Central nervous system manifestations in acute and chronic graft-versus-host disease

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11 Abstract

Despite the growing evidence supporting the existence of CNS involvement in acute and chronic
graft-versus-host disease (CNS-GvHD), the characteristics and course of the disease are still
largely unknown.

In this multicenter retrospective study, we analyzed the clinical, biological, radiological, and histopathological characteristics, as well as the clinical course of 66 patients diagnosed with possible CNS-GvHD (pCNS-GvHD), selected by predetermined diagnostic criteria. Results were then contrasted depending on whether pCNS-GvHD occurred before or after day 100 following allogeneic hematopoietic stem cell transplantation.

Median time between hematopoietic stem cell transplantation and pCNS-GvHD onset was 149 days (IQ₂₅₋₇₅ 48-321), and pCNS-GvHD onset occurred before day 100 following transplantation in 44% of patients. The most frequent findings at presentation were cognitive impairment (41%), paresis (21%), altered consciousness (20%), sensory impairment (18%), and headache (15%). Clinical presentation did not significantly differ between patients with pCNS-GvHD occurring before or after day 100 following transplantation. Brain MRI found abnormalities compatible with the clinical picture in 57% of patients, while CT detected abnormalities in only 7%. Seven patients

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had documented spinal cord MRI abnormalities, all of them with pCNS-GvHD occurring after day 1 2 100 following transplantation. In the cerebrospinal fluid, white blood cell count was increased in 3 56% of the population (median 18 cells/ μ L). Histopathological analyses were performed on 12 4 specimens and were suggestive of pCNS-GvHD in 10. All compatible specimens showed 5 parenchymal and perivascular infiltration by CD3+ and CD163+ cells. Immunosuppressive 6 therapy was prescribed in 97% of patients, achieving complete clinical response in 27%, partial 7 improvement in 47% and stable disease in 6%. Response to immunosuppressive therapy did not 8 significantly differ between patients with pCNS-GvHD occurring before or after day 100 following 9 transplantation. Clinical relapse was observed in 31% of patients who initially responded to treatment. One-year overall survival following pCNS-GvHD onset was 41%. Onset before day 10 11 100 following hematopoietic stem cell transplantation (HR [95%CI]: 2.1 [1.0-4.5]; P=0.041) and altered consciousness at initial presentation (HR [95%CI]: 3.0 [1.3-6.7]; P=0.0077) were 12 associated with a reduced one-year overall survival probability. Among surviving patients, 61% 13 14 had neurological sequelae.

15 This study supports that immune-mediated CNS manifestations may occur following allo-HSCT.
16 These can be associated with both acute and chronic GvHD and carry a grim prognosis. The
17 clinical presentation as well as the radiological and biological findings appear variable.

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12 Introduction

Graft-versus-host-disease (GvHD) is a severe and potentially life-threatening complication of 13 allogeneic hematopoietic stem cell transplantation (allo-HSCT).¹ It arises when the donor's 14 derived immune cells recognize the recipient's healthy tissues as "non-self", thereby generating an 15 16 allo-immune reaction.² Its two main presentations include acute and chronic GvHD, characterized by distinct clinical manifestations and pathophysiological mechanisms.³⁻⁵ The CNS was initially 17 18 considered protected from GvHD. Yet, following the accumulation of reports of patients with neurological manifestations for which the pathological mechanism was thought to be immune-19 20 mediated, CNS involvement in chronic GvHD was recognized as an entity in 2010 following the Consensus Conference on Clinical Practice in chronic GvHD.⁶ Based on this report, the diagnosis 21 22 of 'possible' CNS involvement in chronic GvHD could be made in patients with classic 23 manifestations of chronic GVHD affecting other organs (first major mandatory criterion), presenting with neurological signs of CNS involvement without other explanation (second major 24 25 criterion) and at least two other minor diagnostic criteria (corresponding brain MRI abnormality, 26 abnormal CSF studies, CNS neuropathology revealing lesions compatible with GvHD and 27 response to immunosuppressive therapy).

Despite progress, there are still many unknowns in the field of CNS involvement in the context of 1 GvHD (CNS-GvHD). Because only isolated cases or small series have been reported in the 2 3 literature so far, the precise clinical spectrum of CNS-GvHD, its response to treatment and its 4 prognosis are still poorly characterized, making its diagnosis and management particularly challenging. Further, despite the growing evidence supporting the existence of acute CNS-GvHD, 5 there is still no definition and diagnostic criteria for this entity.^{7,8} Since neurological complications 6 have been shown to significantly increase morbidity and mortality after allo-HSCT,⁹ improving 7 8 our understanding of CNS-GvHD is highly needed.^{10,11} Here, we report the medical history, the clinical, biological, and radiological findings, and the clinical course of the first large cohort of 66 9 10 patients diagnosed with possible CNS-GvHD (pCNS-GvHD).

11

12 Materials and methods

13 Study design and participants

In this retrospective study, we identified patients with CNS disorders for which the mechanism is 14 thought to be immune-mediated, referred as pCNS-GvHD, selected by predetermined diagnostic 15 criteria. In this analysis, the term possible GvHD (or "atypical GvHD") is consistent with the 2020 16 NIH Consensus Project Task Force terminology to describe post-allo-HSCT immune-mediated 17 18 manifestations of uncertain mechanism broadly.¹⁰ Both published and unpublished cases were 19 solicited from authors who published in the field of GvHD and their networks, and through the 20 Société Francophone de Greffe de Moelle et Thérapie Cellulaire (SFGM-TC). Patients aged over 21 18 years with a history of allo-HSCT were included if they had presented clinical manifestations compatible with a CNS disorder, associated with at least two supportive criteria, and after 22 23 reasonable exclusion of the alternative diagnoses (Table 1). Contrary to the 2010 consensus criteria,⁶ criteria used for this study were established to allow the inclusion of both patients with 24 25 acute CNS-GvHD and those with chronic CNS-GvHD. Moreover, damage to other organs caused by chronic GvHD was not considered a mandatory criterion. 26

