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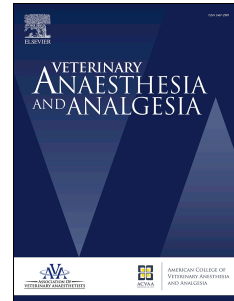
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FULL RESEARCH STUDY

Retrospective study of the changes in dynamic compliance and ventilation/perfusion mismatch following salbutamol inhalation in hypoxaemic mechanically ventilated anaesthetized horses

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Authors' contributions

JD: study design, data analysis and writing of the manuscript. BRD: data analysis and writing of the manuscript. AS: review of the manuscript. DS and CS: study design and review of the manuscript.

Conflict of interest statement

The authors declare no conflict of interest.

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1 Abstract

2 **Objective** To study the changes in dynamic compliance (C_{dyn}), ventilation/perfusion
3 (\dot{V}/\dot{Q}) mismatch and haemodynamic variables in hypoxaemic anaesthetized horses
4 whose PaO_2 increased following salbutamol inhalation.

5 **Study design** Retrospective, clinical, cohort study.

6 **Animals** A group of 73 client-owned horses treated with salbutamol when $\text{PaO}_2 < 100$
7 mmHg (13.3 kPa) during anaesthesia.

8 **Methods** Horses were divided into two groups: responders (R), where PaO_2 after
9 salbutamol $\geq 1.2 \text{ PaO}_2$ before treatment (i.e. $\geq 20\%$ increase), and non-responders (NR),
10 where PaO_2 after salbutamol $< 1.2 \text{ PaO}_2$ before treatment. Demographic data and
11 intraoperative variables before treatment were compared between R and NR. C_{dyn} ,
12 arterial to end-tidal carbon dioxide difference [$P(a-E)\text{CO}_2$], estimated ratio of dead
13 space to tidal volume (est. V_D/V_T), estimated shunt fraction (F-shunt), heart rate,
14 systolic, mean and diastolic arterial pressure, and dobutamine requirements were
15 compared before and after treatment within R and NR. For each variable, the difference
16 (Δ) between values pre- and posttreatment was calculated and compared between
17 groups R and NR. Numerical data were compared using univariate or bivariate analysis
18 and categorical data were compared using Chi-square test; $p < 0.05$.

19 **Results** Of the 73 horses 50 were classified as R while 23 horses were classified as NR.
20 There was no statistical difference between R and NR for demographic data or initial
21 intraoperative variables except for body weight [R: 531 (170-715) kg, NR: 540 (420-
22 914) kg]. While salbutamol did not alter C_{dyn} in either group, it significantly decreased
23 $P(a-E)\text{CO}_2$, est. V_D/V_T and F-shunt in R only. $\Delta P(a-E)\text{CO}_2$, $\Delta \text{est. } V_D/V_T$ and $\Delta \text{F-shunt}$

24 were significantly greater in R (-17.8%, -19.0% and -24.1%, respectively) than in NR
25 (11.5%, 6.6% and -0.3%, respectively).

26 **Conclusions and clinical relevance** In hypoxaemic anaesthetized horses responding to
27 inhaled salbutamol by an ≥ 1.2 increase in PaO₂ no change in C_{dyn} was detected , but
28 indicators of \dot{V}/\dot{Q} mismatch improved.

29 **Keywords** dynamic compliance, horse, hypoxaemia, salbutamol, venous admixture,
30 ventilation/perfusion mismatch

31 Introduction

32 General anaesthesia is associated with the rapid development of lung atelectasis and
33 pulmonary shunt in horses (Nyman & Hedenstierna 1989; Nyman et al. 1990).
34 Hypoxaemia has been a long-standing problem in equine anaesthesia, but no consensus
35 exists regarding the best treatment option (Auckburally & Nyman 2017).

36 Increasing the inspired oxygen fraction (FIO₂) has a limited efficacy given that
37 pulmonary shunt is mainly responsible for impairment of oxygenation (Benator et al.
38 1973). The open lung concept, which aims to open the alveoli and keep them open, has
39 been promisingly implemented in equine mechanical ventilation using stepwise alveolar
40 recruitment manoeuvres (ARM) (Levionnois et al. 2006; Wettstein et al. 2006;
41 Ambrosio et al. 2013; Hopster et al. 2016; Ambrisko et al. 2017; Andrade et al. 2019;
42 Andrade et al. 2022).

43 Inhaled salbutamol (albuterol) (2 µg kg⁻¹) has most commonly been used with
44 satisfactory results in anaesthetized horses (Robertson & Bailey 2002; Patschova et al.
45 2010; Casoni et al. 2014; Clark-Price et al. 2022; Dupont et al. 2022). The exact
46 mechanism of action remains elusive, but it has been hypothesized that salbutamol can
47 increase arterial partial pressure of oxygen (PaO₂) by affecting respiratory mechanics
48 and/or haemodynamics. β₂-Adrenergic agonists have well-known bronchodilatory
49 properties, and as such salbutamol has been reported to improve dynamic compliance
50 (C_{dyn}) (Robertson & Bailey 2002; Dupont et al. 2022). In addition, the positive effect of
51 salbutamol on oxygenation has been attributed to an increase in cardiac output (CO) and
52 lung perfusion, and therefore a favourable impact on ventilation/perfusion (\dot{V}/\dot{Q})
53 mismatch (Patschova et al. 2010; Clark-Price et al. 2022).

54 The present study explored the underlying changes in respiratory mechanics and
55 haemodynamics in hypoxaemic horses whose PaO₂ increased following salbutamol
56 inhalation. Moreover, the potential impact of demographic data and intraoperative
57 variables on the response to salbutamol was investigated. We hypothesized that
58 aerosolized salbutamol would affect C_{dyn}, \dot{V}/\dot{Q} mismatch or both in horses that
59 responded positively.

60

61 **Material and methods**

62 All the anaesthetic records of horses anaesthetized at the Equine Hospital of the Faculty
63 of Veterinary Medicine of the University of Liege between March 2014 and October
64 2020 were reviewed. By signing the admission form, owners gave written informed
65 consent for the collection of data from their horses and its publication in an anonymized
66 format.

