1	Effort during prolonged wakefulness is associated with performance to attentional and
2	executive tasks but not with cortical excitability in late middle-aged healthy individuals
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47

49 Abstract

50 Objective. Sleep-loss negatively affects brain function with repercussion not only on objective measures of performance, but also on many subjective dimensions, including effort perceived for the 51 completion of cognitive processes. This may be particularly important in aging, which is accompanied 52 53 by important changes in sleep and wakefulness regulation. We aimed to determine whether 54 subjectively perceived effort covaried with cognitive performance in healthy late-middle-aged 55 individuals. Method. We assessed effort and performance to cognitive tasks in 99 healthy adults (66 56 women; 50-70y) during a 20-h wake extension protocol, following 7 days of regular sleep and wake 57 times and baseline night of sleep in the laboratory. We further explored links with cortical excitability using transcranial magnetic stimulation coupled to electroencephalography (TMS-EEG). Results. 58 59 Perceived effort increased during wake extension and was highly correlated to subjective metrics of 60 sleepiness, fatigue and motivation, but not to variations in cortical excitability. Moreover, effort increase was associated with decreased performance to some cognitive tasks (psychomotor vigilance 61 62 [PVT] and 2-back working memory task). Importantly, effort variations during wakefulness extension 63 decreased from age 50 to 70y, while more effort is associated with worse performance in the older 64 individuals. Conclusion. In healthy late middle-aged individuals, more effort is perceived to perform cognitive tasks, but it is not sufficient to overcome the performance decline brought by lack of sleep. 65 66 Entry in the seventh decade may stand as a turning point in the daily variations of perceived effort and 67 its link with cognition.

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70 Keypoints.

71	• Does perceived effort for completion of cognitive tasks vary with advancing age and how is
72	associated to performance?
73	• Increase in perceived effort is associated with cognitive performance, and more effort is
74	associated with worse performance in the older individuals.
75	• The daily variations of perceived effort and its link with cognition seems to vary according to
76	age in a healthy late middle-aged population.
77	Changes in effort across the protocol were not associated with changes in cortical excitability
78	concomitantly assessed using TMS-EEG.
79	
80	Keywords: Aging, effort, wake extension, cognitive performance
81	

82 INTRODUCTION

83 Stable cognitive efficiency across the day-night cycle is regulated through interactions between sleep homeostasis, keeping track of time awake, and the circadian system, organizing physiology over the 84 24h day/night cycle (Dijk and Czeisler, 1995; Schmidt et al., 2012). Under normal sleep condition, the 85 86 circadian signal counteracts the homeostatic build-up of sleep need during the day to maintain 87 relatively stable cognitive performance up to the next sleep episode. Any disruption of this fine-tuned interplay is detrimental to performance (Lo et al., 2012; Schmidt et al., 2012). If wakefulness is 88 89 extended into the biological night, performance sharply decreases because the circadian signal turns 90 into a sleep promoting signal while sleep pressure is high (Dijk and Czeisler, 1995). Likewise, chronic 91 sleep loss leads to performance decrement over the course of a normal waking day (Lo et al., 2012; 92 Schmidt et al., 2012).

93 Healthy aging is characterized by marked changes in cognitive functioning. These change are 94 however variable across individuals with some older people showing performance very close or similar 95 to the one of younger (Hale et al., 1988; Hultsch et al., 2002; Nyberg et al., 2012). Healthy aging is also 96 associated to important changes in regulation of sleep and wakefulness (Craik and Salthouse, 2008; 97 Dijk et al., 1999; Klerman and Dijk, 2008; Schmidt et al., 2012; Van Cauter et al., 2000). Sleep quality 98 decreases in aging, while the build-up of sleep need (Landolt et al., 2012; Schmidt et al., 2012) and the 99 strength of circadian signal (Dijk et al., 1999; Kondratova and Kondratov, 2012; Münch et al., 2005) 100 also appear to be dampened as one gets older. This results in a more stable cognitive performance in 101 older individuals during sleep deprivation: despite a potentially lower performance during the well-102 rested day, the decrease in performance detected if wakefulness is extended into the night is less in 103 older than young individuals (Landolt et al., 2012; Sagaspe et al., 2012; Schmidt et al., 2012).

The negative effect of sleep loss on performance spans across multiple cognitive domains (Lim and Dinges, 2010; Pilcher and Huffcutt, 1996) with larger deficits observed on alertness and sustained attention and smaller and less consistent deficits on executive functions or other complex tasks including memory tasks (Lim and Dinges, 2010; Lowe et al., 2017). When investigating the effect of

108 sleep deprivation on specific processes within a same task, (Tucker et al., 2010) observed that the 109 executive components of working memory scanning efficiency, resistance to proactive interference 110 and switching between phonemic clusters were not significantly degraded by sleep deprivation, 111 contrary to non-executive ones. These results suggests that the effect of prolonged wakefulness is 112 more detrimental for the automatic aspects of cognition. Critically, largest effects of insufficient sleep 113 during prolonged wakefulness are detected over subjective domains, such as motivation, fatigue or 114 effort perception (Lo et al., 2012; Odle-Dusseau et al., 2010; Pilcher and Huffcutt, 1996). This may be 115 particularly important because subjective dimensions, such as motivation, can mitigate or amplify the 116 negative effect of insufficient sleep on cognitive performance, particularly when wakefulness is 117 extended beyond habitual sleep time (Hull et al., 2003).

118 Effort is considered as a regulator of the cognitive workload level used to perform a task 119 depending on its specific characteristics (e.g., task difficulty, duration) and on individual processing 120 capacity (Kool and Botvinick, 2018; Shenhav et al., 2017). Effort is also tightly associated with 121 motivation and fatigue. For instance, cognitive fatigue may appear when motivation is impaired and 122 effort increases, leading to performance decrement and attentional impairment (Boksem and Tops, 123 2008; Hopstaken et al., 2015). Yet, whether effort varies during prolonged wakefulness and how it 124 relates to cognitive performance is not established. Based on theories on management of cognitive 125 fatigue (Hockey, 1997, 2011, 2013), Massar et al. (2019a) discussed an integrated framework in which 126 sleep-related performance decrement may result from a voluntary decision to withdraw effort. 127 Indeed, performance goals that may be readily attained by exploiting lower-level non-costly processes 128 under normal conditions need compensatory effort that may be experienced as a strain under sleep 129 deprivation. Active monitoring systems would control how much effort would be allocated to 130 performance maintenance, depending on the felt strain, and the goal value (i.e., motivation related to the importance of task). 131

While brain mechanisms underlining subjective affect changes during prolonged wakefulness
have been partially elucidated (Minkel et al., 2012; Mullin et al., 2013; Venkatraman et al., 2007; Yoo

134 et al., 2007), the brain mechanisms underpinning the link between effort and cognitive performance 135 during prolonged wakefulness are not established (Massar et al., 2019b). Likewise, how the brain 136 creates the effort signal and manage effort involvement according to motivation and task goals is still 137 debated. Neuroimaging research has indicated a role of the ventral striatum and ventromedial 138 prefrontal cortex for valuation of effort and reward (see Massar et al., 2019a). The dorsal anterior 139 cingulate cortex (dACC) was ascribed a role in the implementation of a general signal that is necessary 140 to energize many effortful cognitive control actions (Holroyd and Yeung, 2012) and to integrate the 141 internal estimates of values and effort costs to determine whether or not to allocate effort to an action 142 (Shenhav et al., 2017; Verguts et al., 2015). In agreement with these proposals, Chong et al. (2017) 143 observed that making choices about different cognitive or physical tasks involving effort is associated 144 to brain activity in the dorsolateral prefrontal cortex, anterior insula, dorsal anterior cingulate and 145 dorsomedial prefrontal cortex.

There is few evidence in the literature on how aging is associated to changes in effort perception and whether inefficient effort management is related to a risk of cognitive decline. Devine et al. (2021) observed that older adults seem to modulate effort investment over time differently from young adults and adolescents, with expended effort to accumulate reward as quickly as possible. Oren et al. (2019) reported that the performance of demanding cognitive tasks led to subsequent changes in functional connectivity between anterior and posterior parts of the hippocampus, and that these changes predict cognitive decline at 2-years follow-up.

To address the issue of effort management in healthy aging, we investigated the variation of perceived effort during 20h of continuous wakefulness under strictly controlled conditions in a large sample (N = 99) of healthy late middle-aged adults (50 to 70 y), following 7 days of regular sleep and wake times, and baseline night of sleep in the laboratory. We capitalized on baseline data from the dataset COFITAGE, devoted to the identification of biological, sleep and lifestyle characteristic influencing cognitive changes in healthy aging. We assessed subjective effort, together with sleepiness, fatigue and motivation, and objective performance measures during tasks probing sustained attention,

inhibition and working memory. Because our results indicated that aging was not linearly associated with effort and performance, we further explored how aging in the 6th and 7th decade would modulate effort and its association with cognition. We hypothesized that effort would rise during wakefulness extension, particularly in the younger individuals of our sample that are more sensitive to sleep homeostasis and circadian signal. We further anticipated that effort would mitigate the effect of lack of sleep and would therefore be associated with better performance during the night.

