# 1 From consistency to flexibility: handling spatial information

# 2 schema thanks to a middleware in a 3D city-modeling context

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7 Abstract: Twinning elements of reality gains a growing interest in support of decision-making, 8 learning and simulations: a single and shared model should provide a unique integrative basis for 9 managing assets of any replica of the real world. From a technical viewpoint, sharing and opening information requires both an exchange format and a high degree of freedom and flexibility. It should 10 11 allow an important number of users to manage this information, to modify it, etc. Storing and 12 manipulating spatial information concerning the urban built context currently focuses on ensuring consistency thanks to relational databases and predefined schemas. Following a paradigm shift from 13 14 a relational database to a NoSQL database, a schema validation middleware is proposed to improve 15 the flexibility storage by conceding a share of its consistency. The flexibility improvements thus 16 provide users a common basis that is able to evolve all along the lifecycle of their models and 17 applications as required for twinning things. It allows users and their applications to take advantage 18 of new storage features such as common: versioning, partitioning, prioritization, applications 19 profiles, etc. The middleware and their new capabilities are illustrated thanks to the CityJSON 20 encoding and its simplified schema for a document-oriented database.

21 Keywords: Schemaless database, NoSQL, middleware, 3D city model, CityJSON

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#### 23 1. Introduction

The digitization of real world elements improves activities and applications in many domains. This could be achieved, for example, through the creation of a digital model providing a single integration basis for all these activities. However, in practice, even if a conceptual schema allows structuring elements around a common base, different competing models might exist and provide a different representation of the same reality: not all users are interested in the same aspect or the same details.

30 The design of a digital model is a long and difficult process that requires compromises. As the 31 needs of each application are not the same, one often prefers to use a specific model, close to the needs 32 of the application, which itself is also specific. It is often the reason that does not allow the 33 implementation of a shared digital model: what is the vision of reality that is necessary but also 34 sufficient regarding all the users' activities around the model? Should applications that are 35 considered more complex make concessions by using a generic model or should we impose 36 complexity on applications that can be limited to something simpler? This would lead to a potential 37 disconnection with reality on the one hand due to a loss of information in a generalization. On the 38 other hand, situations where interactions would be cumbersome and too expensive in terms of 39 resources without reason can appear.

Therefore, several questions remain unanswered and requires a response whether it can be
illustrated in a paradigm shift in the management of the data, in the technique and its applications or
by using the new capabilities offered by the recent technological advances in hardware:

a) Is this choice still relevant nowadays?

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- b) Given that there is only one ground truth but an infinite number of potential digitalmodels, why should there be any compromise?
  - c) Could we not propose a solution that would allow storing a unique digital reality while making the representation we make of it dynamic according to our needs?

48 In addition to the substantial investment involved in designing a digital model, saving the 49 model, often in relational mode, seems to explain some of the compromises made. In a web application, the server, which allows exchanges and a part of the processing, and the client, which is 50 the data consumer, do not impose any concrete limitation. Structured beforehand and thus 51 52 guarantying the application consistency, the rigidity and inertia of the relational model make it a 53 change-resistant solution (complicated addition of heterogeneous data, modifications of the basic schema are always at the expense of some performance, difficult maintenance, complex horizontal 54 55 scalability, etc.).

Would it not be possible to propose a storage solution that allows various independent applications to store and search relevant information in a single place? The guarantee on consistency would then be carried over to the server and the interoperability would be ensured using exchange standards. In this type of architecture, a document-oriented NoSQL database would allow completely free-shared storage of information without prior structuring. The server would then be responsible for the information structure by filtering it during exchanges with the various clients (both for storage but client requests).

63 The contribution of this paper is twofold. On the one hand, from a purely technical point of view, it provides a middleware, which acts as a bi-directional filter on server queries and would then filtrate 64 65 information following CityJSON semi-structured schemas. Added to the simplified database schema 66 for the storage of CityJSON models in a document-oriented database, it provides the core basis of an 67 accessible storage solution. Provided in a convenient and well document framework, it should allow 68 people developing their own use keeping in mind standardization. This flexible but still consistent 69 data management helps developers to make bridges between the constituting parts of much greater 70 city models management platforms.

71 On the other hand, in a dynamic that is always moving towards greater openness and 72 information sharing, a new solution is proposed as an alternative to traditional solutions. Digital 73 Twinning, a unique and digital 3D replica of a city, is now possible by using this first assumption. It 74 is illustrated relying on a storage paradigm still too little used in our opinion: NoSQL databases. 75 NoSQL databases and web-related technologies gained interest in the scope of 3D city modelling. However, most of the time, the new propositions are framed in a succession of improvements of a 76 77 recognized tools limited to the purpose they had when they were set up. The new solutions are still 78 too often neglected in favor of traditional solutions without addressing the problem from the start: 79 the design of the tool.

All the principles and ideas developed in this paper are illustrated in the context of three dimensional urban modelling and city digital twinning. The contribution is therefore not about the
 concept of middleware itself, but also in the answers to the recent questions formulated above.

84 The paper is structured as follows: the main topics studied are the exchanges standards and the 85 role of the database in a GIS architecture. First, the various standardized way of querying and 86 accessing geographical information, the city modelling standards, their semantic data model 87 (CityGML and CityJSON) and the usage of these standards in shared or unshared web architectures 88 are presented. The state of the art is assessed to frame this research in storing 3D city models in 89 databases and deliver them on the web. Then, after a quick presentation on what NoSQL databases 90 are and their differences with relational databases, the paradigm shift to a NoSQL database and its 91 basic specifications are evaluated. The principle and benefits of a schemaless database are discussed 92 afterward. Insights are also given concerning the usage of middleware in geospatial data 93 management. Different methods of accessing information through features query services are

94 presented in parallel with the major contribution of this paper: a bi-directional filter that simplifies

95 the recording of information but guarantees the consistency of exchanges. Finally, future

96 developments are considered as improvements and new possibilities that can be developed thanks

97 to this new paradigm and the middleware.

## 98 2. Related works

99 Related works are divided in two different but interconnected parts: "Exchanges and standardization" and "Role of the database". While the first presents standards for structuring information in the urban built environment, the second part is a focus on the role of the database and its various shapes. The logical articulation of this part goes from a more general section to a more specific section that places our contribution in its context.

