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"As if grammar, discourse and prosody don't interact: Comparative study of hypothetical manner clauses in English and Dutch"

Van Praet, Wout; Degand, Liesbeth; Van linden, An

ABSTRACT

This study examines the production of clauses introduced by the conjunction as if in spoken English and its equivalent alsof in spoken Dutch. In both languages, as if- and alsof-clauses can have different levels of grammatical and discursive (in)dependence, e.g. (1)-(4). Our aim is to provide a comparative analysis of the prosodic profiles of these clauses and examine if their different dependency statuses are signalled prosodically. (1) Het is alsof ik niet besta. (CGN) 'It's as if I don't exist.' (2) He walks around as if he owns the place. (BNC) (3) Alles prikt gewoon. Alsof je allemaal glaswol over je heen krijgt. (CGN) 'Everything just stings. As if you're getting glass wool all over you.' (4) S1: Yet another flower. S2: Hm as if we haven't got enough. (BNC) The study is usage-based and grounded in analyses of spoken data randomly extracted from the 'British National Corpus' (BNC) for English and the 'Corpus Gesproken Nederlands' (CGN) for Dutch. For each language, 250 examples were coded, to arrive at sufficiently large sets of data for the various grammatical and discursive subtypes. To investigate the interplay between grammar, discourse, and prosody, three coding schemes are used. The grammatical scheme assesses the clauses' level of grammatical (in)dependence, based on: clefting, pronominal proportionality, and fronting [1]. The degree of discourse dependence is established based on discourse-oriented features like speech-functional value, modality, turn-taking, and co-referentiality [2-4]. The prosodic scheme, finally, probes for proso...

CITE THIS VERSION

Van Praet, Wout; Degand, Liesbeth; Van linden, An. As if grammar, discourse and prosody don't interact: Comparative study of hypothetical manner clauses in English and Dutch.56th Annual Meeting of the Societas Linguistica Europeae (Athens, du 29/08/2023 au 01/09/2023). https://hdl.handle.net/2078.1/289578

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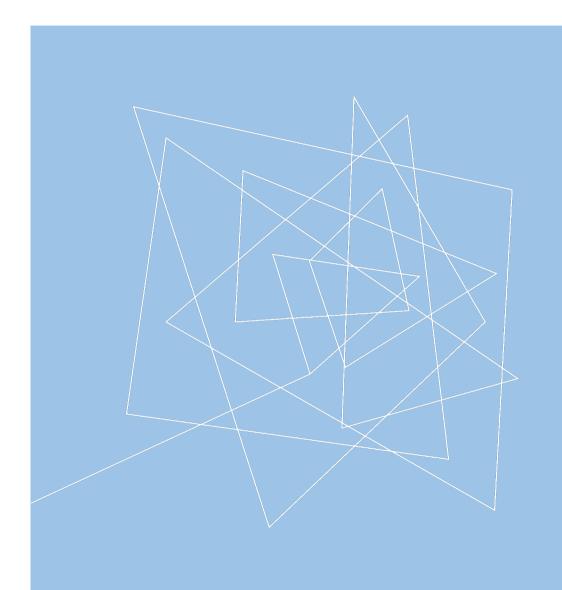
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AS IF GRAMMAR, DISCOURSE AND PROSODY DON'T INTERACT

COMPARATIVE STUDY OF HYPOTHETICAL MANNER CLAUSES IN ENGLISH AND DUTCH

Wout **Van Praet**¹, Liesbeth **Degand**¹ & An **Van linden**² – UC Louvain¹ & ULiège² SLE 56 – 29 August 2023



HM clauses can appear in range of dependency configurations, allowing for both subordinate and insubordinate uses

- (1) They look at me as if I'm stupid. (BNC)
- (2) Iedere stap heeft nu een duidelijke echo. Alsof haar achtervolger het niet langer nodig vindt om haar stiekem te volgen. (CGN) 'Every step now has a clear echo. As if her stalker no longer finds it necessary to follow her secretly.'
- (3) As if we haven't got enough on our plate! (BNC)

Delimitation of categories of subordination and insubordination under debate → growing consensus that dependency is not binary but cline with multiple levels/degrees of dependency (e.g., Foley & Van Valin 1984; Smessaert et al. 2005; Verstraete 2007; Debaisieux 2013)

Moreover, dependency relations can be grammatical and/or discursive:

- Grammatical: Does the clause serve a grammatical role within a matrix, and how closely is it integrated with verbal predicate?
- Discursive: Does the clause make sense in isolation or only in relation to main clause?

