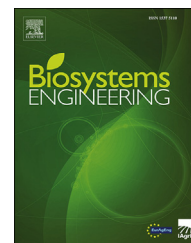


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## Research Paper

# Determining the onset of heat stress in a dairy herd based on automated behaviour recognition



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Dairy cows have various strategies for dealing with heat stress, including a change in behaviour. The aim of this study was to propose a deep learning-based model for recognising cow behaviours and to determine critical thresholds for the onset of heat stress at the herd level. A total of 1000 herd behaviour images taken in a free-stall pen were allocated with labels of five behaviours that are known to be influenced by the thermal environment. Three YOLOv5 architectures were trained by the transfer learning method. The results show the superiority of YOLOv5s with a mean average precision of 0.985 and an inference speed of 73 frames per second on the testing set. Further validation demonstrates excellent agreement in herd-level behavioural parameters between automated measurement and manual observation (intraclass correlation coefficient = 0.97). The analysis of automated behavioural measurements during a 10-day experiment with no to moderate heat stress reveals that lying and standing indices were most responding to heat stress and the test dairy herd began to change their behaviour at the earliest ambient temperature of 23.8 °C or temperature-humidity index of 68.5. Time effects were observed to alter the behavioural indicators values rather than their corresponding environmental thresholds. The proposed method enables a low-cost herd-level heat stress alert without imposing any burden on dairy cows.

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## 1. Introduction

Homeotherms, including dairy cows, constantly maintain thermal equilibrium with their environments through thermoregulation (Kadzere, Murphy, Silanikove, & Maltz, 2002). Heat stress is defined as the demand made by the

environment for heat dissipation (Silanikove, 2000). It is triggered when the thermal environment exceeds the upper critical threshold of the thermoneutral zone, inducing a variety of physiological and behavioural responses to reduce heat production and increase heat dissipation (Becker, Collier, & Stone, 2020). Due to the lack of real-time, large-scale, and automated measurement of animal-based indicators, heat

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**Nomenclature**

AIC	Akaike information criterion
AP	Average precision
CI	Comfort index (%)
CSI	Cow stress index (%)
drinking%	Percentage of cows drinking
eating%	Percentage of cows eating
FN	False negative
FP	False positive
FPS	Frames per second
IoU	Intersection over Union
lying%	Percentage of cows lying
mAP	Mean average precision
Pr	Precision
R	Recall
RH	Relative humidity (%)
SUI	Stall-use index (%)
Ta	Ambient temperature (°C)
THI	Temperature-humidity index
t <sub>N</sub>	Total inference time (s)
TP	True positive
YOLO	You Only Look Once

mitigation in practice has long depended on environmental indicators and their critical thresholds (Shu, Wang, Guo, & Bindelle, 2021). Environmental indicators, however, do not reflect the actual response of the animal, making it difficult to evaluate the effectiveness of cooling measures (Collier, Dahl, & VanBaale, 2006).

Dairy cows take a series of behavioural changes to cope with heat stress. As environmental temperature increases, cows will spend more time standing to increase surface area for better heat dissipation (Cook, Mentink, Bennett, & Burgi, 2007). However, this may result in a significant reduction in sleeping time, posing a potential risk to cow welfare (Becker et al., 2020). Cows will drink more frequently under heat stress but with less water each time (Galán et al., 2018). Cows will also reduce feed intake and subsequent rumination to reduce metabolic heat production (Collier, Renquist, & Xiao, 2017). Therefore, recognising changes in behavioural patterns ascribable to heat stress can help quantify the true response of cows.

Recently, deep learning-based methods have allowed the automated recognition of basic cow behaviours such as lying, standing, and drinking with an accuracy of up to 0.976 (Fuentes, Yoon, Park, & Park, 2020; Wu et al., 2021). Further quantification of the results with association to animal growth, health, and welfare, and the extent to which they can be used to improve decision making have been highlighted for future work (Chen, Zhu, & Norton, 2021). Tsai, Hsu, Ding, Rustia, and Lin (2020) analysed how drinking time and frequency were affected by heat stress after detecting drinking behaviour with a convolutional neural network. Still, further application of deep learning techniques is required so that the detection of multiple behaviours enables a more comprehensive analysis of when heat stress is triggered.

Although progress has been made in computer vision-based individual identification in free-stall barns (Xiao, Liu, Wang, & Si, 2022), issues such as lack of colour pattern and occlusion still lead to poor identification. On the other hand, detections from deep learning methods provide an opportunity to calculate herd-level behavioural indices which have been commonly used for evaluating cow comfort. For example, cow lying index, which is defined as the number of cows lying in the stall divided by the total number of cows, has been calculated automatically with a computer vision-based system (Porto, Arcidiacono, Anguza, & Cascone, 2013). Other indices related to free-stall usage and cow comfort would require knowing whether the cow is standing on the stall bed and whether the cow is eating or drinking. Therefore, a detailed behavioural recognition method that addresses the above questions is still required to compute these indices in an automated way.

Scan sampling, as a common method in animal research, is often used to record herd-level behavioural indices at pre-determined intervals. Traditionally, scan sampling requires manual checks through direct observations or video recording, both of which are time- and labour-consuming. With the help of automated behaviour recognition, video frames can be processed in real time. However, continuous processing and storing of data would be a waste of time and memory. Scan sampling is still of great value, especially for behaviours that basically follow continuous and diurnal patterns, such as lying and standing. The sampling interval should always be determined in accordance with specific purposes. For example, studies or regular checks aimed at ascertaining standing and lying patterns may use sampling intervals of 30 or even 60 min (Mitloehner, Morrow-Tesch, Wilson, Dailey, & McGlone, 2001).

By combining deep learning and scan sampling methods, the onset of heat stress is promising to be determined at the herd level with the memory and power of local devices greatly saved. Therefore, the aim of this study was (1) to train and validate a deep learning-based model to recognise cow behaviours and further calculate herd-level behavioural indicators, and (2) to develop critical thresholds of heat stress at herd level based on behavioural indicators.

## 2. Materials and methods

All protocols involving animals were approved by the Experimental Animal Care and Committee of Institute of Animal Sciences, Chinese Academy of Agricultural Sciences (approval number IAS2021-220).

### 2.1. Experimental design

The experiment was conducted on a free-stall dairy farm in May 2021 in Shandong, China, which has a temperate continental monsoon climate with hot and humid summers. The experiment consisted of two periods, in which the first three days in early May were designed to collect data for training behaviour recognition models while the other ten days during mid-May when the environment got warmer were designed to

explore how the onset of heat stress can be determined through automated behaviour recognition.

### 2.1.1. Housing, animals, and management

The barn had four pens with a 4-row head-to-head design and was covered by a double-pitched roof (gradient 15%). The experimental pen (11 m × 96 m, oriented along the north–south axis) housed 79 lactating Holstein-Friesian dairy cows with 128 stalls and 128 headlocks. The pen could be evenly divided into eight areas, with each having a feeding zone of 16 headlocks and a parallel resting zone of 10–20 stalls with or without a water trough (see [Supplementary Material Fig. S1](#)). At the beginning of the experiment, the cows had a mean ± SD milk yield of 30.4 ± 11.8 kg day<sup>-1</sup>, parity of 2.8 ± 1.4, and days in milk of 273.1 ± 117.3. The cows were milked three times daily at 08:30, 16:30, and 00:00 h in a parlour that was about 20 m away from the barn. All cows were observed to return to the pen within 1 h of departure. A total mixed ration was delivered three times daily after milking. Clean drinking water was delivered in five troughs. Both feed and water were provided ad libitum to all cows. Electronic fans (1.1 m in diameter; capacity: 25000 m<sup>3</sup> h<sup>-1</sup> each; see [Fig. S1](#)) were turned on when the indoor temperature reached 20 °C whereas sprinklers remained closed during the experiment. Stalls were sand-bedded to a depth of about 150 mm and raked once daily while the cows were away for morning milking.

