PROCEEDINGS OF THE INTERNATIONAL SYMPOSIUM ON NEW TRENDS AND GUIDELINES ON DAM SAFETY/BARCELONA/SPAIN/17-19 JUNE 1998

Dam Safety

Edited by

L. Berga Civil Engineering School of Barcelona, Spain

OFFPRINT



A.A. BALKEMA/ROTTERDAM/BROOKFIELD/1998

		per
		a.

A physically-based approach to predict input hydrographs in managed reservoirs

M.J.J.Pirotton

Department of Hydraulic Constructions, University of Liège, Belgium

ABSTRACT: Recently, the modelling of hydrology has experienced considerable activity, with a relatively new trend of direct modelization of the thin water layer flowing on the ground. The physical reasoning in hydrological modelisations presents many advantage of improving and hastening the calibration stage and of reflecting easily any change of land use. As simplified representation of a complex system, the model requires a large amount of datas leading to uncertainties in the parameters values. However, sensitivity tests including data variations in realistic limits can be easily examined in order to quantify the corresponding error scope of the output hydrographs. Besides, the superiority of a numerical code based on non-linear formulations can lead to substantial errors, mainly by neglecting the presence of potential discontinuities. A finite element approach is therefore suggested, overcoming all these difficulties. Applications demonstrate accurate and encouraging results and prove that this kind of software can assist the manager in the design stages as well as in the optimisation of a daily management.

1. INTRODUCTION

The reliable determination of usual and extreme inputs in a reservoir is a fundamental concern for dam safety and daily management. The hydrological stage on a watershed can be understood and simulated as the transformation processes arising after the fall of the raindrop on the ground up to the flows that induces at the outlet of the catchment.

In order to sum up the most complex processes acting upon the input variable (the rainfalls) to convert it into an output variable (the flows they induce at the outlet of the catchment), we will refer to physical considerations in searching direct modelization of the thin water layer flowing on the ground.

With the physical interpretation of the parameters, the impact of any change of catchment features can be predicted with confidence by modifying their value. Sensitivity tests can be performed with data variation in realistic limits to quantify the corresponding error scope of the output hydrographs. Besides, physically based distributed models easily handle every topographic characteristics and soil types.

2. THEORETICAL MODEL

We focus here on the thin water layer propagating on natural tridimensional slopes in order to compute the lateral discharge for each element river. This additional inflow that propagates in the main drainage path is then computed in a final stage with the specific software applied this Symposium in the scope of dam-break flood wave propagation.

It is generally assumed that a dynamic equilibrium exists between friction and gravity components in the direction of flow. Resulting from the scale difference between the

characteristic spatial dimension and water layer thickness, dynamic equations reduce to biunivoque relationships between the speed components and the water depth.

$$\bar{\mathbf{u}} = \sqrt[4]{\sin \theta_{j} \sin \theta_{j}} \mathbf{c}_{f} \sqrt{\mathbf{h}} \cos \theta_{s} = \mathbf{a}^{l} \mathbf{h}^{m} \cos \theta_{s}$$
 (1)

$$\bar{v} = \sqrt[4]{\sin \theta_{j} \sin \theta_{j}} c_{f} \sqrt{h} \sin \theta_{s} = a' h^{m} \sin \theta_{s}$$
 (2)

with x, t = the time and space variables; h(x,t) =the height of water; u, v(x,t) = components of the average speed along respectively the axes x, y; r(x,t) = the speed of the rainfalls; i(x,t) = the infiltration speed into the soil; θ_i = the opposite of the angle between local topography and the axis i; θ_s = the angle between the local flow direction and the axis x;a', c_f = parameters including surface and flow characteristics

They convert the continuity equation, expressed in terms of water layer thickness, into the following fully non-linear quasi-tridimensional system:

$$\frac{\partial h}{\partial t} + \frac{\partial (a_i h^{m+1})}{\partial x_i} + B(h) = 0$$
(3)

with B(h) = the general function including the infiltration speed into the soil, the intensity of rainfalls and other effects of exchanges and losses, a_i = parameters including topographical data, surface and flow characteristics.

This kinematic wave approximation was proposed for the first time in the hydrologic field by Woolhizer and Ligett. They assessed the conditions of validity referring to two adimensional numbers and proved that overland flows conform to the fit range of these adimensional values.

Besides, several experiments confirmed that laminar and turbulent flows arise for each covering, depending on soil properties, fluctuations in depth and roughness and raindrop impact. A reasonable agreement can be assumed with the biunique relation between depth and discharge proposed by Manning.

