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4 **Fused-Deposition Modeling**

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Formulation and Quality Consideration of Cannabidiol Printed Forms Produced by Fused-Deposition Modeling

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1. Introduction

Cannabidiol (CBD) is a promising non-psychoactive cannabinoid that shows several clinical outcomes. Commercially, this molecule is used in Epidiolex® (Greenwich Biosciences, Inc), a Food and Drug Administration (FDA)-approved drug to treat Lennox-Gastaut and Dravet syndromes, which are childhood-onset epilepsy [1]. These two syndromes are difficult to treat and the dosage of Epidiolex® can reach a maximum of 10 mg/kg twice a day [2]. In addition to these indications, CBD has been studied for the treatment of different health problems, such as opioids use disorder, social anxiety, schizophrenia or cancers with a wide range of dosages varying from less than 1mg/kg/day to 50 mg/kg/day [3–5]. For example, Hurd *et al.* recently performed a clinical trial to assess the efficacy of CBD to inhibit the drug cue-induced craving and anxiety in drug-abstinent individuals with heroin use disorder [6]. Moreover, some authors conducted a systematic review of clinical studies performed on CBD [7]. It first appeared that the tested CBD formulations were mainly administrated in the form of an oily solution, formulated in capsule or not. However, lipid formulations suffer from some drawbacks such as the sensitivity to oxidation or the compatibility with the capsule when encapsulation is considered [8]. The development of an oral solid dosage form of CBD would therefore be interesting for future investigations on its therapeutic effects. Secondly, among the trials that demonstrated positive effects of CBD on the treatment studied, the authors observed that the dosage of CBD can induce the variation of its therapeutic effect. Although clinical trials need to be conducted on a larger number of subjects, this suggests that in the future, clinicians will need to be able to formulate CBD drugs with adjustable dosages in order to be able to treat patients in the most effective way. In addition to these variable dosage challenges, the low bioavailability of CBD must be considered. Indeed, CBD is part of class II of the Biopharmaceutical Classification System (BCS). This drug shows poor aqueous solubility (0.01 µg/mL) and a high hepatic first pass metabolism which result in an estimated bioavailability of 6% [9]. Moreover, CBD absorption is erratic, leading to high variation of plasmatic concentrations in clinical population [10–12]. It is therefore crucial to develop strategies that improve CBD oral bioavailability with solid oral formulations which are preferred by patients and more stable than the liquid formulations.

Several techniques are used to improve the solubility of BCS II molecules, such as dissolution of the drug in lipid media, complexation into cyclodextrins, the impregnation of mesoporous silica or the formation of amorphous solid dispersions (ASDs), especially by hot-melt extrusion (HME) and spray drying [13–15]. Compared to the spray drying technique, HME has the advantage of working without the use of expensive and polluting solvents.

63 In a previous study, twin screw HME has already been used to increase the apparent aqueous
64 solubility of CBD [16]. This technique involves the heating of a mixture between an active
65 pharmaceutical ingredient (API) and one or more polymers along two screws, inside a barrel,
66 until obtaining a filament in the shape of a spaghetti or a film, depending on the shape of the
67 die. The filament can further, among other processes, be pelletized and filled into capsules,
68 used directly as implant, be milled and compressed or shaped by 3D printing [17–20].
69 Since the FDA-approval of the first 3D printed dosage form Spritam® manufactured using the
70 drop-on-powder deposition (Aprecia Pharmaceuticals), the application of 3D printing has been
71 extensively studied in the pharmaceutical field. 3D printing represents a great potential in drug
72 formulation due to its flexibility, its ability to produce very complex structures, amorphous forms,
73 dosage forms with multiple drugs without drug-drug incompatibility issues and on-demand
74 manufacturing [21]. In addition, 3D printing makes it possible to move away from the one-size-
75 fits-all manufacturing approach applied by conventional manufacturing techniques. Due to
76 differences in genetics, weight, height, metabolism, health condition, age and tendency for
77 compliance from patient to patient, there is a need for drugs with adaptable drug combinations,
78 dosages and release rates to treat each individual adequately [20,22]. Therefore, the faculty of
79 3D printing to convert a great number of digital patterns into solid drug dosage forms with
80 different dose or drug release makes 3D printing a great tool in personalized medicine. In this
81 regard, fused deposition modeling (FDM) has already offered solutions to many challenges.
82 This technique consists of driving a filament by two wheels into a print head in which it is melted.
83 The molten filament is deposited, layer by layer, on a platform until obtaining a 3D object,
84 previously designed on a computer [23]. FDM has been reported for the print multiple layered
85 tablets, each layer with a different drug to reduce the risk of a missing dose by the patient [24].
86 In another study, attractive shapes were printed by FDM to increase the compliance of young
87 patients [25]. Another example is the use of FDM to manufacture pulsatile delivery system to
88 treat patients suffering from conditions that require complex release profile [26]. Moreover, it's
89 a low cost and simple method and it does not imply the use of organic solvents. These
90 advantages could one day widespread decentralized drug manufacture by FDM, such as in
91 hospitals or public pharmacies in which its advantages regarding the personalized medicine
92 could be fully exploited. However despite the myriad of studies on the benefits of the 3D printing
93 technique, there are still no guidelines regarding the evaluation of the performances or the
94 quality control of the final 3D printed product [27]. Currently, the FDA recommends following the
95 same production and control guidelines as conventional manufacturing techniques [28].
96 Therefore, this work aims to explore the development of a solid oral form of CBD with an
97 immediate release printed by FDM. We have focused our research on the influence of the
98 composition and the morphology of the formulation on the reproducibility of the process as well
99 as on its pharmaco-technical performances based on the European Pharmacopoeia uncoated
100 tablets monograph.

101 This study is divided into three parts. First, different combinations of two polymers mixed with
102 CBD were extruded and printed in order to select the most suitable for the production of CBD

103 printed forms with an immediate release (IR).According to the European Pharmacopoeia, an IR
104 is achieved if at least 80% of the drug is released within 45 min (European Pharmacopoeia,
105 Edition 11.0, monograph 5.17.1). This type of formulation should increase the CBD
106 bioavailability. Indeed, Izgelov claimed that, due to its lipid nature, absorption of CBD mainly
107 occurs in the upper part of the intestine, explaining the advantage of the dissolution of the drug
108 in the stomach [29]. In this regard, IR formulation were chosen as they should dissolve early in
109 the gastrointestinal tract, making dissolved CBD available to be absorbed early in the intestine.
110 Secondly, the selected formulation was extruded and printed in six different designs and the
111 influence of the morphology was evaluated in terms of reproducibility and quality. Finally, the
112 dosage adaptability allowed by the FDM was studied. In this regard, three different dosages of
113 CBD were characterized and compared.

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2. Material and methods

2.1. Materials

CBD was purchased from THC Pharm (Frankfurt, Germany). Eudragit®EPO (EPO, amino alkyl methacrylate copolymer, Tg: 50 °C, soluble in water at pH<5, gifted by Evonik, Germany) was used as matrix former. Poly ethylene oxide (PEO, POLYOX® WSR N10, MW 100000, gifted by Colorcon, UK), a semi-crystalline polymer (Tg = -67 °C and Tm = 65-70 °C) was used for its plasticizing effect.

