

# Advancing Blackmore's methodology to delineate management zones from Sentinel 2 images

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## Abstract:

Improving agricultural nitrogen management is one of the key objectives of the recent Green Deal in Europe. Current technological developments in agriculture offer new opportunities to improve nitrogen fertilization practices. The aim of this study was to adapt to Sentinel-2 data a proven delineation method initially developed for yield maps, in order to facilitate precise nitrogen management by farmers.

The study was conducted in two steps. Firstly, an analysis at annual level was conducted to assess the

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22 relationship between vegetation indices and yield at the subfield scale, for different sensing period.  
23 The second step consisted in performing a pluri- annual analysis through the delineation of  
24 management zones and compare the results achieved from yield maps and from NDVI maps.  
25 Among different vegetation indices, NDVI proved to be an interesting candidate for subfield detection  
26 of yield variation, specifically when the index was sensed was sensed around the second half of May.  
27 In this area, this period usually corresponds to phenological development between the flag leaf stage  
28 and heading stage, just prior the initiation of winter wheat flowering. Using NDVI maps within  
29 Blackmore's delineation approach instead of yield maps . allowed to reach an accuracy of 69% on zone  
30 classification. However, as yields and NDVI distribution do not respond to similar statistical  
31 distributions, we considered that the delineation threshold used to differentiate high from low yielding  
32 zones had to be adapted. The adaptation of the "performance threshold" in favor of the median NDVI,  
33 made it possible to achieve a higher accuracy (71%) of the delineation. But above all, the improvement  
34 lies also in a more robust satellite-based delineation.

35

36 **Keywords:**

37 Management zones, NDVI, spatio-temporal delineation, winter-wheat, Sentinel-2

## 38 **Introduction**

39 In Europe, high yielding crop productions are highly dependent on nitrogen (N) supplies (Jensen et al.  
40 2011). The inappropriate management or the excessive use of N contributes to both serious economic  
41 and environmental consequences (Fowler et al., 2013; Willett et al., 2019 ; Schulte-Uebbing et al.,  
42 2022 ; Alexander et al., 2023). Improving Nitrogen Use Efficiency (NUE) remains therefore a major  
43 objective of modern N fertilization methods in crop productions (Zhang et al., 2015). Despite  
44 substantial improvements of NUE along the past decades, actual N fertilization approaches still fail to  
45 contain losses of N under acceptable thresholds (Lassaletta et al., 2014). Indeed, current methods  
46 struggle to precisely grasp temporal and spatial variability of N requirements. Fertilization decisions  
47 based on past performances and taken ahead of unknown climate conditions are likely to lead to  
48 inefficient N management; yet, they remain a common practice. In Europe, Ray et al. (2015) reported  
49 31 to 51% yield variations due to interannual climate variability. Along growing periods, this  
50 interannual variability reveals large changes in weather conditions and crop N requirements in terms  
51 of quantities and dynamics (Gastal et al., 2015). Furthermore, substantial soil variability can be  
52 observed at the subfield scale, which will inherently react differently to climate conditions, and  
53 strengthen the uncertainty of fertilization practices (Delin et al., 2005). In this regard, the concept of  
54 management zone has been proposed as a suitable approach to improve both the economic and  
55 environmental aspects of fertilization (Basso et al., 2016).

56 In 2000, Blackmore proposed an interesting method to integrate both spatial and temporal dimensions  
57 in site-specific management of N (Blackmore, 2000). The method aims to delineate management zones  
58 (MZ) from yield maps time-series. Three types of zones are thus defined according to the yield level  
59 and its degree of stability. Unstable zones (U) are parts of the field where yield variations are strong  
60 over time. They oppose to stable zones that are subdivided according to their yield levels. Low and  
61 stable zones (LS) are parts of the field that always underperform compare to annual mean yields.  
62 Oppositely, high and stable zones (HS) are the stable part of the field where yield always outperform  
63 the annual mean yields. Methodology derived from Blackmore's approach were successfully used to

64 support better diagnostic of NUE at the subfield scale over large areas (Basso et al., 2019; Maestrini  
65 and Basso, 2018; Martinez-Feria and Basso, 2020) and, coupled to the use of crop model, used to  
66 improve management of N (Basso et al., 2013, 2011).

67 However, in its original form, the implementation of such method relies on yield monitoring. The  
68 complex use of yield maps has been reported through different researches (Lyle et al. 2014, Leroux et  
69 al. 2018). Moreover, in west-Europe, the rate of adoption of agricultural technologies remains limited.  
70 A recent study carried out in France, revealed although combine harvesters are increasingly equipped  
71 with yield monitors (nearly 30% by 2020), only a few farmers (around 1% of those who have equipped  
72 their combine) use their yield maps as part of their farm work (Lachia et al. 2020).

73 Alternatively, satellites images provide precise information able to estimate plant traits and estimate  
74 plant outcomes such as yield (Azzari et al., 2017; Hatfield et al., 2008; Revill et al., 2019; Vallentin et  
75 al., 2022). Sentinel-2 missions launched by European Space Agency, provide freely available images  
76 embedding useful wavelengths for agricultural applications (Delloye et al., 2018). To be informative  
77 and robust, sensing data must be processed and integrated into agronomic methods. In this regard,  
78 different Vegetation Indices (VI) have been developed to estimate plant biophysical variables such as  
79 Leaf Area Index (LAI) or chlorophyll content (Baret, 2016; Ollinger, 2011).

80 Most VIs used to estimate LAI, biomass and yield rely on Red and Near Infra-Red (NIR) wavelengths.  
81 The Red wavelengths are primarily absorbed by chlorophyll while NIR is scattered by leaf cell walls  
82 (Ollinger 2011). Among the vegetation indices commonly used on annual crops, NDVI, the Normalized  
83 Difference Vegetation Index (Tucker, 1974) is the most popular one. This index is related to plant vigor  
84 and often used to estimate photosynthetic activity or plant biomass through LAI. NDVI is known to  
85 saturate in high LAI (from LAI = 2) (Gitelson et al., 2003). To overcome the saturation issue, different  
86 vegetation indices were since proposed in the literature (Daughtry et al., 2000; Gitelson et al., 2003;  
87 Haboudane, 2004; Huete et al., 2002; Tucker, 1979).

88 Using supplementary wavelengths, found in the visible and the Red-edge part of the light spectrum,  
89 these indices were proposed to improve LAI estimation and by extension biomass and yield estimation

90 (Haboudane, 2004).

91 Since Sentinel-2 satellites were launched, giving access to high resolution images, only few studies  
92 have assessed the ability of VIs to detect the subfield yield variations (Hunt et al., 2019; Revill et al.,  
93 2019; Skakun et al., 2021). Yet, each of these studies reported interesting results on the ability of  
94 specific wavelengths, embedded in complex statistical models, to retrieve subfield yield variations.  
95 They highlighted the higher sensitivity of wavelengths such as NIR, Red-edge and Green over the Red  
96 to capture spatial variations of yield . They also revealed the added value of high-resolution sensing to  
97 detect spatial variations. So far, no consensus was reported on the superiority of wavelengths accuracy  
98 over their spatial resolution to optimally detect the yield spatial variations. In addition, the precision  
99 of VIs depends upon the crop, its environment (Diacono et al., 2013; Fang et al., 2019; Maestrini and  
100 Basso, 2018; Vannoppen and Gobin, 2021) and the growth stage at which the sensing occurs (Marti et  
101 al., 2007; Panek and Gozdowski, 2020).

102 In the context of West-Europe, there is a clear room to improve the management of nitrogen  
103 fertilization, notably through the concept of management zones. Provided it could be deployed at the  
104 large scale and adapted to the high yielding agronomic context of western Europe, Blackmore's  
105 approach might provide an important opportunity. However, large uncertainty remains on the ability  
106 of VIs sensed from Sentinel-2 images to replace yield data in Blackmore's delineation approach. In this  
107 regard, the present study aims to address the following research questions: (i) Which vegetation index  
108 and which timeframe of records are best suited to capture the subfield yield variations ? (ii) How to  
109 optimally implement Blackmore's delineation method using directly the information from vegetation  
110 index ?

## 111 **Materials and methods:**

### 112 **Study area**

113 The study was conducted on three farms situated in Belgium (Wallonia region) and France (Hauts-de-  
114 France region) (Table 1). Both regions have similar pedoclimatic and agronomic contexts. Soils are

115 characterized by their high productivity (deep soils characterized by high silk content). Climate is  
 116 temperate with annual rainfall ranging from 451 mm to 868 mm (Table 1). In both regions, winter  
 117 wheat is the main crop grown on arable land but regional crop rotations are also composed of barley,  
 118 rapeseed, potatoes, and sugar beet (Agreste 2020; SPW, 2022). In those countries, the most common  
 119 fertilization approaches consist in balancing annually the difference between soil N supply and crop N  
 120 demand with N fertilization (Dumont et al., 2015b; Machet et al., 2017; Meynard et al., 1997; Ravier  
 121 et al., 2016). Average amount ranges between 170 and 190 kgN/ha in the two above mentioned  
 122 regions (Agreste, 2019 ; EAW 2022).

