phenomenon in lady beetles, *Coccinella* sp., *Adalia* sp. and *Harmonia* sp. (e.g. Nakamuta, 1991; Francis *et al.*, 2004; Verheggen *et al.*, 2007); hoverflies (e.g. Verheggen *et al.*, 2008a; Almohamad *et al.*, 2009; Verheggen *et al.*, 2009); ground beetles (Kielty *et al.*, 1996); lacewings (Boo *et al.*, 1998; Zhu *et al.*, 1999); and parasitic wasp adults and larvae (Beale *et al.*, 2006).

Ant parasitoids also use alarm pheromone components to locate their specific hosts. Individuals of *Apocephalus paraponerae* (Diptera: Phoridae), which parasitizes workers of the giant tropical ant *Paraponera clavata* (Hymenoptera: Formicidae) locate fighting or injured workers of this host species by using 4-methyl-3-heptanone and 4-methyl-3-heptanol (Feener *et al.*, 1996). The cursorial spider *Habronestes bradleyi* (Araneae, Zodariidae), a specialist predator of the meat ant *Iridomyrmex purpureus*, likewise locates workers of its

prey by using their alarm pheromone, which consists mainly of 6-methyl-5-hepten-2-one and is frequently released during territorial disputes among conspecifics (Allan *et al.*, 1996).

### *Alarm pheromones in marine invertebrates*

Alarm behaviors in aquatic invertebrates are also commonly mediated by chemical signals, and a growing number of aquatic organisms have been shown to display antipredator behavior in response to injury-released chemical cues from conspecifics, including mollusks (e.g. Spinella *et al.*, 1993), flatworms (e.g. Wisenden and Millard, 2001), annelids (e.g. Watson *et al.*, 2005) and echinoderms (e.g. Vadas and Elner, 2003).

The first report of a chemical alarm cue in a platyhelminth was the demonstration that predator avoidance behavior in a free-living flatworm, *Dugesia dorotocephala*, could be induced by chemical cues released from injured conspecifics (Wisenden and Millard, 2001). Despite their relatively simple nervous system, Planaria are apparently also capable of learned risk association, as following simultaneous exposure to the conspecific alarm signal and sunfish odor cues, they subsequently respond to the sunfish odor alone as an indicator of danger (Wisenden and Millard, 2001). Green sea urchins, *Lytechinus variegates*, employ a two-phased response to cues from damaged conspecifics entailing an initial rapid but ephemeral alarm response followed by a more sustained flight phase, which induces urchins to disperse (Vadas and Elner, 2003). Chemical alarm substances have also been documented in Gastropods. The snail *Littorina littorea*, common periwinkle, shows crawl-out responses (i.e., movement out of the water) in response to chemical stimuli from injured individuals (Jacobsen and Stabell, 1999). The first cnidarian pheromone to be documented was anthopleurine (Table 1), which is released from wounded tissues of the sea anemone

*Anthopleura elegantissima*—for example during attack by the nudibranch *Aeolidia papillosa*—and evokes rapid withdrawal in nearby conspecifics (Howe and Sheikh, 1975).

### *Alarm pheromones in fish*

Many fishes use alarm pheromones to warn conspecifics of potential threats in the surrounding environment (reviewed by Smith in 1992). The first suggestion that Ostariophysan fishes (the second largest superorder of fish) might exhibit a fright reaction in response to some signal from wounded conspecifics, and that this might reduce the receivers' vulnerability subsequent predation, was made by von Frisch (1938). Pfeiffer (Pfeiffer, 1963, 1977, 1978) subsequently documented alarm signaling in several Ostariophysan species. The secretion of the signals involves specialized epidermal cells that contain the alarm pheromone. When these cells are broken, as during predation events, this substance is released into the surrounding water (Figure 4). Thus, senders cannot actively release their alarm substance (Smith, 1992), but the restricted context in which they are emitted reliably informs conspecifics of the presence of a predator. Fathead minnows (*Pimephales promelas*) and finescale dace (*Chrosomus neogaeus*) also exhibited significant antipredator responses (increased shoaling and decreased area of movement) when exposed to conspecific skin extract (Brown *et al.*, 2000). Similar reactions were observed in response to hypoxanthine-3-N-oxide (Table 1), one of several molecules thought to function as a chemical alarm signal in Ostariophysan species (Brown *et al.*, 2000). Complementary studies subsequently found that exposure to low concentrations of hypoxanthine-3-N-oxide may cause fish to increase vigilance towards secondary (i.e. visual) risk assessment cues, leading to an increased alarm response in case of predator attack (Brown *et al.*, 2004). The

