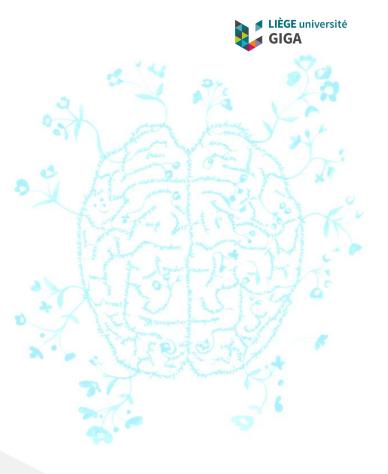
#### **GIGA-Consciousness Seminar**

# Language and consciousness recovery after coma

28/11/2023

Charlène AUBINET FNRS postdoctoral researcher

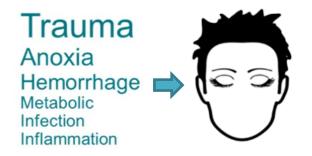






## Introduction

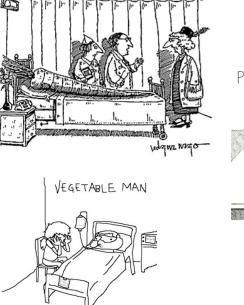
#### Consciousness disorders in post-comatose recovery



Coma

#### Consciousness disorders in post-comatose recovery

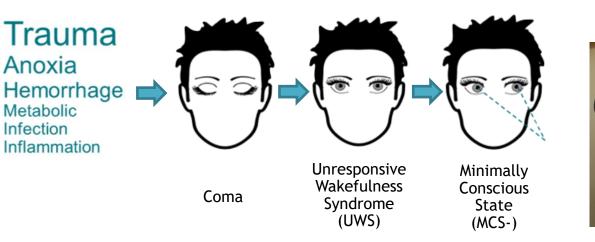
Trauma Anoxia Hemorrhage Metabolic Infection Inflammation Coma Unresponsive Wakefulness Syndrome (UWS)



"There's nothing we can do... he'll always be a vegetable."



4



## Reproducible signs of consciousness

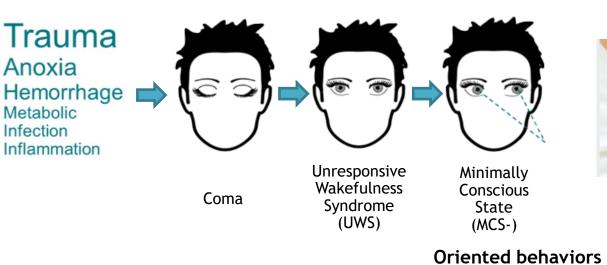


#### Oriented behaviors - Visual

Giacino et al., *Neurology*, 2002 Wannez et al., *Neuropsychol Rehabil*, 2017

Visual Motor

#### Consciousness disorders in post-comatose recovery

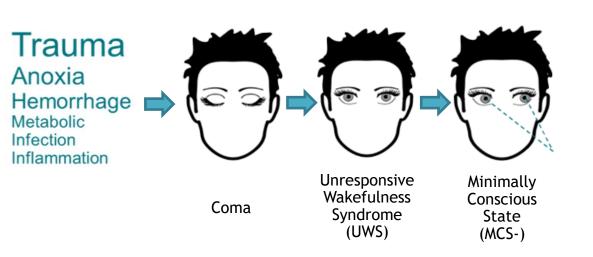


## Reproducible signs of consciousness





Giacino et al., *Neurology*, 2002 Wannez et al., *Neuropsychol Rehabil*, 2017



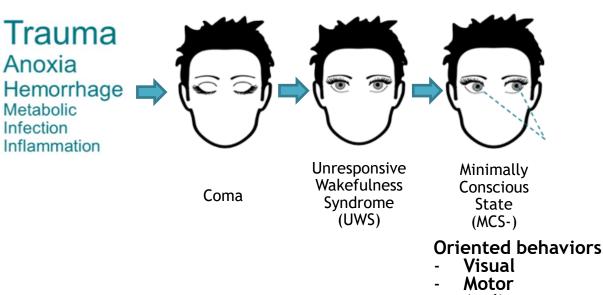
## Reproducible signs of consciousness



#### **Oriented behaviors**

- Visual
- Motor
- Auditory

Carrière et al., Brain Commun, 2020 Mat et al., Semin Neurol, 2022



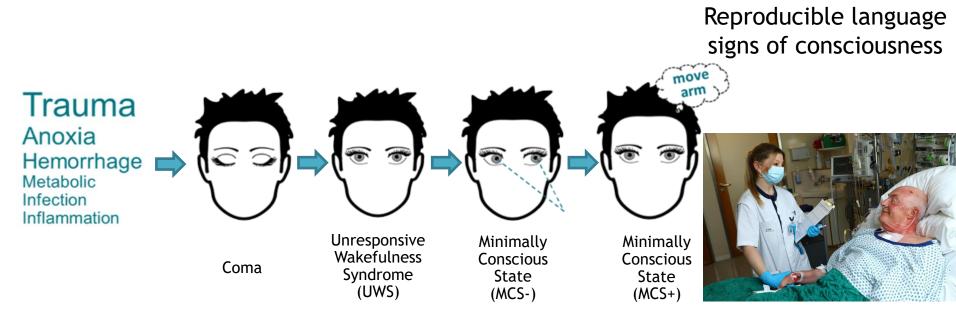
#### - Auditory

- Emotional

## Reproducible signs of consciousness

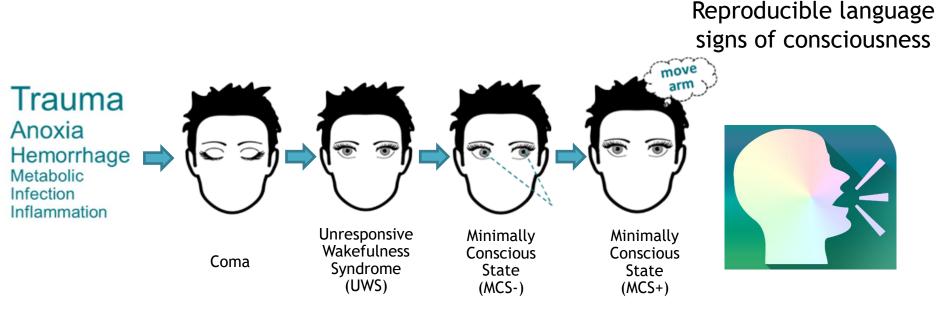


Giacino et al., *Neurology*, 2002 Wannez et al., *Neuropsychol Rehabil*, 2017



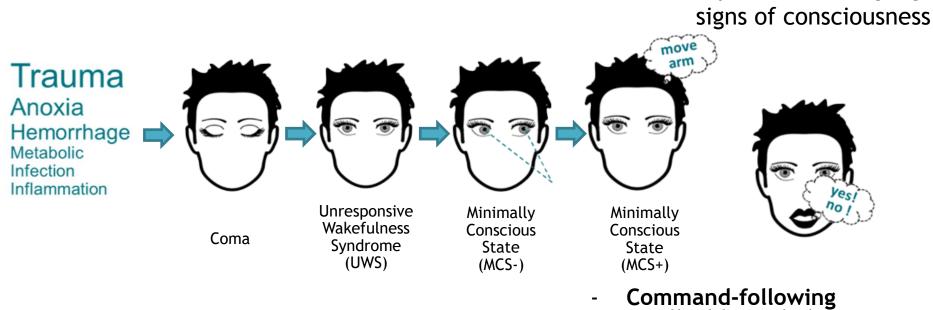
#### Command-following

Bruno et al., *J Neurol*, 2011 Thibaut et al., *J Neurol*, 2020



- Command-following
- Intelligible verbalization

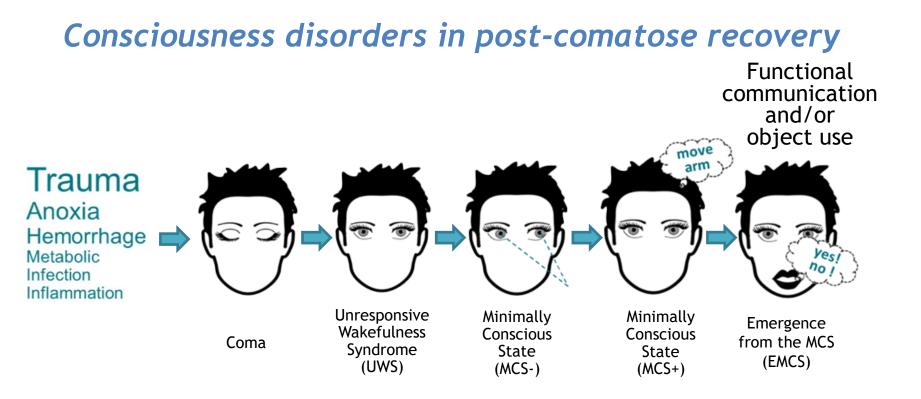
Bruno et al., *J Neurol*, 2011 Thibaut et al., *J Neurol*, 2020



- Intelligible verbalization
- Intentional communication

Reproducible language

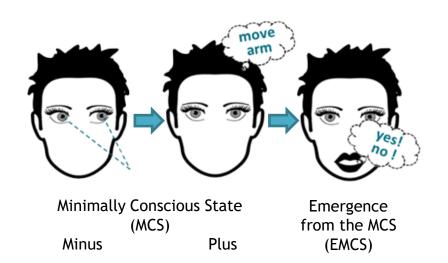
Bruno et al., *J Neurol*, 2011 Thibaut et al., *J Neurol*, 2020



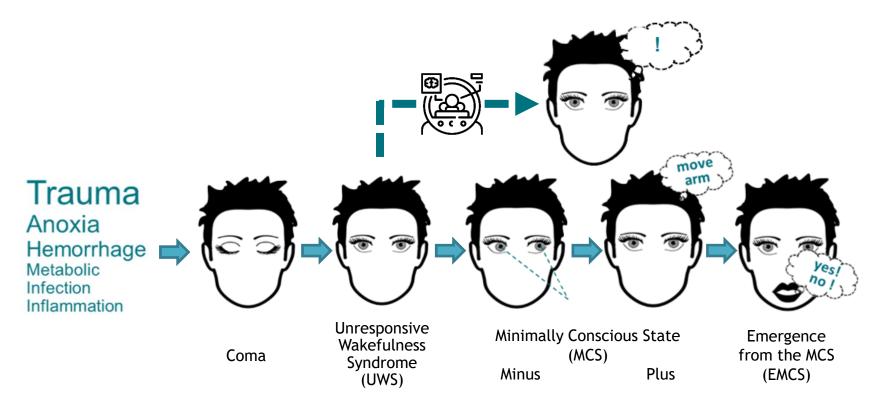
Giacino et al., *Neurology*, 2002 Wannez et al., *Neuropsychol Rehabil*, 2017

#### 30-40% risk of DoC misdiagnosis

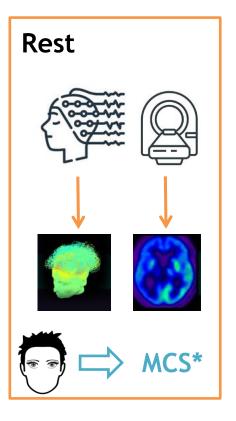
Deafness **Blindess** Motor impairment **Aphasia** ... Underestimated consciousness!!!