123  
 124 *Table 1 : Features of the studied fields*

	Site A	Site B	Site C
<b>Localization</b>	Wallonia (Be)	Hauts-de-France (Fr)	Hauts-de-France (Fr)
<b>Soil type<sup>3</sup></b>	Stagnic albe-luvisol	Calcosol	Fluvisol
<b>Texture<sup>4</sup></b>	Loam	Clay-loam	Silt-loam
<b>Soil depth<sup>5</sup></b>	>125 cm	40 [25-85] cm	>100 cm
<b>Mean annual rainfall (mm)<sup>6</sup></b>	533 [451 – 721]	680 [530 - 717]	740 [666 - 868]
<b>Mean annual temperature (°C)<sup>3</sup></b>	10.3	11.1	11.3
<b>Average solar radiation (MJ.m<sup>-2</sup>.day<sup>-1</sup>)</b>	10.6	11.8	11.5
<b>Altitude (m)</b>	300	130	25
<b>Number of fields</b>	15	3	17
<b>Fields average surfaces (ha)</b>	5.9 [0.97 - 24]	9.8 [6.4 – 11.9]	9.7 [0.7 - 20.1]

125  
 126 **Collection and filtering of yield map data**  
 127 On the three farms, yield maps were collected between 2015 and 2021 on fields where winter wheat  
 128 was grown and uniform N fertilizations were supplied. Yield maps were acquired from three different  
 129 monitoring systems mounted on John Deere T560 (Site A), New Holland CX 7.80 (Site B) and Claas  
 130 Lexion 750 Terra Trac (Site C). In total, yield maps from 35 plots were included in the analysis. Yield  
 131 map records varied from one to three years due to different crop rotations; thus, of the 35 fields, 46

<sup>3</sup> GIS SOL 2019; Dumont et al. 2015

<sup>4</sup> Legrain & Block 2009

<sup>5</sup> Le Bas 2021

<sup>6</sup> Météo-France, IRM 2021

132 yield maps were available, for a total mapping of 312 ha. Of the 35 fields, only six had sufficient yield  
 133 map history to allow the delineation method to be implemented. Therefore, the initial stage of the  
 134 analysis was conducted on the 35 fields while analysis based on delineation was carried out on the six  
 135 fields only.

136 A two-step filtering procedure was performed on yield maps when raw data were accessible. The  
 137 filtering methodology was largely inspired by Leroux et al. (2018). The first filtering stage, considered  
 138 as a global outlier treatment is proposed by Lyle et al. (2014). As yield is estimated from the product  
 139 of grain flow entering the harvester and from machine speed, yield values corresponding to lower  
 140 cutting width than the maximum were removed. Yield values having an inter-record distance out of  
 141 the 90 % interval were also removed. Finally, from the pre-filtered data and to ensure data consistency,  
 142 only records in the 90 % yield confidence interval were conserved.

143 The second filtering stage is a local outlier treatment relying on a clustering approach (Leroux et al.  
 144 2018). The filtering algorithm was downloaded from Leroux (2020). Few adaptations were  
 145 implemented in the algorithm to include the anisotropic treatment of data suggested in Leroux et al.  
 146 2018. The performance of the two stages filtering is summarized in Table 2.

147

148 *Table 2 :Distribution and spatial features of yield maps before and after outliers' treatment*

	Yield performances					Spatial trends of yield	
	Removed records	Yield range (Mg.ha <sup>-1</sup> )	Mean yield (Mg.ha <sup>-1</sup> )	Spatial CV	Skewness	Sill	Range
<b>Raw data</b>	0	[0 - 50]	7.32	35%	- 0.70	1889	8455
<b>Global filtering</b>	-13%	[1.5 – 17.2]	7.78	21%	-0.57	2.49	361
<b>Global + local filtering</b>	-19%	[1.5 – 17.2]	7.86	19%	-0.49	2.28	77

149

## 150 **Satellite data collection**

151 Sentinel-2 images sensed from the Multi-Spectral Instrument (MSI) were downloaded from the  
 152 platform of the French scientific structure Theia (*Pôle Theia, 2022*). Only Sentinel-2A images were

153 available in 2016 while Sentinel-2B satellite was launched in 2017, which increased the revisit time up  
 154 to five days. Downloaded images from the Theia platform were already corrected with the MAJA  
 155 software (Hagolle et al., 2015), allowing access to images that were orthorectified, georeferenced (by  
 156 100 km x 100 km tiles) and benefited from atmospheric correction. This treatment corresponds to “2A  
 157 treatment” or “Bottom of Atmosphere (BoA)” correction. Only wavelengths with resolutions of 10 m  
 158 and 20 m were downloaded. Acquisition period was set, from 2016 to 2021, between the 1<sup>st</sup> of April  
 159 to 30<sup>th</sup> of June and only images with less than 70% of cloud coverage were selected to ensure maximal  
 160 availability of pixels during the period. Along each growing periods, satellite images were co-registered  
 161 using Arosics software (Scheffer et al. 2017). The 20 m resolution bands were automatically  
 162 downscaled by the software to a 10 m resolution using a cubic method. Each image available along the  
 163 years 2016 – 2021 was cropped using the different fields masks and combined with their corresponding  
 164 yield maps into data cubes.

165 Thereby, a yield map data cube contains the yield map and satellite images recorded at each available  
 166 sensing date. Missing pixels within the yield maps were used to filter corresponding pixels of satellite  
 167 images. On the contrary, missing pixels of the satellite images, within the boundaries of yield maps,  
 168 were conserved to guarantee the integrity of yield maps.

169  
 170 *Table 3: Number of downloaded images from Theia platform per years and months*

Year	April	May	June	Total
2016	1	2	2	5
2017	2	3	1	6
2018	2	3	2	7
2019	4	2	2	8
2020	7	11	4	22
2021	4	2	8	14
<b>Average</b>	3	4	3	

171

## 172 **Vegetation indices**

173 Vegetation indices were computed from Sentinel-2 images to assess their ability to retrieve subfield  
 174 yield variations. Obviously, being the most popular one, the NDVI - Normalized Difference Vegetation

175 Index – proposed by Tucker (1974) was assessed. However, although widely used, NDVI is known to  
 176 saturate at high LAI, which would limit its accuracy in finely detecting yield subfield spatial variations  
 177 (Haboudane, 2004). To overcome this limit, various indexes have been proposed (Darra et al. 2023).  
 178 The Enhanced Vegetation Index (EVI) has been introduced as it was less sensitive to saturation (Ibid).  
 179 EVI embed NIR and Red wavelengths, similarly as NDVI, but introduces blue wavelength and a  
 180 correction coefficient to limit the saturation effects (Huete et al., 2002). Different vegetation indices  
 181 using similar wavelengths as NDVI, namely OSAVI (Daughtry et al. 2000) and MSAVI (Qi et al.1994)  
 182 were tested in early stage of the study. As Spearman correlation were used (cf. Statistical analysis  
 183 section), these VI yielded very similar results as NDVI and were not kept further. The Modified  
 184 Chlorophyll Absorption in Reflectance Index (MCARI) was developed to detect subtle changes in  
 185 chlorophyll variations (Daughtry et al. 2000). It was expected that chlorophyll content would indicate  
 186 the vigor state of the crop which would result in yield differences at the subfield scale. Improvements  
 187 of this index were proposed by Haboudane (2004) to create new LAI sensitive indices (MCARI 1 and  
 188 MCARI 2).

189 *Table 4: Equations of the vegetation indices used in the study. By default, NIR corresponds to the wavelength*  
 190 *sensed at 833 nm and Red-edge corresponds to the wavelength sensed at 704 nm.*

Index	Equations	Spatial resolution (m)	Source	
NDVI	$\frac{(NIR - Red)}{(NIR + Red)}$	10	Tucker 1979	(1)
EVI	$2.5 \times \frac{(NIR - Red)}{((NIR + 6 \times Red - 7.5 \times Blue) + 1)}$	10	(Huete et al., 2002)	(2)
MCARI	$(Red-edge - Red) - 0.2 \times (Red-edge - Green) \times \left( \frac{Red-edge}{Red} \right)$	20	Daughtry et al. 2000	(3)
MCARI1	$1.2 \times (2.5 \times (NIR - Red) - 1.3 \times (NIR - Green))$	10	Haboudane 2004	(4)
MCARI2	$\frac{1.5 \times 2.5(NIR - Red) - 1.3(NIR - Green)}{\sqrt{(2NIR + 1)^2 - (6NIR - 5\sqrt{Red})} - 0.5}$	10	Haboudane 2004	(5)
Clgreen	$\frac{NIR}{Green} - 1$	10	(Gitelson et al., 2003)	(6)
Clrededge	$\frac{NIR_{865}}{Rededge} - 1$	20	(Gitelson et al., 2003; Revill et al., 2020)	(7)

191  
 192 The Chlorophyll Indices based on Red-edge wavelength ( $Cl_{rededge}$ ) and Green wavelength ( $Cl_{green}$ ) were  
 193 also developed for chlorophyll detection (Gitelson et al. 2003). They were used by (Revill et al., 2020)

194 to detect subfield spatial variations in of wheat yields and obtained interesting results compare to  
 195 NDVI. All vegetation indices were systematically computed on each satellite images used in this study.

196

### 197 **Blackmore's approach of management zones delineation**

198 The methodology proposed by Blackmore (2000) was used to delineate management zones. This  
 199 approach considers both spatial (eq. 8 and eq. 9) and temporal (eq. 10) yield variations.

$$s_i = \left( \frac{y_i}{\bar{y}} \right) \times 100 \quad (8)$$

$$\bar{s}_i = \frac{\sum_{t=1}^n s_{i_t}}{n} \quad (9)$$

$$CV_i = \frac{\left( \frac{\sum_{t=1}^n s_{i_t}^2 - \left( \sum_{t=1}^n s_{i_t} \right)^2}{n(n-1)} \right)^{0.5}}{\bar{s}_i} \times 100 \quad (10)$$

200 Where  $s_i$  is the standardized yield ( $y$ ) on location  $i$ ,  $\bar{y}$  is the annual mean yield of the field,  $\bar{s}_i$  the average  
 201 of standardized yield over  $n$  years on each location  $i$ ,  $t$  is one of  $n$  years considered in the delineation.

