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Upper and/or lower respiratory tract infection caused by human metapneumovirus after allogeneic hematopoietic stem cell transplantation

--Manuscript Draft--

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Abstract:	<p>Background: Human metapneumovirus (hMPV) epidemiology, clinical characteristics and risk factors for poor outcome after allogeneic stem cell transplantation (allo-HSCT) remain a poorly investigated area.</p> <p>Patients and methods: We report the outcome of a retrospective multicentre cohort study including all consecutive allo-HSCT recipients (adults and children) who developed upper respiratory tract disease (URTD) and/or Lower (LRTD) caused by hMPV diagnosed by multiplex PCR panels between January 2012 and January 2019.</p> <p>Results: We included 428 allo-HSCT recipients who developed 438 hMPV respiratory infections episodes [URTD (n= 264, 60%), LRTD (n= 174, 40%)]. Most recipients were adults (n= 400, 93%). hMPV episodes were diagnosed at a median of 373 days (min-max: -7 to 4766 days) after allo-HSCT. Recipients who developed hMPV LRTD get the infection early during the course of transplant and had a significantly higher proportion of lymphopenia ($<1 \times 10^9/L$), neutropenia ($<0.5 \times 10^9/L$), corticosteroids use and ribavirin therapy ($p \leq 0.05$ for all comparisons). Multivariate analysis identified lymphopenia [$\leq 0.2 \times 10^9/L$: Odds Ratio (OR) 5, 95% confidence interval (C.I.). 2.08-12; >0.2 to $0.5 \times 10^9/L$: OR 1.94, 95% C.I. 1.11-3.38; $p = 0.0003$] and corticosteroids >30 mg/d (OR 4.06, 95% C.I. (2.08-7.91), $p < 0.0001$) as independent risk factors for LRTD occurrence. Day 30 overall mortality after hMPV detection was 2% vs. 12% vs. 21% for URTD, possible and proven LRTD, respectively ($p < 0.0001$). Multivariate analysis for day 30 LRTD overall mortality identified lymphopenia ($\leq 0.2 \times 10^9/L$ OR 4.45, 95% C.I. 1.83-10.87, $p = 0.001$) as the only independent risk factor.</p> <p>Conclusions: hMPV after allo-HSCT involved LRTD in many instances (40%). LRTD and its severity were mainly driven by lymphopenia and corticosteroids use, and lymphopenia was independently associated with higher mortality in those with possible and particularly proven LRTD.</p>
Suggested Reviewers:	

Valencia, October 21, 2022

Editor in-chief

Dear sir,

We are sending you our manuscript entitled “**Upper and/or lower respiratory tract infection caused by human metapneumovirus after allogeneic hematopoietic stem cell transplantation**”, which we would like you to consider for its publication as a full-length article in *Journal of Infection*.

The current study is original research, has not been previously published and has not been submitted for publication elsewhere. All authors have seen and approved the manuscript and contributed significantly to the work. We conducted a retrospective international multicenter study across 38 transplant centers from 13 countries around the world on behalf of Infectious Diseases Working Party of the European Society for Blood and Marrow Transplantation and the Spanish hematopoietic transplant and cell therapy group. This study reports on epidemiological characteristics, clinical consequences and conditions associated with severe course of human metapneumovirus (hMPV) infection in a highly oncohematological immunosuppressed cohort of patients such as recipients of allogeneic stem cell transplantation (allo-HSCT) where very few data is available to date.

The relatively lower incidence and prevalence of hMPV compare to other common seasonal respiratory viruses has delayed the analyses of large series of hMPV infections after allo-HSCT. Our research shows that the seasonality of hMPV in allo-HSCT recipients mirrors that of the community despite usual counseling in transmission preventive measures applied worldwide. We also observed that hMPV infection severity was mainly associated with lymphopenia and the use of corticosteroids. Overall mortality was high, especially in recipients with proven LRTD, indicating that these

infections can have moderate to severe direct and indirect consequences in a significant proportion of cases. We also were able to analyze the role of co-infections in the severity and found that co-infections were associated with higher rate of oxygen requirement. Finally, we analyze the effect of ribavirin through a propensity score analysis and found no benefit in terms of reduction of mortality.

Looking forward to hearing from you,

Sincerely,

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SUPPLEMENTARY TABLES

Table S1. Syndromic multiplex PCR platforms according to the community acquired respiratory viruses' type performance.

PCR platform
Allplex™ Respiratory Panel 1-2-3 / Anyplex™ RV16
Argene® Respiratory
BioFire® FilmArray® Respiratory
FTD® respiratory pathogens 33
Luminex xTAG RVP Fast v1 assay (Luminex Molecular Diagnostics, Toronto, ON, Canada)
Multiplex RT-nested PCR assay*
NxTAG® Respiratory Pathogen Panel
Pneumovir®
RespiFinder®
xTAG® Respiratory Viral Panel

*In-house platform: M.T. Coiras, J.C. Aguilar, M.L. Garcia, et al. (2004) Simultaneous detection of fourteen respiratory viruses in clinical specimens by two multiplex reverse transcription nested PCR assays. *J. Med. Virol.* 72(3): 484-495. doi: 10.1002/jmv.20008

‡Total of 32 participating transplant centers: some transplant centers reported use of different PCR panels over the course of the study.

Table S2. Univariate analysis of risk factors for hMPV LRTD and overall mortality of hMPV LRTD.

Variables	Log. Regr. LRTD (n=438)		NRM at 30 days in recipients with LRTD CARV COX. Regr. (n= 174)	
	Univariate analysis		Univariate analysis	
	OR (95% C.I.)	P	HR (95% C.I.)	P
Type of donor				
HLA-identical sibling donor	1.00		1.00	
Alternative donor	0.97 (0.65-1.46)	0.9	1.89 (0.71-5.07)	0.2
Recipient age				
<16 years old	1.00		1.00	
≥16 years old	1.12 (0.50-2.51)	0.8	0.68 (0.17-2.74)	0.6
HLA mismatch	0.92 (0.58-1.43)	0.7	1.26 (0.47-3.33)	0.6
ATG as a part of conditioning	0.74 (0.45-1.22)	0.2	1.96 (0.78-4.97)	0.2
GVHD prophylaxis				
Sir-Tac	1.00	0.04		
CsA + MTX	1.98 (0.87-4.55)		1.00	0.04
Post-Cy	2.18 (0.91-5.22)		1.98 (0.44-8.90)	
CsA + PDN and Others	3.00 (1.30-6.91)		3.96 (1.32-11.90)	
CARV LRTD	NT			
On IS	1.52 (1.01-2.29)	0.046	2.15 (0.74-6.26)	0.16
ANC < 0.5 × 10⁹/L	3.66 (1.62-8.25)	0.002	2.10 (0.81-5.46)	0.13
ALC < 0.5 × 10⁹/L	4.63 (2.88-7.46)	<0.0001	3.15 (1.29-7.72)	0.01
ALC < 0.2 × 10⁹/L*	4.84 (2.35-9.97)	<0.0001	4.19 (1.83-9.62)	0.0007

ALC < 1 × 10 ⁹ /L	3.49 (2.30-5.29)	<0.0001	3.95 (1.18-13.29)	0.03
ALC				
• > 1 × 10 ⁹ /L	1.00	<0.0001	1.00	0.003
• ≥0.5 to 1 × 10 ⁹ /L	1.76 (1.03-2.98)		2.21 (0.49-9.89)	
• >0.2 to 0.49 × 10 ⁹ /L	4.35 (2.36-8.04)		1.79 (0.37-8.73)	
• ≤0.2 × 10 ⁹ /L	7.63 (3.78-15.43)		7.54 (2.15-26.51)	
Age ≥ 40 years*	1.39 (0.91-2.13)	0.12	0.86 (0.37-2.02)	0.7
Active GvHD at the time RVI*	1.41 (0.95-2.09)	0.09	0.87 (0.39-1.95)	0.7
Periengraftment*	3.61 (1.85-7.06)	0.0002	3.15 (1.41-7.03)	0.005
Allo-HSCT ≤ 6 months	1.52 (1.01-2.29)	0.046	2.01 (0.91-4.47)	0.09
Allo-HSCT ≤ 12 months	1.60 (1.08-2.35)	0.02	1.33 (0.58-3.05)	0.5
Allo-HSCT ≤ 24 months	1.49 (0.96-2.31)	0.08	1.51 (0.51-4.48)	0.5
Allo-HSCT ≤ 100 days	1.81 (1.13-2.89)	0.01	2.97 (1.35-6.57)	0.007
Myeloablative*	0.83 (0.56-1.22)	0.3	1.15 (0.49-2.69)	0.7
Corticosteroids* vs No	2.00 (1.35-2.97)	0.0006	1.98 (0.85-4.60)	0.11
• No	1.00	0.0008	1.00	0.6
• Corticosteroids < 30mg/d	1.49 (0.93-2.36)		1.41 (0.49-4.07)	
• Corticosteroids ≥ 30mg/d	3.63 (1.90-6.96)		1.75 (0.59-5.18)	
• Corticosteroids < 30mg/d vs ≥ 30mg/d	0.31 (0.17-0.59)	0.0003	0.65 (0.24-1.76)	0.4
Corticosteroids ≥ 30mg/d vs < 30mg/d	3.19 (1.69-6.02)	0.0003	1.53 (0.57-4.12)	0.4
LRTD				
• Possible			1	
• Proven			1.66 (0.72-3.87)	0.2
Prior BOS (Y/N)	1.15 (0.60-2.22)	0.7	0.81 (0.18-3.60)	0.8
ICU admission	NT			
Oxygen support	NT			
Co-infection (Y/N)	NT		1.48 (0.66-3.30)	0.3
Ribavirin therapy	NT		2.29 (0.96-5.46)	0.06
Season				
• summer	1.00	0.4	1.00	0.8
• winter	0.72 (0.32-1.60)		2.07 (0.29-14.90)	
• spring	0.85 (0.38-1.89)		1.81 (0.25-13.04)	
• autumn	0.51 (0.20-1.33)		3.00 (0.34-26.37)	
ISI				
Low risk (0-2)	1.00	0.002	1.00	0.01
Moderate risk (3-6)	1.11 (0.72-1.69)		1.11 (0.40-3.13)	
High risk (7-12)	5.81 (2.25-15.00)		3.76 (1.44-9.84)	