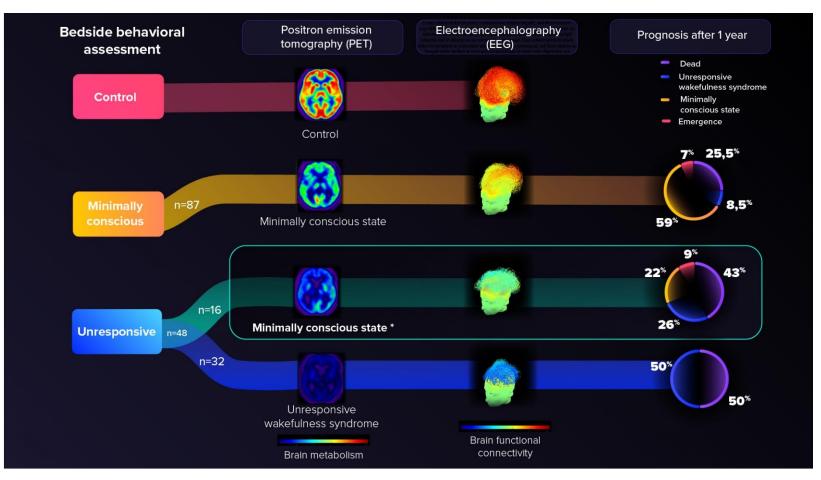
Schnakers et al., *BMC Neurol*, 2009 Schnakers et al., *NNR*, 2015



Detection of residual consciousness in post-comatose recovery

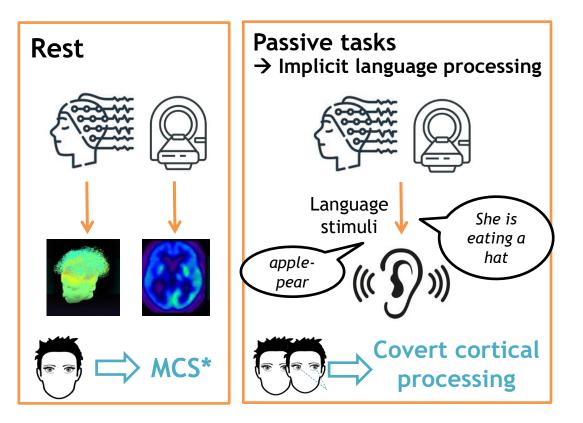


Thibaut et al. *Ann Neurol*, 2021 Aubinet et al., *Neurosci. Biobehav. Rev.*, 2022



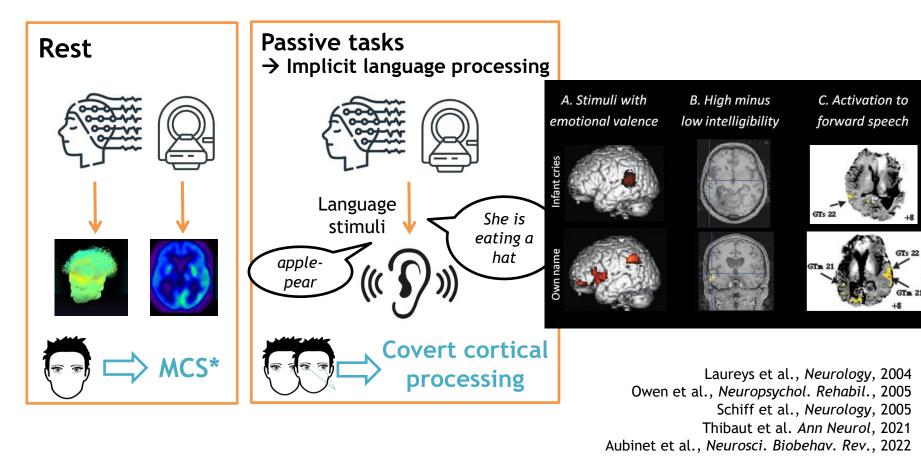
#### Thibaut et al. Ann Neurol, 2021

Detection of residual consciousness in post-comatose recovery



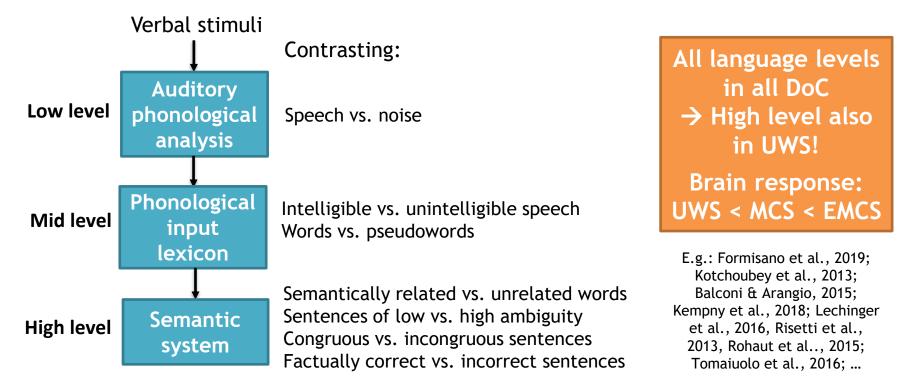
Thibaut et al. *Ann Neurol*, 2021 Aubinet et al., *Neurosci. Biobehav. Rev.*, 2022

#### Detection of residual consciousness in post-comatose recovery



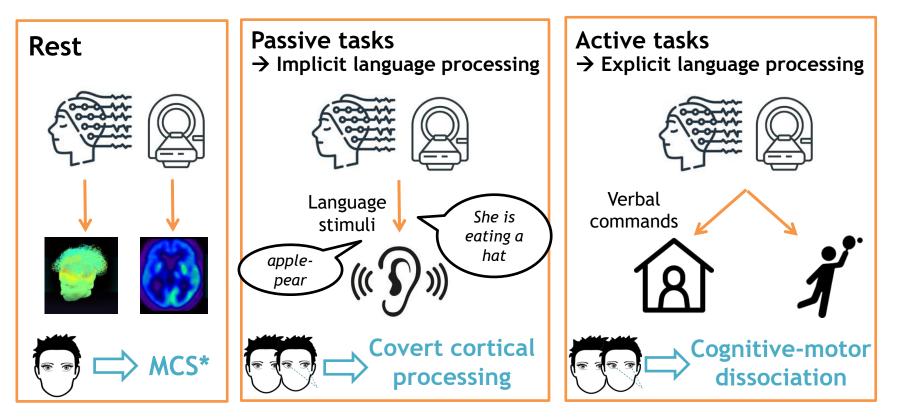
### Passive tasks and implicit language processing

#### Distinction of various language components



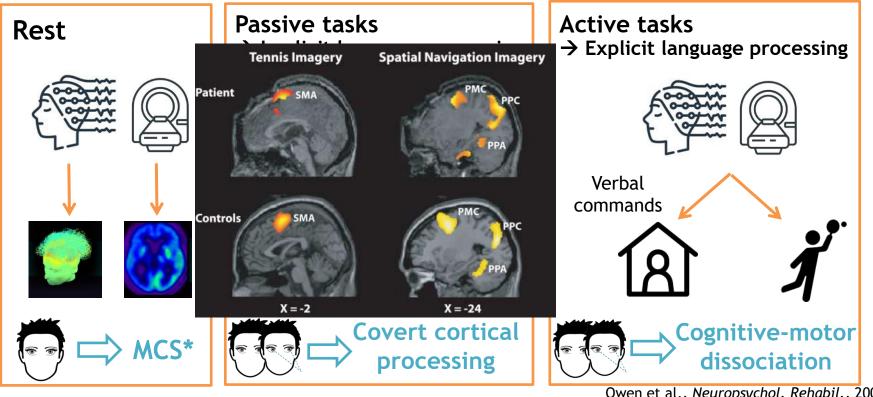
Patterson and Shewell (1987)

#### Detection of residual consciousness in post-comatose recovery



Thibaut et al. Ann Neurol, 2021 Aubinet et al., Neurosci. Biobehav. Rev., 2022

Detection of residual consciousness in post-comatose recovery



Owen et al., *Neuropsychol. Rehabil.*, 2005 Thibaut et al. *Ann Neurol*, 2021 Aubinet et al., *Neurosci. Biobehav. Rev.*, 2022

## Active tasks and explicit language processing Covert command-following

Mental tasks

#### Motor imagery

 Tennis, navigation, swimming, hand moving,...