27 This study was approved by the institutional review board of the University Hospital of Liège,

28 Belgium (reference: 2022/246) and the SFGM-TC scientific council.

1 **Procedures**

A case report form (CRF) was completed by the local investigator for each patient from centers 2 3 non-affiliated with the SFGM-TC. For patients from centers affiliated with the SFGM-TC, available data were extracted from the SFGM-TC database and additional data were collected 4 through an adapted version of the CRF. Collected data comprised demographics, prior 5 6 neurological, hematological, and auto-immune disorders, data related to the hematologic disease 7 and its treatments, allo-HSCT procedures, extra-CNS acute and chronic GvHD, clinical, 8 biological, radiological, and histopathological characteristics of the CNS disorder as well as the 9 clinical course of the disease, immunosuppressive treatments, response to treatments, and clinical 10 follow-up at one year. The neurological presentations were categorized into the following 11 syndromes: meningitis, limbic encephalitis, extra-limbic encephalitis, brainstem encephalitis, myelitis, encephalomyelitis, multifocal demyelinating disease with neurologic deficits, and CNS 12 13 angiitis. Definitions used for these syndromes can be found in the appendix.¹²⁻¹⁴ Clinical response 14 of pCNS-GvHD to immunosuppressive treatments was defined as clinical improvement or stabilization of a previously progressing disease. Relapse was defined as a recurrence of previous 15 neurological signs or symptoms or development of new signs or symptoms with exclusion of 16 alternative diagnoses. The degree of disability one year after pCNS-GvHD onset was categorized 17 18 using the modified Rankin Disability Scale (mRS).^{15,16} The main cause of death was recorded based on the judgment of the local investigator and assigned into one of these five categories: 19 20 relapse or progression of the underlying hematological disease, pCNS-GvHD, non-CNS GvHD, 21 opportunistic infection, or other (to be specified).

22 **Objectives**

The primary objective of the study was the description of the clinical, biological, radiological, and histopathological presentation of pCNS-GvHD. Data were then further contrasted depending on whether pCNS-GvHD onset occurred before or after day 100 following allo-HSCT or donor lymphocyte infusion (DLI). Secondary objectives included description of the treatments and the resulting response, factors associated with response to treatment, one-year overall survival (OS) after pCNS-GvHD onset, specific cause of death, factors associated with OS and with specific causes of death, and neurological sequelae.

1 Statistical analyses

2 Categorical variables were reported as counts and percentages, whereas continuous variables as 3 medians with interquartile range. Comparisons between subgroups were performed using Fischer's 4 exact test. Kaplan-Meier curves were used to describe survival. Univariate and stepwise Cox models were applied to find predictors of death during the year following pCNS-GvHD onset; 5 Hazard ratio and associated 95% CI were presented (HR (95%CI)). Full details on Cox models are 6 7 presented in the appendix. Cumulative events for specific causes of death were summarized using 8 survival analyses with competing risks. Univariate and multivariate logistic binary regressions 9 with stepwise selection of variables were performed modelling the response to the treatment 10 depending on different selected factors. Odds ratio and confidence interval (OR [95%CI]) were displayed. Calculations used the maximum available data, and no imputation of missing data was 11 performed. All tests were 2-sided and considered significant at an α level of 0.05. Statistical 12 analyses were conducted using Prism 10 (https://www.graphpad.com), SAS for Windows (version 13 14 9.4), and R (version 4.2.0).

15

16 **Results**

17 **Patients**

18 Data were received for a total of 82 patients. Among those, 16 patients were excluded: three 19 because they did not meet inclusion criteria, 12 because an alternative diagnosis was deemed more 20 probable, and one because of missing data (Fig. 1). Hence, 66 patients from 14 countries presenting 21 with pCNS-GvHD between July 10, 2006 and June 30, 2023 were included in the final analysis (Supplementary Fig. 1). Among them, nine cases had previously been published in the literature.¹⁷⁻ 22 23 ²¹ Patients and transplant-related characteristics are displayed in Table 2. Sex at birth was male for 24 43 patients (65%) and median age at pCNS-GvHD onset was 57 years (IQ₂₅₋₇₅ 42-65). Most 25 patients (92%) were transplanted for hematological malignancies. The conditioning regimen was 26 intended to be myeloablative for 22 patients (33%) and 14 patients (21%) received total body 27 irradiation as part of the regimen. The transplant consisted of mobilized peripheral blood stem cells 28 for most patients (53 patients [80%]), and the donor was an unrelated donor in most cases (44

- 2 it was the second allo-HSCT. Three patients (5%) received donor lymphocyte infusions (DLI) after
- 3 the transplantation.

Non-CNS acute GvHD occurred in 50 patients (76%), 24 of them diagnosed within one month
before or after pCNS-GvHD onset. Prior or active chronic GvHD was present in 27 patients (41%),
20 of them diagnosed within one month from pCNS-GVHD onset. The main characteristics related
to extra-CNS GvHD are displayed in Supplementary Table 1. Median time between allo-HSCT or
DLI and pCNS-GvHD onset was 149 days (IQ₂₅₋₇₅ 48-321). It occurred before day 100 following
allo-HSCT or DLI in 27 patients (41%) and after day 100 in 39 patients (59%).

10

11 Clinical characteristics of pCNS-GvHD

12 Neurological manifestations at initial presentation are listed in Table 3; most frequent were cognitive and/or behavioral impairment (27 patients [41%]), paresis of one or more limb(s) (14 13 patients [21%]), altered consciousness (13 patients [20%]), sensory impairment (12 patients 14 [18%]), and headache (ten patients [15%]). CNS manifestations that occurred at any time during 15 the disease are displayed in Supplementary Table 2. Cognitive and/or behavioral impairments were 16 the most frequent clinical findings (48 patients [73%]). Multiple clinical neurological 17 18 manifestations were already present in 31 patients (47%) at initial presentation, and finally 19 occurred in most patients (64 patients [97%]) during the course of the disease. Clinical presentation 20 did not notably differ between patients with pCNS-GvHD occurring before or after day 100 21 following transplantation or DLI. Of note, concomitant peripheral nervous system manifestations 22 of chronic GvHD, as defined by the Consensus Conference on Clinical Practice in chronic GvHD,⁴ 23 occurred in nine patients (14%) (Supplementary Table 1).

24

25 Radiological characteristics

Brain MRI was performed in 65 cases (98%) and found lesions at a neuroanatomical site compatible with the symptomatology in 37 (57%) of them (Table 4 and Fig. 2). Intraparenchymal lesions were found in 35 patients and extra-parenchymal lesions in three, including leptomeningitis

for two patients and pachymeningitis for one. Among the 35 patients with intraparenchymal brain 1 2 lesions, 29 (83%) had multiple lesions while six patients had a single lesion. Supratentorial lesions 3 were present in 30 patients and infratentorial lesions in 15. Concerning the aspect of the lesions, 4 19 of the 35 patients (54%) presented non-confluent white matter lesions, 14 (40%) presented 5 confluent white matter lesions, one (3%) showed a pseudo-tumoral lesion and one (3%) an acute ischemic lesion. Contrast enhancement after gadolinium injection of at least one lesion was found 6 7 in 12 patients (34%). Brain CT was performed in 44 patients and only found abnormalities 8 compatible with the symptomatology in three of them (7%).