Abbreviations: C.I., confidence interval; Log. Regr, Logistic regression model; OR, Odds Ratio; IFD, invasive pulmonary fungal disease; ATG, anti-thymocyte globuline; Sir, sirolimus; Tac, tacrolimus; CsA, cyclosporine A; MTX, methotrexate;)Post-Cy, post-transplant cyclophosphamide; PDN, prednisone; CARV LRTD, community-acquired respiratory virus lower respiratory tract disease GvHD, graft-versus-host disease; Allo-HSCT, allogeneic hematopoietic stem cell transplantation; ISI, immunodeficiency score index; ANC, absolute neutrophil count; ALC, absolute lymphocyte count; ns, not significant; NT, not tested.

Table S3. Univariate analysis of risk factors for hMPV oxygen support and hospital admission.

Variables	Log. Regr. Hospital admission (n=434)		Log. Regr. Oxygen support (n= 432)	
	Univariate analysis		Univariate analysis	
	OR (95% C.I.)	P	OR (95% C.I.)	P
Type of donor				
HLA-identical sibling donor	1.00		1.00	
Alternative donor	1.14 (0.73-1.78)	0.6	1.07 (0.64-1.78)	0.8
Recipient age				
<16 years old	1.00		1.00	
≥16 years old	1.23 (0.51-2.98)	0.6	2.05 (0.60-6.98)	0.2
HLA mismatch	0.98 (0.59-1.61)	0.9	1.08 (0.61-1.91)	0.8
ATG as a part of conditioning	0.93 (0.55-1.58)	0.8	0.86 (0.46-1.60)	0.6
GVHD prophylaxis				
Sir-Tac - CsA + MTX	1.00	0.01	1.00	0.0004
Post-Cy	0.56 (0.28-1.13)		1.18 (0.54-2.61)	
CsA + PDN and Others	1.57 (0.99-2.48)		3.11 (1.79-5.39)	
CARV LRTD	NT		1.70 (1.02-2.83)	0.04
On IS	1.24 (0.83-1.84)	0.3	1.79 (1.06-3.03)	0.03
ANC < 0.5 × 10⁹/L	0.51 (0.21-1.24)	0.1	1.97 (0.88-4.41)	0.1
ALC < 0.5 × 10⁹/L, n (%)	1.96 (1.25-3.08)	0.003	4.44 (2.62-7.52)	<0.0001
ALC < 0.2 × 10⁹/L*	0.90 (0.44-1.88)	0.8	3.58 (1.81-7.07)	0.0002
ALC < 1 × 10⁹/L	2.01 (1.15-3.50)	0.01	3.62 (2.11-6.21)	<0.0001
ALC				
> 1 × 10 ⁹ /L	1.00	0.02	1.00	<0.0001
≥0.5 to 1 × 10 ⁹ /L	1.25 (0.72-2.17)		1.85 (0.91-3.75)	
>0.2 to 0.49 × 10 ⁹ /L	3.08 (1.63-5.82)		4.64 (2.25-9.56)	
≤0.2 × 10 ⁹ /L	1.31 (0.65-0.63)		6.46 (3.14-13.26)	
Age ≥ 40 years*	1.49 (0.93-2.41)	0.1	2.10 (1.15-3.84)	0.02
Active GvHD at the time RVI*	2.74 (1.78-4.21)	<0.0001	2.67 (1.64-4.36)	<0.0001
Periengraftment*	0.24 (0.09-0.63)	0.004	2.16 (1.10-4.24)	0.03
Allo-HSCT ≤ 6 months	0.73 (0.47-1.13)	0.2	1.55 (0.96-2.50)	0.07
Allo-HSCT ≤ 12 months	1.00 (0.65-1.54)	1	1.42 (0.88-2.27)	0.2
Allo-HSCT ≤ 24 months	0.57 (0.35-0.94)	0.03	1.32 (0.76-2.26)	0.3
Allo-HSCT ≤ 100 days	0.43 (0.24-0.75)	0.003	1.46 (0.86-2.51)	0.2
Myeloablative*	0.74 (0.48-1.14)	0.2	0.65 (0.40-1.08)	0.1
Corticosteroids* vs No	3.22 (2.03-5.10)	<0.0001	3.35 (2.03-5.52)	<0.0001
Corticosteroids ≥ 30mg/d vs < 30mg/d	4.20 (2.10-8.40)	<0.0001	4.95 (2.52-9.73)	<0.0001
Corticosteroids < 30mg/d vs ≥ 30mg/d	0.24 (0.12-0.48)	<0.0001	0.20 (0.10-0.40)	<0.0001
Corticosteroids*				
No	1.00	0.0002	1.00	<0.0001
Corticosteroids < 30mg/d	2.87 (1.73-4.74)		2.46 (1.38-4.39)	
Corticosteroids ≥ 30mg/d	5.84 (2.52-13.56)		6.58 (3.26-13.24)	
LRTD				
• Possible or Proven	2.96 (0.42-21.01)	0.2	NA	
Prior BOS (Y/N)	1.95 (1.10-3.47)	0.02	2.30 (1.17-4.50)	0.02

ICU admission	NT			
Oxygen support	NT			
Co-infection (Y/N)	2.13 (1.38-3.27)	0.001	2.38 (1.45-3.88)	0.0006
URTD co-infection	1.54 (0.98-2.41)	0.06	1.72 (1.02-2.89)	0.04
LRTD co-infection	5.44 (2.47-12.01)	<0.0001	6.69 (3.04-14.73)	<0.0001
Ribavirin therapy	2.07 (1.13-3.82)	0.03	4.21 (2.12-8.35)	<0.0001
Season	0.9			
• summer	1.00		1.00	0.9
• winter	0.81 (0.34-1.93)		0.80 (0.32-2.02)	
• spring	0.97 (0.41-2.28)		0.94 (0.37-2.40)	
• autumn	0.93 (0.34-2.53)		0.99 (0.33-2.96)	
ISI			0.1	
Low risk (0-2)	1.00	0.4	1.00	
Moderate risk (3-6)	1.07 (0.65-1.75)		0.95 (0.54-1.65)	
High risk (7-12)	0.57 (0.21-1.57)		2.82 (1.20-6.64)	

Abbreviations: C.I., confidence interval; Log. Repr, Logistic regression model; OR, Odds Ratio; IFD, invasive pulmonary fungal disease; ATG, anti-thymocyte globuline; Sir, sirolimus; Tac, tacrolimus; CsA, cyclosporine A; MTX, methotrexate;)Post-Cy, post-transplant cyclophosphamide; PDN, prednisone; CARV LRTD, community-acquired respiratory virus lower respiratory tract disease GvHD, graft-versus-host disease; Allo-HSCT, allogeneic hematopoietic stem cell transplantation; ISI, immunodeficiency score index; ANC, absolute neutrophil count; ALC, absolute lymphocyte count; ns, not significant; NT, not tested.

1 **Upper and/or lower respiratory tract infection caused by human**
2 **metapneumovirus after allogeneic hematopoietic stem cell**
3 **transplantation**

4
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15 On behalf of the Infectious Diseases Working Party of the European Society for Blood
16 and Marrow Transplantation and Infectious Complications Subcommittee of the
17 Spanish Hematopoietic Stem Cell Transplantation and Cell Therapy Group (GETH-TC)

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92
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96 **Short Title:** hMPV infection after allo-HSCT.

97 **Brief summary:** hMPV infection after allo-HSCT frequently involves the lower
98 respiratory tract (40%). Pulmonary involvement was associated with substantial all-cause

99 mortality rate (14%) at day 30 after hMPV detection, particularly in those with
100 lymphopenia.

101

102 **Abstract word count: 284**

103 **Total word count: 3176**

104

105 **Key Words:** human metapneumovirus, paramyxovirus, community-acquired respiratory
106 virus, human Coronavirus, SARS-CoV-2, allogeneic hematopoietic stem cell
107 transplantation, immunocompromised, upper and lower respiratory tract disease,
108 immunodeficiency score index, multiplex PCR assay.

109

110 **Financial disclosure statement**

111 The authors report no potential conflicts of interest

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120

121 **Abstract**

122 **Background:** Human metapneumovirus (hMPV) epidemiology, clinical characteristics
123 and risk factors for poor outcome after allogeneic stem cell transplantation (allo-HSCT)
124 remain a poorly investigated area.

