#### 1 **TITLE:**

2 Tree Core Analysis with X-ray Computed Tomography

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## 1819 SUMMARY:

Here we show how to process tree cores with an X-ray computed tomography toolchain. Except for chemical extraction for some purposes, no further physical lab treatment is needed. The toolchain can be used for biomass estimations, for obtaining MXD/tree-ring width data as well as for obtaining quantitative wood anatomy data.

## 25 ABSTRACT:

26 An X-ray computed tomography (CT) toolchain is presented to obtain tree-ring width (TRW), 27 maximum latewood density (MXD), other density parameters, and quantitative wood anatomy 28 (QWA) data without the need for labor-intensive surface treatment or any physical sample 29 preparation. The focus here is on increment cores and scanning procedures at resolutions ranging 30 from 60 µm down to 4 µm. Three scales are defined at which wood should be looked at: (i) inter-31 ring scale, (ii) ring scale, i.e., tree-ring analysis and densitometry scale, as well as (iii) anatomical 32 scale, the latter approaching the conventional thin-section quality. Custom-designed sample 33 holders for each of these scales enable high-throughput scanning of multiple increment cores. A 34 series of software routines were specifically developed to efficiently treat three-dimensional X-35 ray CT images of the tree cores for TRW and densitometry. This work briefly explains the basic 36 principles of CT, which are needed for a proper understanding of the protocol. The protocol is 37 presented for some known species that are commonly used in dendrochronology. The 38 combination of rough density estimates, TRW and MXD data, as well as quantitative anatomy 39 data, allows us to broaden and deepen current analyses for climate reconstructions or tree 40 response, as well as further develop the field of dendroecology/climatology and archeology.

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## 42 INTRODUCTION:

43 Wood density is an easy-to-measure variable<sup>1</sup> that reflects both the anatomical and chemical 44 properties of the wood<sup>2</sup>. In biomass estimations of aboveground biomass, wood density is an important weighing variable <sup>3-5</sup>, that is multiplied with the dimensions of the tree and a factor
 representing the carbon content of the wood. Wood density is tightly linked to the mechanical
 properties of timber<sup>6</sup> and reflects the life history of a tree<sup>7</sup>.

49 Cell wall density is measured as being approximately 1500 kg/m<sup>3</sup> and is considered fairly 50 constant<sup>8</sup>, however intra-ring cell wall density variations should be considered as well<sup>8, 9</sup>. Woody 51 cells (in general tracheids in conifers, vessels, parenchyma and fibers in hardwoods) are oriented/shaped in different ways and cell wall thickness and lumen size of these cells varies<sup>10</sup>. 52 Therefore, wood density varies between trees, within a tree (axial and transversal) and within 53 short intervals within a tree ring<sup>11, 12</sup>. In many cases the wood density variation at the ring scale 54 55 also delimits the tree ring boundary<sup>13</sup>. Wood density and ultimately tissue fractions are 56 generated and in this paper are broadly put into three categories (i.e., three different resolution 57 scales), depending on the study goal (Figure 1) as described below.

59 Inter-ring scale: By measuring pieces of wood, a single value is obtained for that sample. This can be done through water immersion or geometrically<sup>14</sup>. This way, general biomass or wood 60 technological variables can be obtained. To include pith-to-bark variation, these pieces of wood 61 62 can be further divided into blocks that are measured manually to obtain information on the life 63 history strategy<sup>15</sup>. When switching to low-resolution X-ray CT such as in medical scanners<sup>17,18</sup>, 64 TRW data on medium-to-wide rings can be made in an efficient way on many samples<sup>18–20</sup>. This 65 is also the scale that can be used to assess biomass from pith-to-bark from both temperate and 66 tropical trees<sup>4,22</sup>, typically ranging in resolutions from 50 µm to 200 µm.

67 68 Ring scale: Wood is a recorder of past environmental conditions. The best known parameter is 69 tree-ring width (TRW), but for global temperature reconstructions, maximum latewood density 70 (MXD) records are proven to be a better proxy for temperature<sup>22</sup>. MXD is an easy-to-measure 71 variable<sup>23</sup>, and a proxy for cell wall thickness and cell size on the last cells of a tree ring, and are 72 at tree line and boreal sites positively linked to seasonal air temperature<sup>24</sup>: the warmer and 73 longer the summers, more cell wall lignification occurs which thus increases the density of these 74 last cells. Traditional measurements such as immersion and geometry are less accurate to 75 determine this ring-level density. A previous work developed a toolchain for using X-ray film on 76 thin-cut samples<sup>25</sup>. This sparked a revolution in both forestry and later paleoclimatology<sup>15,18</sup>, 77 defining maximum latewood density (MXD), i.e., the peak density value often at the end of a ring, 78 as a proxy for summer temperature. The basic principle is that the samples are sawn 79 (approximately 1.2 mm to 7 mm<sup>13</sup>) to be perfectly parallel to the axial direction, and the sample 80 is put on a sensitive film exposed to an X-ray source. Then these radiography films are read out 81 through a light source that detects the intensity and saves the profiles and the annual tree ring 82 parameters. These tools, however, require a significant amount of sample preparation and 83 manual work. Recently this has been developed for X-ray CT in a more standardized way or based 84 on mounted cores<sup>26</sup>. Resolution here ranges between 10 µm and 20 µm. TRW is measured on 85 this scale as well, especially when dealing with smaller rings.