### 2.1.2. Behavioural and environmental measurement

Cow behaviour was recorded using eight closed-circuit video cameras (DS-2CD3T86FWDV2; Hikvision, Hangzhou, China) which were evenly spaced and placed opposite the pen's longitudinal axis at a height of about 6 m and an angle of about 45° downward (see [Fig. S1](#)). Each camera was able to capture a feeding zone with 16 headlocks and a parallel resting zone, allowing the complete side view of the pen to be captured. The eight cameras were linked, synchronised, and controlled using an eight-channel video recording system (DS-7808N-K2; Hikvision, Hangzhou, China). It is recommended that video recordings taken between 08:00 and 15:00 h is best for representing daily behavioural pattern in summer ([Uzal Seyfi, 2013](#)). Besides, behavioural assessment should be performed at least 1 h after cows return from morning milking to avoid being affected by intensive feeding ([Overton, Sischo, Temple, & Moore, 2002](#)). Accordingly, video recording was performed from 10:30 to 15:00 h on each test day as adapting the previous recommendations to the actual schedule.

Environmental parameters including ambient temperature ( $T_a$ , °C) and relative humidity (RH, %) were measured at an interval of 10 min using a total of six Kestrel 5000 environment meters and Kestrel 5400 heat stress trackers (accuracy: ±0.4 °C  $T_a$ , ±1% RH; Nielsen-Kellerman, Boothwyn, PA, USA; see [Fig. S1](#)). These sensors were evenly distributed in the pen and were fixed at a height of 2.2 m. The measurements from all sensors were averaged for representing the global environment inside the pen. The temperature-humidity index (THI) was calculated according to Eq. (1) ([NRC, 1971](#)).

$$THI = (1.8 \times T_a + 32) - (0.55 - 0.005 \times RH) \times (1.8 \times T_a - 26) \quad (1)$$

## 2.2. Development of behaviour recognition model

### 2.2.1. Data preparation

Video frames from the first three-day experiment were extracted at an interval of 6 min by using a self-written program in Python. This interval was set for increasing the heterogeneity of the training data since the cows changed their behaviour less frequently. The extracted frames were in JPG format and were further corrected for distortion, cropped, and resized using OpenCV. The final images for training and evaluation had a resolution of 1920 by 1080 pixels. Finally, a total of 1000 images were chosen after eliminating low-quality frames (e.g., lens covered by flies).

To maintain the good quality of training data, all cows presented in all images were annotated as per the definition presented in [Table 1](#). Five target behaviours known to be influenced by the thermal environment were carefully defined to be exclusive, meaning that the cows were not able to perform two behaviours simultaneously. “Standing-in” was previously subdivided into “perching” and “standing” based on whether all four feet or just two feet touched a stall ([Cook, Bennett, & Nordlund, 2005](#)). However, this was abandoned due to insufficient data in each subgroup. The annotation tool LabelImg (<https://github.com/tzutalin/labelImg>) was used to allocate the appropriate class to each cow per image with a bounding box. A total of 1000 annotated images were randomly split into a training set (60%), a validation set (20%), and a testing set (20%). A detailed description of the behaviour recognition dataset with regards to the number of labels per class is given in [Table 2](#).

### 2.2.2. Deep learning algorithm and transfer learning

YOLO (You Only Look Once) is a popular one-stage framework for object detection. Unlike two-stage methods (e.g., Faster R-CNN), one-stage methods skip the region proposal stage, and regard object detection as a regression task with class probability and coordinates of the bounding box as the outcome. As a result, one-stage methods have much higher inference speeds and relatively lower accuracy.

YOLOv5 is a recent popular version of the YOLO-series of algorithms. Adapting from YOLOv4, YOLOv5 uses Focus structure with CSPdarknet53 as the backbone and introduces Spatial Pyramid Pooling method, mosaic training, self-

**Table 1 – Definition of the target cow behaviours.**

Behaviour	Definition
Drinking	Standing by a water trough with mouth in the trough
Eating	Standing with neck in a feeding rack
Lying	Lying in total lateral or sternal recumbency within a stall
Standing-in	Standing with two or more feet touching a stall bed
Standing-out	Standing or walking outside the stall but not eating

**Table 2 – Overview of the behaviour recognition dataset. Images were extracted using 6-min scan sampling from 10:30 to 15:00 h during the three-day experiment.**

Dataset	Number of cow labels					Total
	Drinking	Eating	Lying	Standing-in	Standing-out	
Training (600 images)	164	269	3326	509	2682	6950
Validation (200 images)	49	73	1237	170	836	2365
Testing (200 images)	40	103	1152	186	769	2250
Total	253	445	5715	865	4287	11565

adversary training, and multi-channel feature. It has been reported that YOLOv5 has a much higher inference speed and smaller size compared with its previous versions without sacrificing accuracy (Yang et al., 2020). Thus, YOLOv5 was chosen to recognise cow behaviour in the present study. Specifically, three architectures were trained, with size increasing from YOLOv5s, YOLOv5m, to YOLOv5l.

Deep learning methods require a lot of time and memory to train on a large number of images. Transfer learning, which involves transferring previously learned knowledge from a related task, is expected to accelerate the training process and usually produce better results than training from scratch. The pre-trained weights used in this study were provided by the authors of YOLOv5 based on the COCO dataset which is a benchmark object detection dataset published by Microsoft (Lin et al., 2014).

The training of the different YOLOv5 architectures was performed in Python 3.8 language with Pytorch 1.8.0. on a 64-bit version Windows 11 laptop with NVIDIA GeForce RTX 3060 GPU and 6 GB video memory. The batch size was set to 8 and the epoch was set to 100. Hyperparameters were set as default.

2.2.3. Performance evaluation

In object detection tasks, an Intersection over Union (IoU) threshold has to be given first to determine whether a predicted bounding box should be classified as positive or negative. The IoU is the overlap of the predicted and ground-truth bounding boxes divided by their union, as expressed in Eq. (2):

$$IoU = \frac{Prediction \cap Ground\ truth}{Prediction \cup Ground\ truth} \tag{2}$$

In this study, an IoU threshold of 0.5 was adopted by convention. Thus, a detection with an  $IoU \geq 0.5$  was classified as true positive (TP), a detection with an  $IoU < 0.5$  was classified as false positive (FP), and a ground truth presented but failed to be detected is classified as false negative (FN).

Afterward, the precision (Pr), recall (R), and average precision (AP) were calculated according to Eqs. (3)–(5). The Pr indicates the proportion of the predicted bounding boxes being correctly detected whereas the R indicates the proportion of the ground-truth bounding boxes being correctly detected. The ideal object detector should have high Pr and R at the same time. However, there is a trade-off between the two metrics depending on the confidence threshold. Confidence represents the probability (0–1) of a bounding box containing an object and the predictions with class probabilities lower than a given confidence threshold will be removed. A very high confidence threshold will discourage the model from

making positive predictions, thus increasing Pr and decreasing R, and vice versa. The AP is a commonly recommended metric since it summarises the Pr along with the R at all possible confidence thresholds. This was done by default setting the confidence threshold to 0.001.

$$Pr = \frac{TP}{TP + FP} \tag{3}$$

$$R = \frac{TP}{TP + FN} \tag{4}$$

$$AP = \int_0^1 Pr_{(R)} dR \tag{5}$$

In such a multi-class task, the mean average precision (mAP) was used to evaluate the overall performance, as expressed in Eq. (6):

$$mAP = \frac{1}{C} \sum_{i=1}^C AP_i \tag{6}$$

where C takes 5, indicating 5 classes (i.e., “Drinking”, “Eating”, “Lying”, “Standing-in”, “Standing-out”). In addition, frames per second (FPS) was used to indicate the inference speed, as expressed in Eq. (7):

$$FPS = \frac{N}{t_N} \tag{7}$$

where  $t_N$  is the total inference time (s) on N images.