fish fright reaction can also be detected visually by other nearby individuals leading to the rapid propagation of the alarm response through a group (Smith, 1992).

#### **Figure 4 near here**

In the Percid fishes, physical injury also appears to be required for release of the active alarm pheromone component, and exposure to water that previously contained an injured individual leads to reduced movement (“freezing”) and periods of inactivity in the receiver (Crane *et al.*, 2009). Fish alarm pheromones do not appear to be species specific, and generally usually induce equivalent alarm responses in other species (Smith, 1982). For example, the pumpkinseeds, *Lepomis gibbosus* (Acanthopterygii), exhibit antipredator responses when exposed to hypoxanthine-3-N-oxide, the putative Ostariophysan alarm pheromone (Golub *et al.*, 2005). This similarity of intra- and interspecific reactions in fishes, suggests corresponding similarities in signaling chemistry and reception mechanisms. This has led to some controversy as to whether alarm signals in fish should strictly be classed as pheromones or as allelochemicals (Burnard *et al.*, 2008).

#### *Alarm pheromones in mammals*

Mammals make wide use of pheromones to mark territories, attract mates, and coordinate group behavior. Chemical alarm signaling also occurs, but though many territorial and sexual pheromones have been identified in mammals, relatively little progress has been made in the chemical identification and functional analysis of mammal alarm pheromones (Hauser *et al.*, 2005). It is commonly thought that mammal alarm pheromones are volatile, and it is hypothesized that they may be low molecular weight compounds, such as fatty acids or steroids. The perception of these alarm signal seems to be mediated by an auxiliary olfactory

sense organ called the vomeronasal organ (VON), or Jacobson's organ (Dulac and Axel, 1998).

Although, infochemicals appear to play a smaller role in communication between humans than in other mammals, the ability to produce and perceive pheromones has also been demonstrated in humans (McClintock, 1998). The existence of a human alarm pheromone has not been demonstrated, but it has been suggested that humans can detect differences between a neutral scent and a scent associated with frightened individuals (Ackerl *et al.*, 2002).

Chemical alarm signaling has been demonstrated in mice (Rottman and Snowdown, 1972). When exposed to the odor of a stressed conspecific, mice behaved aversively to the source of the odor, even though they responded positively to the sender's odor prior to the introduction of the stress. Stressed male Wistar rats release a volatile alarm pheromone, from the perianal region that elicits defensive and risk-assessment behavior in receiving individuals, characterized by hyperthermia, increased freezing, sniffing, and walking as well as a decreased resting behavior (Kikusui *et al.*, 2001; Inagaki *et al.*, 2009). So far, the chemical structure of this pheromone has not been elucidated.

Odor-induced fear responses have also been documented in cattle and shown to be at least partly mediated by olfactory cues in the urine of stressed individuals (Boissy *et al.*, 1998).