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87 **Anatomical scale**: At this scale (resolution < 4  $\mu$ m), the average density levels become less 88 relevant as the main anatomical features are visualized and their width and proportions can be 89 measured. Typically, this is done through making microsections or high-resolution optical scans 90 or micro-µCT scans. When the ultrastructure of the cell walls needs to be visualized, scanning 91 electron microscopy is the most commonly used method<sup>27</sup>. At the anatomical scale, the individual 92 tissue fractions become visible so that physiological parameters can be derived from the images. 93 Based on the individual anatomical parameters and the cell wall density of wood, anatomical 94 density can be derived for comparison with conventional estimators of wood density<sup>24</sup>.

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96 Due to improved sectioning techniques and image software<sup>29,30</sup>, dendro-anatomy<sup>30</sup> has been 97 developed to have a more accurate record of the wood, both to have a closer estimate of the 98 MXD in conifers and to measure several anatomical variables from broadleaf trees. On this scale, 99 actual anatomical parameters are measured and related to environmental parameters<sup>31</sup>. With 100  $\mu$ CT this level can be obtained as well<sup>32, 33</sup>.

102 As wood is inherently hygroscopic and anisotropic, wood density needs to be carefully defined 103 and the measurement conditions need to be specified, either as oven-dry, conditioned (typically 104 at 12% moisture content) or green (as felled in the forest)<sup>34</sup>. For large samples and technical 105 purposes, wood density is defined as the weight divided by its volume at given conditions. 106 However, the value of wood density is strongly dependent on the scale at which it is measured, 107 for instance from pith-to-bark wood density can double, and on a ring scale (in conifers) the 108 transition of earlywood to latewood results in a significant rise in wood density as well, with a 109 peak at the ring boundary.

Here, an X-ray CT scanning protocol of increment cores is presented in order to measure features
at the aforenoted 3 scales (Figure 1). Recent developments in X-ray CT can cover most of these
scales, due to a flexible set-up. The research goals will determine the eventual protocol for
scanning.

115 116 A crucial limiting factor (which is inherently connected to the scaled nature of wood density and 117 wood in general) is the resolution and time necessary for scanning. Examples demonstrate how 118 to: (i) obtain inter-ring tree scale wood density profiles for biomass estimations in Terminalia 119 superba from the Congo Basin, (ii) obtain density records from Clanwilliam cedar (Widdringtonia 120 cedarbergensis) based on helical scanning on a HECTOR system<sup>35</sup>, and (iii) measure vessel 121 parameters on sessile oak, on the Nanowood system. By both scanners are part of the suite of 122 scanners at the UGent Center for X-ray Tomography (UGCT, www.ugct.ugent.be), a core facility 123 of UGent.

- 124
- 125 [Place Figure 1 here]
- 126

## 127 X-CT research in-<u>on</u> wood

Set-up of a scanner: A standard X-ray CT scanner consists of an X-ray tube, an X-ray detector, a
 rotation stage, and a set of motors to move the rotation stage, and in most cases also the
 detector, back and forth (Figure 2).

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132 [Place Figure 2 here]

a mis en forme : Taquets de tabulation : 12,21 cm,Gauche

134 Most lab-based systems have a cone-beam geometry, which means that the produced X-rays are 135 distributed from the tube's exit window in a cone-beam shape, implying that by changing the 136 distance between the object and the tube (SOD = Source-Object-Distance) and the detector and 137 the tube (SDD = Source-Detector-Distance), the magnification is controlled (see the discussion on resolution). Due to the penetrating power of X-rays, they pass through the object, and the 138 139 intensity of the attenuation beam is a function of the energy of the X-ray beam, the chemical 140 composition of the object (the atomic number of the elements present) and the density of the 141 material. Given a constant energy spectrum-constant and a constant material composition of 142 wood, the attenuation of the X-ray beam is highly dependent on the density of the material, 143 which explains its use for densitometry. The attenuation (or transmission) can be expressed by 144 the Beer-Lambert law:

145  $I_d = I_0 e^{-\mu d}$ 146 with  $I_0$  the incoming X-ray beam exponentially which decays to a transmitted X-ray beam  $I_d$  when 147 propagating through the material over a distance *d*. The linear attenuation coefficient  $\mu$  depends 148 on a series of interactions with the material of the object. The projections are thus recordings of 149 the transmitted beam.

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151 Practically, the object is mounted on the rotation stage, a proper SOD and SDD are selected, a 152 certain power is selected as well (related to object size, density, and composition) and the object 153 is rotated 360° and during that rotation multiple projections are taken. These projections are 154 then used to reconstruct the interior structure of the object. There are several reconstruction 155 algorithms available, of which the most used ones are still based on the analytical framework 156 developed decades ago, relying on the Radon transformation and the Fourier slice theorem. For 157 more details, the reader is referred to specialized literature<sup>36</sup>.

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159 Conundrum of resolution, data volume and sample size: Resolution is key in X-ray CT scanning. 160 In systems with inverse geometry, or parallel beam geometry such as synchrotron beamlines, 161 other considerations play a role. This protocol only discusses standard lab-based X-ray CT 162 scanning with cone-beam geometry. Here, the concept of magnification, detector pixel size and 163 spot size are essential. Magnification is defined as the ratio of SDD/SOD. Next, the pixel size of 164 the detector obviously also impacts the resolution: the smaller the pixel size, the higher the 165 resolution, but in most cases the field of view (FoV) is also directly related to pixel size and size 166 of the detector (smaller pixel size, smaller FoV for the same number of pixels). Further, the spot size of the X-ray beam is also important: the larger the spot size, the lower the resolution, which 167 168 means that less details can be seen.

169

170 It is important to address that one could obtain a resolution that is higher than what is possible 171 according to the abovementioned limits, therefore it is better to use the term voxel size (a voxel 172 is a volume pixel) instead of resolution. Moreover, there are other factors at play, such as

173 detector sharpness, which further limit the true resolution at which an object is scanned. Only a

174 true calibration of the system, using established targets, supplies the truthful answer.

