

1 **Worsening of COVID-19 after chemotherapy in patients considered to have recovered**
2 **from a SARS-CoV-2 infection**

3 Arthur Poncelet¹, Gil Verschelden², Martin Colard¹, Marc Hildebrand³, Maya Hites², Nicolas
4 Yin⁴, Charlotte Michel⁴, David Grimaldi⁵, Virginie De Wilde¹

5 ¹Departement of Hematology, CUB-Erasme Hospital, Université Libre de Bruxelles, Brussels,
6 Belgium

7 ²Clinic of Infectious Disease, CUB-Erasme Hospital, Université Libre de Bruxelles, Brussels,
8 Belgium

9 ³ Department of Internal Medicine, CUB-Erasme Hospital, Université Libre de Bruxelles ,
10 Brussels, Belgium

11 ⁴Department of Microbiology, Laboratoire hospitalier universitaire de Bruxelles (LHUB-
12 ULB)- Université libre de Bruxelles, Brussels, Belgium

13 ⁵Departement of Intensive Care, CUB-Erasme Hospital, Université Libre de Bruxelles ,
14 Brussels, Belgium

15 **Running title :** Worsening of COVID-19 after chemotherapy

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22 **Correspondence to:**

23 Dr Arthur Poncelet
24 Department of Hematology
25 CUB-ErasmeHospital
26 Route de Lennik 808
27 1070 Bruxelles, Belgium
28 E-mail: arthur.poncelet@erasme.ulb.ac.be
29

1 Given the increased risk of infection after chemotherapy, hematological societies have
2 proposed postponing chemotherapy, when feasible, during the COVID-19 pandemic (1),
3 despite initial studies showing no increase in the incidence of COVID-19 among
4 hematological patients(2). However, immune deficiency in these patients may impair viral
5 clearance and recent data suggest that hematologic malignancies are associated with increased
6 mortality due to COVID-19 (3,4). Nevertheless, the pathophysiology and disease presentation
7 differs in these patients compared to non-hematological patients (5). Several cases of
8 asymptomatic or symptomatic SARS-CoV-2 infection with persistence of virus in the
9 respiratory tract have been reported(6,7). However, the appropriate timing of administration
10 of chemotherapy after a patient has clinically recovered from COVID-19 still remains unclear.
11 Here, we report three cases involving patients with aggressive lymphoma treated with
12 chemotherapy following recently recovered COVID-19.

13 A 75-year-old male with a history of hypertension, dyslipidemia, and prostate cancer treated
14 with brachytherapy seven years ago was diagnosed in July 2020 with diffuse large B-cell
15 lymphoma (DLBCL), stage IVB (testicular involvement, no central nervous system (CNS) or
16 medullary involvement), R-IPI 4, CNS IPI 3, GC phenotype, (not double-HIT). The patient
17 was treated with chemotherapy (R-CHOP-21). The last chemotherapy before SARS-CoV-2
18 infection was the fifth cycle (October 26th).

19 On October 31st, the patient was admitted to the emergency department for diarrhea and
20 cough without fever. He was not neutropenic and SARS-CoV-2 polymerase chain reaction
21 (PCR) was positive on naso-pharyngeal swab (NPS) (cycle threshold value (Ct): 6.7/32). The
22 patient was discharged with a diagnosis of mild COVID-19 and became rapidly
23 asymptomatic. Our hospital had chosen a strategy to assess viral clearance based on the
24 symptoms rather than on testing and no PCR control for SARS-CoV-2 on NPS was
25 performed, in accordance with local guidelines.

