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8 **Leaf habit, maximum height, and wood density of tropical woody flora in Africa:**
9 **phylogenetic constraints, covariation, and responses to seasonal drought**

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27 the database.

28 **Author Contributions**

29 A.-P. Gorel designed the study and conducted the research. A.-P. Gorel and A. Fayolle
30 compiled data on species traits and A. Fayolle provided expertise on functional ecology. A.-P.
31 Gorel, G. Ligot, V. Rossi, and O.J. Hardy performed the phylogenetic analyses. H. Beeckman
32 provided the data for wood density and expertise on wood traits, K. Steppe provided expertise
33 on hydraulic strategies. All authors participated in the interpretation of the results. A.-P. Gorel
34 wrote the manuscript, and all authors made significant contributions to the revisions.

35 **Data availability statement**

36 The trait database (including leaf habit and maximum height) is available online in Dryad
37 <https://doi.org/10.5061/dryad.q573n5ttn>

38 **Conflict of interest statement**

39 The authors declare no conflict of interest.

40 **Summary**

41 1. How woody floras have evolved to cope with seasonal drought is still poorly known,
42 especially in tropical Africa, which experiences a drier and more seasonal climate compared
43 to other tropical regions.

44 2. Here, we characterized the phylogenetic and climatic distribution of three key traits
45 associated with desiccation avoidance or tolerance, focusing on self-supporting woody species
46 of tropical African forests and savannas. We assembled a large database with newly compiled
47 data on species leaf habit (evergreen vs deciduous, 1,255 species) and maximum height (1,281
48 species), along with new data on oven-dry wood density (1,363 species), climatic niche, and
49 phylogenetic relatedness. Bayesian phylogenetic mixed models were used to assess the
50 phylogenetic signal in niches and trait attributes, to explore individual trait responses to
51 climate and to investigate trait covariations, both in general and for each characterisation of
52 the climatic niche. To date, this study represents the first quantitative assessment of the
53 phylogenetic signal in the species leaf habit for the woody flora of tropical Africa, though
54 drought deciduousness is widespread in seasonal forests and savannas.

55 3. We identified a strong phylogenetic signal, notably for species biome affinity and leaf habit,
56 but also major evolutionary changes. Relying solely on climate was found to be ineffective for
57 predicting species wood density and insufficient for accurately predicting species leaf habit or
58 maximum height. Among forests, the effect of climate on leaf habit became evident when
59 considering covariations with maximum height or wood density. Small understory species are
60 more likely to be evergreen with dense wood, while canopy species are more likely to be
61 deciduous with light wood. This general pattern varies with climate as both evergreen and
62 deciduous species coexist in the canopy of the wettest sites.

63 4. Synthesis. This study provides first insights into how phylogenetic constraints and climate
64 have shaped species traits related to drought strategies for the woody flora of tropical Africa.
65 It pioneers the modelling of leaf habit in relation to maximum tree height and wood density,
66 considering numerous species and their phylogenetic relationships.

67 **Keywords**

68 Adaptation, Africa, Climatic niche, Deciduous, Evergreen, Phylogenetic signal, Traits, Tropical
69 biomes.

70 Introduction

71 The increase in extreme climatic events, such as droughts and heat waves, induces serious
72 tree mortality around the world (Hartmann et al., 2022) and is expected to worsen
73 dramatically in the coming decades (Thiery et al., 2021). Predicting how trees will respond to
74 these changes is crucial and requires an understanding of the functional processes governing
75 species drought vulnerability (Brodribb et al., 2020). In recent decades, efforts have been
76 made to improve our knowledge of the drought-related strategies for tropical trees, but the
77 lack of data in Africa is alarming (Oliveira et al., 2019). There is an urgent need to focus on
78 African forests and savannas, which together cover around 10% of the world's land area and
79 are characterized by drier and more seasonal climates than other tropical regions (Silva de
80 Miranda et al., 2022).

81 Deciduousness provides a clear advantage in seasonally dry environments. It halts
82 transpiration and prevents xylem embolism, reducing the risk of hydraulic failure (McDowell
83 et al., 2008)—a major cause of tropical tree mortality (Rowland et al., 2015). Deciduousness
84 is likely a crucial desiccation avoidance strategy for many tropical tree species (Oliveira et al.,
85 2021). In central Africa, the percentage of deciduous trees in forest plots has been shown to
86 increase with the severity of the dry season (Ouédraogo et al., 2016). A marked gradient in
87 deciduousness has long been recognized between wet evergreen forests in Gabon and the
88 vast area covered by semi-deciduous forests further inland (Aubréville, 1968; White, 1979). A
89 recent analysis of commercial forest inventories across the region confirmed this spatial
90 pattern and estimated the percentage of deciduous trees to vary between 10% in wet
91 evergreen forests to approximately 60% in the drier and more seasonal forests (Réjou-
92 Méchain et al., 2021). The relationship between forest deciduousness and climate can

93 however be altered by soil fertility (Oliveira et al., 2021; Ouédraogo et al., 2016), as nutrient-
94 poor soils favour species with long-lived leaves, minimizing nutrient costs and outcompeting
95 deciduous species in short growing periods (Aerts, 1995). In African savannas, which are found
96 under drier and more seasonal climates than forests (Aleman et al., 2020), the deciduous leaf
97 habit dominates, as separately reported for the Sudanian (de Bie et al., 1998; Seghieri et al.,
98 2012) and the Zambezian (Chidumayo & Gumbo, 2010) regions.

99 Under similar climatic and soil conditions, deciduous species are likely to adopt a more
100 acquisitive strategy than evergreen species (Markesteyn & Poorter, 2009; Oliveira et al., 2021
101 and references therein). The loss of leaves indeed results in a loss of energy, as photosynthesis
102 is interrupted, and to compensate, deciduous species must exhibit hydraulic and
103 photosynthetic efficiency during a shorter growing season. Acquisitive strategy has been
104 related to lower wood density (Reich, 2014; Wright et al., 2010) and increased xylem
105 susceptibility to embolism (Oliveira et al., 2021). However, the impact of increased embolism
106 sensitivity on the fitness of deciduous species could be minimal, given their ability to avoid
107 critical drops in water potential by shedding their leaves during the dry season. In contrast, to
108 tolerate seasonal drought, evergreen species should adopt a more conservative strategy,
109 allocating their resources to denser, more embolism-resistant sapwood tissues (Choat et al.,
110 2005; Hacke et al., 2001; Oliveira et al., 2021). Wood density can therefore be considered as
111 important trait in tree drought research, and is studied globally (Chave et al. 2009), including
112 in Africa (Maniatis et al., 2011). Yet conflicting evidence for tropical trees exists regarding its
113 relationship with climate. Some studies report an increase in wood density with decreasing
114 rainfall (e.g. Fayolle et al., 2016; Ibanez et al., 2017), while the opposite (e.g. Barbosa &
115 Fearnside, 2004; Maharjan et al., 2011) and no trend have also been reported, the latter

116 referring to other determinants of wood density such as soils (Gourlet-Fleury et al., 2011) and
117 disturbance (Réjou-Méchain et al., 2021).