Grammatical dependency relation: four recognition criteria

i. Obligatoriness: She sounded as if she was choking vs. She talks to me as if I'm stupid

ii. Clefting: He walks **as if he's drunk** -> it's **as if he's drunk** that he walks

vs. The sea was very upset, as if it can't decide to ebb or flow

iii. Proportionality: He walks as if he's drunk -> How does he walk?

iv. Fronting: **As if nothing had happened**, he walked out smilingly.

→ Three levels of grammatical dependency: strong dependency > weak dependency > independency

Discourse-pragmatic relations (Royo Viñuales et al. 2023)

Manner
 You just carry on as if nothing had happened.

Qualification
 His movements seemed heavy, as if he were moving underwater.

Cause / justification
 From inside she could hear raised voices and a shrill cry, as if someone

were in pain.

Denial of assumption As if I care!

Assumption that **prosodic realisation** of HM clause reflects syntactic and/or discursive relations

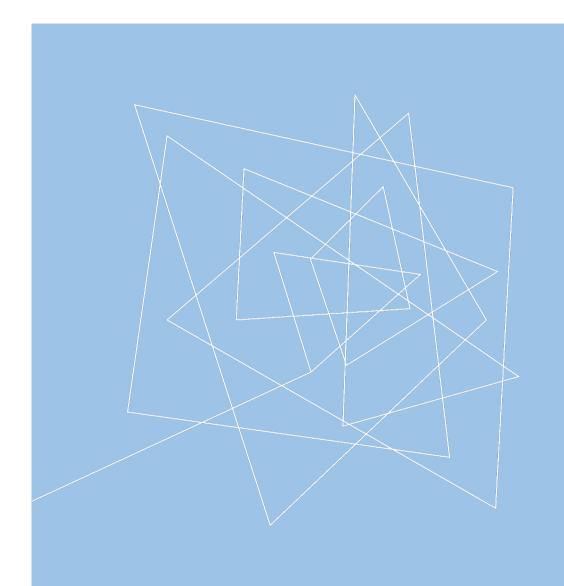
- prosodic integration reflects syntactic integration, i.e. more closely integrated subclause more likely to be uttered on same IU as matrix (e.g. Smessaert et al. 2005; Verstraete 2007; Debaissieux 2016)
 - → In what sense 'integrated'? IU segmentation? Paratone?
- 'denial of assumption' often described as being 'exclamative', with attitudinal function → is this reflected in prosodic factors like loudness, pitch excursion, or tonal choices?

Aim

investigate if different **syntactic** and/or **discourse-pragmatic relations** recognised for HM clauses are reflected in **prosodic realisation** in English and Dutch

Research questions

- To what extent do syntactic dependency and pragmatic function of HM clause interact?
- To what extent is each factor reflected in prosodic realisation of HM clause?
- To what extent are potential interactions language-specific or can they be generalised, and what does this tell us about role of prosody for clause-combining?



From spoken subsections of BNC (English) and CGN (Dutch), all hits of as if (n = 683) and alsof (n = 1,036) were extracted \rightarrow **151** relevant hits for **English** and **247** for **Dutch**Three coding schemes

- Syntactic factors
- Discourse-pragmatic function
- Prosodic factors

From spoken subsections of BNC (English) and CGN (Dutch), all hits of as if (n = 683) and alsof (n = 1,036) were extracted \rightarrow **151** relevant hits for **English** and **247** for **Dutch**Three coding schemes

- Syntactic factors: level of syntactic integration with other (main) clause
 - Obligatoriness
 - Clefting
 - Proportionality
 - Fronting
 - → Three levels: strong syntactic dependence > weak dependence > independence

From spoken subsections of BNC (English) and CGN (Dutch), all hits of as if (n = 683) and alsof (n = 1,036) were extracted \rightarrow **151** relevant hits for **English** and **247** for **Dutch**Three coding schemes

Syntactic factors

– Discourse-pragmatic function: what is contextual meaning of HM clause?