67 Data recorded included demographic data; preanaesthetic assessment and blood
68 work; concurrent diseases and medication; American Society of Anesthesiologists
69 (ASA) status; procedure performed; identity of the anaesthetist and surgeon; duration of
70 anaesthesia and surgery; details about intubation and vascular accesses; drugs, fluids
71 and gases administered; recumbency and details about recovery and postoperative care.
72 Continuous intraoperative monitoring consisted of invasive arterial blood pressure,
73 pulse oximetry, electrocardiogram, capnography, inspired and expired percentages of
74 oxygen and isoflurane, airway pressure and flow-volume loops (Solomon; Vetronics,
75 UK). For invasive arterial blood pressure measurement, the transducer was zeroed to
76 atmospheric pressure at the level of the shoulder joint in dorsal recumbency, and at the
77 level of the sternum in lateral recumbency. Intraoperative variables [peripheral oxygen

78 saturation, heart rate (HR), systolic, mean and diastolic arterial pressure (SAP, MAP,
79 DAP), end-tidal partial pressure of carbon dioxide ($PE\acute{C}O_2$), respiratory rate (f_R), tidal
80 volume (VT), peak inspiratory pressure (PIP), positive end-expiratory pressure (PEEP),
81 pulse quality, mucous membrane colour, capillary refill time, ocular reflexes, body
82 temperature] were manually recorded every 5 minutes. Intraoperative arterial partial
83 pressure of carbon dioxide ($PaCO_2$), PaO_2 , pH, packed cell volume, plasma electrolytes,
84 arterial saturation of haemoglobin and total haemoglobin were measured with co-
85 oximetry (Cobas b 123, Roche, Belgium; GEM 5000; Werfen, Belgium; GEM 3500;
86 Werfen, Belgium). Arterial blood was collected on calcium-balanced heparin lithium
87 (Monovette 2 mL; Sarstedt, Germany) through the arterial catheter, after discarding 1
88 mL of blood. Air bubbles were quickly removed, the sample was gently mixed by
89 rolling the syringe between palms, and the analysis was performed within 5 minutes
90 after sampling. Different co-oximeters were used for reasons unrelated to their
91 performances (contractual obligation). Samples from the same horse were consistently
92 analysed with the same co-oximeter.

93 Cases that met the following criteria were included: (1) 6 months of age or
94 greater, (2) body weight (BW) > 100 kg, (3) to be mechanically ventilated within 10
95 minutes of anaesthetic induction and (4) to be treated with salbutamol when $PaO_2 < 100$
96 mmHg (13.3 kPa) at any time during the anaesthetic period. Horses that received an
97 ARM before salbutamol administration, were treated with atropine, norepinephrine or
98 an infusion of an α_2 -adrenoceptor agonist before salbutamol administration or before a
99 blood gas measurement following salbutamol administration were excluded from
100 analysis.

101 Every time arterial blood gas measurement was performed, and whenever all the
 102 required data were available, C_{dyn} relative to BW [$C_{\text{dyn}}(\text{BW})$], the estimated ratio of
 103 dead space to tidal volume ($\text{est.}V_{\text{D}}/V_{\text{T}}$) and estimated shunt fraction (F-shunt) were
 104 manually calculated from variables obtained over a single breath, using the following
 105 formulae:

$$106 \quad C_{\text{dyn}}(\text{BW}) = \frac{[V_{\text{T}}/(PIP-PEEP)]}{\text{BW}} \quad (\text{Dupont et al. 2022})$$

107 Where BW, body weight (kg); $C_{\text{dyn}}(\text{BW})$, dynamic compliance relative to BW (mL
 108 $\text{cmH}_2\text{O}^{-1} \text{kg}^{-1}$); PEEP, positive end-expiratory pressure (cmH_2O); PIP, peak inspiratory
 109 pressure (cmH_2O); V_{T} , tidal volume (mL).

$$110 \quad \text{st.}V_{\text{D}}/V_{\text{T}} = (PaCO_2 - PE'CO_2)/PaCO_2 \quad (\text{Mosing et al. 2018})$$

111 where $PaCO_2$, arterial partial pressure of carbon dioxide (mmHg); $PE'CO_2$, end-tidal
 112 partial pressure of carbon dioxide (mmHg); $\text{est.}V_{\text{D}}/V_{\text{T}}$, estimated ratio of dead space to
 113 tidal volume.

$$114 \quad \text{F-shunt} = \frac{1.36 * Hba * (1 - SaO_2) + 0.0031 * (PAO_2 - PaO_2)}{[1.36 * Hba * (1 - SaO_2) + 0.0031 * (PAO_2 - PaO_2)] + 3.5} * 100 \quad (\text{Araos et al. 2012})$$

115 where F-shunt, estimated shunt fraction (%); Hba, arterial haemoglobin concentration (g
 116 dL^{-1}); PAO_2 , alveolar partial pressure of oxygen (mmHg) and PaO_2 , arterial partial
 117 pressure of oxygen (mmHg); SaO_2 , arterial haemoglobin oxygen saturation (%).

118

119 **Statistical analysis**

120 All analyses were performed using MedCalc for Windows, version 20.027 (MedCalc
 121 Software, Belgium). Variables were summarized as frequency for categorical variables;
 122 the mean \pm standard deviation (SD) for continuous, normally distributed variables; or
 123 the median (range) for skewed data. Horses were divided between responders (R),

124 where PaO_2 after nebulizing salbutamol $\geq 1.2 \text{ PaO}_2$ before treatment (i.e. $\geq 20\%$
125 increase), and non-responders (NR), where PaO_2 after nebulizing salbutamol $< 1.2 \text{ PaO}_2$
126 before treatment.

127 First, ASA status, type of surgical procedure (colic or caesarean section
128 surgeries *versus* all the other procedures), recumbency, sex, body conformation, BW,
129 age, time from induction to the first arterial blood gas measurement that revealed PaO_2
130 $< 100 \text{ mmHg}$ (13.3 kPa), intraoperative variables (f_R , V_T , PIP, PEEP, HR, SAP, MAP,
131 DAP, PaO_2), $C_{\text{dyn}}(\text{BW})$, arterial to end-tidal carbon dioxide difference [$P(a-E)\text{CO}_2$],
132 est. V_D/V_T , F-shunt and dobutamine requirements were compared between R and NR to
133 determine whether they were equal before salbutamol administration.