2.2. Thermogravimetric Analysis (TGA)

TGA analysis were performed on CBD, EPO and PEO using Mettler-Toledo® TGA2 (Schwerzenbach, Swiss) controlled by the STARe System software version 12.10. Samples between 7 and 13 mg were added to an aluminum pan without lid. The % mass loss of CBD was measured during a heating ramp ranging from 25 to 500 °C at a rate of 20 °C/min. The experiments were conducted under nitrogen gas flow of 80 mL/min.

2.3. Drug Loaded Filaments Preparation

Five EPO/PEO ratios, added with 10% of CBD, were used to produce filaments by HME in order to select the formulation that allows the higher dissolution rate of CBD (Table 1). The physical mixtures were prepared using a mortar and a pestle and extruded using a Pharma 11 twin-screw extruder (ThermoFisher Scientific, Germany) with a screw configuration containing two kneading zones at 140 °C and 50 rpm. Two devices were set up in the extrusion line in order to modulate the filament diameter. The first one is a melt pump 12/3 (Advanced Futur Polymer, France), heated at 140 °C, connected between the barrel and the die. This pump is composed of two toothed wheels which decreased the pulsation output of the filament at the die. The second device is an air-cooled conveyor belt (ThermoFisher Scientific, Germany) which pulled the filament more or less quickly in order to decrease or increase the diameter of the filament. A digital caliper was used to measure the diameter during extrusion and the target value was 1.75 mm.

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Table 1 - HME formulations

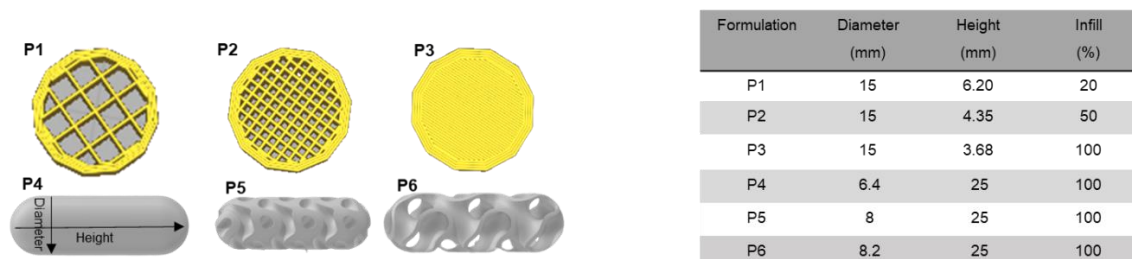
Formulation	CBD (%)	PEO (%)	EPO (%)	Ratio PEO/EPO
F1	10	72	18	80/20
F2	10	54	36	60/40
F3	10	45	45	50/50
F4	10	36	54	40/60
F5	10	18	72	20/80

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2.4. Design and Printing of the Formulations

Formulations F1 to F5 were printed into cylinder referred as P2 on Fig. 1. The formulation which allowed the greatest increase of CBD apparent aqueous solubility was then printed using the six design templates in order to evaluate which properties were influenced by the morphology of the printed forms. The six designs of oral forms were created online using Tinkercad (<https://tinkercad.com/>) for the cylindrical shapes and Shapeways (<https://shapeways.com/>) for the gyroidal shapes (Fig. 1). The term gyroid has been chosen to describe the capsule-like shapes with or without villi. The three gyroids have 100% of infill because P4 is a solid form and the villi of P5 and P6 are not inside the printed form. The obtained .stl files were converted to gcode files using the software Ultimaker Cura, version 4.11.0 (Ultimaker, The Netherlands).



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Figure 1 - Representation of the six designs (left) and theoretical morphological characteristics and % of infill for each design (right).

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The dimensions of each design were adjusted in order to achieve a target mass of 650 mg per printed form which corresponds to an equivalent of 65 mg of CBD. This dosage was chosen in order to be within the dose range tested in the different studies found in the literature [7]. The printed forms were produced with a 3D Original Prusa i3 MK3 printer (Prusa, Prague, Czech Republic) equipped with a 0.4 mm nozzle. As the melted formulations were sticky, a heating-resistant scotch tape was placed on it in order to remove the product easily from the heated platform. The printing temperature and bed temperature were set at 180 °C and 25 °C, respectively. The printing speed was 40 mm/s for the cylinders and 28 mm/s for the gyroids.

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2.5. X-ray Diffraction

In order to evaluate the physical state of CBD once printed, disks (23.12 mm diameter x 1.00 mm height) made of drug loaded mixtures of Eudragit®EPO and Polyox®N10 were printed and analyzed. X-ray diffractograms were collected using a Bruker D8 TWIN-TWIN diffractometer in Bragg-Brentano configuration (Cu Kalpha radiation, variable divergence slit V6, sample rotation 15 rpm) with a Lynxeye XET detector in 1D mode (192 channels) and a total scan time of 15 or 30 min for a 0.02° step size.

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2.6. Drug Content of Filaments and Printed Forms

Since the formulations are exposed to high temperatures during both stages of their production, the drug content was measured after the HME and after the printing steps. Samples of 20-30 mg were cut from the filaments and the printed forms and dissolved in acetonitrile prior to HPLC analysis. A validated reverse phase high-performance liquid chromatography (HPLC) analytical method, described in a previous work, was used [30]. The HPLC equipment consisted of an Agilent® 1100 (Santa Clara, USA) with OpenLab CDS IC ChemStation version C.01.05 as the software. The mobile phase was composed of water/acetonitrile (38/62% (v/v)) and the column was Zorbax® C18 300 SB with particles of 3.5 µm (150 mm x 4.6 mm ID). The flow rate was set at 1.0 mL/min and the temperature was kept at 30 °C. The injection volume was 20.0 µL, the chromatographic run time was 10 min, CBD retention time was 5.9 min and the detection of CBD was made at a wavelength of 240 nm. The measurements were carried out in triplicate.

2.7. Computerized Tomography Scan

In order to measure their volume and surface area (SA), the printed forms were analyzed with a computerized tomography scan, Skyscan 1172/G (Bruker, Billerica, Massachusetts, United States). The voltage of the x-ray source was 100 kV, and a 0.5 mm aluminum filter was used to harden the x-rays (RX). The magnification was set for a pixel size of 19.91 µm, taking into account 4x4 binning at the detector. The exposure time of an x-ray was 300 ms, for a total of 1,200 360° radios per acquisition. Reconstruction was performed on NRecon software (v. 1.7.3.1), using ring artifact correction (level 5) and 20% beam hardening correction. The processing was carried out on the Avizo software (v. 9.2) supplemented with calculation modules and scripts which were coded. The reconstructions first went through a median filter using an 18-neighborhood, then a thresholding was performed (threshold identified by the Otsu method). The larger 6-connected component has been extracted, and the cavities (all 26-connected components of the background except the largest) have been plugged. The technique of marching cubes was used to triangulate the interface of the solid with the exterior, then a Gaussian smoothing (2 iterations with a lambda of 0.6) was applied before measuring the area.

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2.8. Characterization of the Printed Forms Properties

As there is no monograph dedicated to the printed products yet, they were characterized with tests based on the monograph dedicated to the uncoated tablets of the European Pharmacopeia Edition 11.2.

Friability Test

Ten printed forms of each design were randomly taken and friability test was conducted using a Friabilator USP F2 Sotax® (Aesch, Switzerland) according to the monograph 2.9.7. Eur. Ph. Edition 11.2.