176 In most cases, however, the voxel size at which an object can be scanned is mostly limited by the 177 size of the object. This means that the larger the object, the lower the voxel size will be. If the 178 object does not fit within the FoV of the detector for a certain voxel size, then the voxel size can 179 be reduced, e.g., by limiting the magnification.

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181 Scan time and data volume are important to consider when deciding the desired voxel size. In 182 general, the smaller the voxel size means the higher the detail one wants to see, the smaller the 183 sample or the fewer samples that can be scanned at once, more time is required and larger the data volumes will be collected. Imagine the following theoretical example: one can scan a sample 184 185 measuring 10 cm x 10 cm x 10 cm at 50  $\mu$ m at once with a certain X-ray CT system and would like to scan that same volume at 10  $\mu\text{m},$  the volume that fits within the FoV would only be 2 cm x 2 186 cm x 2 cm, assuming that this is physically possible. This means that 125 scans are needed ( $5^3 =$ 187 188 5 times higher resolution, scales to the power of 3 due to the volumetric nature of the imaging 189 technique) to cover the entire volume, and that the data volume would increase likewise. Of 190 course, this is only a thought experiment, and one needs to consider much more than only 191 resolution. For more information, the reader is referred to an overview of the scanning 192 possibilities<sup>37</sup>.

Flexibility of instruments for scanning of wooden objects: In the last decade, many companies
 have delivered X-ray CT systems with a similar assembly as HECTOR<sup>35</sup>. An overview of several CT
 systems, especially assessed for their temporal resolution, is given in<sup>38</sup>.

In all, the flexibility and user-friendliness of X-ray CT systems has improved considerably. Many systems allow scanning of a diverse range of objects, which is also the case with the systems at UGCT. The protocol below is demonstrated fo<u>r ther two of the available systems</u>, HECTOR and the commercial CoreTom (a TESCAN-XRE scanner) that are suited for tree core analysis.system, which is suitable for tree-ring analysis. The protocol however is valid for any other available system if resolution and data format allows for it.

These systems allow the scanning of a variety of objects. A few pictures of different wooden objects scanned with the HECTOR system are given in **Figure 3**. It is this flexibility that comprises the three scales we present in **Figure 1**, ranging from a coarse resolution to a very fine resolution.

- 209 [Place Figure 3 here]
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- 211 **PROTOCOL:**
- 213 **1. Core sampling**

215 1.1. Sample the tree with a Pressler borer. Consult references on how to core a tree
 216 manually<sup>39</sup>. This toolchain is presented for 5.15 mm cores.

1.2 rec	Put the tree cores unglued into 6 mm paper straws or in any other receptor that does not quire glue. Do not glue the tree cores on a wooden support. If the cores were already mounted,
un	mount them with scalpel/saw or solvent depending on the glue type.
1.3 fur	8. Avoid wrapping them in plastic tubes, try to dry them first to avoid mold growth and ngal decay.
1.4	I. Use pencil to write on the paper straws, since the extraction could fade marker writings.
NC use sai	DTE: This toolchain is also suited for 4 mm cores. Already mounted and sanded cores can be ed, but these need to be unmounted first. For archeological or remnant wood, a core-sized mple needs to be made (by sawing/reducing the size) for scanning.
<mark>2.</mark>	Core preparation before scanning
2.4	Deuteure en ethered (teluene en ethered en eestere <sup>40</sup> Couldet outvoetien fan et leest 24 h
Z.J /F:	. Periori an ethanol/toluene of ethanol of acetone * Soxinet extraction for at least 24 m
(FI) cal	culation (resin attenuates the signal, <b>Figure 4C</b> <sup>13, 41</sup> ).
[PI	ace <b>Figure 4</b> here]
2.1	1.1. Make a solution of 1000 mL of ethanol with 427 mL of toluene (according to e.g., ASTN
D :	1107 – 96 <u>) or pure ethanol or acetone</u> . Many universities have a lab glass workshop that car
ma	ake an adapted version of the Soxhlet apparatus for tree cores (Figure 4B).
<mark>2.1</mark>	1.2. Put Fill the round-bottomed flask with the solvent, and put the samples within the straws
in	a stainless-steel support in the Soxhlet apparatus <del> (with Ethanol-toluene solution)</del> with cooler
<mark>on</mark>	a laboratory heater. Allow for at least 6 siphoning <sup>1</sup> s per h.
NC In as 10 ap	DTE: A closed water circuit is preferably used for cooling (or any other modern cooling system) this case, a water pump circulates the water, stored in a 100 L basin with chlorine tablets (to bid algae development), at 120 L/h (but this will depend on the size of the Soxhlet apparatus well as the applied heat by the heat element). An air cooler is used to cool the water in the 0 L basin. To save on solvent, glass beads can be used to fill-up the void volume of the Soxhlet paratus.
2.1 cho the	1.3. Check the temperature and number of siphoning's regularly. Dry the cores under a emical hood (check lab policy for this) to remove the solvent residue or put a drying oven under a chemical hood.
<mark>2.2</mark> a h	2. Dry the cores for 24 h in a drying oven (103.5 °C) under exhaust ventilation and perform not water bath (90 °C) or hot water Soxhlet extraction for 24 h as described above.
<mark>2.2</mark>	2.1. Keep the samples in the paper straws in the steel sample holders. Dry again in a dry over
for	<sup>•</sup> 24 h at 103.5 °C, then condition to 60% relative humidity (RH) before scanning.