1 On November 16th, he received his 6th R-CHOP cycle while completely asymptomatic and
2 without any signs of inflammation in the blood. He was admitted to the hospital on November
3 24th for febrile neutropenia and treated empirically with piperacillin-tazobactam. Blood and
4 urine cultures were negative. However, SARS-CoV-2 PCR on NPS tested positive (Ct:
5 8.8/32), and SARS-CoV-2 serology was negative. The patient's clinical situation rapidly
6 deteriorated and he was admitted to the intensive care unit (ICU) for severe acute respiratory
7 distress syndrome (ARDS). Computed-tomography (CT)-scan of the lung showed "crazy
8 paving" and diffuse ground glass opacities. Bronchoalveolar lavage (BAL) viral culture was
9 positive for SARS-CoV-2. No other pathogen except for HSV1 was identified by microscopy,
10 culture, or multiplex PCR. The patient received 10 days of treatment with remdesivir (8) and
11 two transfusions of 200 ml of convalescent plasma (9). He was treated with isavuconazole for
12 probable invasive aspergillosis (N-galactomannan and PCR positive on BAL) 9 days after
13 ICU admission, but died 5 days later due to multiple organ failure with an RT-PCR on NPS
14 still yielding a low Ct positive result (12.12/32).

15 A 65-year-old male with a history of DLBCL, stage IVB, R-IPI: 4, CNS-IPI: 4, medullary
16 involvement but no CNS involvement, non-GC phenotype, was treated with R-CHOP-21
17 chemotherapy. The last chemotherapy before SARS-CoV-2 infection was the third cycle
18 (October 16th).

19 On November 2nd, the patient was asymptomatic but SARS-CoV-2 PCR was positive (Ct: 29)
20 on NPS performed routinely before a Positron emission tomography (PET)-scan. PET-CT
21 showed complete hematological response and bilateral hypermetabolic pulmonary infiltrates.
22 The patient was hospitalized for COVID-19 pneumonia with hypoxemia on November 12th
23 and treated with 10 days of dexamethasone 6 mg/d and 7 days of piperacillin-tazobactam for a
24 possible bacterial suprainfection. His respiratory status rapidly improved and he was weaned
25 from oxygen. Since we were already 15 days behind schedule with his chemotherapy, and to

1 maintain dose-intensity for this aggressive lymphoma, the decision was made to administer
2 the fourth cycle of chemotherapy with a 15 day delay, on November 20th.

3 On December 2nd, the patient was admitted again for hypoxemia. He was not neutropenic. A
4 chest CT-scan showed exacerbation of ground glass opacities and bilateral patchy shadowing.
5 The patient received empiric antibiotic treatment with cefepim and clarithromycin.

6 Microbiological work-up on BAL was solely positive for SARS-CoV-2 by multiplex
7 syndromic PCR and viral culture. SARS-CoV-2 serology was negative. He rapidly
8 deteriorated and required invasive ventilation for moderate ARDS. He didn't receive specific
9 treatment for COVID-19. His respiratory status improved and he was weaned from
10 mechanical ventilation after 15 days, but is still currently in a rehabilitation facility.

11 A 73-year-old male with a history of thrombo-embolic disease (G20210A mutation of
12 prothrombin) and systemic anaplastic T cell lymphoma, Stage IIIA, IPI 2, ALK negative,
13 CD30 positive, received his third cycle of brentuximab-CHP on October 15th before COVID-
14 19 infection.

15 On October 22nd, the patient was admitted to the emergency department for cough, fever, and
16 anosmia. The diagnosis of mild COVID-19 was made based on a positive SARS-CoV-2 PCR
17 on NPS (Ct: 13) and absence of hypoxemia; the patient was sent home. He subsequently
18 became asymptomatic and received his fourth (November 5th) and fifth cycles of
19 chemotherapy (November 26th).

20 On December 4th, the patient was admitted with febrile neutropenia with hypoxemia and
21 received empiric antibiotherapy with piperacillin-tazobactam. Blood and urinary cultures
22 were negative. Thoracic CT-scan showed bilateral ground glass opacities. SARS-CoV-2 PCR
23 was positive on NPS and serology was negative. No contact with a COVID-19 case was
24 documented. BAL was solely positive for SARS-CoV-2 by syndromic multiplex PCR (Ct:

1 23,8) and viral culture. He was treated with 10 days of remdesivir, one transfusion of
2 convalescent plasma and 6 days later with SARS-CoV-2 monoclonal antibodies (REGN-
3 COV2) (10) followed by rapid clinical improvement. Two consecutive PCR on NPS
4 performed 1 week apart could not detect SARS-CoV2.