118 The investment by deciduous species in lighter wood with potentially wider vessels may
119 enable them to attain greater heights (Fajardo, 2022, 2022; Liu et al., 2015; Olson et al., 2018)
120 compared to evergreen species, which should prioritize denser tissues resulting in an increase
121 in hydraulic resistance that may physically limit height (Koch et al., 2004). This contrast
122 between tall deciduous and small evergreen species has already been reported in moist
123 forests of central Africa where tall canopy species are typically deciduous and coexist with
124 small evergreen understory species (Loubota Panzou et al., 2018). The advantage of a taller
125 stature is evident in forest environments where individuals compete for light (Kitajima &
126 Poorter, 2010) and in savannas where height growth allows for escaping crown damage
127 resulting from browsing and low-intensity fires (i.e. the escape height, Dantas & Pausas, 2013).
128 Concerning small species, they evolved toward other traits in forests, such as producing tough,
129 well-protected leaves, increasing lifetime carbon gain while limiting self-shading to tolerate
130 shade in understory (Kitajima & Poorter, 2010; Sterck et al., 2006); and in savannas, they
131 developed thorns or shrubby forms to prevent browsing or have invested in thick bark to
132 survive fires (Charles-Dominique et al., 2016).

133 In addition to varying with environmental conditions, traits often display a high phylogenetic
134 signal, indicating that phylogenetically related species tend to share similar attributes and
135 occupy similar environments (Blomberg & Garland, 2002). This signal emerges when traits
136 have evolved only gradually through small changes driven by genetic drift and fluctuating
137 directional selection, or in response to weak selective pressure (Losos, 2008). In contrast, a
138 weak phylogenetic signal is expected in traits that have undergone rapid evolutionary changes

139 in response to environmental pressures or other forms of selection, or in traits prone to high
140 phenotypic plasticity or measurement errors (Housworth et al., 2004). Certain traits, like
141 phenology, show a high phylogenetic signal, as observed for flowering in the northern
142 hemisphere (Davies et al., 2013) and in Panama (Wright & Calderon, 1995) but this pattern
143 remains untested for the African flora. In any case, accounting for phylogeny is crucial when
144 modelling the environmental response of species traits and strategies, as it addresses the
145 statistical dependence among species resulting from their shared evolutionary history (Davies
146 et al., 2013).

147 In this study, we aimed to characterize the phylogenetic and climatic distribution of three key
148 traits associated with two dominant ecological strategies to cope with drought: desiccation
149 avoidance and tolerance. Traits include leaf habit (deciduous vs. evergreen), maximum height,
150 and wood density. Based on existing information on species climatic niche (defined here as
151 the *potential niche* according to Guisan et al. (2014)) and biome affinity (Gorel et al., 2022),
152 on phylogenetic relatedness (Janssens et al., 2020), and on an extensive compilation of traits,
153 we specifically addressed the following research questions. (1) What is the strength of the
154 phylogenetic signal in climatic niches and traits? (2) How do traits vary across climates? (3) To
155 what extent is the response of leaf habit to dry and seasonal climatic conditions influenced by
156 species stature and wood density? We hypothesised that the probability of a species being
157 deciduous increases with climatic water deficit (*i.e.* the water lost by the environment during
158 dry months), given the significant advantages of this strategy in drier environments to avoid
159 run-away xylem embolism. Furthermore, within the same climate, we hypothesise that
160 species probability to be deciduous increases with maximum tree height and inversely with
161 wood density. Deciduous species, which avoid drought, are expected to invest in lighter wood
162 and to reach greater heights (Fajardo, 2022). In contrast, evergreen species invest in denser

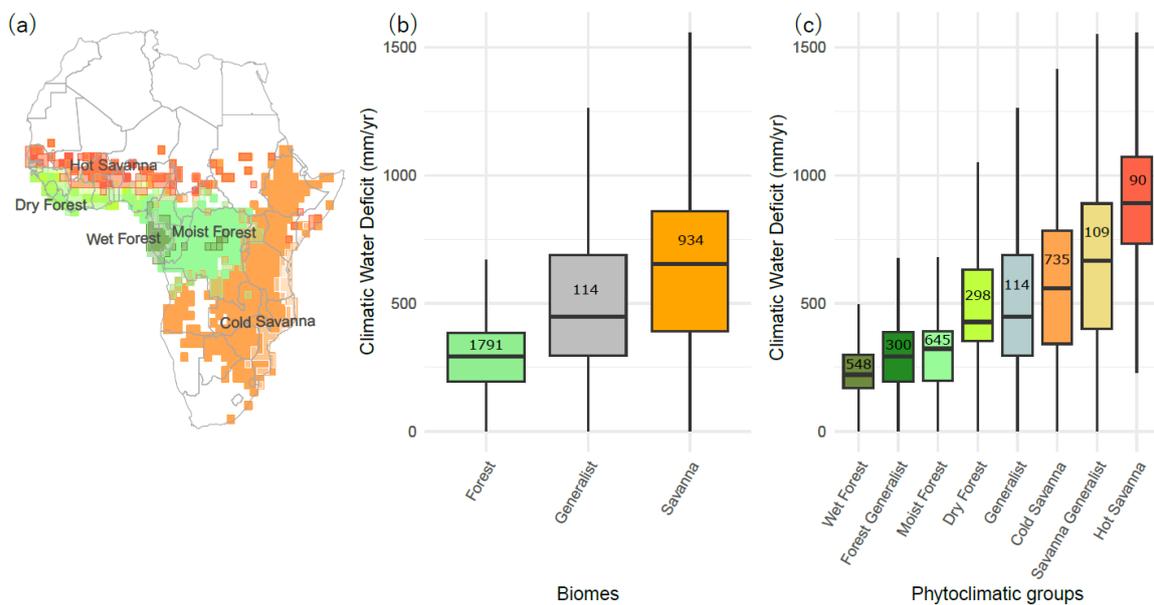
163 wood with expected higher tolerance to embolism formation, increased hydraulic resistance
164 and maximum height limitation.

165 **Materials and methods**

166 *Climatic niche*

167 In a previous study, 3,136 self-supporting (*i.e.* excluding climbers) woody species from tropical
168 Africa were previously assigned to two broad groups corresponding to the forest and the
169 savanna biomes and six phytoclimatic groups when describing their climatic niches at a finer
170 resolution (Gorel et al., 2022). These groups were delineated based on species niche similarity.
171 The niches were modelled using the methods described in Broennimann et al. (2012), using
172 published occurrence data from the RAINBIO database (Dauby et al., 2016), complemented
173 with tree species lists in forest (Fayolle et al., 2014) and savanna (Fayolle et al., 2019) sites,
174 along with climatic spatial data (CMCC-BioClimInd data set, Noce et al., 2020). Species were
175 then grouped according to their niche similarity using a hierarchical clustering approach with
176 Ward's algorithm. We re-analysed this dataset to distinguish, in addition to species
177 characteristic of a given biome or phytoclimatic group, generalist species whose distribution
178 covers both biomes (Aleman et al., 2020) and forest generalist or savanna generalist species
179 whose distribution covers all forest or savanna phytoclimatic groups. This led us to recognize
180 three species groups according to the biome affinity and eight phytoclimatic groups. These
181 groups are differentially exposed to dry and seasonal climatic conditions according to the
182 Climatic Water Deficit index (CWD, Fig. 1), which quantifies the environmental water loss
183 during dry months (defined as months where evapotranspiration exceeds rainfall) by summing
184 the positive differences between monthly potential evapotranspiration and precipitation over
185 one year. Potential evapotranspiration was derived from monthly minimum and maximum

186 temperatures (Droogers & Allen, 2002). Temperature data were from WorldClim (Hijmans et
 187 al., 2005), and precipitation from CHIRPS, which is calibrated with ground station data and
 188 offers higher precision (Deblauwe et al., 2016). Of the initial phytoclimatic groups assigned by
 189 Gorel et al. (2022), we removed species assigned to the coastal group. The vegetation of this
 190 coastal group forms a forest-savanna mosaic driven by complex topography. In the absence
 191 of clear hypotheses on climatic adaptation for this group, we have excluded it from our study,
 192 and, hence, considered 2,839 species assigned to biomes, phytoclimatic groups, and generalist
 193 categories.