104 *2.1. Exchanges and standardization* 

105 A "Digital Twin" is defined as "a virtual representation of a physical asset enabled through data 106 and simulators for real-time prediction, monitoring, control and optimization of the asset for 107 improved decision making throughout the life cycle of the asset and beyond" (Rasheed, San, and 108 Kvamsdal 2019). On a conceptual level, especially in city modelling, the prospective potential of 109 reality twinning is large (Shahat, Hyun, and Yeom 2021). Even if a wholly mirrored city is yet not 110 available, improvements are relatively fast. In particular, improved data processing would make it 111 easier to use the models and find information, but also to share it. Above all, the pooling of 112 information from all kinds of sources is the main advantage of twinning. At this stage, all these 113 considerations are anticipated to accurately reflect and affect the city and model's functions: data 114 management, visualization, situational awareness, planning and prediction, integration and 115 collaboration. Consequently, the search and processing of data must be simple and attractive 116 (Schrotter and Hürzeler 2020). Indeed, supporting decision processes should be made in a 117 comprehensible way all along the lifecycle management. The focus is made on the contrast between 118 the static of the relational databases and the continuous evolution of users' needs. Behind the idea 119 that the digitalization enhances the communication, The World Avatar (TWA) is a project led by the 120 CARES center of the University of Cambridge in Singapore (Mei Qi et al. 2021). The TWA intends to 121 capture the idea of representing every aspect of the real world in a digital model. It is thus a large-122 scale project gathering various researchers in a wide range of research areas. In concrete terms, it 123 takes the form of a dynamic knowledge graph (dKG) that should improve the interoperability 124 between heterogeneous data formats, software and applications (Chadzynski et al. 2021). 125

126 In GIS architecture, many efforts have been made on the database tier (Zlatanova and Stoter 127 2006). However, there is still much room for improvement. For instance, relational databases do not 128 support co-existing schema versions natively. It is thus complex to develop tools without imposing 129 them to be created prior of any production launch. Smart solutions need to be found in order to allow 130 concurrent versioning. Among these solutions, a bidirectional database evolution language provides 131 a solution for the co-existence of schema versions using delta-code (Herrmann et al. 2017). This 132 language allows increasing the freedom to easily change the physical table schema but at the expense 133 of some performance. Once the schema of a relational database has evolved, the stored data should 134 also comply with the new structure. It imposes to guarantee the usability of the newly ordered 135 database but also its completeness. A formal basis, which helps developers with the expensive and 136 error-prone task of manual co-evolution (of both schema and data) is compulsory (Herrmann et al. 137 2018).

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139 The consumption of performance is highlighted in comparison between the features of the 140 relational versus the NoSQL databases. An empirical comparison of their average execution times 141 gives insight on their specific advantages (Baralis et al. 2017). The number of concurrent users and 142 dataset cardinalities have been also considered as they represent the great advantages of NoSQL. 143 Among all the NoSQL database variations that exist, the document-oriented databases allow a great 144 flexibility regarding the information structuration and their modifications. It allows storing 145 documents in many convenient ways without imposing any predefined and strict schema, as would 146 a relational database. Research is being carried out on the automatic creation of structures based on 147 UML diagrams. However, it ensures the storage flexibility as it is the main asset of these NoSQL 148 stores. A validation scenario presents the creation, its complexity metrics and states on the NoSQL 149 assets (Gómez, Roncancio, and Casallas 2021). 150

151 Indeed, modelling a relational database might become a tremendous process: all requirements 152 must be assessed beforehand in order to build an application that meets all user needs. In the NoSQL 153 environment, there is no equivalent to the Unified Modelling Language (UML) used by relational 154 databases. Some could use new notation based on UML or Entity Relationship (ER), eXtensible 155 Markup Language (XML), etc. (Vera-Olivera et al. 2021). A systematic review on NoSQL databases 156 explores the current state of research regarding their design methods (Roy-Hubara and Sturm 2020). 157 One of its findings states that database design should meet non-functional requirements. It means 158 that database design should not state on what to do or must do but how to do things: in other words, 159 the absence of predefined schema is an opportunity and must be taken to its advantage.

A middleware is a piece of software that implements communication solutions for an operating
system. It is commonly used in distributed architecture to support input/output between stacks. In
the scope of GIS architecture, it allows merging multiple and heterogeneous data sources (Cha et al.
1999) and multi-storage paradigm architectures (Wong, Swartz, and Sarkar 2002; Li et al. 2018).
Handling inputs and outputs also favors data integration without impacting on performance (Haas
et al. 1999). For example, some propose to facilitate the merging of city modelling and building
information modelling standards through a dedicated middleware (Schultz and Bhatt 2013).

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168 Looking at the large family of NoSQL databases, the validation of exchanges using schemas is 169 nothing new. For example for knowledge graphs such as these based on the RDF model uses the 170 Shapes Constraint Language (SHACL) (Knublauch and Kontokostas 2017). In the context of spatial 171 validation, it emphasizes recursive filtering and validation (Corman, Reutter, and Savković 2018) and 172 the reusability of validation schemes (Debruyne and McGlinn 2021). Such a technical solution is one 173 of the basic pillars of the work towards a global European infrastructure (Huang et al. 2019). These 174 principles are commonly called "application profiles".

175 In the same way but at a different level of the web architecture and a more global ingestion 176 process, GraphQL is an API layer that allows people querying and mutating already existing data. It 177 is the closest thing to a universal method of questioning. The request defines itself the desired 178 structure of the answer. Recent improvements on GraphQL demonstrate their usage in network 179 bandwidth optimization (Brito, Mombach, and Valente 2019). However, like any new technology, it 180 comes with drawbacks (Hartig and Pérez 2018; Wittern et al. 2019). However, there is no official 181 spatial features nor capabilities.