#### Counting

 Subject's own name, targeted sound or word

Silent picture naming

E.g.: Coleman et al., 2009; Braiman et al., 2018, Edlow et al., 2017; Bodien et al., 2017

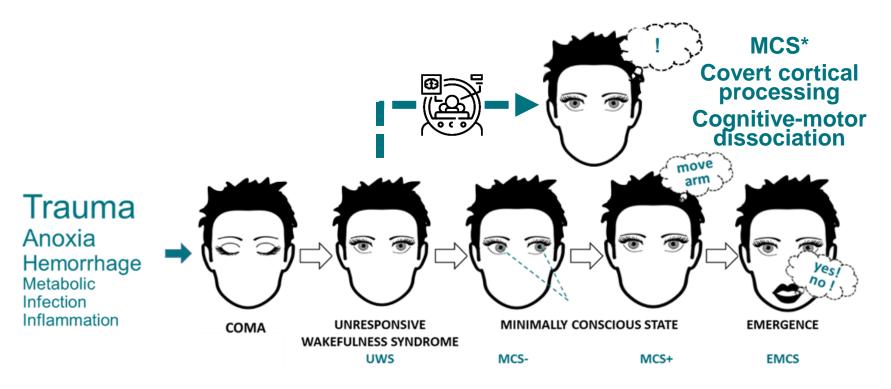
E.g.: Hauger et al., 2015; Naci & Owen, 2013; Haug et al., 2018

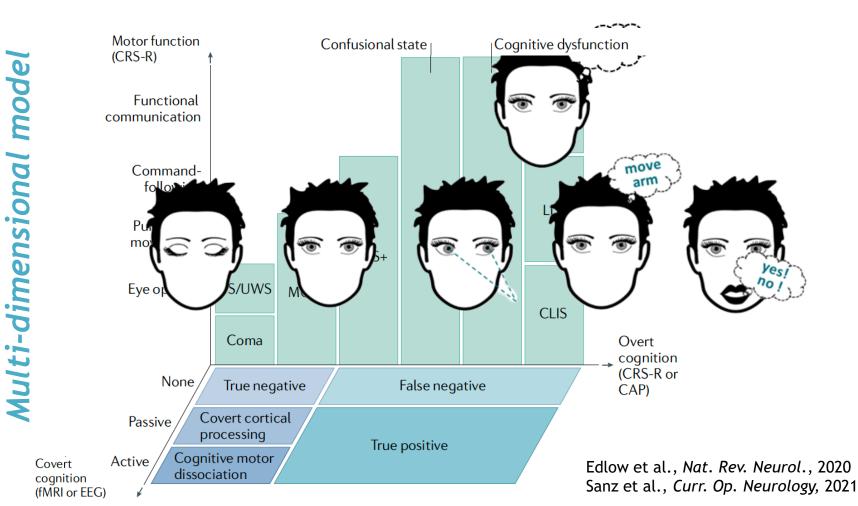
Rodriguez-Moreno et al., 2010

Potential residual brain response in all DoC categories

→ ~20% UWS and ~33% MCS-

= CMD!





## Behavioral scales including command-following items

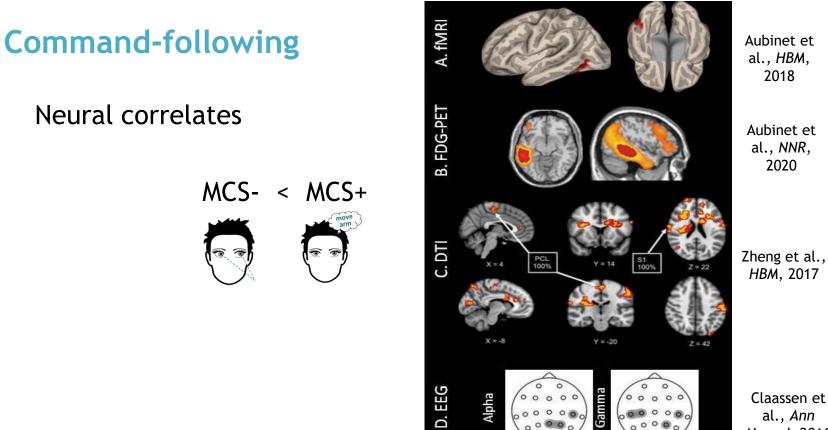
Coma Recovery Scale-Revised (CRS-R)

Simplified Evaluation of CONsciousness Disorders (SECONDs)



...

Aubinet et al., Brain Inj., 2021



Claassen et al., Ann Neurol, 2016

### Behavioral scales including command-following items

**DoC diagnosis** 

BUT no language assessment...

→ Language components?
→ Psycholinguistic variables?



DoC - Neuroimaging & electrophysiology - Behavioral tools - Theoretical implications

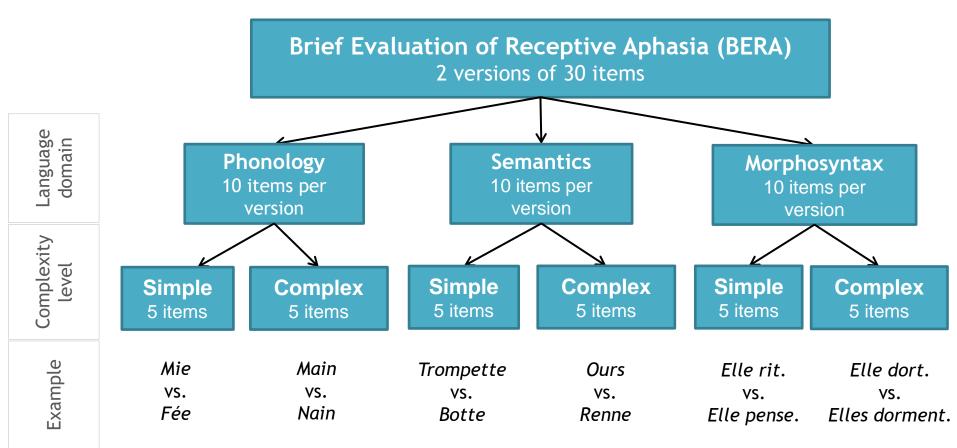
## Towards a language-specific assessment...



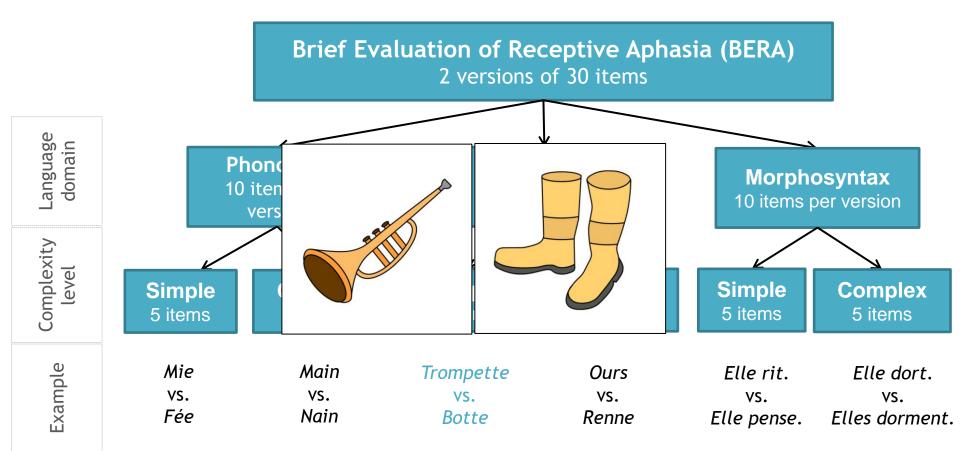
Brief Evaluation of Receptive Aphasia (BERA)

> → Poster session (Pauls et al.)

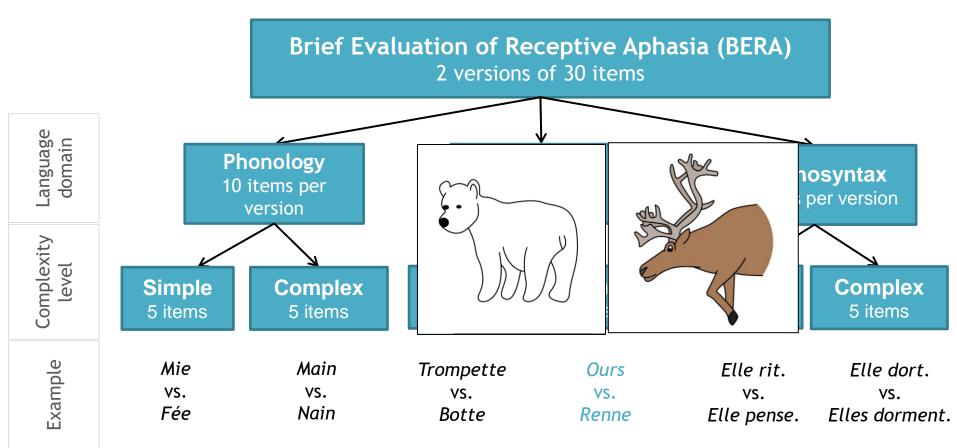
> > Aubinet et al., Brain Inj., 2021



Aubinet, Chatelle et al. (2021), Brain Injury



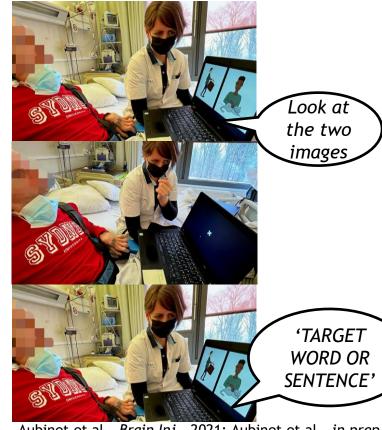
Aubinet, Chatelle et al. (2021), Brain Injury