194
 195 Figure 1 (a) Dominance across tropical Africa of biomes and phytoclimatic groups delineated in Gorel *et al.* (2022). Based on
 196 the pairwise niche similarity, the woody species (including trees and shrubs) were categorized into two broad groups, which
 197 represent the species biome affinity, with the forest-associated species (green colours) and the savanna-associated species
 198 (orange and red colours), as well as a generalist group corresponding to species covering both biomes (the generalist group
 199 is not represented in the map). The biomes were further divided into phytoclimatic groups: four forest groups ("wet forest"
 200 in dark green, "moist forest" in pale green, "dry forest" in yellow green and a forest generalist group), and three savanna
 201 subgroups ("cold savanna" in orange, "hot savanna" in red and a savanna generalist group). Solid colours represent areas
 202 where more than 50% of the species present in the pixel are assigned to the corresponding group. Pixels with partial
 203 transparency represent areas where more than 25%, but less than 50%, of the species present in the pixel are assigned to the

204 phytoclimatic group. The generalist group at biome level was also included in the phytoclimatic groups. Climatic Water Deficit
205 experienced by the woody species assigned to (b) biomes and to (c) phytoclimatic groups. Boxes denote median values and
206 the 25th to 75th percentiles; vertical lines outside of boxes represent the 10th and 90th percentiles. The number of species
207 assigned to each biome, phytoclimatic group and generalist category is indicated within the boxplots. Note that some zero
208 values in Climatic Water Deficit for savanna occurrences may result from mispositioned data due to coarse resolution.

209

210 *Traits*

211 For the tropical woody flora of Africa, we compiled trait attributes at the species level for leaf
212 habit (n=1,255 species), and maximum height (n=1,281 species) using previously published
213 database, original studies, and flora (the list of data sources is provided in the Data sources
214 section). For species both defined as evergreen and deciduous, the deciduous leaf habit was
215 systematically retained as it expresses the ability of the species for leaf shedding, even barely.
216 When multiple measures for maximum height and wood density were available, mean values
217 were computed. Oven-dry wood density values (*i.e.*, oven-dry mass over oven-dry volume)
218 from xylarium samples were provided by the Service of Wood Biology of the AfricaMuseum in
219 Tervuren, Belgium (n=1,363 species). The global wood density database compiling basic
220 density values (Zanne et al. 2009) was not retained here because it contained less information
221 for tropical Africa. The two databases were not merged due to differences in values between
222 the basic wood density, measured as oven dry mass to green volume, and the oven-dry wood
223 density (Vieilledent et al., 2018), despite their expected similar response to environment. The
224 taxonomic names follow the standards of the Tropicos database, created by the Missouri
225 Botanical Garden. The R package TNRS was used to resolve plant taxonomic names. In all
226 datasets, we exclusively included self-supporting species, such as trees and shrubs, while
227 excluding climbers. As a result, the trait dataset consisted of 1,252 species for leaf habit, 1,275
228 species for maximum height, and 1,253 species for wood density.

229 Phylogeny

230 We used a genus-level dated Angiosperm phylogeny (8,394 genera) developed from the
231 species-level phylogeny (36,106 species) of Janssens *et al.* (2020). We did not consider the
232 intra-genus level because the original phylogeny is based on plastid markers which can induce
233 false topologies within many genera due to chloroplast captures (e.g. Boom *et al.*, 2021 for
234 *Brachystegia* and references therein). The phylogeny recognizes some *Vachellia* and *Senegalia*
235 species but has not revised the taxonomy for all the African species previously named *Acacia*,
236 especially those we studied. Therefore, we have updated the phylogeny to reflect the
237 reclassification into *Vachellia* or *Senegalia*, as proposed by Kyalangalilwa *et al.* (2013).

238 The distribution of biomes and trait attributes across the phylogeny was investigated. The
239 number of species and genera examined varied based on the alignment of traits, biomes, and
240 phylogeny (Table 1). For example, out of the initial dataset of 2,839 species assigned to biomes
241 or phytoclimatic groups, 2,630 species were represented by genera included in the phylogeny.
242 The 499 species included in the phylogeny, with information on biomes (or phytoclimatic
243 groups) and for the three traits, are presented in Fig. 2. For each trait, the values for all species
244 with data are presented alongside the phylogeny in Appendix 1 in SI. An overview figure
245 showing how the whole data are distributed across the phylogeny is also included in Appendix
246 1. The number of species considered for each trait in each biome or phytoclimatic group is
247 provided in Fig. 3, along with the data distribution. This includes the proportion of deciduous
248 vs. evergreen species, as well as the distribution of wood density and maximum height
249 attributes.

250 Statistical analysis

251 We constructed three sets of Bayesian phylogenetic mixed models (“MCMCglmm” R package,
252 version 2.34, Hadfield, 2010), each set addressing one of our research questions. In the first
253 set (Table 1, M1 to M5), we structured the models to evaluate the phylogenetic and non-
254 phylogenetic variances in the species climatic niche and trait, individually. The second set (M6
255 to M11) aimed to assess the relationships between the species traits and the climatic niche
256 (*i.e.*, the trait response to climate). In the third set (M12 to M20), we explored the overall trait
257 covariations, and the trait covariations within climatic niches.

258 In the first model set (M1 to M5), the species climatic niches (biomes or phytoclimatic groups)
259 and the traits (leaf habit, maximum height, and wood density) were individually modelled
260 including only random effects, *i.e.*, the genus-level phylogeny and the genus identity.
261 Following the method outlined in Sanchez-Martinez *et al.* (2020), these models allowed the
262 variance to be partitioned into three components: (1) the phylogenetic variance, quantifying
263 the proportion of variance in the niche/trait attributes explained by phylogenetic relationships
264 among genera; (2) the non-phylogenetic intergeneric variance, quantifying the proportion of
265 variance not explained by the phylogenetic relationships between genera; (3) the intrageneric
266 variance which corresponds to the residual variance of the models, quantifying the proportion
267 of variance caused by intra-genus variability in trait. The phylogenetic variance gives a
268 measure of the phylogenetic signal, also called the “*phylogenetic heritability*” following
269 Housworth *et al.* (2004). The non-phylogenetic and intrageneric variances could result from
270 rapid evolutionary changes in response to the environment or other forms of selection, “*the*
271 *nonheritable component*” of Housworth *et al.* (2004). Preliminary analyses, including
272 simulations of Brownian evolution and randomization of trait attributes (Appendix 2 in SI), as
273 well as classical assessments of phylogenetic signal using phylogenetic distograms (Hardy &
274 Pavoine, 2012) and phylogenetic signal statistics (Münkemüller *et al.*, 2012; results not

275 shown), were conducted to validate the consistency of variance partitioning and our
276 interpretation of the results.

277 In the second model set (M6 to M11), each trait (leaf habit, maximum height, and wood
278 density) was individually modelled in response to biome (or phytoclimatic group) as fixed
279 effect. The genus-level phylogeny and genus identity were included as random effects. We
280 analysed model coefficients and performed pairwise tests of factor contrasts using the
281 "emmeans" R package (Lenth et al., 2021). We quantified the marginal variance (R^2_m),
282 representing the part of variance explained by fixed effects (Nakagawa & Schielzeth, 2013), as
283 well as the remaining proportion of phylogenetic, non-phylogenetic and intergeneric
284 variances.

285 In the last model set (M12 to M20), we explored the pairwise covariations between traits.
286 Specifically, leaf habit was modelled in response to maximum height (M12) or oven-dry wood
287 density (M15). Then, we expanded these models by introducing the climatic niches (biomes
288 or phytoclimatic groups) and its interaction with maximum height (M13 and M14) or wood
289 density (M16 and M17) as fixed effects. We also examined how maximum height was related
290 to oven-dry wood density (M18 to M20). All these models had the same random structure as
291 the previous models, including two random effects: phylogeny at the genus level and genus
292 identity. The marginal, phylogenetic, non-phylogenetic and intergeneric variance were also
293 quantified.