166 Finally, to explore some of the potential brain mechanism underlying effort regulation, we 167 assessed cortical excitability using a Transcranial Magnetic Stimulations coupled to an 168 Electroencephalogram (TMS-EEG) apparatus. Cortical excitability can be defined as the strength of the response of cortical neurons to a given stimulation. It reflects neuron reactivity and response 169 170 specificity and is therefore a fundamental aspect of human brain function that contribute to cognition 171 and behaviour (Ly et al., 2016). Since cortical excitability varies with time awake and circadian phase, 172 is related to subjective dimension such as motivation (Ly et al., 2016) and changes in aging (Gaggioni 173 et al., 2019), we further explored whether variations in effort would be related to changes in cortical 174 excitability during prolonged wakefulness.

175

176 **METHODS**

177 Participants

101 healthy participants aged 50 to 70 y (68 women; mean ± SD = 59.4 ± 5.3 y) were enrolled between June 15th 2016 and October 2nd 2019 for a multi-modal cross-sectional study taking place at the GIGA-Cyclotron Research Centre/In Vivo Imaging of the University of Liège (Cognitive fitness in aging – COFITAGE – study; EudraCT: 2016-001436-35. The current list of publications streaming from this dataset is provided in Supplemental Material). They gave their written informed consent and received a financial compensation. This research was approved by the Ethical Committee of the Faculty of Medicine at the University of Liège, Belgium.

185 Exclusion criteria were as follows : Body Mass Index (BMI) < 18 and > 29; smoking; excessive

186 alcohol consumption (>15 units/week); excessive caffeine consumption (>6 cups/day, two subjects 187 were unintentionally included while drinking 6.5 and 9 cups/day respectively); clinical symptoms of 188 cognitive impairment [Dementia Rating Scale < 130 (Mattis, 1988) and Mini Mental State Examination 189 < 27 (Folstein et al., 1975)]; recent severe brain trauma; shift work in the past 6 months; trans-meridian</p> 190 travel in the past two months; high levels of anxiety (21-item self-rated Beck Anxiety Inventory \geq 17) 191 (Aaron T Beck et al., 1988) and depression (21-item self-rated Beck Depression Inventory \geq 17) (Aaron 192 T. Beck et al., 1988); recent psychiatry history; chronic medication affecting the central nervous system 193 (stable treatment for more than 6 months for hypertension or hypothyroidism were included). 194 Participants with sleep apnea (apnea-hypopnea index \geq 15/h) were screened and excluded during an 195 in-lab screening night of polysomnography. One study participant was excluded due to missing 196 melatonin assay value at the time of completing the analyses and another for undosable melatonin in 197 saliva samples. Demographic characteristics of the final 99 participants are shown in Table 1.

Table 1: Sample characteristics (mean ± SD [ranges]).

	N = 99
Sex (female/male)	66/33
Age (y)	59.4 ± 5.3 [50-69]
Education (y)	15.2 ± 3.0 [9-25]
Right-handed	86
Ethnicity	Caucasian
Dementia Rating Scale	142.1 ± 2.3 [134-144]
Raven's Progressive Matrices	51.1 ± 5.0 [32-59]
Mill Hill Vocabulary Scale	26.9 ± 3.6 [12-32]
Body Mass Index (kg/m²)	24.7 ± 2.9 [18-29]
Anxiety	2.9 ± 3.2 [0-17]
Mood (depression)	5.3 ± 4.4 [0-17]
Caffeine (cups/day)	2.8 ± 1.7 [0-9]*
Alcohol (doses/week)	3.5 ± 3.7 [0-15]
Treated for hypertension (stable >6 months)	9
Treated for hypothyroidism (stable >6 months)	20
Systolic blood pressure (mmHg)	119.97 ± 13.07 [92-165]

Diastolic blood pressure (mmHg)	74.69 ± 9.64 [60-102]
Sleep quality	4.8 ± 2.8 [0-13]
Daytime sleepiness	5.9 ± 4.0 [0-16]
Chronotype	53.5 ± 7.8 [31-67]
Clock time of dim light melatonin onset (hh:min)	20:15 ± 00:59 [18:10- 22:40]
In-lab baseline sleep duration (hh:min, EEG)	08:02 ± 0:40 [6.5-9.5]
In-lab baseline sleep efficiency, including N1 stage (%, EEG)	82.9 ± 9.6 [54-96]
Baseline sleep time (hh:min)	20:54 ± 00:37 [21:25-1:00]
Baseline wake time (hh:min)	06:56 ± 00:45 [5:30-9:15]

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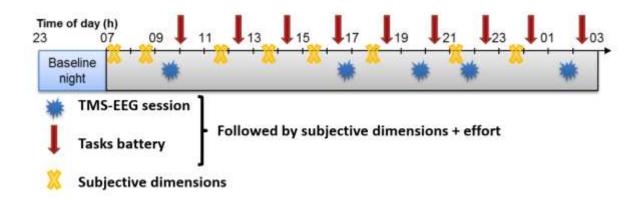
199 Anxiety was measured by the 21-item Beck Anxiety Inventory (Aaron T Beck et al., 1988); mood by 200 the 21-item Beck Depression Inventory II (Aaron T. Beck et al., 1988); caffeine and alcohol 201 consumption by self-reported questionnaires; sleep quality by the Pittsburgh Sleep Quality Index 202 (Buysse et al., 1989); daytime sleepiness by the Epworth Sleepiness Scale (Johns, 1993); chronotype 203 by the Horne-Östberg questionnaire (average value correspond to intermediate chronotype, no 204 participants were extreme chronotypes, *i.e.* scores < 30 or > 70) (Horne and Ostberg, 1976). Systolic 205 and diastolic blood pressures were measured in-bed after laying down for > 15 min and 1 to 2h prior 206 to bedtime. Dim light melatonin onset was computed as described in the next sections. 207 * two subjects were unintentionally included while drinking 6.5 and 9 cups/day respectively

208

209 Wake-extension protocol

210	All procedures were previously reported (first in (Van Egroo et al., 2019)). After one week of regular
211	sleep-wake schedule verified by using wrist actigraphy (Actiwatch $^{ extsf{e}}$, Cambridge Neurotechnology, UK)
212	and sleep diaries, participants arrived at the lab 6h before usual bedtime. They were then placed in
213	dim light ~6.5h before bedtime, had a light meal in the evening before sleeping the night in the
214	laboratory under electroencephalogram (EEG). The 20-h wake extension protocol was initiated upon
215	awakening which represents a moderate wakefulness extension challenge. After a light standardized
216	breakfast and a shower, a transcranial magnetic stimulation (TMS) compatible EEG cap was placed and
217	participants were kept under strictly controlled constant conditions (dim light < 5 lux; temperature
218	around 19°; in-bed semi-recumbent position except for bathroom visits in scheduled time range;
219	sound-proofed rooms; no time information; regular isocaloric food intake) (Duffy and Dijk, 2002).
220	Saliva was collected hourly to assay melatonin concentration and detect the nocturnal

221 initiation of its secretion, which considered as a gold standard mean to assess circadian phase (Duffy and Dijk, 2002). Melatonin assays consisted in radioimmunoassay (Department of Clinical Chemistry, 222 Liège, Belgium), as previously described (English et al., 1993) with limit of detection of the assay for 223 melatonin at 0.8 ± 0.2 pg/ml using 500 µL volumes. Every two hours, participants had to complete a 224 225 test battery on a laptop. Nine test batteries and 5 TMS-EEG sessions were completed over the wake 226 extension protocol. The timing of the TMS-EEG sessions was set to increase session frequency around 227 the so-called evening wake-maintenance zone, which corresponds to the time at which the circadian 228 system maximally promotes wakefulness and opposes sleep need (Strogatz et al., 1987). After each 229 test battery and TMS-EEG sessions, they had to fill in visual analogue scales (VAS) about subjective metrics including effort. They also had to fill in these scales (excluding effort) 8 more times between 230 231 batteries and TMS-EEG sessions so that subjective dimensions were assessed approximately every 232 hour (Figure 1). Note that participants were not informed neither about the number of test battery, saliva samples, etc. nor about the exact duration of the wake-extension protocol to avoid interference 233 234 from motivational biases on wake-dependent effects on measurements (Hull et al., 2003).