262					
263	NOTE: The 60% value is chosen in this case because these are approximately the ambient average				
264	relative humidity conditions in the X-ray CT scanning room. Oven dry samples can also be used.				
265	The most important factor is that all samples are scanned under the same conditions.				
266					
267	2.2.2. In case the water extraction degenerates the paper straws, put the tree cores back into				
268	new 6 mm paper straws <sup>21</sup> . Both 5.15 mm and 4 mm cores can be put into 6 mm paper straws.				
269					
270	2.2.3. Make sure the entire paper straw is filled with wood. Wrap both straw-ends up and cut				
271	the ends. This enables easy insertion of the straws in the cylindrical holder.				
272					
273	2.2.4. Make sure the cambium (bark) side is clearly indicated on the core because the				
274	CoreProcessor and RingIndicator assume that the bark side is down. Remove bits and pieces as				
275	well as cores with cracks as these are difficult to work with.				
276					
277	3. Core scanning				
278					
279	3.1 Select the proper sample holder type (Figure 5) as described below, depending on the				
280	research purpose as described in Figure 1.				
281					
282	3.1.1 For inter-ring scale purposes, use holder type1 – cardboard and perforated floral foam.				
283					
284	3.1.2 For the MXD data use holder type 2 – a plastic sample holder that holds 6 cores of 1–15				
285	cm length and has a 3 cm diameter.				
286	ů –				
287	3.1.3 Use holder type 3 – for dendro-anatomy purposes that consists of simply tying paper				
288	straws together.				
289	Ĵ				
290	[Place Figure 5 here]				
291					
292	3.2 Load the tree cores in paper straws into the sample holder and fill in the spreadsheet				
293	template that can be downloaded on https://dendrochronomics.ugent.be/#software. Each				
294	number corresponds to a given position in the sample holder.				
295					
296	3.3 Scanning in an X-ray microCT- <u>µCT</u> facility: consult an X-ray CT expert for the proper				
297	settings and scanning protocol. For the scanner used in this protocol, see <sup>37</sup> .				
298					
299	3.4 Reconstruction of the scanned images: consult an X-ray CT expert for the proper settings				
300	of the reconstruction, or the settings that come with the CT scanner (software package of the X-				
301	ray CT scanner <del>, freely available</del> ). For reconstruction parameters for this protocol, see <sup>37</sup> .				
302					
303	4. Obtain TRW data, density data and anatomical data				
304					
305	4.1. Getting started with the software.				

306 307 4.1.1. To obtain density values (holder type 1 and 2), install the following three software 308 packages: (i) CoreProcessor, (ii) RingIndicator and (iii) CoreComparison toolboxes from 309 www.dendrochronomics.ugent.be. 310 311 4.1.2. Since these toolboxes were made in MATLAB, install the correct MATLAB compiler 312 runtime (MCR), available on: http://nl.mathworks.com/products/compiler/mcr/. The version 313 needed currently is MATLAB2021BMATLAB2022B. The MCR is free, just as the 3 software 314 packages. 315 316 4.1.3. Next to the folder of the reconstructed volumes, make a new empty folder for the MAT 317 files (a file format from MATLAB that stores variables, etc.), e.g., 318 matfiles useful name sample holder, this is necessary to store temporary parameters. 319 320 4.1.4. Make sure the spreadsheet is in the folder of the reconstructed volumes, in order to fill 321 in the samples in a later step. 322 323 **4.2** Preprocessing the core volumes with the CoreProcessor. 324 325 NOTE: For high-resolution anatomy data, following processing steps are not necessary (Figure 1), 326 once the reconstructed volumes are available, refer to the ImageJ guidelines for 3D images<sup>42</sup>. Segmentation can be done through the Weka plugin<sup>43</sup> for indicating vessels on individual slices 327 328 for instance<sup>12</sup>, or in software plugins such as ROXAS<sup>44</sup>. 329 330 4.2.1 Prepare the core extraction: Prepare the automated extraction of the increment cores 331 (depending on inter-ring scale Figure 6A or ring scale Figure 6B) as described below. 332 333 4.2.1.1 Select the folder with reconstructed files (16-bit cross-sectional TIFF slices) and the 334 spreadsheet file (XrayForm.xlsx). 335 336 4.2.1.2 Select the empty folder from 4.1.3. This is the folder where CoreProcessor will create a 337 MAT file that will be used in 4.2.2. for mass core extraction. 338 339 4.2.1.3 Select the newly created empty MAT file folder or, if not created, select any other folder. 340 The volume is loaded, and a longitudinal cross-section of the cylinder appears, check a few 341 horizontal slices to ensure if all cores and references were correctly mounted into the cylinder. 342 343 4.2.1.4 Click from bottom to top to select slices to evaluate this. These cross-sections will be used 344 for the next step, so click across the entire length. Indicate the dark (air) and white references 345 (Figure 6), as many times as indicated in the spreadsheet file. Double click after each selection. 346 347 4.2.1.5 For sample holder 1, inspect the multiscreen with 9 cross-sections, generated through the 348

reference material to check whether the density calculation was performed on the wood (and

349 not on the paper straw or other non-wood material). This is essential for correct conversion to 350 actual wood density values.

352 [Place Figure 6 here]

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4.2.1.6 In a new pop-up window, select every core separately by drawing a circle/ellipse around
it. Perform labelling based on the information from the spreadsheet file, check whether the name
is correct. Respect the order in which the template is filled. All info is stored in a MAT file, that
will be used during the next step for extracting all cores at once.

4.2.2 Click on Mass Core Extraction to carry out core extraction for all the cores in a given
 cylinder (that were indicated in 4.2.1.2), given that the metadata and spreadsheet files are
 located in the same folder.

363 NOTE: When using Mass core extraction, all cores will be extracted according to the labelling of 364 the user (that are stored in the mat file folder). As a result of this operation, a new folder named 365 extracted Extracted will be created which contains all the separate cores. When multiple sample 366 holders are prepared in the first step, and all the mat-MAT files are stored in the same folder, all 367 mat-MAT files in this folder will be processed.

