

# PARTIALLY POLARIZED PHYSICAL OPTICS

A presentation at the International Geoscience and Remote Sensing Symposium 2023

I. Baris<sup>1,5</sup>, A. Osipov<sup>1</sup>, H. Anglberger<sup>1</sup>, T. Jagdhuber<sup>1,2</sup>, F. Jonard<sup>3</sup>, J. T. Johnson<sup>4</sup>, T. Eibert<sup>5</sup>

<sup>1</sup>German Aerospace Center, Microwaves and Radar Institute, Muenchener Str. 20, 82234 Weßling

<sup>2</sup>Augsburg University, Institute of Geography, Alter Postweg 118, 86159 Augsburg

<sup>3</sup>Earth Observation and Ecosystem Modelling Laboratory, 4000 Liège, Belgium

<sup>4</sup>ElectroScience Laboratory, The Ohio State University, Columbus, OH 43210 USA

<sup>5</sup>Department of Electrical Engineering, School of Computation, Information and Technology, Technical University of Munich, 80290 Munich

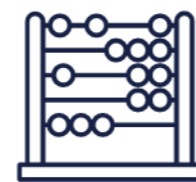
E-Mail: [Ismail.baris@dlr.de](mailto:Ismail.baris@dlr.de)



# Operational Issues

Computation and Parametrization of Scattering Characteristics

**Analytical Characterization**  
 No analytical characterization of the set of all Mueller matrices exists to this day.



**Conservation Properties**  
 No notion for energy conservation and conservation of the input polarization state.



**Meaning of Mueller Elements**  
 No explicit relationship between Mueller matrix elements and the physical object.



**Formulation**  
 Different formalisms and notations for different scattering events.

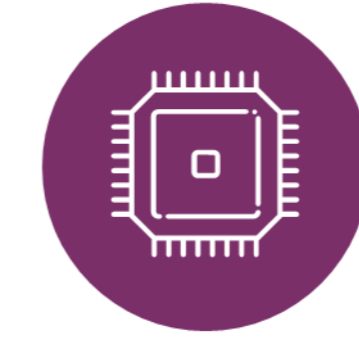


# Operational Issues



## Parameter & Incompatibility

How can we achieve a unified parametrization if we don't know what physical properties the individual Mueller matrix elements represent?



## Computationally Expensive

The tests for the physical realizability of the results (per iteration) and for checking the energy and polarization conservation are computationally very expensive.



## Tests

What are the tests we can put in place to verify a Mueller matrix? In other words, what is the difference between a common 4x4 matrix and a Mueller matrix?



## Experimental Errors

Experimental errors can violate many criteria that have to be fulfilled. Moreover, Mueller calculi do not conserve the Degree Of Polarization (DOP) of an arbitrarily polarized input state. This over complicates many modeling approaches.

All these issues affect not only the theoretical work. They also have an impact on operational work.

# Methods

The Current and New Procedures

Coherency Matrix: (Born 99, p. 545)

$$S^{\alpha\beta} = \frac{\sigma_M^{\alpha\beta}}{2} S^M = \frac{1}{2} \begin{bmatrix} S^0 + S^3 & S^1 + iS^2 \\ S^1 - iS^2 & S^0 - S^3 \end{bmatrix} \Rightarrow \begin{bmatrix} E_1 E_1^* & E_1 E_2^* \\ E_2 E_1^* & E_2 E_2^* \end{bmatrix} \quad (\text{if } S^M \text{ fully polarized})$$

Pauli Tensor

With  $\alpha, \beta = [0, 1]$ .

The Determinant of  $S^{\alpha\beta}$  is related to the DOP like:

$$\det(S^{\alpha\beta}) = \frac{1}{4} \left[ (S^0)^2 - (S^1)^2 - (S^2)^2 - (S^3)^2 \right] \quad (\text{Different way to see the DOP})$$

$$\det(S^{\alpha\beta}) = 0 \quad \Rightarrow \quad \text{Fully Polarized} \quad \det(S^{\alpha\beta}) = \frac{1}{4} (S^0)^2 \quad \Rightarrow \quad \text{Unpolarized}$$

$$0 < \det(S^{\alpha\beta}) < \frac{1}{4} (S^0)^2 \quad \Rightarrow \quad \text{Partially Polarized}$$

Spinor Representation: (Causmaecker 81, Kleis 85, ...)

iff  $\det(S^{\alpha\dot{\alpha}}) = 0$  then

$$S^{\alpha\dot{\alpha}} = \pi^\alpha \otimes \tilde{\pi}^{\dot{\alpha}} \quad \text{where } \pi^\alpha \text{ and } \tilde{\pi}^{\dot{\alpha}} \text{ are } \underline{\text{Spinors!}}$$

Relation between  $S^{\alpha\dot{\alpha}}$  &  $\vec{E}_n$  iff  $\det(S^{\alpha\dot{\alpha}}) = 0$ :

$$S^{\alpha\dot{\alpha}} \rightarrow \begin{bmatrix} E_1 E_1^* & E_1 E_2^* \\ E_2 E_1^* & E_2 E_2^* \end{bmatrix} \rightarrow \pi^\alpha \tilde{\pi}^{\dot{\alpha}} = \begin{bmatrix} E_1 \\ E_2 \end{bmatrix} [E_1^* \ E_2^*]$$

$\tilde{\pi}^{\dot{\alpha}} = (\pi^\alpha)^*$

$\Rightarrow$  Every  $S^{\alpha\dot{\alpha}}$  defined with  $\pi$  &  $\tilde{\pi}$

is manifestly fully Polarized!

$\hookrightarrow$  No quadratic constraints like:

$$(S^0)^2 = (S^1)^2 + (S^2)^2 + (S^3)^2!$$

Redundancy:

iff  $\pi \rightarrow z \pi$  then  $\tilde{\pi} \rightarrow z^{-1} \tilde{\pi}$   
 $\Rightarrow S^{\alpha\dot{\alpha}} \rightarrow z \pi^\alpha \tilde{\pi}^{\dot{\alpha}} z^{-1} = S^{\alpha\dot{\alpha}}!$

$z = e^{i\varphi}$  (Phase)

(The action of the Hopf Fibration)

(Hopf 31, p.654)

(Zee 16)

# Partially Polarized Waves

$$\det(S^{\alpha i}) = (0, (s^0)^2)$$

↳ Rank 2!

$$S^{\alpha i} = \pi_1^\alpha \tilde{\pi}_1^i + \pi_2^\alpha \tilde{\pi}_2^i$$

$$\det(\pi_1 \tilde{\pi}_1) = 0 \quad \det(\pi_2 \tilde{\pi}_2) = 0$$

The sum of two Coherency Matrices with Determinant 0 can create a Partially Polarized Coherency Matrix

$$\underline{Z^I} \rightarrow W^I_J = \begin{pmatrix} e^{i\ell} & 0 \\ 0 & e^{-i\ell} \end{pmatrix}$$

$$Z = \begin{bmatrix} \pi_1^\alpha \\ \vdots \\ \pi_2^\alpha \end{bmatrix} \quad Z \in \mathbb{C}^4$$