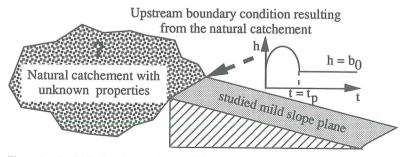


Figure 1. - Symbolic description of the studied catchement

3. SPURIOUS OSCILLATIONS IN OVERLAND FLOWS COMPUTATIONS

The first theoretical example shows that models built on the kinematic hypothesis are confined to work on "equivalent" catchment elements of simple shape, instead of the original quasi-tridimensional topography, whenever the presence of potential discontinuities is neglected.

We suppose that a natural catchment ends downstream in a constant slope plane. We provide no information about physical, topographical and morphological properties of the natural upstream part of the studied basin. Nevertheless, we describe the temporal evolution of the water depth at the upstream boundary of the ending slope. Due to the transformation processes on the unknown catchment, it takes the shape of half a sinusoïdal period, followed by a constant value. We leave the system evolve freely in the time and we investigate the impervious plane submitted to a constant rainfall intensity.

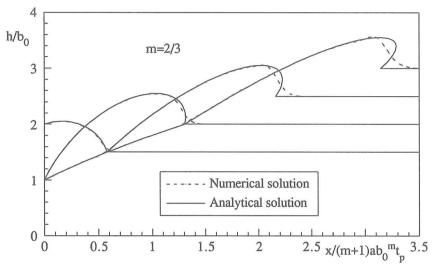


Figure 2. - Free surface flow on the mild slope plane for different times

Since the celerity is an increasing function of water depth, the higher points of the wave will overtake and crowd the lower portions in front of them. The wave becomes steep and the analytical free surface flow takes the breaking profile illustrated on figure 2. This solution brings fundamental contradictions referring to kinematic hypothesis, which ensures a biunivoque relation between depth and discharge. A theoretical approach of overland flow dynamics proves that a fit approximation consists, at this scale of modelization, to introduce moving bores satisfying the continuity requirement. Despite these shocks that are physically relevant, the kinematic wave approximation is theoretically confirmed to describe the continuous part of the solution.

4. NUMERICAL CONSIDERATIONS

It can be stressed that the classical Galerkin formulation used with the finite element method fails to predict and track with confidence shocks that occur in the correct solution. It induces parasitic effects highlighted by significant errors in the global volume balance.

The proposed shock capturing approach introduces discontinuous weighting functions in order to selectively dissipate the parasitic waves. The application of the Petroy-Galerkin

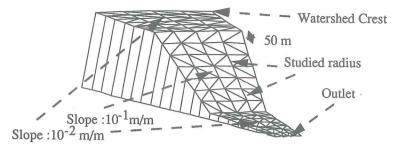


Figure 3 - Discretization of the studied catchment

technique to the basic equations leads to an orthogonal projection of the residual error due to discretization approximation to a set of linearly independent functions included in a vector P. We suggest the following test function components for P:

$$P_{i} = N_{i} + \alpha'_{ux} \frac{\partial N_{i}}{\partial x} + \alpha'_{uy} \frac{\partial N_{i}}{\partial y}$$
(4)

with n = the number of discretization points; h = N^T . H, with H the approximate unknowns vector; N^T = < N_1, N_2,..., N_n > , with N_i the classical interpolation functions; P^T = < P_1, P_2,..., P_n > , with P_i the original shape functions; α'_{ux} , α'_{uy} = the dissipation parameters

Moreover, a second variant of the code, including the features to handle any natural topography and landuse was built with explicit upwind first order and second order finite volume methods. The temporal discretisation is based on explicit Runge Kutta schemes. Non-limear limiters are introduced in order to ensure monotone transitions of the water depths in shocks regions while maintaining high order of precision in smooth regions.

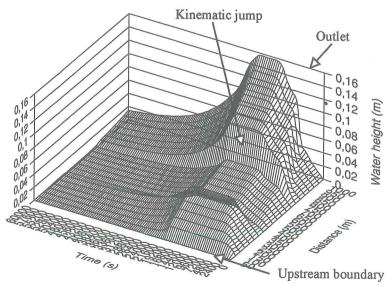


Figure 4 - Temporal evolution of water height on the studied radius

This second approach, reducing computation costs, is presently tested on basic applications to compare its behaviour with the first variant.

The computation of the previously described example was carried out with the finite element method in order to reveal the highly dissipative character of the method. This comparison (see figure 2) conforts the choice of the weak formulation of the problem, which ensures continuity through any kinematic jump.

5. COMPUTATION OF HYDROLOGICAL JUMPS

This example suggests in practice the occurrence of this kind of shocks when we are dealing with a succession of natural slopes. The converging watershed area described in figure 3 is impervious but its morphological parameters values correspond to natural properties.

Theoretical considerations assess that if the first upstream transition of slopes introduces no hydraulic singularity, on the other hand the downstream one generates a transient shock because of the sudden decrease of discharge capabilities for a given water thickness. The code first produces a rising undisturbed shock, then a steady stage exhibiting the equilibrium for constant rainfalls of 5.10 m/s and finally the falling stage of the thin water layer after the end of this rainfall event (see figure 4).