Manner: They looked at me as if I was stupid

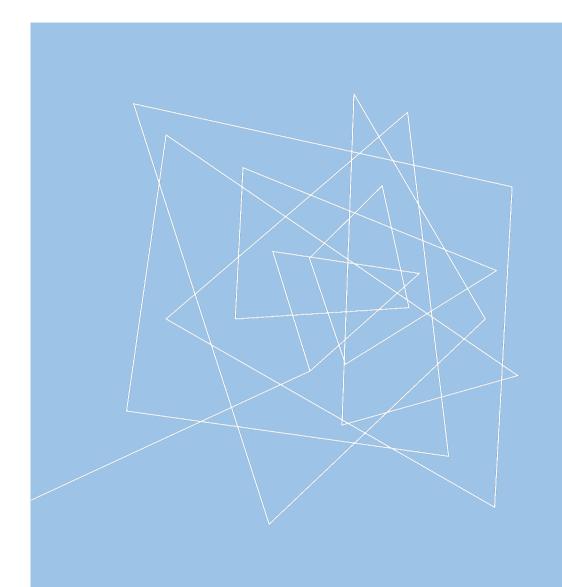
Qualification: She sounded as if she was choking

- Cause / Justification: I feel a bit dizzy, as if - you know - I need new glasses

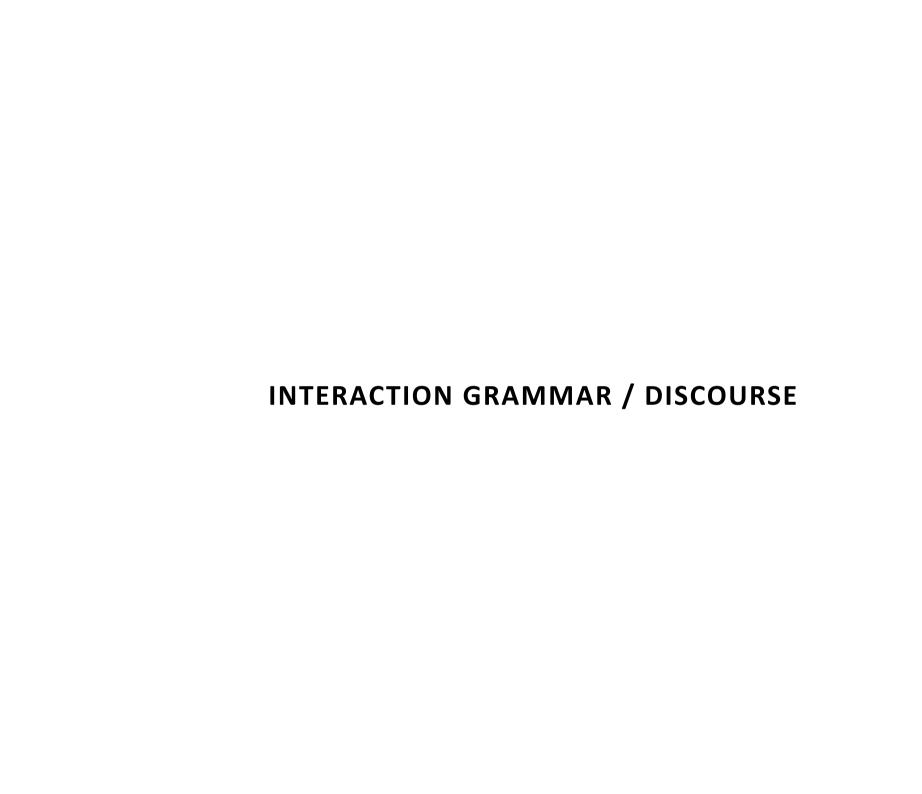
Denial of assumption: Oh yeah, as if you'd know!