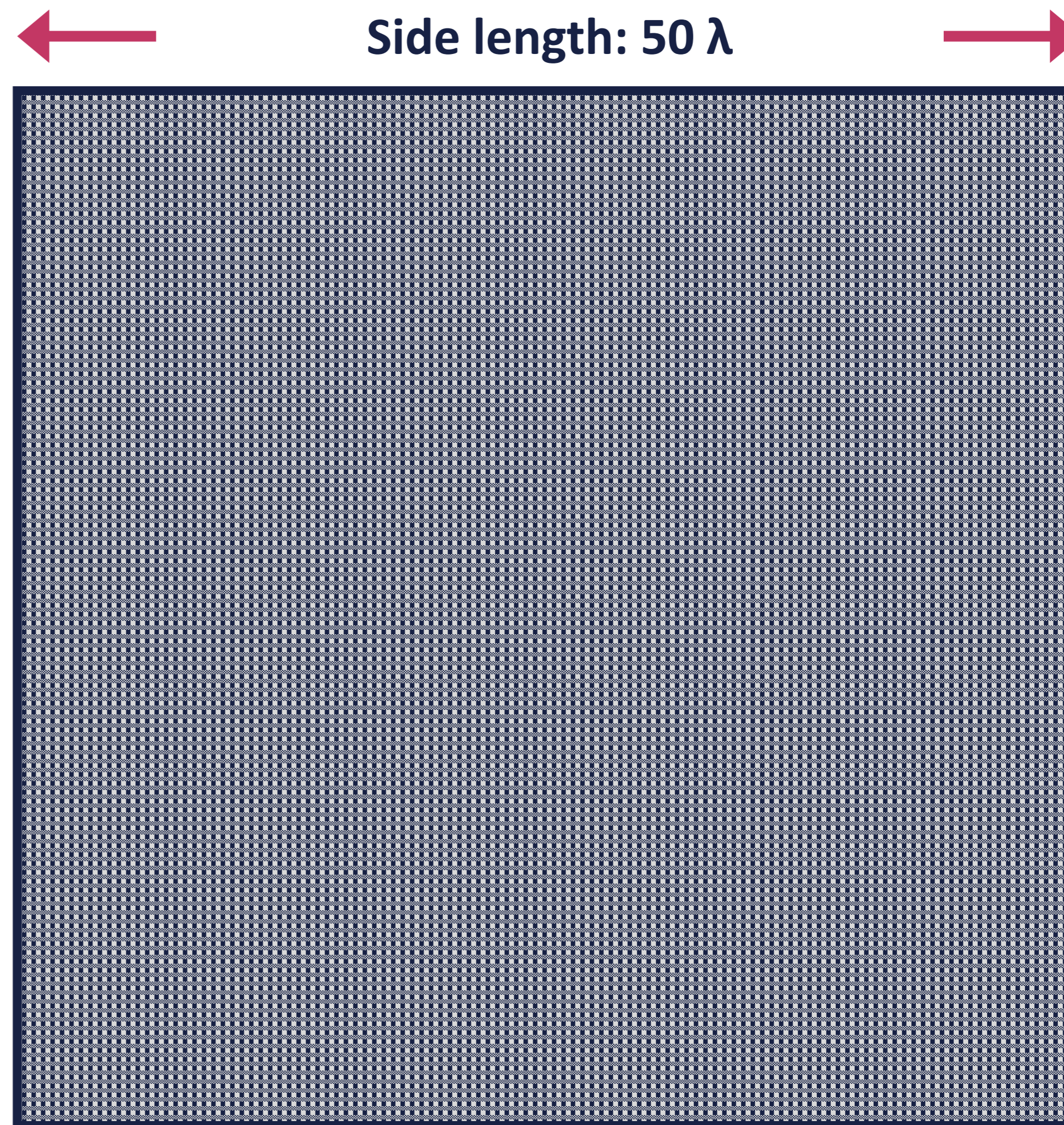
$$Z^I = B^{IJ} Z^J$$

$$B \in U(4)$$



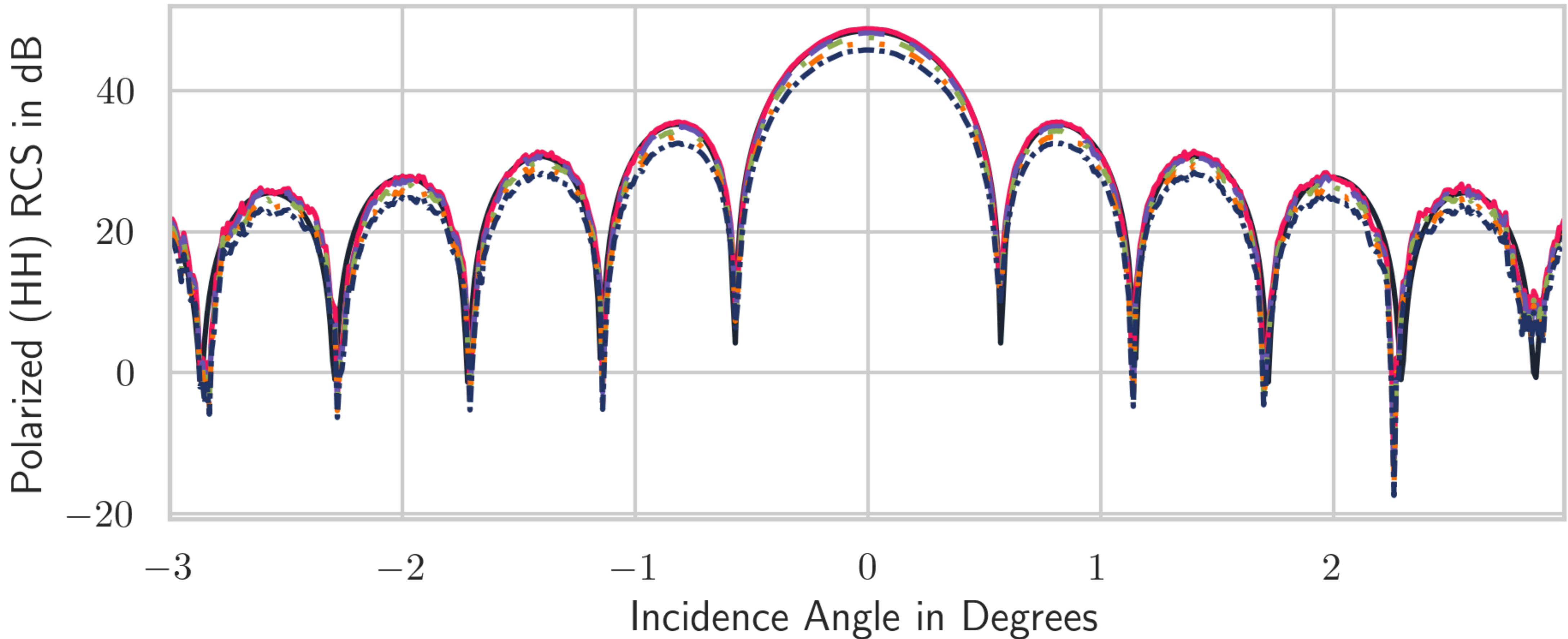
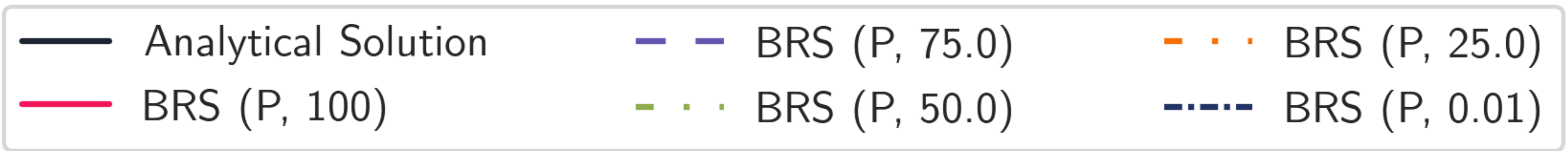
# Simulation Setup