202  $CV$  is the temporal coefficient of variation.

203 Once computed,  $\bar{s}_i$  and  $CV_i$  are opposed to thresholds to classify each field pixel in one of the three  
 204 categories, leading to the management zones (Table 5). The thresholds are conserved as in the original  
 205 method proposed by Blackmore (2000). When  $CV_i$  is below the threshold of 30%, the pixel is classified  
 206 in the "stable" part of the field; above the 30% threshold, the pixel is considered "Unstable" (U). Among  
 207 stable locations,  $\bar{s}_i$  above the 100% threshold is considered High, leading to "High and Stable" (HS)  
 208 zones, while pixels under the 100% threshold are considered Low, leading to the "Low and Stable" (LS)  
 209 zones (Blackmore 2000). Thus, each field can be delineated in three types of management zones,  
 210 namely the HS, LS and U. At least three years of record, that is three yield maps or satellite maps, are  
 211 required to run the algorithm.

212 The original methodology, *i.e.*, using the annual mean yield  $\bar{y}$ , was implemented from yield map  
 213 records. Adaptation of the methodology to substitute yield information by the information originating

214 from vegetation index will be proposed in this paper.

215 *Table 5 : Classification of management zones according to a threshold of performance (first condition) and interannual*  
216 *variability (second condition). The table is inspired by Blackmore 2000.*

Management zone	First condition	Second condition
HS (High & Stable yielding zone)	$\bar{s}_i > 100$	$CV_i < 30$
LS (High & Stable yielding zone)	$\bar{s}_i < 100$	$CV_i < 30$
U (Unstable yielding zone)		$CV_i > 30$

217

## 218 **Statistical analyses**

### 219 **Selecting a vegetation index to optimally retrieve annual yield variations**

220 A benchmark was performed between the different vegetation indices to assess their ability to catch  
221 the yield variations. Compared vegetation indices were systematically computed on the same satellite  
222 images for each field and sensing date so we assumed a constant spatial structure. The benchmark was  
223 focused on the attribute variations of the indices to assess the sensitivity of each index. As there is no  
224 special evidence of linear relationship between VI's and yield maps, Spearman rank correlation  
225 between the yield maps and the vegetation indices were preferred over Pearson's. They were  
226 computed from the related satellite images of the data cubes.

227

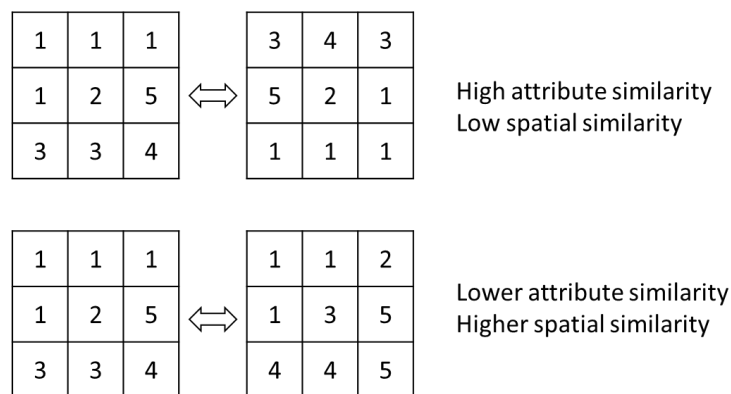
### 228 **Optimal sensing window**

229 After identification of the most suited vegetation index (VI) to capture the yield variations, an optimal  
230 sensing window was searched for. Indeed, as they rely on different crop biophysical traits that change  
231 spatially and temporally, VIs are also expected to vary along the growing period. As the central  
232 objective of the study was to substitute yield maps in Blackmore's delineation method, we searched  
233 for the sensing period that optimally retrieved the spatial yield variation. To do so, Moran's global  
234 index was first used to assess the spatial structure of data and exclude problematic data from analysis,  
235 while Moran's I bivariate and Spearman correlation were referred to actually identify optimal sensing  
236 windows. Procedures are detailed here below.

237 Among the satellite images sensed every year, a maximal cloud cover of 70% was tolerated. However,  
238 the cloud cover might differently affect VI maps across fields and/or sensing dates. As a result, for a  
239 given field, the annual spatial structure of the VI-maps would change along the period according to  
240 missing pixels (the one removed due to cloud cover). To detect potentially non-comparable images,  
241 each yield map was associated in stacks with each of the related VI maps acquired during the detection  
242 period. From the created stacks, the missing pixels from each VI-map were used to filter those pixels  
243 from the yield maps. By doing so, different filtered versions of each yield map were obtained for each  
244 field and year.

245 Moran's global index (I) was then computed on each version of the yield map to assess the spatial  
246 structure differences among the versions of the yield maps. When a large difference in Moran's I value  
247 was observed between different versions of the filtered yield map, the stacks having larger difference  
248 than a given threshold were excluded from the analysis, considering that they would bring a bias. This  
249 threshold was set as a positive or negative variation larger than 0.3 on standardized Moran's I values.  
250 The standardization was carried out per field and year removing the mean and dividing by the standard  
251 deviation each Moran's I value. The conserved maps were considered spatially comparable as they  
252 would offer a sufficiently similar yield map spatial structure.

253 Ultimately, both Spearman rank correlation and local Moran's I bivariate were computed between  
254 conserved yield maps and each of their related VI-maps to identify the best sensing window. Spearman  
255 correlation analysis only consider the relationship between satellite-based map and yield map through  
256 attribute information. However, the relationship can also be affected by the spatial distribution of each  
257 variable. This issue is illustrated in Fig. 1. Local Moran's I bivariate allow to measure the relationship  
258 between one variable and the average value of a second variable's neighborhood (Anselin et al., 2010).  
259 Moran's indices were ranked per year and field. Then, within the different two- weeks subperiods, the  
260 frequencies of each of the three first ranks were computed and expressed as a percentage of the total  
261 appearance of the rank over the whole season.



*Fig. 1 Graphical representation of heterogeneity analysis on geographic and attribute spaces*

263

#### 264 Accuracy assessment of the satellite based-delineation

265 Across the cleaned database of yield maps, it was possible to implement Blackmore's delineation on  
 266 six fields, for which at least three yield maps were available. The delineation was computed both from  
 267 yield maps and from the related VI maps. Based upon prior results gained, maps of the most adapted  
 268 VI and optimal sensing window were used at this step. An exception occurred in 2016; most satellite  
 269 images being affected by cloud coverage VI maps the most correlated to yield maps, regardless of the  
 270 sensing window, were selected.

271 To compute the delineation, both yield maps and VI maps were already projected on a common field  
 272 grid with a resolution of 10 m, which was the coarser resolution between yield maps and satellite  
 273 images.

274 On three out of the six fields, yield maps were not available over the period 2017 – 2018 due to records  
 275 problem, while Sentinel-2 images were only available starting 2016. For those fields, considering that  
 276 Blackmore's method of delineation should be robust over years, yield maps recoded in 2015 and  
 277 Sentinel-2 images sensed in the period 2017 – 2018 were used (**Table S8**).

278 To assess the accuracy of the satellite-based delineation, 3 x 3 confusion matrices were computed on  
 279 each field between both yield map-based and VI based delineation (**Fig. 2**) (Tharwat, 2021). The  
 280 confusion matrices report the number of pixels belonging to each management zones that were  
 281 correctly or incorrectly classified.

		Satellite-based management zones		
		HS	LS	U
Yield map-based management zones	HS	$TP_{HS}$	$I_{LS-HS}$	$I_{U-HS}$
	LS	$I_{HS-LS}$	$TP_{LS}$	$I_{U-LS}$
	U	$I_{HS-U}$	$I_{LS-U}$	$TP_U$

Fig. 2 : Confusion matrix used to assess the accuracy of satellite-based delineation. 'TP' refers to True Positive (the correctly classified pixels), 'I' refers to incorrectly classified pixels.

282  
 283 From these confusion matrices, different metrics were calculated, namely the accuracy, the specificity,  
 284 and the sensitivity (Makowski et al., 2009). The accuracy (example for HS accuracy is provided eq. 11)  
 285 measured the part of correctly classified pixels among all pixels (each management zones included).  
 286 The specificity (eq. 12) measured the proportion of pixels that were correctly not classified in a  
 287 management zone (equation for HS specificity is provided eq. 5). The sensitivity (eq. 13) measured the  
 288 proportion of correctly classified pixels among the pixels classified in the same management zone  
 289 (from satellite images - horizontally on **Fig. 2**). The confusion matrices and the different metrics were  
 290 computed with the R package "caret" (Kuhn, 2008).

$$\text{Accuracy (HS)} = \frac{TP_{HS} + TP_{LS} + TP_U + I_{LS-U} + I_{U-LS}}{TP_{HS} + TP_{LS} + TP_U + I_{LS-U} + I_{U-LS} + I_{LS-HS} + I_{U-HS} + I_{HS-LS} + I_{HS-U}} \quad (11)$$

$$\text{Specificity (HS)} = \frac{TP_{LS} + TP_U + I_{LS-U} + I_{U-LS}}{TP_{LS} + TP_U + I_{LS-U} + I_{U-LS} + I_{LS-HS} + I_{U-HS}} \quad (12)$$

$$\text{Sensitivity (HS)} = \frac{TP_{HS}}{TP_{HS} + I_{LS-HS} + I_{U-HS}} \quad (13)$$