From spoken subsections of BNC (English) and CGN (Dutch), all hits of $as\ if\ (n=683)$ and $alsof\ (n=1,036)$ were extracted \rightarrow **151** relevant hits for **English** and **247** for **Dutch**Three coding schemes

- Syntactic factors
- Discourse-pragmatic function
- Prosodic factors:
 - IU segmentation: is HM clause uttered on same or separate IU as previous/next clause?
 - Onset pitch level: what is the difference in pitch height between end of previous clause and onset of HM clause (if uttered on separate IUs)?
 - Pause length: is HM clause preceded by pause and what is average length of pause?
 - Pitch contour: with which tone is HM clause uttered? (i.e. fall, rise, fall-rise, or rise-fall)



RESULTS



RESULTS: GRAMMAR / DISCOURSE

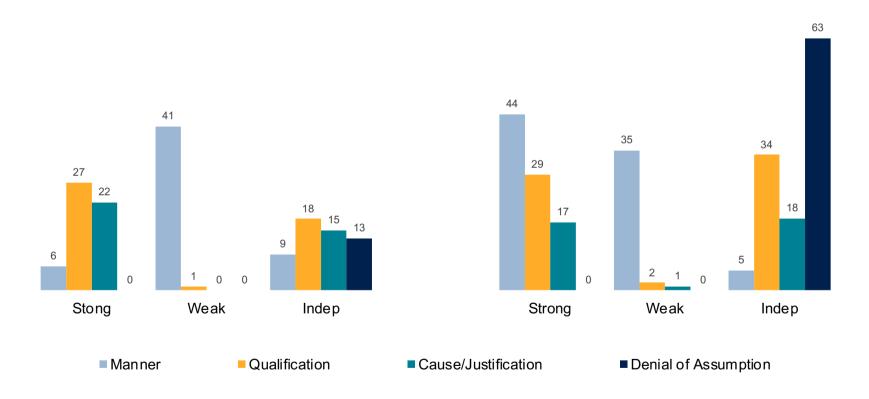
Correlation between syntactic dependency and pragmatic function in both

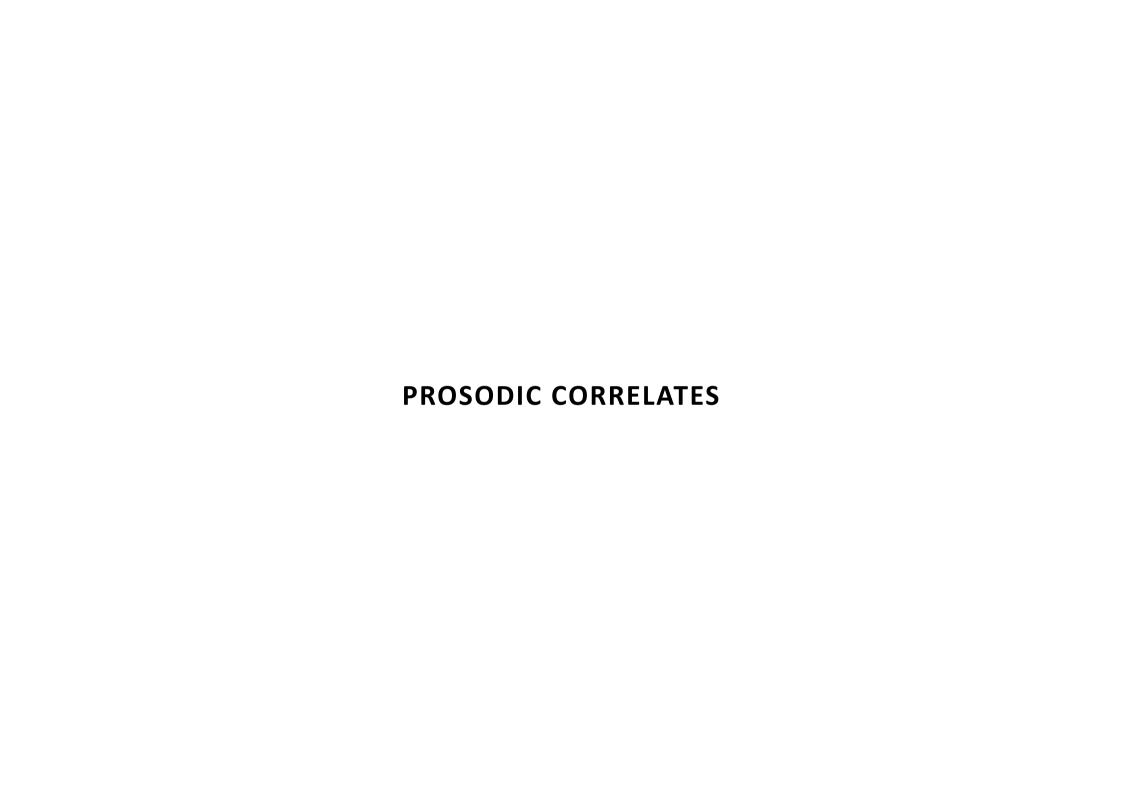
- **English:** $\chi^2(6) = 114.64$, p < 0.001, Cramer's V: 0.614