- Frequency: 10 GHz.
- Computation: Monostatic RCS.
- Plate dimension:  $50 \lambda$  (1.5 Meter).
- Sampling rate:  $\lambda / 10$ .
- Roughness:  $[0, \lambda / 4]$ .
- The correlation length will be 15 times the RMS height.
- We consider only the coherent part of the wave.
- Even if it is not realistic, we model the surface with the assumption that it consists of very complex materials capable of changing the polarization degree of an incident wave.



# First Results (No Roughness)

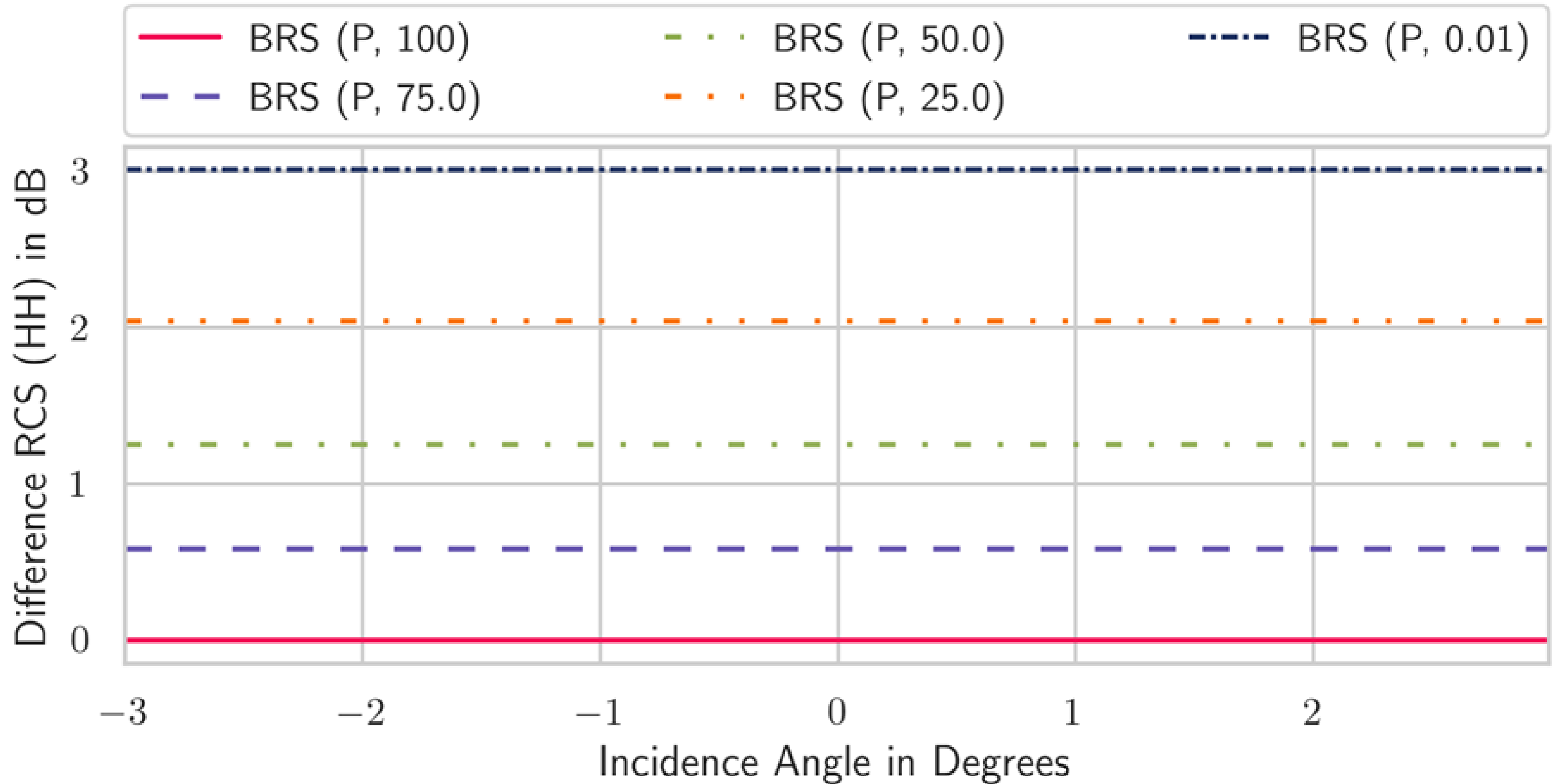
