

Sputum Type 2 Markers Could Predict Remission in Severe Asthma Treated With Anti-IL-5

Catherine Moermans, PhD; C. Brion; G. Bock; S. Graff, PhD; S. Gerday; H. Nekoe, PhD; C. Poulet, PhD; N. Bricmont; M. Henket; V. Paulus; F. Guissard; R. Louis; and F. Schleich

BACKGROUND: Biotherapies targeting IL-5 allow a tangible improvement of asthma. However, all patients do not respond the same way to these treatments. Even if high blood eosinophil counts seem to be associated with a reduction in exacerbations with treatment targeting IL-5, we lack biomarkers for the prediction of remission after these very expensive treatments.

RESEARCH QUESTION: Does the sputum of patients with severe eosinophilic asthma show biomarkers of remission after therapy targeting IL-5?

STUDY DESIGN AND METHODS: This observational study included 52 patients with severe asthma initiated with anti-IL-5 therapy and recruited from the asthma clinic of the CHU of Liege, Belgium. Remission was defined as patients who combined the following at 1 year after therapy: no chronic treatment with oral corticosteroids; no exacerbation; asthma control questionnaire score < 1.5, asthma control test score > 19, or both; FEV₁ of ≥ 80% predicted, improvement of FEV₁ of ≥ 10%, or both; and a blood eosinophil count < 300 cells/μL. Eosinophil peroxidase (EPX), IgE, IL-3, IL-4, IL-5, IL-13, IL-25, IL-33, granulocyte-macrophage colony-stimulating factor, thymic stromal lymphopoietin (TSLP), and eotaxin-1 levels were measured in the sputum of these patients before anti-IL-5 treatment.

RESULTS: Among the 52 patients, 11 were classified as being in remission. These patients were characterized by higher sputum eosinophil, macrophage, and lymphocyte counts, whereas the sputum neutrophil percentage was lower than in the nonremission group. In addition, the sputum eotaxin-1, TSLP, IL-5, EPX, and IgE protein levels were higher at baseline in the remission group compared with the nonremission group. Univariate regression analysis revealed that male vs female sex, sputum neutrophil percentage, eotaxin-1, IL-5, and EPX were potential predictors of remission.

INTERPRETATION: Sputum type 2 markers seemed to be potentially predictive of remission after anti-IL-5 therapy in a cohort of patients with severe eosinophilic asthma. These results need validation on a larger cohort.

CHEST 2023; ■(■):■-■

KEY WORDS: asthma; biotherapy; remission; sputum

ABBREVIATIONS: ACQ = asthma control questionnaire; ACT = asthma control test; EPX = eosinophil peroxidase; FENO = fraction of exhaled nitric oxide; IQR = interquartile range; OCS = oral corticosteroids; ROC = receiver operating characteristic; TSLP = thymic stromal lymphopoietin

AFFILIATIONS: From the Giga I3 (C. M., S. Gerday, N. B., R. L., and F. S.), Pneumology Research Group, Liege University, the Department of Pneumology-Allergology (C. M., S. Graff, M. H., V. P., F. G., R. L., and F. S.), CHU of Liege, the Haute École de la Province de Liège (C. B.), the Haute École Charlemagne (G. B.), the Department of Public Health

(H. N.), and the Department of Rheumatology (C. P.), CHU and University of Liege, Liege, Belgium.

CORRESPONDENCE TO: Catherine Moermans, PhD; email: c.moermans@chuliege.be

Copyright © 2023 The Author(s). Published by Elsevier Inc under license from the American College of Chest Physicians. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

DOI: <https://doi.org/10.1016/j.chest.2023.01.037>

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

ARTICLE IN PRESS

Take-home Points

Study Question: Does the sputum of patients with severe eosinophilic asthma show biomarkers of remission after therapy targeting IL-5?

Results: This study highlights that baseline type 2 airway inflammation markers can predict remission in severe eosinophilic asthma treated with anti-IL-5 agents.

Interpretation: Sputum markers can be used as surrogate markers of remission 1 year after therapy, but these results need to be validated in a larger cohort.

Refractory asthma still represents between 3% and 10% of patients with asthma.^{1,2} These patients represent a high burden in terms of health-care costs. Biotherapies have been developed in recent years to reduce the use of oral corticosteroids (OCS), which are responsible for long-term and costly side effects. Monoclonal antibodies directed against IL-5 or its receptor, IL-5R, are approved to treat severe eosinophilic asthma with tangible improvements in patient conditions.^{3,4} However, it seems that the patients do not respond the same way to biotherapies. In a recent article, Mukherjee et al⁵ analyzed the predictors of suboptimal response to anti-IL-5 therapies, which was defined as a failure to decrease OCS by 50%, asthma control questionnaire (ACQ) score of ≤ 1.5 , or exacerbations by 50% with persistent sputum of $> 3\%$ and blood eosinophil levels of $\geq 400/\mu\text{L}$. They found that OCS intake, sinus disease, late-onset asthma, and sputum eosinophil peroxidase IgG were the most predictive of suboptimal response. In another interesting study, Eger et al⁶ analyzed a cohort of 114 patients with severe eosinophilia 2 years after anti-IL-5 and IL-5R biologic therapy. They observed that 14% were super responders, defined as patients with no OCS, no exacerbations within 3 months, ACQ score of < 1.5 , FEV₁ of $\geq 80\%$ predicted, fraction of exhaled nitric oxide (FENO) of < 50 parts per billion, and control of comorbidities. The super response was predicted by shorter asthma duration and higher FEV₁. Harvey et al⁷ defined super responders to mepolizumab as the upper 25% of ACQ-5 responders. They represented 24% of the 309 patients followed up. Those patients mostly were female, had a lower BMI, a shorter asthma duration, higher blood eosinophil

levels and FENO values, as well as a higher ACQ-5 score. Additionally, those patients were more likely to have a diagnosis of nasal polyps and had fewer comorbidities and less maintenance OCS at baseline.^{Q7} Another retrospective study analyzed 99 patients in the United Kingdom and observed that baseline characteristics associated with response ($\geq 50\%$ exacerbation reduction or $\geq 50\%$ OCS dose reduction) or superresponse (no exacerbation and no OCS use at 1 year) were the presence of nasal polyps, lower ACQ-6 score, lower BMI, and lower dose of OCS.⁸ Finally, mepolizumab also was shown to be more beneficial in patients with severe asthmatic who had nasal polyps (patients with more severe disease and more intense systemic eosinophilic inflammation) than in the patients without nasal polyps in terms of reduction of exacerbations.⁹