Tensile Strength (TS)

The tensile strength of each designed printed forms was determined in triplicate using the breaking force (F) measured by a crushing strength tester Pharmatron MT-50 Sotax® (Aesch, Switzerland) and with the following equations [31]:

$$TS = \frac{2F}{\pi Dt} \text{ for cylinders}$$

$$TS = \frac{2}{3} \left(\frac{10F}{\pi D^2 \left(2.84 \frac{t}{D} - 0.126 \frac{t}{W} + 3.15 \frac{W}{D} + 0.01 \right)} \right) \text{ for gyroids}$$

Where:

D is the diameter

t is the overall thickness

W is the wall height

The measure of the tensile strength was preferred to the simple measurement of the hardness, described in the tablet monograph, in order to normalize the hardness according to the shape of the printed form.

Mass Variation

Ten printed forms of each design were individually weighted and the Acceptance Value (AV) was calculated according to the monograph 2.9.40. Eur. Ph. Edition 11.2.

Uniformity of Mass

Ten printed forms of each design were weighed and the average mass was determined according to the monograph 2.9.5 Eur. Ph. Edition 11.2.

In Vitro Drug Release Studies

The dissolution tests were carried out using the USP II paddle method apparatus AT7 (Sotax®, Switzerland). The first set of dissolution tests involved formulations containing different EPO/PEO ratios in a cylinder shape with 50% infill (P2), while the second set

266 involved the best formulation printed in six different shapes (P1-P6). Crystalline CBD
267 filled into capsules and the printed formulations were weighed down and placed in a
268 dissolution vessel containing 500 mL of HCl 0.1 M. The stirring speed was 100 rpm and
269 the temperature was maintained at 37 °C during 4h. Aliquot samples of 2.0 mL were
270 withdrawn at 5, 15, 30, 45, 60, 90, 120, 180 and 240 min. An equal volume of fresh
271 dissolution medium was replaced after each withdrawal. Samples were then analyzed
272 by HPLC. The dissolution tests were carried out in triplicates.

273 2.9. Statistical Analysis

274 One-way ANOVA with a Tukey post-test was used thanks to GraphPad Prism 5.0
275 software to analyze the results. Differences in results were considered significant with
276 * $p \leq 0.05$ and ** $p \leq 0.01$.

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281 **3. Results and discussion**

282 3.1. Impact of the Polymers Ratio on the Dissolution Speed

283 3.1.1. Filaments extrusion and Printed Forms Production

284 The filament diameter is a crucial parameter to control before the printing. Indeed, it
285 influences the traction of the filament by the two driving wheels of the printer as well as
286 the mass and the dimensions of the final printed form. Achieving an accurate and
287 consistent filament diameter is challenging and the production process of this filament
288 sometimes needs to be modified. For example, the temperature, feed rate or screw
289 speed can be changed to vary the diameter of the filament [32]. Extra equipment can
290 also be added to the process, such as the use of a filament maker which is composed
291 of an extruder that melts the material and extrudes it through a die, producing a filament
292 that is pulled around a spool [33]. An optical sensor allows a very precise adjustment of
293 the filament diameter. In this work, the diameter of the extruded filaments of F1, F2, F3,
294 F4 and F5 measured at 20 different sections, was relatively constant with a range
295 between 1.69 and 1.80 mm. This proved the suitability of the melt pump with the addition
296 of a conveyor belt to precisely control the diameter.

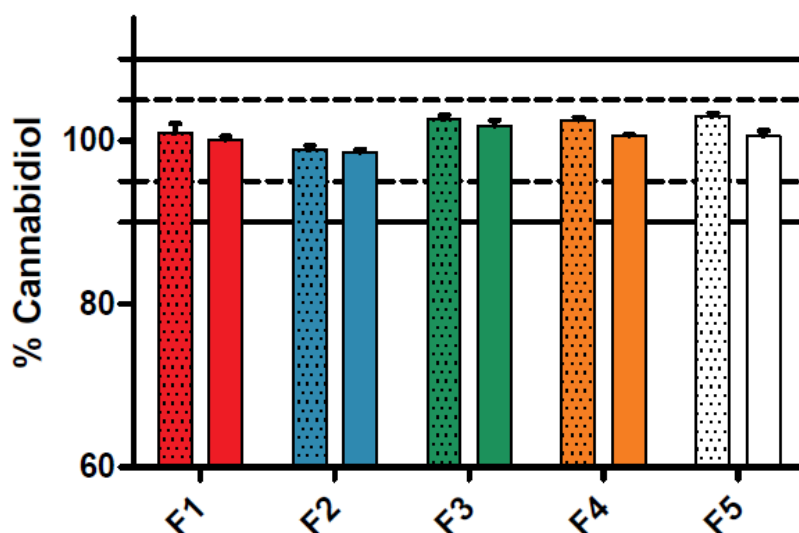
297 Another essential parameter to obtain a printable formulation is the flexibility of the
298 filament. EPO was chosen as a polymeric matrix for the increase of the apparent
299 aqueous solubility, in an acid medium, of CBD following a previous study [16]. Due to
300 its ability to dissolve rapidly in the stomach, EPO is suitable for the production of IR
301 formulations [34]. However, its brittleness makes it not printable without being
302 plasticized so it was mixed with PEO for the production of the filaments [35]. Indeed,
303 too brittle filaments could break between the two gears of the printer. PEO has already
304 demonstrated its ability to increase the printability of EPO by plasticizing it [36]. All the
305 formulations could be extruded, with a torque decreasing proportionally with the
306 increase in the proportion of PEO, highlighting its plasticizing effect [37]. The resulting
307 filaments were smooth, translucent, suggesting a complete dissolution of CBD in the
308 polymers blend, and slightly yellow, due to the natural color of CBD [38].

309 Moreover, all the formulations could be printed, even those containing large proportions
310 of EPO confirming the increase in flexibility of this polymer, even with low percentages
311 of PEO.

312 3.1.2. Drug Recovery

313 The HME and the FDM processes both involving relatively high temperatures thus the
314 drug recovery was evaluated after HME and after the 3D printing of the five formulations
315 (F1-F5). Since the final forms produced by FDM 3D printing are intended for hospital or
316 public pharmacies whereas the filament will be produced as a raw material, the limits
317 of the CBD content have been set between 90 and 110% for printed forms and 95-
318 105% for the filaments.
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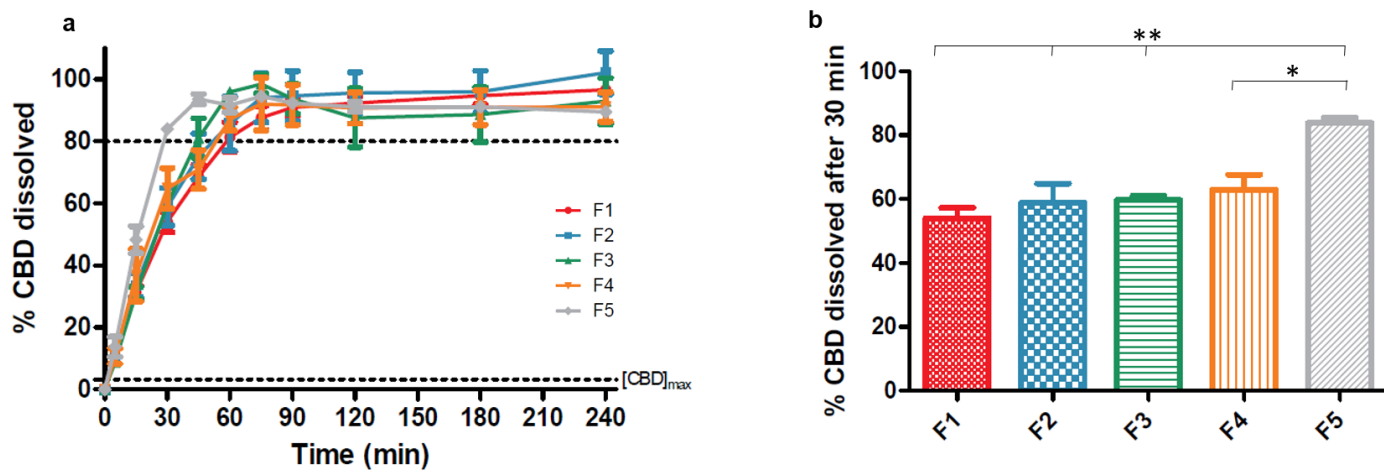
320 The results on Figure 2 show that the drug recovery, whether in the filaments or in the
321 printed form, was between the defined limits, with a minimum and maximum of
322 $98.5 \pm 0.5\%$ and $102.5 \pm 0.7\%$, respectively. Moreover, there were no significant
323 differences before and after the printing, for each formulation. These results are in
324 accordance with the TGA analysis (appendix), as the extrusion and printing
325 temperatures ($140\text{ }^{\circ}\text{C}$ and $180\text{ }^{\circ}\text{C}$, respectively) did not exceed the degradation
326 temperature of the CBD ($220\text{ }^{\circ}\text{C}$).