Polarized part of the wave





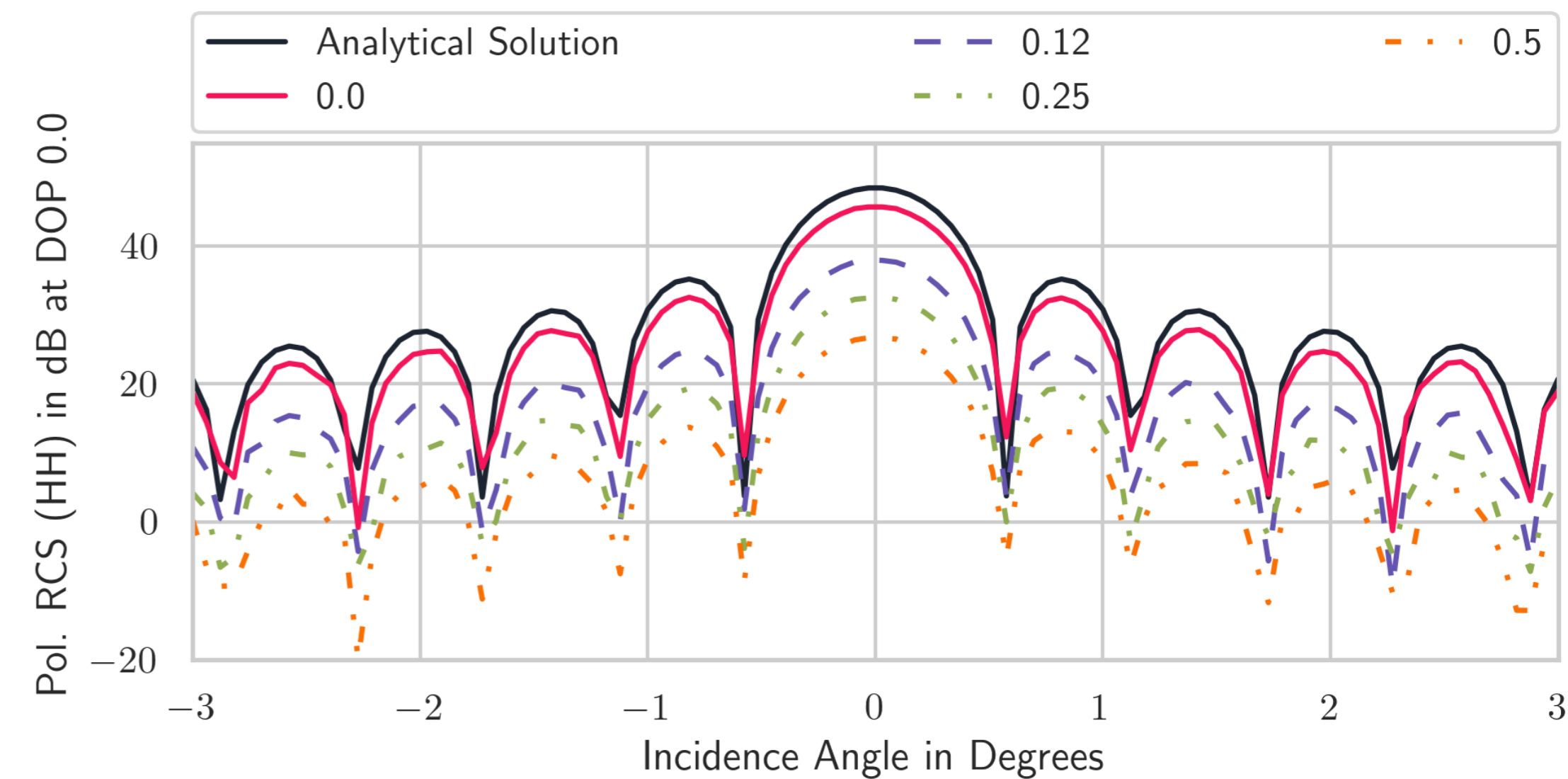
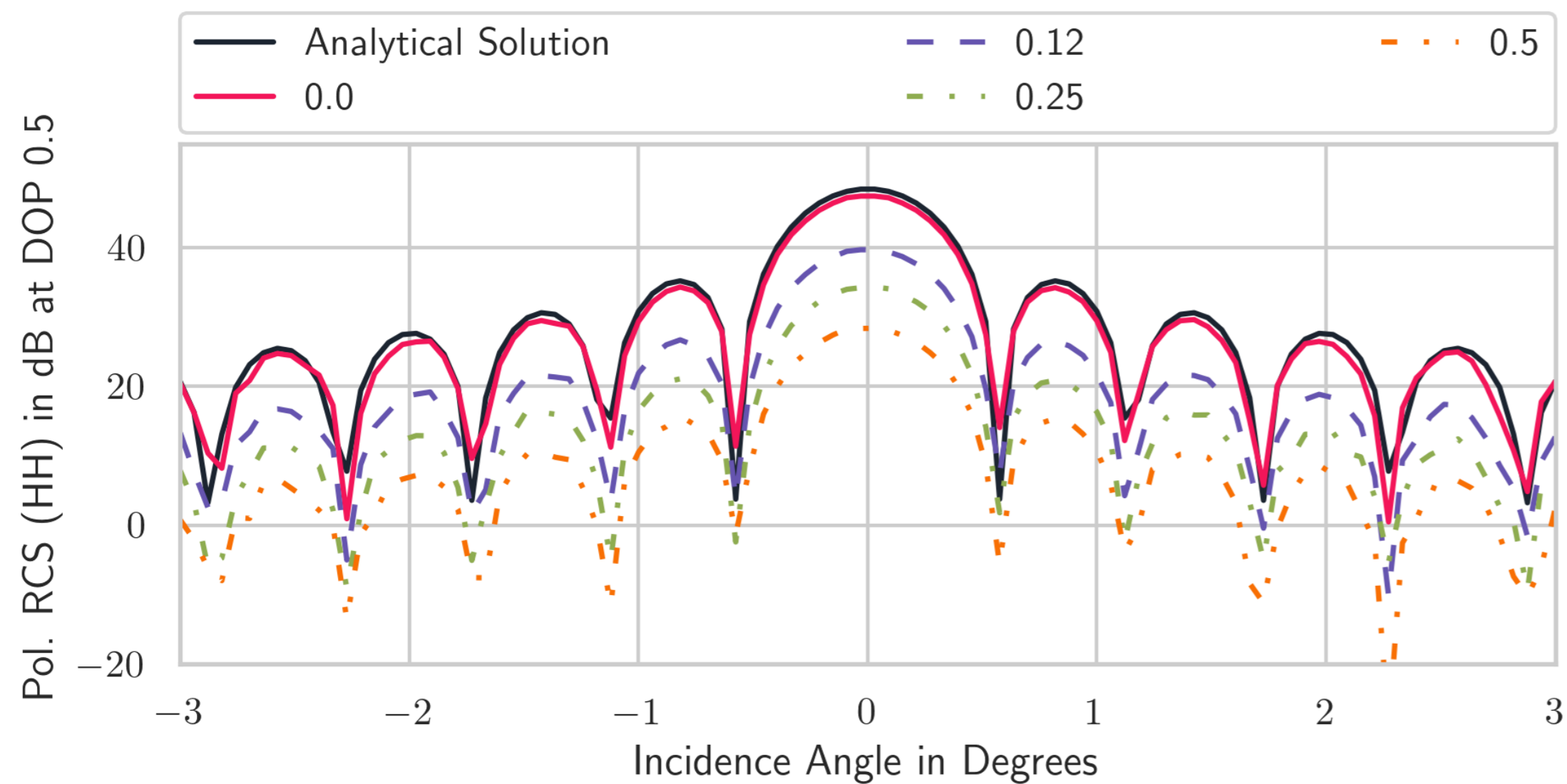
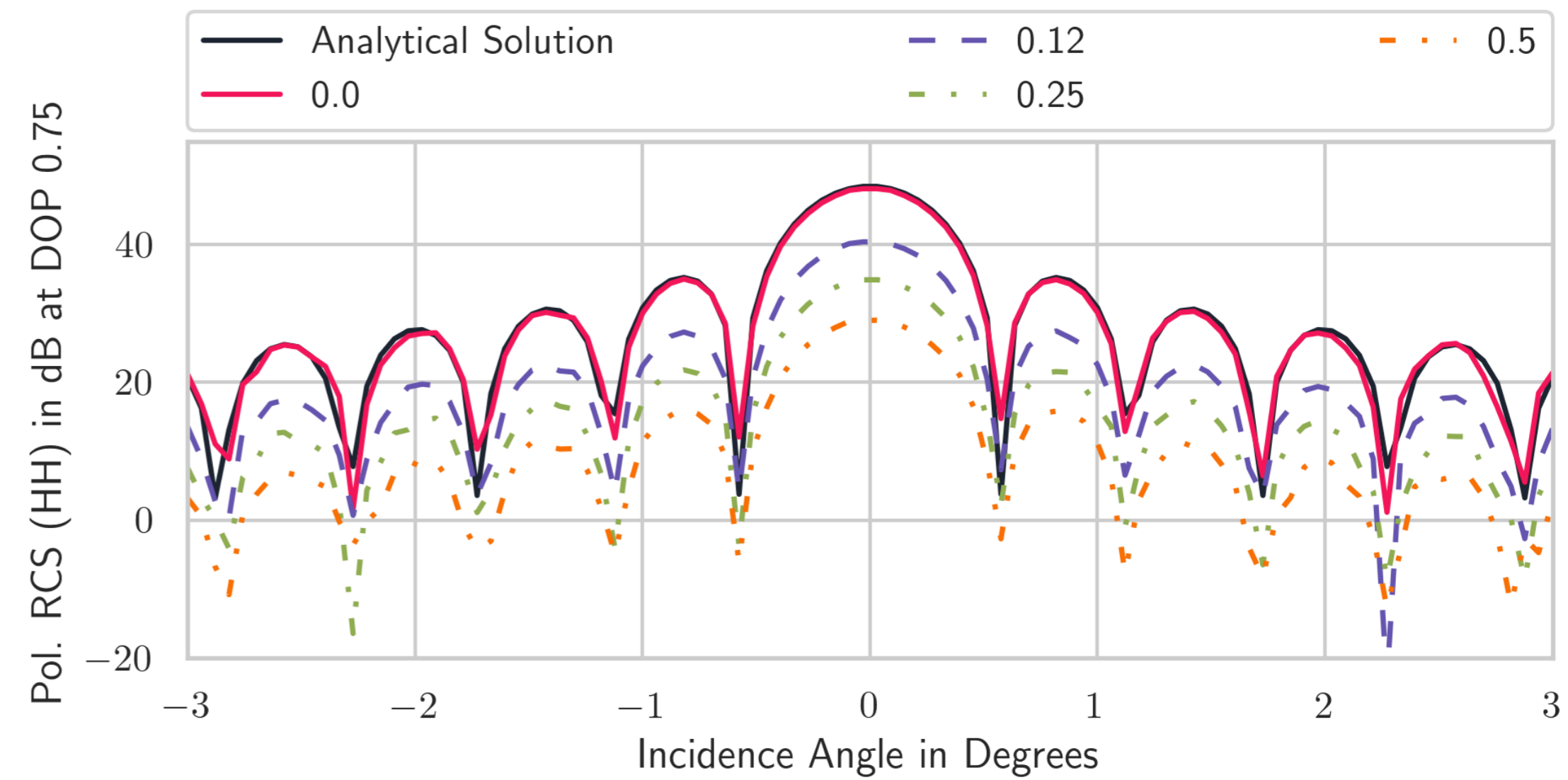
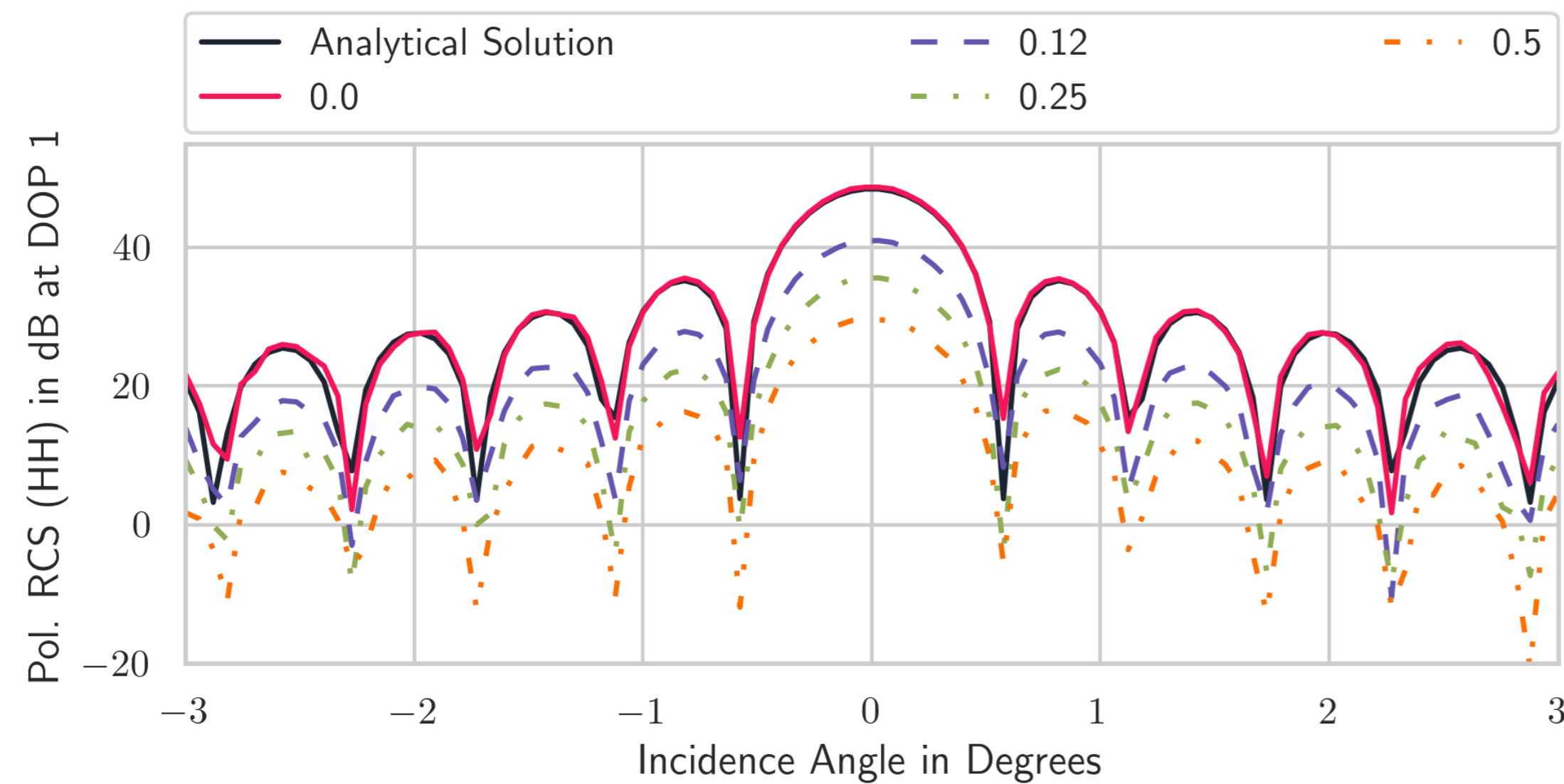
# First Results (With Roughness)