### *Alarm signals in plants*

Plants actively respond to damage induced by infesting arthropods by inducing direct defenses such as toxins and antifeedants (Gatehouse, 2002). But, they also typically release blends of volatile compounds from damaged tissues—as well as systemically—that appear to play a variety of signaling functions (Farmer, 2001). For example, these herbivore-induced

plant volatiles can directly repel foraging herbivores, such as ovipositing butterflies and moths and host-seeking aphids (Dicke and Vet, 1999). Herbivore-induced volatiles also serve as key foraging cues for natural enemies of the feeding herbivores, including insect predators and parasitoids (e.g. Turlings *et al.*, 1998) and even for insectivorous birds (Mäntylä *et al.*, 2008). Moreover, these signals can convey complex and highly specific information about the status of emitting plants. For example, the highly specialized parasitoid wasp *Cotesia congregata* can consistently distinguish among volatile blends elicited by the feeding of two closely related caterpillar species and preferentially responds to the odors of plants infested by its host (De Moraes *et al.*, 1998).

There has been a longstanding debate about the extent to which damage-induced plant volatiles might also be important in signaling between neighboring plants (Farmer, 2001; Karban, 2008; Heil and Karban, 2010), but a number of recent studies suggests that these compounds play an important role in signaling between damaged and undamaged tissues of individual plants (e.g. Arimura *et al.*, 2000; Dolch and Tschardt, 2000; Karban and Maron, 2002; Karban *et al.*, 2003; Engelberth *et al.*, 2004), and particularly in overcoming potential constraints on the internal (vascular) transmission of wound signals imposed by plants' modular architecture (Karbon *et al.*, 2006; Frost *et al.*, 2007; Heil and Silva Bueno, 2007; Rodriguez-Saona *et al.*, 2009).

### *Conclusion: potential applications of alarm pheromones*

In addition to obviously intriguing questions about the evolution of alarm signaling within individuals species and differences in the way they function between taxa, understanding this class of semiochemical-mediated interactions also has potential for application to the

management of pest species. Sex pheromones, mainly of Lepidopteran insects, have frequently been incorporated into management strategies (Copping, 2001). While few alarm pheromones have been employed in this context, some efforts have been made to incorporate them in push-pull strategies as behavior-manipulating stimuli to make the protected resource unattractive to the pest (Cook *et al.*, 2007). And honeybee alarm pheromone can be used to repel *Apis mellifera* from oilseed rape before insecticide applications (Free *et al.*, 1985).

A good deal of research has addressed the potential use of aphid alarm pheromone as a control mechanism. Following identification of the aphid alarm pheromone—(E)- $\beta$ -farnesene in most species of Aphidinae—researchers began discussing the possibility of using this semiochemical to repel aphids (Bowers *et al.*, 1972), encouraged by the relative ease of extracting and purifying (E)- $\beta$ -farnesene from plant material (Heuskin *et al.*, 2009). Early attempts to employ (E)- $\beta$ -farnesene in the field were unsuccessful, however, as aphids recolonized host-plants very rapidly following exposure (Calabrese and Sorensen, 1978). Later, slow-release formulations of *Hemizygia petiolata* (Lamiaceae) containing high levels of (E)- $\beta$ -farnesene were successfully employed to reduce pea aphid populations in field experiments (Bruce *et al.*, 2005). The increased aphid mobility induced by (E)- $\beta$ -farnesene exposition could also increase aphid exposure to insecticides and fungal control agents (Griffiths and Pickett, 1980). Similarly, application of farnesol and nerolidol, components of the two-spotted mite (*Tetranychus urticae*) alarm pheromone, increased mite mobility and subsequent exposure to co-applied acaricides, leading to enhanced control relative to the application of acaricides alone (Copping, 2001).

Studies on the role of (E)- $\beta$ -farnesene in interspecific interactions in natural systems also suggest its potential application to the control of aphid population mechanisms. As noted above, Gibson and Pickett (1983) demonstrated the ability of wild potatoes to repel aphids by naturally releasing (E)- $\beta$ -farnesene from their glandular trichomes. And works on the perception of the aphid alarm pheromone by predators highlights of its potential to increase aphid apparency to natural enemies (Du *et al.*, 1998; Zhu *et al.*, 1999; Verheggen *et al.*, 2007; Almohamad *et al.*, 2008; Verheggen *et al.*, 2008a). Beale *et al.* (2006) exploited this potential by incorporating an E $\beta$ f synthase gene into the genome of *Arabidopsis thaliana*, and demonstrated increased attraction of aphid parasitoids to the modified plants.