- **Dutch:** $\chi^2(6) = 115.21, p < 0.001, Cramer's V: 0.559$

ENGLISH

DUTCH





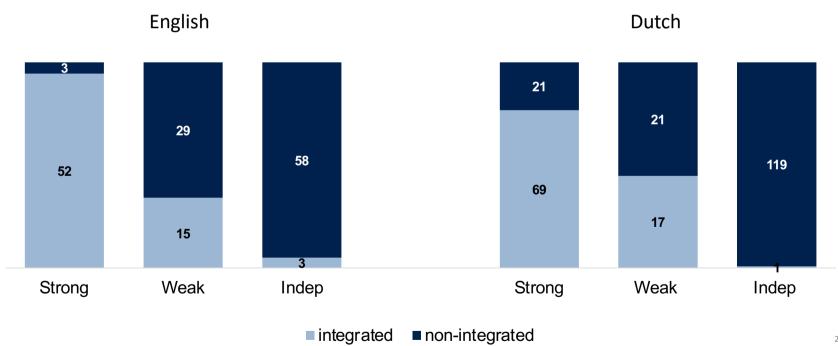
Syntactic dependency status

correlates with prosodic integration in both

- **English:** $\chi^2(2) = 89.03$, p < 0.001, Cramer's V: 0.765

- **Dutch:** $\chi^2(2) = 131.7$, p < 0.001, Cramer's V: 0.729

Syntactic dependency status



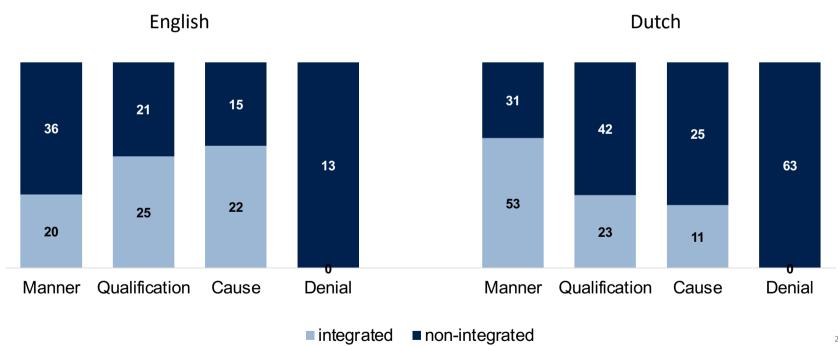
Pragmatic function

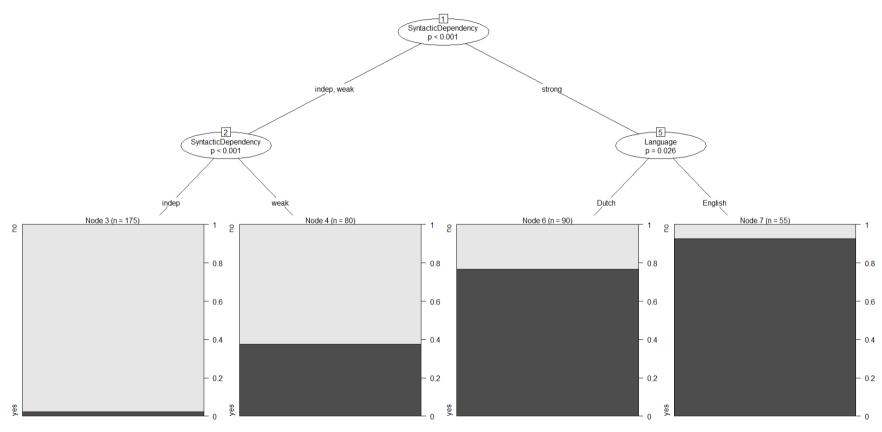
correlates with prosodic integration in both

- **English:** $\chi^2(3) = 17.355$, p < 0.001, Cramer's V: 0.338

- **Dutch:** $\chi^2(3) = 63.317$, p < 0.001, Cramer's V: 0.505

Pragmatic function