β69 4.2.3. In the <u>CoreComparison\_CoreProcessor</u> toolbox, click on **Manual Tg Correction**, then select
 the **Extracted** folder to ensure proper orientation of the transversal and radial plane of every
 core volume, similar to mounting physical samples in a sample holder before sanding keeping the
 transversal plane in view (**Figure 7B**).

4.2.3.1. Some cross-sections are displayed so that the user can see the grain direction. In
 the last plot (bottom right), inspect the slice that is presented and draw a line to indicate the
 grain direction. Double click to automatically flip rotate the core.

4.2.3.2. Then a screen appears, here crop the volume of the core (Figure 7C), making sure
that the rectangle encompasses the entire core diameter. This is necessary because the central
point of the rectangle should be as close as possible to the core axis (for correct alignment in the
RingIndicator module).

382383 [Place Figure 7 here]

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NOTE: If scanning at high resolution, select only material within the core at this stage and use the
 converter to switch to sample holder type 2. This is certainly helpful for ring-porous species for
 example.

388
389 4.2.4. Perform the following optional steps in case of misaligned, flipped, or broken cores or
390 incompatible image formats.

4.2.4.1. Core tilt correction (only for sample holder 1): To automatically correct for core
tilt (e.g., when the core is slightly inclined in the cylinder; Figure 7A). This is important for later
steps. Select the Extracted Cores folder created in the previous step.

NOTE: This is normally not needed if you have a sample holder type 2 or type 3 (Figure 5) or when
 all samples and sample holders are put perfectly upright.

3994.2.4.2.Flip volumes: In case the sample was not put with the bark side down (the pith-400bark direction is not left to right), change the direction by flipping cores. The function asks for a401folder and will create a new folder.

4034.2.4.3.Glue cores: Stitch large parts of individual cores together virtually. The idea is not404to stitch perfectly, but to make sure that, if needed, single cores are in the same multipage TIFF405volume. Use the broken core indication (see step 54.3.14.109) to overcome the spaces in406between the core pieces.

408 4.2.4.4. Converter: If the volumes have been manipulated in another software package
409 and are saved outside the toolbox (e.g., ImageJ), perform this step to get the header info correct.
410 Use this step for 16-bit multipage TIFF volumes coming from another CT scanner as well and for
411 using the RingIndicator toolbox.

413 NOTE: All steps from here are for structure direction corrections and finally, densitometry and414 tree ring analysis.

## 416 **4.3.** Correcting the angles and indicating rings in RingIndicator.

NOTE: Following steps need to be taken chronologically in the RingIndicator module: (i)
visualization of the transversal and radial plane, (ii) structure correction, (iii) creating the density
profile, and (iv) indicating the rings manually or semi-automatically.

422 **4.3.1.** Perform visualization of transversal and radial plane as described below.

4.3.1.1. Open <u>As an introduction</u>To get familiar with the GUI, open the toolbox and select
 a multipage **TIFF File** (one that was created after extraction and <u>corrected after</u>, tg corrected, so
 from the folder name **Tg corrected**).

428 4.3.1.2. Select the Half Thickness of Slice Image to display an average image of the central
429 X slices, with X ranging from 1-10 with 1 being no averaging (i.e. only display the central slice),
430 and only the central slices are shown, and 10 being an average image of the 10 central slices is
431 shown.
432

433 NOTE: Averaging can be beneficial to highlight ring borders better, and suppress noise, on the 434 other hand it can also make ring borders look less distinct, especially when the fiber angle is 435 substantial. Please tune this value according to the needs. It is important to mention that this is a mis en forme : Police :Gras

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436 437 438	merely for displaying, it does not impact the underlying volume, nor does it impact the eventual calculations/results.
439 440 441 442	4.3.1.3. Inspect the graphical user interface (GUI). The GUI contains a top figure with the transversal plane of the core and a bottom figure with the radial plane of the core, and a menu (Figure 8).
443 444	[Place Figure 8 here]
445	4.3.1.4. Under the Volume-tabTo load a new core volume, search for the Volume > Load
446 447 448	Volume function to select the <u>a new multipage</u> TIFF file in the directory. Now the user is ready to indicate the structure direction to subsequently indicate the actual tree rings.
449 450	4.3.2. Structure correction to facilitate automatic tree ring indications.
451 452 453 454	4.3.2.1. Manually click on the image to insert green bars for structure correction. Start with the pith (or oldest ring available) and end with the most recent ring. Along the core correct for structural deviations on both the transversal and the radial plane.
455 456 457	4.3.2.2. Make sure to indicate the ring and fiber angle properly, because all subsequent indications will take an interpolated angle between the respective indications.
458 459 460 461 462	4.3.2.3. Insert a green bar on one plane, it will automatically generate one on the other plane. After inserting the bar, move the nodes (white squares) at the end of the bar to change the angle. Use the middle node to change the bar position. Adjust the size of the nodes for ease of use.
463 464 465	4.3.2.4. After every step, make sure to press <b>Data &gt; Export</b> and <b>Rings &gt; Export Rings</b> , to ensure that ring and fiber indications are written to the proper .txt files. Throughout all corrections, make sure to always perform these two actions.
467 468	4.3.3. Calculating the density profile.
469	4.3.3.1. Use the <b>Densitometry &gt; Densitometry plot</b> to calculate the density profile. Create
470	and plot a density profile by selecting Overlay Plotting > Plot Density Profile, that can serve as
471	an overlay on the core planes.
472 473	4.3.3.2 For MYD calculation indicate a percentage (recommended is 20% e.g. 20%
474	means that only 20% of the highest density values are included), to exclude lower values from
475	resin ducts, earlywood etc. For mean density values use 100%. For minimum density also use
476	100%.
477	
478 479	4.3.4. Perform manual and/or automatic indication of ring boundaries using one left mouse click per ring as described below.