In addition to the superresponse, a new concept of asthma remission recently emerged as a therapeutic target to achieve after 12 months of treatment and was defined according to results of randomized control trials as obtaining asthma control, no exacerbation, and no treatment with OCS, although no consensus exists on the importance of improvement in lung function and reduction in type 2 inflammation in the current definition of remission.¹⁰⁻¹²

Post hoc analysis of a randomized control trial looked at the predictive factors of response to benralizumab or mepolizumab in vast cohorts of patients and observed that a high blood eosinophil level was linked to a better improvement in terms of reduction of exacerbation rate.^{13,14} Even if blood eosinophil level helps to predict a general response to anti-IL-5 and anti-IL-5R, biomarkers reflecting local inflammation, such as those measured in induced sputum, have a better potential to predict the intensity of response to biologics because they reflect what really happens in the bronchi. So, do biomarkers of remission after therapy targeting IL-5 exist in the sputum of patients with severe eosinophilic asthma? However, other than the study cited previously focusing on suboptimal responders, to our knowledge no studies have analyzed inflammatory mediators as predictors of response after 1 year of anti-IL-5 treatment directly in the sputum itself in patients with severe eosinophilic asthma. The goal of this study was to analyze the role of mediators of the type 2 cascade in the sputum as predictors of remission.

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

221		276
222	Study Design and Methods	277
223	Patients	278
224	Fifty-two patients with severe asthma initiated with an anti-IL-5 agent	279
225	(51 patients with mepolizumab and one patient with reslizumab) were	280
226	recruited from our asthma clinic. This observational study was	281
227	performed at the CHU of Liege, Belgium, between 2014 and 2021.	282
228	Inclusion criteria included a diagnosis of asthma defined by the	283
229	Global Initiative for Asthma (http://ginasthma.org/), and severe	284
230	asthma was defined according to European Respiratory Society and	285
231	American Thoracic Society criteria. ¹ The treatment was stable for all	286
232	patients at the time of the sampling, and the time between baseline	287
233	and the next evaluation in average was 1 year. Remission was	288
234	defined as patients who, in addition to achieving the instauration of	289
235	the biotherapy, received no OCS therapy; showed no exacerbations;	290
236	showed an ACQ score of < 1.5, asthma control test (ACT) of > 19,	291
237	or both; FEV ₁ of ≥ 80% predicted, an improvement in FEV ₁ of ≥	292
238	10%, or both; and a blood eosinophil count of < 300 cells/μL.	293
239	Subanalyses also were performed to assess the predictive values of	294
240	sputum mediators in terms of response for some specific parameters	295
241	alone, such as: improvement in ACT score of > 19 after treatment,	296
242	decrease in ACQ score of < 1.5 after treatment, a decrease in	297
243	sputum eosinophil level of < 3% or by 50%, stopping OCS after	298
244	treatment, no more exacerbations after treatment, and improvement	299
245	in FEV ₁ of at least 10% after treatment. A diagnosis of nasal polyps	300
246	by an ear, nose, and throat specialist and a diagnosis of atopic	301
247	dermatitis or urticaria made by a dermatologist were reported.	302
248	This study was approved by the ethics committee of CHU Liege	303
249	(Identifier: 2005/181) and all participants gave written informed	304
250	consent for participation. The study is registered at ClinicalTrials.gov	305
251	(Identifier: NCT04520165).	306
252	Study Design	307
253	This study aimed to investigate the airway expression of mediators of	308
254	the type 2 cascade as predictors of remission after anti-IL-5 treatment	309
255	in a cohort of patients with severe eosinophilic asthma. To detect a	310
256	difference between groups with a power of 70% to 80% and a	311
257	significance level of 5%, the sample size was estimated as 10 to 13	312
258	patients per group (https://www.sealedenvelope.com/). These	313
259	calculations were based on a significant difference of sputum IL-5	314
260	obtained as preliminary results. Usually, in the different studies, even	315
261	if no consensus exists on the remission definition in the asthma	316
262	context, mention is made of a percentage of approximately 20% of	317
263	patients exhibiting a good response to anti-IL-5 treatment.	318
264	Consequently, with a cohort of 52 patients, we expected a sample	319
265	size of at least 10 patients in the remission group.	320
266	Respiratory Function	321
267	FE _{NO} was measured using NiOX (Aerocrine) at a flow rate of 50 mL/s.	322
268	Spirometry was performed before and after bronchodilation according	323
269		324
270		325
271		326
272		327
273		328
274		329
275		330
		331
		332
		333
		334
		335
		336
		337
		338
		339
		340
		341
		342
		343
		344
		345
		346
		347
		348
		349
		350
		351
		352
		353
		354
		355
		356
		357
		358
		359
		360
		361
		362
		363
		364
		365
		366
		367
		368
		369
		370
		371
		372
		373
		374
		375
		376
		377
		378
		379
		380
		381
		382
		383
		384
		385
		386
		387
		388
		389
		390
		391
		392
		393
		394
		395
		396
		397
		398
		399
		400
		401
		402
		403
		404
		405
		406
		407
		408
		409
		410
		411
		412
		413
		414
		415
		416
		417
		418
		419
		420
		421
		422
		423
		424
		425
		426
		427
		428
		429
		430
		431
		432
		433
		434
		435
		436
		437
		438
		439
		440
		441
		442
		443
		444
		445
		446
		447
		448
		449
		450
		451
		452
		453
		454
		455
		456
		457
		458
		459
		460
		461
		462
		463
		464
		465
		466
		467
		468
		469
		470
		471
		472
		473
		474
		475
		476
		477
		478
		479
		480
		481
		482
		483
		484
		485
		486
		487
		488
		489
		490
		491
		492
		493
		494
		495
		496
		497
		498
		499
		500