Unlike AP calculations, where all potential confidence thresholds are required, the confidence threshold for actual inference must be tuned and specified for better detection. The confidence threshold for inference on the testing set was determined by checking the global maximum on the F1 confidence curve. The F1 score is the harmonic mean of Pr and R, as expressed in Eq. (8):

$$F1\ score = 2 \times \frac{Pr \times R}{Pr + R} \tag{8}$$

2.3. Behavioural indicator calculation and heat stress determination

2.3.1. Behaviour recognition

The video frames were further extracted using 30-min scan sampling from 10:30 to 15:00 h since this method has been validated to be effective and efficient for analysing cow behaviour (Mattachini, Riva, & Provolo, 2011; Uzal Seyfi, 2013). Consequently, 10 scan samples were obtained for each of the 13 test days, each containing eight images from eight

cameras. The proposed behaviour recognition model was applied to all images per scan sample.

### 2.3.2. Detection filtering

The eight cameras captured all 128 headlocks in the feeding zone, but partially overlapped at the far end of the view (i.e., resting zone) due to the fact that faraway objects naturally appear smaller than closer ones. However, it is important to count each cow only once when calculating herd-level behavioural indicators. Thus, processing had to be done to filter each detection per image per camera to ensure that only the detections located within the area of interest were counted. As shown in Fig. 1, the area of interest was predetermined for each camera by using a polygon that covered the entire floor of the feeding zone and its parallel resting zone. The filtering was based on Jordan Curve Theorem (Hales, 2007). For each predicted bounding box, a ray was first drawn horizontally to the right of the centre of its lower boundary line. This was to ensure that the cows stood or lied within the area. If the number of intersections was odd then the centre was inside the polygon and the predicted bounding box was kept. This filtering was based on the coordinates of the polygon and those of the lower centres of the bounding boxes using a self-written program in Python. The filtered number of detections per class per image was therefore obtained.

### 2.3.3. Behavioural parameters

The number of detections for each behavioural class per scan was determined after merging the results into each scan sample. The herd-level behaviour distribution of each scan was naturally calculated by dividing the number of detections for each behavioural class by the total number of detections. Except for the percentage of cows lying, several herd-level behavioural indices have often been used for characterising lying and standing behaviours. With the automated measurements, comfort index (CI), stall-use index (SUI), and cow stress index (CSI) were calculated according to Eqs. (9 - 11) (Mattachini et al., 2011; Overton et al., 2002):

$$CI = \text{lying} / (\text{lying} + \text{standing-in}) \quad (9)$$

$$SUI = \text{lying} / (\text{lying} + \text{standing-in} + \text{standing-out}) \quad (10)$$

$$CSI = (\text{standing-in} + \text{standing-out}) / n \quad (11)$$

where  $n$  denotes the total number of cows.

To further evaluate the proposed behaviour recognition model, the scan samples from the first three-day recording were manually observed to count the frequency of each

behaviour. The manual results of behavioural parameters including the percentage of all five target behaviours as well as advanced lying and standing indices (i.e., CI, SUI, and CSI) were then calculated. The intraclass correlation coefficient was computed to assess the agreement between manual and automated methods using the `icc` function from the “`irr`” package (R version 3.4.4; <https://R-project.org>).

### 2.3.4. Threshold development

Since the proposed model worked well in recognising herd-level behavioural parameters, the remaining 10-day data were further used to explore the herd-level behavioural pattern with respect to the onset of heat stress. A total of six herd-level behavioural parameters, including the percentages of cows drinking (drinking%), eating (eating%), and lying (lying%), as well as CI, SUI, and CSI, were used as animal-based indicators, whereas  $T_a$  and  $THI$  were used as environmental indicators. Data from 11:00 to 14:30 h were used for further analyses since their behavioural results show a clear association with environmental indicators.

All statistical analyses in this section were performed using R software. Spearman's rank correlation analysis was performed using the `cor` function to explore how these indicators were associated with each other. Piecewise regression models were used to fit the response of animal-based indicators to environmental indicators and locate the breakpoint at which this response changed in trend. The piecewise models were built with the “`segmented`” package which works by updating the existing models with two or more breakpoints based on Davies test (Muggeo, 2008). Therefore, basic piecewise models were built by updating simple linear regression models fitted with the `lm` function. The models are written as Eq. (12):

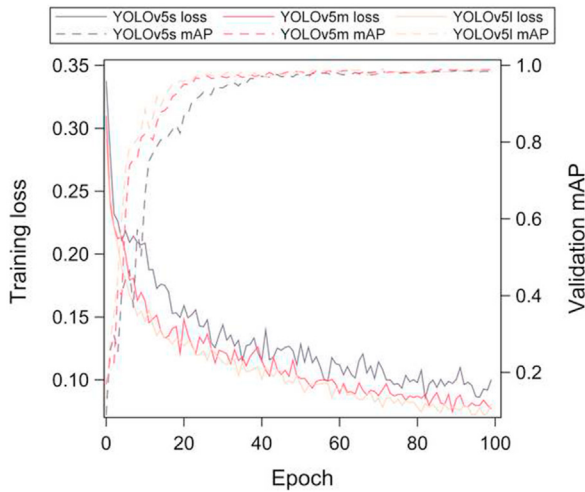
$$Y_i = \beta_0 + \beta_1 X_i + \beta_2 (X_i - X_{bp}) X_k + \varepsilon_i, X_k = \begin{cases} 0 & \text{if } X \leq X_{bp} \\ 1 & \text{if } X > X_{bp} \end{cases} \quad (12)$$

where  $Y_i$  is the animal-based indicators,  $\beta_0$  is the population intercept,  $\beta_1$  is the left slope,  $X_i$  is the environmental indicators,  $\beta_2$  is the difference between the right slope and left slope,  $X_{bp}$  is the breakpoint,  $X_k$  is the dummy variable, and  $\varepsilon_i$  is the random residual for the  $i$ -th observation.

If the basic piecewise models converged, advanced piecewise models with the random effect of time of day were built to separate the profiles of different hours. Otherwise, the results would be shown with simple linear regression models. Advanced piecewise models were built by updating linear mixed models fitted with the `lme` function included in the “`nlme`” package. The random effect of time of day was



**Fig. 1 – Schematic of detection filtering taking one camera for example. The predefined red polygon represents the area of interest and only the bounding boxes with their lower centres in the area are kept.**



**Fig. 2 – Comparison of training loss and validation mean average precision (mAP) for three YOLOv5 architectures.**

included for every model parameter, including intercept, slope difference, and breakpoint. For  $j$ -th time of day, the model can be written as Eq. (13):

$$Y_{ij} = \beta_{0j} + \beta_{1j}X_{ij} + \beta_{2j}(X_{ij} - X_{bpij})X_k + \epsilon_{ij}, X_k = \begin{cases} 0 & \text{if } X \leq X_{bpij} \\ 1 & \text{if } X > X_{bpij} \end{cases} \quad (13)$$

where each parameter is given by the sum of fixed and random effects (e.g.,  $\beta_{0j}$  is the sum of fixed term  $\beta_0$  and random term  $d_j$ ).