134 Next, the effect of aerosolized salbutamol on $C_{\text{dyn}}(\text{BW})$ was evaluated by
135 comparing $C_{\text{dyn}}(\text{BW})$ before and after treatment within R and NR. The difference
136 between $C_{\text{dyn}}(\text{BW})$ after and $C_{\text{dyn}}(\text{BW})$ before ($[\Delta C_{\text{dyn}}(\text{BW})]$) was calculated. Δ
137 $C_{\text{dyn}}(\text{BW})$ were compared between R and NR.

138 Then, the same analyses were repeated for $P(a-E)\text{CO}_2$, est. V_D/V_T , F-shunt, HR,
139 SAP, MAP, DAP and infusion rates of dobutamine.

140 Finally, the distribution of boli and infusions of anaesthetics administered on top
141 of isoflurane during maintenance was compared between R and NR.

142 The normality of data distribution was evaluated using Shapiro-Wilk test. Chi-
143 square test was used to compare categorical data. Skewed independent data were
144 compared using Mann-Whitney U test. For normally distributed independent data,
145 homogeneity of variance was tested using Fisher's F-test and data were subsequently
146 compared using Student's t-test or Welch test as appropriate. Skewed dependent data

147 were compared using Wilcoxon signed-rank test. Normally distributed dependent data
148 were compared using paired Student's t-test. *p*-values of < 0.05 were considered
149 statistically significant.

150 **Results**

151 Study population

152 A total of 102 horses treated with salbutamol during general anaesthesia were identified,
153 73 animals met the inclusion criteria, while 29 cases were excluded. Of the 73 horses
154 included in the study, 34 horses underwent elective procedures (10 ASA I, 21 ASA II
155 and three ASA III) and 39 horses were anaesthetized for emergency procedures (one
156 ASA II E, 11 ASA III E, 20 ASA IV E and seven ASA V E). Details of the procedures
157 are shown in Fig. 1. Recumbency, sex and body conformation type (Mansel & Clutton
158 2008) are presented in Table 1. Their median (range) BW was 536 (170-914) kg and
159 their mean \pm SD age was 149 ± 81 months.

160 Anaesthetic management

161 Premedication consisted of a combination of acepromazine [administered
162 intramuscularly (IM) (0.1 mg kg^{-1}) or intravenously (IV) (0.05 mg kg^{-1}); Placivet; Kela,
163 Belgium] and xylazine (0.6 mg kg^{-1} IV; Proxylaz; Prodivet Pharmaceuticals, Belgium),
164 except for horses anaesthetized for colic and caesarean section surgeries that only
165 received xylazine (0.4 to 0.6 mg kg^{-1} IV). Flunixin meglumine (1.1 mg kg^{-1} IV;
166 Emdofluxin; Emdoka, Belgium) was administered before each procedure, except for
167 orthopaedic cases that received phenylbutazone (2.2 mg kg^{-1} IV; Fenylbutazon; VMD,
168 Belgium). Anaesthesia was induced with midazolam (0.06 mg kg^{-1} IV; Midazolam
169 Mylan; Mylan, Belgium) and ketamine (2.2 mg kg^{-1} IV; Ketamidor; Ecuphar, Belgium).

170 Maintenance of anaesthesia consisted of isoflurane (IsoFlo; Zoetis, Belgium) delivered
171 either in 100% oxygen or in a mixture of oxygen and medical air (FIO₂ 38 to 97%).
172 Apart from isoflurane, ketamine boli (0.14 to 0.89 mg kg⁻¹ IV) were administered in 40
173 horses, xylazine boli (0.06 to 0.38 mg kg⁻¹ IV) in 32 horses, morphine (Morphine HCl
174 Sterop; Sterop, Belgium) boli (0.09 to 0.15 mg kg⁻¹ IV) in 17 horses, midazolam boli
175 (0.03 to 0.04 mg kg⁻¹ IV) in two horses, detomidine (Domidine; Dechra, Netherlands)
176 boli (3.5 to 6.2 µg kg⁻¹ IV) in two horses, lidocaine (Xylocaine; AstraZeneca, Belgium)
177 boli (0.76 to 1.3 mg kg⁻¹ IV) in two horses, ketamine infusions (0.6 to 1 mg kg⁻¹ hour⁻¹
178 IV) in 15 horses, midazolam infusions (0.02 mg kg⁻¹ hour⁻¹ IV) in 13 horses and
179 lidocaine infusions (2.8 to 3 mg kg⁻¹ hour⁻¹ IV) in 2 horses (Table 2). The distribution of
180 boli and infusions administered on top of isoflurane during maintenance was similar
181 between R and NR. Lactated Ringer's solution was infused (5 to 20 mL kg⁻¹ hour⁻¹) and
182 hypotension was treated with dobutamine (Dobutrexmylan; Mylan, Belgium) (0.1-2 µg
183 kg⁻¹ minute⁻¹) to maintain MAP > 60 mmHg.

184 Volume-controlled ventilation (VCV) was provided from the beginning of
185 anaesthesia (Tafonius; Vetronics, UK). Ventilator settings (f_R , VT, PEEP, inspiratory-to-
186 expiratory time ratio) were adjusted to best match each horse's needs.

187 Salbutamol (Salbutamol; Sandoz, Belgium) was supplied in a metered-dose
188 inhaler. It was administered through a specifically designed pore in the Y-piece of the
189 breathing system, close to the endotracheal tube. Each depression of the nozzle through
190 the pore at the onset of inspiration delivered 0.1 mg of active substance to the animal. A
191 dose of 2 µg kg⁻¹ was administered to the horses rounded to the nearest 50 kg.

192

193 Initial variables

194 The time from induction of general anaesthesia to the first arterial blood gas
195 measurement that revealed $\text{PaO}_2 < 100$ mmHg (13.3 kPa) was 40 (8-192) minutes.
196 Ventilatory and haemodynamic variables immediately prior to this initial arterial blood
197 gas measurement were as follows: f_R 8 (6-16) breaths minute^{-1} , V_T 10.1 (7.4-16.0) mL
198 kg^{-1} , PIP 24 (12-55) cmH_2O , PEEP 0 (0-11) cmH_2O , $C_{\text{dyn}}(\text{BW})$ 0.44 (0.17-1.02) mL
199 $\text{cmH}_2\text{O}^{-1} \text{kg}^{-1}$, FiO_2 77 (38-97) %, HR 42 (20-98) beats minute^{-1} , SAP 101 ± 22 mmHg,
200 MAP 78 ± 19 mmHg, DAP 65 ± 19 mmHg and dobutamine requirements 0.2 (0-2.0) μg
201 $\text{kg}^{-1} \text{minute}^{-1}$. Initial blood gas revealed PaO_2 71 ± 15 mmHg and allowed for
202 calculation of F-shunt 41 ± 10 %, $\text{P}(\text{a-E}')\text{CO}_2$ 14 (-3-47) mmHg and est. V_D/V_T $0.26 \pm$
203 0.10.