327
328 *Figure 2 – CBD recovery of the filaments (dashed columns) and the printed forms (empty columns) of F1, F2, F3,*
329 *F4 and F5. The defined limits of the drug recovery in the filaments are represented by the dotted lines at 95 and*
330 *105% and by the continuous lines at 90 and 110% for the drug recovery in the printed forms.*

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332 **3.1.3. In Vitro Dissolution Tests**
333 The dissolution in an acidic medium of formulations F1, F2, F3, F4 and F5 was first
334 carried out in order to choose the formulation which allowed the fastest dissolution of
335 CBD. The curves on Figure 3.a show that each formulation improved the apparent
336 aqueous solubility of CBD compared to raw CBD. This improvement is explained first
337 by the maintenance of the CBD in its amorphous form by the two polymers in the printed
338 forms, confirmed by the absence of the characteristic peaks of the crystalline CBD
339 around 10° on the diffractogram patterns of F1, F2, F3, F4 and F5 printed disks (Fig.4).
340 The apparent solubility of the CBD is also improved by the property of EPO to create
341 micelles which can solubilize poor water-soluble molecules [39]. However regarding the
342 dissolution rate, only F5 conducted to an IR of the CBD, with $83.91 \pm 2.15\%$ dissolved
343 after 30 min which is significantly different from the other four formulations (Fig. 3.b).
344 Indeed, F1, F2, F3 and F4 respectively dissolve $54.03 \pm 4.64\%$, $58.85 \pm 8.57\%$, 59.69
345 $\pm 1.92\%$ and $65.85 \pm 9.19\%$. This could be explained by the property of EPO to
346 substantially solubilize drugs in acidic solution [40]. These differences in dissolution
347 rates could also be explained by the PEO/EPO ratio. PEO is well-known to form, on

348 contact with aqueous media, a hydrogel which can slow the liberation of the drug
349 [34,41]. This gelling effect leads to the conclusion that the more PEO there is, the slower
350 the CBD will dissolve.



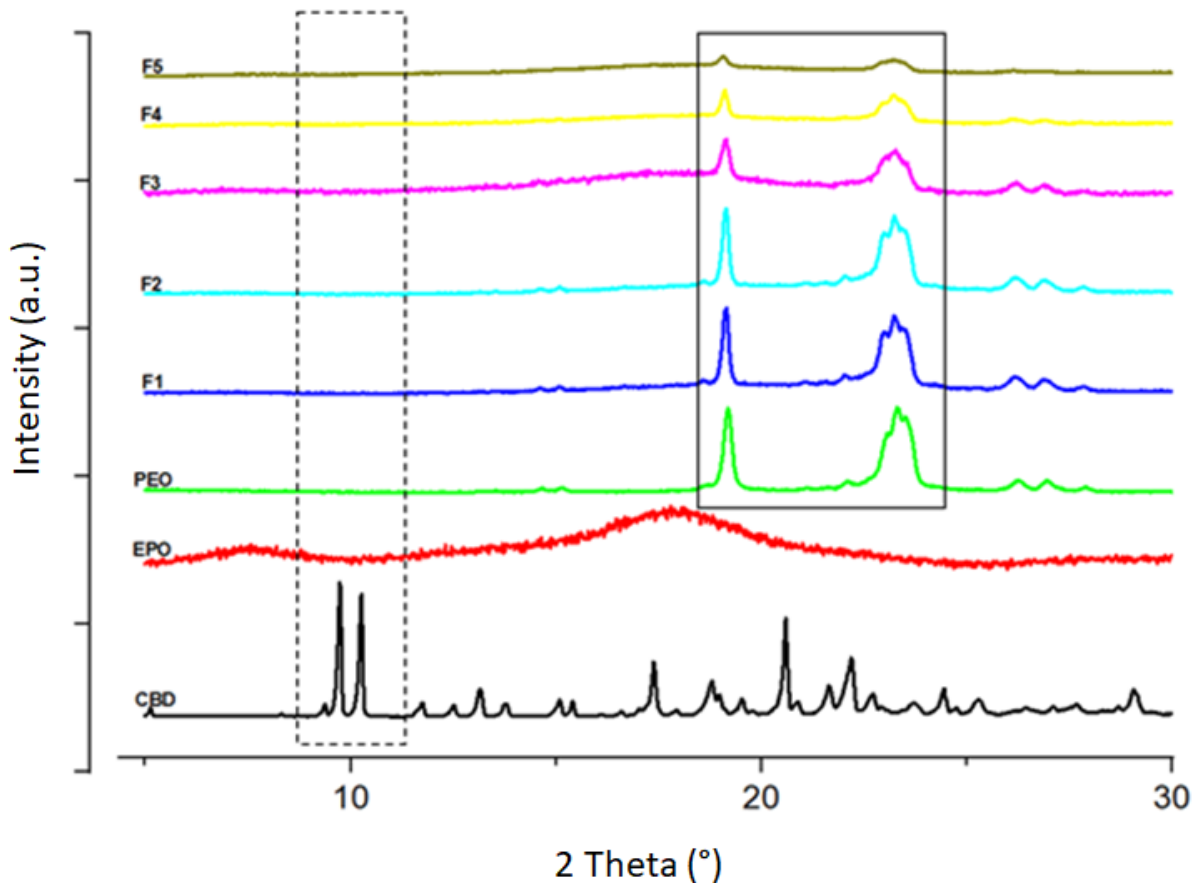
351
352 *Figure 3 – Dissolution profile of F1 (red), F2 (blue), F3 (green), F4 (orange) and F5 (gray) in HCl*
353 *0.1 M, with the lower dashed line representing the solubility at saturation of the raw CBD in the*
354 *dissolution medium and the upper dashed line representing the 80% of dissolved CBD (a) and*
355 *focus on the percentage of dissolved CBD after 30 min depending on the*
356 *Eudragit®EPO/Polyox®N10 ratio with * representing p value ≤ 0.05 and ** representing p value ≤*
357 *0.01 (b).*

358 The formulation F5 (PEO/EPO (20/80)) was selected to study the pharmaceutical
359 quality of the six designs of printed forms due to its ability to immediately release the
360 CBD.