183 Geospatial data are data about objects, events, or phenomena that have a location on the surface 184 of the earth. It combines location information, which can be static or dynamic (usually coordinates or 185 combinations and complex arrangements of them) and attribute information (characteristics and 186 knowledge of the object). Given all these considerations, the exchange and the storage of such 187 information imposes the usage of dedicated tools: spatial standards and spatial databases. The Open 188 Geospatial Consortium (OGC) has a mission to improve geodata accessibility providing standards 189 and normative exchanges formats. These standards are global resources that are publicly available 190 and free to use. Among others, the Web Features Service (WFS) Interface Standard provides an 191 interface allowing requests for 2D geographical features. A new version has recently been published 192 in a legacy review (Clemens, Panagiotis, and Charles 2019). It has been done as to allow platform193 independent calls across the web. This review is part of a new bigger family: "OGC APIs". These 194 APIs are developed in order to make it easy for anyone to provide geospatial data on the web but in 195 a standardized way. The different APIs are meant to provide building blocks that can be used to build 196 APIs that are novel and more complex. Along with the maps, coverage and processing services, the 197 features are part of the improvements brought in this new standards family. The "OGC API - Features 198 - Part 1: Core" is restricted to read-access and describes the mandatory capabilities to implement a 199 data access interface (Clemens et al. 2019). Future capabilities such as creation and modification of 200 existing features but also additional coordinate references should be developed in future parts. 201 Alongside, 3D Tiles is designed for streaming and rendering of massive 3D content (Patrick, Sean, 202 and Gabby 2019). It should not be confused with the OGC API - Features as the second concerns a 203 way to serve information on a specific element and all its semantic information: attributes, versioning, 204 etc. 205

206 In addition to the exchange protocols, the OGC standards also provide standards for the 207 exchanges and representation of knowledge. CityGML is the most widely used standard for 3D city 208 modelling (Gröger and Plümer 2012). Recent developments are related to extending the standard 209 features: linking with other common standards (Biljecki et al. 2021), wind simulations (Deininger et 210 al. 2020), heating demand prediction (Rossknecht and Airaksinen 2020), etc. Among other solutions, 211 3DCityDB is a software package that consists of a database schema for spatially enhanced relational 212 databases. It improves the database with a set of procedures and software tools allowing to import, 213 manage, analyze, visualize, and export CityGML models (Yao et al. 2018). Another CityGML data 214 model usage consists of a compact and developers-friendly encoding alternative of this data model: 215 CityJSON (Ledoux et al. 2019). Besides its simplicity and easiness to handle city models, many 216 advantages derive from the JSON encoding and its semi-opened structure: native support of 217 metadata and refined levels-of-detail (Nys, Poux, and Billen 2020), easier integration in common GIS 218 tools (Vitalis, Arroyo Ohori, and Stoter 2020), lightweight and scalable base to support complex web 219 applications (Virtanen et al. 2021), usage of combinatorial maps in topology structure (Stelios Vitalis, 220 Ohori, and Stoter 2019), etc. This new encoding solution opens possibilities by reducing the cost of 221 modifying data but also facilitates its exchange. It is part of a dynamic that is increasingly focused on 222 the web and the pooling of knowledge: servicification. This dynamic is the process to migrate code 223 and applications to a modular and service-oriented architecture. This results in the production of 224 reusable and decoupled components while also reducing duplication. It finally results in a better 225 usage of resources and the sharing of capabilities and information. Servicification in geographical 226 systems is well illustrated in SOA architecture (Service-Oriented Architecture) (Allah Bukhsh, van 227 Sinderen, and Singh 2015; Nys and Billen 2021). A flexible architecture allows the composition and 228 sequencing of data processing. The geospatial intelligence provided by such services is a proper 229 solution to most of the geospatial application problems (Fricke, Döllner, and Asche 2018). 230

#### 231 *2.2. Role of the database*

232 It is understood that 3D city models are great integrating bases for complex studies in various 233 fields. This can be seen from the ever-increasing number of application domains extensions (ADEs) 234 for CityGML (Biljecki, Kumar, and Nagel 2018): energy, noise, 3D cadaster, etc. However, even if the 235 semantic information is well integrated in such models, their usability in simulations is not 236 straightforward: this kind of linkage is often studied by the actors in the field of 3D modelling and 237 not simulation experts. The method of storage is not necessarily responsible (Widl, Agugiaro, and 238 Peters-Anders 2021). One is proposing to review the way in which the information, recorded in a 239 relational database, is accessed and thus linked to the simulation tools (Yao et al. 2018). Without 240 modifying the base, this solution makes it possible to spread the use of city models and their linked 241 information.

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243 The management of versions and history within 3D city modelling, which can be generalized by 244 allowing different views on the same information, can be done through the use of an ADE of CityGML (Chaturvedi et al. 2017). This independent extension considers new aspects as managing 245 246 multiple temporal interpretations of a city and its features. It is now part of the CityGML 3.0 data 247 model and should thus be implemented in its various uses (Kutzner, Chaturvedi, and Kolbe 2020). 248 Despite the proposed solutions for versioning, several issues remain (S. Vitalis et al. 2019; Kutzner et 249 al. 2020). Six issues were evaluated and discussed among the data providers' incentives, the database 250 implementation, etc. but more specifically: the need to collect additional lifecycle and versioning 251 information (Eriksson and Harrie 2021). The problem highlighted on the additional information is 252 that it requires a substantial restructuring of the technical solution and work processes. In addition, 253 the increasing complexity of the database implementation increases with the number of versioning 254 features included (Eriksson et al. 2021). 255

256 Besides the relational databases, the vast panel of NoSQL databases offer complementary 257 solutions. NoSQL databases propose to review the storage structure of relational database. Among 258 others, when the links between the elements are preponderant, graph databases are the most suitable. 259 For instance, thanks to the graph isomorphism tools, even if they are resources consuming, change 260 detection is made between versions of CityGML models (Nguyen and Kolbe 2020). Moreover, a much 261 precise definition of the change types is given based on the graph structure. As it has been said, the 262 graphs are useful for modelling the relationships between the city features. More precisely, the 263 translation of these relations in Resource Description Framework (RDF) triples structures the 264 semantic information of the urban built environment: the only inconvenient is that the geometric 265 information is neglected (Malinverni et al. 2020). It is worth mentioning that ontologies are preserved 266 during data conversions and can therefore be queried afterwards. It opens up fusion possibilities for 267 city models with various sources using a NoSQL graph database: IFC, IndoorGML, etc. Structuring 268 information in graphs also provide solution for bi-directional transformations. It allows deriving 269 models from real CityGML models and instrument modelling and analysis facilities for digital 270 models (Visconti et al. 2021). 271