125 **Patients and methods:** We report the outcome of a retrospective multicentre cohort study
126 including all consecutive allo-HSCT recipients (adults and children) who developed

127 upper respiratory tract disease (URTD) and/or Lower (LRTD) caused by hMPV
128 diagnosed by multiplex PCR panels between January 2012 and January 2019.

129 **Results:** We included 428 allo-HSCT recipients who developed 438 hMPV respiratory
130 infections episodes [URTD (n= 264, 60%), LRTD (n= 174, 40%)]. Most recipients were
131 adults (n= 400, 93%). hMPV episodes were diagnosed at a median of 373 days (min-max:
132 -7 to 4766 days) after allo-HSCT. Recipients who developed hMPV LRTD get the
133 infection early during the course of transplant and had a significantly higher proportion
134 of lymphopenia ($<1 \times 10^9/L$), neutropenia ($<0.5 \times 10^9/L$), corticosteroids use and ribavirin
135 therapy ($p \leq 0.05$ for all comparisons). Multivariate analysis identified lymphopenia [≤ 0.2
136 $\times 10^9/L$: Odds Ratio (OR) 5, 95% confidence interval (C.I.). 2.08-12; >0.2 to $0.5 \times 10^9/L$:
137 OR 1.94, 95% C.I. 1.11-3.38; $p = 0.0003$] and corticosteroids >30 mg/d (OR 4.06, 95%
138 C.I. (2.08-7.91), $p < 0.0001$) as independent risk factors for LRTD occurrence. Day 30
139 overall mortality after hMPV detection was 2% vs. 12% vs. 21% for URTD, possible and
140 proven LRTD, respectively ($p < 0.0001$). Multivariate analysis for day 30 LRTD overall
141 mortality identified lymphopenia ($\leq 0.2 \times 10^9/L$ OR 4.45, 95% C.I. 1.83-10.87, $p = 0.001$)
142 as the only independent risk factor.

143 **Conclusions:** hMPV after allo-HSCT involved LRTD in many instances (40%). LRTD
144 and its severity were mainly driven by lymphopenia and corticosteroids use, and
145 lymphopenia was independently associated with higher mortality in those with possible
146 and particularly proven LRTD.

147

148 **INTRODUCTION**

149 Respiratory virus infection after allogeneic hematopoietic stem cell transplantation (allo-
150 HSCT) is a common infectious complication caused by a wide variety of human
151 respiratory viruses, among which human metapneumovirus (hMPV) was isolated in

152 2001¹ as a negative-sense RNA paramyxovirus genetically similar to respiratory syncytial
153 virus (RSV)². There are two subgroups, each with two clades (A1, A2, B1 and B2);
154 although all four subtypes typically co-circulate, each season often has one predominant
155 subtype³. hMPV infects approximately 5–9% of allo-HSCT recipients^{4,5} scoring sixth in
156 incidence/prevalence studies after human rhinovirus (hRhV), RSV, seasonal coronavirus
157 (hCoV), human parainfluenza virus (hPiV) and influenza virus (hIV)^{6,7}. This relatively
158 lower incidence and prevalence has hindered the analyses of large series of hMPV
159 infections after allo-HSCT⁸⁻¹¹. The symptom spectrum is similar to other respiratory
160 viruses and varies from mild to severe¹². In the allo-HSCT setting, progression from upper
161 (URTD) to lower respiratory tract disease (LRTD) can occur in up to 20% of cases,
162 mainly in recipients with lymphopenia, corticosteroids use or infection occurring early
163 after allo-HSCT¹³. The reported mortality rate after hMPV LRTD in patients with
164 hematological malignancy and/or allo-HSCT recipients ranges from 10% to 40%^{4,8,9,14,15}.
165 The mortality rate was higher in allo-HSCT recipients (22% at 30 days) than in the general
166 population (3%)¹⁶. In addition, the mortality rate may exceed 80% in allo-HSCT
167 recipients when the virus is detected in bronchoalveolar samples¹⁷. However, there is still
168 limited data on risk factors for hospital admission or oxygen requirement, and overall
169 mortality of hMPV infection after allo-HSCT.

170 Moreover, there are currently no authorized or approved drugs available against hMPV,
171 so infection management is mainly focused on supportive care measures. Although
172 ribavirin has shown certain effect against hMPV in *in vitro* studies and animal models^{18,19},
173 to date there is no consistent clinical data showing clear benefit for use of this drug^{20,21}.
174 Several small case series suggest that the use of ribavirin with or without intravenous
175 immunoglobulin (IVIG) for hMPV infection could be considered in high-risk patients²²⁻
176 ²⁵. However, a large study showed no protective effect of ribavirin for hMPV LRTD⁸. As

177 ma consequence, the European Conference on Leukemia Infection did not recommend
178 ribavirin therapy for hMPV²⁶.

179 In this large retrospective international multicenter cohort, we aimed to characterize
180 epidemiological and clinical features and risk factors (RFs) for LRTD and for different
181 outcomes of hMPV infections in the immunocompromised population of allo-HCT
182 recipients.

183

184 **PATIENTS AND METHODS**

185 **Study population and inclusion criteria**

186 This is a retrospective multicenter cohort study of collaboration between the Infectious
187 Diseases Working Party (IDWP) of the European Society for Blood and Marrow
188 Transplantation (EBMT) and the Infectious Complications Subcommittee (GRUCINI) of
189 the Spanish Hematopoietic Stem Cell Transplantation and Cell Therapy Group (GETH-
190 TC). Participating centers were requested to include all consecutive allo-HSCT recipients
191 (children and adults) with multiplex PCR-documented hMPV respiratory infection from
192 January 1 2012 to December 30 2019, occurring from the start of conditioning regimen
193 to last follow-up. During the study period, all allo-HSCT procedures were registered in
194 the EBMT registry by completing an essential medical data form. More detailed data was
195 collected using a second transplant form containing specific information on respiratory
196 symptoms, hMPV-related hospital admission, oxygen requirement and intensive care unit
197 (ICU) admission. Variables such as immunosuppressant drugs, corticosteroids, the
198 presence of signs or symptoms of acute or chronic graft-versus-host disease (GvHD),
199 prior development of bronchiolitis obliterans syndrome (BOS) and variables for
200 immunodeficiency scoring index (ISI) computation²⁷ (i.e. lymphocyte count, neutrophil
201 count, myeloablative conditioning regimen, age, corticosteroids therapy and graft-versus-

202 host disease) were requested at the time of community acquired respiratory virus PCR
203 screening. The commercial and in-house PCR used by participating centers for hMPV
204 detection are summarized in supplementary Table S1.

205

206 **Definitions**

207 hMPV URTD was defined as the combination of upper respiratory symptoms (rhinorrhea,
208 sinusitis, otitis, or pharyngitis), hMPV detected by PCR assay and the absence of LRTD
209 symptoms and/or any pulmonary infiltrates on chest X-ray or computed tomography (CT)
210 lung scan. hMPV LRTD was defined as the combination of lower respiratory symptoms
211 and/or any pulmonary infiltrates on chest X-ray or computed tomography (CT) lung scan
212 We classified LRTD as possible, probable or confirmed, as previously described²⁸. In
213 brief, possible LRTD required detection of hMPV in the upper respiratory tract in
214 recipients with pulmonary infiltrates during the episode (but without confirmation of its
215 presence in the LRT). Confirmed LRTD was defined when lower respiratory symptoms
216 were accompanied by new pulmonary infiltrates and isolation of the virus in tracheal
217 aspirates or in bronchoalveolar lavage (BAL). Probable LRTD refers to cases with hMPV
218 detection in BAL without radiological proof of pulmonary involvement. However, in the
219 current series there were no probable episodes because bronchoscopies were not
220 performed in patients without radiological proof of pulmonary involvement. Episodes
221 were defined as URTD or LRTD according to ECIL-4 recommendations²⁶. Acute and
222 chronic graft-vs-host disease (GvHD), including BOS were diagnosed according to
223 standard criteria²⁹. We considered active GvHD for both acute and chronic GvHD when
224 signs and/or symptoms were present at the time of respiratory virus screening. Co-
225 infection was defined as a significant co-pathogen detected in concurrent nasopharyngeal
226 or bronchoalveolar lavage during hMPV infection and until its clinical and/or

227 microbiological resolution. Ribavirin therapy was given according at physician discretion
228 and/or routines at the individual center.

229

230 **Endpoints and statistical analysis**

231 The primary objective of the study was to describe epidemiological and clinical
232 characteristics of U/LRTD in allo-HSCT recipients with hMPV infection. We also
233 analyzed risk factors for hMPV-related hospital admission, oxygen requirement, LRTD
234 involvement, and all-cause mortality by day 30 after hMPV detection, the latter in
235 recipients with LRTD.

236 The main patient characteristics were reported by descriptive statistics on the total of
237 available information; median minimum and maximum were used for continuous
238 variables, while absolute and percentage frequencies were used for categorical variables.
239 Between-group differences were tested by linear or logistic regression models, using
240 generalized estimating equation methods, nested by patient, to account for the
241 dependence of observations. Variables with a p-value < 0.1 in the univariate model were
242 included in the multivariate analysis. In recipients with LRTD, the survival analysis was
243 performed by using the Cox regression model. A p-value <0.05 was considered
244 statistically significant. All p-values were two-sided. All analyses were performed using
245 the statistical software SAS vs. 9.4 (SAS Institute Inc., Cary, NC, USA).