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

ARTICLE IN PRESS

TABLE 1] Baseline Demographic and Clinical Characteristics of Patients in Remission (n = 11) vs Those Not in Remission (n = 41)

Variable	Remission	Not in Remission	P Value
Sex^a			.03
Male	8	15	
Female	3	26	
Age, y	48 ± 18	53 ± 11	.32
BMI, kg/m ²	27 ± 4	28 ± 6	.60
Smoking status			.51
Nonsmoker	7	26	
Current smoker	0	4	
Former smoker	4	11	
Smoking history, pack-y	0 (0-20)	0 (0-8)	.69
Atopy			.12
Yes	6	32	
No	5	9	
Age at diagnosis, y	38 (9-50)	38 (11-51)	.93
Asthma duration, y	8 (2-23)	15 (6-32)	.16
OCS cure	3 (2-3)	2 (2-3)	.19
ACT score	12.5 (9.2-16.0)	10.0 (8.0-14.7)	.34
ACQ score	2.6 ± 1.2	3.0 ± 1.3	.34
AQLQ score	3.9 ± 1.1	3.4 ± 1.2	.22
FEV ₁ , % predicted	74 ± 15	67 ± 17	.21
FEV ₁ after BD administration, % predicted	81 ± 17	74 ± 19	.28
FVC, % predicted	84 ± 15	80 ± 16	.44
FVC after BD administration, % predicted	87 ± 17	84 ± 15	.58
FEV ₁ to FVC ratio, %	72 ± 10	70 ± 11	.54
FEV ₁ to FVC ratio after BD administration, %	75 ± 10	72 ± 13	.52
Blood neutrophil, cells/μL	4,072 (3,567-4,866)	4,901 (3,746-6,422)	.13
Blood eosinophil, /μL	519 (398-1201)	494 (333-705)	.34
Total serum IgE, kU/L	263 (30-442)	182 (91-739)	.42
CRP, mg/L	1.2 (0.9-10.9)	2.9 (1.1-5.9)	.85
Fibrinogen, g/L	3.3 ± 0.6	3.5 ± 0.7	.63
ICS, beclomethasone equivalent	2,000 (2,000-3,450)	2,000 (2,000-3,200)	.77
OCS treatment			.07
Yes	0	10	
No	11	31	
FENO, ppb	62 (24-118)	33 (19-65)	.14
Nasal polyposis			.74
Yes	5	16	
No	6	25	
Atopic dermatitis or urticaria			.28
Yes	5	11	
No	6	30	

Results are presented as median (interquartile range) or mean ± SD. ACQ = asthma control questionnaire; ACT = asthma control test; AQLQ = asthma quality of life questionnaire; BD = bronchodilation; CRP = C-reactive protein; FENO = fraction of exhaled nitric oxide; ICS = inhaled corticosteroids; OCS = oral corticosteroids.

^a ■■■

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

ARTICLE IN PRESS

TABLE 2] Inflammatory Characteristics of Patients in Remission (n = 11) vs Those Not in Remission (n = 41)

Variable	Remission	Not in Remission	P Value
Sputum weight, g	1.8 (1.3-2.6)	2.1 (1.4-3.2)	.42
Squamous cells, %	7 (1-13)	8 (4-25)	.28
Viability, %	61 (39-73)	67 (45-82)	.48
Cell No., 10 ⁶ cells/g	3.7 (1.4-5.3)	1.8 (0.9-4.0)	.28
Macrophages			
% ^a	18 (8-26)	11 (8-16)	.34
10 ³ /g ^a	526 (296-988)	193 (92-504)	.02
Neutrophils			
%	39 ± 24	61 ± 22	.007
10 ³ /g	798 (437-3689)	943 (544-2604)	.83
Eosinophils			
%	29 (6-47)	8 (2-30)	.07
10 ³ /g ^a	494 (235-1663)	156 (16-362)	.006
Epithelial cells			
%	4 (3-12)	3 (1-6)	.21
10 ³ /g	133 (31-470)	54 (15-192)	.10
Lymphocytes			
%	0.6 (0.2-4.3)	0.4 (0.0-1.4)	.17
10 ³ /g ^a	32 (7-144)	4 (0-31)	.04
Eotaxin-1, pg/mL ^a			
Median (IQR)	71 (56-204)	54 (0-87)	.046
Detectable	11	29	.09
Not detectable	0	10	...
GM-CSF, pg/mL			
Median (IQR)	0.0 (0.0-0.6)	0.0 (0.0-0.5)	.79
Detectable	3	14	.73
Not detectable	8	25	...
TSLP, pg/mL			
Median (IQR) ^a	3.2 (2.4-6.5)	2.2 (1.0-3.6)	.04
Detectable	11	37	> .99
Not detectable	0	2	...
IL-3, pg/mL			
Median (IQR)	13.1 (11.6-14.8)	11.8 (0.0-17.0)	.45
Detectable	10	23	.08
Not detectable	1	15	...
IL-4, pg/mL			
Median (IQR)	0.2 (0.0-0.3)	0.2 (0.0-0.2)	.57
Detectable	8	24	.72
Not detectable	3	15	...
IL-5, pg/mL			
Median (IQR) ^a	11.5 (3.5-22.2)	2.7 (1.3-4.8)	.002
Detectable	11	37	> .99
Not detectable	0	1	...