### 3. Results and discussion

#### 3.1. Performance of behaviour recognition

Figure 2 showing the training process of three YOLOv5 architectures indicates a faster convergence always in the validation mAP than the training loss. As expected, the training converged earlier as the network deepens in size. As shown in Table 3, the mAP on the testing set was roughly close among YOLOv5 models, in which “Drinking” was consistently the most difficult to detect, probably due to the most limited data to train. This is somehow inevitable when using such an imbalanced dataset with “Standing-out” being almost 16 times more represented than “Drinking”. In the case of FPS, models with smaller sizes show much higher inference speed, with YOLOv5s increasing by 32.7% compared with YOLOv5m, and by 65.9% compared with YOLOv5l. Other algorithms commonly used for object detection were not compared since

the YOLOv5 algorithms have already shown extremely good performance. In fact, YOLOv5 has been reported to have a dramatic increase in inference speed compared with YOLOv3 and YOLOv4 (Lv et al., 2022). Our results show that all three YOLOv5 architectures should be effective and capable of being deployed on mobile terminals. Anyway, we simply chose the YOLOv5s model for further application due to its ability to further compress the weight size while maintaining accuracy.

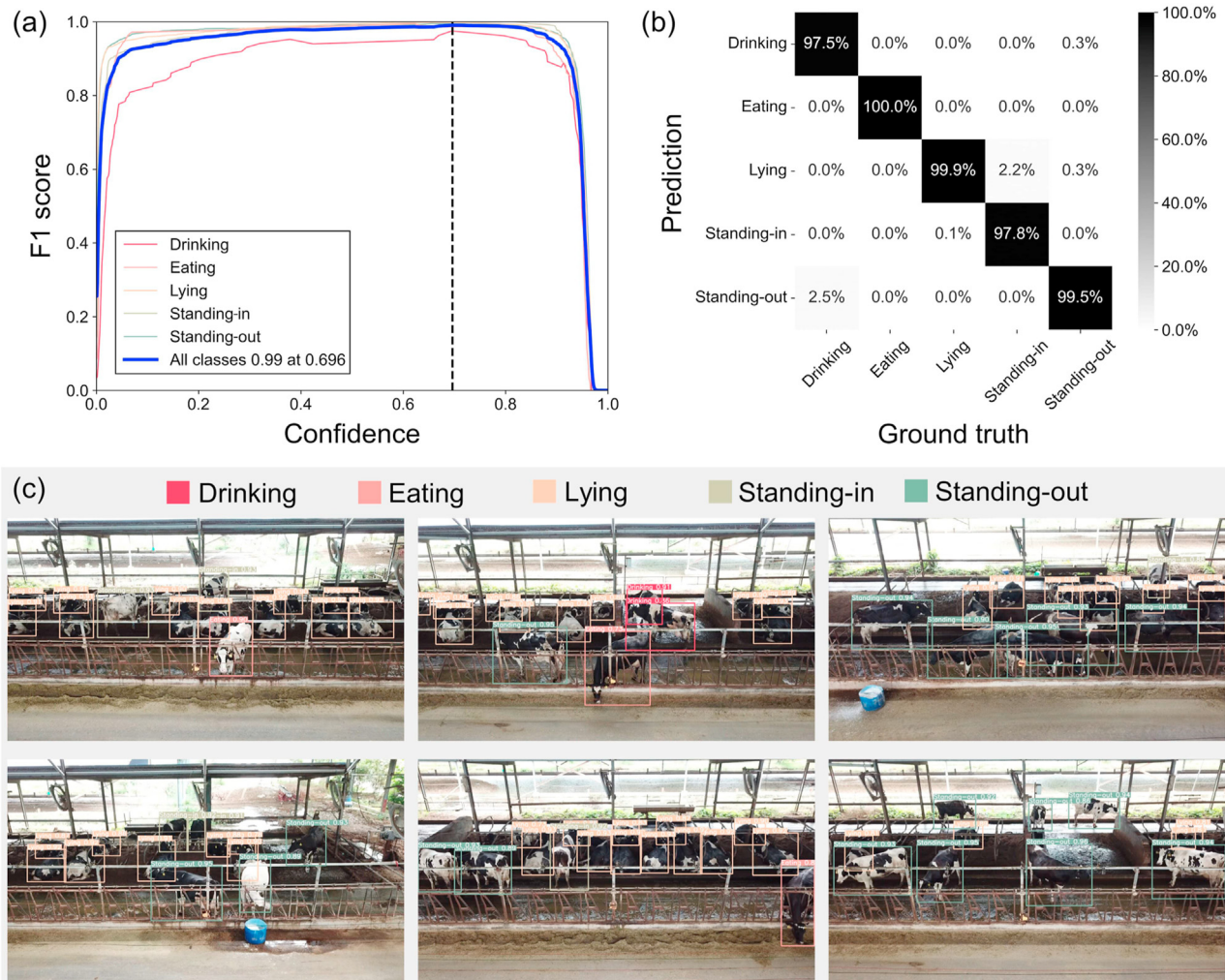
The F1 confidence curve indicates that the F1 score of all behavioural classes peaked at a confidence threshold of 0.696 (Fig. 3(a)). Thus, further evaluation and application were performed with confidence threshold set to 0.696. The confusion matrix shown in Fig. 3(b) indicates that the major misclassification was marked between drinking and standing-out behaviours and between standing-in and lying behaviours, with 1 out of 40 “Drinking” labels being misclassified as “Standing-out” and 4 out of 186 “Standing-in” labels being misclassified as “Lying”, respectively. The example results shown in Fig. 3(c) demonstrate a good ability in dealing with occlusion caused by facilities or other cows.

When calculating herd-level behavioural parameters for the 30 scan samples from the first three-day recording, the intraclass correlation coefficient between manual and automated methods was 0.97. Moreover, the overall linear relationship shows that only 11 out of 240 observations were classified to be outliers by the 95% prediction limits (Fig. 4). Collectively, these results demonstrate an excellent agreement between manual and automated methods in obtaining herd-level behavioural parameters. Of note, given that the view provided by our cameras might be difficult to show the relationship between a cow’s head and the trough when there was a strong occlusion, both the ground truth and detections could have underestimated the incidence of drinking, thereby affecting the calculated behavioural parameters. For example, the drinking% and the SUI would be underestimated whereas the CSI would be overestimated. This bias should cause negligible effects on the current results since such occlusion happened in only five scan samples (a total of eight occluded cows) during the entire 13 test days and drinking played a limited role in the equation compared with standing and lying. However, it may have a stronger impact on behavioural parameter calculation when facing a higher farming density and more occlusions. A top-view camera, as presented by Tsai et al. (2020), could be a solution to eliminating this measurement bias. Another possible way is to introduce new labels describing cow behaviour in the drinking area. This is of interest since it can be very crowded in drinking areas during hot seasons and cows would even compete for troughs (McDonald, von Keyserlingk, & Weary, 2020).

**Table 3 – Performance comparison of three YOLOv5 architectures.**

Model	Average precision					mAP	FPS	Size (M)
	Drinking	Eating	Lying	Standing-in	Standing-out			
YOLOv5s	0.944	0.995	0.995	0.995	0.994	0.985	73	13.7
YOLOv5m	0.963	0.995	0.995	0.995	0.995	0.988	55	40.2
YOLOv5l	0.956	0.995	0.995	0.994	0.995	0.987	44	88.5

mAP = mean average precision; FPS = frames per second.



**Fig. 3** – Detailed performance of the YOLOv5s model. (a) F1 confidence curve with the black vertical dashed line indicating the best F1 score at a confidence threshold of 0.696. (b) Confusion matrix (normalised by column) with confidence threshold set to 0.696. (c) Example results of behavioural recognition with confidence threshold set to 0.696.