235

Figure 1: Wake extension protocol. Following baseline sleep under EEG, participants completed 9 tasks batteries approximatively every 2h and 5 TMS-EEG sessions. Measures of effort and other subjective metrics were collected after each task battery and TMS-EEG session. Subjective dimensions excluding effort were also collected 8 times in between batteries and TMS-EEG sessions. The protocol was conducted under strictly controlled constant routine conditions (dim light < 5 lux; temperature ~19°; inbed semi-recumbent position; sound-proofed rooms; no time information; regular isocaloric food intake). 243

244 Cognitive test batteries and visual analogue scales

245 A training session was completed upon arrival in the lab to ensure participants had correctly 246 understood all task instructions. Test batteries of the wake extension protocol included 4 tasks, always 247 in the same order. The first task was a visual Sustained Attention to Response Task (SART) where 248 participants had to press (the right keyboard arrow when the number "4" was pseudo-randomly 249 appearing on the screen and the left one for any other numbers from 0 to 9 (228 items; \sim 10% of hits; 250 item display duration: 250 ms; inter-stimulus interval ISI: 1000 ms, task duration: 4m45s). The task 251 evaluates motor inhibition and attention (Sagaspe et al., 2012). Participants then completed the 2-252 back and the 3-back versions of a visual n-back task. Participants were instructed to state whether or 253 not the current letter was identical to the consonant presented 2 and 3 stimuli earlier, respectively for 254 the 2-back and 3-back tasks (60 items; 30% of hits; ISI: 2000 ms, task duration: 2m30s). Both focus on 255 continuous update of information in working memory with a higher memory load in the 3-back task 256 (Lo et al., 2012). Finally, a visual Psychomotor Vigilance Task (PVT), which probes sustained attention 257 (Basner and Dinges, 2011), was completed. It requires pressing a computer space bar as soon as a 258 chronometer pseudo-randomly starts on the screen (~50 items; random interval of 2-10 s, task 259 duration: 5m). Test batteries ended with subjective assessments.

260 Subjective sleepiness was evaluated using a computerized version of the 9-point Karolinska 261 Sleepiness Scale (KSS) (Åkerstedt and Gillberg, 1990). Visual analogue scales (VAS) followed KSS 262 assessments and included the following subjective dimensions: fatigue, motivation, joy, sociability, 263 stress, and anguish, plus effort only when following test batteries or TMS-EEG session. VAS scores are 264 expressed in arbitrary units representing the deviation to the left (negative value, up to -5) or to the 265 right (positive value, up to +5) of a cursor which was initially centered. Specifically, effort represents a 266 subjective metric answering the question "did it take you a lot of effort to complete the previous 267 tasks/TMS recording" (from left: less effort, to right: more effort).

269 TMS-EEG sessions

270 All TMS-EEG procedures are as described in (Van Egroo et al., 2019). A "pretest" TMS-EEG session was 271 performed prior to the wake-extension protocol to determine optimal stimulation parameters (i.e. 272 location, orientation and intensity) that allowed for EEG recordings free of muscular and magnetic 273 artefacts. As in previous experiments (Gaggioni et al., 2019; Huber et al., 2013; Ly et al., 2016), the 274 target location was in the superior frontal gyrus due to its sensibility to changes in sleep pressure and 275 circadian phase (Huber et al., 2013; Ly et al., 2016), the reduced probability to elicit involuntary 276 reaction such as muscular twitches or eye blinks when stimulated. For all TMS-EEG recordings, pulses 277 were generated by a Focal Bipulse 8-Coil (Nexstim, Helsinki, Finland). Interstimulus intervals were 278 randomized between 1900 and 2200 ms. TMS-evoked responses were recorded with a 60-channel 279 TMS-compatible EEG amplifier (Eximia, Helsinki, Finland), equipped with a proprietary sample-and-280 hold circuit which provides TMS artefact-free data from 5 ms post stimulation. Electrooculogram was 281 recorded with two additional bipolar electrodes. EEG signal was band-pass filtered between 0.1 and 282 500 Hz and sampled at 1450 Hz. Before each recording session, electrodes impedance was set below 283 5 k Ω . Each TMS-EEG session included ~250 single pulse TMS (mean = 252 ± 15) with the same 284 Interstimulus intervals as for pretests. Auditory EEG potentials evoked by the TMS clicks and bone 285 conductance were minimized by diffusing a continuous white noise through earphones and applying a 286 thin foam layer between the EEG cap and the TMS coil. A sham session, consisting in 30 TMS pulses 287 delivered parallel to the scalp with noise masking, was administered to verify the absence of auditory 288 EEG potentials after at least one TMS-EEG session. Absence of auditory responses was confirmed in all 289 participants.

290 TMS-EEG data were preprocessed as previously described (Van Egroo et al., 2019) in SPM12 291 implemented in MATLAB2013a (The Mathworks Inc., Natick, MA). In brief, TMS-EEG data underwent 292 semi-automatic artefacts rejection, low-pass filtering at 80 Hz, downsampling to 1000 Hz, high-pass 293 filtering at 1 Hz, splitting into epochs spanning -101 and 300 ms around TMS pulses, baseline correction 294 (from -101 to -1 ms pre-TMS), and robust averaging. As described in (Van Egroo et al., 2019), the actual

stimulation site and the position of the EEG cap varied from subject to subject (due to head size and morphology, placement of the EEG cap, signal quality). In addition, electrode signal could be of low quality at the closest location from the stimulation site. A full description of stimulation site variation is provided in (Van Egroo et al., 2019). Cortical excitability was computed as the slope at the inflexion point of the first component of the TMS-evoked EEG potential on the electrode closest to the stimulation hotspot (in μ V/ms). The electrode considered was constant across all sessions of the same volunteer.

302

303 Data analysis and statistics

304 To express time according to internal circadian phase, which was meant to be the same for all aspects 305 of the project in all subjects, rather than clock time, which varied across subject depending to habitual 306 sleep-wake schedule, all data were realigned with respect to the onset of melatonin secretion - dim 307 light melatonin onset (DLMO) –, considered as a gold standard assessment of circadian phase (Duffy 308 and Dijk, 2002). DLMO was determined based on assays in saliva using the hockey-stick method, with 309 ascending level set to 2.3 pg/ml (Hockey-Stick software v1.5) (Danilenko et al., 2014). The circadian 310 phases of each test batteries, TMS-EEG sessions and KSS/VAS assessments were inferred from 311 individual DLMO time (i.e., phase 0°; 15° = 1h). Results of cognitive tests and subjective assessments 312 (including effort) were then resampled following linear interpolation at the planned/theoretical phases 313 of test batteries (-140°, -110°, -80°, -50°, -20°, 10°, 40°, 70°, 100°). The same procedure was carried out 314 for cortical excitability and subjective assessments - including effort - for planned/theoretical phases 315 of TMS-EEG sessions (-145°, -60°, 0°, 30°,80°). Hourly subjective assessments - excluding effort - were 316 resampled at the planned/theoretical hourly phases (-190°, -175°, -160°, -145°, -130°, -115°, -100°, -317 85°, -70°, -55°, -40°, -25°, -10°, 5°, 20°, 35°, 50°, 65°, 80°, 95°, 110°). Importantly, a constant routine 318 approach is meant to unmask in part any circadian influence (Duffy and Dijk, 2002). One cannot, 319 however, separate the effect of time spent awake and circadian phase, as any change with time spent 320 awake will reflect their dual influences.

Performance to the PVT was inferred from the number of lapses (> 500 ms) and mean reaction time (mRT) following removal of anticipation (< 100 ms), lapses and error (> 3000 ms). Fast and slow RT were also computed for supplementary results as the 10% fastest and slowest RT, respectively, following removal of anticipation and lapses. For the 2-back, 3-back and SART, we used the D-prime (*d'*) score to characterize performance to the task. *d'* takes into account hit and false alarm and thus represents a response discriminability index [i.e., a measure of sensitivity, following the signal detection theory (Ingleby, 1967)], with higher *d'* values meaning better performance.

Two subjects did not follow task instructions and were removed from the analyses. A few subjects had missing data due to technical issues. For each circadian phase, data that laid > 3 SD were considered as outliers (< 25 measures were removed per measure of interest, <3% of data). For each circadian phase, data that laid > 3 SD were considered as outliers (< 25 measures were removed per measure of interest, <3% of data). For the 3-back task, circadian phase 100° presented too many invalid/missing values (>25%) and was excluded from statistical analyses. The number of subjects included in each model is reported in the result tables.

335 Statistical analyses were performed using Generalized Linear Mixed Models (GLMMs) in SAS 336 9.4 (SAS Institute, Cary, NC, USA). Dependent variable distribution was first determined and GLMMs 337 were adjusted accordingly. All GLMMs were adjusted for demographic variables of age, sex and 338 education. Subject (intercept) was included as random factor. Circadian phase was included as a 339 repeated measure together with an autoregressive estimation of autocorrelation of order 1 [AR (1)]. 340 Degrees of freedom were estimated using Kenward-Roger's correction. In the search for associations 341 between effort and other metrics, we included triple interactions between circadian phase, age and 342 the metric of interest. When non-significant, the triple interaction was removed from the model to 343 assess separate circadian phase and age by metric of interest interactions. When GLMM yielded a 344 significant interaction with age, the sample was split between subjects aged <60 and \geq 60 y (median 345 split) to test for significant difference between the younger and older subsample. This was meant to 346 get a better understanding of the interaction effect. Statistical significance was set at p < .05. Semi-

partial R² ($R^2_{\theta^*}$) values were computed to estimate the effect sizes of significant fixed effects and statistical trends in all GLMMs (Jaeger et al., 2017). Two types of post hoc analyses were used: LS MEANS procedure for simple contrasts of phase and ESTIMATE procedure for comparison of phases relative to each other. *P*-values in post-hoc contrasts (difference of least square means) were adjusted for multiple testing with Tukey's procedure and t-values obtained by ESTIMATE assessment were adjusted for multiple analyses with Sidak's procedure.