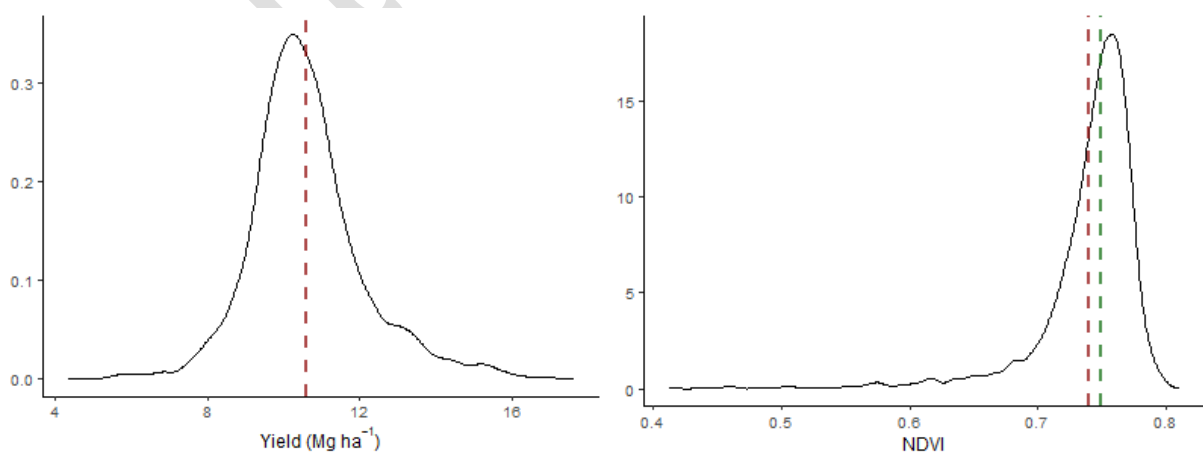
291 **Adaptation of Blackmore’s algorithm to satellite data**

292 Blackmore’s delineation method was initially elaborated to classify yield data. The statistical principles  
293 involved in the delineation proposed by Blackmore assumes normally distributed data. In Blackmore’s  
294 algorithm, pixels are classified each year according to the mean yield value of the field (Eq. 8). An  
295 example of yield distribution gained on one of this study’s field is provided in Fig 3 (left panel). The  
296 distribution of NDVI data (optimal sensing time) corresponding to the same field is presented at **Fig. 3**  
297 – right panel.

298 No evidence of a symmetry between both the yield and NDVI shape distributions can be observed on  
299 **Fig. 3**. In that sense, the mean NDVI would not correspond to the mean yield. Consequently, mean  
300 NDVI would not classify sub-field zones similarly if used instead of mean yield. In non-normal  
301 distribution, the median is often considered a better estimator than mean.

302 To identify the optimal NDVI threshold splitting distributions in ranges of values leading to low and  
303 stable, high and stable or unstable yield zones, various NDVI thresholds were assessed around (1) the  
304 mean and (2) the median of NDVI’s distributions, up to plus or minus 0.10, with a 0.01 step.

305 New confusion matrices were then computed for each potential thresholds drawn from the mean and  
306 from the median with the aim to identify the optimal value from the derived accuracies and adapt  
307 NDVI-based delineation.



308  
309 *Fig. 3: Example of yield (left panel) and NDVI (right panel) aggregated distributions from different fields available in*  
310 *2019. Yield followed a normal-like distribution while NDVI followed a beta distribution. Red dotted lines represent*  
311 *the distribution mean and green dotted line (right panel only) represents the distribution median.*

## 312 **Software used**

313 Data treatment and statistical analyses were performed with R software (version 4.2.3), QGIS (version  
314 3.22) and Python (version 3.9). Specifically, satellite images were treated from Python and the package  
315 Arosics. Data treatment and visualization were done with R packages dplyr (Wickham et al., 2023a),  
316 tidyr (Wickham et al., 2023b), lubridate (Grolemund and Wickham, 2011), sf (Pebesma, 2018) and  
317 ggplot2 (Wickham, 2016). Data analysis was performed with sfdep (Parry, 2022) and caret (Kuhn,  
318 2008).

## 319 **Results :**

### 320 **Benchmarking the vegetation indices and sensing windows**

321 Maps of vegetation indices (VI) were computed on the 35 fields of the study at each sensing date  
322 available during the period (1<sup>st</sup> of April – 30<sup>th</sup> of June) between 2016 and 2021. From maps of VIs and  
323 yield maps, Spearman rank correlations ( $\rho$ ) were calculated. Annual VIs correlations distributions are  
324 displayed in **Fig. 4**.

325 Regardless the VI considered, correlation levels changed from year to year. In 2017 and 2020,  
326 correlations were the highest with in average  $\rho_{2017} = 0.49$  and  $\rho_{2020} = 0.37$ . Also, the year 2020  
327 gathered the greatest number of satellite images over the season (**Table 3**). In 2016, 2018, 2019 and  
328 2021, Spearman correlations ranged on average from 0.19 to 0.37. Among VIs, EVI, MCARI, MCARI1  
329 and MCARI 2 systematically underperformed compared to NDVI (**Fig. 4**). From the above-mentioned  
330 VIs, MCARI2 correlations were the closest to those of NDVI. However, interannual median correlations  
331 of MCARI2 ( $\rho_{\text{median}} = 0.33$ ) remained lower than the median correlations of NDVI ( $\rho_{\text{median}} = 0.39$ ).  
332 Only  $CI_{\text{green}}$  and  $CI_{\text{rededge}}$  succeeded to reach as high correlations as NDVI. All year included, the median  
333 correlations of  $CI_{\text{green}}$  and  $CI_{\text{rededge}}$  were respectively 0.39 and 0.36, comparable with NDVI ( $\rho_{\text{cmedian}} =$   
334 0.39). Their 3<sup>rd</sup> quantiles correlation ( $CI_{\text{green}} : \rho_{\text{q3}} = 0.54$  ;  $CI_{\text{rededge}} : \rho_{\text{q3}} = 0.53$ ) were slightly higher  
335 or equivalent to those of NDVI ( $\rho_{\text{q3}} = 0.53$ ). In 2016, 2017 and 2020,  $CI_{\text{green}}$  obtained slightly higher  
336 median correlations with yields ( $CI_{\text{green}} : \rho_{2016} = 0.32$  ;  $\rho_{2017} = 0.60$  ;  $\rho_{2019} = 0.49$ ) than NDVI (NDVI

337 :  $\rho_{2016} = 0.28$  ;  $\rho_{2017} = 0.57$  ;  $\rho_{2019} = 0.46$ ). This trend was only visible at each date along the sensing  
 338 period in 2017 whereas the superiority of CI green on NDVI largely depended on the sensing dates in  
 339 2016 and 2020 (**Fig. S3**).

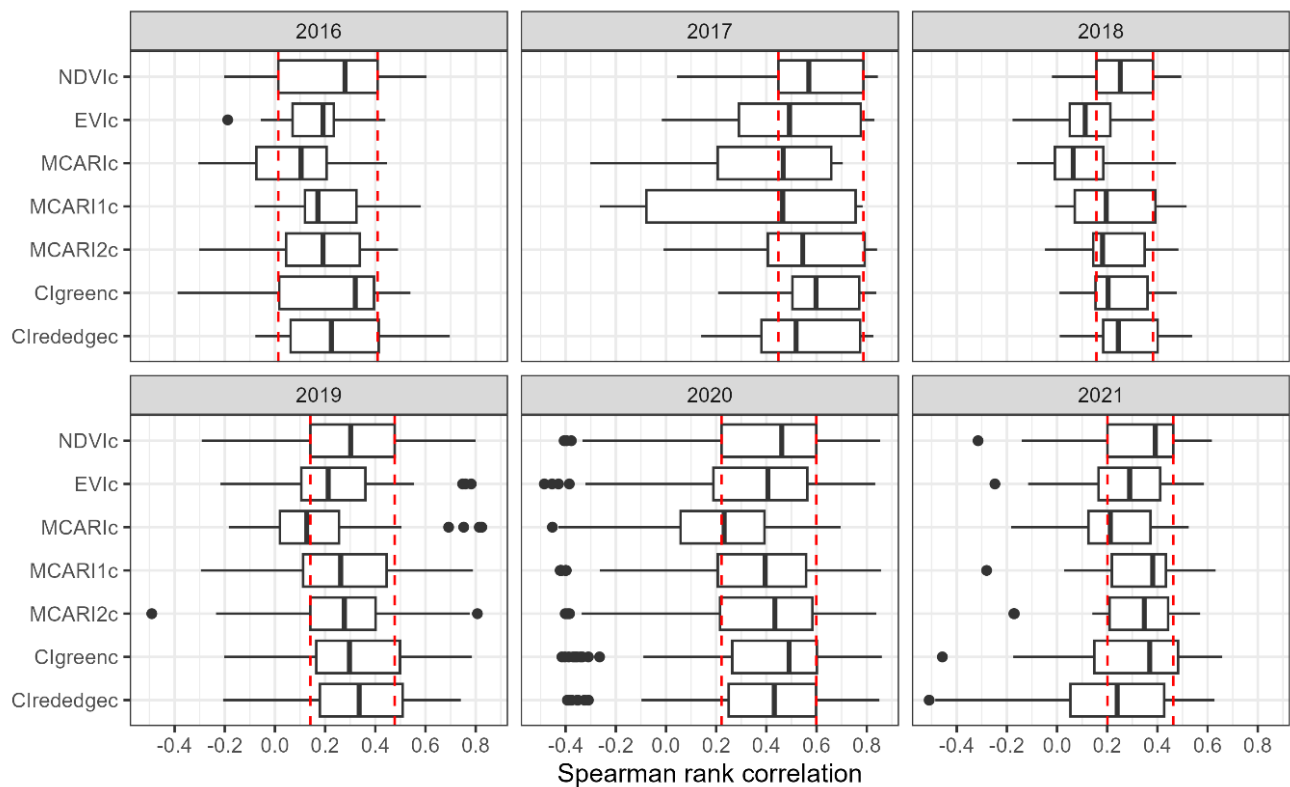


Fig. 4: Spearman correlation distribution computed on each sensing date between yield and vegetation indices on the 35 fields. Vertical dotted lines represent the first and third quartile of NDVI correlation distributions observed each year.