246

247 **RESULTS**

248 **Patient characteristics**

249 Overall, we included 428 allo-HSCT recipients (93% adults) with a median age of 46
250 years (min–max 0.3 – 73.8) who developed 438 U/LRTD episodes of hMPV between
251 January 2012 and January 2019 reported from 35 EBMT transplant centers in 13

252 countries. Clinical and transplant characteristics of the series are detailed in Table 1. The
253 study population comprised a high-risk cohort, since 66% of recipients were allografted
254 from alternative donors (unrelated adult donor, cord blood units (CBU) or haplo-identical
255 family donors). There were 419 allo-HSCT recipients with one hMPV episode whereas
256 nine recipients (2.1%) had two or more hMPV episodes occurring during different
257 seasons.

258

259 **Epidemiology and characteristics of hMPV infections according to U/LRTD** 260 **involvement**

261 Figure 1 shows the epidemiology of hMPV infections in allo-HSCT recipients by month.
262 The incidence peak was observed between March and April, with 173 out of 438 episodes
263 (39%). Most cases developed only URTD (n= 264, 60%) whereas 174 (40%) had LRTD
264 (131 possible and 43 proven). Table 2 summarizes clinical and laboratory differences
265 according to URTD or LRTD involvement. As expected, recipients with LRTD had
266 higher rates of immunosuppression markers such as lymphopenia, neutropenia, active
267 GvHD, corticosteroids therapy, and higher ISI score. They also had high rates of fever,
268 co-infection, ribavirin therapy, hospital admission, oxygen requirement, ICU admission
269 and mortality at days 30 and 90 after hMPV detection ($p \leq 0.05$ for all comparisons).

270

271 **Risk factors for LRTD, hospital admission and oxygen requirement.**

272 Univariate analyses of risk factors for LRTD, overall mortality, hospital admission and
273 oxygen requirement are provided in Supplementary Tables S2 and S3. Logistic regression
274 and Cox regression multivariate analyses of conditions associated with hMPV LRTD,
275 hospital admission and oxygen requirements are shown in Table 3.

276 We identified three conditions associated with hospital admission at the time of hMPV
277 detection; active GvHD [Odds ratio (OR) 2.65], absolute lymphocyte count (ALC) <0.5
278 $\times 10^9/L$ (OR 1.83), and corticosteroids use >30 mg/d (OR 2.37). For oxygen requirement,
279 four independent RFs were identified: ALC <0.5 $\times 10^9/L$ (OR 4.52), age ≥ 40 years old
280 (OR 3.19), active GvHD (OR 3.51) and co-infection (OR 2.42). We identified three
281 conditions associated with risk of LRTD: ALC <0.2 $\times 10^9/L$ (OR 5), ALC between 0.2
282 and 0.5 $\times 10^9/L$ (OR 1.94) and corticosteroids >30 mg/d (OR 4.06). No significant
283 differences in outcomes were found between pediatric (< 16 years) and adult patients.

284 **Overall mortality and risk factors**

285 The all-cause mortality rate at 30 days after hMPV detection was 6.6% (n= 29) for the
286 entire group. Mortality in recipients with hMPV limited to URTD was 2% (n= 4),
287 compared with 14% in recipients with LRTD (12% (n= 16) and 21% (n= 9) in those with
288 possible and proven LRTD, respectively) (p <0.0001). Overall survival at day 90 after
289 hMPV detection was 96.2% for those with URTD, whereas it was 80.8% and 62.8% for
290 possible and proven LRTD, respectively (p <0.0001) [Figure 2].

291 Causes of death in recipients who died by day 30 after hMPV detection were relapse
292 (n=4), GvHD (n=3), infectious respiratory failure (n=16), and other complications (n=6;
293 multiple organ failure, systemic infection). The additional 22 deaths occurring by day 90
294 were due to disease relapse (n=10), GvHD (n=3), infectious respiratory failure (n=4), and
295 other causes (n=5; systemic infection, multiple organ failure).

296 Finally, the only condition associated with increased mortality at day 30 after developing
297 hMPV LRTD in multivariate analysis was ALC < 0.5 $\times 10^9/L$ [hazard ratio (HR) 4.45]
298 (Table 3).

299 **Co-infection characteristics**

300 As expected, co-infections were more frequent in proven (26/42, 62%) than in possible
301 LRTD (33/131, 25%) or in URTD [60/264, 22%] ($p < 0.001$). Table 4 summarizes the
302 characteristics of co-infectious episodes among all episodes and according to U/LRTD.
303 Most co-infections were of respiratory virus, followed by fungal and bacterial origin.
304 Among respiratory virus co-infection, enterovirus/rhinovirus followed by influenza and
305 respiratory syncytial virus (RSV) were the predominant co-infective agents, whereas
306 *Aspergillus spp* was the most common among fungal co-infections. Lastly, *Pseudomonas*
307 *spp* was the commonest bacterial co-infective agent. Co-infection was only associated in
308 multivariate analyses with oxygen requirement (see Table 3), without any significant
309 effect on mortality. To further evaluate the effect of co-infection on mortality, we
310 compared outcomes in five groups, focusing only on those with possible or proven LRTD,
311 finding no significant differences in overall survival [virus mono-infection (n=144, 19
312 deaths at 30 days, 30 deaths at 90 days); virus/virus co-infection (n=5, 0 deaths at 30 days,
313 2 deaths at 90 days); viral/bacterial co-infection (n=5, 1 death at 30 days, 2 deaths at 90
314 days); virus/fungal co-infection (n=14, 3 deaths at 30 days, 4 deaths at 90 days); mixed
315 co-infections virus/virus +/- bacterial +/- fungal co-infection (n=6, 2 deaths at 30 days, 3
316 deaths at 90 days)].

317 **Ribavirin therapy characteristics**

318 Ribavirin therapy was administered in 36 (8%) hMPV episodes. Table 5 summarizes
319 clinical and laboratory characteristics of the infection according to treatment or not with
320 ribavirin. Recipients treated with ribavirin had hMPV infection earlier during the course
321 of allo-HSCT, and were more likely to have LRTD (in particular proven LRTD), oxygen
322 requirement, hospital and ICU admission, RSV co-infection, immunosuppressive drugs
323 and lymphopenia compared to those not treated. Overall mortality at day 90 in patients
324 treated with ribavirin was 33% compared to 9.7% in the non-treated group ($p < 0.001$). To

325 evaluate the effect of ribavirin therapy we performed a propensity score analysis and
326 found no benefit in terms of mortality at day 30 and 90 after hMPV detection according
327 to ALC $<0.5 \times 10^9/L$, high-risk ISI, timing of hMPV infection (<180 days after stem cell
328 infusion), oxygen requirement, or hospital and/or ICU admission (Table 6).

329

330 **DISCUSSION**

331 This study provides clinical insights into hMPV infection after allo-HSCT in a large and
332 multicenter series from the IDWP of the EBMT and the GETH-TC groups. Incidence of
333 hMPV in allo-HSCT recipients reached a peak between March and April. LRTD was
334 frequent, occurring in 40% of all cases. Overall mortality was as high as 37% in recipients
335 with developed proven hMPV LRTD. Co-infections were frequent (27%), but no effect
336 was observed on any outcome except oxygen requirement. Corticosteroids therapy and
337 lymphopenia were the main RFs for LRTD, hospital admission, oxygen requirement and
338 overall mortality. These conditions could serve as the basis for prospective clinical trials
339 design.

340 The epidemiology of hMPV infections in our allo-HSCT series mirrored that of the
341 general population, with seasonal peak incidence from February to April³⁰. Despite
342 repeated guidance from transplant teams on transmission prevention measures (hand
343 washing, social distancing, mask wearing, etc.) provided to allo-HSCT recipients and
344 caregivers, we still observed equivalent epidemiological curves, not only in hMPV but
345 also with other human respiratory viruses such as influenza virus, seasonal human
346 coronavirus and recently with SARS-CoV-2³¹⁻³³. This indicates that even if
347 immunosuppressed patients strictly adhere to preventive measures, if community
348 incidence rises these viruses will eventually reach patients through their environment,
349 visitors, friends, family members, etc. However, when application of global respiratory

350 preventive transmission measures involves the whole community, as occurred during the
351 COVID-19 pandemic, a dramatic reduction in respiratory virus infections, including
352 hMPV, was observed in recipients of allo-HSCT³⁴. This fact supports extending
353 preventive measures to any individual in potential close contact with allo-HSCT
354 recipients, particularly in the health care system where nosocomial outbreak of hMPV
355 infections in immunocompromised patients could be life-threatening³⁵.

356 The severity of hMPV infection after allo-HSCT seems similar in terms of LRTD rates
357 to other *Paramyxoviridae* respiratory viruses (RSV and HPiV)³⁶. In this large series we
358 observed a 10% rate of proven LRTD, rising to 40% when possible LRTD were included.
359 The ability of hMPV to reach the LRT in the allo-HSCT setting has been estimated at
360 15%–60% of cases in shorter series^{4,8,13}. These facts support that hMPV frequently
361 involves the LRT in these highly immunocompromised patients. In our series, RFs
362 associated with LRTD were corticosteroids use (>30mg/d) and low lymphocyte count
363 (<0.5 x10⁹/L), in line with a prior report⁸. These two LRTD RFs are repeatedly identified
364 in several other CARV infections in the allo-HSCT setting^{8,31,37}, emphasizing the pivotal
365 role of T-cell immune response in controlling and clearing respiratory viruses which
366 warrants further efforts to improve T-cell function and numbers as early as possible
367 following allo-HSCT.

