



30th

Congress of Union of the European **Phoniatricians**

April 27 - 30, 2023

Xanadu Convention Center, Antalya - TURKIYE

Botulinum toxin injection in the crico-pharyngeus muscle For dysphagia treatment

Aude Lagier

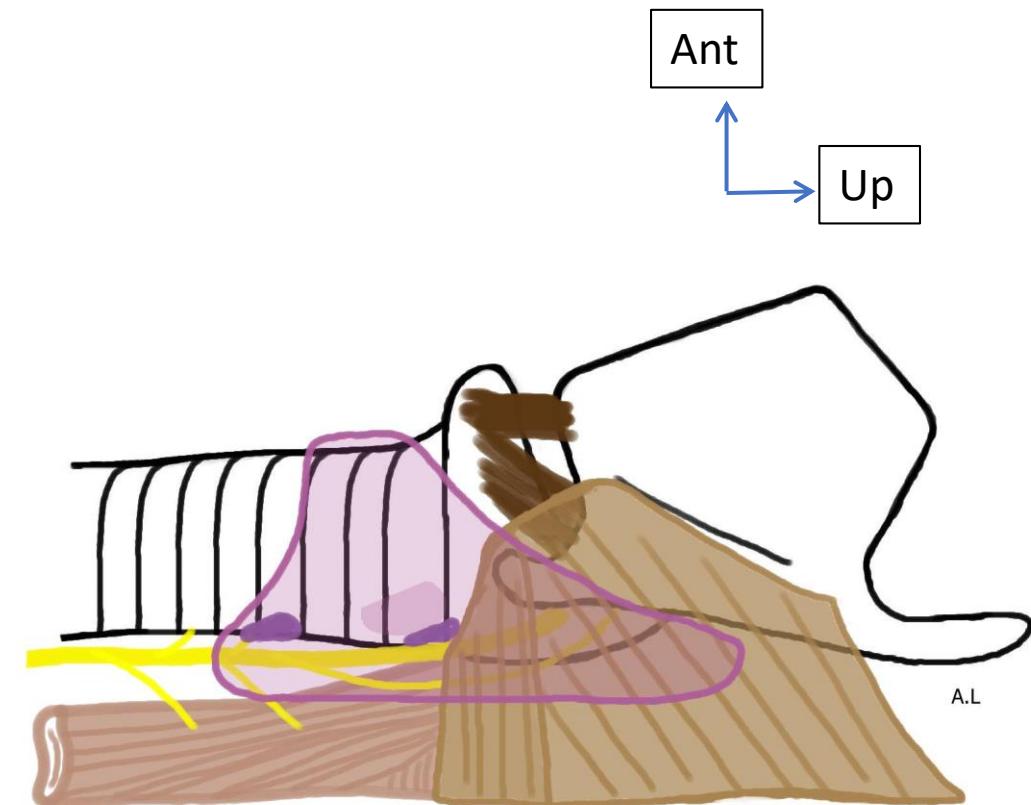
Oto-rhino-laryngology department

CHU of Liège, Belgium



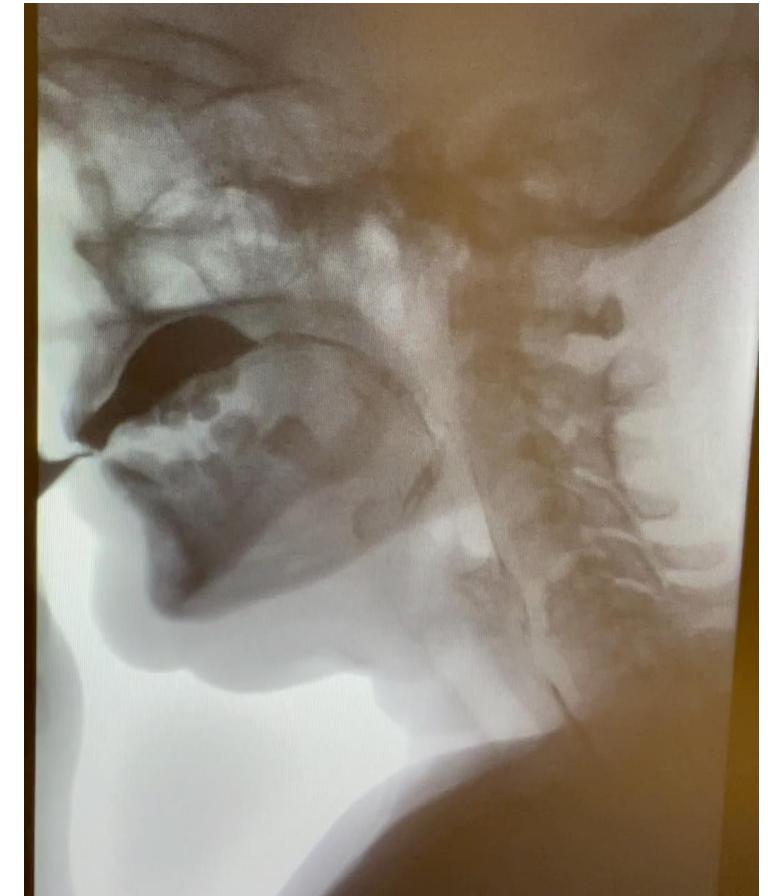
Anatomy of the crico-pharyngeus

- Thin muscle
 - 2,7-2,8 mm width
- Neuro-muscular endplates:
 - Scattered pattern
- Innervation originating from:
 - Extralaryngeal branch of RLN
 - Lateral and inf.part (33%)
 - Pharyngeal plexus
 - Postero-medial part (83%)
 - And posterolateral part (83%)
- Injection in the postero-lateral part of the muscle seems the more suitable.



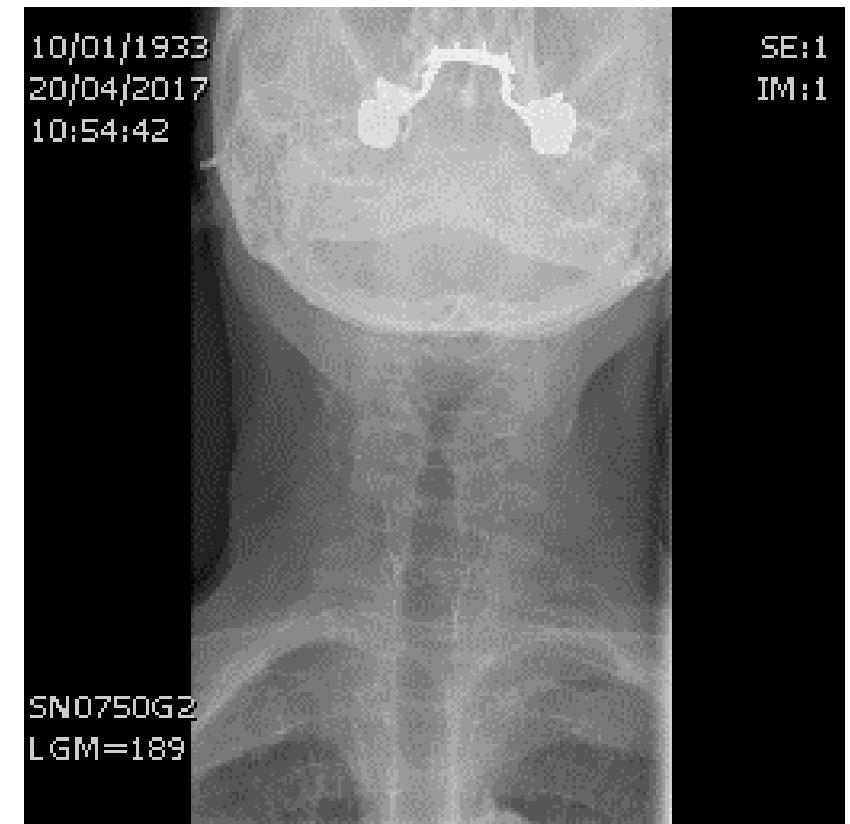
Crico-pharyngeus muscle and dysphagia

- Main (upper) part of the UES
 - High pressure area at the upper extremity of the oesophagus/junction pharynx-oesophagus
 - UES pressure= myogenic activity + tissue passive elasticity
 - Active relaxation during swallowing



