## Original Article

# Cognitive Motor Dissociation in Disorders of Consciousness

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## ABSTRACT

## **BACKGROUND**

The authors' full names, academic degrees, and affiliations are listed in the Appendix. Dr. Schiff can be contacted at nds2001@med.cornell.edu or at the Feil Family Brain and Mind Research Institute, 1300 York Ave., New York, NY 10065.

**N Engl J Med 2024;391:598-608. DOI: 10.1056/NEJMoa2400645** *Copyright © 2024 Massachusetts Medical Society.* Patients with brain injury who are unresponsive to commands may perform cognitive tasks that are detected on functional magnetic resonance imaging (fMRI) and electroencephalography (EEG). This phenomenon, known as cognitive motor dissociation, has not been systematically studied in a large cohort of persons with disorders of consciousness.

# **METHODS**

In this prospective cohort study conducted at six international centers, we collected clinical, behavioral, and task-based fMRI and EEG data from a convenience sample of 353 adults with disorders of consciousness. We assessed the response to commands on task-based fMRI or EEG in participants without an observable response to verbal commands (i.e., those with a behavioral diagnosis of coma, vegetative state, or minimally conscious state–minus) and in participants with an observable response to verbal commands. The presence or absence of an observable response to commands was assessed with the use of the Coma Recovery Scale–Revised (CRS-R).

## **RESULTS**

Data from fMRI only or EEG only were available for 65% of the participants, and data from both fMRI and EEG were available for 35%. The median age of the participants was 37.9 years, the median time between brain injury and assessment with the CRS-R was 7.9 months (25% of the participants were assessed with the CRS-R within 28 days after injury), and brain trauma was an etiologic factor in 50%. We detected cognitive motor dissociation in 60 of the 241 participants (25%) without an observable response to commands, of whom 11 had been assessed with the use of fMRI only, 13 with the use of EEG only, and 36 with the use of both techniques. Cognitive motor dissociation was associated with younger age, longer time since injury, and brain trauma as an etiologic factor. In contrast, responses on task-based fMRI or EEG occurred in 43 of 112 participants (38%) with an observable response to verbal commands.

## **CONCLUSIONS**

Approximately one in four participants without an observable response to commands performed a cognitive task on fMRI or EEG as compared with one in three participants with an observable response to commands. (Funded by the James S. McDonnell Foundation and others.)

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COGNITIVE MOTOR DISSOCIATION<sup>1</sup><br>established phenomenon<sup>2-4</sup> in which<br>sons with severe brain injury wheneviorally unresponsive to commands OGNITIVE MOTOR DISSOCIATION<sup>1</sup> IS AN established phenomenon<sup>2-4</sup> in which persons with severe brain injury who are behaviorally unresponsive to commands show brain activation on functional magnetic resonance imaging (fMRI) or electroencephalography (EEG) when presented with cognitive tasks, such as motor imagery commands. The failure to identify cognitive motor dissociation in patients with disorders of consciousness could affect decisions related to the withdrawal of lifesustaining treatment, goals of care, and clinical management. Evidence of cognitive motor dissociation may prompt more thorough investigation of subtle behaviors that are under volitional control,<sup>5</sup> uncovering potential avenues for communicating with the patient and respecting their autonomy.

In previous studies, cognitive motor dissociation was observed in 10 to 20% of persons with a disorder of consciousness $3,6-9$ ; similar findings were observed in persons with acute brain inju $ry^{10,11}$  or chronic brain injury,<sup>12</sup> as well as across etiologic factors.<sup>9</sup> Detection of cognitive motor dissociation has been associated with more rapid recovery and better outcomes at 1 year after injury.<sup>11,13</sup> To be detected on fMRI or EEG, responses to commands must be sustained and reflect not only language comprehension but also recruitment of more cognitive resources (e.g., short-term memory, attention, and persistence) than may be required for responding to a single command at the bedside (Table S1 in the Supplementary Appendix, available with the full text of this article at NEJM.org). Identifying that a patient who otherwise appears to be unconscious has the capacity for cognitive processing may mitigate emotional harm when the patient's clinical team and family recognize that the patient is aware and treats the patient as such. The harm in assuming that an unresponsive patient is also unaware has been described previously.14 International clinical guidelines vary with regard to recommendations about the use of fMRI and EEG for detecting cognitive motor dissociation, with some guidelines supporting their use $15,16$ and at least one guideline proposing the need for further study before these techniques are routinely used in clinical practice.<sup>17</sup>