Aubinet, Chatelle et al. (2021), Brain Injury

# Towards a language-specific assessment...

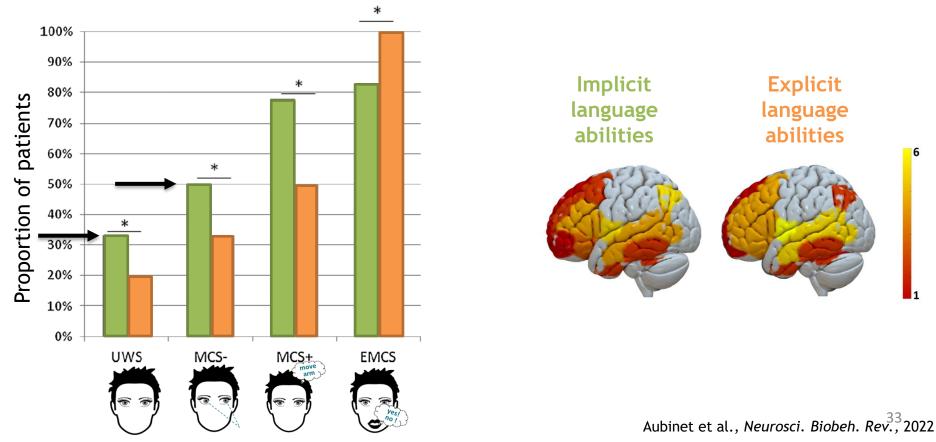




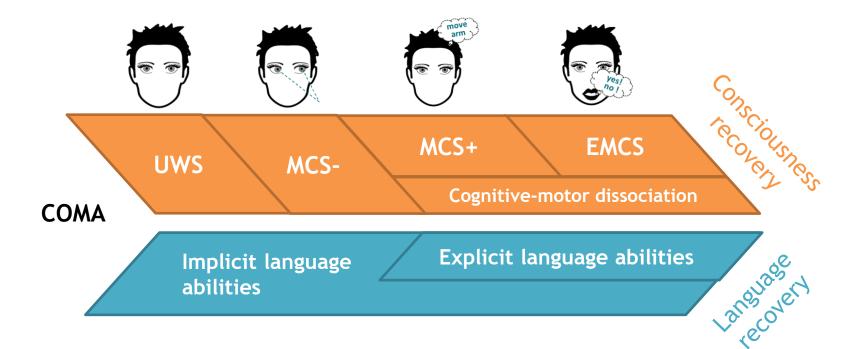
Aubinet et al., Brain Inj., 2021; Aubinet et al., in prep



### Comparing both implicit and explicit language recovery



#### Language recovery // consciousness recovery



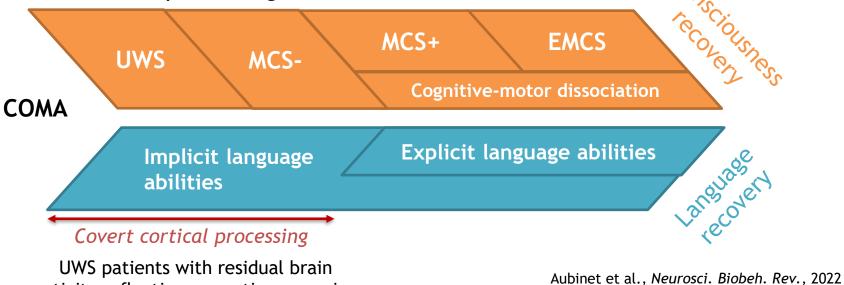
Aubinet et al., Neurosci. Biobeh. Rev., 2022

#### Complex language processing in the absence of 'consciousness'?

### **DoC taxonomy?**

Cognitive-motor dissociation

#### Covert cortical processing



Edlow et al., Brain, 2017

activity reflecting semantic processing

#### Complex language processing in the absence of 'consciousness'?

#### Explicit language assessment

 $\rightarrow$  Detect **cognitive-motor dissociation** and reduce DoC misdiagnosis

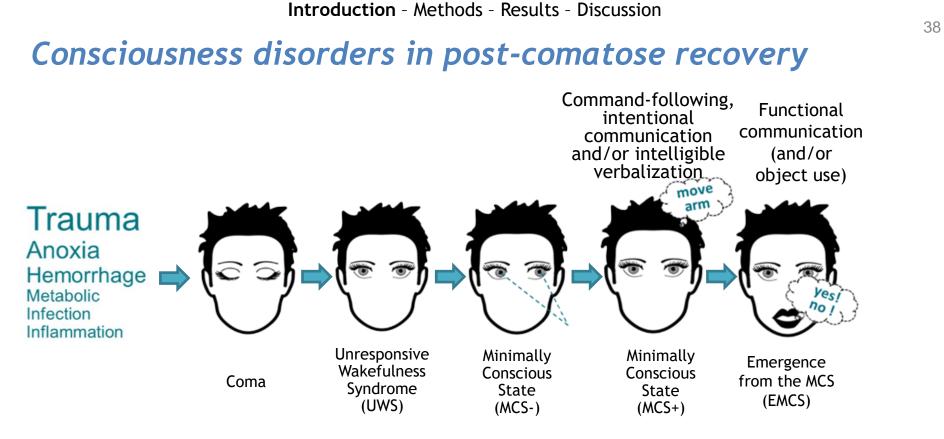
#### Implicit language assessment

- Covert cortical processing: not considered in the current DoC taxonomy!
- Patients with the lowest level of consciousness can show residual brain activity reflecting complex semantic processing
- → Is the presence of complex language processing in the absence of "consciousness" possible?
  - First-order theories (activity in sensory areas → Consciousness) vs. higher-order theories (higher-order activity focusing on sensory activity → Consciousness) of consciousness

Melloni et al., *Science*, 2021 Edlow et al., *Brain*, 2017

# Conclusion

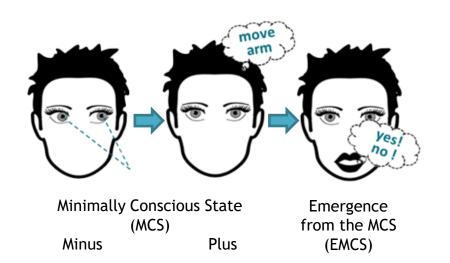
- Language assessment is crucial to avoid misdiagnosis in post-comatose patients
  - MRI EEG
  - Need for behavioral tools  $\rightarrow$  BERA assessment
- Language recovery // consciousness recovery
- Theoretical implications
  - DoC taxonomy
  - Consciousness theories
  - Language  $\leftarrow \rightarrow$  Consciousness?



Giacino et al., *Neurology*, 2002 Wannez et al., *Neuropsychol Rehabil*, 2017

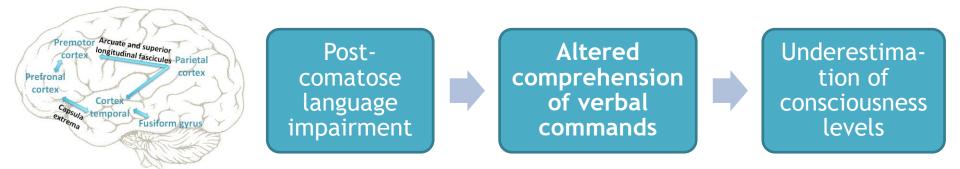
## 30-40% risk of DoC misdiagnosis

Deafness Blindess Motor impairment **Aphasia** ... Underestimated consciousness!!!



Schnakers et al., *BMC Neurol*, 2009 Schnakers et al., *NNR*, 2015

# Aphasia in DoC diagnosis



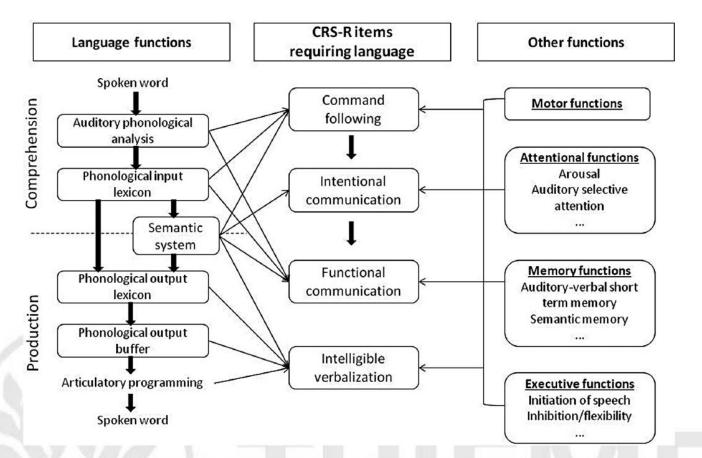
24 conscious aphasic patients

→CRS-R assessment

 $\rightarrow$  54% of patients with global aphasia: diagnosis = MCS!

Crucial need to detect the presence of language disorders in post-comatose DoC patients, despite their limited behavioral repertoire

> Schnakers et al. (2015), Neurorehabilitation and Neural Repair Majerus et al. (2009), Progress in Brain Research



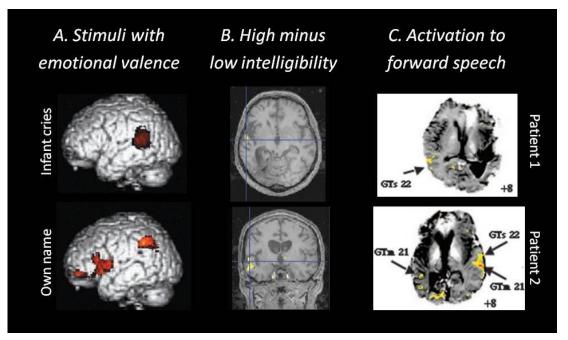
Aubinet et al., SIN, 2002

**Fig. 1** Influence of specific language and motor/cognitive functions on CRS-R language-related items. Left: language model adapted from Patterson and Shewell (1987); Center: the four CRS-R items directly requiring language residual abilities; Right: motor and cognitive functions impacting patients' CRS-R performance.