9 Spinal cord MRI was performed in 36 patients (11 with pCNS-GvHD occurring before day 100 10 following transplantation or DLI and 25 with pCNS-GvHD occuring after day 100) and showed 11 abnormalities in seven (19%) of them. Five patients had multiple spinal cord lesions while two 12 had a single lesion. Most lesions (six of seven patients) were longitudinally extensive, defined as 13 lesions extending over three or more vertebrae. Also, most lesions (six of seven patients) showed 14 enhancement after gadolinium injection.

There were more patients with lesions visualized with MRI among patients with pCNS-GvHD occuring after day 100 as compared to those occurring before day 100 following transplantation (29 of 39 [74%] patients and 11 of 26 patients [42%] respectively, P=0.018). Interestingly, spinal cord lesions were observed exclusively among patients with pCNS-GvHD occurring more than 100 days after allo-HSCT or DLI. Also, there were more patients presenting with multiple brain lesions in this group (23 of 24 [96%] patients versus six of 11 patients [55%] with pCNS-GvHD occurring before day 100, P=0.0071).

22

23 Cerebrospinal fluid characteristics

CSF was sampled and analyzed in 64 patients (Table 4). Thirty-six (56%) showed an increased
white blood cell (WBC) count (>5 cells/µL), with a median of 18 cells/µL (IQ₂₅₋₇₅ 10-43.25).
Regarding the nature of WBC in the CSF, most patients had a predominantly lymphocytic profile
(>90% of WBC). Nine patients had a predominantly lymphocytic profile, though more mixed, with
over 50% lymphocytes and the remainder consisting of neutrophils and monocytes. Additionally,
two patients had a predominantly lymphocytic profile associated with eosinophils (accounting for

18% and 5% of the WBC in the CSF, respectively). Finally, one patient had a profile primarily 1 composed of neutrophils. Median CSF protein level was 0.79 g/L (IQ₂₅₋₇₅ 0.51-1.31), with 51 2 3 patients (80%) showing a protein level over 0.45 g/L. Decreased CSF glucose level (under 45 4 mg/dL) was infrequent (11% of patients). Among the 34 patients for whom the information was 5 available, 16 (47%) had oligoclonal bands in the CSF. Antibodies directed against glial acidic 6 fibrillary protein (GFAP) were found in the CSF of one patient, while antibodies directed against leucine-rich, glioma-inactivated 1 (LGI1) and contactin-associated protein-like 2 (CASPR2) were 7 8 found in the serum of two and one patient(s), respectively. CSF analyses were similar between 9 patients with pCNS-GvHD occurring before and after day 100 following allo-HSCT or DLI. Altogether, 57 patients (86%), including 19 (70%) with pCNS-GvHD occurring before and 37 10 (95%) with pCNS-GvHD occurring after day 100, had abnormal MRI and/or increased CSF WBC 11 12 count.

13

14 Histopathological analyses

Histopathological analyses were performed on 12 specimens from 11 patients. Biopsy specimens 15 were obtained from eight patients (seven from brain lesions and one from a spinal cord lesion) and 16 17 autopsy specimens were obtained from four patients, including one patient with both biopsy and autopsy specimens available. Histopathological analyses were considered suggestive of CNS-18 19 GvHD by the local pathologist for ten specimens. Among the two analyses considered non-20 suggestive, one was performed on an autopsy specimen from a patient who had been treated with 21 several lines of immunosuppressive therapy and was in complete clinical remission of the CNS disorder at the time of death. The other non-suggestive analysis was performed on a brain biopsy 22 and only showed reactional gliosis, but the subsequent autopsy showed evidence of encephalitis. 23 24 Apart from these two, all brain specimens showed parenchymal and perivascular infiltration by CD3+ cells (T cells) and CD163+ cells (macrophages). Infiltration by CD8+ T cells (cytotoxic T 25 26 cells) was predominantly reported while CD4+ T cells (helper T cells) were present but rare. CD20+ cells (B cells) were exceptionally observed. All specimens showed reactive gliosis, and 27 28 necrosis was observed in four. Lympho-histiocytic infiltration of the walls of arterioles and 29 capillaries was observed in three patients, with the presence of fibrinoid necrosis of the vessels in two of them. In addition, the spinal cord specimen also showed extensive demyelination. 30

1

2 Treatment

3 Immunosuppressive therapy was administrated to 64 patients (97%). Drugs prescribed as part of 4 the first-line regimen (described in Table 5) comprised corticosteroids for most cases (58 of the 64 5 treated patients [91%]). Twenty-six patients (41%) received at least two treatments concomitantly as part of the initial therapeutic regimen. A clinical response to this first-line therapy was observed 6 7 in 51 patients (80%), among which 17 (27%) showed complete clinical recovery, 30 (47%) experienced partial improvement and four (6%) showed stabilization of the previously progressing 8 disease. On univariate logistic regression model, the probability of response to treatment was 9 significantly lower among patients with altered consciousness (OR [95%CI]: 0.16 [0.04-0.62]; 10 P=0.008) and patients with multiple clinical findings at initial presentation (OR [95%CI]: 0.21 11 [0.05-0.86]; P=0.03). On multivariate model, only disorder of consciousness at initial presentation 12 was associated with a reduced probability of response to treatment (OR [95%CI]: 0.10 [0.02-0.47]; 13 P=0.004) (Supplementary Table 3). Clinical relapse was observed in 16 patients (30% of 14 responders), usually during the treatment taper or in the following three months. CSF was sampled 15 16 after treatment for 23 patients, among which six samples (26%) showed complete resolution of the previously observed abnormalities, nine (39%) partial amelioration (defined as 50% reduction of 17 18 the WBC count and/or protein level) and eight (35%) showed no improvement. MRI was repeated 19 for 27 patients, among whom one (4%) showed complete disappearance of the lesions, ten (37%) reduction in the size or number of lesions, 12 (44%) stability and four (15%) progression of the 20 21 lesions. Additional lines of treatment were administrated to 23 patients, either for a relapse (14 22 patients) or for a response to first-line therapy judged insufficient (9 patients).