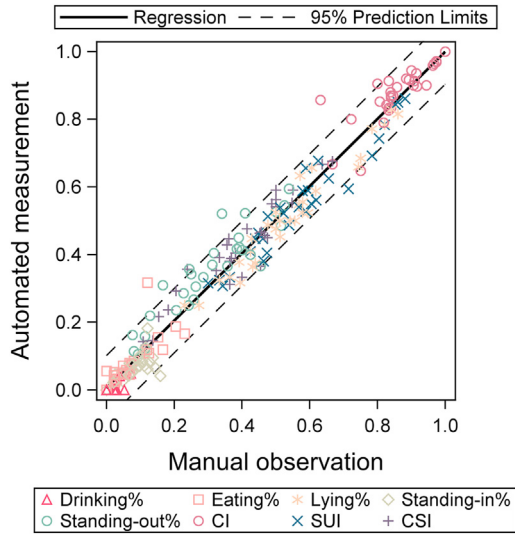
### 3.2. Behavioural pattern under heat stress conditions

As shown in Fig. 5, the 10-day experiment conducted in mid-May well captured the beginning of heat stress with daily mean  $T_a$  rising from 14.7 to 25.8 °C and daily mean THI rising from 58.4 to 74.4. The heat stress threshold for high-producing dairy cows ( $\geq 35$  kg day<sup>-1</sup>) has been updated to a daily mean THI of 68 by Collier, Laun, Rungruang, and Zimbleman (2012). According to their revised THI thresholds, the study herd first stayed within the thermoneutral zone and then experienced mild to moderate heat stress during the 10-day experiment.

The 100% bar chart presents the herd-level behavioural pattern from 10:30 to 15:00 h (Fig. 6). The complete statistics used for plotting can be found in Table S1 (supplementary material). As expected, lying consistently occupied the largest proportion. Lying has long been used for indicating cow comfort and welfare, and cows should spend most idle time lying (Tucker, Jensen, de Passillé, Hänninen, & Rushen, 2021). Besides, cows had the lowest lying% and a relatively high eating% at 10:30 h compared with other scan samples,

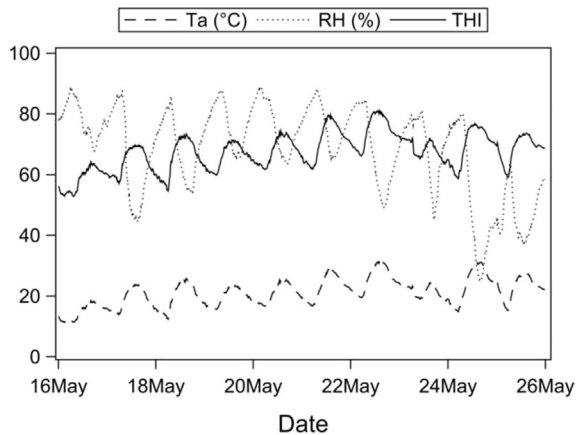
indicating that the effect of intensive feeding was still lasting 2 h after leaving for the morning milking which was scheduled at 08:30 h. Afterward, the lying% raised dramatically until it peaked at 11:00 h when the majority of cows were resting on their stall beds. The lying% then followed a decreasing trend from 11:00 to 14:30 h, which was right opposite to the rising  $T_a$  and THI. These patterns are consistent with Overton et al. (2002) and Mattachini et al. (2011), who found that a herd needs 2–3 h after leaving for milking to finish feeding and return to rest, and increasing environmental temperature will decrease the lying% during idle time. Therefore, the eight scan samples from 11:00 to 14:30 h were used for further analyses exploring the effect of heat stress on cow behaviour due to a clear relationship between cow behaviour and thermal environment during this period.

The spaghetti plot shows the temporal pattern of six herd-level behavioural indicators with regard to two environmental indicators (Fig. 7). The complete statistics used for plotting can be found in Table S1 (supplementary material). Consistent with lying%, indices describing lying behaviour (i.e., CI and

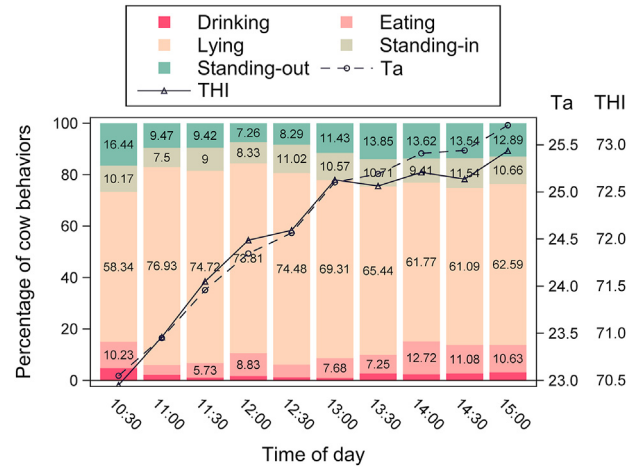


**Fig. 4 – Comparison of herd-level behavioural parameters measured half-hourly by manual and automated methods from 10:30 to 15:00 h during the three-day experiment. Drinking% = percentage of cows drinking; Eating % = percentage of cows eating; Lying% = percentage of cows lying; Standing-in% = percentage of cows standing-in; Standing-out% = percentage of cows standing-out; CI = comfort index; SUI = stall-use index; CSI = cow stress index.**

SUI) increased to a peak at 11:00 h and followed an overall decreasing trend until 14:30 h. The only difference between lying%, CI, and SUI was the calculation of the denominator. Neither CI nor SUI takes eating into account for calculation. An increasing eating% would therefore lower the denominator and finally increase their results. This leads to fluctuations in CI and SUI from 11:30 to 12:00 h and from 13:30 to 14:00 h. Moreover, CSI, as an index describing idle standing behaviour, showed an almost horizontally symmetrical trajectory to SUI.



**Fig. 5 – Overall variation of indoor ambient temperature ( $T_a$ , °C), relative humidity (RH, %), and temperature-humidity index (THI) during the 10-day experiment with a measurement interval of 10 min.**



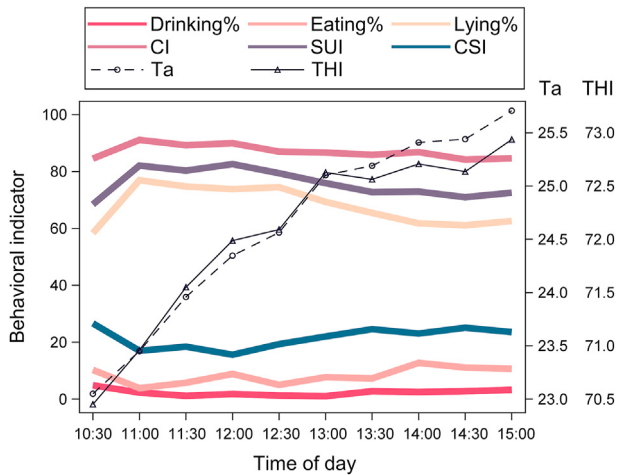
**Fig. 6 – Herd-level behaviour distribution measured half-hourly by the proposed automated method, as well as the corresponding ambient temperature ( $T_a$ , °C) and temperature-humidity index (THI), averaged during the 10-day experiment.**

These trends of lying%, SUI, and CSI are comparable with [Mattachini et al. \(2011\)](#) who manually observed cow behaviour through video recording with a 60-min scan sampling. Once again, this demonstrates the effectiveness of our proposed automated method for behaviour recognition.

Generally, lying and standing indices (i.e., lying%, CI, SUI, and CSI) and drinking% had strong correlations with  $T_a$  and THI (all  $P < 0.01$ ), whereas eating% did not appear to have any correlation (both  $P > 0.05$ ) ([Fig. 8](#)). Of note,  $T_a$  had a stronger correlation with cow behaviour than THI with exception of drinking% and eating%. A better correlation of  $T_a$  with animal-based indicators was also observed in our previous study when physiological indicators were used ([Shu et al., 2022](#)). To some extent,  $T_a$  seems to better describe environmental stress than THI in this specific environmental condition, probably because the effect of RH not yet playing an important role at the very beginning of summer.