6. INFLUENCES OF LANDUSE CHANGES

Each version of the proposed non-linear package works on a general digital terrain model, and handles spatial and temporal variations of rainfall and soil properties. Partially dried elements deal with the effects of infiltration, acting as a withdrawal for the free surface flows.

This approach is actually comforted by comparison with experimental data on natural watersheds.

The physical meaning of each parameter of the modelization and the much more realistic mathematical approach in terms of understanding the physics of overland flow processes allow to reflect easily the impact of any change of catchment features, as highlighted in fugure 5, with a small 34-ha natural catchment of submitted to a constant rainfall of 15.10^{-6} m/s during 1200 s.

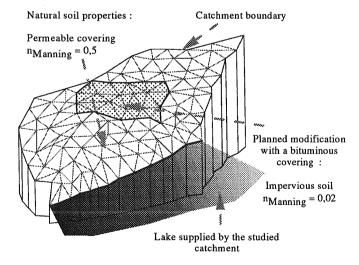


Figure 5 - Discretisation of the studied geometry

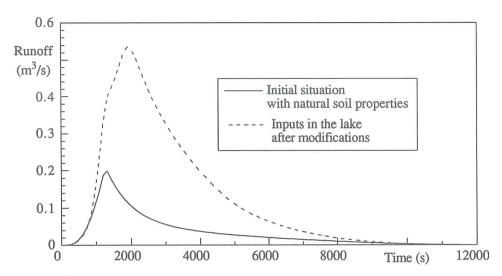


Figure 6. - Flood hydrographs poured in the lake before and after soil covering changes

This example analyses the effects on the hydrograph poured in a lake resulting from a covering modification. The first simulation is carried out with natural soil parameters while the curve of modified inputs reflects the effects of a planned bituminous surface (figure 6).

7. CONCLUSION

A global understanding of the physics of surface flows, in the fields of hydrology and hydrodynamics, leads to very large prospects of applications, especially in the scope of water resources management. However, reliable numerical resolutions have to be found, which apply for a wide range of hydrodynamic processes including problems of shocks.

In the field of hydrology, physically based models can follow the thin water layer flowing on the ground. However, the potential presence of discontinuities is demonstrated for runoffs computed on three-dimensional digital terrain models. Shock capturing approaches are therefore to be applied in accordance with the physical meaning.

Globally, the theoretical and numerical study of the physics of the unsteady free surface flows passes beyond all characteristic scale differences. Numerous benefits of this point of view can be found in considering first common physical features of the flows, then in discerning numerical shortcomings also as cautions aimed to ensure the best numerical efficiency.

8. BIBLIOGRAPHY

WOOLHISER D.A. and LIGGETT J.A., *Unsteady one-dimensional flow over a plane - the rising hydrograph*, Water Resources Research, Vol 3, nr 3, 1967, pp 753-771.

MORGALI J.R., Laminar and turbulent overland flow hydrographs, Journal of the Hydraulics Division, ASCE, No HY2, February 1970, pp 441-460.

PIROTTON M., Modélisation des discontinuités en écoulement instationnaire à surface libre (Du ruissellement hydrologique en fine lame à la propagation d'ondes consécutives aux ruptures de barrages), Ph.D. Thesis, University of Liège, 479 pages, April 1994.

FROM THE SAME PUBLISHER:

Betâmio de Almeida, A. & T.Viseu (eds.) 90 5410 916 5 Dams and safety management at downstream valleys – Proceedings of the international NATO workshop, Lisbon, Portugal, 13-15 November 1996

1997, 25 cm, 256 pp., Hfl.140/\$80.00/£47

Dam authorities, owners, engineers and academics recognize the needs for a permanent effort in order to guarantee a high level of safety against dam accidents and incidents, as well as to protect human lives against abnormal floods in the valleys. New methodologies are under development, involving both engineering and social sciences, within an integrated concept of valley risk management. A NATO workshop was held in Lisbon, Portugal to discuss these issues. Invited speakers from different countries presented their experiences and research works. These contributions constitute the core of the book published for the benefit of all interested in dam safety and risk management.

90 5410 1768 Shaitan, V.S., K.V.Shaitan & D.V.Morozova Protection of earth slopes of hydraulic structures 1997, 24 cm, 500 pp., Hfl.190/\$95.00/£63 (No rights India) The main feature of the book is that the design of slope protection measures has been dealt with by considering a wide range of variation of the elements constituting a stowm effect (irregular wind waves) accompanied by an analysis of limiting strength, deformation and other states of the structures. This has been achieved by applying generalised data relating to construction and maintenance of slope protection measures under different conditions of service. Attention has also been devoted to engineering estimation of the causes and consequences of damage to protection measures. This is a problem of topical interest because there are no clearly defined criteria of assessing the hazard rating of various effects on the performance of structures, including the life of the materials used in construction. The book contains recommendations on determination of initial data for various possible designs of the protection measures.