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365 *Figure 4 - XRD patterns of crystalline CBD (black), raw EPO (red), raw PEO (light green) and the printed*
 366 *disks composed of F1 (dark blue), F2 (light blue), F3 (purple), F4 (yellow) and F5 dark green). The*
 367 *diffractogram of EPO shows a halo confirming the amorphous state of this polymer. The dotted frame*
 368 *shows the absence of the characteristic peaks of crystalline CBD at about 10° in each formulation, which*
 369 *means that CBD is completely amorphous in all printed disks. The continuous line frame highlights the*
 370 *semi-crystalline character of PEO which shows characteristic peaks at about 19° and 24°. These peaks*
 371 *are also present in the printed disks, suggesting rapid recrystallization of PEO.*

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373 3.2. Impact of the morphology on the reproducibility and quality

374 Cylinders and capsule-like forms (called gyroid in this study) are the most used shapes for the
 375 production of drugs. In that regard, these shapes were chosen to study the impact of the
 376 morphology on the reproducibility of the printed forms manufacture, their mechanical properties
 377 and their *in vitro* dissolution performance.

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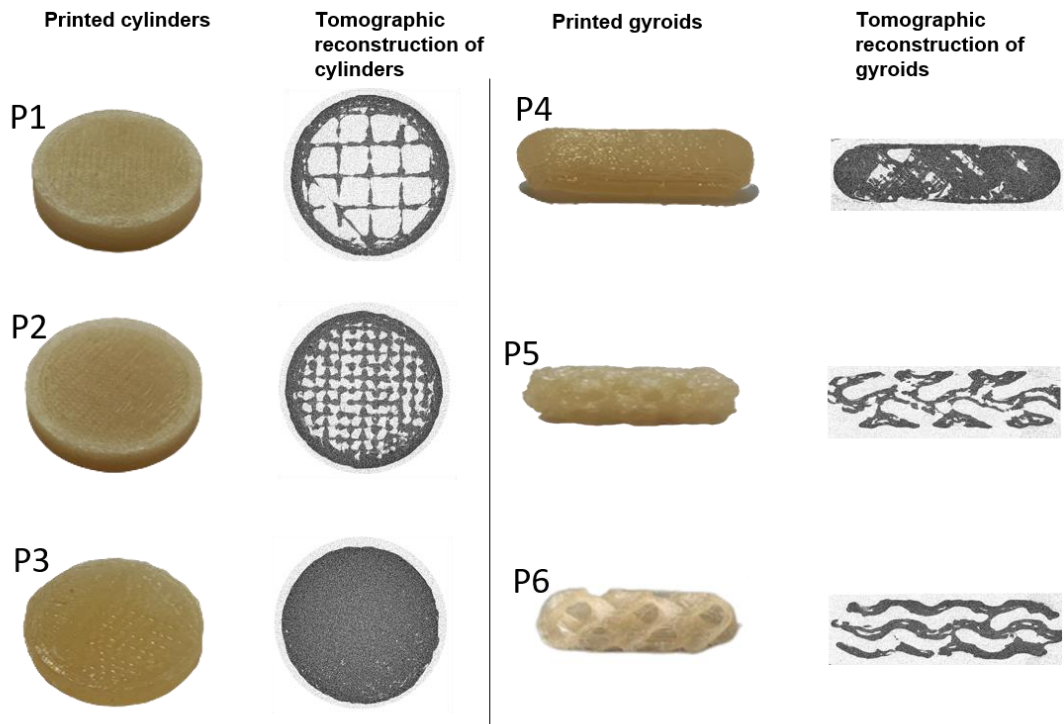
379 Each design could be printed by the printer, but with different durations (Fig.6 and Table 2).
 380 Indeed, the cylinders were printed about three times faster than gyroids. It could be explained
 381 by the more complex structure of the gyroids compared to the one of the cylinders. One of the
 382 ways to print complex shapes accurately is to reduce the printing speed [42].

383 The diameter and height of the 10 printed forms of each design were measured and the
 384 deviation from the dimensions of the digital model is shown in Table 2. All the printed forms had
 385 a deviation of the diameter from $-1 \pm 0.15\%$ to $1 \pm 0.51\%$ compared to the digital model.

386 Regarding the height, the printed forms had a deviation ranging from $-1.44 \pm 0.23\%$ to $-0.33 \pm$
387 0.71% . These narrow ranges are similar to other studies [43,44].

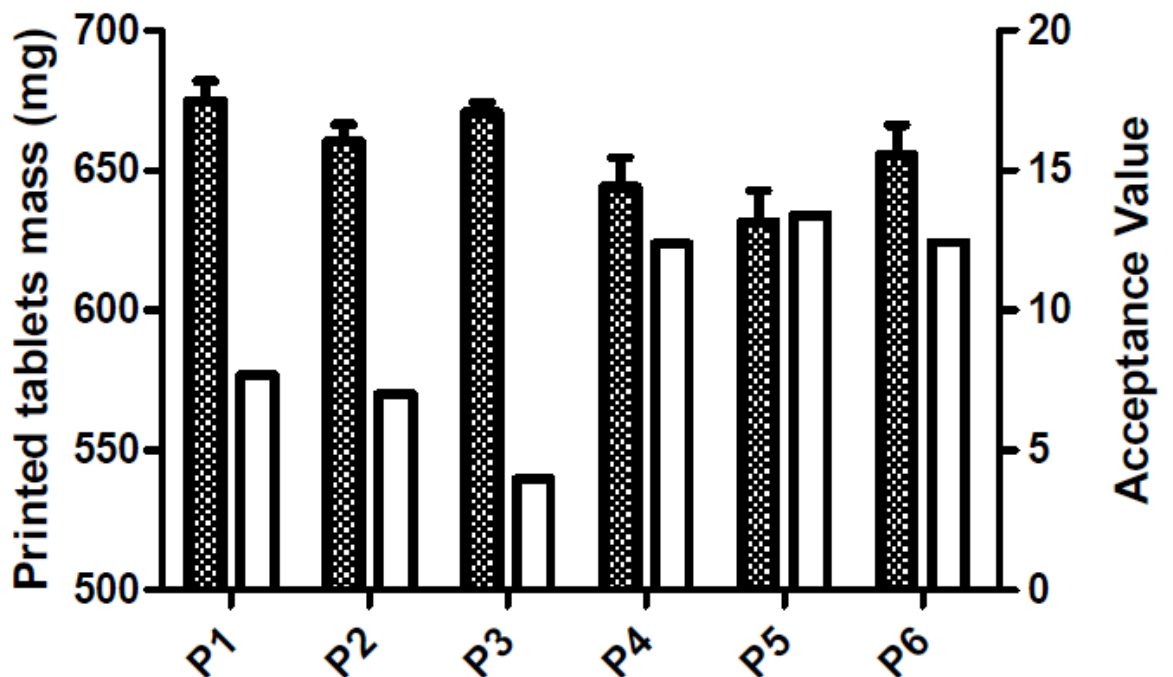
388 As described above, the mass of the printed product depends on the uniformity of the diameter
389 of the filament fed into the printer. The *mass variation* and *uniformity of mass* tests ensure that
390 the mass of all the printed forms produced is within the limits defined by the European
391 Pharmacopeia. Figure 7 represents the mean mass measured on ten printed forms of each
392 design and the acceptance value for each design. Results show a deviation of the mass of the
393 printed forms compared to the target mass (650 mg) going from -18.43 mg for P5 to +24.99 mg
394 for P1. Numerous ranges of mass deviation from the mass target are found in the literature
395 [45,46]. The deviation from this study is among the largest. This can be explained by the
396 relatively large size of the printed forms. Indeed, the mass of the printed shapes is mainly
397 influenced by the consistency of the diameter of the previously extruded filament. The larger the
398 printed forms, the more the inconsistency of the filament will be reflected in the mass deviation.
399 Concerning the mass uniformity, the acceptance values of P4, P5 and P6 (gyroids) tend to be
400 higher than the ones of P1, P2 and P3 (cylinders). This is probably another consequence of the
401 complexity of the gyroid structure. However, all the designs were in accordance with the mass
402 variation test, as every acceptance value was below 15.00, and with mass uniformity test, as
403 deviations of respectively -7.69% and +6.36% for the most under and over weighted printed
404 forms were observed. Moreover, no more than two printed forms per formulation deviated from
405 more than 5% of the average mass. The test was therefore compliant and proved the ability of
406 the FDM to produce printed forms with adequate reproducibility.