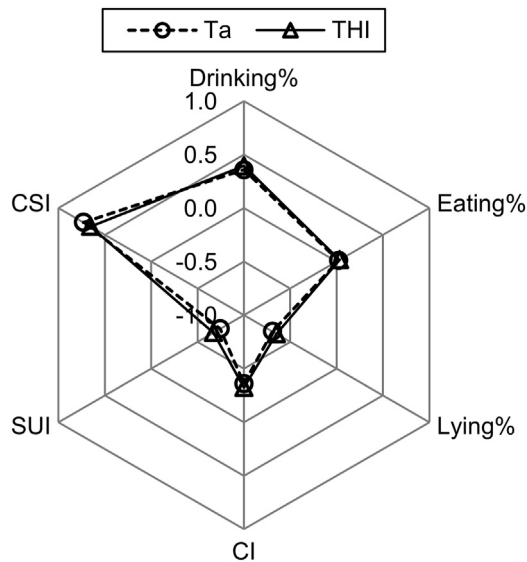
Among lying and standing indices, CI correlated the weakest with  $T_a$  ( $r = -0.360$ ,  $P < 0.001$ ) and THI ( $r = -0.322$ ,  $P = 0.002$ ), whereas SUI correlated the strongest with  $T_a$  ( $r = -0.744$ ,  $P < 0.001$ ) and THI ( $r = -0.673$ ,  $P < 0.001$ ). Similarly, CI was found less susceptible to environmental temperature compared with lying% and SUI by [Overton, Moore, and Sischo \(2003\)](#), and the strongest correlation coefficient was found between SUI and  $T_a$  ( $-0.762$ ) by [Mattachini et al. \(2011\)](#). It is well known that cows will change their drinking and feeding patterns to better coping with heat stress ([Kadzere et al., 2002](#)). The positive correlation of drinking% with  $T_a$  ( $r = 0.357$ ,  $P < 0.001$ ) and THI ( $r = 0.393$ ,  $P < 0.001$ ) observed in this study might be attributed to increased visit to the trough and increased time per visit, as previously identified by [Tsai et al. \(2020\)](#) and [McDonald et al. \(2020\)](#). Moreover, eating patterns can change through different strategies by cows with different production stages ([Eslamizad, Lamp, Derno, & Kuhla, 2015](#)) or social ranks ([Olofsson, 1999](#)). Thus, the small to no effect of heat stress on eating% is probably due to heterogeneous strategies taken among the herd. Indeed, some cows may





**Fig. 7** – Herd-level behavioural indicators measured half-hourly by the proposed automated method, as well as the corresponding ambient temperature ( $T_a$ , °C) and temperature-humidity index (THI), averaged during the 10-day experiment. Drinking% = percentage of cows drinking; Eating% = percentage of cows eating; Lying% = percentage of cows lying; CI = comfort index; SUI = stall-use index; CSI = cow stress index.

reduce their feed intake with a longer eating time (Eslamizad et al., 2015; Herbut et al., 2021). Anyway, eating% alone appears to be insufficient to quantify eating behaviour, and more



**Fig. 8** – Spearman's rank correlation coefficients between herd-level behavioural indicators measured half-hourly by the proposed automated method from 10:30 to 15:00 h during the 10-day experiment and ambient temperature ( $T_a$ , °C) and temperature-humidity index (THI). Drinking% = percentage of cows drinking; Eating% = percentage of cows eating; Lying% = percentage of cows lying; CI = comfort index; SUI = stall-use index; CSI = cow stress index.

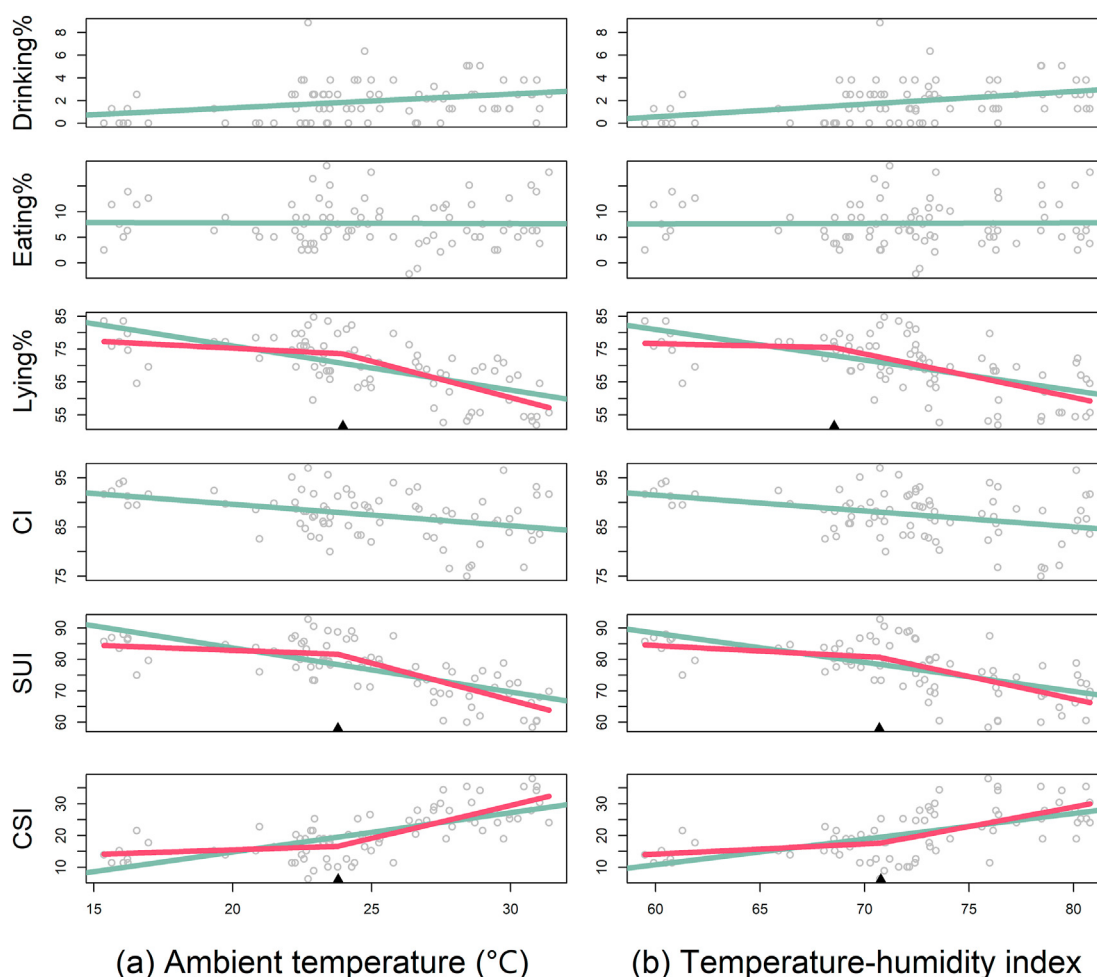
data from longer duration or smaller sampling intervals are required to evaluate its ability as a herd-level heat stress indicator.

### 3.3. Critical threshold for determining the onset of heat stress

The basic linear and piecewise models visualised in Fig. 9 show the benefit of using lying%, SUI, and CSI for indicating the onset of heat stress. Their statistical results presented in Table 4 indicate that the lowest upper critical  $T_a$  was associated with SUI and CSI (both 23.8 °C), and the lowest upper critical THI was associated with lying% (68.5). The slope differences were always higher with  $T_a$  than with THI, once again suggesting the superiority of  $T_a$  in representing the stress imposed by the current environment. As for the behavioural indicators that were not converged in the piecewise regression, drinking% and CI were positively and negatively related to environmental indicators, respectively, whereas eating% appeared to be independent of  $T_a$  and THI. These results are consistent with those from the correlation analysis.