Because alarm pheromones can be attractants for certain organisms, they also have potential for use in baits or traps. Hughes and colleagues (2002) showed that an alarm pheromone produced by grass-cutting ants, 4-methyl-3-heptanone, has significant potential to improve the efficacy of baits used for the control of these insects, since individuals receiving the signal tend to move toward the source of emission.

Far fewer studies have addressed practical applications of non-insect alarm pheromones. However, alarm signals might well have applications in the control of aquatic pests. For example, the search for a method to decrease the decline of Britain's native white-clawed crayfish (*Austropotamobius pallipes*) caused by the presence of the introduced signal crayfish (*Pacifastacus leniusculus*), originally from North America, has given rise to speculation that *P. leniusculus* pheromones (including sex, stress and alarm pheromones) might improve the efficiency of existing baits (Stebbing *et al.*, 2003).

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Figure 1 A

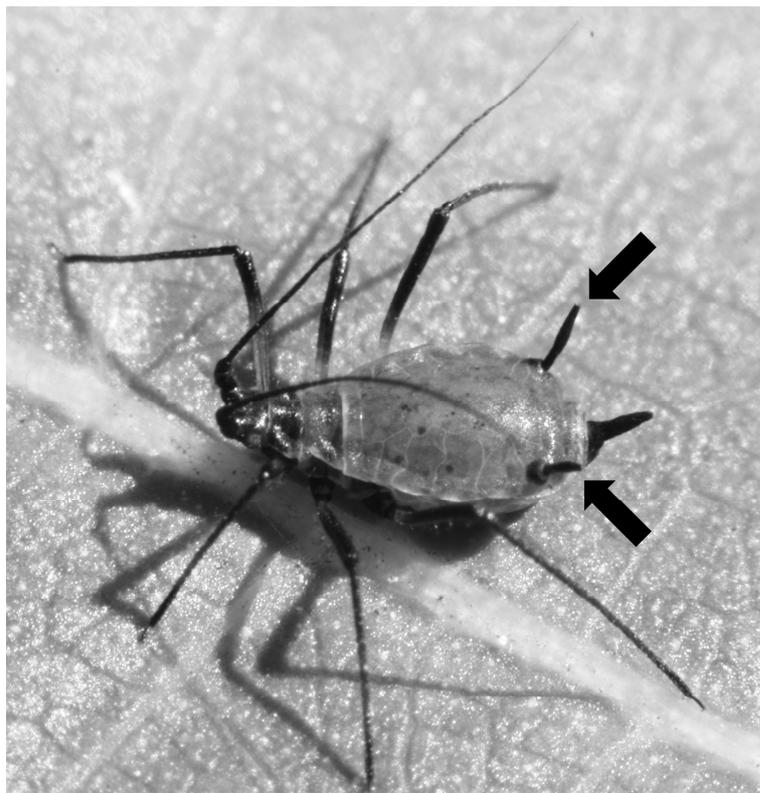


Figure 1B

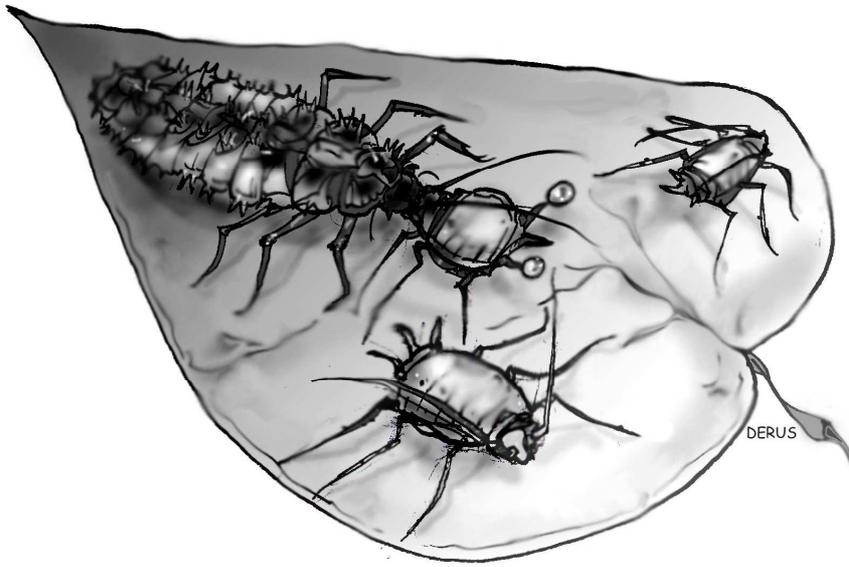


Figure 2

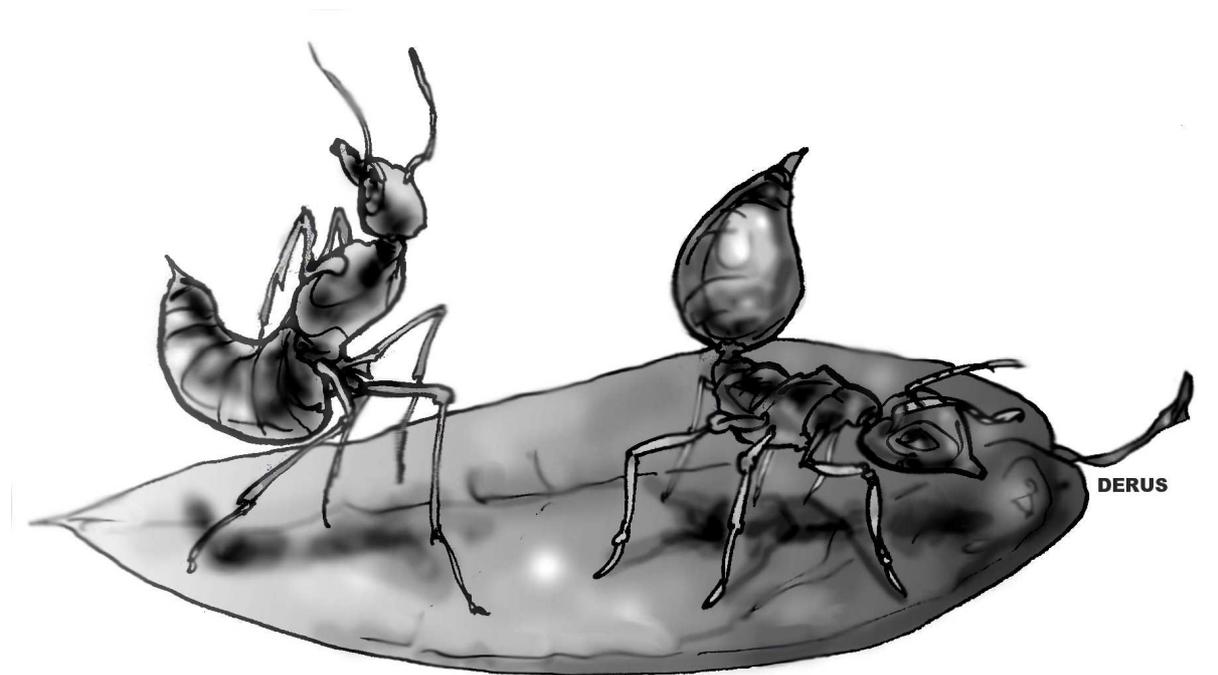


Figure 3



Figure 4