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NOTE: Insert the same green bars as in step 4.3.2.1., this time the user should do this now ring
 per ring (Figure 9).

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484 4.3.4.1. Use **Overlay Plotting > Plot Density Profile**, to visualize the density profile on the
 485 image. It is best to indicate the ring boundary also in conjunction with this profile.

487 4.3.4.2. For a first visual crossdating as a quality tool with other cores from the same tree,
 488 open another RingIndicator application (by <u>double-clicking on the icon again) and visually assess</u>
 489 both cores on the screen (use two monitors for this approach, if needed).

491 NOTE: Open as many instances from the toolboxes as needed, in combination with the492 CoreComparison toolbox (see further).

494 4.3.4.3.4.2. For automatic indications (recommended for conifers for instanceworks
 495 best for boreal conifers or species with clear ring boundaries defined by the density profile), use
 496 Auto > Max/Min/Inflection detection. This function will automatically indicate tree ring
 497 boundaries based on the densitometry profile created in the previous step.

499 4.3.4.4.4.3.
499 Depending on the species (diffuse-porous/ring-porous or coniferous), use
500 the maximum/minimum, or curve inflection (right after the density peak) as the tree ring
501 boundary criterion. The first parameter is a threshold for the peak function, the second is a
502 smoother function.

5044.3.4.5.4.3.4.4.After performing the automated ring indications, perform automatic505shifting (with window size of 1 pixel and user-defined iterations), while making sure that no ring506indications are plotted on each other due to this shift and re-run the densitometry plot.507

5084.3.4.6.4.3.4.5.Change the felling date to the year at which the increment cores were509taken on living trees or any other date that is suitable. The default is set at 1900.

511 4.3.4.7.4.3.4.6. In **Overlay Plotting**, select **Plot Rings** and the years are displayed. Also 512 select the plane on which to plot rings and/or the density profile.

4.3.4.8.4.3.4.7. <u>After pairwiseOnce there are two cores fully indicated (e.g. from the same tree), do pairwise comparisons of core\_ring width curves by opening the same done in the CoreComparison toolbox. Open one , import the rings in RingIndicator\_instance per core and , change the positions and the angles of the green bars, and export them againedit the indications if necessary. Export them again and , Carry out this iterative and interactive approach to obtain good quality data. In the next step (step 4.4.1.), do this for all cores and to export both the TRW data and the corresponding density values.
</u>

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**Commenté [A1]:** @ Jove, we removed this because redundant an confusing

522 4.3.4.9.4.3.4.8. Check double-ring positions in the **Rings > Check Rings** option; this will 523 check if any indications have a position outside the images as well as rings that are overlapping, 524 e.g., in case if a double click has happened twice on the same position.

## 526 [Place Figure 9 here]

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4.3.4.10.4.3.4.9. For broken cores that have bits and pieces, start at the pith, and demarcate
anomalies (air due to broken cores, bright spots due to resin pockets, contamination, and
decayed parts) as if they were rings to delete them afterwards. End with the tree ring boundary
of the last formed ring and export the data. Try to avoid broken cores during sampling (see step
1.1. and<sup>39</sup>).

NOTE: Both missing as well as broken rings can be indicated, see Figure 10. Two cases are defined, one where the core breaks in the middle of a ring, and one where the core breaks on a ring boundary. For the first one (Figure 10A), indicate the gap, note the number of that gap followed by number 1 and close the brackets. Then the program will sum the two parts of the ring to obtain a correct TRW value. In the second case, the gap number is indicated followed by number 2, and the program will omit this section (Figure 10B).

## 540 [Place Figure 10 here]

542 4.3.4.11.4.3.4.10. By indicating rings and generating density profiles, several .txt files are 543 created and stored in the same folder as the multipage TIFF files, and the most important ones 544 are listed in **Table 1**. Keep these .txt files in the same folder as the TIFF images, in order to further 545 treat them in the CoreComparison module (step 5.4).

## 547 [Place Table 1 here]

548
549 NOTE: For calculating density values in a different way based on the raw density profile (for
550 instance latewood width vs earlywood width<sup>45</sup> or other metrics not supported by the
551 CoreComparison and CoreProcessor toolboxes), use the zpos\_corr and density\_corr text files and
552 use these for such calculations.

# 554 4.4. For comparing TRW series and exporting the data via CoreComparison, follow the steps 555 below.

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NOTE: When using this toolbox, two windows are opened simultaneously, the CoreComparison and the PatternMatching module. The CoreComparison deals with cross dating and exporting of TRW data, whereas the PatternMatching module can be used for exporting density profiles and density values (MXD, minimum density (MND), mean density, and 4 quartile values). The main goal of CoreComparison is to generate the TRW values and density values, and to have a rough estimate of cross dating quality, with a coupling to RindIndicator in case of missing rings or wrong indications.

