

# ON PERIODIC ALTERNATE BASE EXPANSIONS

ÉMILIE CHARLIER, CÉLIA CISTERNINO AND SAVINIEN KRECZMAN

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF LIÈGE,  
ALLÉE DE LA DÉCOUVERTE 12, 4000 LIÈGE, BELGIUM

The real base expansions of real numbers were introduced by Rényi [8]. Given a real base  $\beta > 1$ , a representation of a real number  $x \in [0, 1)$  is an infinite sequence  $(a_n)_{n \in \mathbb{N}}$  of non-negative integer digits such that  $x = \sum_{n=0}^{\infty} \frac{a_n}{\beta^{n+1}}$ . Choosing at each step the largest possible digit  $a_n$  so that the partial sum  $\sum_{k=0}^n \frac{a_k}{\beta^{k+1}}$  does not exceed  $x$ , we obtain one particular  $\beta$ -representation of  $x$  called the  $\beta$ -*expansion of  $x$*  and denoted by  $d_\beta(x)$ . Rényi observed that the digits of the  $\beta$ -expansion of  $x$  can also be obtained by iterating the so-called  $\beta$ -*transformation*  $T_\beta: [0, 1) \rightarrow [0, 1)$ ,  $x \mapsto \beta x - \lfloor \beta x \rfloor$ , where  $\lfloor \cdot \rfloor$  denotes the floor function. More precisely, the computation of the  $n$ -th digit is given by the formula  $a_n = \lfloor \beta T_\beta^n(x) \rfloor$ . Then Rényi showed, among other things, that the map  $T_\beta$  defines an ergodic dynamical system. The dynamical properties of the  $\beta$ -expansions were extensively studied since the seminal work of Rényi.

In particular, the  $\beta$ -*shift*  $S_\beta$  received a lot of attention. This set is defined as the topological closure (with respect to the product topology on infinite words) of the set  $\{d_\beta(x) : x \in [0, 1)\}$ . It is shift invariant and it defines a dynamical system that is measure theoretically isomorphic to the dynamical system built on  $T_\beta$ . Parry provided a combinatorial characterization of elements in the  $\beta$ -shift [7] involving one particular infinite word  $d_\beta^*(1)$ , which is nowadays called the *quasi-greedy  $\beta$ -expansion of 1* and which is defined as the limit of the sequences  $d_\beta(x)$  as  $x$  tends to  $1^-$ , that is,  $d_\beta^*(1) = \lim_{x \rightarrow 1^-} d_\beta(x)$ . Ito and Takahashi then showed that the  $\beta$ -shift  $S_\beta$  is of finite type (which property they call *markovian*) if and only if  $d_\beta^*(1)$  is purely periodic [6]. Further, Bertrand-Mathis showed that the  $\beta$ -shift  $S_\beta$  is sofic if and only if  $d_\beta^*(1)$  is ultimately periodic [1]. From these results, we see the importance of the particular infinite word  $d_\beta^*(1)$  in the study of  $\beta$ -expansions of Rényi. Nowadays, real bases  $\beta$  such that  $d_\beta^*(1)$  is ultimately periodic are called *Parry numbers*.

In [9], Schmidt studied the set  $\text{Per}(\beta)$  of ultimately periodic points of the  $\beta$ -transformation  $T_\beta$ . In particular, his results imply that all Pisot numbers, i.e., algebraic integers  $\beta > 1$  whose Galois conjugates (that is, the roots of the minimal polynomial of  $\beta$ ) distinct from  $\beta$  all have modulus less than 1, are Parry numbers. The aim of the present paper is to understand the set of real numbers  $x \in [0, 1)$  having an ultimately periodic alternate base expansion.