204

205 Treatment success

206 A total of 50 horses were classified as R while 23 horses were classified as NR.
207 Demographic data and initial intraoperative variables were not statistically different
208 between R and NR before salbutamol administration except for body weight [R: 531
209 (170-715) kg, NR: 540 (420-914) kg] ($p = 0.0453$) (Tables 3 & 4).

210

211 Effect of salbutamol inhalation

212 Aerosolized salbutamol did not significantly affect $C_{\text{dyn}}(\text{BW})$ in R ($p = 0.6481$) or in
213 NR horses ($p = 0.7406$) [Fig. 2(a) & Table 3]. In addition, $\Delta C_{\text{dyn}}(\text{BW})$ was not
214 significantly different in R than in NR.

215 Nebulized salbutamol significantly altered $P(a-E^{\wedge})CO_2$ ($p = 0.0034$), $est.V_D/V_T$
216 ($p = 0.0005$) and F-shunt ($p < 0.0001$) in R only [Fig. 2(b, c, d) & Table 3]. $\Delta P(a-$
217 $E^{\wedge})CO_2$ was significantly larger in R (difference of -17.8%) than in NR (difference of
218 11.5%) ($p = 0.0142$). Furthermore, $\Delta V_D/V_T$ was significantly larger in R (difference of -
219 19.0%) than in NR (difference of 6.6%) ($p = 0.0182$). Moreover, ΔF -shunt was
220 significantly larger in R (difference of -24.1%) than in NR (difference of -0.3%) ($p <$
221 0.0001).

222 Inhaled salbutamol did not significantly affect HR, SAP or dobutamine
223 requirements in either group. MAP significantly increased in R (difference of 10.4%)
224 only ($p = 0.0227$) while DAP significantly increased in both R (difference of 12.4%) (p
225 = 0.0177) and NR (difference of 12.1%) ($p = 0.0359$) (Table 3). However, there was no
226 significant difference in ΔHR , ΔSAP , ΔMAP , ΔDAP or Δ dobutamine between groups
227 R and NR.

228 Discussion

229 This study showed that salbutamol was successful in increasing PaO_2 by at least 20%
230 (PaO_2 after treatment $\geq 1.2 PaO_2$ before treatment) in 68% (50/73) of the horses with
231 $PaO_2 < 100$ mmHg (13.3 kPa) at any time during the anaesthetic period. Out of these,
232 $P(a-E^{\wedge})CO_2$, $est.V_D/V_T$ and F-shunt decreased while $C_{dyn}(BW)$ remained unchanged.

233 Pulmonary shunt is mainly responsible for impaired oxygenation during equine
234 anaesthesia, and this was probably the case in the present study as F-shunt was 41 ± 10
235 %, which exceeded the expected value of 19 and 33% for laterally and dorsally
236 recumbent horses, respectively (Nyman & Hedenstierna 1989; Nyman et al. 1990). F-
237 shunt is a content-based index which is a better estimate of venous admixture than

238 tension-based indices (Wandrup 1995; Araos et al. 2012; Briganti et al. 2015). The
239 improvement of F-shunt following salbutamol administration in R only suggests its
240 efficacy in improving oxygenation by reducing venous admixture, as already proposed
241 by Dupont et al. (2022).

242 The rationale behind using both $P(a-E')CO_2$ and $est.V_D/V_T$ to assess dead space
243 in our study was the assumption that those indices do not encompass exactly the same
244 components. Indeed, while $P(a-E')CO_2$ is supposed to approximate physiological
245 Enghoff's dead space (V_D Enghoff), $est.V_D/V_T$ only estimates alveolar V_D Enghoff.
246 Comparing $P(a-E')CO_2$ and $est.V_D/V_T$ might have highlighted changes in anatomical
247 dead space secondary to bronchodilation caused by salbutamol (Derksen et al. 1999;
248 Robertson & Bailey 2002; Dupont et al. 2022). $est.V_D/V_T$ is obtained by substituting
249 mixed-expired partial pressure of carbon dioxide ($P\bar{E}CO_2$) by $P\bar{E}'CO_2$ in V_D Enghoff's
250 equation $[(PaCO_2 - P\bar{E}CO_2)/PaCO_2]$. Enghoff's approach is used as a surrogate of the
251 true physiological dead space measurement proposed by Bohr (V_D Bohr), replacing
252 alveolar partial pressure of carbon dioxide ($PACO_2$) by $PaCO_2$ in Bohr's V_D equation
253 $[(PACO_2 - P\bar{E}CO_2)/PACO_2]$. By doing so, V_D Enghoff integrates lung atelectasis and
254 venous admixture, and, consequently, overestimates V_D Bohr in the case of pulmonary
255 perfusion abnormalities. Moreover, Mosing et al. (2018) showed that both $P(a-E')CO_2$
256 and $est.V_D/V_T$ are closely associated with the alveolar part of Enghoff's V_D and are
257 therefore influenced by venous admixture and factors affecting lung perfusion, such as
258 CO and pulmonary pressure. They concluded that neither $P(a-E')CO_2$ nor $est.V_D/V_T$
259 should be regarded as indicators of V_D Bohr but rather as global indices of \dot{V}/\dot{Q}
260 mismatch. In our study, the highest values for $P(a-E')CO_2$ and $est.V_D/V_T$ were observed
261 in the horse with the highest value for F-shunt. In light of these results, the authors

262 probably failed to assess dead space, and changes in $P(a-E')CO_2$ and est. V_D/V_T observed
263 after salbutamol inhalation in R only are most likely the consequence of changes in F-
264 shunt.