<ul> <li>series.</li> <li>4.4.1.1. Once the RingIndicator section is completed, select the text files to compare, a a screen will open with ring widths, together with cross dating or_dete-statistical parameters st as Gleichlaüfigkeit (GLK)<sup>46</sup> and or Spearman correlation between individual series (Figure 11),</li> <li>4.4.1.2. To make changes in the ring indications (for instance during cross dating), re-op RingIndicator, import data, export the modified data and rings, and use the Refresh buttom the CoreComparison module (see top left-hand side) to see the changes.</li> <li>4.4.1.3. Open multiple RingIndicator instances to make changes to different tree-riseries and use multiple screen monitors to do this.</li> <li>4.4.1.4. In the GLK and CORR menu (Figure 11), perform a series of actions scan-related GLK, such as thresholding the figures, exporting the GLK values to a spreadsheet and ranki them.</li> <li>[Place Figure 11 here]</li> <li>4.4.2. Obtain TRW values from CoreComparison as described below.</li> <li>4.4.2.1. Use the plotting and exporting function in CoreComparison to change the offs between the curves (a larger value result in a smaller offset). Visualize the TRW data in a plot selecting Plotting and Exporting &gt; Ring width.</li> <li>4.4.2.1. Export the TRW data by clicking Plotting and Exporting &gt; Export RW Data. Expring widths in spreadsheet format or in Tucson format.</li> <li>4.4.3.1. To obtain the density profiles in spreadsheet, go to the PatternMatching modul and after loading in the profiles, in the menu Other select Plotting &gt; Export Corrected Dens Profiles.</li> <li>4.4.3. Dotain MXD, MND, and other density values from the PatternMatching module described below.</li> <li>4.4.4.1. For obtaining the mean density, MXD, MND and quartile data per tree ring, or other Plotting &gt; Export Clustered Data. A spreadsheet will be generated and can be found in the density profiles.</li> </ul>	<mark>4.4.1. Perfo</mark>	orm the following steps for cross dating or matching the ring width pattern with oth
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610 4.4.4.2. The tabs in the spreadsheet are detailed in **Table 2**. Export these tree ring variables 611 to Rstudio or other software for further analysis.

613 [Place **Table 2** here]

## 615 **REPRESENTATIVE RESULTS:**

616 If the goal is biomass estimation or tree growth increment of many samples, i.e., inter-ring scale 617 (Figure 1), then sample holder 1 (Figure 35) is used to scan samples to obtain density profiles 618 (see step 5.4.3) and estimates of tree growth, e.g., for fast-growing trees with large TRW, which 619 allows for a coarser resolution. Figure 12 shows an example of both pith-to-bark and axial density 620 trends of *T. superba*, a species from the Congo basin. These diagrams were based on scans of 110 621 µm from tree increment cores taken at various height positions in the stem. The profiles were 622 replicated to 360° to mimic the stem cross-section.

For the ring scale (Figure 1) that is targeted by scanning cores with sample holder 2 (Figure 53B),
 tree ring analysis and MXD calculation is possible. An example of this is Figure 13, where a
 minimum density (in this case linked to wet season precipitation) and maximum density
 chronology is plotted for cores of *W. cedarbergensis* from the Cedarberg mountains in South
 Africa<sup>26</sup>. This was based on scanning mounted cores at 10 µm resolution.

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For the anatomical scale (Figure 1), scanning cores with holder 3, i.e., multiple cores in papers
 straws wrapped together, allows a resolution of up to 4 μm. The result is shown in Figure 14,
 whereare of an X-ray CT image slice of oak-core (Q. robur) scanned-is shown, and with both
 earlywood and latewood vessels are-segmented.

## 634635 FIGURE AND TABLE LEGENDS:

Figure 1: General methodological decision tree for X-ray CT scanning. The rows indicate the steps to take, starting from the research goal all the way to the final data format. White boxes are the steps that are relevant for this toolchain. Greyed-out boxes are steps that can be performed with other software or R packages, such as dplr<sup>47</sup> and Treeclim<sup>48</sup> for tree-ring analysis, and ROXAS<sup>44</sup> as well as ImageJ<sup>42</sup> or other (commercial) applications for deriving wood anatomical parameters based on the CT images.

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Figure 2. The HECTOR scanning system. The system<sup>35</sup>, showing the source detector distance
 (SDD) and the source object distance (SOD).

Figure 3. Scanning set-up examples. (A) A log, (B) a cello<sup>49</sup>, (C) sample holders (type 1) with tree
 cores for batch scanning and (D) sample holder type 2 with increment cores for helical scanning
 mounted on the rotation stage of HECTOR.

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Figure 4. Workflow for extracting and drying cores prior to scanning. (A) Increment cores in paper straws are first put in a hot water bath and subsequently in a hot ethanol-toluene mixture with a Soxhlet apparatus for 24 h then dried, put in a hot water bath for 24 h, then dried again at 103.5 °C, then conditioned before scanning. (B) Images of the adapted Soxhlet set-up at Ghent
University. Multiple adapted Soxhlet apparatuses are serially attached. Note the aluminum foil
and insulation tubes to keep the solvent or solvent mixture warm enough for extraction. (C)
Example of the surface of an increment core from *Pinus longaeva* before and after extraction.
Resins and other extractives mask the true density signal, due to attenuation of the X-rays.

**Figure 5. Sample holder types and resolution**. Design of the three main holder types, that correspond to (**A**) inter-ring scale, (**B**) tree ring scale, and (**C**) anatomical scale. Due to the resulting size, the number of samples decreases with increasing resolution requirement. The corresponding 3D renderings for (**D**) inter-ring scale (holder 1), (**E**) ring width and MXD (holder type 2), and (**F**) anatomical parameters (holder type 3). Scale bar = 5 mm.

Figure 6. Obtaining wood density values from grey values. Conversion of the grey values of the
image to actual wood density estimates, through calibration with a reference material. (A)
Sample holder 1, at 60 μm resolution, showing the air reference, white reference, and the core.
(B) Sample holder, at 20 μm, air, reference and core are shown as well.

Figure 7. Pre-processing steps of the 3D core volume. Pre-processing steps of the 3D core
volume before visualization and indicating the tree rings. (A) Tilt (axial) correction, (B) tangential
correction, with the grey bars indicating the fiber direction, (C) the cropping of the core volume
to obtain a volume with only woody voxels.