368 The large number of recipients included in the current series enabled us to identify RFs
369 for other outcomes of interest such as hospital admission and oxygen requirement that
370 had not previously been analyzed. Hospital admission was required in 29% of cases in
371 the overall cohort and in 56% of those with possible or proven hMPV LRTD. Again,
372 lymphocyte count (<0.5 x10⁹/L) and corticosteroids use were identified as RFs, while
373 presence of active GvHD also increased the risk of hospitalization. Additionally, we
374 observed that age (≥40 years) and co-infections along with lymphopenia, corticosteroids

375 as GvHD prophylaxis, and active GvHD at the time of hMPV infection increased the risk
376 for oxygen support. These findings are not surprising given that corticosteroids,
377 lymphopenia, moderate to severe GVHD, age and co-infections are known to increase the
378 severity of respiratory virus infections in immunocompromised patients^{27,38,39}. These
379 variables could be useful for accurate assessment of individual risk in daily clinical
380 practice.

381 Very few studies have analyzed mortality after hMPV infection in allo-HSCT. In our
382 series we analyzed the all-cause mortality rate at day 30 and day 90 after hMPV detection
383 to evaluate the direct (day 30) and indirect (day 90) effects of hMPV infection. Overall
384 mortality at day 30 and 90 was 2% and 4% in URTD, 12% and 19% in possible LRTD
385 and 21% and 37% in those with proven LRTD ($p < 0.001$), respectively. These data
386 indicate that all-cause mortality increases with the probability of true hMPV pulmonary
387 involvement. These numbers are similar to those of other Paramyxoviridae viruses^{4,40} and
388 crucially, are remarkably similar to those recently reported with SARS-CoV-2 in the allo-
389 HSCT setting⁴¹, although the later in the context of an extremely high community
390 circulation. Altogether, mortality in allo-HSCT appears to be similar across all respiratory
391 virus types, suggesting that the immunosuppression status of these patients is may be
392 more important than the virus virulence by itself. This assumption could be justified in
393 part by the identification of profound lymphopenia ($<0.2 \times 10^9/L$) as the only RF for
394 overall mortality in the current and in most published series irrespective of the infection
395 timing with regard to the stem cell infusion. T-cell lymphocytes contribute to clearance
396 of hMPV infection in mice while depletion of T cells leads to prolonged viral replication³.
397 Again, seemingly efforts to limit the severity of hMPV infection should include strategies
398 that enhance T-cell immune reconstitution early after allo-HSCT without increasing the
399 risk of GvHD. In this regard, adoptive T-cell transfer from third party donors to prevent

400 and/or treat respiratory virus infections in immunocompromised patients looks
401 appealing⁴².

402 Treatment of hMPV infection currently consists of supportive care as there are no licensed
403 antivirals against hMPV. Two potential treatments that have been investigated are
404 ribavirin and immunoglobulin. Ribavirin, a nucleoside with activity against RNA viruses,
405 exhibits *in vitro* activity against hMPV¹⁸ and showed efficacy in mice¹⁹. Few studies
406 report successful treatment of hMPV infections with ribavirin, either with or without
407 intravenous polyclonal immunoglobulins^{22,23,43-45}; however, the data are limited to small
408 case series or case reports, with no randomized controlled trials available. Other studies
409 have shown no benefit with ribavirin^{12,46}. In our series, recipients treated with ribavirin
410 showed high-risk features such as early infection after transplant, higher rates of
411 hospitalization, ICU admission, LRTD and oxygen requirement. They also showed higher
412 rates of co-infection with RSV, lymphopenia and/or immunosuppressant administration.
413 As a consequence, ribavirin-treated episodes showed a higher mortality rate (see Table
414 5). All these figures suggest that ribavirin was used in the context of more severe and
415 urgent cases. However, after adjustment for these confounding factors and although
416 mortality differences were reduced among groups, the propensity score analysis did not
417 show any benefit of ribavirin therapy in terms of overall mortality. Thus infection control
418 measures are particularly important for mitigating hMPV incidence, and thus hMPV
419 associated mortality, as there are no effective treatments or vaccines.

420 Lastly, we conducted an in-depth analysis on the occurrence and effect of significant co-
421 infections during hMPV infections. After respiratory virus co-infections, the most
422 common co-infection was invasive fungal infections (IFI). The risk of IFI may increase
423 particularly in recipients with LRTD respiratory virus infection developing the infection
424 during the first year after transplant, receiving corticosteroids or ATG-based GvHD

425 prophylaxis⁴⁸. IFI was common and since our identified risk factors for hMPV LRTD
426 also have been identified as risk factors for fungal infections, antifungal prophylaxis
427 should be strongly considered. Otherwise, in multivariate analysis, co-infection only
428 showed a significant effect on the need for oxygen support, without any effect on other
429 events of interest, including overall mortality, likely due to the low number of patients
430 available for comparative purpose.

431 Although the current study represents the largest series of hMPV infection after allo-
432 HSCT reported to date, some limitations merit attention, such as the retrospective nature
433 of the analyses, the low proportion of bronchoalveolar lavage performed, the absence of
434 lung tissue analyses to establish the exact role of hMPV, and the use of different PCR
435 methods varying in analytical performance for detection and identification of hMPV
436 subtypes.

437 In conclusion, we provide insights into seasonal hMPV infections after allo-HSCT in
438 terms of epidemiology and clinical outcome. hMPV infection severity was mainly
439 associated with lymphopenia and the use of corticosteroids. Overall mortality was high
440 in recipients with proven LRTD, indicating that these infections can have moderate to
441 severe direct and indirect consequences in a significant proportion of cases. Vaccine and
442 drug developments are urgently needed to limit the dismal prognosis observed in high-
443 risk patients.

444

445 **CONFLICT OF INTERESTS**

446 The authors declare no conflict of interests.

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 628

629 **TABLES AND FIGURES**

630 **Table 1.** Patient and transplant characteristics (n= 428).

Characteristics	Whole cohort (n=428)	Not treated* (n=393)	Treated with ribavirin* (n=35)	P Value*
Age at allo-HCT (years), median (range)				
• < 16 years, n (%)	27 (6.3)	24 (6.1)	3 (8.6)	0.5
• ≥16-year, n (%)	400 (93.5)	368 (93.6)	32 (91.4)	
• Missing	1 (0.2)	1 (0.3)	0 (0.0)	
Male, n (%)	247 (57.7)	227 (57.8)	20 (57.1)	0.9
Baseline disease, n (%)				
• AL/MDS/MPN	284 (66.4)	259 (65.9)	25 (71.4)	1
• Chronic myeloid leukemia	20 (4.7)	19 (4.8)	1 (2.9)	
• Lymphoid disorders	73 (17.1)	68 (17.3)	5 (14.3)	
• Plasma cell disorders	23 (5.4)	21 (5.3)	2 (5.7)	
• Others	28 (6.5)	26 (6.6)	2 (5.7)	
Disease status at transplant, n (%)				
• CR	248 (57.9)	229 (58.3)	19 (54.3)	0.9
• PR	36 (8.4)	33 (8.4)	3 (8.6)	
• Active disease at transplant	103 (24.1)	94 (23.9)	9 (25.7)	
• Missing	41 (9.6)	37 (9.4)	4 (11.4)	
Prior Autologous-HSCT, n (%)				
• Yes	62 (14.4)	60 (15.2)	2 (5.7)	0.2
• Missing	11 (11.3)	8 (8.9)	3 (42.9)	
Period of transplant, n (%)				
• 2019	18 (4.2)	16 (4.1)	2 (5.7)	0.4
• 2017-2018	106 (24.8)	94 (23.9)	12 (34.3)	
• 2015- 2016	101 (23.6)	94 (23.9)	7 (20.0)	
• 2013-2014	54 (12.6)	48 (12.2)	6 (17.1)	
• Before 2013	148 (34.6)	140 (35.6)	8 (22.9)	
• Missing	1 (0.2)	1 (0.3)	0 (0.0)	
Conditioning regimen, n (%)				
• RIC	221 (51.6)	204 (51.9)	17 (48.6)	0.9
• MAC	190 (44.4)	176 (44.8)	14 (40.0)	
• Missing data	17 (4.0)	13 (3.3)	4 (11.4)	
Type of donor, n (%)				
• HLA-identical sibling	144 (33.6)	134 (34.1)	10 (28.6)	0.9
• Unrelated	188 (43.9)	170 (43.3)	18 (51.4)	
• Unrelated umbilical cord blood	23 (5.4)	21 (5.3)	2 (5.7)	
• Haploidentical family	27 (6.3)	26 (6.6)	1 (2.9)	
• Other	43 (10.0)	40 (10.2)	3 (8.6)	
• Missing	3 (0.7)	2 (0.5)	1 (2.9)	
PB stem cell source, n (%)				
• PB	336 (78.5)	311 (79.1)	25 (71.4)	0.2