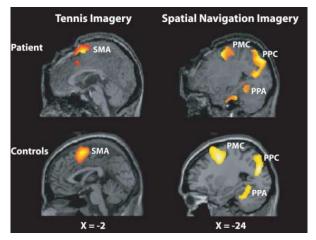


# Insights of residual language abilities in DoC

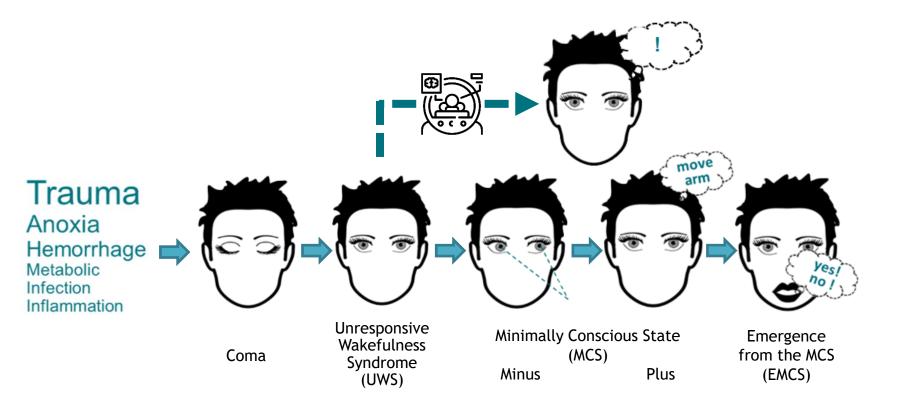
Passive tasks



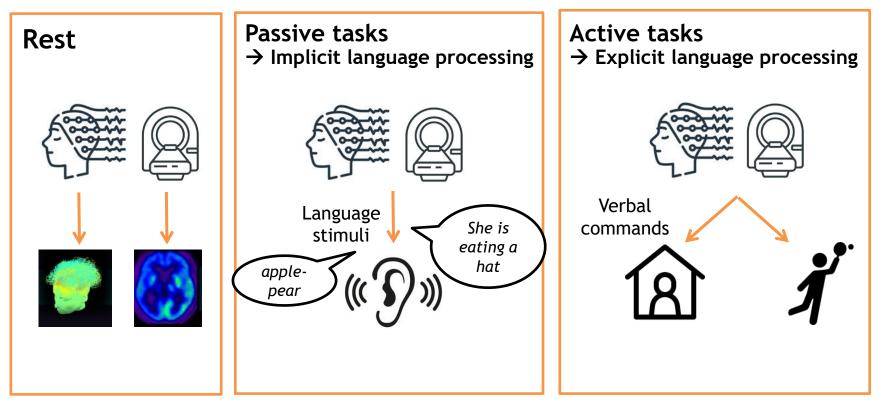
#### Active tasks



Laureys et al., *Neurology*, 2004 Owen et al., *Neuropsychol. Rehabil.*, 2005 Schiff et al., *Neurology*, 2005



## Implicit vs. explicit language processing in DoC patients





# **Methods**

## **Objectives**

- 1) Identify the level and quality of language residual abilities as a function of DoC diagnosis
- 2) Examine *how*, *when* and *where* implicit and explicit language abilities reappear after severe brain injury associated with impaired consciousness
- $\rightarrow$  Review question:

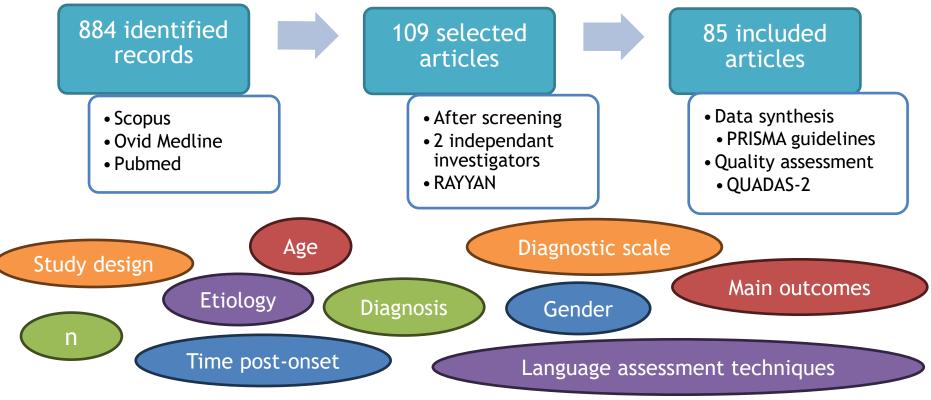
Which residual language abilities were observed in patients with DoC following severe acquired brain injury using neuroimaging, electrophysiological and behavioral bedside assessment methods?

Preregistration on PROSPERO (CRD42020139361) database

#### **Inclusion criteria**

- 1) Patients > 16 years old with DoC following severe acquired brain injury
- 2) Reporting of language-related neuroimaging, electrophysiological or behavioral measurements
- 3) Study targets the detection of residual language abilities (speech comprehension and/or production)
- 4) Empirical studies published in international peer-reviewed journals, in English
- 5) Use of the 2002 consensus-based criteria for diagnosing MCS

#### Systematic review process



Aubinet et al., Neurosci. Biobehav. Rev., 2022



## **Results**

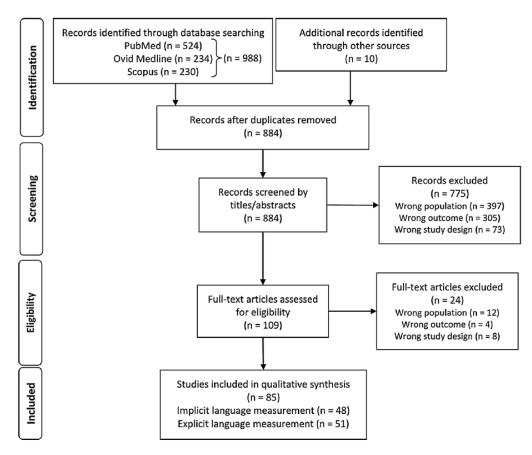


Fig. 1. Flowchart of the selection of articles. PRISMA 2009 flow diagram.

Aubinet et al., Neurosci Biobehav Rev, 2022

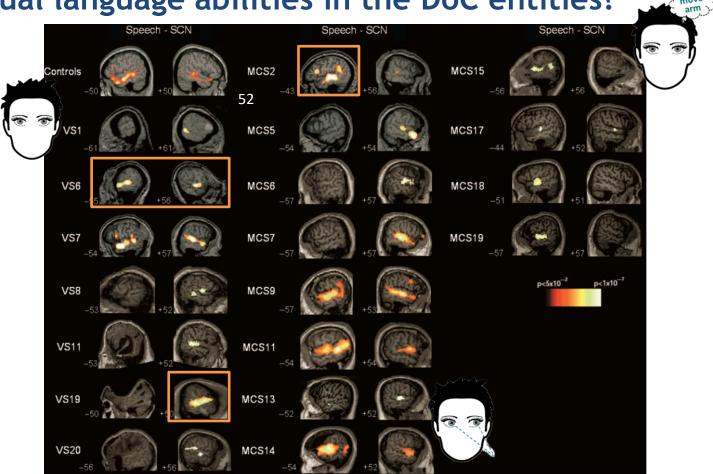
#### Table 1

Characteristics, main outcome and quality assessment of the included studies.

								Risk of bias				
REFERENCE	N (and diagnoses)	ETIOLOGY	AGE (years)	GENDER	TIME POST- ONSET	SCALE	TECHNIQUE	MAIN OUTCOME	POPULA- TION	INDEX TEST	REFERENCE STANDARD	FLOW & TIMING
Prospective cross- Annen et al. (201		5TBI, 6anoxia, 1hemorrhage	Mdn = 47.5, IQR = 20 <u>MCS-:</u> $M =$ 47.5, SD = 20	5F	Mdn = 7.5, IQR = 7.75 <u>MCS-</u> : $M = 7.5,$ SD = 7.75	CRS-R	Active EEG (counting), PET	<u>Explicit</u> : 0%UWS, 25%MCS	+	?	?	?
Balconi et al. (20	13) 18 (10UWS, 8MCS) HCS: 20	5TBI, 10 anoxia, 3stroke	$\frac{UWS}{43.5}; SD = 25.5$ M = 50, SD = 10.11, R = 25-69	8F	$\frac{UWS}{SD} = 30.5$ months M = 52, R = 6-70 months	CNC, DRS, GCS		Implicit : 100% UWS, 100%	+	?	+	?
Balconi and Aran (2015)	gio 18 (7UWS, 11MCS)	6TBI, 9anoxia, 3stroke	M = 49.5, SD = 11.7, R = 25-64 M = 29.4, SD	10F	M = 48, R = 6-63 months for initial sample of 22patients M = 10.4, SD =	CNC, DRS	Passive EEG (N400) Passive EEG (speech	Implicit : 100% UWS, 100% MCS, 100%HCS (but delayed peaks in DoC)	+	+	+	?
Bekinschtein et al (2011)	l. 5 (UWS) HCS: 3	4TBI, 1mixed	= 7.8, R = 20-40, Mdn = 30	?	7.1, $R = 5-20$ , Mdn = 6 months	CRS-R	detection), active fMRI (moving hand)	Implicit : 100%UWS Explicit : 40%UWS	+	?	-	?
Beukema et al. (2016)	16 (8UWS, 8MCS) HCS: 17	8TBI, 8NTBI	M = 38.5, SD = 17.2, R = 16-69	4F	M = 42.8, SD = 50.8, R = 5–202 months	CRS-R	Passive EEG (N400)	Implicit : 37.5%UWS, 50% MCS	+	?	?	+
Bodien et al. (20)	10 (1coma, 4UWS, 2MCS-, 3MCS+) HCS: 10	10TBI	M = 27.9, SD = 9.1, R = 18-51	4F	M = 242.9, SD = 586.9, R = 3-1900, Mdn = 10 days	CRS-R, CAP	Active fMRI (imagery)	Explicit: 0%coma, 25%UWS, 0%MCS-, 67%MCS + for hand squeezing, 0%coma, 25%UWS, 50%MCS-, 0% MCS + for tennis playing Implicit: Progressive delay in	+	+	+	_
Braiman et al. (2018)	21 (3UWS, 12MCS, 6EMCS) HCS: 13	18TBI, 3NTBI	<i>Mdn</i> = 27, IQR = 9	7F	<i>Mdn</i> = 64, IQR = 40 months	CRS-R	Passive EEG (narrative), fMRI (motor imagery)	atural speech envelope latencies across diagnostic categories <u>Explicit:</u> 0%UWS, 58%MCS (including MCS-)	+	?	?	?
Charland-Verville et al. (2014)	14MCS)	15TBI, 10NTBI	M = 33, SD = 13	10F	M = 31, SD = 27 months	CRS-R	Breathing-based "sniff controller"	Explicit: 0%UWS, 7%MCS	+	?	?	?
Chatelle et al. (20	10 (4coma, 1UWS, 4MCS, 1LIS) HCS: 10	2TBI, 3anoxia, 4hemorrhage, 1stroke	M = 56.7, SD = 12.2, Mdn = 56, R = 37-72	2F	M = 15.7, SD = 11.4, Mdn = 15, R = 3-38 days	CRS-R	Active EEG (counting, motor imagery)	Explicit: 0%UWS, 0%MCS	+	?	-	-