(Continued)

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

ARTICLE IN PRESS

TABLE 2] (Continued)

Variable	Remission	Not in Remission	P Value
IL-13, pg/mL			
Median (IQR)	0.0 (0.0-0.0)	0.0 (0.0-0.0)	.91
Detectable	1	6	> .99
Not detectable	10	33	...
IL-25, pg/mL			
Median (IQR)	0.0 (0.0-2.1)	0.0 (0.0-2.2)	.72
Detectable	4	16	> .99
Not detectable	7	23	...
IL-33, pg/mL			
Median (IQR)	0.4 (0.0-0.6)	0.0 (0.0-0.7)	.31
Detectable	8	17	.17
Not detectable	3	22	...
EPX, ng/mL			
Median (IQR)	183 (129-342)	48 (30-99)	.001
Detectable	11	40	> .99
Not detectable	0	0	...
IgE, ng/mL			
Median (IQR)	1.2 (0.4-2.4)	0.4 (0.3-0.6)	.006
Detectable	9	31	.32
No detectable	0	8	...

Data are presented as No. or median (IQR), unless otherwise indicated. EPX = eosinophil peroxidase; GM-CSF = granulocyte-macrophage colony-stimulating factor; IQR = interquartile range; TSLP = thymic stromal lymphopoietin.

^a ■ ■ ■

treated with OCS maintenance was found in the group of patients in remission compared with the group not in remission ($P = .07$). Regarding the inflammatory markers, the FENO values were similar in both groups. The proportions of patients with nasal polyposis or atopic dermatitis or urticaria were comparable. Also, the absolute numbers of sputum eosinophil count were markedly elevated at baseline in the group of patients in remission ($P = .006$) as well as the sputum macrophage and lymphocyte counts ($P = .02$ and $P = .04$, respectively). Finally, a lower proportion of sputum neutrophils was observed ($P = .007$).

For the mediators at protein level, eotaxin-1, TSLP, IL-5, EPX, and IgE sputum levels were higher in the remission group compared with the other group ($P = .046$, $P = .04$, $P = .002$, $P = .001$, and $P = .006$, respectively), whereas IL-3, IL-4, IL-13, IL-25, IL-33, and granulocyte-macrophage colony-stimulating factor were not significantly different between the groups. A ROC curve was constructed to evaluate the ability of these sputum type 2 markers to predict remission after anti-IL-5 therapy (Fig 2, Table 3). All markers seemed to perform

well in distinguishing between patients in remission at 1 year vs those who were not (all AUC ≥ 0.7), with EPX and IL-5 showing the best combination of sensitivity and specificity with the best AUC. In contrast, the ROC curve of the blood eosinophil count gave a lower performance (closer to the 45° diagonal) and was not significant.

When the improvement after treatment was analyzed for clinical parameters one at a time, we observed that patients with improved ACT score also showed a significantly higher sputum EPX protein level at baseline (129 ng/mL [interquartile range (IQR), 47-241 ng/mL] vs 48 ng/mL [IQR, 30-148 ng/mL]; $n = 15$ vs 34 ; $P = .03$). Also, patients who showed an increase in FEV₁ before bronchodilation by at least 10% demonstrated a higher baseline sputum IL-5 protein level (9 pg/mL [IQR, 2-22 pg/mL] vs 3 pg/mL [IQR, 1-5 pg/mL]; $n = 15$ vs 34 ; $P = .04$). These results are summarized in Figure 3. Nothing was noted for the other parameters.

To screen for potential predictor of 1-year asthma remission, univariate logistic regression was