407 The results concerning the printed forms dimensions and the mass variation and uniformity
408 highlight the good reproducibility of the printed forms manufacture by the 3D Original Prusa i3
409 MK3 printer, no matter the design to print.



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Figure 6 - Pictures of the printed forms and their respective tomographic reconstruction performed by Computerized Tomography Scan.



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Figure 7 - Mass of the printed form of the 6 designs (mean and SD, n = 10) represented by the dashed columns and the acceptance value for each design represented by the empty columns (measured on ten printed forms).

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In addition to the reproducibility, the morphology of the final printed form influences its mechanical properties [47,48]. The *friability* and the *tensile strength* are two important parameters concerning the study of the integrity of oral solid dosage forms during the

420 production, transport and handling by the patient. The results on Table 2 show that the mass
 421 loss during the friability test was <1% for each formulation and met the Eur. Ph.
 422 recommendation. Compared to the standard techniques for the manufacturing of oral solid forms
 423 such as tableting, or other 3D printing techniques like powder-based 3D printing, FDM allows
 424 the production of products with a poor friability without additional steps or excipients (Chang et
 425 al., 2021; Infanger et al., 2019; Lakshman et al., 2011).

426 *Table 2 - Characterization of the printed forms*
 427

	Diameter^a (%) (n=10)	Height^a (%) (n=10)	Surface Area (mm²)	SA/V ratio	Friability (%)*	Tensile Strength (MPa) (n=3)	Printing Time (min)
P1	99.00±0.15	99.67±0.71	1129.1	1.86	0.25	1.80±0.01	≈ 5
P2	100.53±0.13	99.54±0.09	908.3	1.53	0.11	2.43±0.04	≈ 5
P3	101.00±0.17	98.91±0.17	792.8	1.34	0.24	4.32±0.27	≈ 5
P4	100.47±0.14	98.56±0.16	626.6	1.03	0.14	4.63±0.07	≈ 14
P5	101.00±0.51	98.72±0.34	2528.8	4.09	0.21	0.58±0.01	≈ 14
P6	99.39±0.17	98.56±0.23	2914.6	4.83	0.85	0.25±0.02	≈ 14

428 a% = printed model/digital model (measured in triplicate). * = measured on ten printed forms.

429 Finally, dissolution tests were conducted to study the impact of the morphology on the release
 430 of the CBD in HCl 0.1 M. Fig. 8.b shows that the dissolution profiles during the first 45 min of
 431 the test are different. Every printed form allowed an IR of CBD (>80%), but at different dissolution
 432 rates. The differences between the dissolution rates can be explained by the SA/V ratio of the
 433 printed forms (Table 2). The higher this ratio, the faster the dissolution, confirmed by Fig. 8.d
 434 which shows the time to reach 80% of CBD dissolved from the printed forms in function of their
 435 SA/V ratio [43,49]. Indeed, the greater the SA/V, the less time it takes to dissolve 80% of the
 436 CBD.

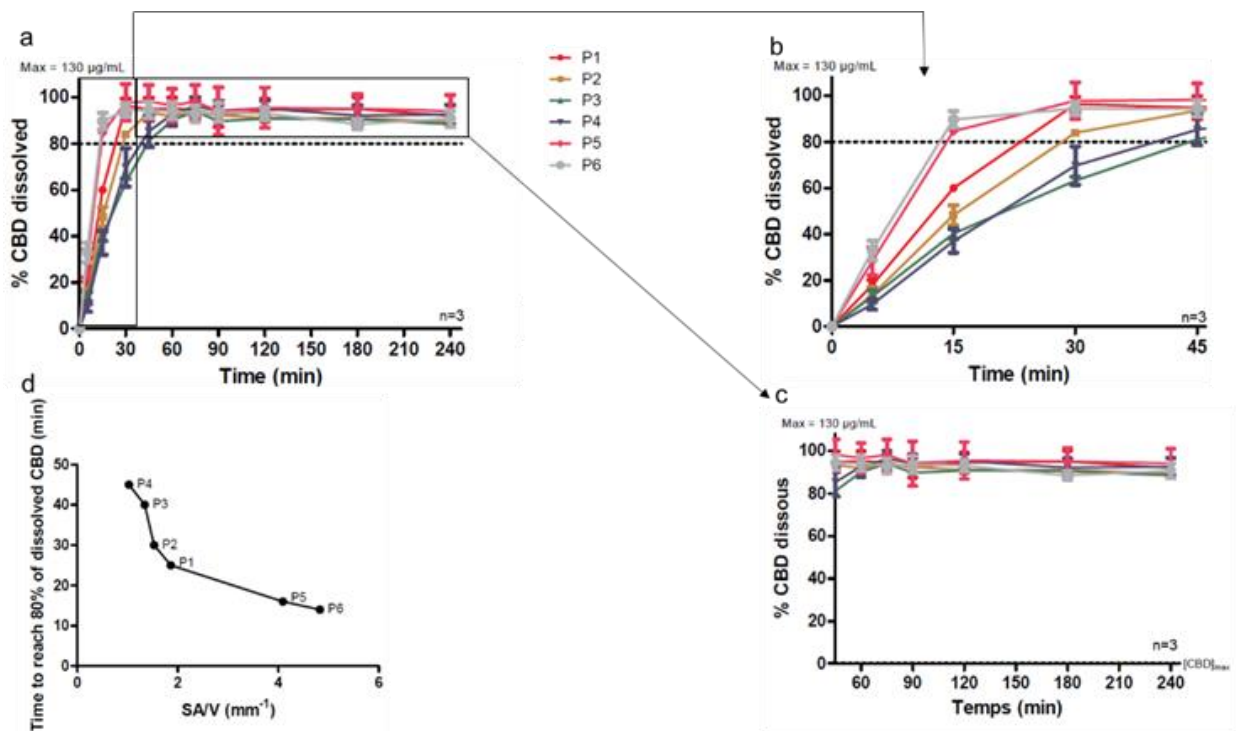
437 P5 and P6 even exceeded 80% dissolution of CBD in less than 15 min which is equivalent to
 438 more than 52 mg of CBD. This is, to the best of our knowledge, the dissolution of the highest
 439 dose of a poor soluble API from a printed form at this time. Indeed, formulations manufactured
 440 by FDM show generally a slower release than the ones manufactures by conventional
 441 techniques [50]. This may be caused by the rigid structures formed after the successive melting
 442 and solidification by cooling of the polymeric product conducting to a slow erosion-based
 443 dissolution of the product. Alhijaj *et al.* investigated the polymeric blend of EPO/polysorbate
 444 80/polyethylene glycol 4000/PEO to print IR formulations of felodipin [51]. The immediate
 445 release was obtained as 84.3% of the drug dissolved in 30 min, but it represents only about 5
 446 mg of the drug. Similarly, Sadia *et al.* printed blends of EPO/triethylcitrate/tri-calcium
 447 phosphate/drug using four different drugs including the poorly water-soluble prednisolone [35].
 448 The *in vitro* dissolution tests showed 85% of dissolved drug in 30 min, equal to about 25 mg of

449 prednisolone. In a more recent study, Crisan *et al.* aimed at printing IR forms containing high
450 doses of diclofenac sodium. They managed to release up to about 70 mg of API in 10 min in a
451 pH 6.8 buffer. However, even is diclofenac sodium is classified in BCS II in acidic media, it is
452 highly soluble in this pH 6.8 buffer [52,53].