# Which residual language abilities in the DoC entities?

Implicit: Low level → Speech vs. noise



move

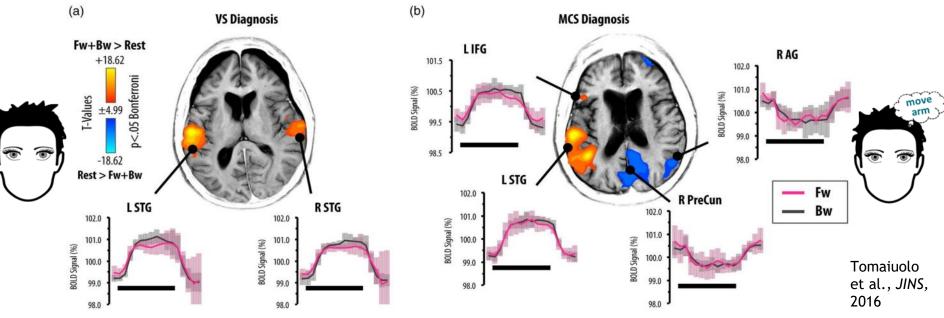
Coleman et al., Brain, 2009

# Which residual language abilities in the DoC entities?

Implicit:

Low level

#### $\rightarrow$ Forward vs. backward speech

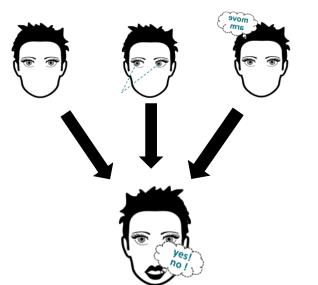


# Which residual language abilities in the DoC entities?

Implicit:

High level

 $\rightarrow$  Semantically congruent vs. incongruent sentences



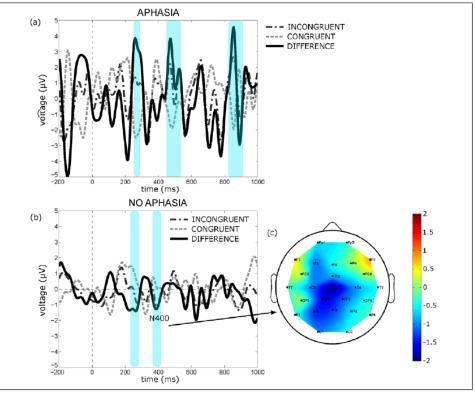


Figure 2. N400 in DoC patients. GA of the incongruent and congruent difference waves computed at Pz in DoC patients grouped according to the presence (panel A, Aphasia; n = 4) or not (panel B, No Aphasia; n = 4) of aphasia. Temporal windows in which we found a significant difference between incongruent and congruent conditions (nonparametric test, P < .05) are highlighted in light blue. Topographical map of averaged scalp potential at N400 latency in the No Aphasia patient group (panel C).

Formisano et al., NNR, 2019

# Which residual language abilities in the DoC entities?

Implicit:

High level (even in some UWS patients)

→ Factually correct (e.g., May follows April) VS. incorrect sentences (e.g., March follows April)

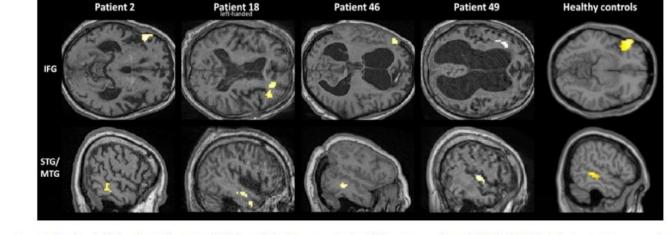


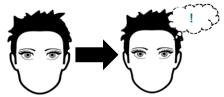
Fig. (1). Brain responses in the inferior frontal gyrus (IFG) and in the superior/middle temporal gyri (STG/MTG). These scans were obtained from a group of 21 age-matched healthy subjects and 4 patients who were regarded as "full responders". The statistical threshold employed was an uncorrected p value of 0.001 for illustrative purposes. Kotchoubey et al., *Curr. Pharm. Des.*, 2013

# Which residual language abilities in the DoC entities?

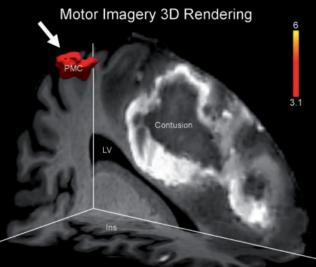
Explicit:

Command-following using brain-computer interfaces

 $\rightarrow$  Detection of Cognitive-Motor Dissociation (CMD)



E.g.: Right hand squeeze imagery task  $\rightarrow$  brain response in 3/3 UWS patients using fMRI, 0/3 UWS patients using EEG

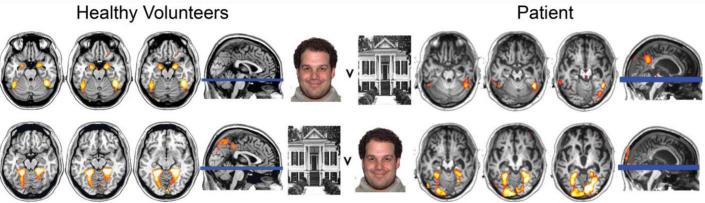


# Which residual language abilities in the DoC entities?

**Explicit:** 

Command-following using brain-computer interfaces  $\rightarrow$  Detection of Cognitive-Motor Dissociation (CMD)

E.g.: Visual recognition of faces vs. houses

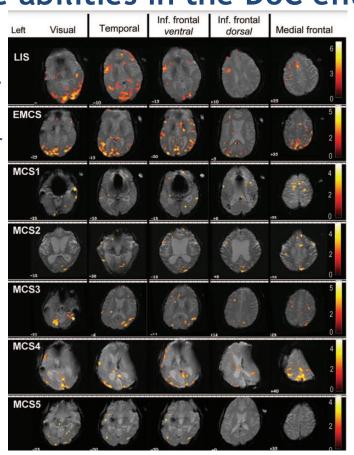


# Which residual language abilities in the DoC entities?

#### Explicit:

Command-following using braincomputer interfaces

- → Detection of Cognitive-Motor Dissociation (CMD)
- E.g.: silent picture-naming task





Rodriguez-Moreno et al., Neurology, 2010

Table 1 Behavioral tools allowing language assessment in post-comatose patients

Which residu	Tool	Required level of consciousness	Advantages	Disadvantages		
Explicit:	CRS-R (SECONDs, IQBA) language-relat- ed items	MCS+, EMCS	Included in validated scales allow- ing diagnosis of DoC	Multi-determinate, no distinction of language domains ( <b>~ Fig. 1</b> )		
Behavioral	Chiba score <sup>21</sup>	MCS, EMCS	Distinction between language comprehension, expression, and communication, with reported lev- el of severity	No available validation data, based on basic clinical observation		
command- following	CAVE	MCS – , MCS + , EMCS	Assessment of item recognition, based on visual fixation (adapted to most MCS- patients), validated scale with high levels of inter-rater and test-retest reliability	Based on visual fixation (not adapted to patients with impaired vision and oculomotricity), no dis- tinction of language domains and no assessment of psycholinguistic effects		
	BERA	MCS – , MCS + , EMCS	Assessment of item recognition, based on visual fixation (adapted to most MCS- patients), good psy- chometric properties in aphasic conscious patients, distinction of language domains, and assessment of some psycholinguistic effects	Based on visual fixation (not adapted to patients with impaired vision and oculomotricity), no vali- dation group study on DoC patients		
	Loewenstein Commu- nication Scale	EMCS	Validated scale to assess commu- nication abilities with very good reliability and good inter-rater agreement	No characterization of residual lan- guage abilities per se, no concrete definition nor examples		
	Individual Nonverbal Communication Rat- ing Scale	EMCS	Combination of patient observa- tion, family interviews, and scores of the Glasgow Coma Scale to esti- mate patients' communication abilities	No characterization of residual lan- guage abilities per se, no concrete definition nor examples, no avail- able validation data		

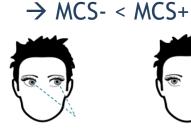
Aubinet et al., SIN, 2022

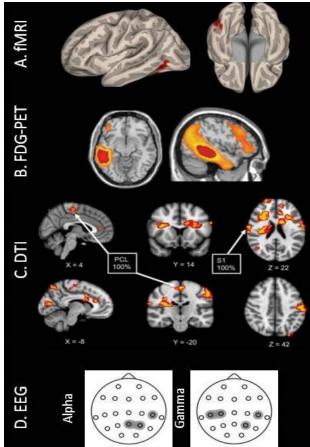
Abbreviations: DoC, disorders of consciousness; EMCS, emergence of minimally conscious state; MCS, minimally conscious state.

# Which residual language abilities in the DoC entities?

Explicit:

Behavioral command-following





Aubinet et al., HBM, 2018 Aubinet et al., NNR, 2020 Zheng et al., HBM, 2017 Claassen et al., Annals Neurol., 2016

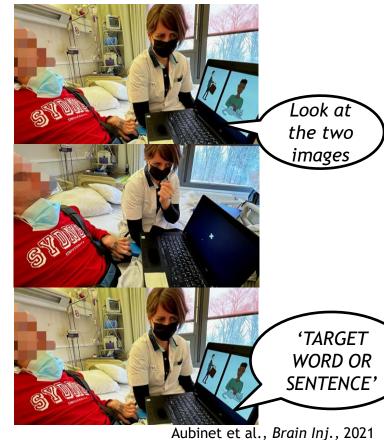
# Which residual language abilities in the DoC entities?