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

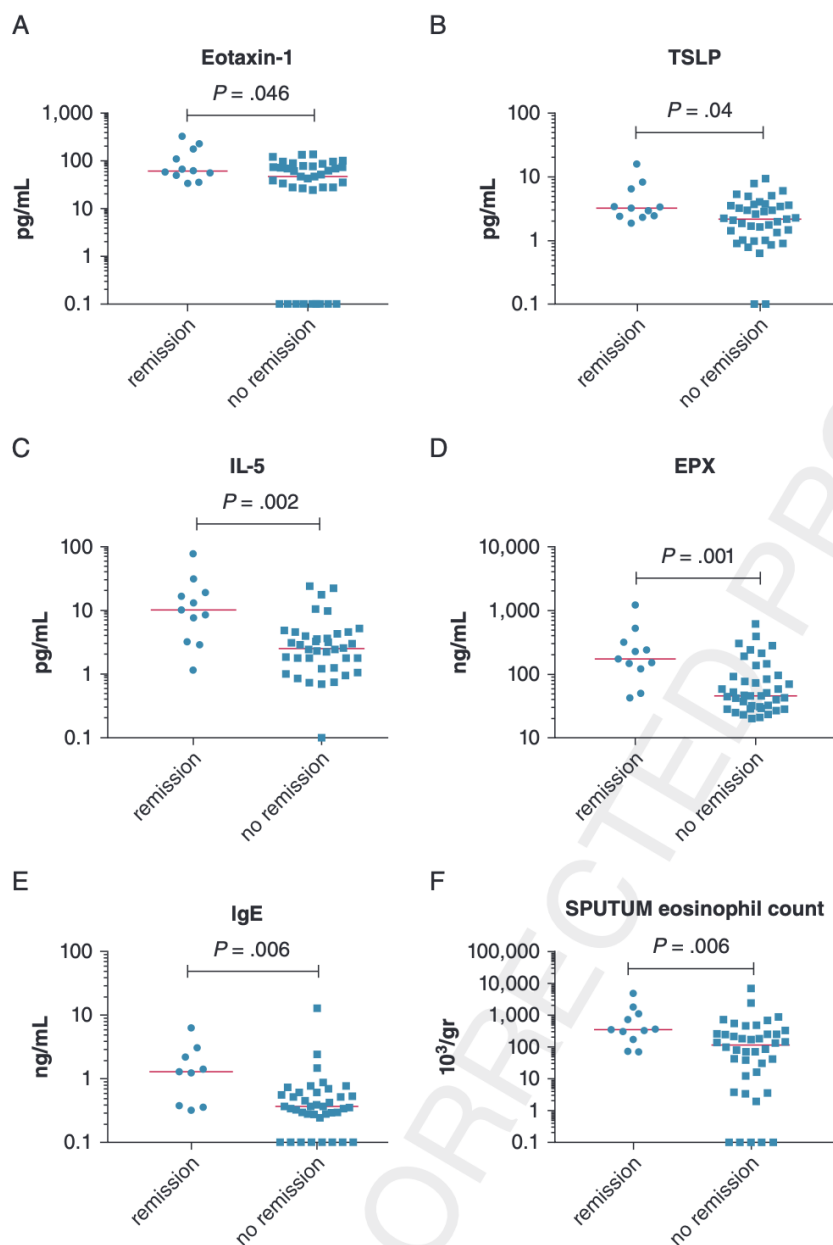


Figure 1 – Graphs showing baseline sputum type 2 marker concentrations and sputum eosinophil counts in patients in remission vs those not in remission. EPX = eosinophil peroxidase; TSLP = thymic stromal lymphopoietin.

performed on baseline demographic, clinical, and biological biomarkers. These results are shown in Table 4 with the corresponding ORs and P values. We found that male vs female sex (OR, 4.6; 95% CI, 1.1-20.126; $P = .041$), sputum neutrophil percentage (OR, 1.50; 95% CI, 1.08-2.07; $P = .014$; unit, -10), eotaxin-1 level (OR, 1.321; 95% CI, 1.00-1.679; $P = .023$; unit, 20), IL-5 level (OR, 1.727; 95% CI, 1.10-2.67; $P = .014$; unit, 5), and EPX level (OR, 1.264; 95% CI, 1.00-1.576; $P = .037$; unit, 50) were potential predictors of remission.

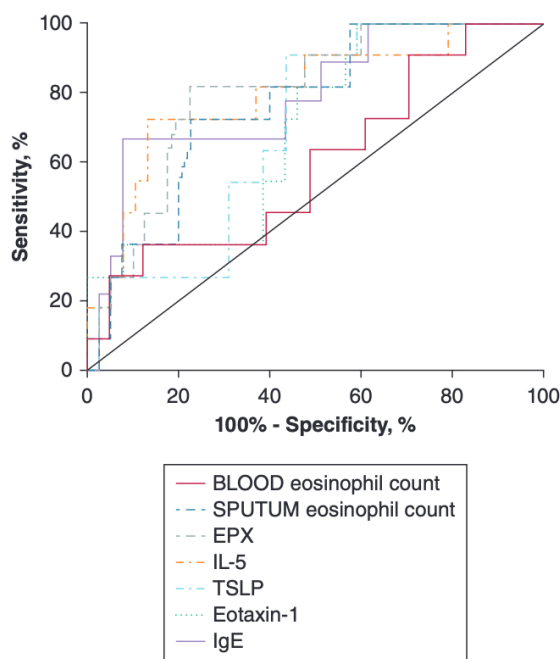
Discussion

In this study, we reported that 21% of patients were considered to be in remission 1 year after starting anti-IL-5 treatment. These patients more often were men and were characterized by higher sputum eosinophil counts at baseline as well as higher sputum type 2 biomarkers such as eotaxin-1, TSLP, IL-5, EPX, and IgE protein levels.

We observed a higher proportion of men in the group achieving remission. We previously reported that

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

ARTICLE IN PRESS



771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825

Figure 2 – Graph showing receiver operating characteristic curve of sputum type 2 marker protein levels. EPX = eosinophil peroxidase; TSLP = thymic stromal lymphopoietin.

patients combining an increase in both local and systemic eosinophilic inflammation more often were men,¹⁹ and eosinophilic inflammation previously was associated with the response to anti-IL-5 and anti-IL-5R in severe asthma.^{13,14} In addition, patients achieving remission were characterized by a trend for a lower proportion of patients treated with OCS maintenance, a finding also observed by another group.⁷

The higher airway macrophage and lymphocyte numbers observed in our study counterbalance a lower neutrophil proportion compared with the group not in remission.

Nonresponders to anti-IL-5 therapy indeed are linked to a more intense local neutrophilic inflammation, as shown previously in real life in our clinic.⁴

Previous, but not all, studies⁸ reported that blood eosinophil count was correlated with anti-IL-5 general response. However, the systemic eosinophilic inflammation has been shown to be discordant from local eosinophilic inflammation,¹⁹ and both compartments provide additional information on the patient’s status. Herein, we demonstrated that with a comparable blood eosinophil level at baseline before biotherapy, the response can be highly variable. In this case, sputum cell proportion and sputum type 2 markers levels could be surrogate markers of response. Indeed, TSLP is an epithelial alarmin and an upstream key actor of type 2 inflammatory cytokines release including IL-5. IL-5 is a central cytokine in the severe eosinophilic asthma phenotype. IL-5 is the cytokine responsible for eosinophil survival and activation, which can explain the concomitant high sputum eosinophil count and EPX sputum level. Also, the higher eotaxin-1 protein level, which is a potent chemoattractant for eosinophils, was higher at baseline in the sputum of patients in remission. If it exists, a concomitant systemic increase of these mediators in the blood compartment was not assessed in this study, but deserves further research because the patients combined both systemic (> 400 cells/μL) and airway (> 3%) eosinophilic inflammation. Although a recent study did not find any difference in blood eosinophil and IL-5 levels in responders (no exacerbation, ≥ 50% OCS dose reduction at week 16, or both) vs nonresponders in a cohort of patients before mepolizumab treatment.²⁰ Also, the investigation of those mediators in the sputum of patients with only selective