Figure 8. Screenshot of a core. Screenshot of a core (tangential width approximately 3 mm, resolution μm) opened in the RingIndicator toolbox. Top pane is the transversal view, bottom pane is the radial view. Green bars show the structure indication (in this case on the ring boundary), red line is the density profile. The yellow numbers indicate calendar year, and the blue numbers the generic ring number (counting from the first indication).

Figure 9. Ring indication and density profile visualization in the RingIndicator module. Greenlines are indications, red line is the wood density profile.

Figure 10. How to deal with cracks in the cores. (A) When a crack occurs in the middle of the ring, the generic ring number (starting from the pith) will be labeled as a gap, and TRW will be calculated by summing the widths of the two ring parts. Parameters are 3 (number of the ring) and 1 (case 1: crack in the middle of a ring)<sup>31</sup>. (B) When a crack occurs at a ring boundary, the gap will be omitted from the TRW calculation. Parameters are 3 (number of the ring) and 2 (case 2: crack at the ring boundary)<sup>31</sup>.

**Figure 11. Screenshot of the Core-Comparison module.** Screenshot of the Core-Comparison module, where ring width series are distributed vertically for easy visual comparison. The top pane shows the ring width of 4 cores from *Fagus sylvatica*, the bottom left pane shows the Gleichlaüfigkeit (GLK) and the bottom right pane the Spearman correlation coefficient.

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Figure 12. Radial and axial wood density trends. (A) Radial variation of ovendry wood density
(kg/m<sup>3</sup>) from 46 *Terminalia superba* trees from the Congo Basin (DRC; data extracted from <sup>21</sup>),
with minimum (min), mean, and maximum (max) values, with a raw profile (red) superposed. (B)
Radial variation and axial variation from a single *Terminalia superba* tree, where at every height
interval of 1 m an increment core was taken and scanned (23 cores in total). There is an increasing
density trend from pith to bark (highlighted according to colorbar), and a higher wood density in
the upper stem. Scanning resolution = 110 μm.

706 [Place Figure 13 here]

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Figure 13. Chronology development. A minimum density and a maximum latewood density
 chronology from *Widdringtonia cedarbergensis*. Data extracted from<sup>26</sup>. The scanning resolution
 is 10 μm.

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Figure 14. High-resolution scan of an oak sample. Example of a segmentation of oak vessels on
 *Quercus robur* scanned at 4 μm. Segmentation was performed using the octopus. Octopus analysis
 software, using bilateral filtering, thresholding, a series of binary shrink and expand operations,
 Euclidian distance transform and finally labelling of the vessels. Color represents vessel size,
 ranging from small (dark blue) to large (dark orange).

Table 1. Text files generated by RingIndicator. List of text files that are generated after indicating
 rings and exporting the density profile in RingIndicator. These should remain in the same folder
 as the .tiff file in order to be opened in the CoreComparison module.

Table 2. Spreadsheet details. Tree-ring variables that are exported into a spreadsheet named
 export clustered data.

## 727 **DISCUSSION:**

728 Critical steps within the protocol

729 Critical steps within the protocol include proper handling of the increment borer to obtain high-730 quality increment cores (step 1.1. and see<sup>39</sup>) to avoid bits and pieces. Next, it is essential that 731 cores be left unmounted (but see<sup>26</sup>), both for insertion in the sample holder (Figure 5, see<sup>21</sup>) as 732 well as for proper resin extraction<sup>50</sup> and for possible future analysis. Right before scanning (step 733 2.2.2.), the conditioning of the samples in the scanner room is essential to avoid dimensional 734 deformations due to fluctuations of moisture. Then, once the rings can be indicated in the 735 RingIndicator module, it is essential that the ring boundaries and angles are well indicated, 736 because the density peaks can flatten, similar to inaccurate indications on classic densitometry 737 systems<sup>13</sup>. The indication is also important to have correct ring width measurements<sup>21</sup>. The next 738 critical step is proper cross dating through the CoreComparison module (step 4.4.1.). If some 739 cores have wrong indications, there could be an environmental signal loss<sup>51</sup>.

741 Modifications and troubleshooting of the technique

742 Note that the toolboxes RingIndicator and CoreComparison can be used for flatbed images as

743 well<sup>52</sup> after converting the data to the proper resolution in the CoreProcessor module. Therefore,

the DICOM format (common format for medical purposes and is also used for wood samples<sup>53</sup>)

needs to be converted to .tiff. Departments that have medical CT scanner can use this software

 $\,$  746  $\,$  only for the type 1 inter-ring scale analysis type with resolutions of around 200  $\mu m.$ 

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748 Limitations of the technique

The drawback of the method is that there are only a few facilities available for scanning for tree ring scale and anatomical scale (which require a higher resolution). Data volume and data handling can be challenging for larger volumes<sup>54</sup>. For very high-resolution images of wood anatomy (e.g., to further elucidate pit structures etc.), refer to classic procedures of using microsections for classic transmitted light microscopy<sup>27</sup>, scanning electron microscopy or confocal microscopy<sup>55</sup>.

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756 Significance with respect to existing methods

Using the X-ray CT<sub>7</sub> toolchain for increment cores presented here has been tested as showing
 reliable density values due to the calibration step (Figure 6)<sup>56</sup> and generates MXD values
 comparable to existing densitometry procedures<sup>13</sup>.

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761 Future applications of the technique

Any future applications of the technique might include the application of deep learning, amongst
 others <u>for</u> the automated ring indications and wood tissue quantifications<sup>57</sup>, specifically for 3D
 images.

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## 775 DISCLOSURES

The authors have no conflicts of interest to disclose.

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