340  
 341  $CI_{rededge}$  obtained higher median correlation than NDVI in 2019 only ( $CI_{rededge}$  :  $\rho_{2019} = 0.33$ ; NDVI:  
 342  $\rho_{2019} = 0.29$ ). Again, this global observation was nuanced at specific dates along the season (Fig S3).  
 343 Also, in 2021, 25% of  $CI_{green}$  correlations were lower than the 1<sup>st</sup> quartile distribution of NDVI  
 344 correlations ( $CI_{green}$   $\rho_{q1} = 0.14$  ; NDVI :  $\rho_{q1} = 0.20$ ).  $CI_{rededge}$  underperformed the 1<sup>st</sup> quartile  
 345 correlation of NDVI in 2017 and 2021 ( $CI_{rededge}$  :  $\rho_{q1} = 0.05$  and  $\rho_{q1} = 0.38$  ; NDVI :  $\rho_{q1} = 0.20$  ;  $\rho_{q1} = 0.44$ ). Overall, the three indices obtained very similar correlations (**Fig.4**).  
 347 On the 302 correlations performed between the different yield maps and NDVI computed at the  
 348 sensing dates, 35 were negative and occurred every year except 2017. These negative correlations  
 349 mainly appeared at the end of the sensing period; few fields also obtained negative correlations during

350 most of the period in 2020 (**Fig. S3**).

351 The autocorrelation analysis performed on the yield maps of the different stacks is displayed on **Fig. 5**  
352 for years 2016, 2019 and 2020 (complete figure is available in **Fig. S1**). Spatial structures did not show  
353 any irregularities for year 2017, 2018 and 2021.

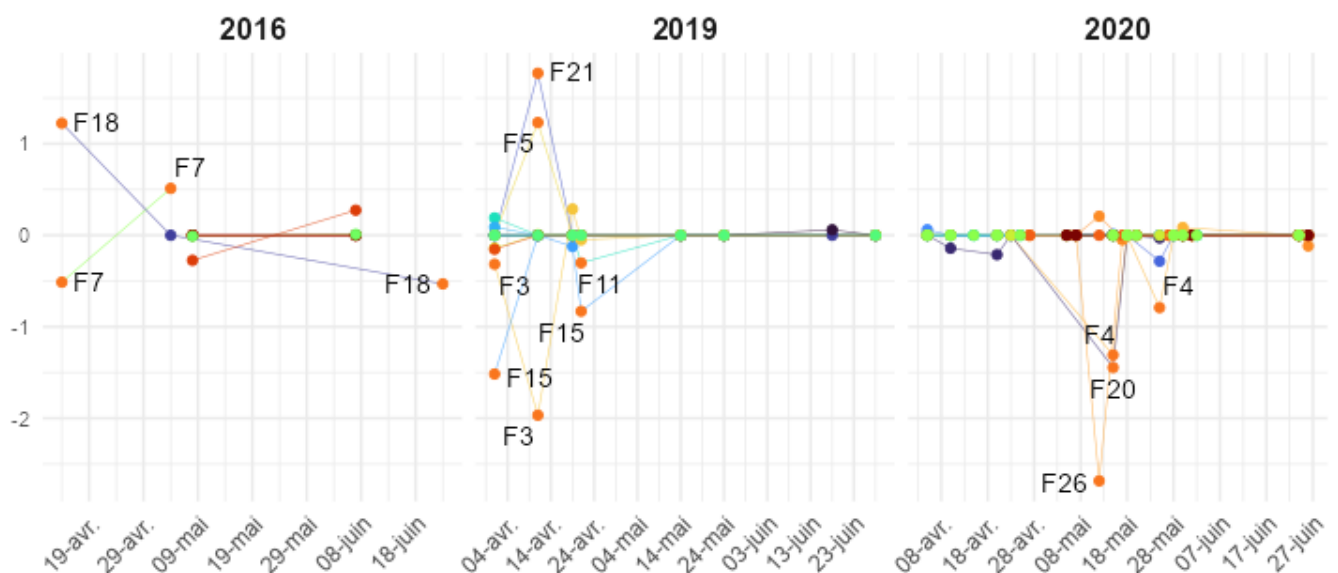


Fig. 5: Moran's I computed on yield maps filtered from the corresponding satellite images and their available pixels. F1 to F35 are the different fields of the study.

354 Due to cloud coverage, the yield maps filtering implemented from missing pixels of the different  
355 satellite images changed the yield map spatial structures on 20 combinations of field and date. In total,  
356 12 fields were affected by these detection issues (**Fig. 5**). Changes in the spatial structure occurred in  
357 2016 but only few satellite images were available due to global high cloud cover all along the sensing  
358 period. Early April 2019, from 11<sup>th</sup> to 21<sup>th</sup>, cloud coverage strongly affected the maps spatial structures  
359 which involved six fields . In 2020, sensing was affected during the middle two-weeks of May (F5, F23,  
360 F30). In 2019 and 2020, affected satellite images were excluded from the rest of the analysis.

361 From the remaining satellites images, a correlation analysis completed by a local bivariate  
362 autocorrelation analysis were performed between each yield map and their related maps of NDVI. The  
363 correlation analysis is summarized at **Table 6**. Minimum, median, and maximum correlations obtained  
364 from the different fields were reported per month's quarters (approximately a week). Periods when

365 no correlations were provided correspond to cloud cover superior to the 70 % threshold. From 2016  
 366 to 2021, every week was at least once affected by cloud cover with no available image. It is important  
 367 to note that the reported correlations in each subperiod correspond to fields where the number of  
 368 available images changed each year. This would influence the conclusion derived from **Table 6**.

369 *Table 6: Spearman correlations performed between yield maps and their related maps of NDVI summarized per month*  
 370 *quarters over the sensing period and from 2016 and 2021. Bold values are the maximal median correlations along the sensing*  
 371 *periods. Minimum (min), median (med) and maximum (max) values are displayed for each subperiod. The average correlation*  
 372 *is the average median correlation for each subperiod.*

		April				Mai				June				Number of fields
		01-07	08-14	15-21	22-30	01-07	08-14	15-21	22-30	01-07	08-14	15-21	22-30	
<b>Correlations</b>														
2016	Min	0.35				0.39	0.01			-0.20				8
2016	Med	0.35				<b>0.47</b>	0.23			-0.07				
2016	Max	0.35				0.54	0.49			0.47				
2017	Min	0.55		0.46		0.26		0.40		0.04				2
2017	Med	0.57		0.58		0.53		<b>0.64</b>		0.46				
2017	Max	0.58		0.68		0.77		0.84		0.84				
2018	Min	0.18		0.14		-0.02		0.16		0.19		0.04		3
2018	Med	0.34		<b>0.36</b>		0.15		0.27		0.32		0.36		
2018	Max	0.39		0.47		0.29		0.35		0.49		0.46		
2019	Min	0.02	-0.23	0.08	0.09	0.20		-0.13		0.09		-0.78		13
2019	Med	0.23	0.34	0.34	0.32	0.38		<b>0.40</b>		0.19		0.30		
2019	Max	0.72	0.73	0.80	0.76	0.66		0.58		0.29		0.62		
2020	Min	-0.31	-0.38	-0.40	-0.41	0.13	-0.31	-0.33	-0.19	0.18		0.08		16
2020	Med	0.11	0.19	0.22	0.28	0.50	0.36	0.41	0.47	<b>0.55</b>		0.53		
2020	Max	0.45	0.50	0.60	0.65	0.81	0.83	0.76	0.85	0.85		0.81		
2021	Min	0.45		0.13		0.19		0.21		0.05	-0.31	0.51		5
2021	Med	<b>0.51</b>		0.29		0.27		0.29		0.25	0.18	0.51		
2021	Max	0.59		0.62		0.48		0.57		0.43	0.25	0.51		
Average		0.20	0.32	0.39	0.30	0.36	0.37	0.27	<b>0.40</b>	0.32	0.35	0.11	0.33	

373  
 374 To overcome this limitation, median correlations of each field at each sensing date were normalized  
 375 per field and year and displayed in **Fig. S2 | top panel**. The years 2019 and 2020 gathered the highest  
 376 number of yield maps and available sensing dates while in average, only two satellite images were  
 377 available per field in 2016. Overall, in 2017, correlation levels were the highest but relied on two fields  
 378 only (**Table 6**). The highest median correlations, marked in bold on **Table 6**, were usually observed at  
 379 two subperiods: during the third week of April and during May, mainly at the end of the month. While  
 380 a global drop of the median correlations was observed after the end of May, this decrease was not  
 381 observed on all fields. The maximum observed correlations remained high during June from 2017 to

382 2020 while very low or negative correlations were also reported, indicating large fields differences  
 383 within the trend (**Table 6**). The correlation drop was also visible from normalized correlations (**Fig S2|**  
 384 **top panel**).