Several studies have compared cow behaviour in different environmental classes (e.g., THI classes), and the upper limit of the class at which significance occurred was stated as a critical point. For example, a THI of 68 was determined since the THI class <68 had a significantly lower percentage of cows standing compared with other predetermined THI classes (Allen, Hall, Collier, & Smith, 2015). However, this method may be arbitrary and can lose information. Moreover, piecewise or segmented models, are used for developing critical thresholds due to their indicative parameters (i.e., slope and breakpoint). For example, Heinicke, Hoffmann, Ammon, Amon, and Amon (2018) determined a THI threshold (67) for total lying/standing time, number of lying/standing bouts, and lying bout duration. Our results, however, cannot be compared directly with theirs since THI and behavioural indicators were summarised as daily averages in their study.

The advanced piecewise models with THI as the predictor all failed to converge. The profiles of the advanced piecewise models with  $T_a$  as the predictor and lying%, SUI, and CSI as the outcomes are shown in Figs. 10–12. For each behavioural indicator,  $T_a$  breakpoints were roughly the same at different times of day, with differences only being observed since the ten thousandth place. The rounded  $T_a$  breakpoints among times of day were 23.88, 23.70, and 23.65 °C, for lying%, SUI, and CSI, respectively. The behavioural pattern can be found on the Y-axis with standing increasing and lying decreasing from 11:00 to 14:30 h. According to these findings, accumulated heat load over the observed period of time did not make cows more sensitive to heat stress at a particular time point. In a recent chamber study, the critical  $T_a$  threshold of respiration rate was found to be lower in the afternoon than in the morning, indicating that cows were more sensitive after longer exposure to heat stress (Zhou, Aarnink, Huynh, van Dixhoorn, & Groot Koerkamp, 2022). Anyway, more data is required to confirm our results since the subgroup sample size used in the analysis might not support a precise localisation of breakpoints. To sum up, any attempt to integrate behavioural indicators for heat stress evaluation should carefully consider the temporal pattern.



**Fig. 9** – Automated measurements of herd-level behavioural indicators and their fitted profiles from linear regression (green) and piecewise regression (red) models with (a) ambient temperature and (b) temperature-humidity index as the predictor, respectively. Breakpoints are marked as a black triangle above the x-axis. Drinking% = percentage of cows drinking; Eating% = percentage of cows eating; Lying% = percentage of cows lying; CI = comfort index; SUI = stall-use index; CSI = cow stress index. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

### 3.4. Strength and limitations

To the best of our knowledge, this is the first study to determine heat stress based on herd-level behavioural recognition. Although individual measurements can help identify animals with the greatest risks and thereby customise heat abatement, this can be costly in free-stall barns since individual measurement and abatement require a lot of improvement on the existing facilities. In many cases, even if individual measurements have been done, the data have to be summarised to reflect the herd mean (Levit et al., 2021). Indeed, as long as heat abatement is implemented at the herd level, information on the individual level is not necessary for decision making (Winckler, 2019).

With the help of computer vision and scan sampling, our work offers a low-cost herd-level heat stress alert without imposing any burden on dairy cows. Besides, the effectiveness of heat abatement can be tracked by introducing more flexible scan sampling or even continuous measurement when

necessary. It should be noted that cows would take advantage of nights when the temperature is thermally comfortable to relieve their accumulated heat load throughout the daytime (Allen et al., 2015). However, such nighttime behavioural data is missing in this study. Future works with reliable nighttime recording and transfer learning techniques are required to develop a behavioural recognition model that can work day and night as well as to customise heat stress thresholds for nighttime hours. By doing so, it is promising to develop a comprehensive protocol for heat stress detection, mitigation, and evaluation.

Our method is best suited for intensive farms where facilities and animals are highly standardised. From the facility perspective, our method for camera mounting can be directly applied in similar settings, but further evaluation in other designs (e.g., 4-row tail-to-tail) is required. In addition, our solution requires fewer cameras than the top-view method (Porto, Arcidiacono, Anguza, & Cascone, 2015), because it includes more cattle in each view. Top-view cameras, as

**Table 4 – Parameter estimates (mean  $\pm$  SE) of basic piecewise regression models with ambient temperature ( $T_a$ , °C) and temperature-humidity index (THI) as the predictor, respectively. Behavioural indicators were measured half-hourly by the proposed automated method from 11:00 to 14:30 h during the 10-day experiment.<sup>a</sup>**

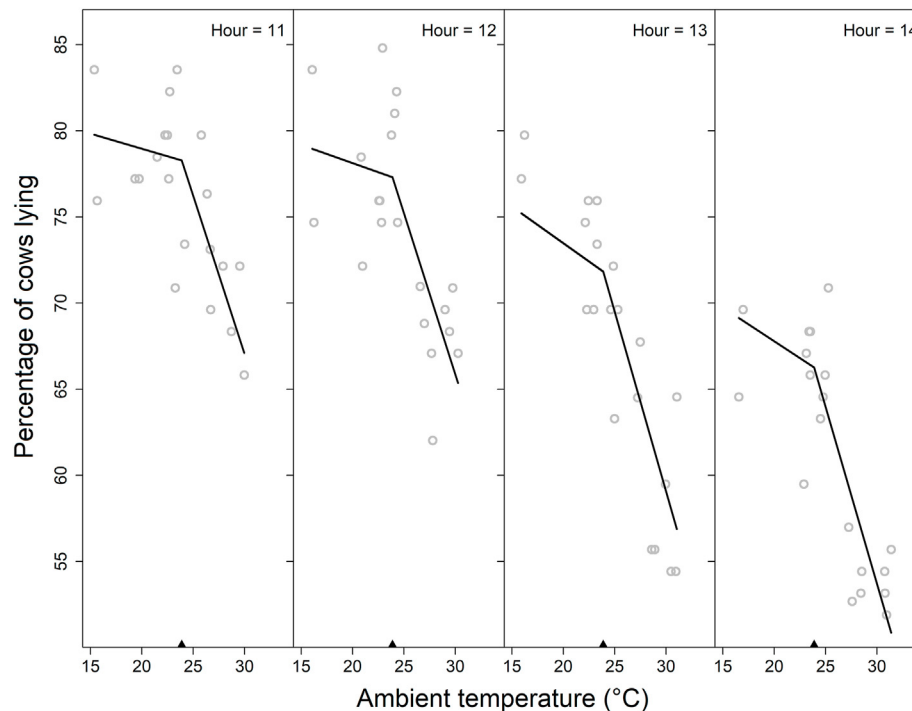
Predictor	Outcome	Intercept	Breakpoint	Left slope	Right slope	AIC	
						Linear	Piecewise
$T_a$	Drinking%	$-1.1 \pm 1.1$	N/A	$0.12 \pm 0.04$	N/A	309.6	N/A
	Eating%	$8.1 \pm 2.9$	N/A	$-0.01 \pm 0.12$	N/A	464.9	N/A
	Lying%	$84.0 \pm 7.9$	$24.0 \pm 1.3$	$-0.43 \pm 0.37$	$-2.21 \pm 0.42$	534.0	527.8
	CI	$98.4 \pm 3.0$	N/A	$-0.44 \pm 0.12$	N/A	471.3	N/A
	SUI	$89.5 \pm 6.7$	$23.8 \pm 1.0$	$-0.33 \pm 0.32$	$-2.35 \pm 0.36$	516.0	502.2
	CSI	$9.7 \pm 6.2$	$23.8 \pm 1.0$	$0.29 \pm 0.29$	$2.07 \pm 0.31$	499.3	486.4
THI	Drinking%	$-6.1 \pm 2.4$	N/A	$0.11 \pm 0.03$	N/A	306.1	N/A
	Eating%	$7.1 \pm 6.5$	N/A	$0.01 \pm 0.09$	N/A	464.9	N/A
	Lying%	$85.2 \pm 35.6$	$68.5 \pm 3.3$	$-0.14 \pm 0.56$	$-1.32 \pm 0.23$	544.4	542.4
	CI	$110.8 \pm 6.8$	N/A	$-0.32 \pm 0.09$	N/A	472.5	N/A
	SUI	$105.5 \pm 20.8$	$70.7 \pm 2.1$	$-0.35 \pm 0.31$	$-1.43 \pm 0.27$	534.0	530.9
	CSI	$-5.1 \pm 18.9$	$70.7 \pm 2.3$	$0.32 \pm 0.28$	$1.23 \pm 0.24$	517.9	515.8

AIC = Akaike information criterion; Drinking% = percentage of cows drinking; Eating% = percentage of cows eating; Lying% = percentage of cows lying; CI = comfort index; SUI = stall-use index; CSI = cow stress index.