Difference between analytical solution and the polarized part of the wave



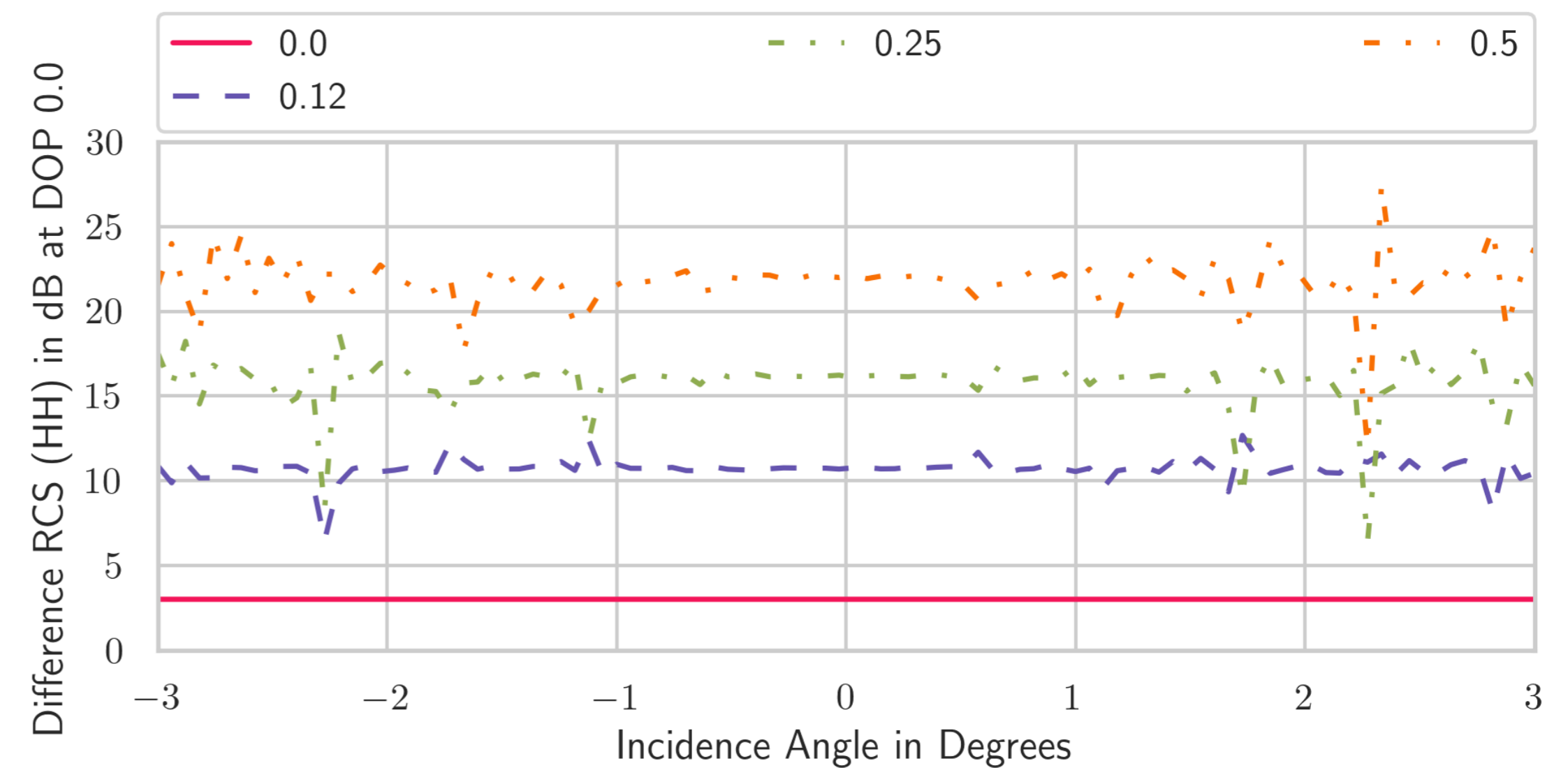