385 The bivariate autocorrelation analysis was implemented on the same variables as the correlation  
 386 analysis. It resulted in Moran's I value ranging between -1 and 1. Under Moran's statistics, values the  
 387 closest to "1" indicate a similar spatial structure between the two analyzed variables, here subfield  
 388 yield and NDVI distributions. On each field, Moran's I bivariate were computed along each sensing  
 389 period and averaged per two-weeks period. Results are reported in **Table 7**. Similarly, to the correlation  
 390 analysis, the best autocorrelations were found in the second part of May.

391 When considering solely the first rank, the percentages of highest autocorrelations were closed to each  
 392 other in May (23% and 27%), and the second part of June (24) (**Table 7**). Strong differences arose for  
 393 the second and third ranks for which the bivariate spatial autocorrelation reported during the second  
 394 half of May outperformed the other periods. Moran's I bivariate results were normalized per field and  
 395 year and reported in **Fig. S2| bottom panel**.

396 The highest spatial autocorrelations found in 2017, 2019 and 2020 at the end of May matched the  
 397 trends of the correlations (**Fig. S2 upper panel**). In 2018 only, the higher autocorrelation expressed  
 398 later than the higher correlation level. Overall, Spearman correlation and spatial autocorrelation both  
 399 revealed an optimal sensing window for NDVI at the end of May. In the next part, the satellite-based  
 400 delineation was computed using these results.

401

402 *Table 7 : Occurrence (percentage per rank) of the three optimal bivariate spatial autocorrelations over the sensing*  
 403 *period per two-weeks.*

Months	April		May		June	
Rank of Moran's I bivariate	01 - 14	15 - 30	01 - 14	15 - 31	01 - 14	15 - 30
1	5	14	24	27	5	24
2	5	19	16	35	16	5
3	11	11	11	30	11	5
Average percentage	7	14	17	31	11	12

## 404 **Adapting the satellite-based delineation to NDVI features**

### 405 **Characterization of the delineation based on yield-maps**

406 Yield map-based delineation was computed using Blackmore's methodology over the six fields having  
407 at least three yield maps available (F8, F21, F22, F32, F33 and F38). This initial output was considered  
408 as the delineation reference; results are displayed in **Fig. 6 – left panel**.

409 Among the six fields, yield records from the yield maps varied more spatially than temporally. The  
410 annual difference between extreme yields recorded at the field scale was on average 8.9 Mg ha<sup>-1</sup> while  
411 the interannual gap between highest and lowest yield median was on average 3.2 Mg ha<sup>-1</sup> per field  
412 (**Table S1**).

413 The delineation from yield maps (**Fig. 6 – left panel**) showed scattered management zones. This noise  
414 was explained by unequal quality in yield maps records, despite the filtering process (**Table 2**): isolated  
415 pixels corresponding to a different management zone than the surrounding area represent between  
416 one to twenty pixels per field (on average thirteen pixels). Globally speaking, on average over the six  
417 fields, 50 % of the surface was occupied by the "HS" management zone, 48% by the zone "LS" and 2%  
418 by the zone "U" (**Table S2**).

419

### 420 **Assessing the accuracy of the satellite-based delineation methods**

421 **Fig. 7** displays for each field, the accuracies computed when varying the NDVI value threshold used in  
422 Blackmore's methodology, with variations around the mean (left panel) or the median (right panel)  
423 NDVI used as reference threshold. **Table 8** reports, among else, accuracies recorded when using the  
424 mean and the median NDVI as threshold in Blackmore's methodology. Accuracies retrieved from both  
425 ranges of variations around the mean and median NDVI ranged in similar intervals, between 63% and  
426 79% from the mean and 65% to 83% from the median (**Fig 7 | Table 8**). On average, 69.4% of fields  
427 pixels were correctly classified when using the mean NDVI as threshold in the methodology (**Table 8**).  
428 Fields F8 and F33 obtained the lowest accuracy (resp. 63% and 67%) and concomitantly had the lowest  
429 surface. F22 exhibited the highest accuracy at mean NDVI, with a value of 79.8 %.

430 Maximal accuracies obtained from variations around the mean threshold were mostly observed for  
431 different increments [-0.04 ; 0.04], depending upon the field considered (Fig. 6).

432 Average accuracy computed from the median NDVI reached 71.2% (table 7). When accuracies were  
433 computed from variations around the median NDVI thresholds, maximum accuracies were observed  
434 five times out of six at the exact median NDVI, where accuracies ranged between 65% and 83% (**Fig 7**  
435 and **Table 8**). For field F38, the maximum accuracy (77%) was obtained with a shift of 0.01 from median  
436 value (**Fig 7**).

437

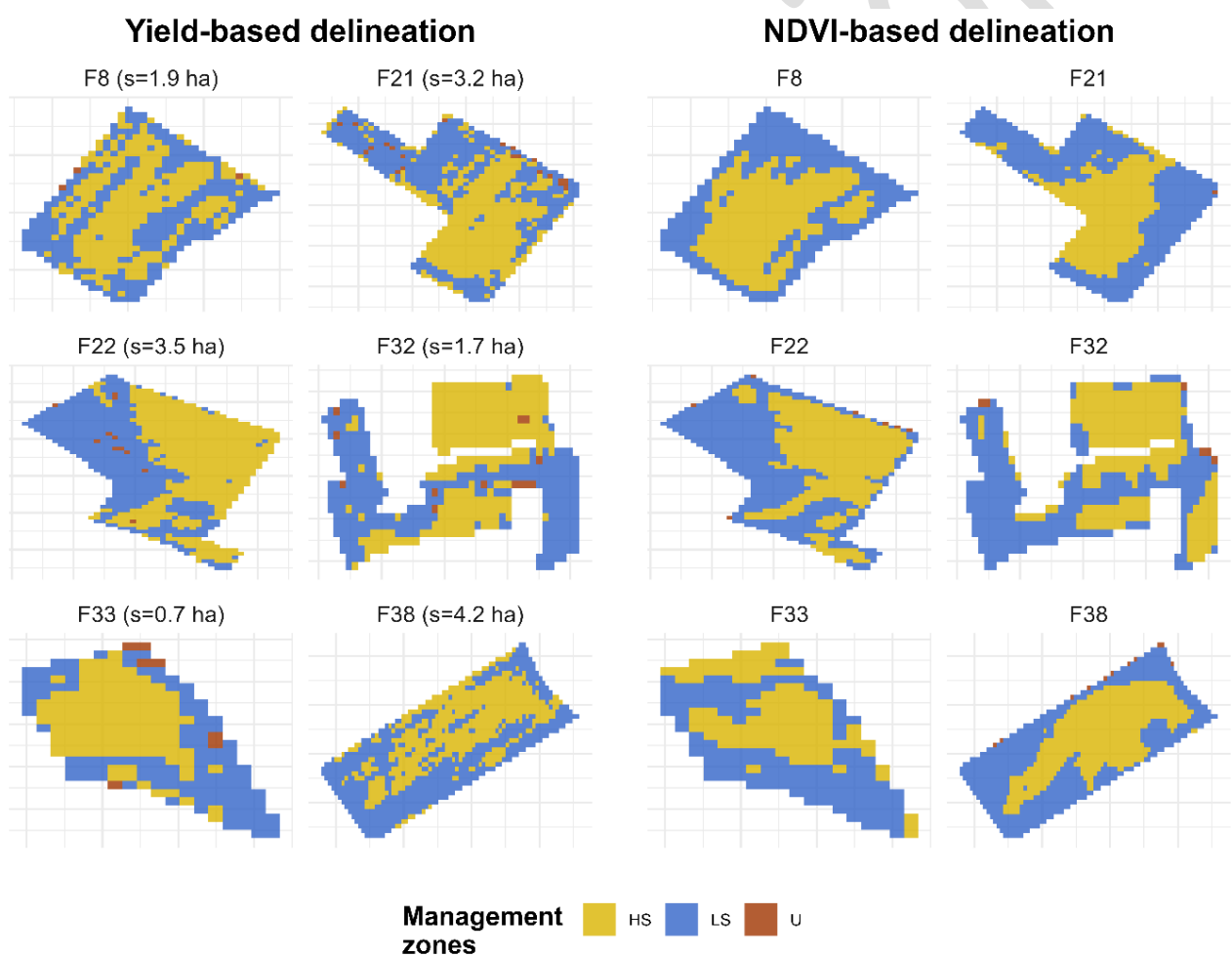


Fig. 6: Comparison of yield map-based delineation and adapted NDVI-based delineation using the median NDVI as a threshold within Blackmore's methodology.

438

439 Overall, using median NDVI as threshold in the methodology allowed to systematically reach the  
440 maximum accuracy. In the frame of the deploying Blackmore's delineation at territorial scale, this

441 inherently made of such threshold a more robust estimator than the use of mean NDVI. No relationship  
 442 was found between the accuracy levels and the field surfaces on the other fields (**Table 8 | Fig. 7**).