826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880

TABLE 3] ROC Curve Details

Mediator	AUC (95% CI)	Threshold	Sensitivity (95% CI)	Specificity (95% CI)	Likelihood Ratio	P Value
Blood eosinophil count	0.60 (0.40-0.79)	501.30	0.64 (0.31-0.89)	0.51 (0.35-0.67)	1.3	.33
Sputum eosinophil count	0.76 (0.62-0.91)	398.60	0.73 (0.39-0.94)	0.77 (0.62-0.89)	3.2	.008
Sputum EPX	0.81 (0.67-0.94)	114.30	0.82 (0.48-0.98)	0.77 (0.62-0.89)	3.6	.002
Sputum IL-5	0.80 (0.64-0.95)	7.24	0.73 (0.39-0.94)	0.87 (0.72-0.96)	5.5	.003
Sputum TSLP	0.70 (0.55-0.85)	2.29	0.91 (0.59-1.00)	0.56 (0.40-0.72)	2.1	.043
Sputum eotaxin-1	0.70 (0.53-0.86)	55.26	0.82 (0.48-0.98)	0.54 (0.37-0.70)	1.8	.048
Sputum IgE	0.79 (0.62-0.95)	0.98	0.67 (0.30-0.93)	0.92 (0.79-0.98)	8.7	.007

AUC = area under the receiver operating characteristic curve; EPX = eosinophil peroxidase; ROC = receiver operating characteristic; TSLP = thymic stromal lymphopoietin.

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

ARTICLE IN PRESS

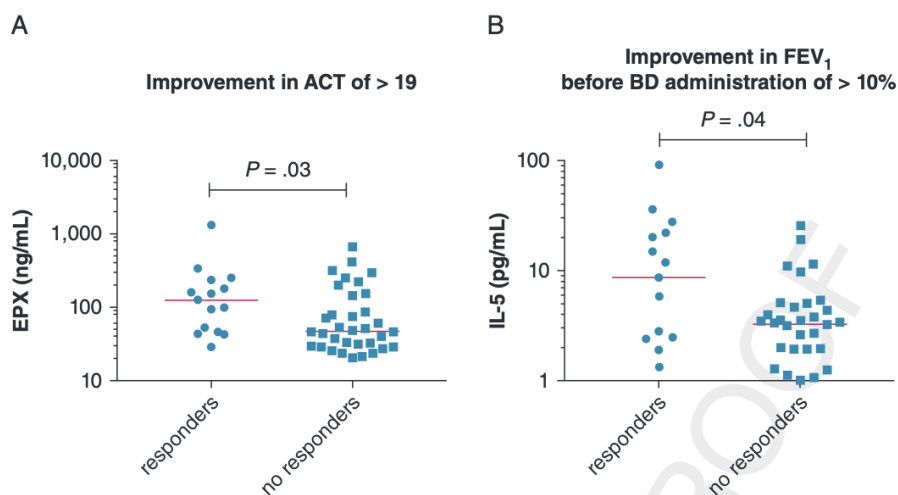


Figure 3 – A, B, Graphs showing baseline sputum mediator concentrations in patients showing a response vs those not showing a response: improvement in ACT score of > 19 (A) and improvement in FEV₁ before BD administration of > 10% (B). ACT = asthma control test; BD = bronchodilator; EPX = eosinophil peroxidase.

local eosinophilic inflammation is of interest because those patients represent half of the patients with asthma demonstrating eosinophilic inflammation.¹⁹ An explanation for a higher sputum eosinophil count in the current patients who achieved remission at 1 year would be that in those with an equal mobilizable pool, an extent of eosinophil infiltration exists in the airways because of the release of chemotactic agents such as eotaxin-1.

The higher sputum IgE levels in patients achieving remission are more intriguing. Indeed, the group with remission did not include a higher proportion of patients with atopia compared with the patients not in remission, and blood IgE levels did not differ between them. However, it has been shown that local production of IgE exists even in case of intrinsic asthma and was not linked to atopic status.²¹ In addition, our team previously observed that sputum IL-5 levels also were increased in case of high sputum IgE levels and that higher sputum IgE levels were seen in patients exhibiting eosinophilic airway inflammation.²²

Univariate ROC analyses showed associations between these baseline sputum mediators and the likelihood of achieving remission, and all performed better than blood eosinophil count. These results confirmed the finding that anti-IL-5 therapies are more efficient in patients with a local type 2 high endotype. In addition, the univariate logistic regression analysis mainly identified male sex, sputum neutrophil percentage, eotaxin-1 level, IL-5 level, and EPX level as potential predictors of remission, but not sputum eosinophil count, possibly

because of the presence of extreme data. However, these results need to be validated in a larger cohort in a multicenter study. Whether sputum neutrophil percentage is associated with a suboptimal response also needs further investigations.

The limitations of this study are that the criteria of remission in asthma are not yet universally defined. Also, the limited number of patients may have reduced the power of the subanalyses to detect significant differences between both groups. Furthermore, an analysis based on nonoptimal or suboptimal response predictors would be of interest and should be performed in another multicenter study. In addition, it would be interesting to look at other markers involved in different pathways, but we focused on type 2 markers because a type 2 disease was considered. Finally, the sputum also may not be available in all asthmatic care centers, but expanding its use in clinical practice could be recommended because it allows the collection of valuable supplementary information useful in the management of patients with severe eosinophilic asthma. Indeed, even if preliminary and retrospective, this study is the first to investigate local airway expression of mediators of the type 2 cascade as predictors of remission after anti-IL-5 treatment in a cohort of patients with severe eosinophilic asthma.