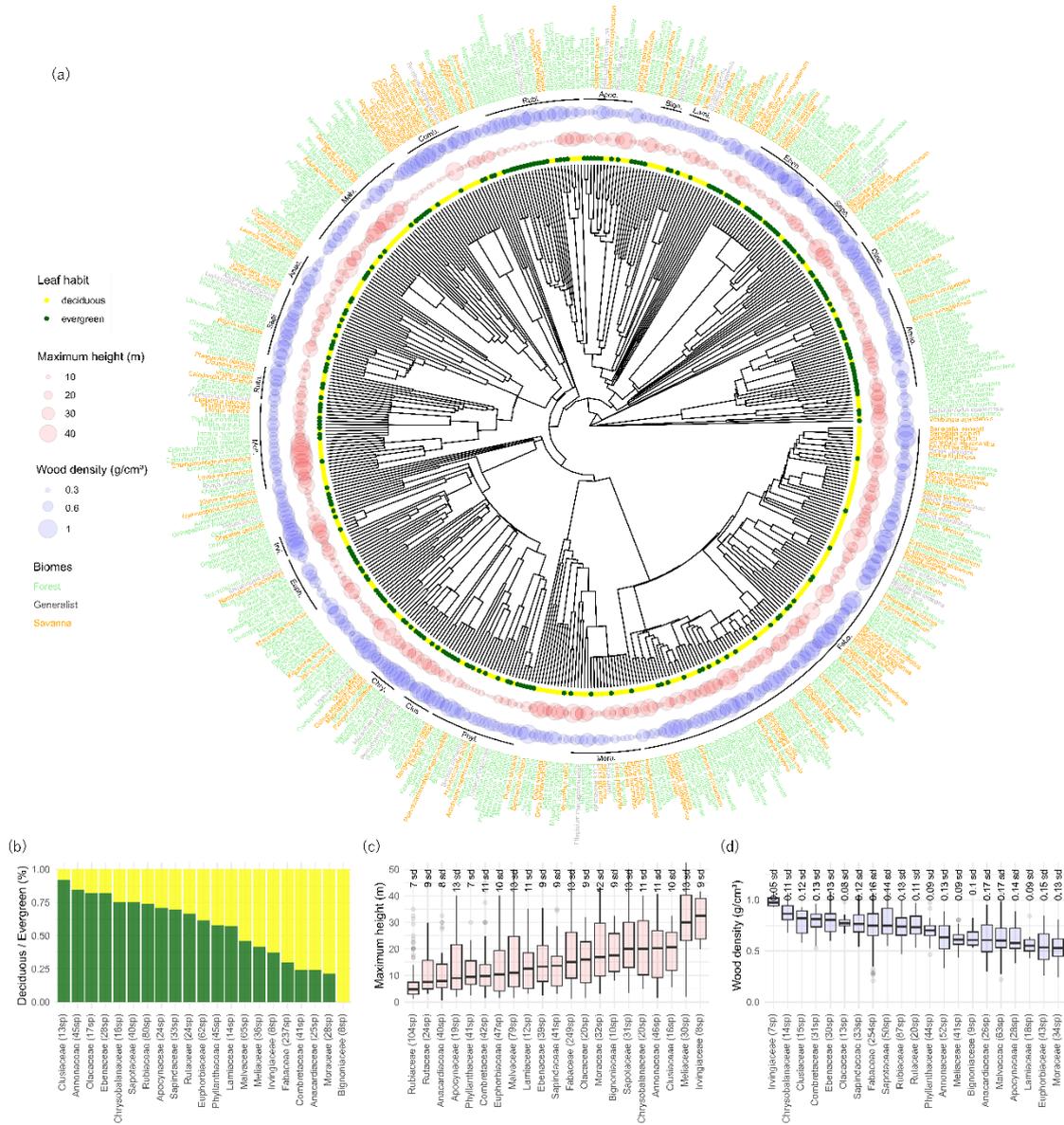
294 Biomes and phytoclimatic groups were modelled as ordinal variables, ordered by increasing
295 Climatic Water Deficit (using the median; Fig. 1). Leaf habit was modelled as a categorical
296 variable. Log-transformed maximum height and wood density were modelled as continuous
297 variables with a Gaussian distribution. We ran the MCMC algorithm for 400,000 iterations with

298 a burn-in of 200,000 and collected every 200 sample to thin the chain. In addition, to ensure
299 that we used a sufficient number of iterations, we calibrated a complex model (M14) with 6
300 million iterations (burn-in = 2 million, thin = 4,000). We found virtually no difference in the
301 results and the variance partition compared with the model calibrated with 400,000 iterations.
302 For each model, the number of species (and corresponding genera) used for calibration is
303 presented (Table 1) and corresponds to those species that have values for the climatic niche
304 and/or trait considered and whose genus is included in the phylogeny.

305 Results

306 Table 1. Variance partitioning for the models tested. All models consider phylogeny at the genus level and genus identity as random effects. The percentages of mean variance, and the lower and
 307 upper 95% confidence intervals (in square brackets) are shown, as well as the number of species and genera used to calibrate the models. The number of species and genera examined varied
 308 depending on the alignment of climatic niches, traits and phylogeny. The marginal variance is the variance explained by fixed effects. Abbreviations corresponds to phytoclim: phytoclimatic groups,
 309 WD: oven-dry wood density, and hmax: maximum height.