443

444 *Table 8: Metrics of NDVI-based delineation accuracy computed on each field according to (1) the mean threshold and (2) the*  
 445 *median threshold*

446

	Fields	Accuracy	Sensitivity			Specificity		
			HS	LS	U	HS	LS	U
Mean threshold	F8	0.632	0.825	0.447	0.000	0.452	0.817	1.000
	F21	0.693	0.773	0.643	0.000	0.645	0.758	0.998
	F22	0.799	0.887	0.717	0.000	0.719	0.881	0.997
	F32	0.691	0.749	0.665	0.000	0.691	0.729	0.987
	F33	0.671	0.759	0.624	0.000	0.595	0.765	1.000
	F38	0.677	0.850	0.532	0.000	0.546	0.866	0.985
	Average	0.694	0.807	0.605	0.000	0.608	0.803	0.994
Median threshold	F8	0.647	0.647	0.653	0.000	0.656	0.641	1.000
	F21	0.725	0.660	0.820	0.000	0.821	0.640	0.999
	F22	0.830	0.791	0.889	0.091	0.889	0.790	0.995
	F32	0.689	0.687	0.723	0.000	0.742	0.670	0.989
	F33	0.649	0.607	0.734	0.000	0.716	0.605	1.000
	F38	0.755	0.735	0.772	0.000	0.777	0.746	0.992
	Average	0.716	0.688	0.765	0.015	0.767	0.682	0.996

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455 **Diagnosis of the improved satellite-based approach to perform zone management**

456

457 Complementary to the global accuracies, **Table 8** displays metrics related to the sensitivity and  
458 specificity of each zone. Using the mean or the median as a threshold changed the distribution of  
459 management zones. Globally speaking, whatever the threshold (using mean or median values) and its  
460 global accuracy level, NDVI-based delineation methods failed to retrieve unstable zones. The  
461 sensitivities of U zones were always equal to zero (**Table 8**). Though, both yield map-based and NDVI-  
462 based delineations identified similar percentages of unstable zones (specificity close to 1) (**Table 8** |  
463 **Table S2**).

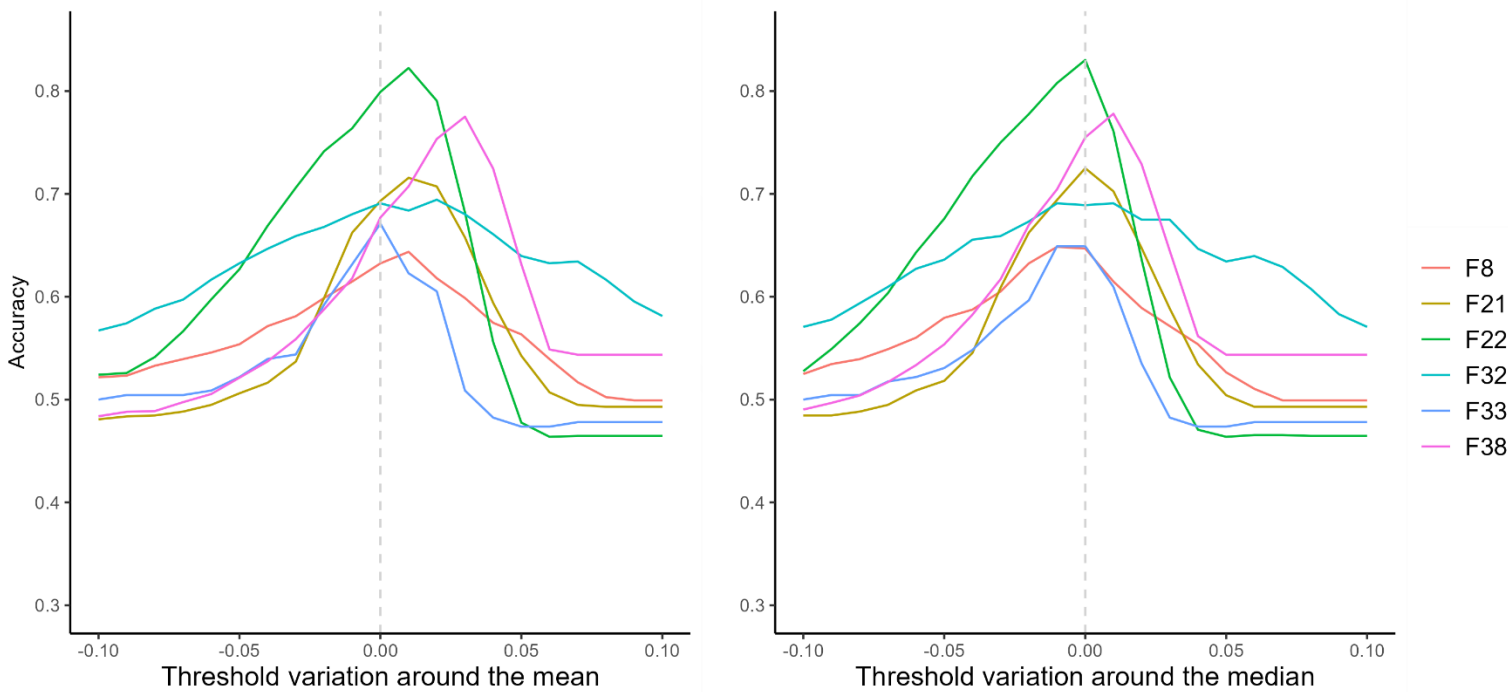


Fig.7: Accuracy variations of NDVI-based delineation when NDVI threshold was incremented from the mean (left panel) or from the median (right panel). Dotted lines are the initial thresholds, the mean NDVI or median NDVI. F8 to F38 refers to the six fields where the delineation was implemented.

464 Regarding the stable zones, systematic higher sensitivities of HS over LS were observed with the mean  
465 threshold, indicating an over-detection of HS at the field scale (**Table 8**). The use of median NDVI as  
466 threshold induced a shift in the detection, with sensitivities of LS mostly superior to the ones of HS  
467 (**Table 8**).

## 468 Discussion

### 469 Robustness of NDVI to retrieve annual subfield yield variations on winter wheat

470 Among the vegetation indices assessed, NDVI,  $CI_{green}$  and  $CI_{rededge}$  maps obtained the highest  
471 correlations with yield maps over the different fields and over the different years (**Fig. 4**). Only few  
472 differences of sensitivity were observed between the three indices, both globally (**Fig. 4**) but also along  
473 each sensing period (**Fig. S3**). Overall, the correlations levels were consistent with those obtained on  
474 NDVI by Kern et al. (2019) and Toscano et al. (2019).

475 Each of the three indices relied on two wavelengths and have in common the NIR which is known to  
476 be sensitive to changes of LAI and biomass (Ollinger, 2011). As the red wavelength of NDVI is  
477 responsible of the saturation effect in high LAI, Gitelson et al. (2003) proposed two indices based  
478 respectively on green and red-edge wavelengths, namely  $CI_{green}$  and  $CI_{rededge}$ . Vallentin et al. 2022  
479 reported a saturation effect of NDVI early in the season, since the stem elongation growth stage. Revill  
480 et al. 2019 reported the highest sensitivity of red-edge or green, combined with NIR, to detect subfield  
481 variations of LAI or chlorophyll content during the wheat growing period. Also, Skakun et al. (2021)  
482 reported the interest of these wavelengths for corn yield prediction at the subfield scale. Nonetheless,  
483 in the two above-mentioned studies, either red-edge and green were used in the frame of complex  
484 approaches, or the related VIs were computed from different sensors having finer resolutions.

485 Our results did not completely align with these results. In the high yielding context of the current study,  
486 it was reasonable to expect a saturation effect in NDVI's ability to detect subfield yield variations.  
487 However, implementing  $CI_{green}$  or  $CI_{rededge}$  provided only slight added value compare to NDVI and this  
488 greater performance was not systematic; both  $CI_{green}$  and  $CI_{rededge}$  underperformed NDVI in 2021 for  
489 example. . Furthermore, no conclusion can be made on the saturation effect of NDVI as the three  
490 indices obtained similar ranges of correlations all along the different seasons (**Fig. S3**). Still, it must be  
491 noted that each index was not computed at the same spatial resolution. While NDVI and  $CI_{green}$  were  
492 calculated at 10 m resolution,  $CI_{rededge}$  was calculated with 20 m resolution wavelengths, inherent to  
493 sentinel-2 sensors. This could affect the sensitivity of  $CI_{rededge}$  as reported by Vallentin et al. 2022. In

494 this study, only a simple cubic resampling approach was used to downsample 20 m resolutions bands  
495 to 10 m resolution (Schleffer et al. 2017). However,  $CI_{\text{rededge}}$  might have benefited of more complex  
496 downsampling techniques as the one suggested in Kowalecko et al. 2023. Therefore, when using  
497 exclusively Sentinel-2 wavelengths and simple statistical approaches, NDVI remained a competitive  
498 index to retrieve subfield yield variations as confirmed by Vallentin et al. 2022.

499

### 500 **Sensing window that optimally retrieve the subfield yield variations**

501 The highest correlations and maximal spatial autocorrelation between yield maps and maps of NDVI  
502 were both optimally expressed at the end of May while high correlations were also found from the  
503 end of April and the beginning of May (**Table 6, 7, Fig. S2**).

504 In our study, yield maps were acquired a posteriori and were assembled from different farms.  
505 Unfortunately, precise growth stages records were not available. However, in Belgium, usual winter-  
506 wheat growing stage are reported as follows: Stem elongation stage usually occurs by the end of April,  
507 flag leaf stage usually occurs by the end of May (Dumont et al., 2015a, 2016) and flowering period is  
508 usually observed before mid-June (Gobin, 2018).

509 Marti et al. (2006) and Vallentin et al. (2022) observed a higher ability of NDVI to predict the yield level  
510 from the flowering stage and during later growth stages. Yet, in this study, more contrasted results  
511 were obtained in June; Maximal correlations remained in the same level as those observed in May but  
512 median correlations were largely lower among the different fields and years (**Table 6, Fig. S2**). Marti et  
513 al. (2006) still indicated significant correlations from stem elongation up to flowering stage. The final  
514 yield is known to be closely related to the number of grains per surface unit (Miralles and Slafer, 2007),  
515 which is also related to changes in biomass occurring few weeks before the flowering stage (Fischer,  
516 1985). In this dataset, the biomass heterogeneity at the subfield scale and its consequences on the  
517 final yield variability might explain the higher correlation observed between NDVI maps and yield maps  
518 at the beginning of the flowering stage. This assumption is consistent with findings reported by Magney  
519 et al. (2016) who observed a positive relationship between the rate of stem elongation and the final

520 grain yield.