23 **Outcome**

One-year follow-up was available for 56 patients. One-year OS following pCNS-GvHD onset was 41% (23 patients), with a median survival of 196 (95%CI: 164 -.) days. On both univariate and multivariate Cox models, one-year OS probability was significantly lower among patients with disorder of consciousness at initial presentation (HR [95%CI]: 2.5 [1.2-5.4]; *P*=0.019 for univariate analyses, and HR [95%CI]: 3.0 [1.3-6.7]; *P*=0.0077 for multivariate analyses) and those with pCNS-GvHD occurring before day 100 (HR [95%CI]: 2.5 [1.2-4.9]; *P*=0.01, and HR 1 [95%CI]: 2.1 [1.0-4.5]; *P*=0.041 for univariate and multivariate analyses, respectively) 2 (Supplementary Table 4). The probability of survival during the first year following pCNS-GvHD 3 onset is shown in Fig. 3A for the whole cohort and in Fig. 3B and C based on the time since allo-4 HSCT or DLI, and the presence of altered consciousness at presentation, respectively. Of note, the 5 two patients who received no treatment both died of pCNS-GvHD 17 and 83 days after 6 symptomatology onset.

7 The cause of death was attributed to pCNS-GvHD for 15 of 33 patients (47%), opportunistic 8 infections for 13 patients (41%), and to progression of the underlying disease and extra-9 neurological GvHD for one patient (3%) each (Supplementary Fig. 2). In addition, one patient died 10 of diffuse alveolar hemorrhage of unknown etiology, one of cardiac arrhythmia, and one was found 11 dead home, with no known etiology. While none of the factors considered was significantly 12 associated with the probability of death specifically due to pCNS-GvHD (Supplementary Table 5), 13 pCNS-GvHD occurring before day 100 following allo-HSCT or DLI was associated with an 14 increased probability of death due to opportunistic infections (HR [95%CI]: 3.83 [1.20-12.21]; 15 P=0.02) (Supplementary Table 6).

Among surviving patients, 14 (61%) had neurological sequelae (Table 3), most frequently cognitive and behavioral sequelae and walking impairment. Nine patients (39% of surviving patients) had a mRS score of 0-1, eight (35%) had a score of 2, three (13%) had a score of 3 and one patient (4%) had a score of 4.

20

21 2010 criteria for chronic CNS-GvHD

22 Twenty-seven patients (41%) had extra-CNS chronic GvHD at the time of inclusion and, therefore, 23 met the 2010 criteria for the diagnosis of chronic pCNS-GvHD.⁶ The characteristics of these 24 patients are provided in the online supplementary material (Supplementary Tables 7-10). There 25 was no significant difference in clinical, biological, and radiological characteristics, nor in response to immunosuppressive therapy or one-year OS between patients who met 2010 criteria 26 27 and those with pCNS-GvHD occurring after day 100 not meeting these criteria (Supplementary 28 Tables 11-12). Of note, seven of the ten patients with pCNS-GvHD occurring after day 100 without 29 extra-CNS manifestations of chronic GvHD at that time developed it subsequently.

2 Syndromic approach

3 Among the 66 patients with pCNS-GvHD, 42 (64%) presented with extra-limbic encephalitis, nine (14%) had multifocal demyelinating disease with neurologic deficits, five (8%) had 4 encephalomyelitis, four (6%) had brainstem encephalitis, three (5%) had myelitis, two (3%) had 5 6 meningitis, and one (2%) had CNS angiitis. None of the patients presented with limbic encephalitis. Among the patients with extra-limbic encephalitis or encephalomyelitis, eight met 7 8 the criteria for acute disseminated encephalomyelitis (ADEM) at initial presentation, with one of them progressing to a multiphasic form.^{12,22} There was no significant difference in response to 9 10 immunosuppressive therapy or one-year OS between the different syndromes (Supplementary 11 Tables 13 and 14). Additionally, we did not find any statistically significant difference in the occurrence of syndromes between patients with pCNS-GvHD occurring before or after day 100 12 following allo-HSCT or DLI (Supplementary Table 15), although it is worth noting that myelitis 13 14 and CNS angiitis only occurred after day 100 following transplantation.

15 It should be noted that patients who presented with antibodies generally associated with 16 autoimmune encephalitis exhibited clinical and radiological characteristics similar to those 17 observed with the same antibodies in the non-transplanted population. For instance, the patient 18 with anti-GFAP antibodies presented with encephalopathy accompanied by movement disorders 19 and hyperintensities in the basal ganglia, responding to corticosteroids while the patient with anti-20 LGI1 antibodies presented with encephalitis featuring focal seizures and responding to 21 plasmapheresis and rituximab.^{23,24,25}

22 **Discussion**

Progress in our overall understanding of CNS-GvHD is slow because of its perceived rarity and the difficulty to make the diagnosis. Available criteria,⁶ established in 2010 and deriving from the criteria proposed one year earlier by Openshaw,²⁶ only allow the 'possible' diagnosis of chronic CNS-GvHD in the presence of typical clinical signs of extra-neurological chronic GvHD. However, in several situations reported in the literature,^{17,20} patients do not show typical signs of chronic GVHD, and yet the treating physician estimates that CNS-GvHD is the most probable diagnosis, which might reflect a certain lack of sensitivity of these criteria in clinical practice.¹⁰ It

is important to note that the decision to make the presence of extra-neurological chronic GvHD 1 2 involvement mandatory for diagnosing CNS-GvHD was arbitrary, based on expert consensus, and 3 did not rely on solid scientific data. In addition, the 2010 criteria do not permit the diagnosis of 4 acute CNS-GvHD. Thus, we decided to use more permissive inclusion criteria for our study, 5 allowing the diagnosis of acute and chronic pCNS-GvHD with or without extra-neurological involvement, not because we aimed to describe a new entity, but rather because the 2010 criteria 6 seem insufficiently sensitive for clinical practice. In consequence, more than half of our patients 7 8 did not meet the 2010 criteria. The main risk of adopting more permissive criteria in clinical practice is to unduly treat patients without CNS-GvHD with immunosuppressive therapy, and 9 10 therefore unnecessarily expose them to potentially life-threatening adverse events. Reassuringly, neither response to immunosuppressive therapy nor one-year survival significantly differed 11 12 between patients who met the 2010 criteria and those who did not. Therefore, our criteria might have the double benefit of allowing the diagnosis of acute pCNS-GvHD and permitting the 13 diagnosis of chronic pCNS-GvHD in more patients without increasing the proportion of patients 14 unnecessarily exposed to immunosuppressive therapy. Further studies are needed to validate the 15 16 benefit of these criteria in clinical practice.