265 The β_2 -adrenergic agonists constitute the cornerstone of asthma and chronic
266 obstructive pulmonary disease therapy in humans (Billington et al. 2017). Salbutamol is
267 a relatively selective β_2 -adrenergic agonist (27 times more selective for β_2 subtype than
268 for β_1 subtype) that has demonstrated potent bronchodilatory activity (Price & Clissold
269 1989; Billington et al. 2017). The β_2 -adrenergic receptor is the predominantly subtype
270 of β -adrenergic receptors present in the equine upper airway (Törneke et al. 1999) and
271 aerosolized salbutamol has proven to effectively relieve bronchospasm in horses
272 suffering from recurrent airway obstruction (Derksen et al. 1999).

273 In accordance with results published by Patschova et al. (2010), no change in
274 C_{dyn} was observed after salbutamol aerosolization. This in contrast with Dupont et al.
275 (2022) who reported a significant change in C_{dyn} following salbutamol nebulization.
276 Both studies used absolute C_{dyn} while we calculated $C_{dyn}(BW)$. Patschova et al. (2010)
277 used a small number of experimental horses with similar BW while Dupont et al. (2022)
278 included a larger number of horses with a wider range of BW. Because Olsson &
279 Lindahl (1985) showed that C_{dyn} is directly proportional to BW, and because BW was
280 significantly different between R and NR, the authors felt that it would be more
281 appropriate to calculate $C_{dyn}(BW)$. The absence of any change in $C_{dyn}(BW)$ might have
282 been due to the near maximal bronchodilation already achieved with isoflurane (Watney
283 et al. 1987), making additional bronchial muscle relaxation from salbutamol
284 unnoticeable. $C_{dyn}(BW)$ is influenced by body condition score and thoracic shape in
285 dogs (Asorey et al. 2020; García-Sanz et al 2020). Nevertheless, the retrospective nature

286 of the study prevented the authors from evaluating the potential impact of these
287 variables on the response to salbutamol.

288 Increasing BW represents a risk for developing severe hypoxaemia (Marchese et
289 al. 2022). As the difference in BW between R and NR reached significance, heavier
290 horses might be less likely to increase their PaO₂ after salbutamol nebulization based on
291 these results.

292 Though the number of horses anaesthetized at our institution is approximately
293 evenly divided between lateral and dorsal recumbency, most of the horses included in
294 this study were positioned in dorsal recumbency. This observation is inherently related
295 to the inclusion criteria [i.e. PaO₂ < 100 mmHg (13.3 kPa)] as lower PaO₂ is a more
296 frequent occurrence in horses anaesthetized in dorsal recumbency (Whitehair & Willits
297 1999).

298 Following systemic uptake, inhaled salbutamol has been shown to shift the
299 autonomic nervous system balance towards sympathetic activation by acting on β-
300 adrenergic receptors located extrabronchially, therefore causing an increase in CO
301 (Cekici et al. 2009; Snyder et al 2011). While the favourable impact of aerosolized
302 salbutamol on oxygenation has been attributed to an increase in CO and pulmonary
303 perfusion by some authors (Patschova et al. 2010; Clark-Price et al. 2022), no change in
304 HR, in blood pressure or in dobutamine requirements were noticed in other studies
305 (Robertson & Bailey 2002; Dupont et al. 2022). Although MAP and DAP increased
306 after salbutamol administration in our study, the magnitude of change was similar
307 between R and NR. Moreover, no change in HR, SAP or dobutamine requirements

308 were observed. Yet, our sample size might have been too small to detect subtle changes
309 in haemodynamic variables.

310 Large individual variations of the density of β_2 -adrenergic receptors exist both in
311 the heart and in the airway among the equine population (Törneke et al. 1999), which
312 may be responsible for treatment failure.

313 Treatment success has been arbitrarily defined and is not supported by scientific
314 evidence but rather based on clinical impression and experience. To the best of the
315 authors' knowledge, there are very few studies that quantify the effectiveness of the
316 treatment of hypoxaemia in terms of success rate. A recent study comparing salbutamol
317 and continuous positive airway pressure (Dupont et al. 2022) recruited horses with PaO_2
318 < 100 mmHg (13.3 kPa) and considered the treatment as successful if $\text{PaO}_2 \geq 100$
319 mmHg (13.3 kPa). However, this was judged inappropriate by the authors because
320 going from 99 (13.2) to 100 (13.3) mmHg (kPa) would have been rated as successful
321 but going from 60 (8.0) to 72 (9.6) mmHg (kPa) (ratio of 1.2) would not. Moreover,
322 classifying a horse with a $\text{PaO}_2 < 100$ mmHg (13.3 kPa) after treatment as a NR might
323 have been too severe. Indeed, using the threshold of 100 mmHg would have led to
324 categorize a horse with PaO_2 going from 40 (5.3) to 99 (13.2) mmHg (kPa) (ratio of 2.5)
325 as a NR despite the tremendous increase.

326 This study has several limitations. First, the anaesthetic management was not
327 standardized among horses and anaesthetists, which is inherent in its retrospective
328 nature. Although ventilatory variables immediately prior to the initial arterial blood gas
329 revealed that $\text{PaO}_2 < 100$ mmHg (13.3 kPa) were similar between R and NR, the wide
330 range of FiO_2 , and to a lesser extent of V_T , might have affected PaO_2 and, consequently,

331 the results of this study. While there were no significant differences in the distribution
332 of boli and infusions of anaesthetics administered in addition to isoflurane between R
333 and NR, the variation in anaesthetic protocols further complicates the ability to isolate
334 the effect of salbutamol on PaO₂. Second, using the cut-off value of 1.2 led to some
335 horses being classified as R while still hypoxaemic. However, treating severe
336 hypoxaemia rarely relies on a single intervention, and combining salbutamol with
337 continuous positive airway pressure manoeuvres might be beneficial (Dupont et al.
338 2022). Third, in a prospective study, static compliance (C_{st}) could have been used
339 instead of C_{dyn}(BW). C_{st} relies on plateau pressure, which is obtained in the absence of
340 gas flow, by applying an inspiratory hold. C_{st} is only affected by lung and chest wall
341 compliance. Conversely, C_{dyn}(BW) relies on PIP, which is measured in the presence of
342 gas flow and is therefore also dependent on the resistive components of the respiratory
343 system and, consequently, subject to variation. Mean PIP values obtained over several
344 breaths should have been preferred. Nevertheless, VCV was applied, and lung
345 conditions were expected to be stable between breaths. Hence, C_{dyn}(BW) calculated
346 over one breath is an acceptable alternative. At our institution annual servicing and the
347 automatic leak and compliance testing are routinely performed before using the
348 Tafonius. In addition to those tests equipment calibration prior to compliance
349 calculations should have been performed to guarantee the reliability of the results in the
350 context of a prospective ventilatory mechanic's study. Fourth, though different co-
351 oximeters were used, the same device was used throughout anaesthesia of an individual
352 horse. Lastly, CO measurement was not performed. Indeed, the beneficial effect of
353 salbutamol on oxygenation is, at least partially, related to its favourable impact on
354 haemodynamics and lung perfusion (Patschova et al. 2010), and changes in CO are

355 known to affect $P(a-E')CO_2$ (Whaba et al. 1996). Because CO is a product of HR and
356 stroke volume, and because no change in HR was observed, further studies should be
357 conducted to measure CO following inhalation of salbutamol in clinical cases suffering
358 from impaired oxygenation.