Interpretation

Sputum type 2 markers levels could be surrogate markers of response 1 year after anti-IL-5

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

ARTICLE IN PRESS

TABLE 4] Univariate Logistic Regression Analysis of Potential Predictors of Asthma Remission

Variable	OR	95% CI	P Value	Unit
Sex, male vs female ^a	4.6	1.1-20.126	.041	...
Age	0.97	0.93-1.03	.314	...
BMI	0.97	0.85-1.10	.591	...
Smoking status				
Current vs never	0.39	0.01-11.40	.520	...
Former vs never	1.38	0.34-5.58	.444	...
Pack-y of smoking	1.00	0.97-1.04	.802	...
Atopy, yes vs no	0.34	0.08-1.37	.128	...
Age at diagnosis	1.00	0.97-1.04	.986	...
Asthma duration	0.97	0.93-1.02	.276	...
OCS cure	1.05	0.77-1.42	.768	...
ACT score	1.05	0.91-1.21	.489	...
ACQ score	0.77	0.45-1.32	.337	...
AQLQ score	1.40	0.81-2.42	.224	...
FEV ₁ , % predicted	1.03	0.98-1.07	.213	...
After BD administration	1.02	0.98-1.06	.275	...
FVC, % predicted	1.02	0.97-1.06	.433	...
After BD administration	1.01	0.97-1.06	.570	...
FEV ₁ to FVC ratio	1.02	0.96-1.09	.530	...
After BD administration	1.02	0.96-1.08	.508	...
Blood neutrophils, / μ L	1.00	1.00-1.00	.131	...
Blood eosinophils, / μ L	1.00	1.00-1.00	.174	...
Serum IgE	1.00	1.00-1.00	.306	...
CRP	1.02	0.90-1.15	.769	...
Fibrinogen	0.68	0.14-3.15	.618	...
ICS	1.00	1.00-1.00	.944	...
OCS, yes vs no	0.13	0.01-2.68	.184	...
FENO	1.01	1.00-1.03	.095	...
Nasal polyposis, yes vs no	1.30	0.34-4.99	.700	...
AD or urticaria, yes vs no	2.27	0.58-8.97	.241	...
Sputum weight, g	0.77	0.42-1.41	.402	...
Squamous cells, %	0.96	0.90-1.02	.172	...
Viability, %	1.00	0.97-1.02	.717	...
Cell No., 10 ⁶ cells/g	1.02	0.93-1.13	.624	...
Macrophages				
%	1.03	0.98-1.09	.212	...
10 ³ /g	1.00	1.00-1.00	.501	...
Neutrophils				
% ^a	1.50	1.08-2.07	.014	-10
10 ³ /g	1.00	1.00-1.00	.875	...
Eosinophils				
%	1.02	0.99-1.05	.162	...
10 ³ /g	1.00	1.00-1.00	.269	...
Epithelial cells				
%	1.03	0.95-1.12	.465	...
10 ³ /g	1.00	1.00-1.00	.230	...

(Continued)

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

ARTICLE IN PRESS

TABLE 4] (Continued)

Variable	OR	95% CI	P Value	Unit
Lymphocytes				
%	1.06	0.97-1.15	.200	...
10 ³ /g	1.00	1.00-1.00	.604	...
Eotaxin-1^a	1.321	1.00-1.679	.023	20
Detectable, yes vs no	8.18	0.39-173.41	.177	...
GM-CSF	0.85	0.16-4.58	.847	...
Detectable, yes vs no	0.67	0.15-2.94	.595	...
TSLP	1.29	0.99-1.69	.056	...
Detectable, yes vs no	1.53	0.04-67.15	.824	...
IL-3	1.02	0.97-1.07	.418	...
Detectable, yes vs no	6.52	0.76-56.33	.088	...
IL-4	15.90	0.76-332.01	.074	...
Detectable, yes vs no	1.67	0.38-7.29	.497	...
IL-5^a	1.727	1.10-2.67	.014	5
Detectable, yes vs no	0.92	0.01-89.18	.971	...
IL-13	1.04	0.80-1.34	.779	...
Detectable, yes vs no	0.55	0.06-5.13	.600	...
IL-25	1.08	0.78-1.50	.634	...
Detectable, yes vs no	0.82	0.21-3.28	.781	...
IL-33	1.17	0.44-3.10	.755	...
Detectable, yes vs no	3.45	0.79-15.01	.099	...
EPX^a	1.264	1.00-1.576	.037	50
Detectable, yes vs no	NA	NA	NA	...
IgE	1.26	0.88-1.79	.201	...
Detectable, yes vs no	5.13	0.23-115.21	.304	...

ACQ = asthma control questionnaire; ACT = asthma control test; AD = atopic dermatitis; AQLQ = asthma quality of life questionnaire; BD = bronchodilation; CRP = C-reactive protein; EPX = eosinophil peroxidase; F_{ENO} = fraction of exhaled nitric oxide; GM-CSF = granulocyte-macrophage colony-stimulating factor; ICS = inhaled corticosteroids; NA = all patients were detectable so no statistical analysis was performed; OCS = oral corticosteroids; TSLP = thymic stromal lymphopoietin.

treatment. Sputum indeed is now recommended by American Thoracic Society and European Respiratory Society guidelines in the management of severe asthma and should be reimbursed by local authorities because it could predict patients who will achieve remission after administration of these very costly biologics.

Funding/Support

GSK and AstraZeneca provided funding support for this study ([ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT04520165) Identifier: NCT04520165).

Financial/Nonfinancial Disclosures

The authors have reported to *CHEST* the following: R. L. and F. S. received educational and research grants from GSK, AstraZeneca, and Chiesi; received consulting fees from GSK and AstraZeneca (national and international advisory boards); and received lecture fees from GSK, AstraZeneca, and Chiesi. None declared (C. M., C. B., G. B., S. Graff, S. Gerday, H. N., C. P., N. B., M. H., V. P., F. G.).