Model set	Model	Variable to explain and fixed effects	Species (n)	Genus (n)	Phylogenetic variance (%)	Non-phylo. intergeneric variance (%)	Intrageneric variance (%)	Marginal variance (%)
1. Phylo. and non-phylogenetic components	M1	<i>biomes</i> ~ 1	2630	627	67.51 [48.73 : 84.58]	17.91 [1.81 : 32.33]	14.57 [10.15 : 19.17]	-
	M2	<i>phytoclim</i> ~ 1	2630	627	50.75 [34.57 : 65.82]	17.5 [4.84 : 29.03]	31.75 [26.19 : 37.8]	-
	M3	<i>leaf_habit</i> ~ 1	1159	478	70.66 [43.53 : 93.04]	19.82 [0.03 : 44.64]	9.52 [5.47 : 14.01]	-
	M4	<i>Log(hmax)</i> ~ 1	1195	469	56.15 [43.32 : 68.03]	6.43 [0.43 : 14.77]	37.42 [30.52 : 43.9]	-
	M5	<i>WD</i> ~ 1	1160	462	50.96 [38.36 : 63.95]	17.23 [8.98 : 27.72]	31.81 [26.6 : 37.75]	-
2. Traits response to climate	M6	<i>leaf_habit</i> ~ <i>biomes</i>	870	375	73.77 [49.37 : 90.06]	8.08 [0.02 : 28.82]	9.75 [5.3 : 14.27]	8.4 [3.23 : 13.97]
	M7	<i>leaf_habit</i> ~ <i>phytoclim</i>	870	375	66.08 [43.03 : 85.85]	10.13 [0.02 : 30.52]	8.33 [4.84 : 12.52]	15.46 [8.41 : 23.16]
	M8	<i>Log(hmax)</i> ~ <i>biomes</i>	947	385	46.02 [32.54 : 56.49]	4.39 [0.31 : 11.15]	39.37 [32.38 : 46.72]	10.22 [6.95 : 13.93]
	M9	<i>Log(hmax)</i> ~ <i>phytoclim</i>	947	385	46.28 [33.59 : 57.94]	4.09 [0.38 : 10.52]	38.58 [31.49 : 45.87]	11.05 [7.75 : 14.71]
	M10	<i>WD</i> ~ <i>biomes</i>	872	370	46 [32.26 : 62.36]	21.77 [9.86 : 32.98]	32.04 [26.24 : 38.15]	0.2 [0 : 0.6]
	M11	<i>WD</i> ~ <i>phytoclim</i>	872	370	45.75 [31.53 : 61.51]	21.15 [9.54 : 32.33]	32.32 [25.77 : 38.66]	0.78 [0.13 : 1.49]
3. Trait covariations	M12	<i>leaf_habit</i> ~ <i>hmax</i>	875	389	67.96 [34.42 : 93.96]	21.23 [0.02 : 51.17]	9.63 [5.49 : 15.01]	1.18 [0 : 3.17]
	M13	<i>leaf_habit</i> ~ <i>biomes</i> + <i>biomes:hmax</i>	715	334	52.22 [22.34 : 74.79]	11.04 [0.02 : 34.13]	12.88 [7.18 : 18.62]	23.86 [13.19 : 35.22]
	M14	<i>leaf_habit</i> ~ <i>phytoclim</i> + <i>phytoclim:hmax</i>	715	334	41.46 [17.73 : 64.1]	6.88 [0.02 : 24.32]	10.55 [6.19 : 15.25]	41.11 [27.42 : 55.72]
	M15	<i>leaf_habit</i> ~ <i>WD</i>	646	327	69.93 [45.94 : 88.89]	7.88 [0.03 : 29.74]	16.45 [8.75 : 25.36]	5.74 [0.51 : 11.71]
	M16	<i>leaf_habit</i> ~ <i>biomes</i> + <i>biomes:WD</i>	577	295	62.88 [38.53 : 83.06]	7.1 [0.03 : 26.46]	14.97 [7.21 : 24.17]	15.05 [6.22 : 25.09]
	M17	<i>leaf_habit</i> ~ <i>phytoclim</i> + <i>phytoclim:WD</i>	577	295	53.39 [24.05 : 75.55]	10.19 [0.02 : 30.9]	9.31 [4.65 : 14.71]	27.12 [14.54 : 40.21]
	M18	<i>lnhmax</i> ~ <i>WD</i>	659	327	39.49 [25.3 : 54.32]	6.63 [0.39 : 16.24]	52.41 [41.64 : 63.08]	1.46 [0 : 3.27]
	M19	<i>log(hmax)</i> ~ <i>biomes</i> + <i>biomes:WD</i>	588	294	36.32 [23.1 : 48.05]	3.67 [0.26 : 9.05]	45.76 [36.13 : 56.03]	14.25 [9.65 : 19.37]
	M20	<i>log(hmax)</i> ~ <i>clim</i> + <i>clim:WD</i>	588	294	36.65 [22.96 : 47.75]	3.32 [0.35 : 8.36]	44.37 [35.47 : 54]	15.66 [10.2 : 20.32]



312

313 Figure 2 Phylogeny, traits, and biomes. (a) The phylogram shows associations between biomes and traits, *i.e.*, leaf habit (inner
 314 circle, yellow and dark green dots for deciduous and evergreen, respectively), maximum height (red dots whose size
 315 represents the variation), and wood density (blue dots whose size represents the variation) at species level (the species were
 316 attached to the genus-level phylogeny as polytomies for illustrative purposes). The species names are coloured according to
 317 their biomes (forest in green, savanna in orange, generalist in grey). Only species (n=499) that have information available for
 318 the biomes and the three traits are considered here and abbreviated names are shown for family with more than five species.
 319 Details on the distribution per families, for families with more than five species in the phylogram, are given for (b) leaf habit,

320 (c) maximum height and (d) wood density. Boxes denote median values and the 25th to 75th percentiles; horizontal lines
321 outside of boxes represent the 10th and 90th percentiles. The number of species (sp) and the standard deviation (sd) are given.

322

323 *Phylogenetic and non-phylogenetic components*

324 When partitioning the variance between phylogenetic and non-phylogenetic components (M1
325 to M5, Table 1, Fig. 3a, 3d, 3g), the phylogenetic variance was found substantial (considered
326 in this case as a measure of phylogenetic signal) for both the species climatic niches (68% of
327 the total variance for biomes, 51% for phytoclimatic groups) and traits (71% for leaf habit and
328 56% and 51% for maximum height and wood density, respectively). Large parts of the
329 phylogeny appeared dominated by a certain climatic niche or certain trait attributes (Fig. 2).
330 For example, the evergreen leaf habit was predominantly shared among species in the
331 Clusiaceae and the Annonaceae families, whereas the deciduous leaf habit was dominant in
332 the Bignoniaceae and Moraceae families (Fig. 2a and b). Climatic niches, leaf habit and wood
333 density showed similar non-phylogenetic intergeneric variance (around 17% of the total
334 variance, Table 1). Intrageneric variances were low for leaf habit (around 9%) compared to
335 phytoclimatic group, wood density and maximum height (around 35%, Table 1) for which rapid
336 evolutionary changes within genera could be substantial.

337 *Trait response to climate*

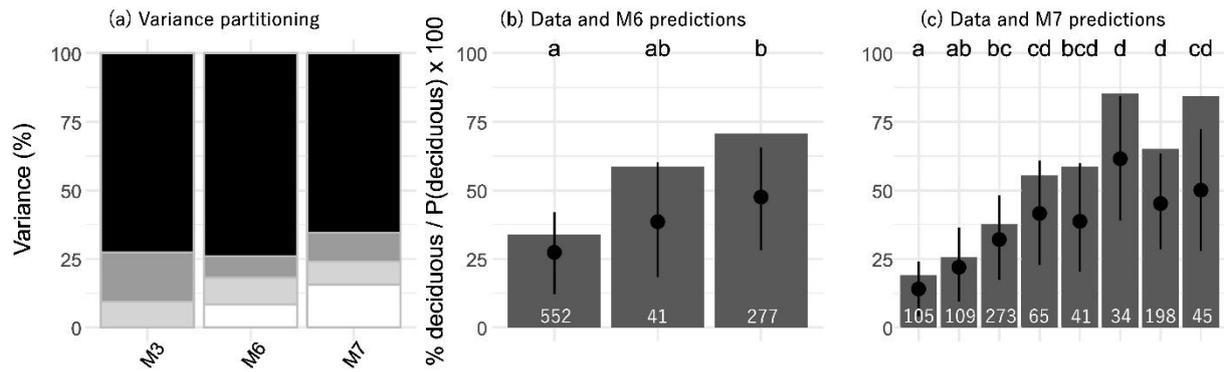
338 Concerning the probability to be deciduous, the climatic niche explained only a small part of
339 the variability (marginal variance of 8% for biomes and 15% for phytoclimatic groups, M6 and
340 M7 in Table 1, Fig. 3a). The probability to be deciduous was, however, significantly lower for
341 forest than for savanna species (0.27 vs 0.47, M6, Fig. 3b) and increased progressively with
342 Climatic Water Deficit for forest phytoclimatic groups, while remaining consistently high for
343 all savanna phytoclimatic groups (M7, Fig. 3c). It is worth noting that the phylogenetic variance

344 was 71% in M3 and decreased to 66% in M7 (Fig. 3d, Table 1). Since M7 was built on M3 by
345 including phytoclimatic group as fixed effect, this suggests that part of the phylogenetic signal
346 in leaf habit is linked to lineage preference for phytoclimatic group, *i.e.*, some parts of the
347 phylogeny consist of related species that share both the same leaf habit and the same
348 phytoclimatic group. In contrast, the phylogenetic variance was 74% in M6 (biome as fixed
349 effect), which is similar to that of M3 (with no significant difference according to the 95%
350 confidence intervals). This suggests that parts of the phylogeny comprise related species that
351 occupy the same biome (as indicated by the high phylogenetic variance in M6) but do not
352 necessarily share the same leaf habit, or *vice versa*.