The terminology for presumed immune-mediated CNS manifestations described in this report 17 could be a matter of debate. GvHD is characterized by a failure of immune tolerance in a context 18 of allo-reactivity.²⁷ However, in addition to allo-reactivity, immune dysregulation observed 19 following allo-HSCT can favor de novo auto-immunity, leading to diseases resembling those 20 observed in non-transplanted patients, such as Myasthenia gravis.^{10,28} In such situations, the direct 21 role of allogeneic hematopoietic chimerism is unknown and qualifying them as part of GvHD is 22 open to debate. The pathophysiology of the manifestations described here is unknown and might 23 implicate both allo-immune and auto-immune mechanisms, as highlighted by the presence of 24 antibodies usually associated with auto-immune encephalitis in four patients. In counterpart, allo-25 26 reactivity and auto-immunity are intrinsically linked and factors underlying classic auto-immune diseases, such as molecular mimicry or bystander activation related to the microbiome diversity, 27 have been shown to play a major role in the pathophysiology of acute and chronic GvHD.^{11,29,30} In 28 29 addition, post-alloHSCT auto-immune conditions usually occur alongside GvHD and some auto-30 immune diseases, such as systemic sclerosis, share high-level similarities with classical presentations of chronic GvHD.^{6,31,32} The report of the 2020 NIH Consensus Project Task Force 31

decided to use the term 'atypical GvHD' for post-allo-HSCT immune-mediated manifestations of
 uncertain mechanism, a term we align with.¹⁰

3 Clinical, biological, and radiological characteristics of pCNS-GvHD, as well as its response to 4 treatment, were highly variable, in line with previous reports depicting multiple presentations of CNS-GvHD.^{6,10} This probably reflects that the entity described here is heterogeneous and might 5 implicate multiple pathophysiological mechanisms. Because there is no robust objective 6 7 biomarker for CNS-GvHD,²⁶ our diagnosis relied on the accumulation of supportive criteria after exclusion of alternative diagnoses. Hence, we cannot irrevocably exclude that we may have 8 9 included in our analysis some patients with disorders other than genuine CNS-GvHD, such as atypical drug-related toxicities which can take many aspects and trigger inflammation. On the 10 11 other hand, as discussed above, application of our criteria did not increase the proportion of patients unduly exposed to immunosuppressive therapy compared to previously proposed criteria. 12 Nevertheless, because the relation between brain dysfunction and genuine GvHD still needs to be 13 established, we used the term 'possible CNS-GvHD'. Further studies aiming to identify objective 14 15 and robust markers of the disease that would allow us to make the definitive diagnosis of CNS-16 GvHD are highly needed.

Occurrence of pCNS-GvHD before day 100 following allo-HSCT was associated with a reduced 17 one-year survival. However, overall and non-relapse mortality following allo-HSCT are already 18 higher in the early post-engraftment period.³³ Occurrence of the disease before day 100 was also 19 20 associated with an increased risk of death specifically due to opportunistic infections but not to 21 mortality due to pCNS-GvHD itself. Hence, the increased mortality of patients with pCNS-GvHD 22 occurring early after transplantation seems not to be directly due to a more aggressive form of 23 disease but rather to a state of greater vulnerability to opportunistic infections, intrinsic to the early 24 engraftment period. The presence of a disorder of consciousness at presentation was also 25 associated with an increased mortality. However, whether this presentation reflects an aggressive 26 disease or a late presentation is uncertain. Prospective trials will be needed to assess factors truly associated with more aggressive pCNS-GvHD. 27

We acknowledge that our study has several limitations intrinsic to its observational, retrospective design. The study was multicentric and international, which improves generalizability of the findings although data obtained at each site was heterogenous and limited statistical analyses. The

non-comparative design of the study did not allow us to assess the incidence of the disease neither 1 2 factors associated with its occurrence. Also, the design of the study, which relied on a call for cases 3 among specialized centers and not a systematic review of their database, may have resulted in a 4 selection bias. In counterpart, this is a unique study including a large cohort of patients and allowing, compared to previous small series or review of the literature,^{17,34} a more accurate 5 description of CNS-GvHD, based on a standardized CRF. It is also the first study comprising 6 systematic collection of follow-up data one year after CNS-GvHD onset, allowing the description 7 8 of the prognosis of the disease as well as factors associated with a poor outcome. Noteworthy, our study is the first to compare acute and chronic CNS-GvHD, notably demonstrating distinct 9 10 radiological presentations and prognosis.

In conclusion, this study supports that immune-mediated CNS manifestations may occur following allo-HSCT. These can be associated with both acute and chronic GvHD. The clinical spectrum at initial presentation is highly variable, as are its radiological and biological characteristics. The prognosis is grim, with a one-year survival of 41%, and neurological sequelae in 61% of surviving patients.

16

17 Data availability

Data requests should be sent to Nicolas Lambert. Data access must be approved by the Belgian
data protection authority. For more information, see
https://www.dataprotectionauthority.be/citizen

21

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15

16 Supplementary material

17 Supplementary material is available at *Brain* online.

18

19 Appendix 1

20 CNS-GVHD Study Group collaborators

21 Further details are provided in the Supplementary material.

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7

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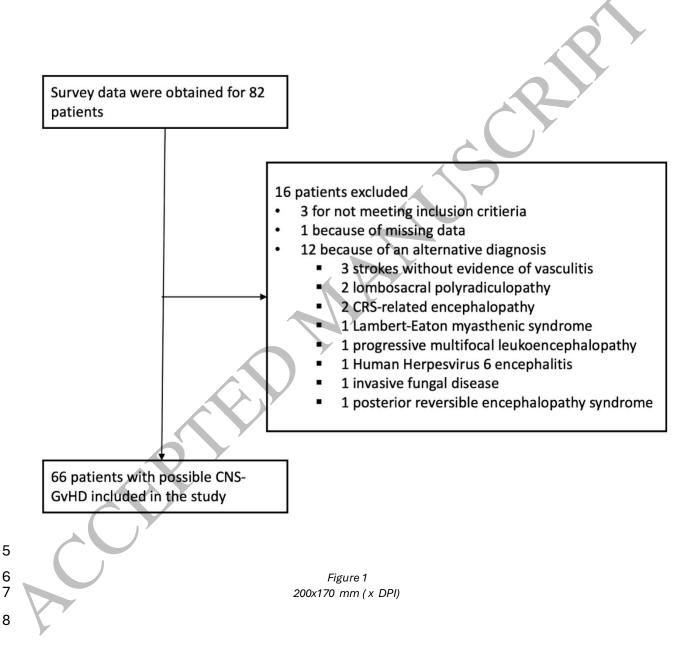
22 Figure legends

Figure 1 Flowchart describing patients' inclusion. Survey data were received for a total of 82 patients. After revision of each CRF by the principal investigator, 16 patients were excluded: 3 patients because they did not meet inclusion criteria (less than 2 supportive criteria), one patient because of multiple missing data and 12 patients because an alternate diagnosis was deemed more probable (3 patients with stroke without radiological or histopathological evidence of vasculitis, 2 patients with lumbosacral polyradiculopathy, 2 patients with CRS-associated encephalopathy, one patient with Lambert-Eaton myasthenic syndrome, one patient with progressive multifocal leukoencephalopathy, one patient with Human Herpesvirus 6 encephalitis, one patient with invasive fungal disease with brain involvement and one patient with posterior reversible encephalopathy syndrome). Sixty-six patients were therefore included.