272 Document-oriented NoSQL databases offer interesting possibilities. Besides any processing 273 efficiency, the whole data structure has been reformed. It is much simpler than relational databases 274 that use joint keys for example (Bartoszewski, Piorkowski, and Lupa 2019). Changing the user's 275 perspective on data can improve or even rethink the basic idea of relational databases. The database 276 design itself gives an answer to the multipurpose needs for WebGIS (Sutanta and Nurnawati 2019). 277 Without providing a complete solution compared to what relational solutions offer, the NoSQL 278 databases offer premises of spatial data management on the web (da Costa Rainho and Bernardino 279 2018). Especially in 3D city modelling, the shift from consistency to flexibility opens many 280 possibilities (Nys and Billen 2021). In this research, a combination between CityJSON and the NoSQL 281 document-oriented database provides an alternative to the traditional geodata management. The 282 parallel can be drawn with 3DCityDB, which proposes a data schema for storage in a relational 283 database. The comparison between the two tools was made in terms of performance but also in terms 284 of their capabilities. In short, it improves the modularity of information thanks to the lack of schema 285 for the database. Gains of performances and capabilities are remarkable kiss-cool effects too. For 286 instance, proposing new extensions, and thus improving and adding features to the schema, is easier 287 and supported in a convenient way thanks to the schema and its translation in the semi-open 288 database structure (Nys et al. 2021).

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## 290 3. Schemaless database

This definition of Rasheed et al. for "Digital Twin", even if it remains vague on the "virtualrepresentation" term, focuses on the long-term usage and lifecycle of the information. This

representation should therefore be required to be modular and flexible in order to adapt to current but also future needs. Without going for a complete avatar, a digital replica whose main characteristic is its shared uniqueness is a point worth studying. Even if relational databases provide solutions and capabilities, those are not suited for development in line with modifications in usage needs and horizontal scaling. It can therefore be considered that they do not address the root of the problem: the flexibility of schemes and thus the whole architecture modularity.

299 Tacking a step back, a web GIS architecture is constituted of three components: a client, a server 300 and a database. While there is no limitation on the number for each tier, it should be at least one 301 element for each. Thus, a wide range of combinations is possible. Moreover, the elements are not 302 always parts of the same whole; they might be under responsibility of different organization, located 303 in various places, etc. Most of the time, the server and the database are closely linked and why not 304 installed on the same physical machine (the architecture thus become a "two-tier architecture"). A 305 brief explanation of the usefulness of each tier provides a better understanding of the paradigm shift 306 proposed started in previous research in which this contribution fits (Nys and Billen 2021).

307 The client is the consumer of the data. It can be a viewer, a GIS standalone software, a web 308 application, etc. Since the "frontend's" capabilities are evolving, clients support more and more 309 processing. For instance, the web browsers, thanks to the creation of the V8 JavaScript Engine 310 (Chromium Project of Google), handle more and more capabilities (Kulawiak, Dawidowicz, and 311 Pacholczyk 2019): heavy graphics computations, graphs manipulation, etc.

The server takes care of the processing part, or at least part of it, as the frontend improves as mentioned above. It manages the database connections and receive the clients' queries (Wagemann et al. 2018). It is possible for a client to query a database directly, but the presence of a server makes it possible to improve security, set up statistics, structure and guarantee the consistency of exchanges. With the database, it is part of what is called "backend".

317 The database saves information; it structures the data and allows its accessibility. For example, 318 the relational mode structures information in tables and defines the relationships between them 319 thanks to associations and cardinalities. Therefore, a predefined schema is mandatory so that the defined boxes and their links can be filled in later. It is the main advantage of using relational 320 321 databases: the guarantee of consistency. Still, one can suffer of the predefinition of such framework. 322 The users' needs and applications capabilities might evolve and no longer fit this schema. It could 323 then be interesting to provide an alternative that concedes a loss of consistency to improve the 324 architecture flexibility. A partial answer to this problem is to shift the use of a traditional database 325 and move towards a NoSQL solution (Nys and Billen 2021). This contribution is in line with this 326 answer and proposes to make a step further from the consistency to the flexibility of databases in the 327 scope of modeling urban environments.

#### 328 *3.1.NoSQL paradigm*

Before considering NoSQL solutions, attempts to improve the relational model are worth mentioning. One of these is the BiDEL language (Herrmann et al. 2017). However, these solutions gets around the problem without tackling its root. The language acts like an additional layer that improve the relational database capabilities. The database itself is not adequate to handle specific features. For instance, thanks to BiDEL, the versioning is simplified but it imposes to manage a new technology that adds complexity and potential problems. Tackling the rigid structure of the relational databases is avoided but not solved. It would be more interesting to find an integrated solution.

The research topics of the TWA project study the formalization, the evaluation and the repair of ontologies based on the CityGML and many other data models (in field such as environment, weather, etc.) (Mei Qi et al. 2021). Their integrated and dynamic knowledge graph structures information from a semantic point of view at least. As a complementary layer, the 3D geometric information brings unavoidable information concerning urban management. Undoubtedly, it should find an interest in developing a geometry support, if not at the beginning, at least at some point. This project nevertheless illustrates an important need: NoSQL databases not only offer new capabilities 343 but also provide a very new storage paradigm and many advantages. Subsequent to it, it is not only 344 the arrangement of the data that changes; it is the whole perception of it.

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346 At this point, an explanation on the NoSQL storage paradigm should be given. NoSQL solutions 347 (Not Only SQL) are defined as "everything that is not relational". In fact, it is much more complex 348 than that. The NoSQL family responds to capabilities that are indeed different from the relational 349 databases but still correspond to a set of definitions. The main difference between relational databases 350 and NoSQL solutions lies in the management of their schemes. NoSQL databases, without going into the details of their various families, do not limit the data to be filled in predefined boxes. In other 351

- 352 words, the database does not impose a schema for the data to be stored. NoSQL databases are 353 "schema less databases". Besides the ACID characteristics of traditional databases (Atomicity, 354 Consistency, Isolation and Durability), the NoSQL databases follows the BASE principles:
- 355 Basically Available: the data are always available; there is no downtime despite any network 356 failure or temporary inconstancies. A "non-response" is impossible from the store. Whether it is 357 a success or an error, there is always an answer to every request.
- 358 Soft state: even without any input, the system state could change over time. This characteristic 359 is required for the following "eventually consistent" property.
- 360 Eventual consistency: if no further updates are made to an item for a long enough period, all 361 users will see the same value for the updated item. In the meantime, anything can happen. The 362 system will eventually become consistent once it stops receiving input.