453 The dissolution tests showed that, for a constant weight, the gyroids allow a faster dissolution
454 of the CBD as these designs had a higher SA/V ratio. However, the shape of the printed forms
455 had no influence on the upkeep of the CBD concentration during the dissolution test once the
456 maximum of dissolution was achieved. Indeed, supersaturated solutions are subject to drug
457 precipitation, especially if the drug is released rapidly [55]. However, Figure 8.c shows an
458 upkeep of the CBD concentration once the maximum of dissolution was achieved, for four hours,
459 no matter the time required to reach this maximum, and therefore no matter the shape.

460 The data of the dissolution tests conducted in this study show that FDM 3D printing allows the
461 manufacturing of oral solid dosage forms with adjustable dissolution speed and rate, which is
462 very interesting for drugs with different therapeutic uses such as CBD.

463



464

465 *Figure 8 – Dissolution profile of P1 (red), P2 (yellow), P3 (green), P4 (blue), P5 (pink) and P6 (gray)*
466 *during the whole test (a), between the beginning and 45 min of the test (b) and between 45 and the end*
467 *of the test (c) and the time to reach 80% of dissolved CBD from the printed forms as a function of the*
468 *SA/V ratio.*

469

470 Among the printed forms that allow an IR of CBD (P1, P2, P5 and P6), even if P5 and P6 achieve
471 80% release significantly faster than the others (P value ≤ 0.01), P1 was chosen for the rest of
472 the study because its production is three times faster than P5 and P6.

473

474 3.3. Evaluation of the dosage adaptability

475
 476 This section was intended to support the hypothesis that by manufacturing printed forms
 477 with the same shape and infill but with different dosages, the requirements of the Eur.
 478 Ph. and the reproducibility described above would still be fulfilled. The dimensions of
 479 cylinders with 20% infill based on the design of P1 were adjusted to obtain printed forms
 480 containing 32.5 or 97.5 mg of CBD which are 50% and 150% of the target dosage of
 481 P1, respectively. The characteristics of the printed cylinders with different dosages have
 482 been compiled in Table 3. The deviation of the diameter of cylinders containing 32.5
 483 mg and 97.5 mg of CBD from the digital model are similar to those encountered by the
 484 cylinder containing 65 mg of CBD with a deviation of $-0.3\pm 0.05\%$ and $-1.88\pm 0.2\%$,
 485 respectively. The same goes for the height, with a deviation of $+0.04\pm 0.17\%$ for the
 486 cylinders containing 32.5 mg of CBD and $-1.98\pm 0.31\%$ for the cylinders with 97.5 mg of
 487 CBD, compared to the digital model. The deviation of the average mass of the printed
 488 forms compared to the target mass was smaller for the cylinders with 32.5 mg of CBD
 489 ($+7.20$ mg) than for the cylinders with 97.5 mg of CBD (-30.02 mg). This could be due
 490 to the proportional effect of the inconstancy of the filament diameter and the size of the
 491 printed shape, as explained above. However, both dosages allowed a mass uniformity
 492 in accordance with the Eur. Ph., as the acceptance values were below 15.00.

493
 494 No significant changes were expected in the mechanical properties by modifying the
 495 printed forms dimensions and dosage as the manufacturing process remains the same.
 496 Friability test compliance was unaffected by these changes, confirming the rigid
 497 structure of the printed shapes. It goes the same for the tensile strength which was not
 498 affected as it is normalized according to the shape of the printed form.

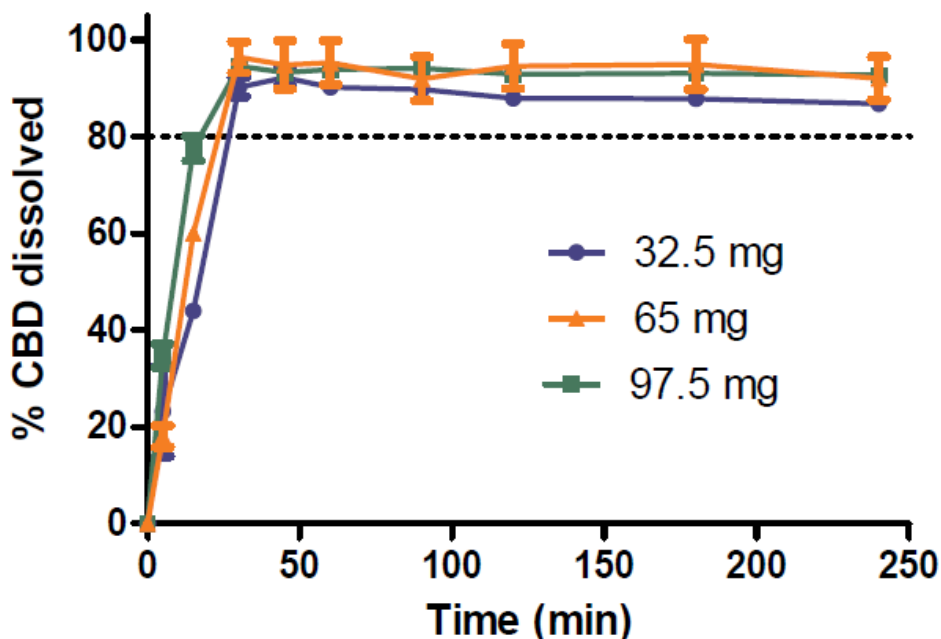
499 *Table 3 - Characterization of the printed cylinders with 20% infill and different dosages*

Dosage (mg CBD)	Diameter ^a (%) n=10	Height ^a (%) n=10	Deviation of average mass (mg)*	SA/V ratio	Friability (%)*	Acceptance Value*	Tensile Strength (MPa) n=10	Printing Time (min)
32.5	99.70±0.05	100.04±0.17	+7.20±1.77	1.36	0.21	3.11	2.13±0.07	≈ 3
65	99.00±0.15	99.67±0.71	+24.99±3.05	1.86	0.25	7.69	1.80±0.01	≈ 5
97.5	98.12±0.20	98.02±0.31	-30.02±2.87	0.98	0.26	14.53	2.00±0.2	≈ 7

500 ^a% = printed model/digital model (measured in triplicate). * = measured on ten printed forms.

501 The dissolution tests performed with the three dosages showed a dissolution rate
 502 proportionnal to the dosage of CBD at the start of the test (Fig. 9). Indeed, the 32.5 mg,
 503 65 mg and 97.5 mg cylinders dissolved $43.93\pm 2.01\%$, $59.98\pm 0.29\%$ and $77.48\pm 3.63\%$
 504 after 15 min, respectively. However, after 30 min of dissolution testing, the three dosage

505 forms reached their maximum of dissolution which was maintained until the end of the
506 test. More than 80% of CBD was dissolved for the three formulations, translating an IR.