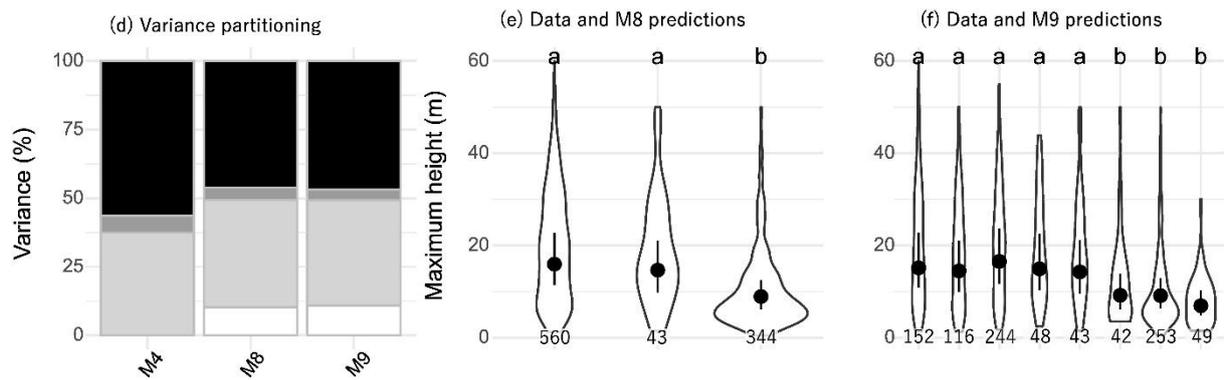
353 Concerning the maximum height, the climatic niche also accounted for a relatively small part
354 of the variability (marginal variance around 10% for both biomes and phytoclimatic groups,
355 M8 and M9 in Table 1). Maximum height was significantly higher for forest than for savanna
356 species (16 m vs 9 m in average, Fig. 3e). As outlined for leaf habit, the small decrease in
357 phylogenetic variance when including biomes or phytoclimatic groups as fixed effects (M4 vs.
358 M8, M9) suggests that part of the phylogenetic signal in maximum height is related to lineage
359 preferences for specific biomes or phytoclimatic groups.

360 Concerning wood density, including biomes or phytoclimatic groups in the models did not
361 noticeably affect the partition of variance (with marginal variance being less than 1% in M10
362 and M11, Table 1, Fig. 3h, 3i). Consequently, we did not identify any significant differences in
363 wood density among biomes or phytoclimatic groups.

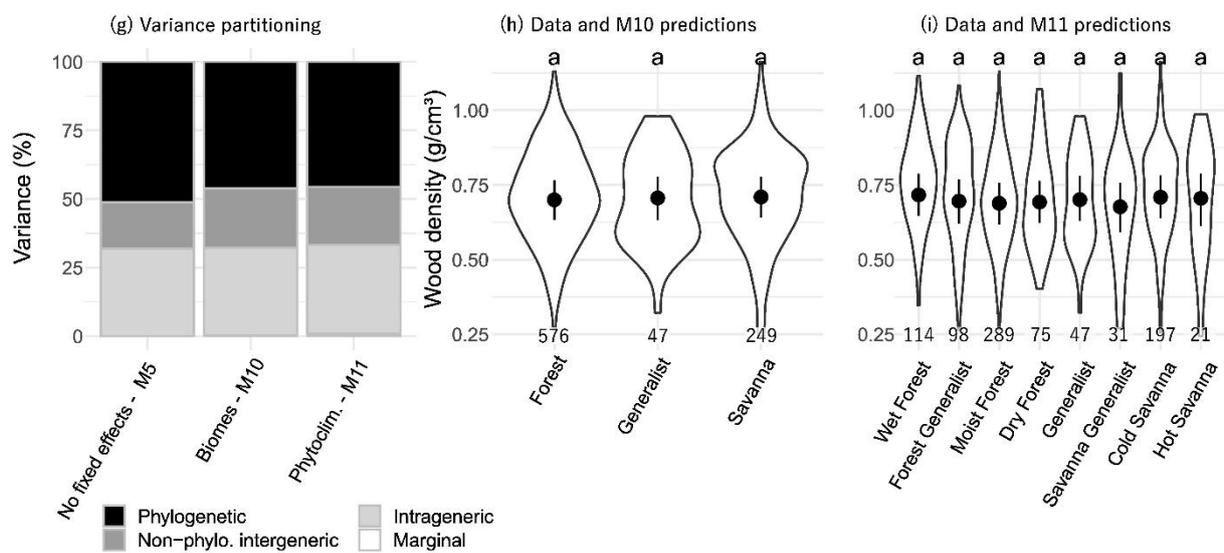
Leaf habit



Maximum height



Wood density



364

365 Figure 3 Variance partitioning (left panels), data distribution (bar- or violin-plots) and model predictions without random
 366 effects (points with confidence intervals) for (a, b, c) leaf habit, (d, e, f) maximum height, and (g, h, i) wood density according
 367 to biomes (b, e, h) and phytoclimatic groups (c, f, i). The letters above model predictions identify groups that are not
 368 significantly different according to pairwise comparison of contrasts. The marginal variance is the variance explained by fixed

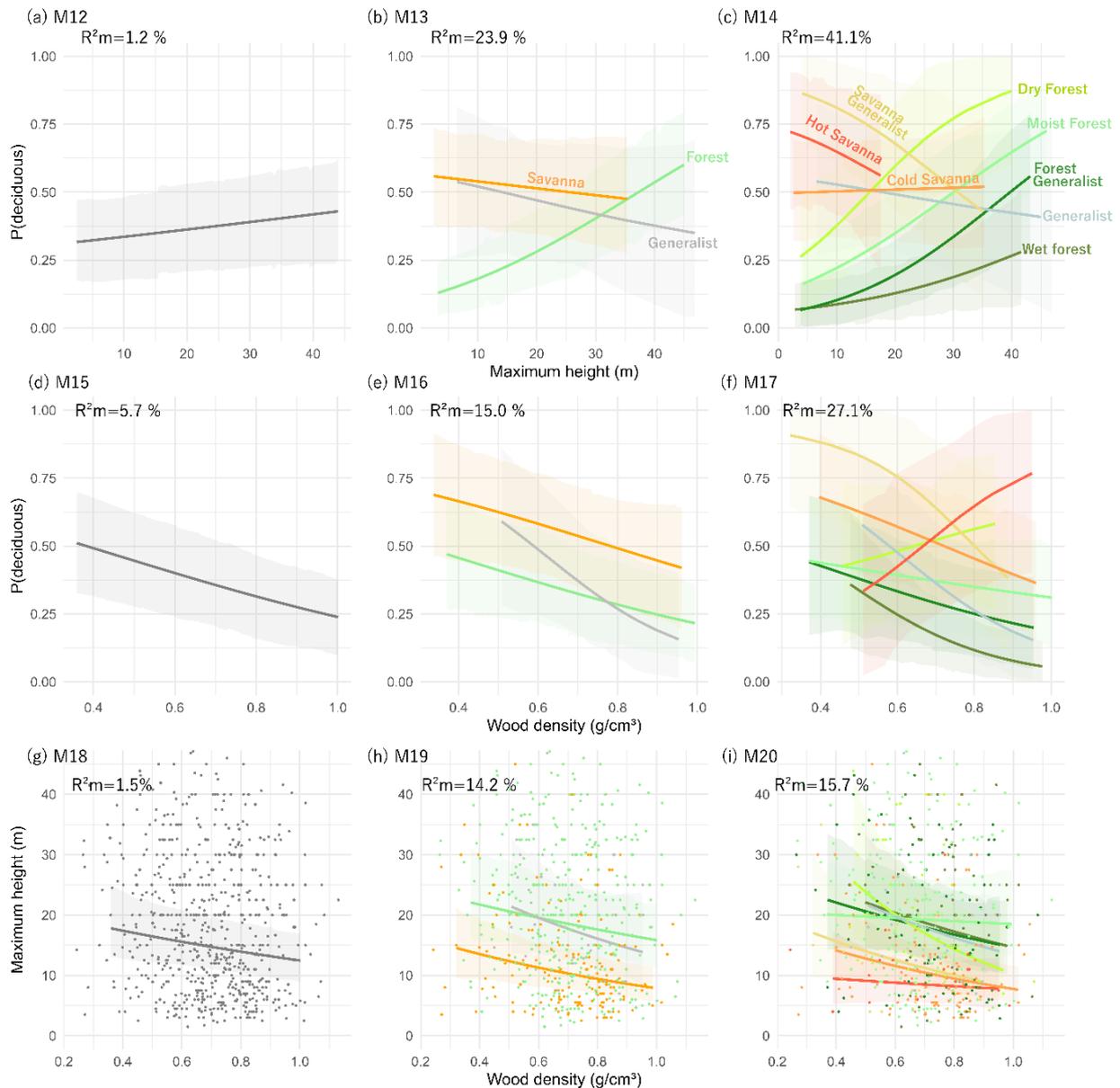
369 effects. The number of species with trait values for each biome or phytoclimatic group, along with a phylogenetic match, is
370 indicated directly below each bar or violin plot.