ONSET PITCH LEVEL

Syntactic dependency status

	English	Dutch
Strong dependence	-0.40 (n = 5)	-0.51 (n = 36)
Weak dependence	0.80 (n = 28)	-0.24 (n = 26)
Independence	2.21 (n = 39)	3.53 (n = 103)
	(F = 1.135, p = 0.33)	(F = 16.68, p < 0.001)

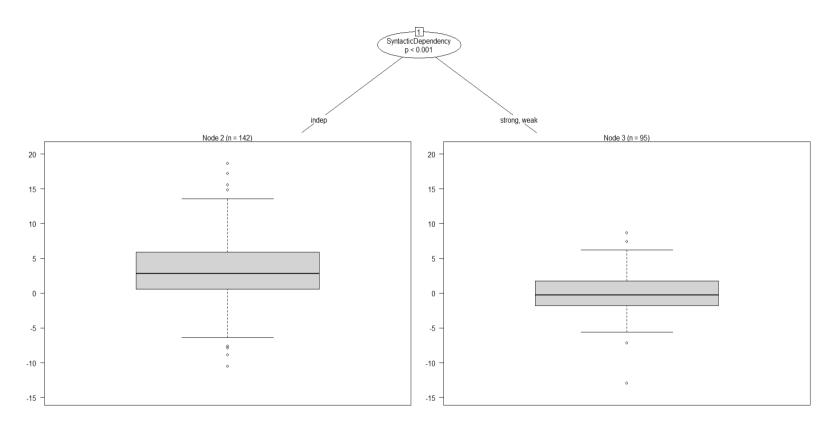
Tukey post-hoc (Dutch): p < 0.001 between independence vs. both strong and weak dependence p = 0.97 between strong and weak dependence

ONSET PITCH LEVEL

Pragmatic function

	English	Dutch
Manner	1.11 (n = 35)	-0.22 (n = 42)
Qualification	1.64 (n = 16)	2.93 (n = 48)
Cause / Justification	1.45 (n = 15)	0.91 (n = 26)
Denial	3.28 (n = 6)	3.75 (n = 49)
	(F = 0.35, p = 0.789)	(F = 7.473, p < 0.001)