• missing	4 (0.9)	4 (1.0)	0 (0.0)	
HLA fully-matched, n (%)	262 (61.2)	248 (63.1)	14 (40.0)	0.7
• missing	56 (13.1)	40 (10.2)	16 (45.7)	
ATG as a part of conditioning regimen, n (%)				
• Yes	86 (20.1)	75 (19.1)	11 (31.4)	0.06
• Missing	9 (2.1)	7 (1.8)	2 (5.7)	
GvHD prophylaxis, n (%)				
• Tacrolimus and sirolimus	33 (7.7)	32 (8.1)	1 (2.9)	0.4
• Tacrolimus or CsA+MTX	166 (38.8)	155 (39.4)	11 (31.4)	
• Post-Cy	67 (15.7)	62 (15.8)	5 (14.3)	
• CsA+PDN and Others	144 (33.6)	129 (32.8)	15 (42.9)	
• Missing	18 (4.2)	15 (3.8)	3 (8.6)	
Number hMPV episodes, n (%)				
• 1	419 (97.9)	384 (97.7)	35 (100.0)	1
• >1	9 (2.1)	9 (2.3)	0	
Median time from allo-HCT to 1 st hMPV episode, days (range)	373.5, (-7 – 4766)	382, (- 7 – 4766)	183, (9 – 1798)	0.01
Time from allo-HCT to 1 st hMPV episode (category)				
• until day +180	141 (32.9)	124 (31.6)	17 (48.6)	0.1
• 181 – 1 year	70 (16.4)	64 (16.3)	6 (17.1)	
• 1 – 2 years	99 (23.1)	92 (23.4)	7 (20.0)	
• after 2 years	118 (27.6)	113 (28.8)	5 (14.3)	
Death, n (%)	145 (33.9)	128 (32.6)	17 (48.6)	
Median time from hMPV to death, days (range)	231, (0 – 2883)	254, (0 – 2883)	32, (2 – 454)	
Median F/U after last episode of hMPV, years (95% CI)	3.53, (3.22 – 3.96)	3.69, (3.17 – 4.1)	3.32 (2.78 – 3.78)	

631 Abbreviations: allo-HSCT, allogeneic hematopoietic stem cell transplantation; AL, acute leukemia; MDS,
632 myelodysplastic syndrome; MPN, myeloproliferative neoplasm; CR, complete remission; PR, partial
633 remission; HSCT, hematopoietic stem cell transplantation; RIC, reduced intensity conditioning; MAC,
634 myeloablative conditioning; PB, peripheral blood; HLA, human leucocyte antigen system; ATG, anti-
635 thymocyte globulin; GvHD, graft versus host disease; Sir, sirolimus; Tac, tacrolimus; CsA, cyclosporine A;
636 MTX, methotrexate; Post-Cy, post-transplant cyclophosphamide; PDN, prednisone; hMPV, human
637 metapneumovirus; SCT, stem cell transplantation; F/U, follow-up; CI, confidence interval.

638

639 **Table 2.** Clinical and biological characteristics of hMPV infection episodes (n= 438) in allo-
640 HCT recipients according to upper or lower respiratory tract involvement.

	Only URTD (n =264)	Possible LRTD (n =131)	Proven LRTD (n=43)	P value
Transplant characteristics				
Age				
• <16 years	17 (6.4)	9 (6.9)	1 (2.3)	0.6
• ≥16 years	247 (93.6)	121 (92.4)	42 (97.7)	
• Missing	0 (0.0)	1 (0.8)	0 (0.0)	
ATG as part of conditioning, n (%)				
• No	203 (76.9)	107 (81.7)	32 (74.4)	0.4
• Yes	57 (21.6)	18 (13.7)	11 (25.6)	
• Missing	4 (1.5)	6 (4.6)	0 (0.0)	
GvHD prophylaxis, n (%)				
• Tacrolimus and sirolimus	27 (10.2)	4 (3.1)	4 (9.3)	0.1

• Tacrolimus or CsA+MTX	105 (39.8)	47 (35.9)	16 (37.2)	
• Post-Cy	43 (16.3)	23 (17.6)	5 (11.6)	
• Others	77 (29.2)	50 (38.2)	18 (41.9)	
• Missing	12 (4.5)	7 (5.3)	0 (0.0)	
HLA mismatch, n (%)				
• Matched	166 (62.9)	75 (57.3)	27 (62.8)	0.6
• Mismatch	73 (27.7)	33 (25.2)	8 (18.6)	
• Missing	25 (9.5)	23 (17.6)	8 (18.6)	
Type of donor, n (%)				
• HLA-identical sibling	87 (33.0)	41 (31.3)	17 (39.5)	0.5
• Unrelated	121 (45.8)	53 (40.5)	18 (41.9)	
• Unrelated umbilical cord blood	11 (4.2)	9 (6.9)	3 (7.0)	
• Haplo-identical family	13 (4.9)	13 (9.9)	1 (2.3)	
• Other	31 (11.7)	13 (9.9)	4 (9.3)	
• Missing	1 (0.4)	2 (1.5)	0 (0.0)	
Immunodeficiency Scoring Index, n (%) ‡				
ANC < 0.5 × 10 ⁹ /L	9 (3.4)	14 (10.7)	6 (14.0)	0.001
• Missing data	15 (5.7)	4 (3.1)	2 (4.7)	
ALC < 0.2 × 10 ⁹ /L	11 (4.2)	21 (16.0)	9 (20.9)	<0.000 1
• Missing data	21 (8.0)	8 (6.1)	4 (9.3)	
Age at hMPV (years), median (range)	47.4, 0.7 – 74.0	52.3, 0.7 – 73.9	53.3, 15.8 – 66.5	0.02
Age ≥ 40 years	179 (67.8)	95 (72.5)	35 (81.4)	0.09
• Missing data	1 (0.4)	0 (0.0)	0 (0.0)	
Myeloablative conditioning regimen				
• MAC	122 (46.2)	59 (45.0)	13 (31.0)	0.2
• RIC	132 (50.0)	64 (48.9)	30 (69.8)	
• Missing data	10 (3.8)	8 (6.1)	0 (0.0)	
GvHD (acute or chronic)	98 (37.1)	55 (42.0)	24 (55.8)	0.04
• Missing data				
Corticosteroids	91 (34.5)	68 (51.9)	21 (48.8)	0.001
• Missing data	2 (0.8)	1 (0.8)	0 (0.0)	
Recent or pre-engraftment allo-HSCT	14 (5.3)	20 (15.3)	9 (20.9)	<0.000 1
ISI, n (%)				
• Low risk (0-2)	155 (58.7)	70 (53.4)	20 (45.5)	0.003
• Moderate risk (3-6)	84 (31.8)	39 (29.8)	15 (34.9)	
• High risk (7-12)	6 (2.3)	16 (12.2)	4 (9.3)	
• Missing data	19 (7.2)	6 (4.6)	4 (9.3)	
Other characteristics ‡				
On IS, n (%)	162 (61.4)	89 (67.9)	34 (79.1)	0.03
ALC < 0.1 × 10 ⁹ /L, n (%)	6 (2.3)	12 (9.2)	5 (11.6)	0.001
ALC < 0.5 × 10 ⁹ /L, n (%)	33 (12.5)	47 (35.9)	22 (51.2)	<0.000 1
ALC < 1 × 10 ⁹ /L, n (%)	81 (30.7)	74 (56.5)	29 (67.4)	<0.000 1
Missing	21 (8.0)	8 (6.1)	4 (9.3)	
RVI characteristics and clinical consequences				
Ribavirin therapy, n (%)	8 (3.0)	18 (13.7)	10 (23.3)	<0.000 1
Co-infections, n (%)	63 (23.9)	41 (31.3)	28 (65.1)	<0.000 1
Hospital admission, n (%)	33 (12.5)	71 (54.2)	26 (60.5)	<0.000 1

• Missing data	1 (0.4)	3 (2.3)	0 (0.0)	
ICU admission, n (%)	4 (1.5)	22 (16.8)	10 (23.3)	<0.0001
Fever during hMPV, n (%)	105 (39.8)	89 (67.9)	33 (76.7)	<0.0001
• Missing data	8 (3.0)	5 (3.8)	2 (4.7)	
Prior BOS, n (%)	24 (9.1)	13 (9.9)	5 (11.6)	0.6
• Missing data	1 (0.4)	0 (0.0)	0 (0.0)	
Oxygen support, n (%)	4 (1.5)	57 (43.5)	24 (55.8)	<0.0001
• Missing data	5 (1.9)	0 (0.0)	1 (2.3)	
Median time of dx after HSCT infusion, days (range)	422, (-7 – 4766)	305, (-7 – 4584)	237, (-2 – 2086)	0.03
Day + 30 overall mortality rate, n (%)	4 (2%)	16 (12%)	9 (21%)	<0.0001
Day + 90 overall mortality rate, n (%)	10 (4%)	25 (19%)	16 (37%)	<0.0001
Median time to death, days (95% CI)	385 (0-2483)	151 (3 – 2883)	39 (2 – 982)	
Median time to death, years (95% CI)	1.05 (0.0-6.80)	0.41 (0.008 – 7.89)	0.11 (0.005 – 2.69)	

641 Abbreviations. UR TD, upper respiratory tract disease; LRTD, lower respiratory tract disease; ATG, anti-
642 thymocyte globulin; GvHD, graft-versus-host disease; Sir, sirolimus; Tac, tacrolimus; CsA, cyclosporine
643 A; MTX, methotrexate; Post-Cy, post-transplant cyclophosphamide; HLA, human leukocyte antigen; ;
644 ANC, absolute neutrophil count; ALC, absolute lymphocyte count; hMPV, human metapneumovirus;
645 MAC, myeloablative conditioning, RIC, reduced intensity conditioning; ISI, immunodeficiency scoring
646 index; RVI, respiratory virus infection; IS, immunosuppressants; Allo-HSCT, allogeneic hematopoietic
647 stem cell transplantation; ICU, intensive care unit; BOS, bronchiolitis obliterans syndrome; dx, diagnostic;
648 SC, stem cells.