363 The "eventual consistency" characteristic is the linchpin. The soft state characteristic is one of its 364 requirements and the availability is a quality of life asset but does not have any link with the 365 consistency. The third characteristic is indeed the most interesting one: the eventual consistency 366 means that the consistency is not set by the database itself and might not be always guaranteed. The 367 database could deliver different information to various users in some state. The compromises made 368 on consistency and the above-mentioned responses' heterogeneity can be considered as potentially 369 harmful. This is true if the database is considered as an isolated component. The server, and why not, 370 the clients, might have a role to play in the consistency assessments.

371 As they have been defined in the previous section, clients are passive consumers and thus free 372 regarding the data structure. Both databases and clients should be independent services but clients 373 must be able to work with what the databases provide, as they are more flexible. Even if they can 374 support a part of the computations, clients should not require to control and validate the server 375 responses. They just visualize or process the data but does not restructure or modify it. Otherwise, 376 they will become an active component and the server may have neglected some of its responsibility.

377 The key idea of this contribution is to take the opposite view of the "schema less" database and 378 to take advantage of this actual flexibility. Since no schema is mandatory by the database, the 379 opportunity is to store data without any restrictions beside technical constraints: format, encoding, 380 etc. Any shape of information can thus be stored in the same place. Taking the assumption that an 381 infinite number of record variants can be stored in a unique database, one can consider that some 382 records will share a common basis or correspond to a common structure. Where several pieces of 383 information relate to the same real object, the use of a single and unequivocal identifier should allow 384 connecting these pieces. Moreover, each element might have common attributes and/or ways of 385 representation with one another (versions, extensions, etc.). They are actually different copies or 386 views of the same entity. Stating on a common basis and referring to real objects uniquely, one can 387 consequently define the foundation of a shared but limitless model.

388 Every city is unique. It has its own history, its specific space, its citizens and their own lifestyles, 389 etc. Many public services and stakeholders have their own views of the city and its assets. However, 390 they should not be allowed to harm or modify those of others. In addition, if interactions should be 391 possible, they should be done at least under pre-established conditions.

393 Back to the data store framework and its infinite theoretical set of city models, a common basis 394 should determine the constituting elements of a city and their relationships: it is the main purpose of 395 standards such as the CityGML data model. Since CityGML is a semi-open standard, it consist not 396 only of a shared ground for city modelling but also for extensions and future applications. It thus 397 offers the possibility to reuse the compliant data in different fields and applications that are 398 themselves compliant to the data model. However, the majority of recent developments in 3D city 399 modeling accept the relational storage mode and its advantages without questioning its initial 400 capabilities. Hence, they focus on developing extensions proposing new features, new attributes and 401 new relationships without considering any use of a unique and common digital model. Such a model, 402 whose core can itself evolve as improvements are made, has been little studied. Among others, the 403 ACID characteristics are part of these limitations.

### 404 *3.2. Architecture specifications*

Before presenting the architecture capabilities and the benefits of the new component, a specific point of its features should be discussed regarding the paradigm shift. As defined in the previous sections, its groundwork relies on three things: the usage of a NoSQL database that improves flexibility at the expense of some consistency, the usage of a common definition basis such as the CityGML data model and finally a new component that filtrates information. These specifications are available thanks to the simplified database schema for the management of CityJSON 3D city models in a document-oriented store (Nys and Billen 2021).

412 Thence, a first step towards ensuring consistency is done by implicitly choosing that all 413 applications must be standard compliant. In the case of urban modeling and JSON-related 414 technologies, CityJSON 1.1 is unavoidable. It is here worth mentioning that it remains an unspoken 415 consensus for some tier: the database itself is not structured following any schema. No conditions are 416 set during creation and modification on records about any cardinalities, document structure, 417 document size limitations, etc. This is the role of the proposed component, which is mounted on the 418 existing application server, and only it. The server can thus be used to lock users' exchanges and 419 structure queries on the server but nowhere else.

420 While the current applications developed around this simplified database schema of CityJSON 421 concerned the storage of multiple city models in a unique store, the new architecture will make an 422 additional hypothesis: the store remains unique but the unicity is now generalized to the stored 423 model also. Note that the number of frontend elements is still limitless. In summary, one database is 424 shared by several server, or Application Programming Interfaces (APIs), that are themselves 425 receiving queries from an unlimited number of clients on the web. All this is done under the 426 assumption that the hardware is not a limited resource. The Figure 1Figure 1 illustrates the 427 architecture of the shared database.



# MongoDB

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Figure 1. Architecture of a shared and unique database

430 Two requirements depicted in the Figure 1 Figure 1 need an explanation: accordingly, in the 431 simplified schema, a document, or record, corresponds to a model of a city or to an element of the 432 urban built environment (i.e. an AbstractCityObject). Hence, in order to be able to refer to the correct 433 record, a document stored in the database must be defined by a unique way of identification. For 434 example the "name" attribute in each level of the architecture should be formatted in a similar 435 manner. Secondly, a Class, which specifies the city object family, might also be given to objects in 436 order to simplify the various queries. These classes are used to manage the different schemes by the 437 server. Examples of Classes are CityModel, Buildings, SolitaryVegetationObject, etc. according to the CityGML model specifications. Other parameters (Param\_1, Param\_2, etc.) might also be defined in 438 439 the core specification but also come from extensions. For instance, since the stored documents should 440 implicitly comply with the specifications of CityJSON, it is thus possible for an application to query 441 a Building object knowing beforehand some of its attribute: address, roofType, etc.