ONSET PITCH LEVEL



PAUSE

Syntactic dependency status

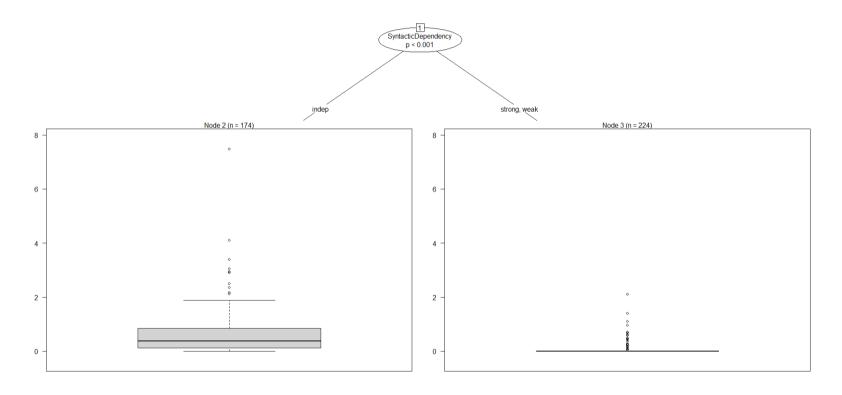
	English	Dutch
Strong dependence	0.09 (n = 55)	0.02 (n = 90)
Weak dependence	0.08 (n = 41)	0.12 (n = 38)
Independence	0.74 (n = 55)	0.60 (n = 119)
	(F = 11.46, p < 0.001)	(F = 47.22, p < 0.001)

PAUSE

Pragmatic function

	English	Dutch
Manner	0.10 (n = 55)	0.07 (n = 84)
Qualification	0.28 (n = 46)	0.32 (n = 65)
Cause / Justification	0.45 (n = 37)	0.26 (n = 36)
Denial	1.04 (n = 13)	0.68 (n = 62)
	(F = 4.921, p = 0.003)	(F = 19.62, p < 0.001)

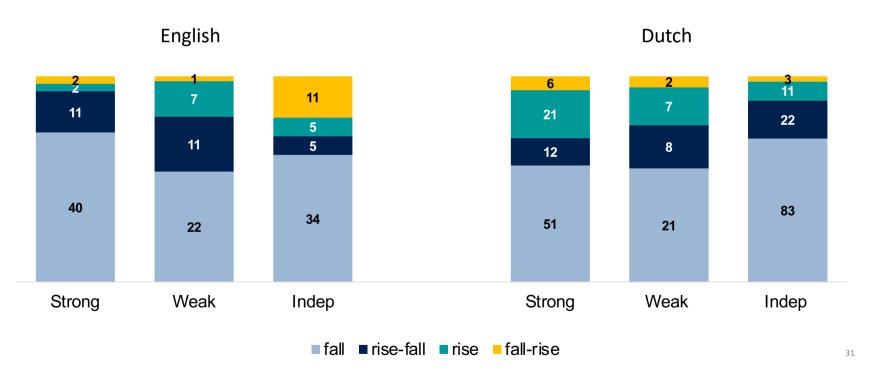
PAUSE



Syntactic dependency status

correlates with choice of tone in **English** (p = 0.002, Fisher exact test), not in **Dutch** (p = 0.05)

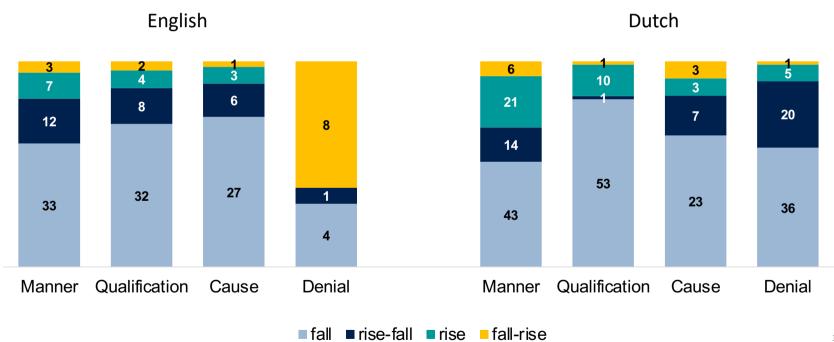
Syntactic dependency status



Pragmatic function

Numbers too small for reliable statistical analysis But...