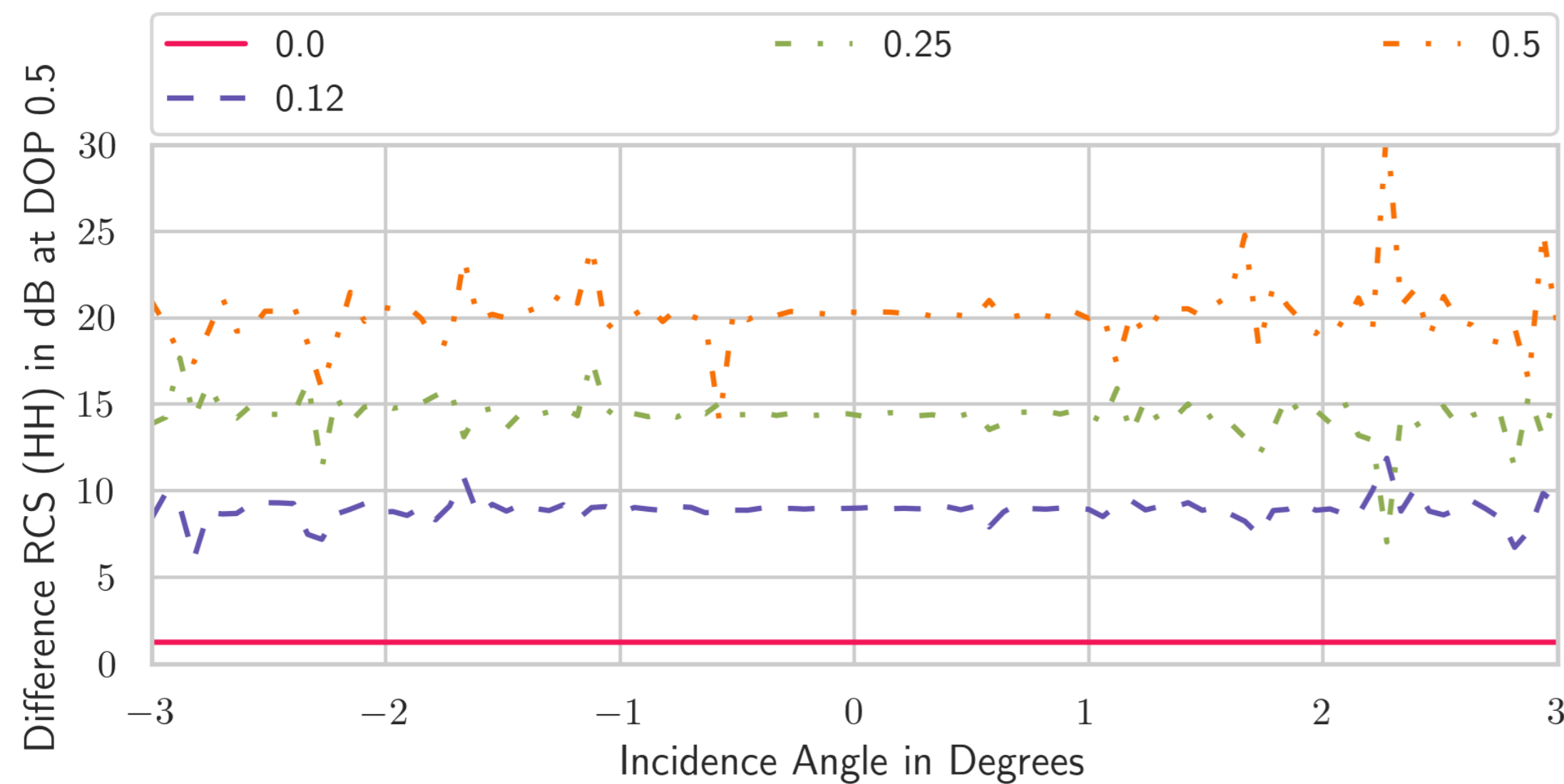
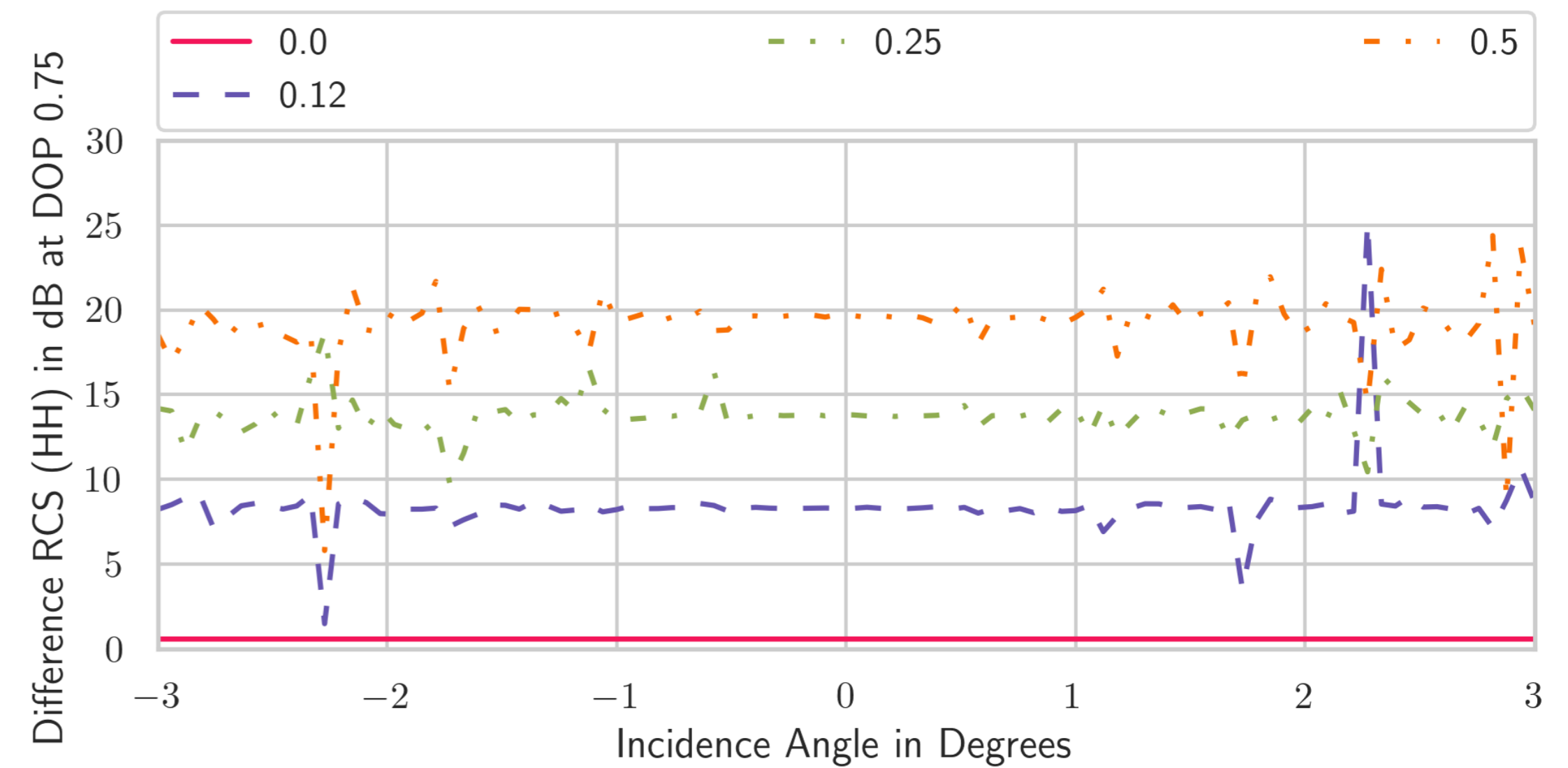
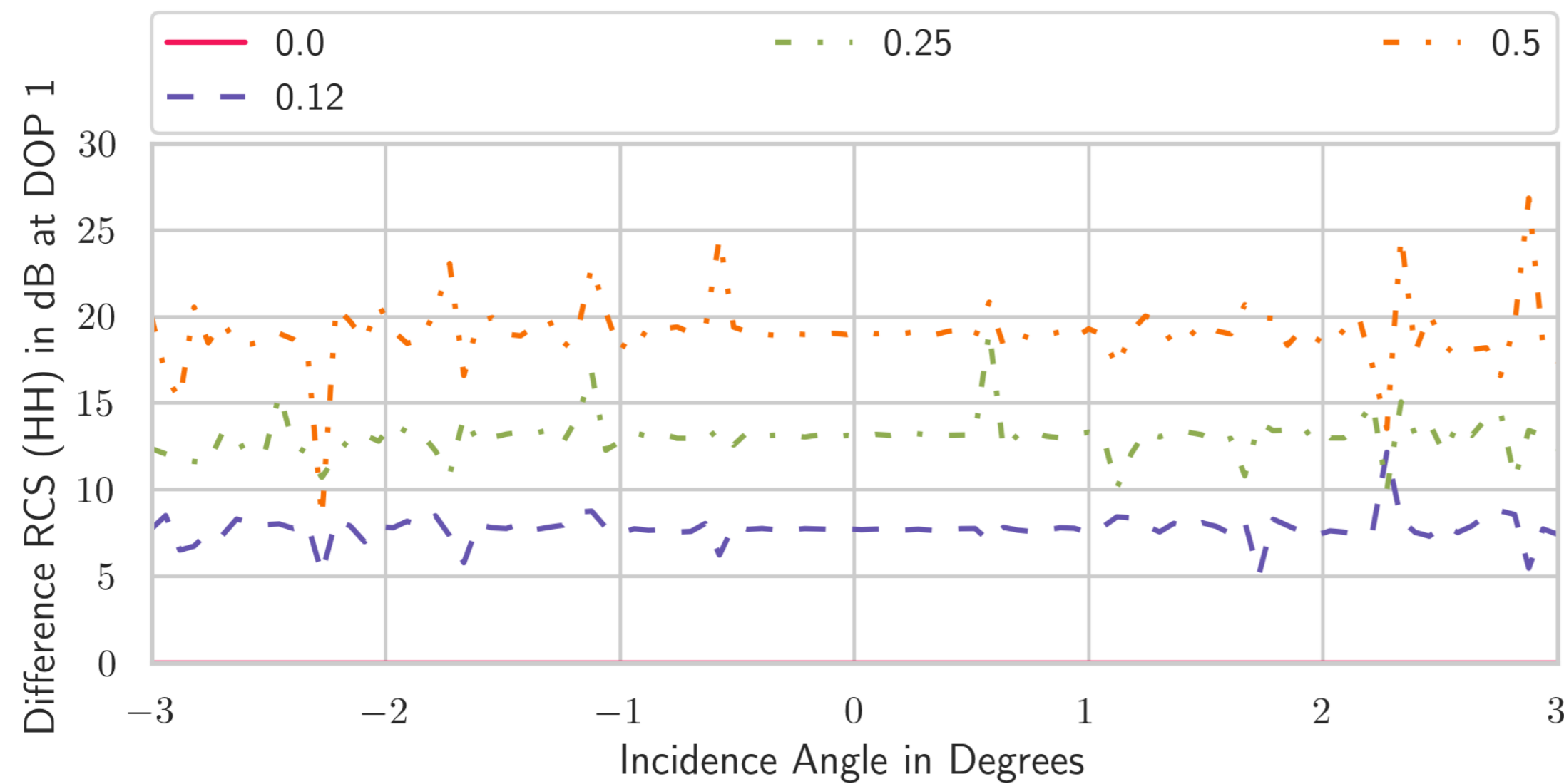
# First Results (With Roughness)