521 Therefore, it was decided to conserve the period covering the second half of May as the optimal  
522 sensing window to retrieve subfield yield variations from NDVI. This sensing window appeared as a  
523 best compromise between the maximal expressions of biomass heterogeneity, resulting from the stem  
524 elongation phase, and the reported risk of a lack of sensitivity in spatial variations observed in June  
525 (partly due to unavailable satellite images) (**Fig. S1**).

526

### 527 **A simple use of NDVI to delineate management zones**

528 Correlations levels observed in this study between yield maps and maps of NDVI, while consistent with  
529 different research (Kern et al., 2019; Toscano et al. 2019; Vallentin et al. 2022), remained relatively  
530 low. Indeed, images sensed during the last two weeks of May obtained on average a median  
531 correlation in the range 0.27 to 0.40, with a large disparity among fields and years (**Table 6**).

532 Considering the unexplained part of yield variability, it was reasonable to question the ability of NDVI  
533 to accurately detect management zones. Unlike most studies, that aim at predicting yield on a subfield  
534 scale (Bukowiecki et al., 2021; Delloye et al., 2018; Hunt et al., 2019; Vallentin et al., 2022), this study  
535 proposes to directly use NDVI to retrieve the subfield variations and try to distinguish high from low  
536 yield zones using an adaptation of Blackmore's original methodology (see Materiel and Method). A  
537 "corrective effect" can be expected when integrating NDVI maps into a pluri-annual analysis as the one  
538 proposed by Blackmore. This correction would increase the ability of the vegetation index to  
539 distinguish high and low-yielding parts of the field compare to annual correlation between yield and  
540 NDVI maps.

541 Using the proposed approach (mean NDVI), 69% of the pixels were correctly classified in the different  
542 management zones compare to the original yield map-based delineation (**Table 8 | upper part**).

543 Among else, the misclassified pixels were related to the inability to detect unstable zones. However,  
544 unstable zones obtained from yield map delineations were spatially inconsistent as they were  
545 dispersed over the field (mostly isolated pixels) and usually not contiguous (Fig. 6). This aspect is

546 further discussed in the following section.

547 Secondly, pixels from the estimated HS were globally better classified than their equivalent from LS  
548 (**Table 8 |upper part**), as reported by the higher sensitivities. Overall, this sensitivity imbalance  
549 between HS and LS was related to an overprediction of the surface occupied by HS, at the expense of  
550 LS. Consequently, estimated HS pixels were more often correctly classified in HS as the number of  
551 estimated HS pixels was simply greater than the HS reference. In that way, the number of pixels  
552 correctly not classified in HS was lower for HS than for LS (Table. S2).

553 At this stage, the overprediction of HS indicated the unsuitability of the delineation algorithm to  
554 correctly distinguish high from low yield zones at the annual scale. The relationship between yield and  
555 NDVI is known to be not linear as reported by Baret (2016). The example provided **Fig. 3** illustrate the  
556 discrepancies between both yield and NDVI distributions; NDVI follows a Beta distribution while yield  
557 is normally distributed (Stoy et al. 2022). In the delineation algorithm proposed and applied to NDVI  
558 values, the substitution of the mean by the median NDVI as threshold globally improved the overall  
559 accuracy of the delineation (**Fig. 7, Fig S4 and Table 8**). More importantly, the median systematically  
560 led to the highest prediction accuracy on each of the six fields. The robustness of the delineation  
561 algorithm is a major issue to implement the delineation at larger territorial scale. Such improvement  
562 to the methodology resulted in a shift of higher sensitivity from HS to LS zones and an improved  
563 specificity of HS at the expense of LS zones.

564 Yet, it has to be noticed that median NDVI based delineation slightly underestimate field performances  
565 as it tends to over-represent LS zones over the whole fields (**Fig. 6**). From an environmental  
566 perspective, this might be an advantage, as it will globally lead to lower intensity in the management  
567 practices (e.g. fertilization recommendations) within those zones.

568

### 569 **Reliability of the relationship between yield maps and maps of VIs**

570 NDVI-based delineation succeeded to retrieve 71% of the yield map-based delineation. This result is  
571 globally very satisfying, in comparison to what was expected from the relationship between yield and

572 NDVI maps. However, the residual imprecision was as much related to the lack of sensitivity of NDVI  
573 to capture the subfield yield variation than to the precision of the different yield maps.

574 The two steps filtering algorithm used ahead of the analysis to reduce the noise of yield maps was  
575 systematically applied on each of the yield maps. If the algorithm significantly reduced yield maps  
576 errors (**Table 2**), the initial quality of the different maps was unequal. Fields F32 and F33 illustrate this  
577 limit. Belonging to the same farm and harvested with the same equipment, the two fields obtained  
578 largely different correlations at identical sensing dates in 2020. Field size differences would partly  
579 explain the differences of yield map quality as reported by Arslan and Colvin (2002) as the yield maps  
580 errors are mainly observed at the beginning and the end of harvested rows. In this dataset, the two  
581 smallest fields resulted in the less accurate delineation while no linear trend was observed between  
582 the size of the field and the accuracy of satellite-based delineation on the other plots (Table 8 | Fig.7)

583 The overall accuracy was also affected by the inability to detect unstable zones. Unstable zones  
584 retrieved from yield map-based delineation represented a very low proportion of pixels (**Fig. 6**) while  
585 it represented a major originality of Blackmore's method (Blackmore 2000). Given the respective areas  
586 concerned, unstable parts of each field were likely to represent yield maps residual errors than truly  
587 unstable yields. A specific investigation would be required to reset the stability/instability threshold  
588 which was arbitrarily set by Blackmore himself in the initial approach (Blackmore 2000) and later  
589 discussed in Blackmore et al. (2003). Adapting the stability threshold to an interannual yield "tolerance  
590 threshold" as it is considered in Blackmore et al. 2003 and Basso et al. 2007 seems an interesting  
591 solution. However, such a threshold should also take into account the magnitude of interannual yield  
592 variations (Ray et al. 2015). In the frame of experimental plots, the yield stability issue is further  
593 analyzed in Reckling et al. 2021, but it goes beyond the scope of this study and it was decided to cope  
594 with the suggested threshold. A supplementary figure is proposed to visualize the distributions of the  
595 temporal coefficient of variation (Eq 10) used to dissociate stable and unstable parts of the field (Fig.  
596 S5). Even though it might have an impact on the robustness of these results. Such delineation would  
597 also benefit from more complex approaches linking yield values to vegetation indices, such as NDVI,

598 or directly linking yield to satellite spectral bands. Increasing attention is given to supervised machine  
599 learning approaches while improved yield predictions are reported (Revill et al. 2019; Darra et al.  
600 2023). These methods would allow a higher precision in detecting subfield spatial variations (Skakun  
601 et al. 2021)

602

603 Finally, the high spatial variability of yield maps, partly due to high spatial resolution and low attribute  
604 accuracy, led to scattered management zones (not limited to unstable parts as discussed previously).  
605 On the contrary, NDVI-based delineation resulted in spatially homogeneous management zones and,  
606 in the end, a higher reliability of the resulting delineation is expected (Fig. 6).

## 607 **Conclusion**

608

609 Site-specific management is receiving increasing attention due to its economic and environmental  
610 interests. Delineation of management zones, such as the one based on yield maps, is a promising but  
611 challenging tool that requires well-tuned farm equipment and specific knowledges for data  
612 management. Recent availability of high-resolution and more frequent satellite images from Sentinel-  
613 2 mission offers new opportunities for simplifying and automatizing the delineation process.

614 This study showed the potential to delineate management zones from Sentinel-2 images for a winter  
615 wheat crop, provided that (1) the derived vegetation index -such as NDVI - was able to capture sub-  
616 field variations, and that (2) images sensed at the beginning of the winter wheat flowering stage were  
617 used.

618 The integration of pluri-annual maps, in approaches such as the one proposed by Blackmore in 2000,  
619 allows to capture temporal and spatial variations of yields at subfield scale and classify fields in zones  
620 according to their potential. Despite variable relationship reported at the annual scale between NDVI  
621 and yield maps, the integration of multi-year NDVI maps has been proven to capture yield subfield  
622 variations with an accuracy of 69% in comparison to the Blackmore's methodology. Also, the

623 suggestion to adapt the original delineation method to the shape of NDVI distribution, using median  
624 NDVI, increased the accuracy of classification (71%) and, above all, improved the robustness of the  
625 delineation, specifically in its ability to differentiate high from low yielding zones.

626 We believe that this approach, if deployed on a large scale such as a territory, has the potential to  
627 support farmers in the adaptation of their practices toward more efficient crop management.

### 628 **CRedit authorship contribution statement**

629 **Arthur Lenoir:** Conceptualization, Methodology, Data analysis, Visualization, Writing – original draft.

630 **Bertrand Vandoorne:** Conceptualization, Funding acquisition, Supervision. **Ali Siah:** Supervision.

631 **Benjamin Dumont:** Conceptualization, Methodology, Supervision, Funding acquisition, Writing –  
632 review & editing.

633

### 634 **Declaration of Competing Interest**

635 The authors declare that they have no known competing financial interests or personal relationships  
636 that could have appeared to influence the work reported in this paper.

637

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