Mgalobelov, Yu.B. & Yu.A.Landau 90 5410 2527 Non-conventional concrete dams and rock formations – A critical review of patens and ligences 1997, 24 cm, 294 pp., Hfl.165/\$85.00/£55 (No rights India) Gravity, counterfort and arch dams are dealt with. Construction, techniques, both old and modern, their development over the years, their merits and demerits are traced with citations of numerous Soviet and foreign dams, patents and codes. Techniques, such as block concrete, vibrated concrete construction are examined critically. Several tables, showing valuable comparitive data on world dams, updated up to 1991, are included in the book.

Kutzner, Christian 90 5410 682 4

Earth and rockfill dams - Principles for design and construction 1997, 25 cm, 346 pp., Hfl.175/\$95.00/£58 (No rights India)

Each earthfill and rockfill dam is a unique structure. There are some basic rules which can be recognized in each of them as design criteria or constructional particularities. This book demonstrates methodically these basic rules.

The reader is guided from the state of preliminary works, including all geotechnical methods of investigation, to the design of all kinds of embankment dams, further to the construction and finally to the control of the safety and performance of the completed structures. This order follows the sequence in which problems and questions will arise in the course of geotechnical work on a dam project. The investigations of construction materials and of the foundation, the design criteria and the constructional principles are comprehensively explained, by discussing examples of existing projects around the world. An overview is given of the analy-

sis which have to be followed up in advanced embankment dam engineering. The book reflects more than 20 years of experience by the author in all phases of work, from field investigation to the completion of the work and the control of its performance.

Varma, C.VJ. & A.R.G.Rao (eds.) Dam safety evaluation - Selected papers of the 2nd international conference, Trivandrum, India, 26-30 November 1996 1997, 25 cm, 470 pp., Hfl.250/\$125.00/£83 (No rights India) Dam safety is of great importance and calls for a multidisciplinary approach. The life of a dam can be threatened by natural phenomenon such as floods, earthquakes, landslides, and deterioration of construction materials. The major causes of failure are due to foundation failure, inadequate spillway capacity, poor construction, uneven settlement, etc. These problems are due to foundation deficiencies, erosion of foundation, seepage through dams, erosion of downstream faces, deterioration of materials, poor maintenance of electrical and mechanical equipment, inadequate study of earthquakes, etc. Topics covered: Design review of dams, spillways and strengthening measures; Rehabilitation of dams in distress including seepage control; Automation of flood warning system; Monitoring of dam safety - instrumentation and seismicity; Case studies; Dam safety - Research needs and legislation.

Schnitter, Nicholas 90 5410 1490 A history of dams – The useful pyramids 1994, 25 cm, 282 pp., Hfl.110/\$55.00/£37
The careful management of the available water resources was important since people became sedentary, i.e. since thousands of years. The construction of dams reaches equally far back. This book traces their history from these early times up to the present for the first time on a global scale, from ancient Greece to Mesoamerica and from Yemen to China. Special chapters are devoted to the Ro-

and from Yemen to China. Special chapters are devoted to the Roman empire, the Moslem world and medieval eastern Asia. After an account of the developments in postmedieval Europe the evolution of modern worldwide dam technology is described in detail. As dam designer in a large international firm the author was able to participate in these developments for almost 40 years. 90 photos and 113 drawings.

90 54 10 60 5 0

Manual on the use of rock in hydraulic engineering (CUR Report, 169)

1995, 30 cm, 902 pp., Hfl.570/\$285.00/£190

A practical guidance on the use of rock in hydraulic engineering. Integrated approach to the planning & design process by considering a range of related parameters (e.g. availability & durability of materials; environmental implications; method of construction; future management strategy; economic factors) alongside the basic

engineering requirements. Contents: Introduction; Planning & design; Material; Physical site conditions & data collection; Physical processes & design tools; Marine & Inland waterway structures; Closure works; Construction aspects; Maintenance; Appendices.

Singh B. & R.S. Varshney
Engineering for embankment dams
1995, 24 cm, 745 pp., Hfl.210/\$105.00/£70
(No rights India)
A comprehensive and up-to-date information to students and designers of embankment dams. 19 chapters deal with all problems associated with embankment dam projects. Design procedures have been illustrated by solved examples. A large number of sections layouts of important dams, as well as information about them has been provided. Special features include hydraulic fracturing, stability analysis, stability during earthquakes, case studies of typical failures, river diversion during construction & environmental impact.