Most previous studies of cognitive motor dissociation were conducted at single sites with relatively small cohorts.3,6,7,9-11,18,19 Our consortium assessed cognitive motor dissociation in a multinational cohort of participants with disorders of consciousness who were assessed at specialized centers that have the capability of studying this condition.

## METHODS

# **Sites and Participants**

Six multinational sites contributed behavioral and task-based fMRI data, EEG data, or both to a centrally curated Research Electronic Data Capture (REDCap)<sup>20</sup> database from 2006 to 2023. Study participants were adults  $(≥18$  years of age) with a disorder of consciousness who were recruited from intensive care units, hospital wards, rehabilitation facilities, nursing homes, and the community. Exclusion criteria at all sites were previous neurologic or psychiatric disease and contraindication for MRI, EEG, or both (e.g., for fMRI, the inability to lay flat or the presence of ferrous metal implants), depending on the techniques used at the site. Additional information about the inclusion and exclusion criteria at each site is provided in Tables S2 and S3. The study was approved by ethics review boards at each site, and procedures for obtaining surrogate consent for participation in the study adhered to local regulations. Participants may have been included in previous studies that assessed specific methodologic approaches or different research questions (Fig. S1).

The first and last authors wrote the first draft of the manuscript, and all the authors reviewed the manuscript before submission. All the authors vouch for the accuracy and completeness of the data. None of the vendors or manufacturers of the fMRI and EEG devices used in the current study provided funding for this study or had any role in the conduct of this study or reporting of the findings.

# **Procedures**

Trained study staff conducted behavioral assessments with the use of the Coma Recovery Scale– Revised (CRS-R), a standardized scale with high interrater reliability and test–retest reliability that has been validated in multiple languages (Table  $S4$ )<sup>21-24</sup>; scores range from 0 to 23, and higher scores typically indicate better neurobehavioral function. The CRS-R is the tool preferred in international guidelines for the assessment of

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levels of consciousness and was the means by which we assigned a diagnosis of a disorder of consciousness to the participants.15-17 The examiners who administered the CRS-R were unaware of the findings on fMRI and EEG.

Investigators at each of the six sites have experience designing fMRI and EEG studies that include participants with disorders of consciousness, and they adhered to all local, previously published, and validated procedures for acquiring, analyzing, and interpreting fMRI and EEG findings. The procedures for processing and interpreting these findings were automated to minimize bias associated with the subjective discrimination of positive findings from negative findings. The fMRI analysis used established statistical cutoffs and cluster-based correction for multiple comparisons to reduce the potential effect of spurious activations in the prespecified brain regions of interest. EEG analysis used either a comparison of the power spectral density at each channel (corrected for multiple comparisons) or a machine-learning algorithm. Trained study staff who were unaware of the findings from the behavioral assessment removed artifacts from the EEG data. Before evaluating participants with disorders of consciousness, investigators tested the methods for acquiring and analyzing fMRI data<sup>3,8,10</sup> and EEG data<sup>10,11,25,26</sup> in healthy participants to ensure that responses on imaging were detectable in persons with intact cognitive processing. These studies included 5 to 16 healthy participants, of whom 70 to 100% had responses to commands on task-based fMRI or EEG.