Optimal sensitivity and power analyses in GLMM remain under investigation (e.g. Kain et al., 2015). We nevertheless computed an *a priori* sensitivity analysis to get an indication of the minimum detectable effect size in our main analyses given our sample size. According to G*Power 3 (version 3.1.9.4) (Faul et al., 2009) taking into account a power of .8, an error rate α of .05, a sample size of 101 allowed us to detect small effect sizes r > .29 (2-sided; absolute values; 95% confidence interval: .1 – .46; R² > .08, R² 95% confidence interval: .01 – .21) within a linear multiple regression framework including 5 covariates (effort, phase, age, sex, education).

360

361 Transparency and openness

We report how we determined effect sizes associated to the sample, all data exclusions, all manipulations, and all measures in the study, and we follow JARS (Kazak, 2018). All data, analysis code, and research materials are available upon request at the address email of the corresponding authors. Behavioral measures of interest were extracted using Matlab R2019 (Mathworks, Natick, MA) while EEG-TMS data were analysed using SPM12 (<u>https://www.fil.ion.ucl.ac.uk/spm/software/spm12/</u>). Statistical analyses were computed using S.A.S, version 9.4 (SAS Institute, Cary, NC, USA) and the package *proc glimmix*. This study's design and its analysis were not pre-registered.

369

370 **<u>RESULTS</u>**

371 Age-related dampening of the variation of effort during wake extension protocol

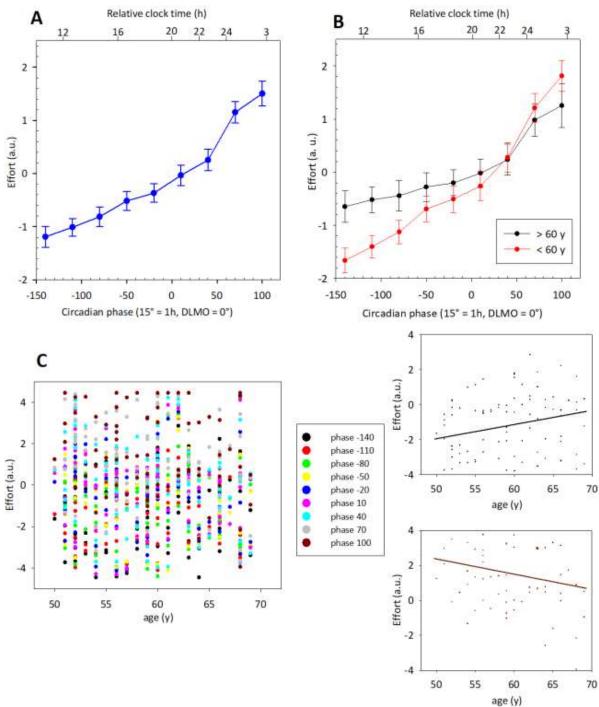
372 For all analyses, we expressed time with respect to internal circadian phase, taking the onset of

373 melatonin secretion as a gold standard mean to detect the anchor circadian phase 0° (Duffy and Dijk, 374 2002) (see methods). This procedure means that 15° represents 1h and that phase can be either 375 negative or positive, if an event of the protocol was occurring before or after circadian phase 0°, 376 respectively. Importantly, although, constant routine protocol unmask in part any circadian influence, 377 any changes we report arise from the dual influence of the increase in sleep need and of the circadian 378 system.

379 We first investigated the variation of effort during wakefulness extension. To address this 380 issue, we used effort values resampled according to tasks battery theoretical phases (-140°, -110°, -381 80°, -50°, -20°, 10°, 40°, 70° and 100°) (the same procedure was applied to all analyses, see methods). 382 A GLMM including age, sex and education as covariates revealed a main effect of circadian phase 383 (GLMM main effect of circadian phase: $F_{8, 723.4} = 13.25$, p < 0.0001, $R^2_{\theta^*} = 0.13$) (Figure 2A). Post-hoc 384 analyses revealed a global increase of effort from the beginning to the end of the protocol (effort: -140° > -50° to 100°, - 110° > -50° to 100°, -80° > -20° to 100°, -50° > 10° to 100°, -20° > 40° to 100°, 10° 385 386 > 40° to 100°, 40° > 70° to 100°, 70° > 100°; p < 0.05; corrected for multiple tests). Consecutive phases 387 were significantly different starting at 70° denoting a more abrupt change in effort during the biological 388 night.

389 Interestingly, while the same GLMM did not yield a significant main effect of age ($F_{1, 93.75}$ < 390 0.0001, p = 0.98), it revealed that effort variations with circadian phase changed with age, even in our 391 limited age range sample (circadian phase x age interaction; $F_{8,725} = 9.08$, p < 0.0001, $R^2_{\theta^*} = 0.09$). Post 392 hoc analyses, at p-value threshold uncorrected for multiple comparisons, yielded a significant positive 393 association between effort and age at phase -140° ($t_{143.1}$ = 2.18, p = 0.03) and a significant negative 394 correlation at phase 100° (t_{179} = -2.15, p = 0.03) (Figure 2C). Additional post hoc contrasts showed that 395 the relation between effort and age was significantly different from the beginning to the end of the 396 protocol (age x circadian phase interaction: $-140^{\circ} > 10^{\circ}$ to 100° , $-110^{\circ} > 40^{\circ}$ to 100° , $-80^{\circ} > 40^{\circ}$ to 100° ; p < 0.05; corrected for multiple tests), depicting reduced variations in effort in the older individuals of 397 398 our sample. To visualise this, we split the sample between the younger (<60y; N= 50) and older (\geq 60y;





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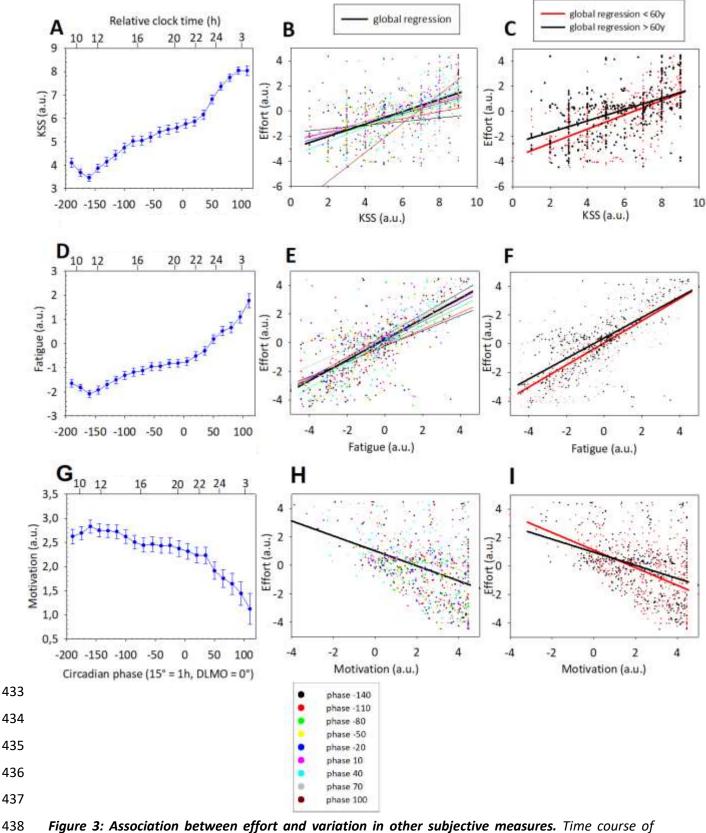
402 Figure 2: Variations of effort during the wake extension protocol and link with age. Effort time course 403 during 20h of prolonged wakefulness of the whole sample N=99 (**A**) and according to age groups (<60y 404 $or \ge 60y$) (**B**). Regressions display of the association between effort and age at phase -140° (top, black) 405 and 100° (bottom, marron) (**C**). Scatter plot of effort as a function of age over the different circadian 406 phases of the protocol (colour according to legend inset). Right insets show significant associations at

phase -140° (top) and 100° (bottom). Regressions lines are displayed for illustration purposes of the
significant associations yielded by the GLMM and do not substitute GLMM outputs. Effort is reported
relative to individual melatonin onset which was used as reference time point for internal circadian
phase (i.e. 0°; 15° = 1h) and effort assessment is expressed in arbitrary unit (a. u.).