649 ‡ All variables were captured at the time of hMPV diagnosis.

650

651 **Table 3.** Multivariate analyses for different outcomes.

Outcome	Variables	OR/HR (95% C.I.)	P value
Hospital admission (n=361)^Ω		Log. Regr. (OR)	
variables used for multivariate analysis:			
GVHD prophylaxis, Age ≥ 40 years, Active GvHD at the time RVI, Co-infection, ALC < 0.5 × 10 ⁹ /L ANC < 0.5 × 10 ⁹ /L BOS Corticosteroids ≥ 30mg/d	Active GvHD at the time of RVI	2.65 (1.57-4.48)	0.0003
	ALC < 0.5 × 10 ⁹ /L	1.83 (1.06-3.18)	0.03
	Corticosteroids ≥ 30mg/d	2.37 (1.16-4.87)	0.02
Oxygen support (n=350)^Ω		Log. Regr. (OR)	
variables used for multivariate analysis:			
GVHD prophylaxis On IS ANC < 0.5 × 10 ⁹ /L ALC < 0.5 × 10 ⁹ /L ¥ ALC < 0.2 × 10 ⁹ /L ¥ ALC < 1 × 10 ⁹ /L ¥ Age ≥ 40 years	ALC < 0.5 × 10 ⁹ /L	4.52 (2.38-8.59)	<0.0001
	Age ≥ 40 years	3.19 (1.42-7.16)	0.005
	Active GvHD at the time RVI	3.51 (1.83-6.73)	0.0002

Active GvHD at the time RVI Periengraftment Allo-HSCT ≤ 6 months Corticosteroids vs No Prior BOS Co-infection post-Cy vs tacrolimus	Co-infection Y/N	2.42 (1.29-4.52)	0.01
	CsA+PDN or Others vs tacrolimus	2.30 (1.19-4.44)	0.01
LRTD (n=365)^Ω variables used for multivariate analysis:		Log. Regr. (OR)	
GVHD prophylaxis ALC	ALC		0.0003 [§]
On IS ANC < 0.5 × 10 ⁹ /L	• ≤ 0.2 × 10 ⁹ /L, vs ≥ 0.5	5.00 (2.08-12.00)	0.0003
Active GvHD at the time RVI Periengraftment Allo-HSCT ≤ 12 months or Allo-HSCT ≤ 6 months	• 0.2-0.5 × 10 ⁹ /L, vs ≥ 0.5	1.94 (1.11-3.38)	0.02
	Corticosteroids ≥ 30mg/d	4.06 (2.08-7.91)	<0.0001
LRTD NRM 30-day mortality (n=155)^Ω variables used for multivariate analysis:		Cox Regr (HR)	
GVHD prophylaxis ALC < 0.5 × 10 ⁹ /L & ALC < 0.2 × 10 ⁹ /L & ALC < 1 × 10 ⁹ /L & Periengraftment Allo-HSCT ≤ 6 months or Allo-HSCT ≤ 100 days Corticosteroids* vs No	ALC < 0.2 × 10 ⁹ /L	4.45 (1.83-10.87)	0.001

652 **Abbreviations:** C.I., confidence interval; OR, odds ratio; HR, hazard ratio; Log. Regr, logistic regression
653 model; Cox Regr, cox regression model; GvHD, graft-versus-host disease; IS, immunosuppressants;
654 ANC, absolute neutrophil count; ALC, absolute lymphocyte count; BOS, bronchiolitis obliterans
655 syndrome; RVI, respiratory virus infection; Y, yes; N, no; LRTD, lower respiratory tract disease.

656 [§] overall comparison.

657 ^Ω number of patients with complete data on all the variables included in the MVA

658 [¥] We only include in the final multivariate analysis ALC < 0.5 x 10⁹/mL.

659 [&] We only include in the final multivariate analysis ALC < 0.2 x 10⁹/mL.

660

661 **Table 4.** Co-infection characteristics according to upper or lower respiratory tract
662 involvement.

Co-infections	All HMPV cases (n=438)	HMPV URTD (n =264)	HMPV LRTD (n =174)
CARV co-infections, n			
• HCoV	21	10	11
• EvRh	36	20	16
• RSV	18	10	8
• HPiV	19	10	9
• ADV	9	6	3
• HiV	25	13	12
• HBoV	3	3	0
Bacterial co-infection, n			

• <i>Pseudomonas spp</i>	6	1	5
• <i>Streptococcus pneumoniae</i>	2	2	0
• <i>Haemophilus influenza</i>	2	0	2
• <i>E. coli</i>	3	0	3
• <i>Stenotrophomonas maltophilia</i>	1	0	1
• <i>Staphylococcus aureus</i>	1	0	1
• <i>Enterococcus spp</i>	3	0	3
• Others*	5	0	5

Fungal co-infection, n

• Probable invasive fungal infection	19	4	15
• Proven invasive fungal infection	6	0	6
• Median time from hMPV to IFI, days (range)	11 (0- 82)	45 (7 - 79)	9 (0 - 82)
• <i>Aspergillosis spp</i>	18	0	18
• <i>Candidemia spp</i>	2	0	2
• <i>Pneumocystis jiroveci</i>	4	0	4
• <i>Mucormycosis</i>	1	0	1

663 Abbreviations, UR TD, upper respiratory tract disease; LRTD, lower respiratory tract disease; CARV,
664 community acquired respiratory virus; HCoV, human coronavirus; EvRh, Enterovirus/rhinovirus; RSV,
665 respiratory syncytial virus; HPiV, human parainfluenza virus; ADV, adenovirus; HiV, human influenza
666 virus, HBoV, human bocavirus; IFI, invasive fungal infection.

667 * Haemophilus parahaemolyticus, 1; Streptococcus alpha haemolytic, 1; Bordetella sp, 1; Coagulase-
668 negative Staphylococcus, 1; Lactobacillus rhamnosus + Enterococcus, 1.

669

670 **Table 5.** Characteristics of hMPV infection episodes according to ribavirin treatment.

	All hMPV cases (N=438)	Not treated (N=402)	Treated with ribavirin (N=36)	P value*
Number of Episodes, n (%)				0.8
• 1	428 (97.7)	393 (97.8)	35 (97.2)	
• 2	9 (2.1)	9 (2.2)	0 (0.0)	
• 3	1 (0.2)	0 (0.0)	1 (2.8)	
Only UR TD, n (%)				<0.0001
• Yes	264 (60.3)	256 (63.7)	8 (22.2)	
LRTD, n (%)				
• Possible	131 (29.9)	113 (28.1)	18 (50.0)	0.2
• Proven	43 (9.8)	33 (8.2)	10 (27.8)	
Fever, n (%)				0.8
• Yes	227 (51.8)	209 (52.0)	18 (50.0)	
• Missing	15 (3.4)	11 (2.7)	4 (11.1)	
CRP in mg/dL, median (min-max)	20, 0 – 452	18, 0 – 452	44, 1 – 368	0.1
ISI (Low/Mod/High), n (%)				0.09
• Low	245 (55.9)	226 (56.2)	19 (52.8)	
• Moderate	138 (31.5)	130 (32.3)	8 (22.2)	
• High	26 (5.9)	19 (4.7)	7 (19.4)	
• Missing	29 (6.6)	27 (6.7)	2 (5.6)	