5

Figure 2 Clinical course and MRI findings of three illustrative cases. (A) Patient 1 was 6 7 admitted for behavioral changes and cognitive decline associated with headache and blurred vision 8 progressing over weeks. Two years earlier, he had received an allogeneic hematopoietic stem cell 9 transplantation (allo-HSCT) for primary myelofibrosis. Those symptoms were concomitant with the development of classic signs of mouth and skin chronic graft-versus-host disease (cGvHD). 10 11 Brain MRI showed multifocal T2/fluid-attenuated inversion recovery (FLAIR)-hyperintense white 12 matter lesions. Cerebrospinal fluid (CSF) was unremarkable. After ruling out infectious differential 13 diagnoses, including notably progressive multifocal leukoencephalopathy, the patient was treated with a combination of high-dose corticosteroids, rituximab and cyclophosphamide, which allowed 14 complete resolution of the symptomatology. (B) Patient 2 was admitted in the intensive care unit 15 for decreased level of consciousness and movement disorders two weeks following allo-HSCT for 16 myelodysplastic syndrome. Brain MRI showed T2/FLAIR hyperintense lesions involving the pons 17 and the cerebellar peduncles, with areas of restricted diffusion. CSF analysis revealed increased 18 white blood cell (WBC) count (65 cells/mm³) and high protein level (1.355g/L). There was no sign 19 20 of extra-neurological GvHD. The patient was treated with weekly intrathecal infusions of 21 corticosteroids associated with systemic mycophenolate, which allowed improvement of the 22 symptomatology and complete disappearance of the brain lesions. (C) Patient 3 presented with 23 tetraparesis, proprioceptive ataxia and sphincters dysfunction progressing over days. Two years 24 earlier, she had been treated with allo-HSCT for acute lymphoblastic leukemia. She had no 25 previous or active extra-neurological GvHD. Spinal cord MRI showed a longitudinally extensive 26 T2-weighted hyperintense lesion extending from level C1 to the conus medullaris (image above), 27 with areas of enhancement after gadolinium injection (image below). Brain MRI was normal. CSF analyses showed increased WBC count (17 cells/mm³) and protein level (2.564 g/L). She was 28 29 treated with high-dose systemic corticosteroids and tacrolimus, which allowed complete resolution 30 of the clinical symptoms and regression of the lesions visualized with MRI.

- 1 Figure 3 One-year probability of survival following pCNS-GvHD onset (A) in the whole cohort
- 2 (light blue area indicates 95% confidence interval [CI]), (B) according to the interval between allo-
- 3 HSCT and pCNS-GvHD onset, and (C) according to the presence or not of altered consciousness
- 4 at initial presentation.



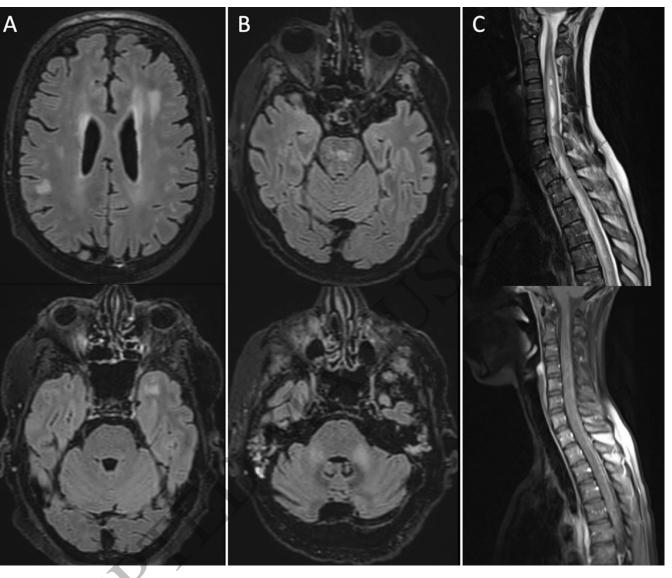


Figure 2 230x190 mm (x DPI)

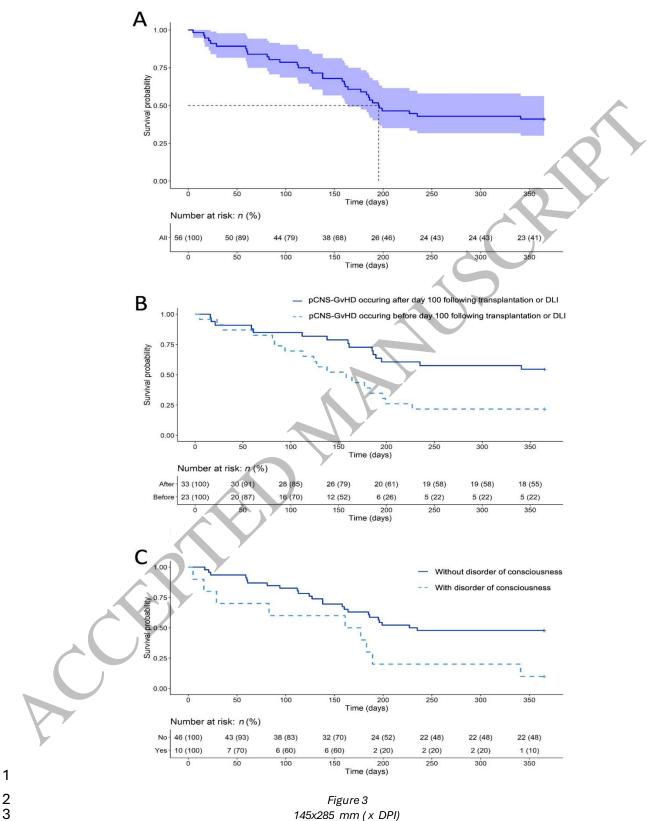




Table I Patients selection

Supportive criteria (at least two are needed for inclusion)	Exclusion criteria
Brain or spinal cord lesions visible on MRI at a	Differential diagnosis deemed more probable to explain the clinical observations,
neuroanatomical site compatible with the	including:
symptomatology	CNS infections
CSF WBC count > 5 cells/mm ³ or protein level >	CNS infiltration by neoplastic lesions
0.45 g/L	Toxic, endocrine, metabolic, or deficiency-associated CNS disorders
Concomitant (within 30 days before or after)	Stroke or intracranial hemorrhage without radiological or histopathological
acute or chronic extra-neurological GvHD flare	evidence of vasculitis
Clinical response to immunosuppressive therapy	Peripheral nervous system disorder responsible for the whole clinical
Parenchymal, perivascular, or vascular mural lymphocyte infiltrates on histopathology	picture Neurological disease already present before allo-HSCT and potentially responsible for the whole symptomatology

least two supportive criteria and no exclusion criteria. Allo-HSCT stands for allogeneic hematopoietic stem cell transplantation, CNS for

central nervous system, MRI for magnetic resonance imaging and WBC for white blood cell.