We included participants who had at least one score on the CRS-R and had undergone assessments of responses to commands (e.g., "imagine playing tennis," "imagine opening and closing your hand," and "open and close your hand") on task-based fMRI, task-basked EEG, or both within 7 days before or after the CRS-R assessment. If participants were assessed across multiple days with either fMRI or EEG, our analyses included only the best response on the first day. We also documented the number of participants for whom it was not possible to analyze or interpret data from any fMRI or EEG sessions (e.g., because of the presence of a motion artifact). Study staff from each site entered data into a central REDCap database housed at the Icahn School of Medicine

at Mount Sinai, the data coordinating center. REDCap variables included demographic and clinical characteristics; scores on the CRS-R subscales (auditory, visual, motor, oromotor–verbal, communication, and arousal); total score on the CRS-R; number of attempted task-based fMRI sessions, EEG sessions, or both; number of task-based fMRI sessions, EEG sessions, or both in which a response was detected; and number of task-based fMRI sessions, EEG sessions, or both in which a response was not detected.

#### **Assessments**

We divided participants into two groups according to whether responses to verbal commands or intelligible speech were observed during the assessment with the CRS-R. Cognitive motor dissociation was operationally defined as a lack of responses to commands and a lack of intelligible speech as assessed with the CRS-R (i.e., a score of <3 on the auditory subscale, <5 on the visual subscale, <3 on the oromotor–verbal subscale, and <1 on the communication subscale) in the context of a response to a task-based fMRI paradigm, a task-based EEG paradigm, or both (a paradigm is a standardized experimental design that evokes consistent brain responses when completed by healthy persons). $<sup>1</sup>$  According to</sup> this definition, only participants with a CRS-R assessment that led to a diagnosis of coma, vegetative state (also referred to as unresponsive wakefulness syndrome), or minimally conscious state–minus (the presence of signs of conscious awareness, such as visual pursuit, without responses to commands or intelligible verbal output) $27$  could be considered to have cognitive motor dissociation. We combined the diagnostic categories of coma and vegetative state because both indicate an unconscious state. We also evaluated responses on task-based fMRI and EEG in participants with an observable response to commands (i.e., participants with a behavioral diagnosis of minimally conscious state– plus [the presence of signs of conscious awareness that include responses to commands or intelligible verbal output] and those who had emerged from the minimally conscious state [the return of the ability to use common objects in a functional manner or correctly respond to basic yes-or-no questions about situational orientation]).

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The preservation or recovery of multiple complex cognitive functions that are required to perform the tasks assessed with fMRI and EEG over minutes of sustained engagement minimizes the risk of spurious responses on fMRI<sup>28</sup> and EEG.<sup>29,30</sup> This methodologic approach results in a high percentage of false-negative results (i.e., no response on fMRI or EEG in participants with an observable response to commands and in healthy persons).<sup>3,10,31,32</sup> Given this context, we interpreted responses on fMRI and EEG in participants without an observable response to commands (i.e., participants with a behavioral diagnosis of coma, vegetative state, or minimally conscious state–minus) to be specific for cognitive motor dissociation but at a potential cost of reduced sensitivity.

## **Statistical Analysis**

We report descriptive characteristics of the participants and the percentage of all the participants with cognitive motor dissociation. We describe differences in the percentage of participants with cognitive motor dissociation according to age, time since injury, diagnosis on the basis of the CRS-R assessment, etiologic factor, and study site. We calculated kappa coefficients to assess the agreement among the behavioral diagnosis, task-based fMRI findings, and task-based EEG findings. The widths of the confidence intervals have not been adjusted for multiplicity and cannot be used in place of hypothesis testing.

# **RESULTS**

## **Participants**

The central database included data for 478 participants, of whom 125 (61 participants with no score on the CRS-R available; 43 with no taskbased fMRI or EEG data available; 16 with uninterpretable fMRI data, EEG data, or both; and 5 with a score on the CRS-R that was obtained more than 7 days before or after fMRI or EEG) were excluded from the current study (Fig. 1). Characteristics of the 353 participants included in the study are provided in Table 1 and Figure S2.