Uncite fig1

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152

Acknowledgments

Author contributions: C. M. takes the responsibility for the content of the manuscript, including the data and analysis. C. M. participated in the study design, performed the research and data analysis, and wrote the manuscript. C. B. and G. B. performed the research and participated in data analysis. H. N. and C. P. performed the statistical analysis. S. Graff, S. Gerday, N. M., M. H., V. P., and F. G. participated in the sputum induction and processing and patient data collection. F. S. and R. L. designed the study and interpreted the data. All authors participated in manuscript review, gave final approval of the manuscript, and ensured that questions related to the accuracy or integrity of any part of the work were investigated and resolved appropriately.

Role of sponsors: GSK was provided the opportunity to review this manuscript draft for factual accuracy, but the authors are solely responsible for final content and interpretation.

Additional information: The e-Table is available online under “Supplemental Data.”

References

- Chung KF, Wenzel SE, Brozek JL, et al. International ERS/ATS guidelines on definition, evaluation and treatment of severe asthma. *Eur Respir J*. 2014;43(2):343-373.
- Hekking PPW, Wener RR, Amelink M, Zwinderman AH, Bouvy ML, Bel EH. The prevalence of severe refractory asthma. *J Allergy Clin Immunol*. 2015;135(4):896-902.
- Carstens DD, Katial R, Young J, et al. Real-world effectiveness of benralizumab on asthma exacerbations: results from the ZEPHYR 1 study 2021; 2021;128(6):669-676.
- Schleich F, Graff S, Nekoev H, et al. Real-world experience with mepolizumab: does it deliver what it has promised? *Clin Exp Allergy*. 2020;50(6):687-695.
- Mukherjee M, Forero DF, Tran S, et al. Suboptimal treatment response to anti-IL-5 monoclonal antibodies in severe eosinophilic asthmatics with airway autoimmune phenomena. *Eur Respir J*. 2020;56(4):2000117.
- Eger K, Kroes JA, ten Brinke A, Bel EH. Long-term therapy response to Anti-IL-5 biologics in severe asthma—a real-life evaluation. *J Allergy Clin Immunol Pract*. 2021;9(3):1194-1200.
- Harvey ES, Langton D, Katelaris C, et al. Mepolizumab effectiveness and identification of super-responders in severe asthma. *Eur Respir J*. 2020;55(5):1902420.
- Kavanagh JE, d’Ancona G, Elstad M, et al. Real-world effectiveness and the characteristics of a “super-responder” to mepolizumab in severe eosinophilic asthma. *Chest*. 2020;158(2):491-500.
- Howarth P, Chupp G, Nelsen LM, et al. Severe eosinophilic asthma with nasal polyposis: a phenotype for improved sinonasal and asthma outcomes with mepolizumab therapy. *J Allergy Clin Immunol*. 2020;145(6):1713-1715.
- Menzies-Gow A, Szeffler SJ, Busse WW. The Relationship of asthma biologics to remission for asthma. *J Allergy Clin Immunol Pract*. 2021;9(3):1090-1098.
- Thomas D, McDonald VM, Pavord ID. Asthma remission—what is it and how can it be achieved? *Eur Respir J*. 2022;102583. <https://doi.org/10.1183/13993003.02583-2021>
- Menzies-Gow A, Bafadhel M, Busse WW, et al. An expert consensus framework for asthma remission as a treatment goal. *J Allergy Clin Immunol*. 2020;145(3):757-765.
- Ortega HG, Yancey SW, Mayer B, et al. Severe eosinophilic asthma treated with mepolizumab stratified by baseline eosinophil thresholds: a secondary analysis of the DREAM and MENSA studies. *Lancet Respir Med*. 2016;4(7):549-556.
- FitzGerald JM, Bleecker ER, Menzies-Gow A, et al. Predictors of enhanced response with benralizumab for patients with severe asthma: pooled analysis of the SIROCCO and CALIMA studies. *Lancet Respir Med*. 2018;6(1):51-64.
- Miller MR, Hankinson J, Brusasco V, et al. Standardisation of spirometry. *Eur Respir J*. 2005;26(2):319-338.
- Delvaux M, Henket M, Lau L, et al. Nebulised salbutamol administered during sputum induction improves bronchoprotection in patients with asthma. *Thorax*. 2004;59(2):111-115.
- Guiot J, Demarche S, Henket M, et al. Methodology for sputum induction and laboratory processing. *J Vis Exp*. 2017;130:56612.
- Couillard S, Shrimanker R, Chaudhuri R, et al. Fractional exhaled nitric oxide nonsuppression identifies corticosteroid-resistant type 2 signaling in severe asthma. *Am J Respir Crit Care Med*. 2021;204(6):731-734.
- Schleich FN, Chevremont A, Paulus V, et al. Importance of concomitant local and systemic eosinophilia in uncontrolled asthma. *Eur Respir J*. 2014;44(1):97-108.
- Šokić MK, Rijavec M, Korošec P, et al. Heterogeneous response of airway eosinophilia to anti-IL-5 biologics in severe asthma patients. *J Pers Med*. 2022;12(1):70.
- Mouthuy J, Detry B, Sohy C, Pirson F, Pilette C. Presence in sputum of functional dust mite-specific IgE antibodies in intrinsic asthma. *Am J Respir Crit Care Med*. 2011;184(2):206-214.
- Manise M, Holtappels G, Van Crombruggen K, Schleich F, Bachert C, Louis R. Sputum IgE and cytokines in asthma: relationship with sputum cellular profile. *PLoS One*. 2013;8(3):e58388.

FLA 5.6.0 DTD ■ CHEST5508_proof ■ 21 February 2023 ■ 2:07 am ■ EO: CHEST-D-22-01152