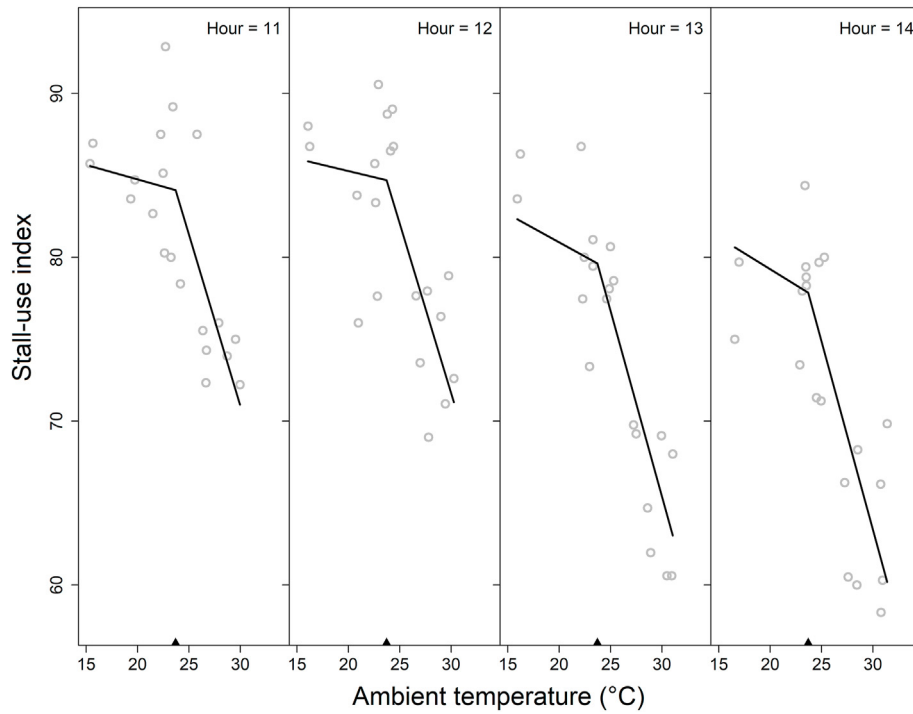
<sup>a</sup> N/A indicates that piecewise regression failed to converge. Intercept and left slope, in this case, represent the parameters of simple linear regressions.

stated before, can also be supplemented in specific areas (e.g. troughs) to provide more reliable recordings. From the animal perspective, cows raised on intensive farms are typically grouped by common influencing factors (e.g., productivity, lactation stage, and parity) and thus behave relatively homogeneously against heat stress. This will help to shrink within-herd variation, allowing abatement measures based on herd means to be useful for the majority of cows. This also allows

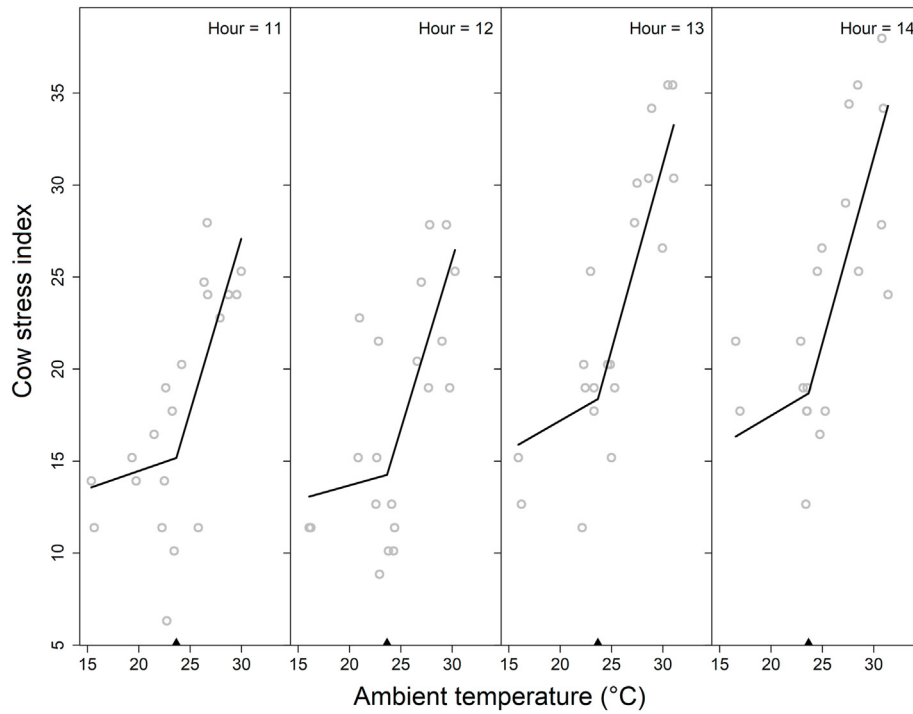
precision management by customising herd-level heat stress thresholds with respect to different levels of heat sensitivity. Of note, any extrapolation and interpretation of the determined critical thresholds should carefully consider the impact of different management or facility conditions on cow behaviour, such as overstocking, milking frequency, and bedding materials (Hart, McBride, Duffield, & DeVries, 2013; Ito, Chapinal, Weary, & von Keyserlingk, 2014).



**Fig. 10 – Automated measurements of the percentage of cows lying and their fitted profile from advanced piecewise regression with ambient temperature as the predictor. Random effects of time of day (h) were introduced for intercept, slope difference, and breakpoint. Breakpoints are marked as a black triangle above the x-axis.**



**Fig. 11 – Automated measurements of stall-use index and their fitted profile from advanced piecewise regression with ambient temperature as the predictor. Random effects of time of day (h) were introduced for intercept, slope difference, and breakpoint. Breakpoints are marked as a black triangle above the X-axis.**



**Fig. 12 – Automated measurements of cow stress index and their fitted profile from advanced piecewise regression with ambient temperature as the predictor. Random effects of time of day (h) were introduced for intercept, slope difference, and breakpoint. Breakpoints are marked as a black triangle above the X-axis.**

#### 4. Conclusions

This study has proposed a YOLOv5-based method for recognising cow behaviour with excellent mAP and inference speed. The ability of the proposed model in measuring herd-level behavioural indicators has been validated in comparison to manual observation. The automated measurements taken during the 10-day experiment reveal that lying and standing indices (i.e., lying%, SUI, and CSI) were most responding to heat stress and the test dairy herd began to change their behaviour at the earliest Ta of 23.8 °C or THI of 68.5. Collectively, the model and results presented in this paper have achieved a low-cost heat stress alert for the study herd without imposing any burden on dairy cows. Further study using multiple herds with varying characteristics is promising to customise herd-level heat stress thresholds.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.biosystemseng.2023.01.009>.

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