442 These considerations are generic to any number of clients and applications. As a result, 443 information can be derived from a theoretically infinite number of architecture elements except for 444 the database, which is deliberately intended to be unique. Hence, the whole architecture can be 445 abstracted by a tree, so that the database would be the trunk and the clients the leaves. The servers 446 will then be the tree branches (see <u>Figure 2Figure 2</u>). For the growth of the tree, the only limitations 447 are network and hardware considerations since the data is constrained. Formatted: Font: Not Bold



448 449

Figure 2. Abstraction of the architecture in tree form

450 Besides the semantic formalization of the shared information, this component could be the basis 451 of more complex mechanisms. One can imagine that the unique model is used by various users from 452 the same city government. While each city service is working on its specific aspect of the city model, 453 details can be brought thanks to the filters (and thus versions, extensions, etc.). Besides, the security 454 issues of a non-strict user, several concurrent schemas might be used by the same user community 455 limiting accessibility in respect of the grade or hierarchy like application profiles do. This choice is 456 left to the developers, as they may be interested in developing stand-alone applications or in routing 457 users through a larger application.

458 Concerning the versioning, the new architecture not only ease data versions management but 459 also the data model versioning. It is customary that a database and thus the stored information 460 comply with a unique data model version. Updating the data when a new version of the data model 461 is released can lead to the database becoming obsolete if there has been no insight on backwards 462 compatibility. As a result, in this architecture, there is no need for data update in the database, only 463 technical maintenance is mandatory. The filter will then serve information in respect of the desired 464 version picking relevant information from the semi-structured whole. The same information can 465 therefore be easily shared by different versions or different applications.

466 Thanks to the BASE characteristics of the NoSQL databases, the data are always available. This 467 means that the database should always be operational and able to respond at any time. As the server 468 component is independent of the database, any maintenance on a specific application will not limit 469 the usage of the others. It therefore improve the flexibility of the whole architecture and provides a 470 modularly solution for further developments.

471 As a comparison with a current good practice, GraphQL is an API layer that lies between a server 472 and clients. It allows querying and mutating data in a generalized way (Wittern et al. 2019). Several 473 notable differences are to be noted: first, GraphQL only allows a single endpoint on a database and 474 imposes developing new features in the same way. Server functions and data manipulation are 475 limited (Brito et al. 2019). Such a limitation would have limited us in the development of the 476 application clients and especially with its links to the OGC API. Moreover, the document collections 477 in the predefined simplified schema are built keeping in mind performances and most common 478 queries. Staying with the development of advanced capabilities, GraphQL lacks of temporal and 479 spatial features (Hartig and Pérez 2018). Such features are mandatory in the scope of city modeling. 480 Mutations have another major concern because the tool was not originally created for this purpose: 481 mutating functions are not conducted in parallel: each change waits until the previous one is finished. 482 It is a major drawback when it comes to open the architecture to the many. It affects users experience 483 in a very negative way. Finally, errors handling can become tremendous for developers since HTTP 484 requests only serve 200 status queries (or 5xx if the server is not available at all). Avoiding these 485 drawbacks and allowing developers to create the best endpoints they need is an imperative.

486 Since maintaining the data consistency is no more the responsibility of the database, it is now487 the role of another architecture tier: the server. In the proposed architecture, because of the storage

488 paradigm shift, this guarantee is transferred to the server or at least to one of its components. The 489 present improvements are made in line with the previous: it proposes a proof of concept using 490 JavaScript libraries. The new component is hosted on a NodeJS server, a JavaScript runtime 491 environment. The component is built on the mongoose library, an open source solution that provides 492 built-in type casting, schema validation, query formalization and building, business logic hooks, etc. 493 (https://www.npmjs.com/package/mongoose). Among these features, formalizing a query and the 494 built-in type casting do not concern any aspect of storage consistency. By contrast, the schema 495 validation is the cornerstone of the proposed improvement. In practical terms, mongoose acts like a 496 bi-directional coat that filtrates information between the client, the server and the database. It acts 497 both as a mediator and as a wrapper (i.e. in both directions). A predefined schema on the server maps 498 a requested resource to a collection of documents in the database and serves relevant information to 499 client and vice versa. It also allows verifying the format and encoding of any exchanged resource. 500 This second feature does not play a role in the consistency of schemes besides technical 501 considerations. 502

503 As far as semantic information is concerned, thanks to the discriminated schemas of mongoose 504 and its inheritance capabilities (which are not possible in JSON schemas); some variations can be 505 added to the schema definitions without having to modify the initial requirements. For instance, a 506 Building is nothing more than an AbstractCityObject with an address, a roofType, etc. The added 507 information is still compliant with the AbstractCityObject schema. A SolitaryVegetationObject is an 508 AbstractCityObject that might have a specie, a trunkDiameter, etc. Nevertheless, there is no requirement 509 for each application to have the same exact definition of what a type of feature exactly is. It is a matter 510 of agreeing on the common basis from the CityJSON specifications. The notion of hierarchy being 511 absent from JSON schemas, this point reinforces the demonstration of the architecture flexibility as it 512 simplify modifications without damaging the already existing schemas. Note that JSON schemas 513 require checking several concurrent schemas rather than offering the possibility to specialize them.

514 By going further into the technical definition of the architecture: such a filter stands as a 515 middleware. A middleware is a software that lies between an operating system (i.e. the server) and 516 the applications running on it. Common middleware provide security layers (limiting the number of 517 request, cryptography, etc.), cross-origin requests managers (accessing restricted resources from a 518 remote domain), authentication layers (checking tokens and/or registered users, etc.), etc.

519 Beside these technical features, it also allows for the removal of excess information sent by clients 520 or delivered to them but also the databases. The component filters information and maps it to the 521 documents collections in the document-oriented database. This is done so that the semi-structured 522 database is not polluted by incorrectly structured or unwanted information. This mapping consist of 523 a collection of features schemas (not JSON schemas), themselves built on the CityJSON specifications. 524 In addition to the schemas, inheritance is added between collection elements thanks to the mongoose 525 features. In the proposed architecture, all the capabilities of a middleware are used such as it acts like 526 a bidirectional filter:

527 (a) In one direction, based on the queries made by the clients (i.e. in a writing way), it filters the 528 city objects and their attributes before any storage and/or potential updates. For the reminder, every 529 API might be independent and built in such a way as to allow flexibility. They offer several different 530 types of requests with different accesses, different connections, and different schemas as a result. For 531 instance (see Figure 3Figure 3), the application #2 is not allowed to modify (and perhaps damage) the 532 objects and attributes handled by the application #1. Be aware, however, that some objects might be 533 shared by several applications and the same thing for the attributes of shared objects. The value of a 534 common standardized and documented basis is again demonstrated here. It is a major prerequisite. 535 (b) In the other direction, for the documents queried by the clients from the database, the filter 536 works exactly the same way. There are not only the format and the encoding that are verified but also 537 the semantic information thanks to the schema specifications. It is retrieved from the database given 538 that the attributes are checked and validated by the application-related schema. No feature object or 539 attribute that has not been defined beforehand in the schema will be served as a response. It is

540 important to note that while format consistency can easily be checked, logical consistency, i.e. the

541 compliance of values with their semantics, cannot be verified regarding coherent meaning. This could

542 limit the applications interaction and information retrieving but it is part of the responsibility of each

543 data producer and its policy on whether or not to open the data and document it. In this context, the 544 technical elements necessary for this sharing are provided without taking a position on this last

544 technical elements necessary for this sharing are provided without taking a position on this last 545 aspect.



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Figure 3. Illustrated example of the bi-directional filter principles

548 In the Figure 3Figure 3, the example represents two applications that want to register and 549 retrieve information on the same object of the same class. Considering that both the unique identifiers 550 are the same (whether they are URIs (Uniform Resource Identifier), UUID (Universally Unique 551 Identifier), etc.), the object is defined by four attributes: two attributes handled by each applications. 552 Some points are noticeable:

- The *attribute\_2* is not stored in the database because it is not allowed in the server schema of the first application. As it does not pass the filter in a writing way, the information is not send to the database and thus cannot be queried afterwards.
- The *attribute\_4* is not stored because it is not properly formatted with respect to what is required
   in the second application's schema. If this attribute has been correctly formatted, it will be stored
   in the database and made searchable by clients.
- Both *attribute\_1* and *attribute\_3* are stored in the database but their use is limited to the separate framework of the two applications.

561 In the example above, the attributes are "basic and common" data types: string, integer, float32, 562 etc. In the context of spatial information, and in the even more specific 3D city modelling, "spatial" 563 data types require a dedicated management to handle their specificities. In addition to the complex 564 representation of the built environment, the formatting of geographical information and features 565 geometries imposes conditions. It should be noted that geometries must follow well-defined patterns 566 most of which are defined by international standards. Among others, the concept of level of detail 567 needs to be discussed and addressed (Biljecki 2013).

568

569 As defined in the simplified CityJSON schema for document-oriented databases, the geometries 570 are managed in a dedicated mass-collection regardless of their type, the number per element and 571 their level of detail (Nys and Billen 2021). For the reminder, every type of geometry has its own 572 validation schema whether it is a *Solid*, a *MultiSurface*, etc. They all share a common basis but some 573 crocificities are brought in their crocific cub schema definitions by inheritance. It works the same

573 specificities are brought in their specific sub-schema definitions by inheritance. It works the same

574 way as the *AbstractCityObject* and the *Buildings* schemas. The schemas are independent of any level 575 of detail and all types of geometries can be arranged to create various types of levels of detail. A 576 unique identifier refers these geometry documents, one for each level of detail, to the feature 577 documents in the city objects collection. While storing and thus writing a geometry in the database, 578 each element and level are checked against their scheme. On the contrary, in order to optimize and 579 better adapt to the users' needs, querying a specific geometry can be done specifying the desired 580 level-of-detail. All attributes of the geometry are served since it is a feature in its own right.

In practice, given that CityJSON handles the "refined levels-of-detail" (Biljecki, Ledoux, and Stoter 2016; Nys et al. 2020), the geometries can be queried in a compound manner. Either, the specified LoD is itself a refined one and thus can be retrieved if it exists. Either, if it does not exist or is a broader one: the most detailed one is recovered while remaining in a coherent level order. For instance, for a geometry stored in 2.1 and 2.2 levels, querying a unique geometry for the 2<sup>nd</sup> level will respond with only the 2.2 document. Besides it, one cannot retrieve a LoD greater than the expected. It is done in way to reduce exchange weight and providing redundant information.

#### 588 *3.3.OGC API - Features*

589 Besides the operations presented above and their request mode, it could be interesting for the 590 users to handle features in a more standardized way. It is important to allow every user to have a 591 view of what is stored in the database. It must be done in a reading-limited way so as not to 592 compromise the database. Therefore, the new OGC API - Features has been implemented in order to 593 provide a normalized and convenient way to do so (Clemens et al. 2019). It thus guarantees the 594 consistency of the database keeping things secure and avoiding data mutation. Again, this could be 595 done not through the database itself but thanks to the middleware. It is worth mentioning that not 596 all information should be requested by everyone: the idea is to "see" what can be obtained. This must 597 of course be done within the access limitation, security, hierarchy, etc.

The <u>Figure 4Figure 4</u> depicts how the OGC API service is connected to the architecture. While all the other well-separated applications are limited to their own part of the data (and to the shared parts), the OGC API - Features service has access to everything (under conditions of safety, regulations, etc.). It is important to clarify that this service is a read-only protocol and should be considered as a view on the stored model.



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Figure 4. Implementation of the OGC API - Features service

A problem arises from the fact that most of the exchange standards, protocols and OGC services
(Web Features Service, Web Map Service, etc.) are suited for two-dimensional geodata. CityJSON, as
a 3D modelling standard, cannot be queried in a convenient way using the standards with a few
exceptions. Moreover, the document-oriented database does not support any 3D indexing methods.
It was thus necessary to build workaround solutions in order to allow spatial filtering at least in two
dimensions.

611 Initially, OGC standards serve features with a single geometry. However, a CityJSON object can612 have an undefined number of geometries. These geometries provide a wide range of information

613 corresponding to various levels-of-detail. An alternative is proposed to limit misunderstandings. 614 Besides the limit, offset and bounding boxes parameters already used in the specifications, a new 615 attribute is added to the query parameters: the lod (level-of-detail). As a reminder, the geometries are 616 stored independently of any feature as a bulk in a dedicated collection. The simplified schema 617 separates them in several documents even if they concern the same object. This is justified by the fact 618 that the level of detail plays an important and very specific role in urban information management 619 but also because of the spatial indexing capabilities of the database. The lod is thus introduced as a 620 parameter in its own right in requests. In any case, where this parameter is not supported by an 621 application, it is simply neglected and it is the greatest lod that is served.