Alternate expansions of real numbers are a generalization of Rényi  $\beta$ -expansions [2]. We give here the necessary background in order to state the generalization of Schmidt's result that we seek. An *alternate base*  $\boldsymbol{\beta} = (\beta_0, \dots, \beta_{p-1})$  is a  $p$ -tuple of real bases, that is,  $\beta_i > 1$  for every  $i \in \llbracket 0, p-1 \rrbracket$  (throughout this text, an interval of integers  $\{i, \dots, j\}$  with  $i \leq j$  is denoted  $\llbracket i, j \rrbracket$ ). A  $\boldsymbol{\beta}$ -*representation* of a real number  $x$  is an infinite sequence  $a = (a_n)_{n \in \mathbb{N}}$

---

*E-mails:* echarlier@uliege.be, ccisternino@uliege.be, savinien.kreczman@uliege.be.

of integers such that

$$(0.1) \quad x = \sum_{m=0}^{\infty} \sum_{i=0}^{p-1} \frac{a_{mp+i}}{(\beta_0 \cdots \beta_{p-1})^m \beta_0 \cdots \beta_i}.$$

We use the convention that for all  $n \in \mathbb{N}$ ,  $\beta_n = \beta_{n \bmod p}$  and

$$\boldsymbol{\beta}^{(n)} = (\beta_n, \dots, \beta_{n+p-1}).$$

For  $x \in [0, 1)$ , a distinguished  $\boldsymbol{\beta}$ -representation  $(\varepsilon_n)_{n \in \mathbb{N}}$ , called the  $\boldsymbol{\beta}$ -*expansion* of  $x$ , is obtained from the *greedy algorithm*: set  $r_0 = x$  and, for  $n \in \mathbb{N}$ ,  $\varepsilon_n = \lfloor \beta_n r_n \rfloor$  and  $r_{n+1} = \beta_n r_n - \varepsilon_n$ . The  $\boldsymbol{\beta}$ -expansion of  $x$  is denoted  $d_{\boldsymbol{\beta}}(x)$ . The  $n$ -th digit  $\varepsilon_n$  belongs to  $\llbracket 0, \lceil \beta_n \rceil - 1 \rrbracket$ . The number  $r_n$  is called the  $n$ -th *remainder* computed by the greedy algorithm. Note that the remainders all belong to  $[0, 1)$ .

We let  $\text{Per}(\boldsymbol{\beta})$  denote the set of real numbers in  $[0, 1)$  having an ultimately periodic greedy  $\boldsymbol{\beta}$ -expansion, that is,

$$(0.2) \quad \text{Per}(\boldsymbol{\beta}) = \{x \in [0, 1) : d_{\boldsymbol{\beta}}(x) \text{ is ultimately periodic}\}.$$

As in the real base case, the digits of the  $\boldsymbol{\beta}$ -expansion may also be obtained by iterating a well-chosen transformation  $T_{\boldsymbol{\beta}}$  [3]. The set  $\text{Per}(\boldsymbol{\beta})$  may then be seen, up to some technicalities, as the set of ultimately periodic points of this map  $T_{\boldsymbol{\beta}}$ .

We obtain the following result generalizing Schmidt's theorems [9, Theorems 2.4 and 3.1]. Recall that a *Salem number* is an algebraic integer  $\beta > 1$  whose Galois conjugates distinct from  $\beta$  all have modulus less than or equal to 1, with equality for at least one of them.

**Theorem 1.** *Let  $\boldsymbol{\beta} = (\beta_0, \dots, \beta_{p-1})$  be an alternate base and set  $\beta = \prod_{i=0}^{p-1} \beta_i$ .*

- (1) *If  $\mathbb{Q} \cap [0, 1) \subseteq \bigcap_{i=0}^{p-1} \text{Per}(\boldsymbol{\beta}^{(i)})$  then  $\beta_0, \dots, \beta_{p-1} \in \mathbb{Q}(\beta)$  and  $\beta$  is either a Pisot number or a Salem number.*
- (2) *If  $\beta$  is a Pisot number and  $\beta_0, \dots, \beta_{p-1} \in \mathbb{Q}(\beta)$  then  $\text{Per}(\boldsymbol{\beta}) = \mathbb{Q}(\beta) \cap [0, 1)$ .*

Our proof of Theorem 1 is based on algebraic tools such as the alternate base spectrum defined in [4] as a generalization of the  $\beta$ -spectrum originally introduced by Erdős, Joó and Komornik [5]. In the reduced case of one real base, we obtain a proof that is much shorter than Schmidt's original one from [9].