359 **Conclusions**

360 This retrospective study showed that inhaled salbutamol was effective in improving
361 PaO_2 but not $C_{dyn}(BW)$ in 68% of these cases when administered to anaesthetized
362 horses with a $PaO_2 < 100$ mmHg (13.3 kPa).

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Table 1. Recumbency, sex and body conformation type of the 73 horses included in the study.

| | Emergency procedures | | Elective procedures | |
|--------------------------|----------------------|---------------|---------------------|---------------|
| | Colic or C-section | Other surgery | Colic or C-section | Other surgery |
| Recumbency | | | | |
| - Dorsal | 38 | 1 | 3 | 22 |
| - Left lateral | 0 | 0 | 0 | 5 |
| - Right lateral | 0 | 0 | 0 | 4 |
| Sex | | | | |
| - Stallions | 6 | 0 | 0 | 14 |
| - Geldings | 15 | 0 | 1 | 10 |
| - Mares | 17 | 1 | 2 | 7 |
| Body conformation | | | | |
| - Warmblood | 15 | 0 | 3 | 11 |
| - Thoroughbred | 1 | 0 | 0 | 0 |
| - Draft | 3 | 0 | 0 | 4 |
| - Pony | 3 | 0 | 0 | 2 |
| - Miscellaneous | 16 | 1 | 0 | 14 |

C-section, caesarean section.

Table 2. Distribution of boli and infusions of drugs administered to 73 horses during anaesthesia maintained with isoflurane in oxygen, no significant differences were observed between groups. Salbutamol was administered to all horses during anaesthesia and 50 horses were responders (R) and 23 were non-responders (NR) based on an increase in PaO₂ of 20%.

| | R (<i>n</i> = 50) | NR (<i>n</i> = 23) |
|--------------------|--------------------|---------------------|
| Ketamine bolus | 29 | 11 |
| Xylazine bolus | 22 | 10 |
| Morphine bolus | 11 | 6 |
| Midazolam bolus | 2 | 0 |
| Detomidine bolus | 1 | 1 |
| Lidocaine bolus | 2 | 0 |
| Ketamine infusion | 11 | 4 |
| Midazolam infusion | 9 | 4 |
| Lidocaine infusion | 2 | 0 |

Table 3. Physiological variables measured before and after salbutamol administration to 73 horses, 50 responders (R) and 23 non-responders (NR). Data are shown as median (range) or mean \pm standard deviation.

| | R (<i>n</i> = 50) | | NR (<i>n</i> = 23) | |
|---|--------------------|------------------|---------------------|-----------------|
| | Before | After | Before | After |
| f_R (breaths minute ⁻¹) | 8 (6-16) | 8 (5-12) | 8 (6-12) | 8 (6-12) |
| V_T (mL kg ⁻¹) | 10.1 (7.4-16.0) | 10.4 (8.6-16.0) | 10 (8.5-13.8) | 10 (8.5-15.2) |
| PIP (cmH ₂ O) | 24 (12-55) | 24 (14-57) | 24 (17-42) | 25 (19-41) |
| PEEP (cmH ₂ O) | 0 (0-11) | 0 (0-9) | 0 (0-2) | 0 (0-3) |
| $C_{dyn}(BW)$ (mL cmH ₂ O ⁻¹ kg ⁻¹) | 0.50 \pm 0.18 | 0.48 (0.18-1.43) | 0.44 \pm 0.13 | 0.43 \pm 0.10 |
| FI _{O₂} (%) | 81 (38-97) | 83 (63-97) | 75 \pm 9 | 74 \pm 10 |
| HR (beats minute ⁻¹) | 43 (20-98) | 42 (25-127) | 42 (29-73) | 40 (29-73) |
| SAP (mmHg) | 100 \pm 23 | 105 (85-173) | 105 \pm 20 | 110 \pm 15 |
| MAP (mmHg) | 76 \pm 19 | 84 \pm 10 | 83 \pm 19 | 89 \pm 12 |
| DAP (mmHg) | 62 \pm 19 | 68 \pm 11 | 70 \pm 18 | 77 \pm 12 |
| Dobutamine requirements (μ g kg ⁻¹ minute ⁻¹) | 0.25 (0-1.2) | 0.4 (0-1.8) | 0 (0-2) | 0 (0-0.5) |
| PaO ₂ (mmHg) | 71 \pm 16 | 117 (40-307) | 72 \pm 14 | 72 \pm 13 |
| PaO ₂ (kPa) | 9.5 \pm 2.1 | 15.6 (5.3-40.9) | 9.6 \pm 1.9 | 9.6 \pm 1.7 |
| F-shunt (%) | 39 (26-86) | 29 (19-77) | 38 \pm 5 | 38 \pm 6 |

| | | | | |
|------------------------------------|-------------|-------------|-------------|-------------|
| P(a-E')CO ₂ (mmHg) | 15 (1-47) | 12 (-1-47) | 13 ± 6 | 14 ± 4 |
| est.V _D /V _T | 0.27 ± 0.10 | 0.22 ± 0.10 | 0.24 ± 0.11 | 0.26 ± 0.07 |

