

Alternate bases where all rational numbers
have periodic expansions.

Savinien Kreczman

14 June 2022



- 1 Introduction
- 2 Schmidt's work
- 3 Alternate bases

Representations in base β

For a number $\alpha \in [0, 1[$, a sequence $(a_i)_{i \in \mathbb{N}}$ such that $val_{\beta}((a_i)_{i \in \mathbb{N}}) = \sum_{i=1}^{\infty} \frac{a_i}{\beta^i} = \alpha$ is called a β -representation of α .

It is called the β -expansion of α if furthermore $\frac{1}{\beta^j} > \sum_{i=j+1}^{\infty} \frac{a_i}{\beta^i} \forall j$.

A way to obtain it : define

$$a_0 = 0, \rho^{(0)} = \alpha, a_{i+1} = \lfloor \beta \rho^{(i)} \rfloor, \rho^{(i+1)} = \{\beta \rho^{(i)}\} = T_{\beta}(\rho^{(i)}).$$

Some basic properties

Proposition

The sequence $(a_i)_{i \in \mathbb{N}}$ is indeed a representation of α . The sequence $(a_i)_{i \in \mathbb{N}}$ is periodic if and only if the sequence $(\rho^{(i)})_{i \in \mathbb{N}}$ is periodic. We have

$$\rho^{(n)} = \beta^n \left(\alpha - \sum_{i=1}^n \frac{a_i}{\beta^i} \right),$$

$$\rho^{(n)} = \text{val}_\beta((a_{i+n})_{i \in \mathbb{N}})$$

and

$$\rho^{(n+1)} = \beta \rho^{(n)} - a_{n+1}.$$

An example in an integer base

Take $\beta = 10$ and $\alpha = 13/37$. We have

i	$10\rho^{(i-1)}$	a_i	$\rho^{(i)}$
0		0	$13/37$
1	$130/37 \approx 3.51$	3	$19/37$
2	$190/37 \approx 5.13$	5	$5/37$
3	$50/37 \approx 1.35$	1	$13/37$
4	$130/37 \approx 3.51$	3	$19/37$

and the base-10 expansion of α is periodic.

An example in a non-integer base

Take $\beta = \sqrt{2}$ and $\alpha = 4/5$. We have

i	$\sqrt{2}\rho^{(i-1)}$	a_i	$\rho^{(i)}$
0			$4/5$
1	$4\sqrt{2}/5 \approx 1.13$	1	$4\sqrt{2}/5 - 1$
2	$8/5 - \sqrt{2} \approx 0.18$	0	$8/5 - \sqrt{2}$
3	$8\sqrt{2}/5 - 2 \approx 0.26$	0	$8\sqrt{2}/5 - 2$
4	$16/5 - 2\sqrt{2} \approx 0.37$	0	$16/5 - 2\sqrt{2}$
5	$16\sqrt{2}/5 - 4 \approx 0.53$	0	$16\sqrt{2}/5 - 4$
6	$32/5 - 4\sqrt{2} \approx 0.74$	0	$32/5 - 4\sqrt{2}$
7	$32\sqrt{2}/5 - 8 \approx 1.05$	1	$32\sqrt{2}/5 - 9$

and here we can prove that the $\sqrt{2}$ -expansion of $4/5$ is not periodic.

Periodicity?

The following propositions hold :

Proposition

If β is an integer, all rational numbers have a periodic expansion.

Proposition

If α has a periodic expansion, then $\alpha \in \mathbb{Q}(\beta)$.

Proposition (1)

If $1/q$ has a periodic expansion for any $q \in \mathbb{N}$, then β is an algebraic integer.

Schmidt's result

Can we give necessary and sufficient conditions on β for all rational numbers to have a periodic expansion?

Recall that a Pisot number is an algebraic integer whose Galois conjugates all have modulus < 1 , and a Salem number is an algebraic integer whose Galois conjugates all have modulus ≤ 1 , with equality in at least one case.

Theorem (Schmidt, 1980)

If $\beta > 1$ is a real number such that $\mathbb{Q} \cap [0, 1[\subset \text{Per}(\beta)$, then β is either a Pisot number or a Salem number.

If β is a Pisot number, then $\text{Per}(\beta) = \mathbb{Q}(\beta) \cap [0, 1[$.

Necessary condition : decomposition of remainders in $\mathbb{Q}(\beta)$

Let β have minimal polynomial $x^d + \sum_{i=0}^{d-1} b_i x^i$. Any $\alpha \in \mathbb{Q}(\beta)$ can be written as $\alpha = \frac{1}{q} \sum_{i=0}^{d-1} p_i \beta^i$ with integers p_i . If q is fixed, there exists at most one such decomposition.