A total of 215 participants (61%) underwent at least one fMRI assessment, and 260 participants (74%) underwent at least one EEG assessment. Both fMRI and EEG were performed in

122 participants (35%), and fMRI only or EEG only were performed in 231 (65%). The CRS-R assessment occurred within a median of 1 day (interquartile range, 0 to 2) of fMRI and 0 days (interquartile range, 0 to 1) before or after EEG. The CRS-R assessment was performed within 1 day before or after fMRI or EEG in approximately 70% of the participants (Fig. S3). A summary of the demographic representativeness of our sample is provided in Table S5.

# **Findings in Participants without an Observable Response to Commands**

Of the 241 participants with a diagnosis of coma or vegetative state (i.e., participants who were unconscious) or minimally conscious state–minus on the basis of the score on the CRS-R, 60 (25%) had a response to commands on task-based fMRI, task-based EEG, or both. The distribution of participants with cognitive motor dissociation according to the score on the CRS-R is shown in Figures S4, S5, and S6. Scores on the CRS-R according to responses on fMRI and EEG are shown in Table S6. The median age was lower among participants with cognitive motor dissociation than among those without cognitive motor dissociation (30.5 years [interquartile range, 22.6 to 43.0] vs. 45.3 years [interquartile range, 26.7 to 59.3]), and a higher percentage of participants with cognitive motor dissociation than without cognitive motor dissociation had brain trauma as an etiologic factor (65% vs. 38%) and a diagnosis of minimally conscious state–minus according to the score on the CRS-R (53% vs. 38%). The CRS-R assessment occurred later after brain injury in participants with cognitive motor dissociation than in those without cognitive motor dissociation (10.7 months [interquartile range, 3.7 to 24.3] vs. 4.3 months [interquartile range, 0.1 to 14.4]) (Table 2). Among participants with cognitive motor dissociation, 18% were assessed with fMRI only, 22% with EEG only, and 60% with both fMRI and EEG. The percentage of participants with cognitive motor dissociation varied across study sites (Table S7). Table S8 shows the percentage of participants with a diagnosis of coma or vegetative state or of minimally conscious state– minus, as assessed with the CRS-R, who had a response or did not have a response on fMRI, EEG, or both.

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# **Findings in Participants with an Observable Response to Commands**

Of the 112 participants with a diagnosis of minimally conscious state–plus or who had emerged from the minimally conscious state as assessed with the CRS-R, 43 (38%) had a response to commands on task-based fMRI, task-based EEG, or during assessment with the CRS-R at the bed-

both (Table 3). Among these participants, 23% were assessed with fMRI only, 19% with EEG only, and 58% with both fMRI and EEG. Responses to commands on fMRI and EEG were absent in more than 60% of the participants who had an observable response to commands



#### **Figure 1. Study Population.**

Of 478 participants in the Research Electronic Data Capture (REDCap) database, 353 were assessed with the use of the Coma Recovery Scale–Revised (CRS-R) and at least one command-following paradigm on functional magnetic resonance imaging (fMRI) or electroencephalography (EEG) within 7 days. We defined cognitive motor dissociation operationally on the basis of the CRS-R assessment as a lack of responses to commands and a lack of intelligible speech in the context of a response to a task-based fMRI paradigm, a task-based EEG paradigm, or both. Cognitive motor dissociation occurred in 25% of the participants with no observable response to commands (participants with a behavioral diagnosis of coma or vegetative state [unconscious] or minimally conscious state–minus [the presence of signs of conscious awareness without responses to commands or intelligible verbal output]). Among the participants with an observable response to commands (participants with a behavioral diagnosis of minimally conscious state–plus [defined as the presence of signs of conscious awareness that include responses to commands or intelligible verbal output] and those who had emerged from the minimally conscious state [defined as the return of the ability to use common objects in a functional manner or correctly respond to basic yes-or-no questions about situational orientation]), 62% did not have a response on task-based fMRI, EEG, or both. Participants who had a response to commands on imaging were those with a response to commands on fMRI, EEG, or both, regardless of whether they underwent one or both imaging studies. Participants who did not have a response to commands on imaging were those who underwent fMRI only, EEG only, or both and had no response to commands on imaging.