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Patients and transplant characteristics	All cases (N=66)	pCNS-GvHD ≤100 days (N=27)	pCNS-GvHD >100 days (N=39)
Male sex, No. (%)	43 (65%)	16 (59%)	27 (69%)
Age at pCNS-GvHD (years), median (IQ ₂₅₋₇₅)	57 (42–65)	62 (50–67)	56 (42–64)
Underlying disease ^a , No. (%)			
Myeloid malignancies	47 (71%)	24 (89%)	23 (59%)
Lymphoid malignancies	14 (21%)	2 (7%)	12 (31%)
Non-malignant diseases	5 (8%)	I (4%)	4 (10%)
CNS disorder prior allo-HSCT ^b , No. (%)	8 (12%)	3 (11%)	5 (13%)
Immune-mediated disorder prior allo-HSCT ^c , No. (%)	6 (9%)	2 (7%)	4 (10%)
Conditioning regimen before allo-HSCT			
Myeloablative, No. (%)	22 (33%)	4 (15%)	18 (46%)
TBI-based, No. (%)	14 (21%)	3 (11%)	11 (28%)
Source of stem cells, No. (%)			
Mobilized peripheral blood stem cells	53 (80%)	24 (89%)	29 (74%)
Bone marrow	(7%)	2 (7%)	9 (23%)
Cord blood	2 (3%)	I (4%)	I (3%)
Donor type, No. (%)			
Related, HLA-matched	(7%)	3 (11%)	8 (21%)
Related, HLA-haploidentical	(7%)	4 (15%)	7 (18%)
Unrelated, HLA-matched	34 (52%)	16 (59%)	19 (49%)
Unrelated, HLA-mismatched	10 (15%)	4 (15%)	4 (10%)
Donor-recipient sex mismatch (female for male), No. (%)	23 (35%)	6 (22%)	17 (44%)
CMV reactivation after allo-HSCT, No. (%)	19 (37% ^d)	8 (38% ^d)	II (37% ^d)
EBV reactivation after allo-HSCT, No. (%)	I 2 (24% ^d)	3 (14% ^d)	9 (30% ^d)
Complete donor chimerism at last bone marrow aspiration before pCNS-GvHD onset, No. (%)	42 (84% ^e)	16 (76% ^e)	26 (90% ^e)
Donor lymphocyte infusion before pCNS-GvHD, No. (%)	3 (5%)	3 (11%)	0 (0%)
Delay between allo-HSCT/DLI and pCNS-GvHD (days), median (IQ_{25-75})	149 (48–321)	40 (14–70)	279 (154–448)

Allo-HSCT stands for allogeneic hematopoietic stem cell transplantation, aGvHD for acute graft-versus-host disease, cGvHD for chronic graftversus-host disease, DLI for donor lymphocyte infusion, and pCNS-GvHD for possible central nervous system graft-versus-host disease. ^aUnderlying disease: acute myeloblastic leukemias (25 patients), myeloproliferative neoplasms (17 patients), acute lymphoblastic leukemias

^aUnderlying disease: acute myeloblastic leukemias (25 patients), myeloproliferative neoplasms (17 patients), acute lymphoblastic leukemias (seven patients), myelodysplastic syndromes (five patients), non-Hodgkin's lymphomas (three patients), inherited bone marrow failure (two patients), Hodgkin's lymphoma (two patients), primary immune deficiency (two patients), multiple myeloma (two patients), and aplastic anemia (one patient).

^bHistory of CNS disorder prior allo-HSCT: stroke (two patients), chemotherapy-induced toxic encephalopathy (two patients), essential tremor (one patient), epilepsy following the cure of an aneurysm of the right middle cerebral artery (one patient), subarachnoid hem orrhage (one patient), and traumatic acute subdural hematoma (one patient). History of non-hematological immune-mediated disorder prior to allo-HSCT: psoriasis, pulmonary alveolar proteinosis, auto-immune uveitis,

erythema nodosum, rheumatoid arthritis and ulcerative colitis (one patient each).

^dData on CMV and EBV reactivations was available for 51 patients (21 with pCNS-GvHD before and 30 with pCNS-GvHD after day 100). ^eData on donor chimerism were available for 50 patients (21 with pCNS-GvHD before and 29 with pCNS-GvHD after day 100).

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Table 3 Clinical manifestations of pCNS-GvHD at initial presentatio	n and neurological sequelae among su	rviving patients one
year after pCNS-GvHD onset		

Clinical signs/symptoms	All cases	pCNS-GvHD ≤100 days	pCNS-GvHD >100 days
At initial presentation	N=66	N=27	N=39
Cognitive and/or behavioral impairment, No. (%)	27 (41%)	14 (52%)	13 (33%)
Speech impairment, No. (%)	5 (8%)	2 (7%)	3 (8%)
Motor impairment, No. (%)	14 (21%)	4 (15%)	10 (26%)
One or both upper limb(s)	6 (9%)	2 (7%)	4 (10%)
One or both lower limb(s)	12 (18%)	3 (11%)	9 (23%)
Gait impairment, No. (%)	9 (14%)	3 (11%)	6 (15%)
Vision impairment, No. (%)	7 (11%)	1 (4%)	6 (15%)
Sensory impairment, No. (%)	12 (18%)	2 (7%)	10 (26%)
Epileptic seizure, No. (%)	2 (3%)	I (4%)	I (3%)
Headache, No. (%)	10 (15%)	6 (22%)	4 (10%)
Hyperkinetic movement disorder, No. (%)	8 (12%)	6 (22%)	2 (5%)
Cranial nerve disorder, No. (%)	5 (8%)	2 (7%)	3 (8%)
Urinary or anal sphincter dysfunction, No. (%)	3 (5%)	I (4%)	2 (5%)
Disorder of consciousness, No. (%)	13 (20%)	8 (30%)	5 (13%)
Neurological sequelae one year after disease onset	N=23	N=5	N=18
Cognitive and/or behavioral impairment, No. (%)	8 (35%)	I (20%)	7 (39%)
Speech impairment, No. (%)	I (4%)	0 (0%)	l (6%)
Motor impairment, No. (%)	3 (13%)	I (20%)	2 (11%)
One or both upper limb(s)	I (4%)	I (20%)	0 (0%)
One or both lower limb(s)	2 (9%)	0 (0%)	2 (11%)
Gait impairment, No. (%)	7 (30%)	0 (0%)	7 (39%)
Vision impairment, No. (%)	I (4%)	0 (0%)	l (6%)
Sensory impairment, No. (%)	2 (9%)	0 (0%)	2 (11%)
Epileptic seizure, No. (%)	2 (9%)	0 (0%)	2 (11%)
Headache, No. (%)	2 (9%)	0 (0%)	2 (11%)
Hyperkinetic movement disorder, No. (%)	I (4%)	0 (0%)	l (6%)
Cranial nerve disorder, No. (%)	I (4%)	0 (0%)	I (6%)
Urinary or anal sphincter dysfunction, No. (%)	3 (13%)	0 (0%)	3 (17%)
Disorder of consciousness, No. (%)	I (4%)	0 (0%)	I (6%)
No clinical neurological sequelae, No. (%)	7 (30%)	3 (60%)	6 (33%)