Explicit:

Behavioral command-following

 $\rightarrow$  Brief Evaluation of Receptive Aphasia (BERA)





# Which residual language abilities in the DoC entities?

#### Explicit:

#### Behavioral command-following

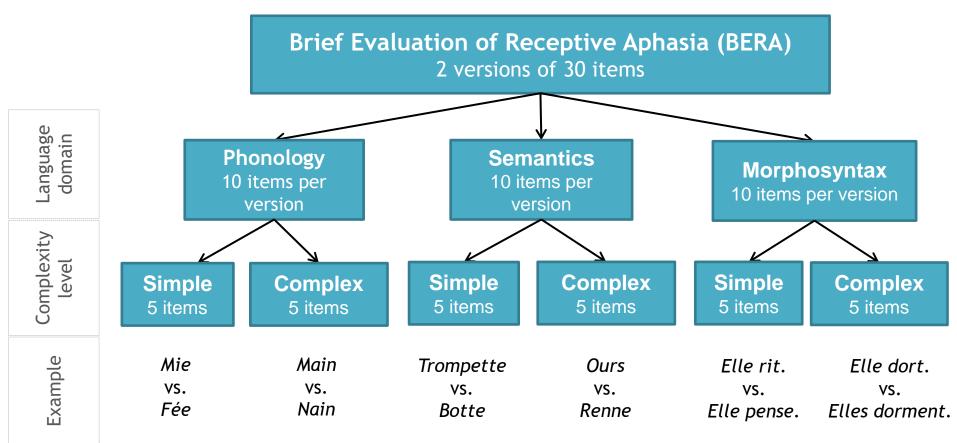
 $\rightarrow$  Brief Evaluation of Receptive Aphasia (BERA)



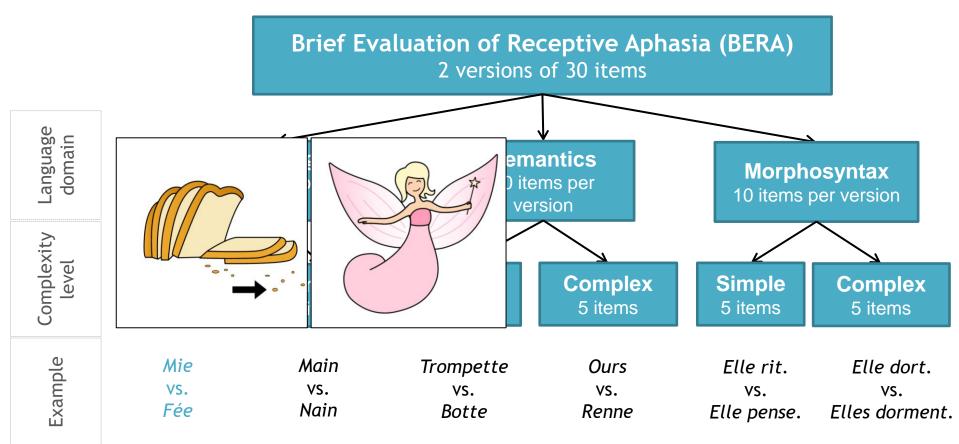


→ ≠ language domains (word phonological/semantic contrasts, sentences contrasting various morphosyntactic elements

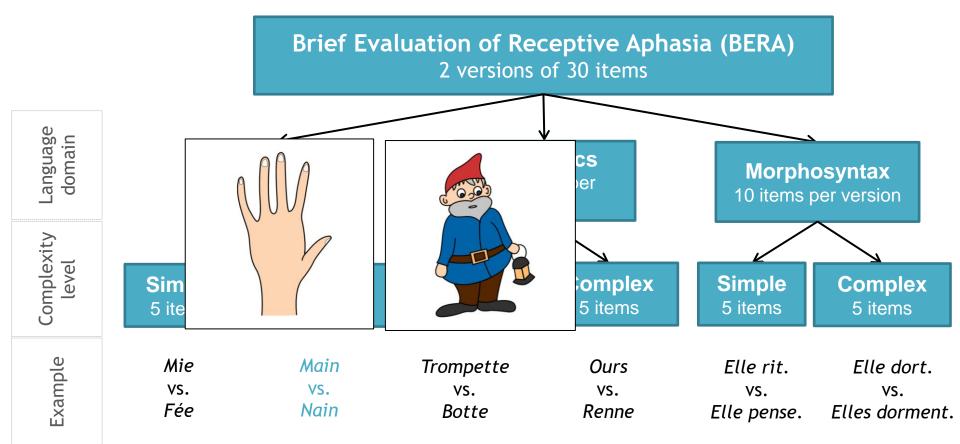
#### Elaboration of the BERA language-specific tool



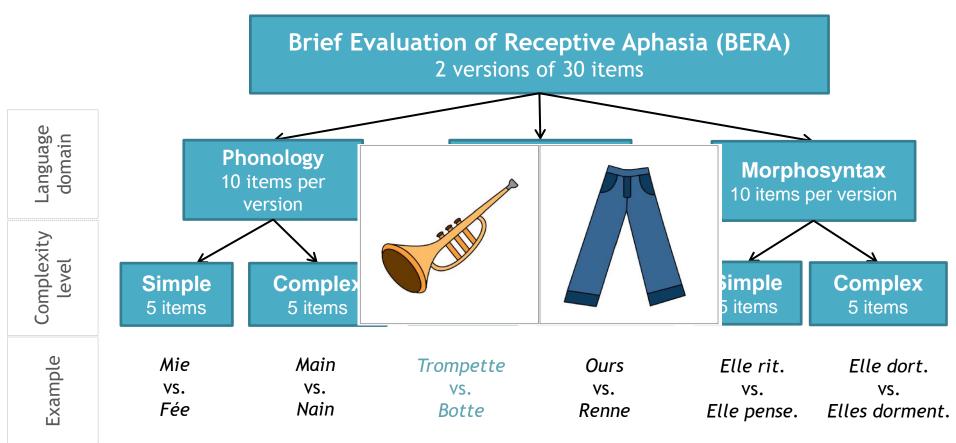
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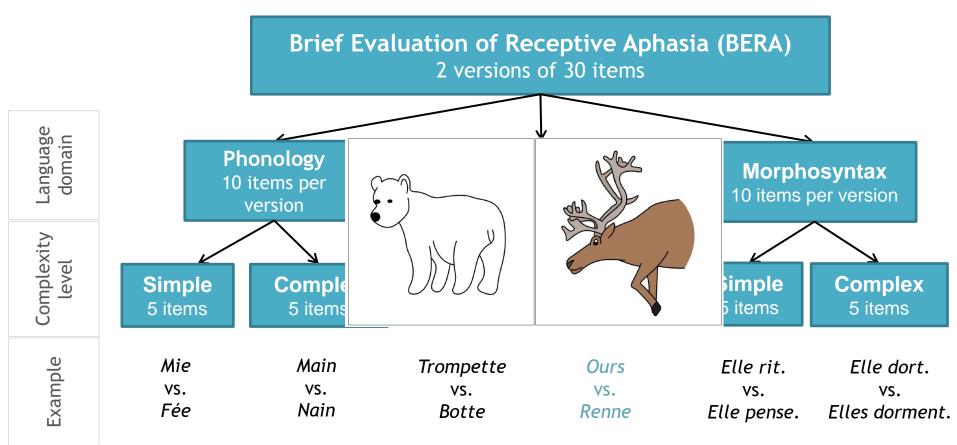
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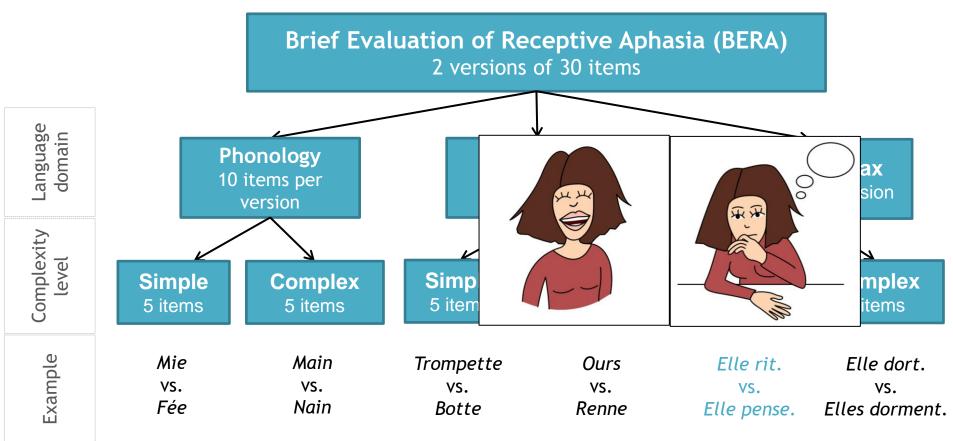
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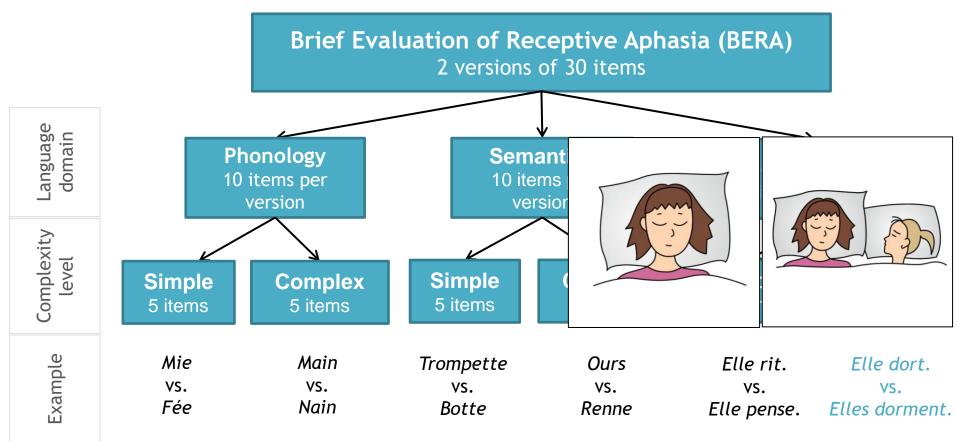
#### Elaboration of the BERA language-specific tool