622 In the official schema of the AbstractCityObject, the geographicalExtent attribute stores the 3D 623 boundary box of the distinct features. Projecting the 3D box, a new 2D attribute bbox is created on the 624 fly during the storage process. This new spatial extent is then used to build the spatial indexing in 625 the database. This extent is also created for the whole city model itself. An important condition is 626 imposed by MongoDB for spatial indexing: the coordinate of the any spatial information should be 627 expressed as a GeoJSON object (RFC 7946). It thus imposes the use of the World Geodetic System 628 1984 (WGS84 - EPSG:4326) and thus the projection of the bbox attribute. If no reference system is 629 provided in the model, it is considered as already expressed in WGS84 by default. This is a major 630 drawback for the management of spatial information in document-oriented databases.

Future work should include the improvements brought by the added parts of the OGC API –
Features family: Coordinate Reference Systems by Reference, Filtering and the Common Query
Language and the Simple Transactions. This should be handled by the middleware, as it is neither
the responsibility of the database provider.

635 Second possible improvement coming from the semantic web, the Uniform Resource Identifier 636 (URI) is a great candidate for the unique identifier format. This URI identifier is a unique sequence 637 of characters that identifies a logical or physical resource used by web technologies. While the 638 purpose of the URI is to allow data extracted from various databases to be linked and to be identified 639 unambiguously, it could also improve the management of the legitimacy of data. Such an identifier 640 could be part of a certification process in which the responsibility of the city objects is part of the 641 prerogatives of the city services. Its identity and its responsibility can thus be translated in the URI 642 syntax in one way or another.

## 643 4. Conclusion

644 This paper makes a step towards a paradigm shift for the storage of geographical information: 645 it provides a technical component and insights that concedes a loss of consistency in favor of more 646 flexibility. It is illustrated in the context of 3D city modelling thanks to the implementation of a 647 schema validation middleware. This could be performed, among other approaches, with the 648 replacement of a relational database by a NoSQL document-oriented database. The main 649 characteristic of the database considers that it does not handle any data schema. It therefore does not 650 require filling in predefined boxes or meeting non-technical requirements as does relational. 651 Conversely, the logical, conceptual and physical models are not prerequisites. The consistency management is then shifted to the server and more specifically to a filter layer: a schema validation 652 653 middleware.

With a more focused view to this new architecture, the database can be considered as the principal foundation of a more complex whole: the database is unique but allows an undefined number of applications to retrieve information. A condition is however imposed in order to shift the consistency guarantee from the database to the server: exchanges should comply with a common standard. In the particular context of 3D city modelling, and keeping in mind the simplicity of use, applications and their exchanges should favor the CityJSON specifications.

660 Technically, the server filters all requests in both directions: from clients to the database and 661 from the database to clients. This bi-directional filter allows storing and updating elements on the 662 database by restricting them to predefined semantic information. The other way, it limits the information requested from the database depending on the users' right access, versions, etc. A view
on the actual state of the database can be given thanks to the OGC API - Features exchange standard.
Restricted to the read access, this view allows users to get generic information on the models
elements. In summary, such type of filter can be used in order to implement security layers,
versioning and above all to enclose the users' possibilities.

668 The consistency counterbalanced by the middleware implementation will open many 669 possibilities in application development and digital twining. City stakeholders should benefit from a 670 single data store that can be shared across all their activities and responsibilities. Without any 671 limitations or compromises made on previous storage capabilities, the city models will become real 672 integrating bases for all the city services activities.

673 Back to the introduction, the answer to the first question on the relevancy of a new generation is 674 nuanced. It is important to provide a new solution for the management of a "unique and digital 3D 675 replica of a city" that improves the applications flexibility but the usage of the traditional solutions is 676 not outdated. An ecosystem based on several solutions should provide a relevant answer. At the 677 same time a document-oriented solution for its flexibility and accessibility, a knowledge graph 678 solution for the support of contextualization and semantic both linked to a relational solution, which 679 has already demonstrated its capabilities in handling spatial methods, should meet current 680 requirements and tackle future needs. The product resulting from the fusion of these storage modes 681 could ideally take advantage of the benefits of each while attempting to offset their disadvantages. 682 Therefore, few compromises should be made considering them in the very beginning of the 683 conception rather than providing partial solutions on a succession of choices. We believe that this 684 contribution makes a step further towards such a hybrid architecture. Shifting the storage paradigm 685 should then not be seen as a complete reverse but rather as a more global vision that would allow 686 reaching a better management of what "digital twins" are intend to be.

687 Remaining challenges could also be divided in improvements specific to the middleware and 688 improvements specific to the vision of a unique replica and its contextualization. Developments 689 should concern the identity of a feature through its lifecycle (creation, modifications, etc.). The 690 middleware and its various schemas could suffer from a lack of management added to the unicity of 691 the stored information. A dedicated study thus need to be conducted on the optimized way to 692 identify city models and their elements. While CityJSON features are commonly identified by UUID 693 or GML\_ID, the Uniform Resource Identifier is freer in its use. However, it should be considered as 694 a very relevant solution since an URI can take whatever shape needed as long as it provides a means 695 of locating (on the web, not spatial). One can for instance create a formatted URI translating the 696 identity of the data provider. The data responsibility could then be established and both 697 documentation and support could be released in a very convenient manner.

698 Since we considered GraphQL and SHACL as related works, specific access methods could be 699 developed to propose them as alternatives to our middleware and the OGC API services. Just like the 700 latter, it would be normalized windows on the data stored by the provider no matter the users' habits. 701 This consideration, alongside with the proposed usage of URIs, could lead to a hybrid storage 702 solution based on document and graph oriented databases in which the identification of an object 703 and its uniqueness would be guaranteed. We assume it will consist a good base to climb the Semantic 704 Web Stacks: in our opinion, the principal requirement to reach the dreamed "Digital Twins". Finally, 705 still with this objective in mind, data integration should also be one of the main future developments 706 following this contribution. Regardless of the access method chosen, different levels of integration 707 must be considered: Does the base model need to be enhanced? Should new attributes be created? Is 708 this part of the data model's mission or should applications handle the integration themselves?

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