Lemma (1)

With the notations above, for every $n \in \mathbb{N}$ there exists a unique d -uple of integers $(r_1^{(n)}, \dots, r_d^{(n)})$ such that

$$\rho^{(n)}(\alpha) = \frac{1}{q} \sum_{i=1}^d r_i^{(n)} \beta^{-i}.$$

Necessary condition : link to Galois conjugates

Let $\beta = \beta_1, \dots, \beta_d$ be the roots of the minimal polynomial of β .
 Let $\text{Per}(\beta)$ be the set of real numbers in $[0, 1[$ with ultimately periodic β -expansion.

Lemma (2)

For every $n \in \mathbb{N}$, $m \in \{1, \dots, d\}$, we have

$$\beta_m^n \left(\frac{1}{q} \sum_{i=0}^{d-1} p_i \beta_m^i - \sum_{k=0}^n a_k \beta_m^{-k} \right) = \frac{1}{q} \sum_{k=1}^d r_k^{(n)} \beta_m^{-k}.$$

In particular, if $\alpha \in \mathbb{Q} \cap \text{Per}(\beta)$ and $|\beta_m| > 1$, we have

$$\alpha = \sum_{k=0}^{\infty} a_k \beta_m^{-k}.$$

Alternate bases

Expansions in an alternate base $(\beta_0, \dots, \beta_{p-1})$ are obtained by alternating the transformations $T_{\beta_0}, \dots, T_{\beta_{p-1}}$ rather than iterating T_β :

$$a_{-1} = 0, \varrho^{(0)} = \alpha, a_i = \lfloor \beta_{i \% p} \varrho^{(i)} \rfloor, \varrho^{(i+1)} = \{ \beta_{i \% p} \varrho^{(i)} \}$$

where $i \% p$ denotes i modulo p .

The value of a sequence of digits $(a_i)_{i \in \mathbb{N}}$ is

$$\sum_{i=0}^{\infty} \frac{a_i}{\beta_0 \beta_1 \dots \beta_{p-1} \beta_0 \dots \beta_{i \% p}},$$

with $i + 1$ factors in the denominator.

We wish to find necessary and sufficient conditions on $\beta_0, \dots, \beta_{p-1}$ for all rational numbers to have a periodic expansion, and we wish to adapt Schmidt's work if possible.

We let $\delta = \prod_{i=0}^{p-1} \beta_i$ and $\beta^{(i)}$ denotes the alternate base $(\beta_i, \dots, \beta_{p-1}, \beta_0, \dots, \beta_{i-1})$.

Example

Let us consider $p = 2$, $\beta_0 = \frac{1 + \sqrt{13}}{2}$, $\beta_1 = \frac{5 + \sqrt{13}}{6}$ and $\alpha = 1$.

i	$\beta_{i \% 2} \rho^{(i)}$	a_i	$\rho^{(i+1)}$
-1			1
0	$\frac{1 + \sqrt{13}}{2} \approx 2.30$	2	$\frac{1 + \sqrt{13}}{2} - 2$
1	$(\frac{1 + \sqrt{13}}{2} - 2) * \frac{5 + \sqrt{13}}{6} \approx 0.43$	0	$(\frac{1 + \sqrt{13}}{2} - 2) * \frac{5 + \sqrt{13}}{6}$
2	$(\frac{1 + \sqrt{13}}{2} - 2) * (\frac{5 + \sqrt{13}}{6}) * (\frac{1 + \sqrt{13}}{2}) = 1$	1	0
3	0	0	0

Adapting Schmidt : First steps

Proving that δ is an algebraic integer is substantially harder than in the $p = 1$ case.

Proposition (a twist on Charlier, Cisternino, Masáková, and Pelantová)

If for all $i \in \{0, \dots, p-1\}$ there exists $q_i \in \mathbb{Z}$ and an ultimately periodic sequence $a^{(i)} \in \mathbb{Z}^{\mathbb{N}}$ that evaluates to $\frac{1}{q_i}$ in $\beta^{(i)}$, then δ is an algebraic integer. If furthermore, for all $i \in \{0, \dots, p-1\}$, $a^{(i)}$ is in $\mathbb{N}^{\mathbb{N}}$ and $a_{np}^{(i)} \geq 1$ for some $n \geq 0$, then $\beta_i \in \mathbb{Q}(\delta) \forall i \in \{0, \dots, p-1\}$.

In the following, we assume that δ is an algebraic integer and that $\beta_i \in \mathbb{Q}(\delta) \forall i \in \{0, \dots, p-1\}$.

Adapting Schmidt : grouping digits

Let $f_{\underline{\beta}}(\mathbf{a}_0, \dots, \mathbf{a}_{p-1}) = \mathbf{a}_0\beta_1\dots\beta_{p-1} + \mathbf{a}_1\beta_2\dots\beta_{p-1} + \dots + \mathbf{a}_{p-1}$.