Polarized part of the wave at different  $\lambda/\text{RMS Height}$



# First Results (With Roughness)

Difference between analytical solution and the polarized part of the wave at different  $\lambda/\text{RMS Height}$



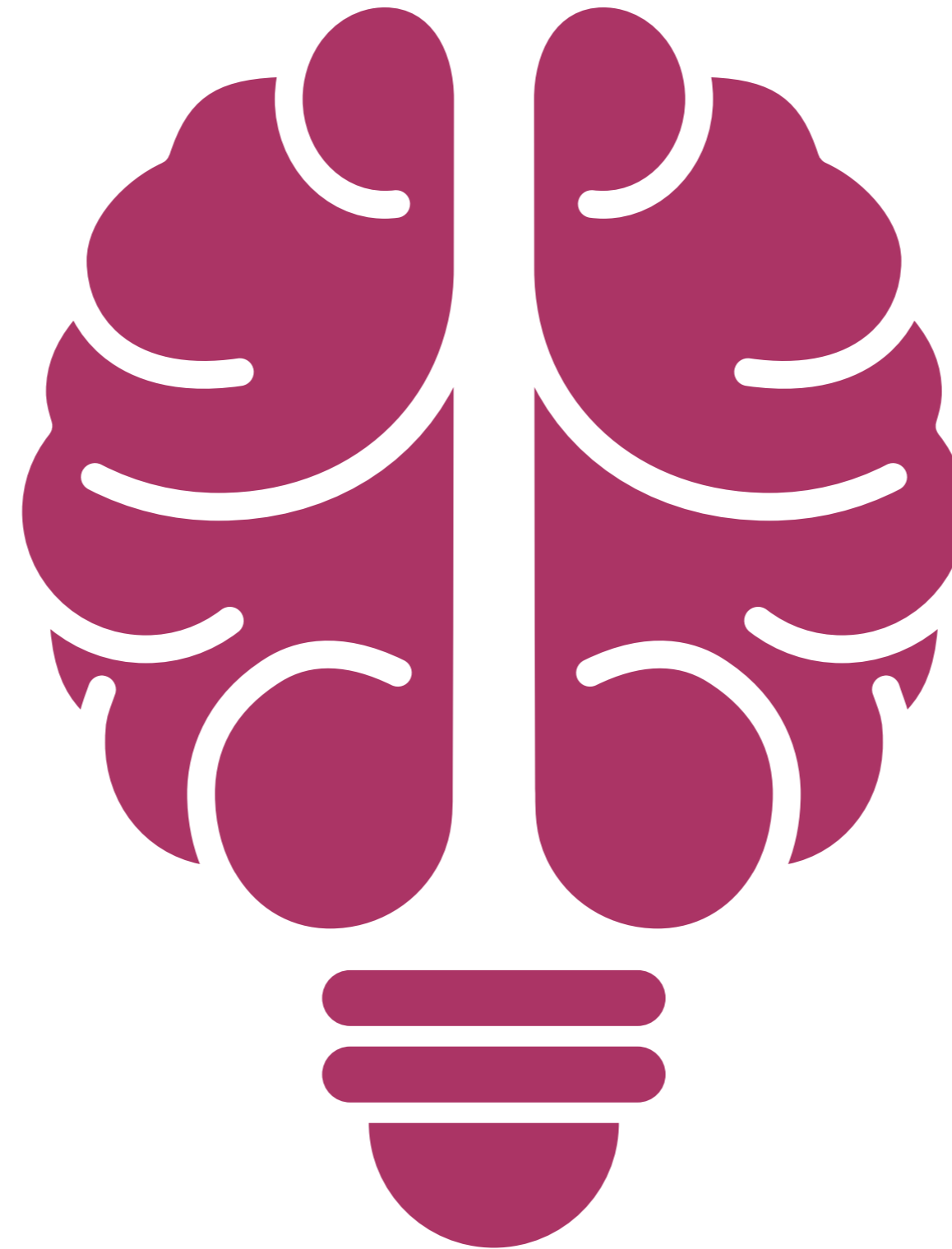
# Summary and Outlook

## Incoherent Fields

Modelling of incoherent fields within the simulations.

## SAR-Simulation

Modelling of entire SAR images and signals.



## Integrate into other Theories

Integrate the model in other Theories like SSA.

## Real-Time Simulations

Due to its efficiency, it could be capable of performing real-time simulations.

Our work here today doesn't just represent another step in advancing scientific knowledge. Rather, it is a stride towards a future where we can more accurately perceive, interpret and respond to the world around us.



Look up at the stars,  
not down at your feet.

Stephen Hawking

# Thank you for your Attention

A presentation at the International Geoscience and Remote Sensing Symposium 2023

**Autor**

Ismail Baris

German Aerospace Center  
Microwaves and Radar Institute

**Contact**

+498153 284685  
Ismail.baris@dlr.de  
<https://www.dlr.de/HR>

**Social Media**

[github.com/i.baris](https://github.com/i.baris)  
[researchgate.net/ismail-baris](https://researchgate.net/ismail-baris)