5 We report three cases of COVID-19 during treatment for aggressive lymphoma, two of them
6 deemed to have recovered and one improved following an initial SARS-CoV-2 infection
7 episode but showed clinical worsening of COVID-19 following chemotherapy administration.
8 The clinical worsening was attributed to COVID-19 because patients were still shedding
9 SARS-CoV-2 that was grown on cell culture they presented typical images on chest CT-scan
10 suggestive of COVID-19, and no other pathogens were found identified by microbiological
11 work-up.

12 In a meta-analysis from Vijenthira et al., recent chemotherapy was not associated with an
13 increased risk of death (3), but this is a different situation than the cases we have presented as
14 patients had received chemotherapy before being infected. In another study, no reactivation
15 was documented following chemotherapy in patients previously infected with SARS-CoV-2,
16 but all patients had negative SARS-CoV-2 PCR on NPS and positive SARS-CoV-2 serology
17 before receiving chemotherapy (11). Hueso et al. recently described a patient who developed
18 symptomatic COVID-19 following chemotherapy following a previous documented infection
19 8 weeks earlier (9).

20 It is noteworthy that all three patients had negative serology for SARS-CoV-2 more than one
21 month after their first positive PCR for SARS-CoV-2, suggesting that these patients were not
22 able to develop a humoral immune response against SARS-CoV-2. Although we do not have
23 data concerning the cellular immunity of these patients against SARS-CoV-2, we can
24 hypothesize that it was impaired due to the underlying malignancies and chemotherapy.

1 It stays unclear whether these cases were relapses or reinfections. For example, the third
2 patient was diagnosed more than 1 month before being admitted for severe COVID-19. The
3 Genotyping of the consecutive SARS-CoV-2 ARN could theoretically help us differentiate
4 between these two situations. However, it will be difficult to determine whether patients
5 experienced an aggravation of the original SARS-CoV-2 infection due to chemotherapy or a
6 new SARS-CoV-2 infection because viral mutations, either spontaneous or due to treatment
7 pressure, have been reported (5).

8 Guidelines from the European Society for Medical Oncology propose delaying chemotherapy
9 until SARS-CoV-2 viral clearance for patients with lymphoma and COVID-19 infection (12).
10 However, evaluation of viral clearance is ambiguous as SARS-CoV-2 PCR can remain
11 positive for months (13), and resolution or absence of symptoms does not rule out persisting
12 infection, as illustrated by our three cases and described by others (5)(14). Furthermore, in
13 cases of aggressive neoplasia, delaying therapy is associated with an increased risk of relapse
14 or progression of disease (15,16). Therefore, specific guidelines should be discussed
15 regarding , definition of viral clearance demonstration, and indications for specific use of
16 convalescent plasma and/or monoclonal antibodies. A thorough evaluation of the balance
17 between the risk of viral reactivation/relapse and the benefit of maintaining a dose-intensity
18 for that type of aggressive lymphoma when administering chemotherapy is of paramount
19 importance for these patients. Humoral immune response against SARS-CoV2 has to be
20 assessed (using specific IgG antibody titer), and if missing, either chemotherapy has to be
21 postponed or patients have to be vigorously monitored for SARS-CoV2 reactivation or new
22 onset infection.

23 Moreover, we believe that monoclonal antibodies and convalescent plasma could represent an
24 effective treatment for these patients presenting with SARS-CoV2 along with a humoral
25 immunity deficiency due to an underlying condition and/or its treatment.

1 In conclusion, we report three cases of worsening COVID-19 after chemotherapy in
2 lymphoma patients who were clinically cured after a first episode of SARS-CoV-2 infection.
3 These cases highlight the importance of viral clearance assessment before chemotherapy, as
4 patients may reactivate SARS-CoV-2 infection, resulting in severe COVID-19 disease.

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10 **Author contribution statement:**

11 AP: Conceptualization, writing original draft, GV,NY,CM: Data curation, reviewing MH,
12 DG, VDW, MC: reviewing, editing

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14 **Ethical statement:**

15 First patient was not able to sign a written informed consent, his wife have accepted that his
16 medical information's was published. Two others patients signed a written informed consent.

17 The use of data was approved by Erasme University Hospital ethics committee

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