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side. Table S9 shows the percentage of participants with a diagnosis of minimally conscious state–plus or who had emerged from the minimally conscious state, as assessed with the CRS-R, who had a response or did not have a response to commands on fMRI, EEG, or both.

# **Agreement between Assessment Techniques**

The level of agreement between evidence of a response on the basis of the CRS-R, fMRI, and EEG assessments, as indicated by kappa coefficients, was low. Kappa coefficients ranged from 0.09 to 0.15 for agreement between evidence of a response according to CRS-R findings and evidence according to fMRI or EEG findings and from 0.02 to 0.04 for agreement between evidence on the basis of fMRI findings and EEG findings (Tables S10 and S11).

# Discussion

In this multinational study involving a convenience sample with disorders of consciousness, we detected cognitive motor dissociation on task-based fMRI or EEG in approximately 25% of the participants. This percentage is higher than previous estimates.3,6,9-11 Although standardized behavioral evaluation remains the reference standard for detecting a response to commands at the bedside, the use of task-based fMRI and EEG can improve detection, and the use of both imaging techniques appears to be a more sensitive approach than the use of one of the techniques alone.

The percentage of participants with cognitive motor dissociation is 5 to 10 percentage points higher in our study than in previous studies.3,6,9-11 This finding may be due to our multimodal approach, which classified the participants who underwent assessment with both techniques on the basis of responses on either fMRI or EEG. The percentage of participants with cognitive motor dissociation may have been even higher if all the participants had been assessed with both imaging techniques. Previous studies have shown that cognitive motor dissociation was most common among participants with traumatic brain injury,<sup>3,6,9,11</sup> those with chronic disorders of consciousness,<sup>9</sup> and those with a behavioral diagnosis of minimally con-



\* Percentages may not total 100 because of rounding. ICH denotes intracerebral hemorrhage, IQR interquartile range, IVH intraventricular hemorrhage, and SAH subarachnoid hemorrhage.

† The Coma Recovery Scale–Revised (CRS-R) is a tool preferred in international guidelines for the assessment of levels of consciousness.

 $\pm$  The diagnosis was made on the basis of the score on the CRS-R. A diagnosis of coma or vegetative state indicated an unconscious state. Minimally conscious state–minus is defined as the presence of signs of conscious awareness without responses to commands or intelligible verbal output. Minimally conscious state–plus is defined as the presence of signs of conscious awareness that include responses to commands or intelligible verbal output. Emerged from the minimally conscious state is defined as the return of the ability to use common objects in a functional manner or correctly respond to basic yes-or-no questions about situational orientation.

scious state–minus, $11$  findings that were indicated in our study. However, cognitive motor dissociation was also detected in participants with nontraumatic causes of brain injury (e.g., stroke and cardiac arrest), those with acute disorders of consciousness, and those who were behaviorally unconscious, as indicated by a diagnosis of coma or vegetative state.

The prevalence of cognitive motor dissociation may have been underestimated in previous studies and the current study for multiple reasons. First, the paradigms used in studies of

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\* Percentages may not total 100 because of rounding.

† Data are for participants with a response to commands on functional magnetic resonance imaging (fMRI), electroencephalography (EEG), or both, regardless of whether they underwent one or both imaging studies. These participants were considered to have cognitive motor dissociation.