Hospitalization, n (%)				0.02
• Yes	130 (29.7)	113 (28.1)	17 (47.2)	
• Missing	4 (0.9)	4 (1.0)	0 (0.0)	
URTD RV co-infection, n (%)	106 (24.2)	93 (23.1)	13 (36.1)	0.09
LRTD co-infection, n (%)				
• Viral-Fungal	14 (3.2)	11 (2.7)	3 (8.3)	
• Viral-Bacterial	5 (1.1)	4 (1.0)	1 (2.8)	
• Viral-viral-bacterial	4 (0.9)	3 (0.7)	1 (2.8)	
• Viral-bacterial-fungal	1 (0.2)	1 (0.2)	0 (0.0)	
• Viral-viral	5 (1.1)	5 (1.1)	0 (0.0)	
• Viral-viral-fungal	1 (0.2)	0 (0.0)	1 (2.8)	
RSV co-infection	18 (4.1)	9 (2.2)	9 (25.0)	<0.0001
HPIV co-infection	19 (4.3)	2 (5.6)	17 (4.2)	0.7
Oxygen support, n (%)				<0.0001
• Yes	85 (19.4)	68 (16.9)	17 (47.2)	
• Missing	6 (1.4)	6 (1.5)	0 (0.0)	
ICU, n (%)	36 (8.2)	27 (6.7)	9 (25.0)	0.0004
On IS, n (%)	285 (65.1)	252 (62.7)	33 (91.7)	0.002
ALC < 0.1 × 10 ⁹ /L, n (%)	23 (5.3)	17 (4.2)	6 (16.7)	0.004
ALC < 0.2 × 10 ⁹ /L, n (%)	41 (9.4)	31 (7.7)	10 (27.8)	0.0003
ALC < 0.5 × 10 ⁹ /L, n (%)	102 (23.3)	84 (20.9)	18 (50.0)	0.0002
ALC < 1 × 10 ⁹ /L, n (%)	184 (42.0)	160 (39.8)	24 (66.7)	0.003
Corticosteroids	180 (41.1)	164 (40.8)	16 (44.4)	0.7
• Missing	3 (0.7)	3 (0.7)	0 (0.0)	
Periengraftment*	43 (9.8)	33 (8.2)	10 (27.8)	0.0005
Allo-HSCT ≤ 100 days	90 (20.5)	79 (19.7)	11 (30.6)	0.1
Allo-HSCT ≤ 6 months	142 (32.4)	125 (31.1)	17 (47.2)	0.03
Allo-HSCT ≤ 12 months	214 (48.9)	191 (47.5)	23 (63.9)	0.05
Allo-HSCT ≤ 24 months	317 (72.4)	286 (71.1)	31 (86.1)	0.06
Day + 30 overall mortality, n (%)	29 (6.6)	21 (5.2)	8 (22.2)	0.0002
Day + 90 overall mortality, n (%)	51 (11.6)	39 (9.7)	12 (33.3)	<0.0001

671 Abbreviations: URTD, upper respiratory tract disease; LRTD, lower respiratory tract disease; CRP, C-
672 reactive protein; ISI, immunodeficiency score index; RSV, respiratory syncytial virus; HPIV, human
673 parainfluenza virus; IS, immunosuppressants; ALC, absolute lymphocyte count; Allo-HSCT, allogeneic
674 hematopoietic stem cell transplantation.

675

676 Table 6. Propensity score analysis.

677

Variables used for propensity score matching	Propensity score matched		
	Whole cohort (n=66)	Not treated (n=33)	Treated with ribavirin (n=33)
Characteristics			
ALC < 0.5 × 10 ⁹ /L, n (%)	31 (47)	15/31 (48)	16/31 (52)
ISI (High), n (%)	13 (20)	7/13 (54)	6/13 (46)
Allo-HSCT ≤ 180 days	33 (50)	17/33 (52)	16/33 (48)
Oxygen support	32 (48)	16/32 (50)	16/32 (50)
Hospitalization, n (%)	31 (47)	16/31 (52)	15/31 (48)
ICU, n (%)	13 (20)	5/13 (38)	8/13 (62)

678

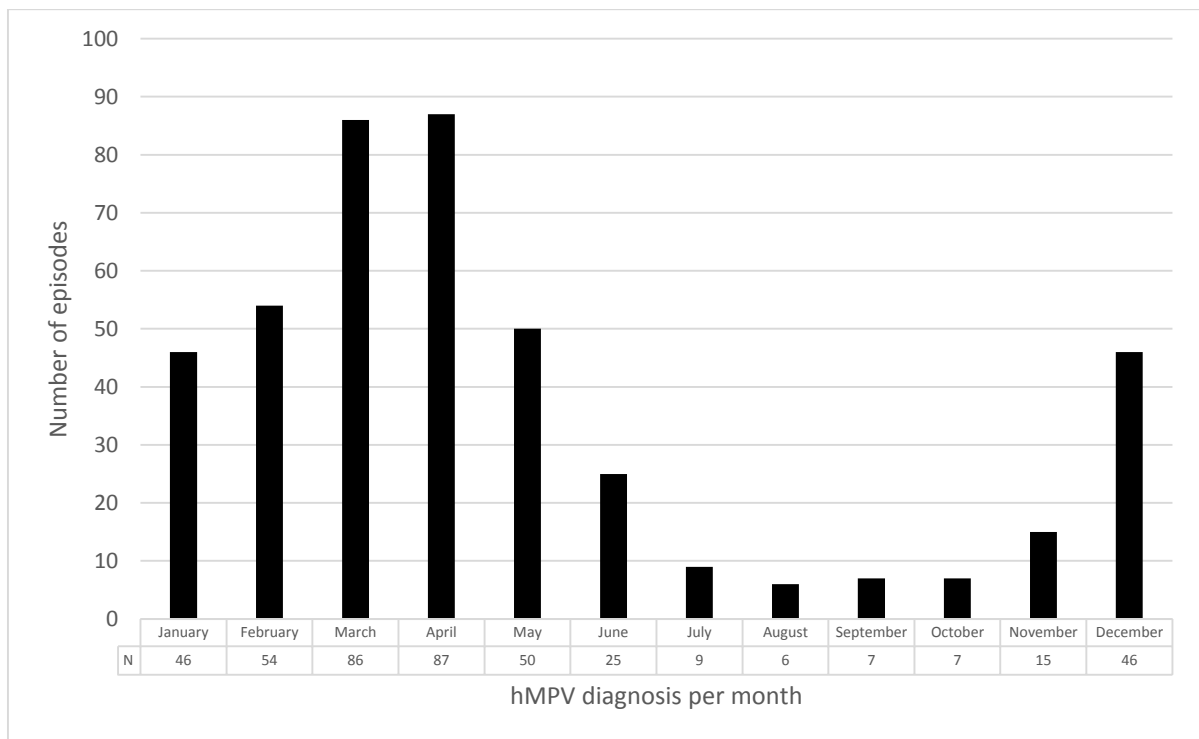
Survival outcome	Propensity score matched		679
	Not treated (n=33)	Treated with ribavirin (n=33)	680 value 681
Day + 30 overall mortality, n (%)	15.2 (5.4-29.5)	21.2 (9.2-36.5)	0.5
Day + 90 overall mortality, n (%)	18.2 (7.2-33.1)	33.3 (17.9-49.6)	682

683 Abbreviations: ALC, absolute lymphocyte count; LRTD, lower respiratory tract disease; ISI,
 684 immunodeficiency score index; Allo-HSCT, allogeneic hematopoietic stem cell transplantation; URTD,
 685 upper respiratory tract disease; ICU, intensive care unit.

686

687 **Figure 1.** Seasonality of human metapneumovirus infections in recipients of allogeneic
 688 hematopoietic stem cell transplant. (From January to December*)

689



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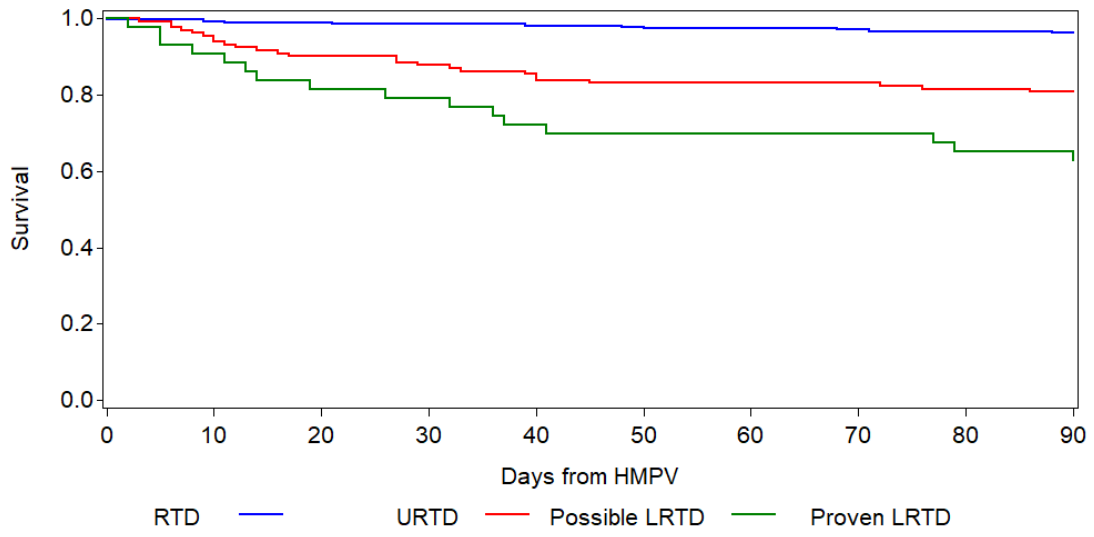
691 *5 cases (2 in September, 2 October, 1 November) occurred in Australia

692

693

694

695 **Figure 2.** 90-day overall survival from hMPV detection according to URTD and possible
 696 or proven LRTD.



697

Group	Episodes	Death	90-day OS (95% C.I.)	p
URTD	264	10	96.2 (93.0 – 97.9)	<0.0001
Possible LRTD	131	25	80.8 (72.9 – 86.6)	
Proven LRTD	43	16	62.8 (46.6 – 75.3)	

698

Upper and/or lower respiratory tract infection caused by human metapneumovirus after allogeneic hematopoietic stem cell transplantation

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Short Title: hMPV infection after allo-HSCT.

Brief summary: hMPV infection after allo-HSCT frequently involves the lower respiratory tract (40%). Pulmonary involvement was associated with substantial all-cause

mortality rate (14%) at day 30 after hMPV detection, particularly in those with lymphopenia.

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