411 Effort correlates with variations in other subjective measures

412 We then wanted to compare the time-course of effort with other subjective metrics. We focused on 413 subjective sleepiness, fatigue and motivation as they are most related to effort [exploratory results for 414 the other subjective dimensions can be found as supplementary information (Supplementary Figure 415 S1)] (Boksem and Tops, 2008; Hopstaken et al., 2015). All three subjective measures underwent 416 expected significant changes with circadian phase (GLMM main effect of circadian phase; sleepiness: $F_{20, 1876}$ = 133.07, p < 0.0001, $R^2_{\theta^*}$ = 0.59; fatigue: $F_{20, 1877}$ = 90.23, p < 0.0001, $R^2_{\theta^*}$ =0.49; motivation: $F_{20, 1877}$ 417 ₁₈₅₄ = 20.63, p < 0.0001, $R_{6^*}^2 = 0.18$) (Figure 3A, D, G). Further analyses revealed that effort was 418 419 significantly associated with all three measures (Table 2; Figure 3B, E, H) with effort positively 420 associated with sleepiness and fatigue and negatively associated with motivation. A significant 421 interaction between subjective metric and circadian phase was detected for sleepiness and fatigue but 422 not for motivation (Table 2; Figure 3B, E, H). The associations between sleepiness/fatigue and effort are present at almost each circadian phase (p <.05 corrected for multiple post hoc tests; except 423 sleepiness at phase -140°, p < 0.2 uncorrected, and fatigue at phase 10° and 40°, p >.2), but sleepiness 424 425 and fatigue were more related to effort with variable magnitude during prolonged wakefulness (many 426 post-hoc comparisons between phases are significantly different – not shown).

Importantly, beyond a potential main effect of age, effort was significantly associated with the interaction between subjective metric and age for all three metrics (**Table 2**). To gain insight in these interaction, we again split the sample between the younger (<60y; N= 50) and older (\geq 60y; N= 430 49) individuals (**Figure 3C, F, I**) of the sample and find that the link between effort and subjective metrics decrease in the older compared to the younger group (subjective metric x group; sleepiness: F_{1, 765.4}= 28.40, p <.0001; fatigue: F_{1, 799.8} = 19.34, p<.0001; motivation: F_{1, 807.7} = 11.43, p = .0008).



439 subjective metrics (left panels), relationships with effort in all individuals (middle panels), and in 440 younger (< 60y) and older (\geq 60y) individuals of our sample (right panels): sleepiness (**A-C**), fatigue (**D**-441 **F**) and motivation (**G-I**). Colours of the dots correspond to the circadian phases of data collection during

442 the 20h wake extension protocol as indicated in the inset legend. Regressions in middle panels display the associations between effort and cognitive metrics across all measurements, i.e. irrespective of 443 444 circadian phase (thick black line), when significant, and for each circadian phase (according to legend inset colour code), when significant at least for one specific phase. Regressions lines are displayed for 445 446 illustration purposes of the significant associations yielded by the GLMM and do not substitute GLMM 447 outputs. A significant interaction between subjective metric and circadian phase was also detected for 448 sleepiness and motivation but not for fatigue. All values are reported relative to individual melatonin 449 onset which was used as reference time point for internal circadian phase (i.e., 0°, 15° = 1h) and 450 subjective metrics, including effort, are expressed in arbitrary unit (a. u.). 451

	SM	SM x age	phase	SM x phase	age	sex	education
Sleepiness (N=99)	$F_{(1,804.7)}$ = 135.36 p<.0001 $R^2_{B^*}$ =.08	F _(1,792.2) = 35.39 p<.0001 R ² β*=.04	F _(8,731.9) = 14.61 p<.0001 R²β*=.025	F _(8,724.3) =7.87 p<.0001 R ² 6* =.08	$F_{(8,180.9)}=18.00$ p<.0001 $R^2_{\beta^*}=0.09$	F _(1,93.2) =.06 P=.81	F _(1,92.1) =1.61 P=.21
Fatigue (N=99)	$F_{(1,790.4)}$ = 65.08 p<.0001 $R^2_{\beta^*}$ =.14	$F_{(1,724.3)}$ = 46.06 p<.0001 R ² β *=.06	F _(8,765.4) = 2.3 p<.02 R ² β*=.14	F _(8,723.8) =0.96 <i>p</i> =.47	F _(1,92.2) =.01 P=.93	F _(1,90.7) =.07 P=.8	F _(1,90.5) =1.11 P=.3
Motivation (N=99)	$F_{(1,804.6)}$ = 14.81 p<.0001 $R^2_{\theta^*}$ =.02	$F_{(1,805.6)} = 7.44$ $P = .006$ $R^{2}\beta^{*} = .01$	$\begin{array}{l} F_{(8,721.6)} = 16.32 \\ p < .0001 \\ R^2 \beta^* = .15 \end{array}$	$F_{(8,718.4)}=2.19$ p<.03 $R^{2}_{\theta^{*}}=.02$	F _(1,134) =1.93 P=.17	F _(1,94.2) =.04 P=.85	F _(1,92.9) =.8 P=.37

452 Table 2. Associations between perceived effort and other subjective measures.

453 Outputs of GLMM using effort measure as dependent variable and SM as independent variable.

454 SM: subjective dimension (i.e. sleepiness, fatigue or motivation)

455

456 Effort correlates with some but not all cognitive performance metrics

457	For the PVT we focused on mean reaction time (mRT). Analyses showed that PVT mRT (N=99)
458	significantly varied throughout the wakefulness extension (GLMM main effect of circadian phase; $F_{8,}$
459	_{732.9} = 64.88, $p < 0.0001$, $R_{\theta^*}^2 = 0.42$) (Figure 4A). Post hoc analysis showed that performance worsens
460	during wakefulness extension protocol with biological night measures slower than those collected
461	during biological day (-140° < 10° to 100°, -110° < 40° to 100°, -80° < 40° to 100°, -50° < 40° to 100°, -
462	20° < 40° to 100°, 10° < 40° to 100°, 40° < 70°, 70° < 100°, <i>p</i> < 0.05; corrected for multiple tests). PVT
463	mRT showed a significant triple interaction between circadian phase, age and effort (circadian phase x
464	age x effort; mRT: $F_{8, 696.1}$ = 2.47, p = 0.012, $R^2_{6^*}$ =0.03) which we further decomposed in simple
465	interactions and main effects to get a full sense of it (Table 3). PVT mRT were significantly positively

466 associated with effort, i.e. more effort associated with slower RT, while the interaction between effort 467 and circadian phase was also significant (Figure 4B; Table 3). Post-hoc statistics revealed significant positive associations between effort and PVT mRT at phase 70° and phase 100° (70°: $t_{755.8}$ = 3.41, p = 468 0.0062; 100°: $t_{50.94}$ = 3.32, p = 0.0085) and differences between phases (effort x mRT: -140° < 100°, 469 p<.05 corrected; 140° < -80° and 10° to 100° , -110° < 70° to 100° , -20° < 70° to 100° , p < 0.05 470 471 uncorrected) (Figure 4B). These findings denote that more effort was associated with faster mRT, 472 particularly towards the beginning of the protocol. Statistical analyses for other PVT metrics (slow/fast 473 reaction times) can be found as supplementary information (Supplementary Figure S2) and lead to 474 similar outputs. Interestingly, PVT lapses (RT > 500ms) were not associated to effort (Table 3, Supplementary Figure S2). 475

476 Critically, while mRT were not significantly associated to age, the analysis yielded a significant 477 interaction between effort and age group (**Table 3**). When decomposing our sample into the younger 478 (<60y; N= 50) and older (\geq 60y; N= 49) individuals, we find that that more effort is associated with worse 479 performance (i.e. slower mRT) in the older compared the younger group (mRT x group; F_{1, 765.4}= 28.40, 480 p <0.0001) (**Figure 4C**).

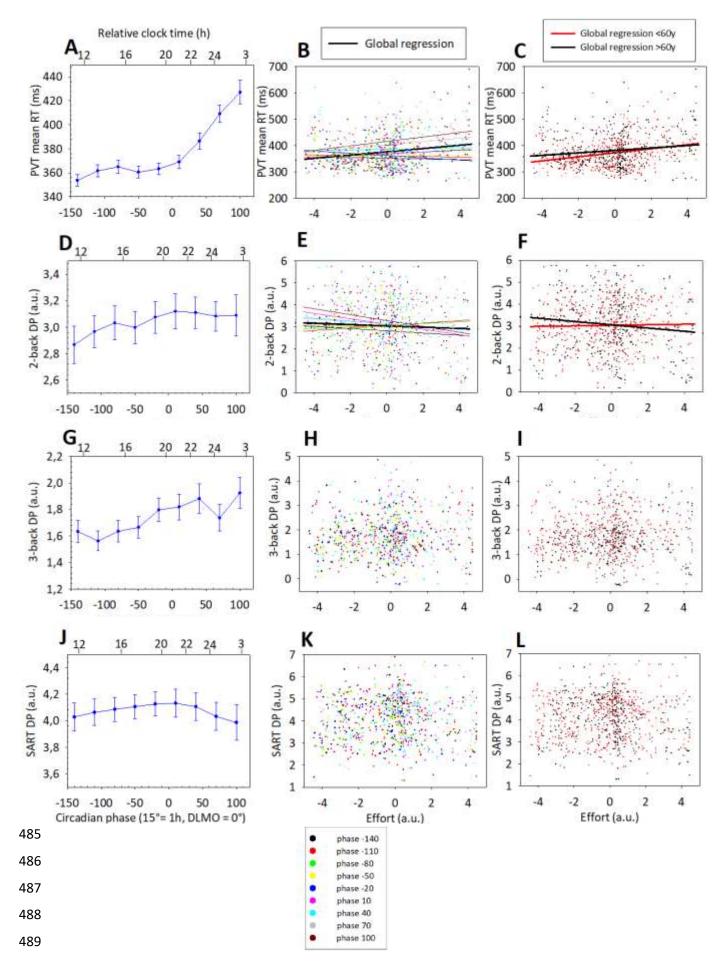
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482	Table 3. Associations between	perceived effort and cognitive performance metrics.
402	Tuble 5. Associations between	perceived enore and cognitive performance methos.