‡ Data are for participants who underwent fMRI only, EEG only, or both and had no response to commands on imaging. § The diagnosis was made on the basis of the score on the CRS-R.

task-based fMRI and EEG may require more cognitive resources (e.g., short-term memory, selective attention, and mental persistence) than in typical command-following trials performed at the bedside. Although this hypothesis has not been proven,<sup>33</sup> it is supported by our finding that responses on fMRI and EEG were detected in only 38% of the participants with an observable response to commands at the bedside. Second, the fMRI and EEG analytic techniques used at the study sites were intentionally designed to minimize the potential for a false-positive result, which may increase the likelihood of a false-negative finding. Third, most studies assess participants with the use of either fMRI or EEG. We found that participants who were assessed with both imaging techniques were more likely to have cognitive motor dissociation than those who were assessed with one technique. Fourth, behavioral fluctuation is common among patients across all disorders of consciousness, which may contribute to the lack of a response on fMRI or EEG or to disparate findings between these two techniques.<sup>34-36</sup>

Several limitations should be considered when interpreting the results of this study. Participants were recruited with the use of a variety of methods, including consecutive enrollment of critically ill patients in the intensive care unit and enrollment of persons with chronic illness

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\* Data are for participants with a response to commands on fMRI, EEG, or both, regardless of whether they underwent one or both imaging studies.

† Data are for participants who underwent fMRI only, EEG only, or both and had no response to commands on imaging. ‡ The diagnosis was made on the basis of the score on the CRS-R.

or injury who were in the postacute phase of tics, may have contributed to the unequal perbrain injury. All the participants with chronic brain injury had survived their initial illness or injury and had access to a research facility with advanced fMRI and EEG capabilities. This survival bias may reflect greater cognitive reserve and resilience over time among the participants. As such, the results of our study may not be generalizable to the overall population of patients with cognitive motor dissociation. In the absence of standardized approaches to the evaluation of cognitive motor dissociation, participating sites used heterogeneous strategies to acquire, analyze, and interpret data, which led to differences in the number, type, and ordering of the cognitive tasks assessed on fMRI and EEG. These differences, along with variations in recruitment strategies and participant characteris-

centage of participants with cognitive motor dissociation observed at each site (range, 2 to 45%). Our findings may therefore not be generalizable across all centers.

Large-scale validation studies are needed to improve data acquisition and analysis for clinical translation. The statistical analyses that were conducted as part of this study were univariate and descriptive. Thus, we were unable to evaluate the independent contribution of any one variable in predicting cognitive motor dissociation.

The agreement between cases of cognitive motor dissociation detected by fMRI as compared with EEG was low, which may have been due to fluctuations in conscious awareness in the participants or to differences in the underlying paradigm assessed by each technique. Although the

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participants underwent assessments with the CRS-R, fMRI, and EEG a variable number of times, for consistency we analyzed the best score on the CRS-R and the best response on each imaging technique, and we are unable to determine the number of assessments that were excluded. Serial assessment with the CRS-R, fMRI, and EEG may lead to improved detection of cognitive motor dissociation but requires the ready availability of these imaging techniques. Finally, access to both the specially trained personnel and techniques that are needed to assess persons for cognitive motor dissociation is currently available in only a few academic medical centers worldwide, which limits the feasibility of performing these assessments in general practice.

The results of our study, which used neuroimaging and electrophysiological techniques, indicate that cognitive motor dissociation is more common than previously realized. Although taskbased fMRI and EEG are not yet widely available for the clinical assessment of disorders of consciousness, the knowledge that cognitive motor dissociation is not rare should prompt further study to explore whether its detection can lead to improved outcomes. In addition, the standardization, validation, and simplification of task-based fMRI and EEG methods that are used to detect cognitive motor dissociation are needed to prompt widespread clinical integration of these techniques and investigation of the bioethical implications of the findings.<sup>37</sup>

The views expressed in this article are those of the authors and may not be regarded as stating an official position of the European Research Council Executive Agency or the European Commission.

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## **Appendix**

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