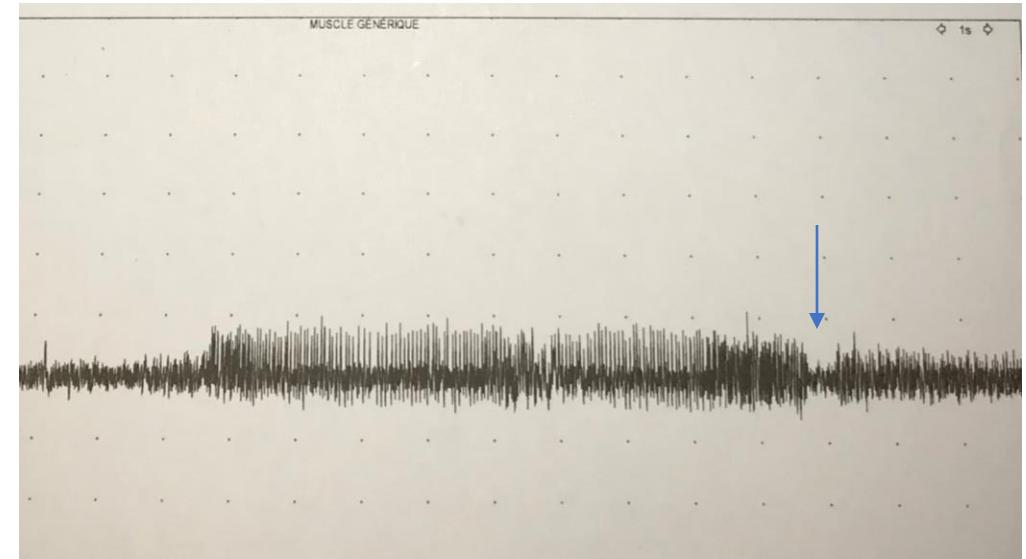
Crico-pharyngeus muscle and dysphagia

- Opens at the end of the pharyngeal phase
 - Balance between pharyngeal propulsion and UES opening
 - Protection of the airway against reflux
 - Prevents the entry of air in the oesophagus
- Interpretation
 - Sometimes not so easy



Botulinum toxin in UES: techniques

- Doses:
 - Extremely variable among the literature
 - 15-100 U Eq.Botox
- Percutaneous
 - Most often on the left side
 - Anterolateral wall of UES
 - Depending of the lenght of the UES narrowing, several injection may be considered
 - Guiding: CT< endoscope<US +/- catheter balloon< EMG



Botulinum toxin in UES: techniques

- Endoscopically guided
 - Under GA and rigid oesophagoscope
 - Under LA and flexible endoscope



Efficacy of botulinum toxine

- Target: circular muscle layers of the UES
- Local spread/diffusion/migration of the toxin
 - Depends on the toxin (dysport trend to >botox)
 - Depends on the concentration and the injected volume
- Results:
 - Improvement of UES opening in 100%
 - Improvement of hypopharyngeal residues and inhalation: >50%-90%
 - Improvement in dietary status:>50%
 - Improvement in Dysphagia outcome severity score >50%
- Can be repeated if insufficient effect

Side effects and complications

- Due to unfavorable diffusion
 - To the pharynx ->increase in dysphagi
 - To the PCA
 - On 1 side
 - On 2 sides ->dyspnea
- Contra-indication for all the CP procedures
 - Massive reflux

Botulinum toxine in UES vs other techniques

- Botulinum toxin:
 - Efficacy: 43-100%
 - Complications: 0-25%
- Crico-pharyngeal myotomy
 - Allows biopsies
 - External
 - GA
 - Complication: RLN injury, fistulization of the pharynx or oesophagus
 - Endoscopic
 - Complication: Inadvertant entry into the neck with mediastinitis
 - Efficacy: 25-100%
 - Complications: 0-39%
- Dilatation
 - Balloon or Savary dilator
 - Several protocols under GA or LA, variable number of repetitions
 - Efficacy: 58-100%
 - Complications: 0-20%

Conclusion

- Effective treatment
 - The main question is the role of the UES in the dysphagia
- Low rate of complications
 - But need to very accurate localization
 - Whatever the guidance technique
- Very minimal invasive
 - GA ->LA
 - Repetition possible
- Alternative or in addition to balloon dilatation?
- Test of myotomy effectiveness?