	Effort	Effort x age	phase	Effort x phase	age	Sex <mark>*</mark>	education
mean RT (PVT) (N=99)	F _(1,560.3) = 3.94 <i>P</i> =.047 R ² β*=.01	F _(1,495.5) = 5.69 P=.017 R²β*=.01	F _(8,714.5) =23.69 p<.0001 R²β*=.14	F _(8,702.7) =2.38 <i>P</i> =.015 <i>R</i> ² ₆ * =.03	F _(1,92.1) =2.39 P=.13	F _(1,92) = 8.59 P=.004 <i>R²_{6*}</i> =.08	F _(1,91.9) =.28 P=.6
d' (2- back) (N=99)	$F_{(1,639.1)} = 7.07$ P = .006 $R^2_{\theta^*} = .01$	F(_{1,594.9)} = 8.22 P=.004 R ² β*=.01	F _(8,693.8) = 1.39 p<.2	F _(8,683.3) =3.10 <i>p</i> =.002 R ² β*=.04	F _(1,87.6) =.12 P=.73	F _(1,87.7) <.001 P=.95	F _(1,87.7) =11.79 P=.0009 R²β*=.12
d' (3- back) (N=99)	F _(1,647.2) = .63 <i>P</i> =.43	F _(1,641.6) = .46 P=.49	F _(7,613.6) = 1.00 P=.44	F _(7,603.6) =1.95 <i>P</i> =.06	$F_{(1,88.6)}=3.97$ P=.05 R ² β *=.04	F _(1,88.1) =1.26 P=.27	F _(1,87.4) =1.31 P=.25
d' (SART) (N=99)	F _(1,794.3) = .34 <i>P</i> =.56	F _(1,777.5) = .33 P=.57	F _(8,737.3) = .19 P=.99	F _(8,736.6) =1.00 <i>P</i> =.43	F _(1,93.5) =.73 P=.39	F _(1,93.2) =4.73 P=.03 <i>R</i> ² _{6*} =.05	F _(1,93.4) =8.22 P=.005 <i>R²_{6*}</i> =.09

483 Performance was set as the dependent variable and effort as independent variable. * When

484 significant, main effect of sex correspond to women having better performance than men.



490 Figure 4: Association between effort and cognitive performance during the wake extension protocol. 491 Time course of cognitive metrics (left panels) and their relationship with effort according to circadian 492 phase (middle panels) and according to age groups (<60y or \geq 60y; right panels). PVT mean reaction 493 time –mRT- (A-C), 2-back d' (D-F), 3-back d' (G-I), and SART d' (J-L). Regression in middle panels display 494 the associations between effort and cognitive metrics across all measurements, i.e. irrespective of 495 circadian phase (thick black line), when significant, and for each circadian phase (according to legend 496 inset colour code), when significant at least for one specific phase. Regressions lines are displayed for 497 illustration purposes of the significant associations yielded by the GLMM and do not substitute GLMM 498 outputs. All values are reported relative to individual melatonin onset, which was used as reference 499 time point for internal circadian phase (i.e., 0°, 15° = 1h). Due to insufficient valid data point, circadian 500 100° for d' of 3 back task was not included in the statistical analyses reported in the main text

501

502 Performance to the 2-back task, as indexed by d' values, did not vary significantly during 503 protocol (N=99; GLMM main effect of circadian phase; $F_{8, 686.5} = 1.74$, p = 0.09) (Figure 4D). d' was both 504 significantly related to effort as a main effect and in interaction with circadian phase (Figure 4E, Table 505 **3**). Post hoc analyses demonstrated that effort was significantly negatively associated with 2-back d'506 at all phases (-140° to -20° & 70°, p <.05, corrected for multiple post hoc tests; 10°, 40°, 100° p<.05 507 uncorrected) and the association at phase 100° was significantly different from the beginning of the 508 protocol (d': 100° > -140° to -50° and 70°, p < 0.05, corrected for multiple tests) (Figure 4E insets). This 509 finding indicates that, except during the last session of the protocol, greater effort was associated with 510 better performance to the 2-back task. As for the PVT metric, 2-back d' was not significantly associated 511 to age as a main effect, but significantly varied in association with the interaction between effort and 512 age (Table 3). When decomposing our sample into younger (<60y; N= 50) and older (\geq 60y; N= 49) individuals, we observe that more effort is associated with worse performance (i.e. lower d') in the 513 514 older group and with better performance (i.e. higher d') in the younger group without reaching 515 statistical significance (d' x group interaction; $F_{1, 698.1}$ = 2.35, p =0.12) (Figure 4F).

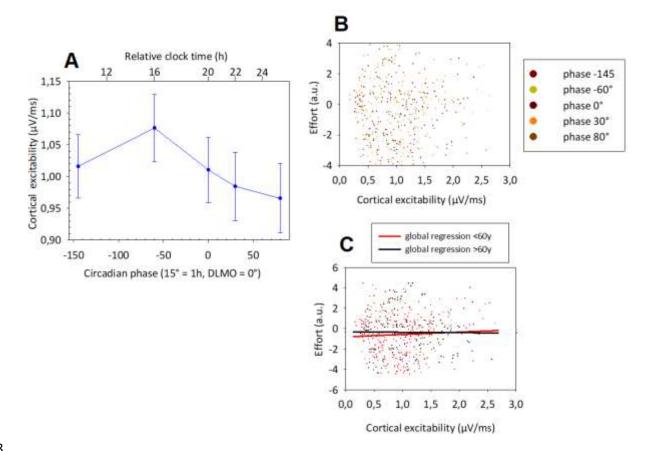
516 When considering performance to the 3-back task (excluding the last circadian phase of the 517 protocol, see methods) we find that d' did not significantly change during the protocol (N=99; GLMM 518 main effect of circadian phase; $F_{7,617.4}$ =4.38, p = .63) (**Figure 4G**). 3-back d' performance was however

not associated with effort, both as a main effect of effort or in interaction with circadian phase or age (**Table 3**; **Figure 4H**, **I**). Similarly, performance on the SART, also indexed through *d'*, did not significantly vary throughout the wake extension protocol (N = 99; GLMM main effect of circadian phase; $F_{8,739.6}$ = .55, *p* = 0.82) (**Figure 4J**). SART performance was however not associated with effort both as a main effect of effort or in interaction with circadian phase or age (**Table 3**, **Figure 4K**, **L**).

524

525 No significant associations between effort and cortical excitability

526 Our final analyses focused on cortical excitability, as indexed by the slope of the first 527 component of the early EEG response to a TMS pulse, as a potential correlate of effort. As previously 528 reported based on part of the current sample (Van Egroo et al., 2019), cortical excitability significantly 529 changed during the protocol (GLMM main effect of circadian phase; $F_{4, 372.1} = 6.29$, p < 0.0001, $R^2_{\theta^*} =$ 530 0.06) (Figure 5A). Post-hoc analyses revealed a cortical excitability decrease between the second and 531 the fourth and last fifth sessions (slope: $-60^{\circ} > 30^{\circ}$, $-60^{\circ} > 80^{\circ}$, p < 0.05, corrected for multiple tests). 532 We then sought for associations between effort and cortical excitability. No association was detected 533 with cortical excitability (GLMM main effect of cortical excitability; $F_{1,391,2} = 0.04$, p = 0.84) neither with 534 the interaction between cortical excitability and phase (GLMM cortical excitability x phase; $F_{4, 362.5}$ = 535 0.62, *p* = 0.65) nor with the interaction between cortical excitability and age (GLMM cortical excitability 536 x age; F_{4, 391.8} = 0.03, *p* = 0.85) (**Figure 5B, C**).



538

539 Figure 5: Association between effort and variations in cortical excitability dynamic. Time course of 540 cortical excitability (A) and its associations with effort (B) and according to age groups (C) during wake 541 extension protocol. Colours of the dots correspond to the circadian phases of data collection during the 542 20h wake extension protocol as indicated in the inset legend. Regressions lines are displayed for 543 illustration purposes each age group and do not substitute GLMM outputs. All values are reported 544 relative to individual melatonin onset, which was used as reference time point for internal circadian 545 phase (i.e., 0°, 15° = 1h). All values are reported relative to individual melatonin onset (DLMO = 0°; 15° 546 = 1h).