13 14 Table 4 Biological and radiological characteristics of the whole cohort and of subgroups depending on the delay between allo-HC T or DLL and CNS-GvHD onset

MRI and CSF characteristics	All patients	Patients with CNS-GvHD onset before Day 100	Patients with CNS-GvHD onset after Day 100
Patients with brain MRI results available, No.	65	26	39

⁹ 10

Brain lesions seen with MRI compatible with symptomatology, No. (%) Among these:	35 (54%)	11 (42%)	24 (62%)
Supratentorial lesions, No. (%)	30 (86%)	7 (64%)	23 (96%)
Infratentorial lesions, No. (%)	15 (43%)	6 (54.5%)	9 (38%)
Contrast-enhancing lesions, No. (%)	12 (34%)	2 (7.7%)	10 (42%)
Multiple lesions, No. (%)	29 (83%)	6 (55%)	23 (96%)
Type of lesions, No. (%)			
Separate oval or punctuate white matter lesions	19 (54%)	5 (45%)	14 (58%)
Confluent white matter lesions	14 (40%)	6 (55%)	8 (33%)
Acute ischemic lesions	I (3%)	0	I (4%)
Pseudo-tumoral lesions	I (3%)	0	1 (4%)
Extra-parenchymal intracranial lesions, No. (%)	3 (5%)	I (4%)	2 (5%)
Patients with spinal cord MRI results available, No.	36	II	25
Spinal cord lesions seen with MRI, No. (%) Among these:	7 (19%)	0 (0%)	7 (28%)
Longitudinally extensive, No. (%)	6 (86%)	0 (0%)	6 (86%)
Contrast-enhancing lesions, No. (%)	6 (86%)	0 (0%)	6 (86%)
Multiple lesions, No. (%)	5 (71%)	0 (0%)	5 (71%)
Patients with brain CT results available, No.	44	19	25
Brain lesions seen with CT compatible with symptomatology, No. (%)	3 (7%)	0 (0%)	3 (12%)
Patients with CSF results available, No.	64	25	39
CSF WBC count > 5/mm ³ , No. (%)	36 (56%)	15 (60%)	21 (54%)
CSF WBC count between 6–20/mm ³ , No. (%)	20 (31%)	6 (24%)	14 (36%)
CSF WBC count between 21–50/mm ³ , No. (%)	(17%)	5 (20%)	6 (15%)
CSF WBC count between 51–200/mm ³ , No. (%)	4 (6%)	4 (16%)	0 (0%)
CSF WBC count > 200/mm ³ , No. (%)	I (2%)	0 (0%)	I (3%)
Among these, WBC count (cells/mm³), median (IQ ₂₅₋₇₅)	18 (10-43.25)	30 (12–60)	14 (7–40)
CSF protein level > 0.45 g/L, No. (%)	51 (80%)	19 (76%)	32 (82%)
CSF protein level (g/L), median (IQ ₂₅₋₇₅)	0.79 (0.51–1.31)	0.6 (0.45–1.32)	0.9 (0.65–1.31)
CSF glucose level < 0.45 mg/dL, No. (%)	6 (11%ª)	I (5%ª)	5 (14%ª)
CSF glucose level (mg/dL), median (IQ ₂₅₋₇₅)	60.5 (54–75)	70 (55–83)	59 (53.5–66)
CSF oligoclonal bands, No. (%)	16 (47% ^b)	3 (30% ^b)	I 3 (54% ^b)

^aCSF glucose level was available for 56 patients (20 with pCNS-GvHD before and 36 with pCNS-GvHD after day 100).

^bData on CSF oligocional bands was available for 34 patients (10 with pCNS-GvHD before and 24 with pCNS-GvHD after day 100).

Table 5 Treatments administrated as first-line regimen pCNS-GvHD pCNS-GvHD First-line therapy All cases ≤100 days > 100 days Total number of treated patients, N 64 26 38 58 (91%) 23 (88%) 35 (92%) Corticosteroids, No. (%) Methylprednisolone 500 to 1000 mg/day^a 23 8 15 Methylprednisolone I to 2 mg/kg/day^a 22 12 10 0 Prednisone I mg/kg/day^a 7 7 Other regimen^b 6 3 3 Calcineurin inhibitor, No. (%) 7 (11%) 4 (15%) 3 (8%) Mycophenolate mofetil, No. (%) 6 (9%) 3 (12%) 3 (8%) 8 (13%) I (4%) 7 (18%) Intravenous immunoglobulins, No. (%) Plasma exchanges, No. (%) 6 (9%) I (4%) 5 (13%) 5 (8%) I (4%) 4 (11%) Rituximab, No. (%)

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Cyclophosphamide, No. (%)	4 (6%)	2 (8%)	2 (5%)
Ruxolitinib, No. (%)	4 (6%)	I (4%)	3 (8%)
Tocilizumab, No. (%)	I (2%)	I (4%)	0 (0%)
Fingolimod, No. (%)	I (2%)	0 (0%)	I (3%)
Sirolimus, No. (%)	I (2%)	0 (0%)	I (3%)
Combination of at least two treatments, No. (%)	26 (41%)	8 (31%)	18 (47%)

As multiple treatments may be administrated, numbers may not sum to group totals or percentages add to 100%. ^aInitial dose.

^bOther regimens include methylprednisolone 40 mg given intrathecally weekly (two patients), methylprednisolone 0.5mg/kg/day (one patient), prednisone 0.5mg/kg/day (one patient), dexamethasone 20 mg/day (one patient), dexamethasone 40mg/day (one patient).

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