References

- Alberty J, Oelerich M, Ludwig K, Hartmann S, Stoll W. Efficacy of botulinum toxin A for treatment of upper esophageal sphincter dysfunction. *Laryngoscope*. 2000 Jul;110(7):1151-6. doi: 10.1097/00005537-200007000-00016. PMID: 10892687.
- Alfonsi E, Restivo DA, Cosentino G, De Icco R, Bertino G, Schindler A, Todisco M, Fresia M, Cortese A, Prunetti P, Ramusino MC, Moglia A, Sandrini G, Tassorelli C. Botulinum Toxin Is Effective in the Management of Neurogenic Dysphagia. Clinical-Electrophysiological Findings and Tips on Safety in Different Neurological Disorders. *Front Pharmacol*. 2017 Feb 22;8:80. doi: 10.3389/fphar.2017.00080. PMID: 28275351; PMCID: PMC5319993.
- Jin X, Gu W, Li W, Wang J. Quality of Life in Treating Persistent Neurogenic Dysphagia with Cricopharyngeal Myotomy. *Dysphagia*. 2020 Apr;35(2):314-320. doi: 10.1007/s00455-019-10032-x. Epub 2019 Jul 1. PMID: 31264004.
- Kim BH, Kim DH, Lee JH, Lee HJ, Kim HJ. Evaluating intramuscular neural distribution in the cricopharyngeus muscle for injecting botulinum toxin. *Auris Nasus Larynx*. 2023 Feb;50(1):87-93. doi: 10.1016/j.anl.2022.05.004. Epub 2022 May 30. PMID: 35649955.
- Kim MS, Kim GW, Rho YS, Kwon KH, Chung EJ. Office-based Electromyography-guided Botulinum Toxin Injection to the Cricopharyngeus Muscle: Optimal Patient Selection and Technique. *Ann Otol Rhinol Laryngol*. 2017 May;126(5):349-356. doi: 10.1177/0003489416689469. Epub 2017 Feb 23. PMID: 28397562.
- Knigge MA, Thibeault SL. Swallowing outcomes after cricopharyngeal myotomy: A systematic review. *Head Neck*. 2018 Jan;40(1):203-212. doi: 10.1002/hed.24977. Epub 2017 Oct 30. PMID: 29083513.
- Kocdor P, Siegel ER, Tulinay-Ugur OE. Cricopharyngeal dysfunction: A systematic review comparing outcomes of dilatation, botulinum toxin injection, and myotomy. *Laryngoscope*. 2016 Jan;126(1):135-41. doi: 10.1002/lary.25447. Epub 2015 Sep 11. PMID: 26360122.
- Luan S, Wu SL, Xiao LJ, Yang HY, Liao MX, Wang SL, Fan SN, Ma C. Comparison studies of ultrasound-guided botulinum toxin injection and balloon catheter dilatation in the treatment of neurogenic cricopharyngeal muscle dysfunction. *NeuroRehabilitation*. 2021;49(4):629-639. doi: 10.3233/NRE-210113. PMID: 34806624.
- Murry T, Wasserman T, Carrau RL, Castillo B. Injection of botulinum toxin A for the treatment of dysfunction of the upper esophageal sphincter. *Am J Otolaryngol*. 2005 May-Jun;26(3):157-62. doi: 10.1016/j.amjoto.2004.11.010. PMID: 15858769.
- Wei P. Botulinum Toxin Injection for the Treatment of Upper Esophageal Sphincter Dysfunction. *Toxins (Basel)*. 2022 Apr 30;14(5):321. doi: 10.3390/toxins14050321. PMID: 35622568; PMCID: PMC9147508.
- Woisard-Bassols V, Alshehri S, Simonetta-Moreau M. The effects of botulinum toxin injections into the cricopharyngeus muscle of patients with cricopharyngeus dysfunction associated with pharyngo-laryngeal weakness. *Eur Arch Otorhinolaryngol*. 2013 Mar;270(3):805-15. doi: 10.1007/s00405-012-2114-4. Epub 2012 Aug 4. PMID: 22865104.
- Xie M, Zeng P, Wan G, An D, Tang Z, Li C, Wei X, Shi J, Zhang Y, Dou Z, Wen H. The Effect of Combined Guidance of Botulinum Toxin Injection with Ultrasound, Catheter Balloon, and Electromyography on Neurogenic Cricopharyngeal Dysfunction: A Prospective Study. *Dysphagia*. 2022 Jun;37(3):601-611. doi: 10.1007/s00455-021-10310-7. Epub 2021 Apr 29. PMID: 33928464.