547

548 **DISCUSSION**

In this study, we first aimed to characterize variations of cognitive effort during 20h of wakefulness extension in a sample of 99 healthy late middle-aged individuals aged 50 to 69 y. Prior reports found an increase in effort with time awake (Odle-Dusseau et al., 2010; Pilcher and Walters, 1997) while

others did not find significant changes (Drummond et al., 2005b). Our results are in line with the 552 former, as we observe a significant increase in effort, potentially sharper during the biological night. 553 554 Interestingly, this increase was reduced in the older participants of our sample. Effort increase was 555 also directly correlated with other subjective metrics such as sleepiness and fatigue while it was 556 opposite to motivation, which decreased with time awake in our study sample. Hence, similar to other 557 subjective feelings (Odle-Dusseau et al., 2010), effort is sensitive to wakefulness extension in 558 individuals aged 50 to 70y, particularly for individuals aged < 60y. We further show that effort increase 559 with time awake is significantly associated with decreased performance to a PVT task and a 2-back 560 task, particularly during the biological night for the latter, while no significant links were detected when 561 considering the 3-back task and SART. Importantly, we observe that the link between PVT and 2-back 562 performance vary according to age, with more effort associated with worse performance in the older 563 vs. younger individuals of our sample. Finally, in an exploratory analysis, we find no significant 564 association between effort and cortical excitability.

565 The sharpness of the increase in effort may appear surprising given the moderate challenge 566 that 20h wakefulness extension represents. Together with the relatively large size of our sample, the 567 fact that we conducted our study under strictly controlled constant routine condition may have 568 unmasked effort variations that could be otherwise hindered by physical activity, posture changes or 569 ambient light (Duffy and Dijk, 2002). This type of protocol is meant to unmask the influence of the 570 circadian system on physiology and behaviour so that circadian changes become more prominent. Any 571 changes in the measures of interest remains, however, the reflection of the dual influence of the build-572 up of the need for sleep and of the influence of the circadian system. Interestingly, we observe a 573 reduction in effort fluctuation with increasing age despite the limited age range of our sample. 574 Qualitative inspection of the data indicates that effort may be higher at the beginning of the protocol 575 as one gets older while its rise is shallower as wakefulness is extended. This pattern is in line firstly 576 with higher cognitive effort in elderly under normal well-rested conditions to support optimal level of 577 performance compared to younger (Hess and Ennis, 2012). In addition, the reduced effort rise during

wakefulness extension is compatible with the previously reported decreases in homeostasic build-up of sleep need and circadian signal variation over the sleep-wake cycle (Landolt et al., 2012; Schmidt et al., 2012). In other words, because older people are less sensitive to the adverse effect of sleep loss, they are likely to exert less effort in an attempt to maintain performance during sleep deprivation. Alternatively, the lower effort could be explained by a potential ceiling effect for further effort enhancement (for example, due to lower brain reserve; (Cabeza et al., 2018). Further studies are needed to test these two interpretations.

585 Effort, as well as the other subjective dimensions we assessed, is among the first signs of the 586 detrimental effects of wakefulness extension as it decreased early during the protocol. Therefore the 587 increase in the perceived amount of effort required to perform a certain task would be an alarm signal of the beginning of the effect of sleep deprivation with the impending arrival of performance decline 588 589 (Odle-Dusseau et al., 2010). We find a direct link between effort and performance to the PVT, which is 590 the only task showing a global decrease in performance during our moderate wake extension 591 challenge, in agreement with a greater impact of sleep loss on attentional processes (Drummond et 592 al., 2005a; Lo et al., 2012). Also in line with a reduced impact of sleep need on executive tasks (Lo et 593 al., 2012), performance to the 2-back task remains stable during the protocol. Yet, it was also 594 associated with effort. It is only for the SART and 3-back task which did not show performance decline 595 during the protocol, that no significant link with effort was detected. Performance to the 3-back was, 596 as expected (De Beni and Palladino, 2004; Gaggioni et al., 2019), much poorer than for the 2-back, and 597 that 3-back performance increased with time likely because of a learning bias in those that could 598 overcome the initial difficulty of the task. The low performance at the 3-back in a substantial portion 599 of the sample prevented assessing the link between effort and the interaction between circadian phase 600 and 3-back performance. The absence of performance decrement for the 3-back task during the night 601 could be related to the reduced acute impact of lack of sleep during a night of sleep deprivation for 602 more demanding task (Lo et al., 2012). For the SART, we can only speculate that even though 603 performance has been reported to suffer more from sleep-loss/circadian misalignment than the 2back task (Sagaspe et al., 2012), the protocol may not be challenging enough to trigger variation in the task. The metric we used for quantification of performance to the SART (*d'*) may also not be as sensitive as the reaction times we used for the PVT. In the framework of theories on management of cognitive fatigue (Hockey, 1997, 2011, 2013), the absence of links between effort and performance at the 3-back and SART tasks may also results from a voluntary decision to withdraw effort and try to maintain performance by exploiting low-cost processes, as the motivation may decrease according to the strain that one experience.

611 Contrary to our hypothesis, we found that more effort is associated with poorer performance 612 to the PVT and 2-back task, at least when instructions are to perform as well as possible in all cases 613 and without particular reward. Our finding could support, as previously described for motivation 614 (Dinges and Kribbs, 1991), that, although it may help to maintain in part performance (Engle-Friedman, 615 2014; Massar et al., 2019b; Sanders, 1983; Wilkinson, 1961), more effort expended to perform 616 cognitive task is not sufficient to overcome the performance decline caused by the underlying 617 physiological changes brought by high need for sleep (Pilcher and Walters, 1997). Alternatively, based 618 on our results, one could posit that poorer reduced attentional capacity during sleep loss leads to lower 619 cognitive performance and more effort as wakefulness is extended without direct causal link between 620 effort and performance. Given the high correlation between sleepiness, fatigue and motivation, other 621 subjective dimensions were associated to cognitive performance during prolonged wakefulness so that 622 we are not in a position to isolate the specific contribution of effort to performance. Reward 623 motivation was for instance reported to partially alleviate sleep deprivation related performance 624 decline, particularly during the biological night. Interestingly, we also observe that the link between 625 effort and cognitive performance at PVT (mRTs) and 2-back working memory task changes in our older 626 participants (60-69 y), with more effort associated with worse performance change than in younger 627 individuals (50-59y). As increasing age is also associated with a reduction in effort fluctuation during 628 the wakefulness extension period, it could be proposed that advancing age leaves little opportunity to 629 intentionally recruit additional resources when facing cognitive challenge. Whether the lack of resources has a biological (e.g blood glucose depletion (Gailliot et al., 2007)), cognitive (e.g, control processes (Shenhav et al., 2017)) or motivational (e.g., a cost-benefit analysis (Anderson, 1990)) origin remains to be determined. Few evidence supports that inefficient effort management is related to a risk of cognitive decline (see however Oren et al., 2019). One could consider that reduction in effort variation and more effort associated with worst performance in our older participants provides support to this assumption. This hypothesis remains to be tested in longitudinal studies of population at risk for Alzheimer's disease.

637 In a final step we explored potential brain bases of effort variations during wakefulness 638 extension. We considered cortical excitability which consists in the reactivity of cortical neurons to a 639 stimulation. It is in direct link with membrane potential and action potential threshold and drives 640 neuronal response selectivity. We previously showed that it was jointly influenced by sleep 641 homeostasis and the circadian signal in healthy young adults so that it showed non-linear variations 642 during wakefulness extension (Ly et al., 2016). These variations were reduced in individual aged > 50 y 643 with associations with performance to executive tasks, namely 2-back, 3-back and SART (Gaggioni et 644 al., 2019). As previously reported in a subset of the present sample (Van Egroo et al., 2019), we found 645 an overall decrease of cortical excitability from the evening to the end of the protocol. Here, we report 646 no association between effort variations and cortical excitability. The latter may be more strongly 647 related to executive functions, undergoing limited changes in aging during wakefulness extension, 648 rather than to attention and subjective dimensions such as effort. Another possibility may be the 649 choice of the target location for TMS stimulation. We selected the superior frontal gyrus due to its 650 sensibility to changes in sleep pressure and circadian phase (Huber et al., 2013; Ly et al., 2016). 651 However, brain areas the most frequently associated to effort signal are medial prefrontal regions and 652 anterior cingulate cortex (Chong et al., 2017; Holroyd and Yeung, 2012; Massar et al., 2019a; Shenhav 653 et al., 2017; Verguts et al., 2015). More studies focusing on cortical excitability and other aspects of 654 brain function are needed to establish the brain bases of effort variations as one remains awake during 655 the day and beyond habitual sleep time.

Finally, higher education was associated to better performance on accuracy measures (*d'*) at the 2-back and SART tasks. These results are in the continuity of previous studies discussing education as the main protective factor against dementia (Stern et al., 2020). We also observed an effect of sex on PVT and SART, with a better performance in woman. Previous studies reported an advantage in women, particularly for verbal tasks (for reviews, see Deckers et al., 2019; Lee et al., 2022). Proposed mechanisms to explain sex effect might involve hormonal differences, genetic factors, differences in brain networks, socioeconomic roles, and health choices.