371 *Trait covariations*

372 When exploring trait covariations, we found that the biome (or phytoclimatic group) and its
373 interaction with maximum height explained 24% (or 41%) of the variance in leaf habit
374 (marginal variance in M13 and M14, Table 1). It should be noted that the contribution of
375 maximum height alone, without accounting for its interaction with biome or phytoclimatic
376 group, explained only 1% of the variability in leaf habit (M12). This emphasises that the
377 relationship between leaf habit and maximum height becomes significant only when
378 considered within the context of a specific biome or phytoclimatic group. In the forest biome,
379 the probability to be deciduous increased with maximum height (Fig. 4b), from 0.15 for
380 understory species (~ 5 m high) to more than 0.50 for emergent tree species (≥ 40 m high).
381 This trend was shared by all forest phytoclimatic groups (Fig. 4c): the drier the group, the
382 higher the probability to be deciduous. The wet forest species reach 40 m tall with a relatively
383 low probability (0.25) to be deciduous, the moist or dry forest species which reach more than
384 40 m are most likely deciduous (0.65 and 0.80, respectively). For generalist and savanna
385 species (Fig. 4b), as well as for cold savanna species at a finer resolution (Fig. 4c), the
386 probability to be deciduous remained high, and there was no apparent relationship between
387 leaf habit and maximum height. In contrast, among savanna generalists and hot savanna
388 species, a different trend is observed: species generally exhibit a smaller stature compared to
389 those in forests, and the probability to be deciduous decreases with increasing maximum
390 height (Fig. 4c).

391 When wood density was considered instead of maximum height for predicting leaf habit, the
392 marginal variance was lower (15% for biomes and 27% for phytoclimatic groups, Table 1) and

393 the trends were reversed (*i.e.* the probability of being deciduous decreased as wood density
394 increased) except for the savanna generalist and the dry forest species. For the forest biome,
395 the probability to be deciduous was greater for light wood species (Fig. 4e and f). This result
396 was largely explained by the inverse relationship between maximum height and oven-dry
397 wood density observed for all species (Fig. 4g, h, i). Differences in stature were maintained
398 between forest and savanna species, with forest species being taller than savanna species for
399 the same wood density (Fig. 4h, i).



400

401 Figure 4 Prediction (without random effect) and confidence intervals of models testing the effect of maximum height (a, b, c)
 402 and wood density (d, e, f) on the probability to be deciduous (P(deciduous)), in general (a, d), then considering biomes (b, e)
 403 and phytoclimatic groups (c, f). Data, predictions, and confidence intervals of models testing the relationship between
 404 maximum height and wood density (g) and considering biomes (h) and phytoclimatic groups (i). The marginal variance R^2m is
 405 given for each model.

406 Discussion

407 In this study, we offer new insights into the relationship between species climatic niche, traits,
 408 and phylogenetic relatedness in the tropical woody flora of Africa. Species within the same

409 lineage tend to occupy similar climatic niches and share comparable attributes, with leaf habit
410 being the most conserved trait. However, despite the strong phylogenetic signals identified,
411 our findings also indicate that evolutionary changes, particularly within genera, significantly
412 contribute to variations in both the climatic niche and traits. Climate alone was found to be a
413 poor predictor of species leaf habit and our results emphasize the necessity of incorporating
414 species maximum height or, to a lesser extent, wood density to enhance the accuracy of
415 predictions. Our results also confirm that, in forest, the probability to be deciduous increases
416 with water deficit, given the significant advantages of this drought avoidance strategy in drier
417 environments. Furthermore, we demonstrated that the probability of a species to be
418 deciduous increases with maximum height and inversely with wood density. We modelled the
419 changes in species attributes based on their maximum height: from small understory species,
420 which are more likely to be evergreen, have dense wood and are possibly more drought
421 tolerant, to taller, light-wooded canopy species which are more likely to be deciduous and
422 avoid drought.

423 *The phylogenetic constraint on species climatic niche and traits*

424 Our results for the African woody flora confirm the accumulating evidence of high
425 phylogenetic signal in plants, both for the climatic niche and traits. We found that most of the
426 variability in the species climatic niche (around 68% when considering biome affinity, 51% for
427 phytoclimatic groups) is associated with phylogenetic relatedness, testifying to the tendency
428 of related species to grow under similar climates. These results are in line with the high
429 climatic niche conservatism previously found in plants across the global tropics (Crisp et al.,
430 2009; Ringelberg et al., 2023 for Mimosoids) and in tropical Africa (Gorel et al., 2022).
431 Regarding traits, leaf habit showed a high phylogenetic signal, with approximately 70% of its

432 variability related to phylogenetic relatedness. To date, our study represents the first
433 quantitative assessment of the phylogenetic signal in the species leaf habit for the African
434 flora. This result confirms that it is reasonable to use data observed at the genus or family
435 level as a proxy of species leaf habit if this information is lacking. High phylogenetic signals
436 have also been reported for maximum height (Feng et al., 2023; Liu et al., 2015 for
437 Magnoliaceae), and to a lesser extent for wood density (Chave et al., 2006; Liu et al., 2015;
438 Swenson & Enquist, 2007). The substantial phylogenetic signal we found for both the climatic
439 niche and traits highlights that research in species phenology (Davies et al., 2013), maximum
440 height and to a lesser extent wood density must take phylogeny into account because species
441 cannot be treated as statistically independent.