Pragmatic function

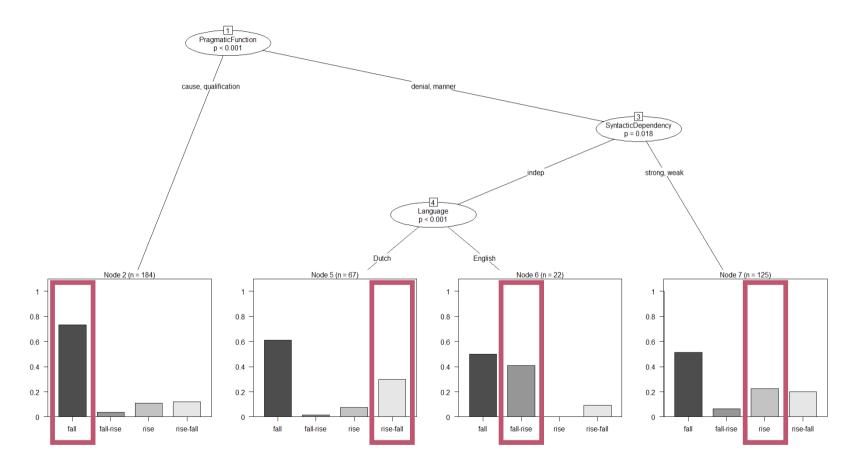


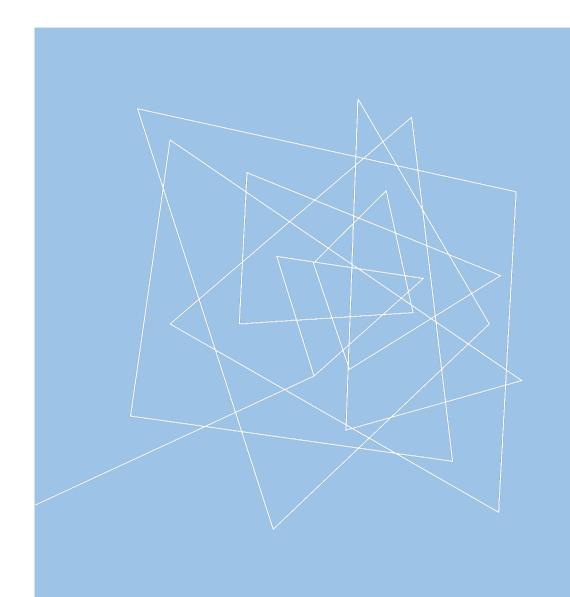
Pragmatic function

Numbers too small for reliable statistical analysis

But...

- remarkably high relative frequency of **fall-rises** with **denial** of assumption in **English**
- In **Dutch**, denial of assumption mostly uttered with fall, but also slightly higher frequency of rise-falls





CONCLUSIONS

CONCLUSIONS

Prosodic realisation correlates with both syntactic dependency and pragmatic functions

- Syntactic dependency status best overall predictor of prosodic integration (i.e. IU segmentation, average onset pitch, and pausing) in both English and Dutch
 - IU segmentation reflects three-way level of syntactic integration in both languages
 - Onset pitch and pause reflect two-way distinction between independent and dependent clauses
 - → signals general **discourse-level** meanings of **'new start'** vs. **elaboration** on (i.e. continuation of) content in previous IU

CONCLUSIONS

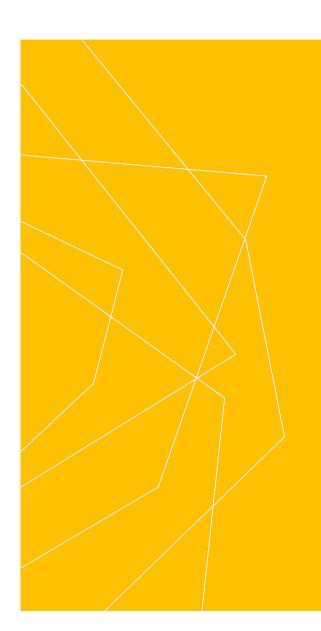
Choice of **tone**, or pitch contour, accounted for by both pragmatic function (major influence) and syntactic dependency (minor)

Pragmatic function:

In English, denial of assumption attracts fall-rise ('contradiction') ← in Dutch, slightly higher frequency of rise-fall ('irony')

Syntactic dependency:

Slightly higher frequency of rises in syntactically dependent clauses → 'minor' information status
of subclause



THANK YOU

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