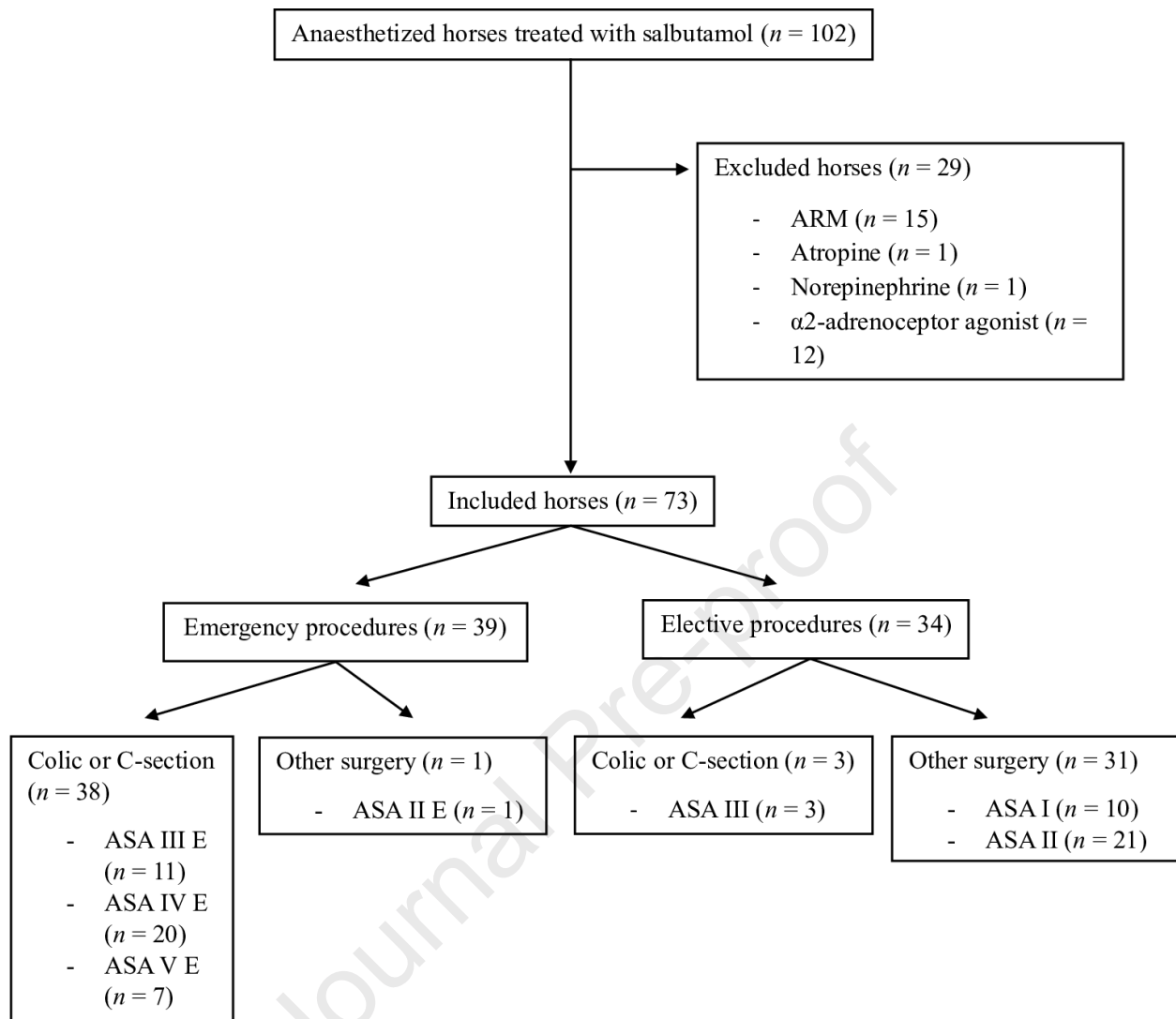
C_{dyn}(BW), dynamic compliance relative to body weight; DAP, diastolic arterial pressure; est.V_D/V_T, estimated ratio of dead space to tidal volume; FIO₂, inspired oxygen fraction; f_R , respiratory rate; F-shunt, estimated shunt fraction; HR, heart rate; MAP, mean arterial pressure; PaO₂, arterial partial pressure of oxygen; P(a-E')CO₂, arterial to end-tidal carbon dioxide difference; PEEP, positive end-expiratory pressure; PIP, peak inspiratory pressure; SAP, systolic arterial pressure; tidal volume (V_T).

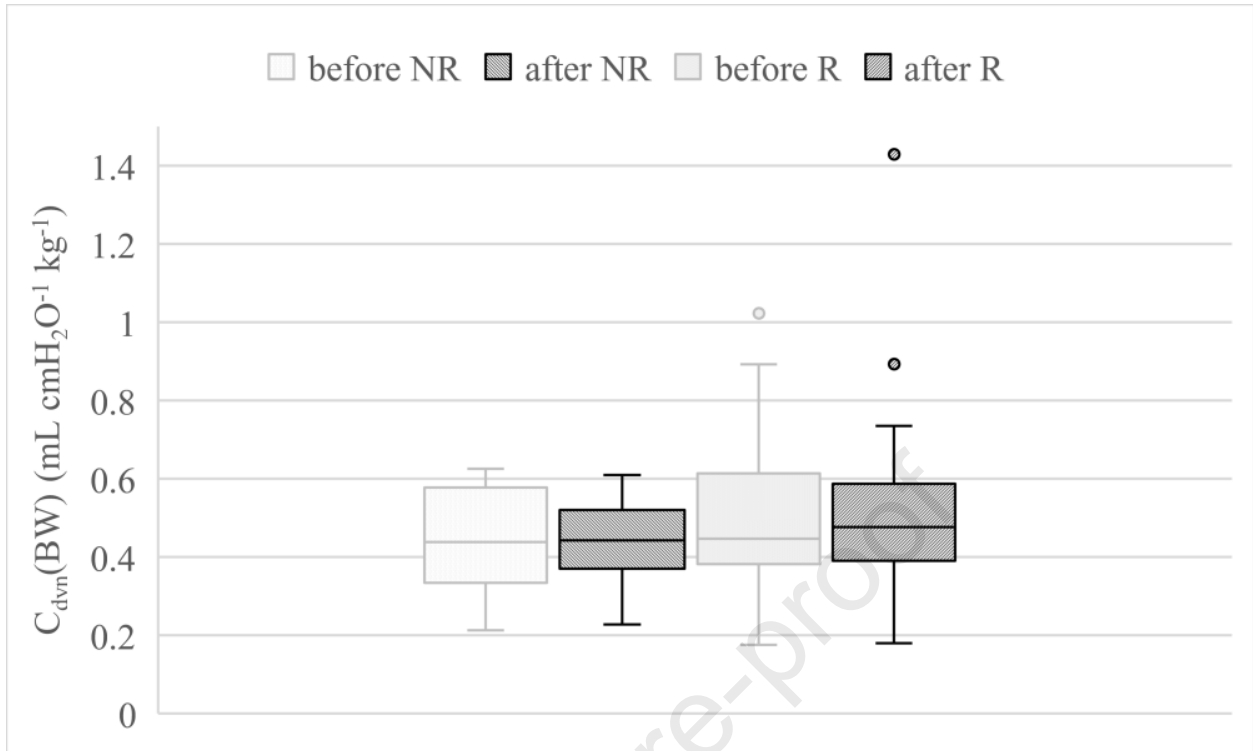
Table 4. American Society of Anesthesiologists (ASA) status, type of surgical procedure, recumbency, sex, body conformation type, age, body weight and time from induction of anaesthesia of 73 horses, 50 responders (R) and 23 non-responders (NR), to inhaled salbutamol during isoflurane general anaesthesia. Data are shown as median (range) or mean \pm standard deviation.