442 Despite a strong phylogenetic signal, a notable proportion of the variability in the climatic
443 niche and traits shows signs of rapid evolutionary changes induced by selection. These
444 changes occurred both above the genus level (particularly for the climatic niche, the leaf habit,
445 and the wood density) and within genera (particularly for the phytoclimatic group, the
446 maximum height). We confirm a certain lability of the climatic niche, albeit relative, as it has
447 already been demonstrated for particular woody genera, such as the iconic *Diospyros* genus
448 (White, 1978, 1988) or *Erythrophleum* (Gorel et al., 2019) which include both forest and
449 savanna species. The approach was earlier extended to the tropical woody flora of Africa and
450 niche lability was found to be widespread though niche conservatism dominates (Gorel et al.,
451 2022). Similarly, while leaf habit is characterised by a high phylogenetic signal, a certain degree
452 of lability has nevertheless been documented for certain clades, such as the Meliaceae family
453 (Koenen et al., 2015) or the *Viburnum* genus (Edwards et al., 2017). The lability in leaf habit
454 could be shared by many other lineages. The proof is that, despite we found a prevalence
455 within families of one specific leaf habit reflecting high phylogenetic constraints, almost none

456 of them consists exclusively of deciduous or evergreen species. Concerning wood density, a
457 previous study in the Neotropics showed that around 30% of the variation was related to
458 differences within genera (Chave et al., 2006) and we obtained a very close figure of 32%. It is
459 worth noting that measurement inaccuracies for wood density, averaged from individuals of
460 various sizes, may also artificially increase species variability. Indeed, both wood anatomy and
461 density, is known to vary considerably depending on the tree size and age (Bastin et al., 2015;
462 Beeckman, 2016; Doucet et al., 2022) and on the sampling position on the trunk (Kafuti et al.,
463 2019). In general, it is important to recognize that our findings may be influenced by
464 phenotypic plasticity or measurement errors (Housworth et al., 2004). Additionally, we cannot
465 control the extent to which trait attributes are phylogenetically structured within genera,
466 which could lead to an underestimation of the true phylogenetic signal. The forthcoming
467 nuclear phylogenies will provide a more robust framework for re-evaluating our findings at
468 the intrageneric level.

469 *Trait response to climate*

470 Our modelling framework allowed us to show that relying solely on climate was insufficient
471 for accurate predictions of species leaf habit or maximum height. Indeed, despite the greater
472 abundance of deciduous trees in savannas than in forests, evergreen and deciduous trees
473 coexist in both biomes. This pattern suggests that both strategies, drought tolerance and
474 drought avoidance, might be effective for coping with seasonal drought. However, our model
475 predictions aligned with recognized trait variation across climates. For instance, the
476 probability to be deciduous was notably lower for forest species compared to savanna species,
477 consistent with earlier findings in northern and western African savannas (de Bie et al., 1998;
478 Seghier et al., 2012) and within the *Diospyros* genus (White, 1978). We found an increase in

479 the probability to be deciduous with water deficit for forest species, in accordance with the
480 variation of plot-level deciduousness across the Congo basin previously demonstrated (Réjou-
481 Méchain et al., 2021). Species wood density appeared to be decoupled from climatic
482 conditions, which aligns with earlier findings in the Neotropics (Chave et al., 2006; Poorter et
483 al., 2019, the latter reported similar community-weighted mean wood density in wet and dry
484 mature forests). Although these studies used basic wood density, and our results are based
485 on oven-dry wood density, we remain confident that these two density values are comparable
486 in terms of their responses to environment, because wood density values are highly correlated
487 as shown for basic and 12% humidity (Vieilledent et al., 2018).

488 *The leaf habit response to climate is mediated by species stature and wood density*

489 Within the forest biome, the response of species leaf habit to climate became evident when
490 considering covariations with maximum height or wood density, with a greater probability to
491 be deciduous for tall species with slight wood and growing under greater Climatic Water
492 Deficit. Our results are in line with previous findings showing that deciduous species tend to
493 have lower wood density, an attribute associated with optimized resource acquisition
494 (Markesteijn et al., 2011) and the attainment of greater heights (Anfodillo et al., 2006; Fajardo,
495 2022). In addition, lower wood density has been associated with higher hydraulic capacitance
496 (Lachenbruch et al., 2011) which could effectively delay embolism formation and facilitate
497 embolism recovery during brief episodes of water shortage when trees are still leafed. Small
498 evergreen species shows opposite attributes, with dense wood which may reflect their higher
499 tolerance to desiccation (Gorel et al., 2019; Liang et al., 2021; Markesteijn et al., 2011) and to
500 shade (Kitajima & Poorter, 2010; Sterck et al., 2006). Although this trend is generally observed,
501 some species and clades deviate from it. For instance, in the Irvingiaceae family, most species

502 in our dataset are deciduous and reach significant heights, yet they have a higher wood density
503 than expected based on our models (see Appendix 1 in SI). Furthermore, while this general
504 trend is evident in all forest phytoclimatic groups (except for the dry forest when leaf habit is
505 considered in relation to wood density), there are slight variations according to water deficit.
506 Indeed, in the wet forest, where water availability is less restrictive, some tree species can be
507 evergreen, have light wood, and be able to reach the canopy, as theorized in Oliveira *et al.*
508 (2021). In moist forest characterized by drier and more seasonal climates, this combination of
509 traits seems rather unlikely, as it considerably increases the risk of hydraulic failure (Hacke *et*
510 *al.*, 2022; McDowell *et al.*, 2008; Olson *et al.*, 2018). For savanna species, which are typically
511 more deciduous and smaller than forest species, identifying a consistent pattern of trait co-
512 variation across different climates remains challenging. In cold savannas, the probability to be
513 deciduous was not correlated with maximum height but decline with wood density. This
514 mirrors the trade-off observed in forest species and documented in savannas in China (Zhang
515 *et al.*, 2022), where species either mitigate drought stress through leaf shedding or withstand
516 embolism with denser wood investment. Unlike forest species, however, adopting either
517 strategy does not distinctly influence height. A particularly intriguing trend emerges among
518 savanna generalists and hot savanna species: taller species are more likely to be evergreen.
519 Additionally, in hot savannas and dry forests, the probability to be deciduous increases with
520 wood density, suggesting a complementarity between leaf shedding strategies and high wood
521 density investment. We currently lack clear ecological explanations for these counterintuitive
522 patterns. Unlike in wet and moist forests, there is limited research on how leaf habit,
523 maximum height, and wood density interact for drought adaptation in drier environments.
524 Research in savannas has primarily focused on root densities, storage allocation patterns, and
525 regrowth capacity (Sankaran, 2019 and references therein). Further investigations into

526 morphological and physiological traits are essential to unravel these complexities and deepen
527 our understanding of ecological strategies in drier environments.

528 To conclude, our study offers important insights into how phylogenetic constraints and
529 climate shape species traits and their covariation across tropical Africa's woody flora. We
530 recognize that large-scale trait data compilations may introduce some inaccuracies, such as
531 approximations in maximum height or leaf habit reporting in floras. Additionally, our niche-
532 based method may sometimes fail to fully capture the precise climatic conditions each species
533 inhabits. However, given the scarcity of available data, we believe our effort represents one
534 of the most comprehensive and feasible approaches for studying these large-scale ecological
535 patterns, specifically for this flora. Large-scale comparisons of plant functional traits
536 traditionally encompass specific leaf area, maximum height, seed mass (leaf-height-seed
537 strategy scheme of Westoby, 1998), and wood density (Chave et al., 2009), and here we claim
538 that for the tropical woody flora of Africa, considering information on leaf habit is equally
539 crucial. Our current definitions of leaf habit, which rely on the dichotomy between deciduous
540 and evergreen, may oversimplify the complex range of leaf phenology strategies reported for
541 savanna species (e.g. de Bie et al., 1998), however, detailed information on leaf phenology
542 remains scarce for the majority of species. The use of innovative tools such as phenocam
543 (digital camera capturing time-lapse images of foliage, Brown et al., 2016) shows great
544 potential in obtaining more refined data, while our results, derived from simple and readily
545 accessible data, mark an initial step towards understanding the drought-related strategies
546 employed by tropical trees.

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