We call  $\boldsymbol{\beta}$  a *Parry alternate base* if  $d_{\boldsymbol{\beta}^{(i)}}^*(1)$  is eventually periodic for every  $i \in \llbracket 0, p-1 \rrbracket$ . As a direct consequence of Theorem 1, we reobtain the above-mentioned result from [4] generalizing the fact that all Pisot numbers are Parry numbers.

**Corollary 2.** *Let  $\boldsymbol{\beta} = (\beta_0, \dots, \beta_{p-1})$  be an alternate base and set  $\beta = \prod_{i=0}^{p-1} \beta_i$ . If  $\beta$  is a Pisot number and  $\beta_0, \dots, \beta_{p-1} \in \mathbb{Q}(\beta)$  then  $\boldsymbol{\beta}$  is a Parry alternate base.*

As a second corollary, we obtain the following property of Pisot numbers. This result seems to be new; at least we were not able to find a reference for it.

**Corollary 3.** *If  $\beta$  is a Pisot number then  $\beta \in \mathbb{Q}(\beta^p)$  for all  $p \in \mathbb{N}_{\geq 1}$ .*

We also prove the following theorem generalizing [9, Theorem 2.5]. This result is a refinement of the item (1) of Theorem 1.

**Theorem 4.** *Let  $\boldsymbol{\beta} = (\beta_0, \dots, \beta_{p-1})$  be an alternate base such that  $\beta_0, \dots, \beta_{p-1} \in \mathbb{Q}(\beta)$  and set  $\beta = \prod_{i=0}^{p-1} \beta_i$ . If  $\beta$  is an algebraic integer that is neither a Pisot number nor a Salem number then  $\text{Per}(\boldsymbol{\beta}) \cap \mathbb{Q}$  is nowhere dense in  $[0, 1)$ .*

## REFERENCES

- [1] A. Bertrand-Mathis. Développement en base  $\theta$ ; répartition modulo un de la suite  $(x\theta^n)_{n \geq 0}$ ; langages codés et  $\theta$ -shift. *Bull. Soc. Math. France*, 114(3):271–323, 1986.
- [2] É. Charlier and C. Cisternino. Expansions in Cantor real bases. *Monatsh. Math.*, 195:585–610, 2021.
- [3] É. Charlier, C. Cisternino, and K. Dajani. Dynamical behavior of alternate base expansions. *Ergodic Theory and Dynamical Systems*, 2021. On-line publication.
- [4] E. Charlier, C. Cisternino, Z. Masáková, and E. Pelantová. Spectrum, algebraicity and normalization in alternate bases. Submitted for publication. [arXiv:2202.03718](https://arxiv.org/abs/2202.03718), 2022.
- [5] P. Erdős, I. Joó, and V. Komornik. Characterization of the unique expansions  $1 = \sum_{i=1}^{\infty} q^{-n_i}$  and related problems. *Bull. Soc. Math. France*, 118(3):377–390, 1990.
- [6] S. Ito and Y. Takahashi. Markov subshifts and realization of  $\beta$ -expansions. *J. Math. Soc. Japan*, 26:33–55, 1974.
- [7] W. Parry. On the  $\beta$ -expansions of real numbers. *Acta Math. Acad. Sci. Hungar.*, 11:401–416, 1960.
- [8] A. Rényi. Representations for real numbers and their ergodic properties. *Acta Math. Acad. Sci. Hungar.*, 8:477–493, 1957.
- [9] K. Schmidt. On periodic expansions of Pisot numbers and Salem numbers. *Bull. London Math. Soc.*, 12(4):269–278, 1980.