#### Elaboration of the BERA language-specific tool



#### Elaboration of the BERA language-specific tool



# Validity and feasibility of the BERA tool: preliminary results

- 1. Validation study on aphasic conscious patients (n=52)
  - Concurrent validity with Language Screening Test (LAST)
    - ightarrow Sensitive to language disorders
  - Content validity (2 versions)
  - Intra-/inter-rater reliability (α=0,919)

Aubinet, Chatelle et al. (2021), Brain Injury

#### 2. BERA with eye-tracker: Delphi study (n=18)

- 100% highlight the need for such tools
- 100% consider that the use of an eye-tracker is appropriate in this context

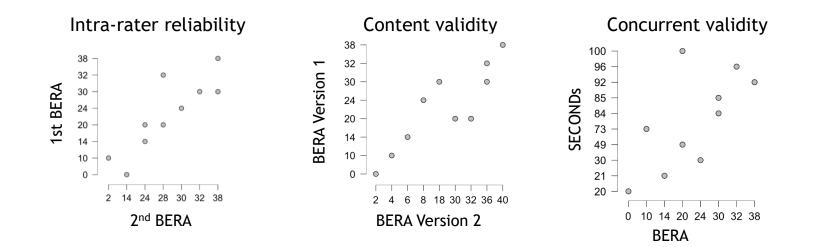
Mazué, Aubinet et al. (2022), Master Thesis

3. Ongoing BERA validation study on DoC patients (n=18)

Aubinet et al., *in prep* 

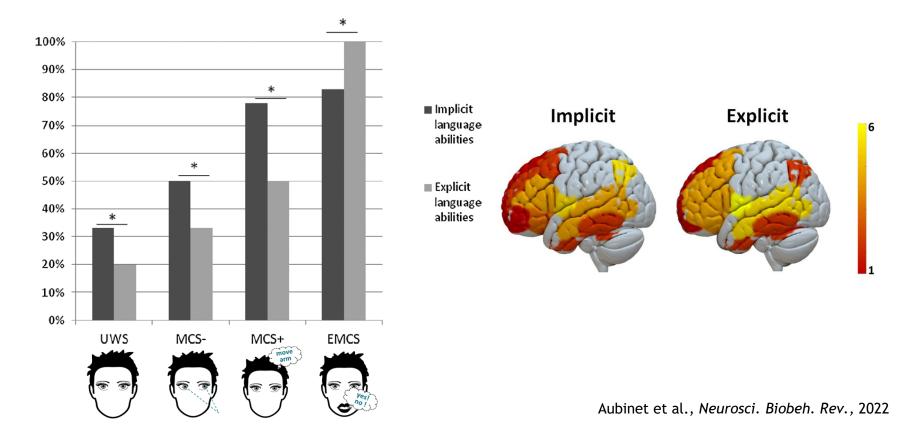
# Validity and feasibility of the BERA tool: preliminary results

3. Ongoing validation study on DoC patients (n=10)



Inter-rater reliability:  $\alpha = 0.989$ 

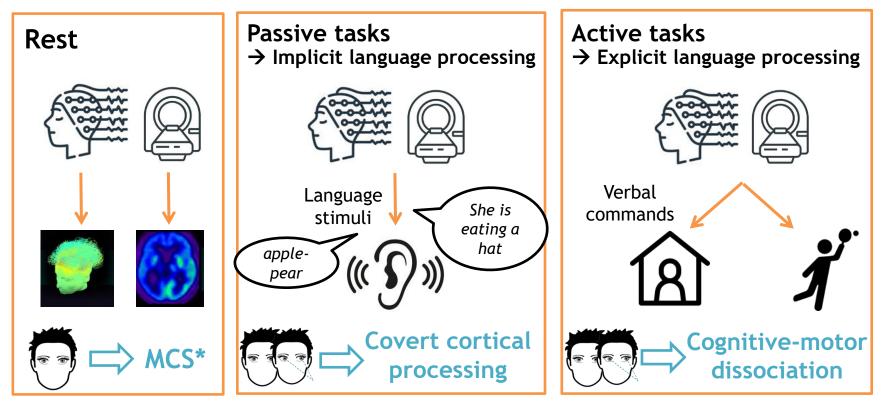
#### Residual language abilities in the DoC entities





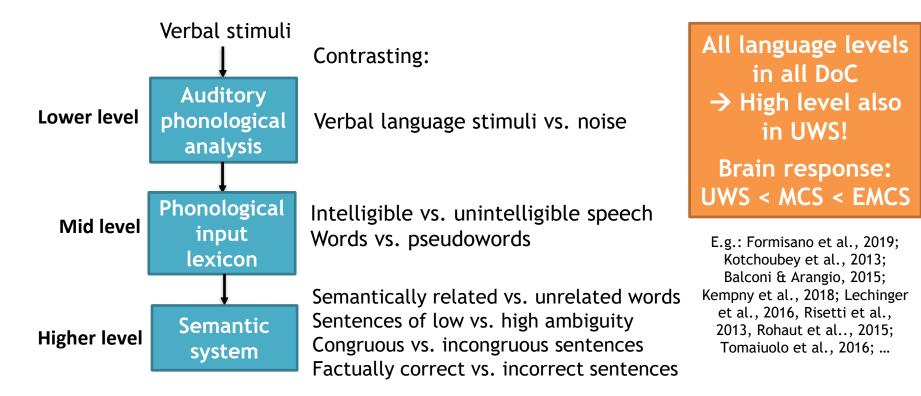
## **Discussion**

## Implicit vs. explicit language processing in DoC patients



Thibaut et al. Ann Neurol, 2021 Aubinet et al., Neurosci. Biobehav. Rev., 2022

## Implicit language processing



### Implicit language processing

- Not considered in the current DoC taxonomy
- Patients with the lowest level of consciousness can show residual brain activity reflecting complex semantic processing
- → Is the presence of complex language processing in the absence of "consciousness" possible?
  - First-order theories (activity in sensory areas → Consciousness) vs. higher-order theories (higher-order activity focusing on sensory activity → Consciousness) of consciousness
  - Priming paradigms: high-level semantic associations require conscious processing but not low-level categorical semantic associations

Melloni et al., Science, 2021 Edlow et al., Brain, 2017 Chien et al., Current Psychol, 2023

# Explicit language processing

#### Command-following ability

- Overt  $\rightarrow$  CRS-R, SECONDs, BERA
- Covert

#### Motor imagery

Mental tasks

## Tennis, navigation, swimming, hand moving,...

## Counting

 Subject's own name, targeted sound or word

### Picture naming

E.g.: Coleman et al., 2009; Braiman et al., 2018, Edlow et al., 2017; Bodien et al., 2017

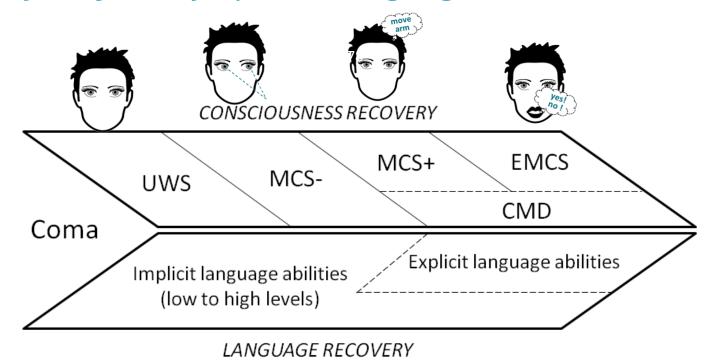
E.g.: Hauger et al., 2015; Naci & Owen, 2013; Haug et al., 2018

Rodriguez-Moreno et al., 2010 Potential residual brain response in all DoC categories

→ ~20% UWS and ~33% MCS-

= CMD!

#### Recovery trajectory of both language and consciousness



Aubinet et al., Neurosci. Biobeh. Rev., 2022

#### Methodological issues

Use of language measures to assess consciousness Heterogeneity regarding language measures

Large variability of:

- Dependent variables
- Techniques
- Verbal stimuli

#### QUADAS-2:

- Lack of blinding procedures and clarity regarding the timing of data acquisition in numerous studies
- High risk of bias regarding the population  $\rightarrow$  difficult to apply to DoC patients

## Perspectives

Clinical level:

- Longitudinal studies to assess the timing of recovery of both implicit and explicit language functions in a more systematic manner
- Neuroimaging studies to quantitatively assess the neural correlates of residual implicit language processing
- New taxonomy of DoC based on a multidimensional framework  $\rightarrow$  residual language abilities should be included
- BERA validation + other scales to develop (e.g., non-sighted patients)

Theoretical level:

- Dissociation between both language and conscious processes
- Priming paradigms? Developmental studies?
- Language (inner speech)  $\rightarrow$  higher-order consciousness?

Aubinet et al., *Neurosci Biobehav Rev*, 2022 Bayne et al., *Ann. Neurol.*, 2017 Skipper, *Neurosci Biobehav Rev*, 2022

# In brief...

- Residual language abilities in DoC patients < neuroimaging, electrophysiological and behavioral assessments
- Implicit language abilities in 33% UWS, 50% MCS-, 78% MCS+ and 83% EMCS patients
  - → language recognition, detection of intelligibility, lexical and semantic processing of words and sentences
  - $\rightarrow$  theoretical and clinical issues
- Explicit language processing in 20% UWS and 33% MCS- (CMD), 50% MCS+ and 100% EMCS patients
- Language processing in consciousness research: clinical and theoretical implications















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# **Questions**?

# caubinet@uliege.be www.coma.uliege.be

Aubinet C, Chatelle C, Gosseries O, Carrière M, Laureys S, Majerus S. Residual implicit and explicit language abilities in patients with disorders of consciousness: A systematic review. *Neurosci Biobehav Rev.* 2022 Jan;132:391-409.



# Quality assessment

#### Patient selection

• E.g., single case or convenience sample?

Index test (language assessment)

• E.g., blinding?

#### **Reference standard**

• E.g., DoC diagnosis < consensus-based criteria?

#### Flow and timing

• E.g., interval between IS and RS?

https://www.bristol.ac.uk/population-health-sciences/projects/quadas/quadas-2/ Aubinet et al., *Neurosci. Biobehav. Rev.*, 2022