507
508 *Figure 9 - Dissolution profile of the printed cylinders with 20% infill and different dosages.*

509
510 These results concerning the dosage adaptability show the advantage of using FDM for
511 the production of solid oral forms containing APIs used at various dosages depending
512 on the treatment. Indeed, the dosage could be customized, by the simple step of
513 modifying the digital pattern intended to be printed, without affecting the quality of the
514 final product.

515 516 **4. Conclusion**

517 The pharmaceutical industry is paying more and more attention to personalized medicine. This
518 study has proven that challenges inherent to the manufacture of customized dosage forms can
519 be overcome by fused deposition modeling 3D printing. Indeed, printed forms of cannabidiol
520 with complex structures were successfully produced with a good reproducibility. Different
521 dissolution rates of cannabidiol were obtained from these printed forms, even with a high
522 quantity of cannabidiol. Since there are no specific guidelines for the product manufactured by
523 3D printing, the quality control of these printed forms was conducted following the monograph
524 concerning the uncoated tablets of the European Pharmacopoeia, as recommended by the
525 Food and Drug Administration. As a result, all printed forms were in accordance with the
526 specifications of this monograph. In addition, the modification of the strength of these printed
527 forms had no impact on their quality. These results are encouraging when it comes to the
528 implementation of FDM in hospitals or public pharmacies in order to develop personalized
529 medicine affordable by every patient.

530

531

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533

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534

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535

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725 **7. Abbreviations**

726

727 API: active pharmaceutical ingredient

728 ASD: amorphous solid dispersions

729 AV: acceptance value

730 BCS: Biopharmaceutical Classification System

731 CBD: cannabidiol

732 EPO: Eudragit®EPO

733 FDA: Food and Drug Administration

734 FDM: fused deposition modeling

735 HME: hot-melt extrusion

736 HPLC: high-performance liquid chromatography

737 IR: immediate release

738 PEO: Polyox®N10

739 SA/V: surface-to-volume

740 TGA: thermogravimetric analysis

741 TS: tensile strength

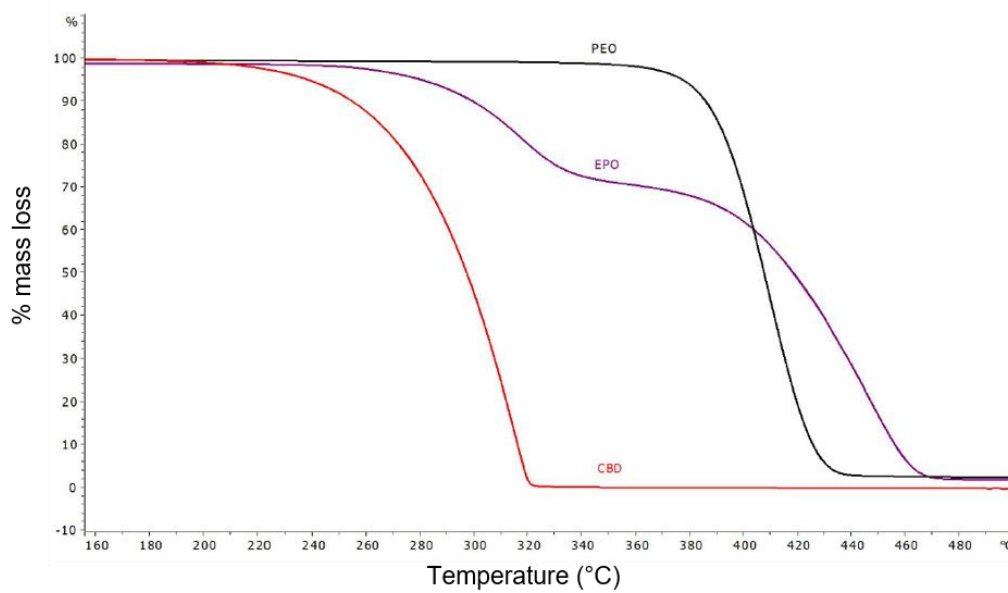
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Appendices

745 Evaluation of degradation temperature of raw materials:



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Appendix 1 - TGA curves of raw cannabidiol, Eudragit®EPO and Polyox®N10.

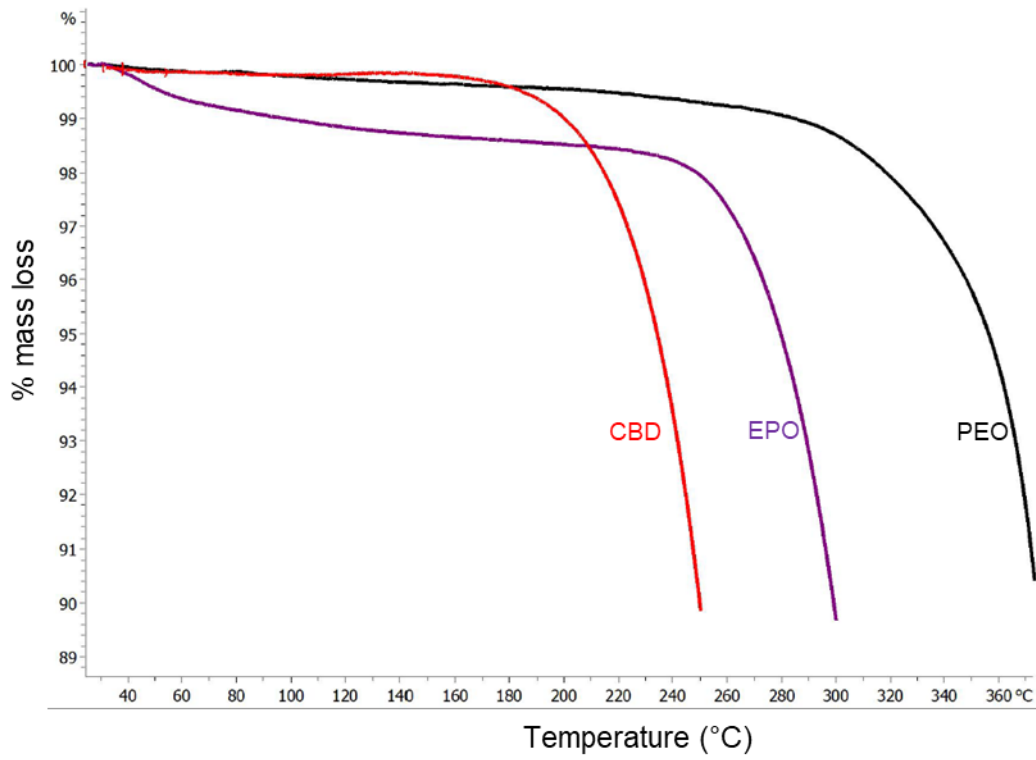
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749 Appendix 1 shows that the mass loss of CBD starts at 220 °C while mass loss for EPO and PEO starts
750 at 289 and 389 °C, respectively.

751 Appendix 2 shows the temperatures at which each raw material has lost 10% from the initial mass:
752 250 °C, 300 °C and 373 °C for CBD, EPO and PEO, respectively.

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756 *Appendix 2 – Zoom on mass loss from 100% to 90% of the initial mass for CBD, EPO and PEO*

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