Then, let $\eta_k(\alpha) = f(\mathbf{a}_{kp}(\alpha), \dots, \mathbf{a}_{kp+p-1}(\alpha))$. We have

$$\alpha = \sum_{i=0}^{\infty} \frac{\eta_k(\alpha)}{\delta^{k+1}}.$$

We have $\eta_k(\alpha) \in \text{Digits}(\underline{\beta})$, where this last set is

$$\underline{f}_{\underline{\beta}}(\{\mathbf{0}, \dots, \lfloor \beta_0 \rfloor\} \times \dots \times \{\mathbf{0}, \dots, \lfloor \beta_{p-1} \rfloor\})$$

Additionally, let $\rho^{(n)}(\alpha) = (T_{\beta_{p-1}} \circ \dots \circ T_{\beta_0})^n(\alpha)$. We get

$$\rho^{(n)}(\alpha) = \delta^n \left(\alpha - \sum_{i=0}^{n-1} \frac{\eta_k(\alpha)}{\delta^{k+1}} \right) \text{ and } \rho^{(n+1)} = \delta \rho^{(n)} - \eta_n(\alpha).$$

Finally, let the minimal polynomial of δ be $x^d + \sum_{i=0}^{d-1} b_i x^i$.

Necessary condition

Every number γ in $\mathbb{Q}(\delta)$ can be decomposed as

$\gamma = \frac{1}{q} \sum_{i=0}^{d-1} p_i \delta^i$. This decomposition is unique when q is fixed.

For a given α , we consider this decomposition, choosing q to be the least common multiple of all the minimal q for α and the members of $\text{Digits}(\underline{\beta})$.

Lemma

For all $n \in \mathbb{N}$, there exists a unique d -uple $(r_1^{(n)}, \dots, r_d^{(n)})$ of integers such that

$$\rho^{(n)}(\alpha) = \frac{1}{q} \sum_{k=1}^d r_k^{(n)} \delta^{-k}.$$

Necessary condition

Let δ, \dots, δ_d be the roots of the minimal polynomial of δ , and let ψ_k be the isomorphism from $\mathbb{Q}(\delta)$ to $\mathbb{Q}(\delta_k)$ that fixes \mathbb{Q} and maps δ to δ_k .

Lemma

For all $\alpha \in \mathbb{Q}$ and $m \in \{1, \dots, d\}$, we have

$$\delta_m^n \left(\frac{1}{q} \sum_{i=0}^{d-1} p_i \delta_m^i - \sum_{k=0}^{n-1} \psi_m(\eta_k(\alpha)) \delta_m^{-k-1} \right) = \frac{1}{q} \sum_{k=1}^d r_k^{(n)} \delta_m^{-k}.$$

In particular, if $\alpha \in \mathbb{Q} \cap \text{Per}(\underline{\beta})$ and $|\delta_m| > 1$,

$$\alpha = \sum_{k=0}^{\infty} \psi_m(\eta_k(\alpha)) \delta_m^{-k-1}.$$

Necessary condition

Theorem

If for all $i \in \{0, \dots, p-1\}$ there exists $q_i \in \mathbb{Z}$ and an ultimately periodic sequence $a^{(i)} \in \mathbb{Z}^{\mathbb{N}}$ that evaluates to $\frac{1}{q_i}$ in $\beta^{(i)}$, and for all $i \in \{0, \dots, p-1\}$, $a^{(i)}$ is in $\mathbb{N}^{\mathbb{N}}$ and $a_{np}^{(i)} \geq 1$ for some $n \geq 0$, and if additionally we have $\mathbb{Q} \cap [0, 1[\subset \text{Per}(\underline{\beta})$, then δ is a Pisot number or a Salem number and $\beta_i \in \mathbb{Q}(\delta) \forall i \in \{1, \dots, d\}$.

Sufficient condition

Lemma

The representation $(a_i)_{i \in \mathbb{N}}$ is periodic if and only if the representation $(\eta_k)_{k \in \mathbb{N}}$ is periodic.

Theorem

If δ is a Pisot number and $\beta_i \in \mathbb{Q}(\delta) \forall i \in \{0, \dots, p-1\}$, then

$$\mathbb{Q}(\delta) \cap [0, 1[\subset \cap_{i=0}^{p-1} \text{Per}(\beta^{(i)}).$$

Remarks and open questions

- Schmidt's method is not the fastest way to prove the results cited here.
- The case where β is a Salem number is still not well understood, even when $p = 1$.
- Is there any way to only use $\underline{\beta}$ rather than all the $\underline{\beta^{(i)}}$?

Thank you for your attention!