| | R (<i>n</i> = 50) | NR (<i>n</i> = 23) |
|----------------------|--------------------|---------------------|
| ASA status | | |
| - I | 8 | 2 |
| - II | 14 | 8 |
| - III | 10 | 4 |
| - IV | 12 | 8 |
| - V | 6 | 1 |
| - E | 26 | 13 |
| Surgical procedure | | |
| - Colic or C-section | 28 | 13 |
| - Other surgery | 22 | 10 |
| Recumbency | | |
| - Dorsal | 45 | 19 |
| - Left lateral | 4 | 1 |
| - Right lateral | 1 | 3 |
| Sex | | |
| - Stallions | 12 | 8 |
| - Geldings | 18 | 8 |
| - Mares | 20 | 7 |

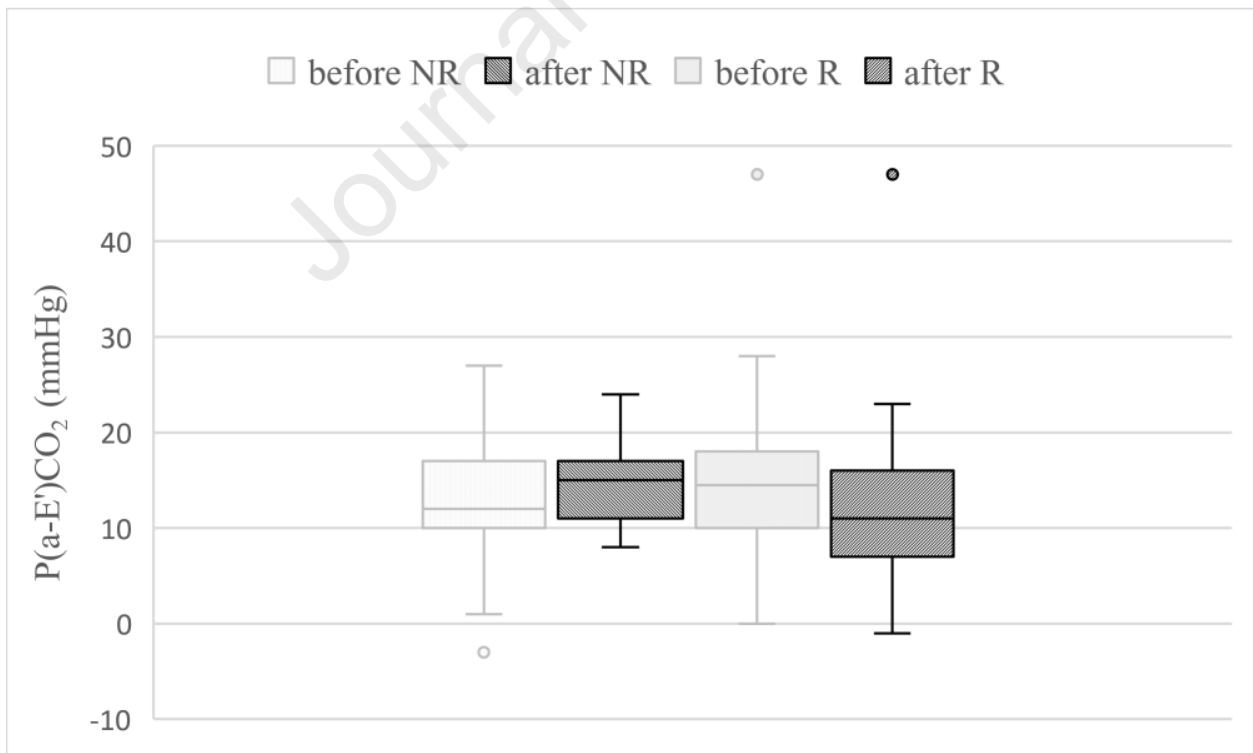
| | | |
|-------------------------------|---------------|---------------|
| Body conformation | | |
| - Warmblood | 20 | 9 |
| - Thoroughbred | 0 | 1 |
| - Draft | 3 | 4 |
| - Pony | 3 | 2 |
| - Miscellaneous | 24 | 7 |
| Age (months) | 125 (18-346) | 160 ± 75 |
| Time from induction (minutes) | 39 (8-192) | 52 ± 22 |
| Body weight (kg) | 531 (170-715) | 540 (420-914) |

C-section, caesarean section.





(a)



(b)

Figure 1 Flow diagram illustrating the recruitment of the 73 horses included in the study, their American Society of Anesthesiologists (ASA) status, and the type of surgical procedure. ARM, alveolar recruitment manoeuvre; C-section, caesarean section.

Figure 2 Box and whisker plots presenting the evolution of **(a)** the dynamic compliance relative to body weight [$C_{\text{dyn}}(\text{BW})$], **(b)** the arterial to end-tidal carbon dioxide difference [$P(\text{a-E}')\text{CO}_2$], **(c)** the estimated ratio of dead space to tidal volume ($\text{est. } V_{\text{D}}/V_{\text{T}}$) and **(d)** the estimated shunt fraction (F-shunt) in hypoxaemic horses responsive (R) and non-responsive (NR) based on a ≥ 1.2 increase (i.e. $\geq 20\%$ increase) in arterial partial pressure of oxygen after inhaled salbutamol.

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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