663

664 <u>CONCLUSION</u>

665 We report that effort is remarkably sensitive to wakefulness extension in late middle-aged adults (50 666 to 70y), as previously described for younger individuals and for other subjective dimensions such as 667 sleepiness, fatigue and motivation (Odle-Dusseau et al., 2010; Pilcher and Walters, 1997). In addition, 668 effort variations dampen as one gets older in line with the global decrease in the sleep-wake regulation 669 signals with age and the acute reduction of performance decline during sleep loss. Effort increment 670 with time awake appears to be insufficient to overcome the marked cognitive performance decline 671 brought by high sleep need, and the association between effort and cognitive performance changes in 672 our older participants. This study suggests that association between subjective perception of effort 673 and cognitive performance in a challenging condition is sensitive to age. One perspective for future 674 studies should be to assess effort variation during total sleep deprivation (or other challenging 675 conditions) in population at risk for Alzheimer's disease and to assess whether it could be useful as an 676 easy first assessment tool for the prodromal and pre-clinical diagnosis of the disease.

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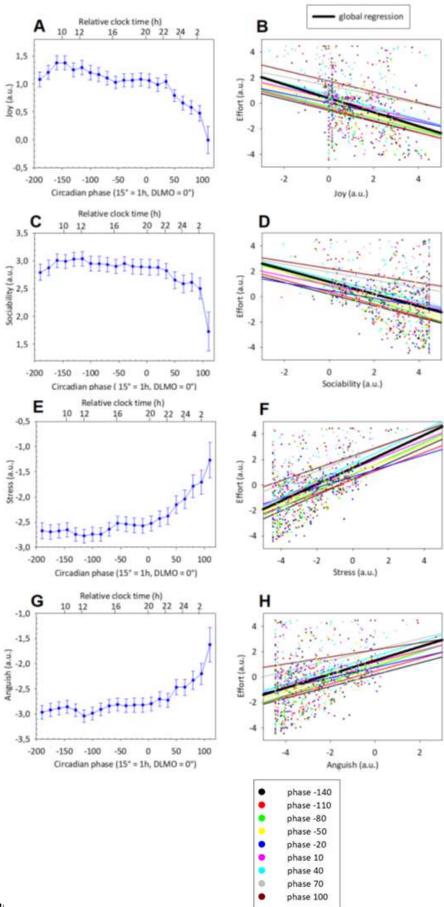
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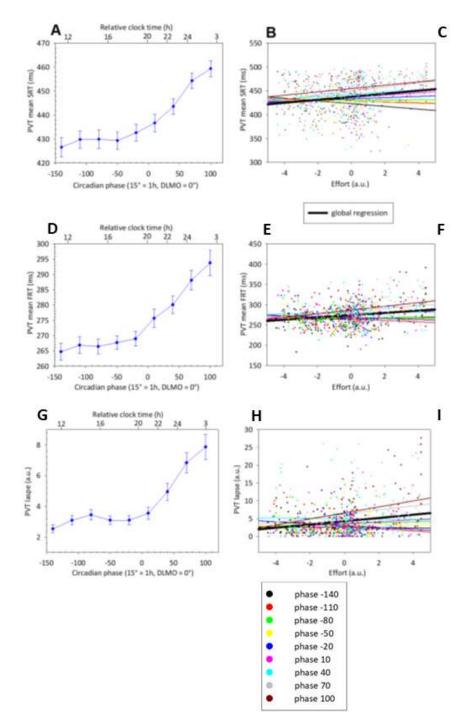
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892 SUPPLMENTARY FIGURES



8'_ _

894 Supplementary Figure S1: Association between effort and variation in additional subjective 895 measures. Time course of subjective metrics (left panels), relationships with effort in all individuals 896 (middle panels), and in younger (< 60y) and older (\geq 60y) individuals of our sample (right panels): joy 897 (A-C), sociability (D-F) stress (G-I) and anguish (J-L). Colours of the dots correspond to the circadian 898 phases of data collection during the 20h wake extension protocol as indicated in the inset legend. 899 Regression in middle panels display the associations between effort and cognitive metrics across all 900 measurements, i.e. irrespective of circadian phase (thick black line), when significant, and for each 901 circadian phase (according to legend inset colour code), when significant at least for one specific phase. 902 Regressions lines are displayed for illustration purposes of the significant associations yielded by the 903 GLMM and do not substitute GLMM outputs. Refer to Table S1 for output of GLMMs. All values are 904 reported relative to individual melatonin onset which was used as reference time point for internal 905 circadian phase (i.e., 0°, 15° = 1h) and subjective metrics, including effort, are expressed in arbitrary 906 unit (a. u.).





908

909 Supplementary figure S2: Association between effort and additional PVT performance measures 910 during the wake extension protocol. Time course of cognitive metrics (left panels) and their 911 relationship with effort according to circadian phase (middle panels) and according age groups (<60y or \geq 60y; right panels). PVT mean slower reaction time –SRT- (**A-C**), PVT mean faster reaction time – 912 913 FRT- (D-F), PVT lapse (G-I). Regression in middle panels display the associations between effort and cognitive metrics across all measurements, i.e. irrespective of circadian phase (thick black line), when 914 significant, and for each circadian phase (according to legend inset colour code), when significant at 915 916 least for one specific phase. Regressions lines are displayed for illustration purposes of the significant

- 917 associations yielded by the GLMM and do not substitute GLMM outputs. Refer to Table S2 for output
- 918 of GLMMs. All values are reported relative to individual melatonin onset, which was used as reference
- 919 time point for internal circadian phase (i.e., 0°, 15° = 1h). Due to insufficient valid data point, circadian
- 920 100° for d-prime of 3 back task was not included in the statistical analyses reported in the main text
- 921

923 SUPPLMENTARY TABLES

924

925 Supplementary Table S1. Associations between perceived effort and additional subjective measures.

	SM	SM x age	phase	SM x phase	age	sex	education
Joy	F _(1,815.6) = 22.33	F _(1,815.8) = 15.36	F _(8,725.8) = 47.66	F _(8,724.4) =.36	F _(1,109) =1.26	F _(1,93.8) =.65	F _(1,95.7) =.7
(N=99)	<i>p</i> <.0001	p<.0001	p<.0001	<i>P</i> =.94	P=.26	P=.42	P=.15
Sociability	F _(1,793.1) = 6.68	F _(1,791.2) = 3.75	F _(8,713.5) = 9.08	F _(8,712.4) =3.41	F _(1,206) =1.76	F _(1,92.9) =.07	F _(1,92) =1.41
(N=99)	<i>P</i> =.009	P=.05	p<.001	<i>p</i> =.0007	P=.19	P=.8	P=.24
Stress	F _(1,710) = 5.87	F _(1,692.1) = 2.28	F _(8,717.7) = 19.77	F _(8,715.5) =2.17	F _(1,151.1) =1.05	F _(1,89.9) =.08	F _(1,89.2) =2.84
(N=99)	<i>P</i> =.015	P=.13	p<.0001	<i>P</i> =.03	P=.31	P=.78	P=.09
Anguish	F _(1,788.2) = 2.23	F _(1,778.9) = .68	F _(8,722.2) = 14.11	F _(8,720.6) =1.75	F _(1,190.3) =.32	F _(1,92.6) =.1	F _(1,91.8) =2.49
(N=99)	P=.13	P=.41	p<.0001	<i>P</i> =.08	P=.57	P=.75	P=.12

926 Outputs of GLMM using effort measure as dependent variable and SM as independent variable.
927 SM: subjective dimension (i.e. sleepiness, fatigue or motivation). Complementary to Figure S1.
928

929

930 Supplementary Table S2. Associations between perceived effort and additional PVT performance

931 metrics.

	Effort	Effort x age	phase	Effort x phase	age	sex	education
mean	F _(1,809.9) = .83	F _(1,717.2) = .00	F _(8,724.5) =22.64	F _(8,739) =1.8	F _(1,92) =.24	F _(1,92.2) = 10.09	F _(1,92) =.24
slow RT	<i>P=</i> .36	P= 1	p<.0001	<i>P</i> =.073	P=.62	P=.002	P=.62
(PVT)							
(N=99)	5 0.04				<u> </u>		
mean	$F_{(1,396.6)} = 3.94$	$F_{(1,327.6)} = 2.72$	F _(8,710.6) =14.04	F _(8,674.7) =.96	F _(1,92,2) =1.82	$F_{(1,92,3)} = 7.14$	F _(1,92.2) =.7
fast RT	<i>P=</i> .17	P=.10	p<.0001	P=.47	P=.18	P=.008	P=.4
(PVT) (N=99)							
Lapses	$F_{(1,670.3)} = .39$	$F(_{1,665.5)} = 0.00$	F _(8,678.4) = 11.89	F _(8,699.6) =1.79	F _(1,90.8) =1.15	F _(1,91,3) =9.3	F _(1,91.6) =1.17
(PVT)	P=.53	P=1	p<.0001	P=.07	P=.29	P=.003	P=.28
(N=99)	155	1-1	p <	107	125	1005	120
932	Performance w	as set as the den	endent variable a	and effort as indep	endent variahl	e Complementa	CV.
933	to Figure S2.	as set as the dep				e. complementa	y
934	to right 52.						
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