

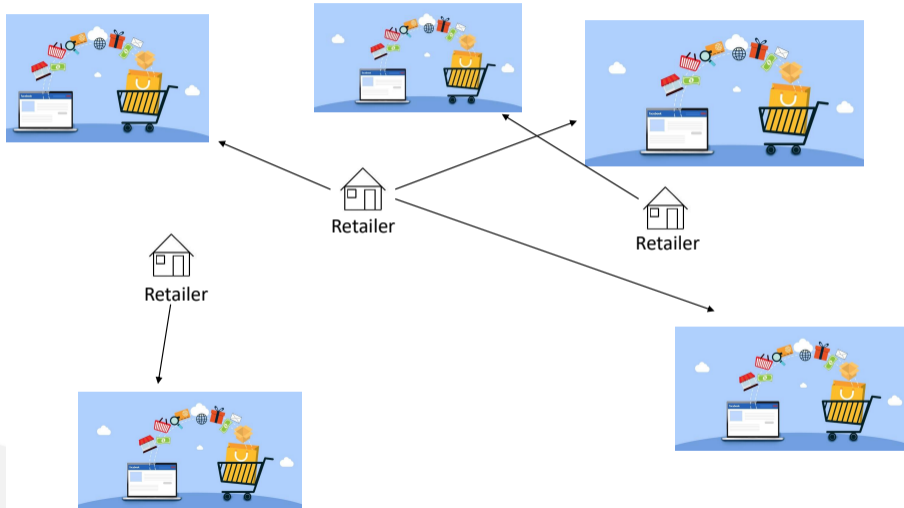
A mathematical formulation for a Capacitated Vehicle Routing Problem with pickups, Time Windows and 3D packing constraints

- 1 Introduction
- 2 Problem definition
- 3 Mathematical formulation
- 4 Experimental analysis
 - Instances
 - Computational results
- 5 Constructive matheuristic: Insert-and-Fix
- 6 Conclusion and future work

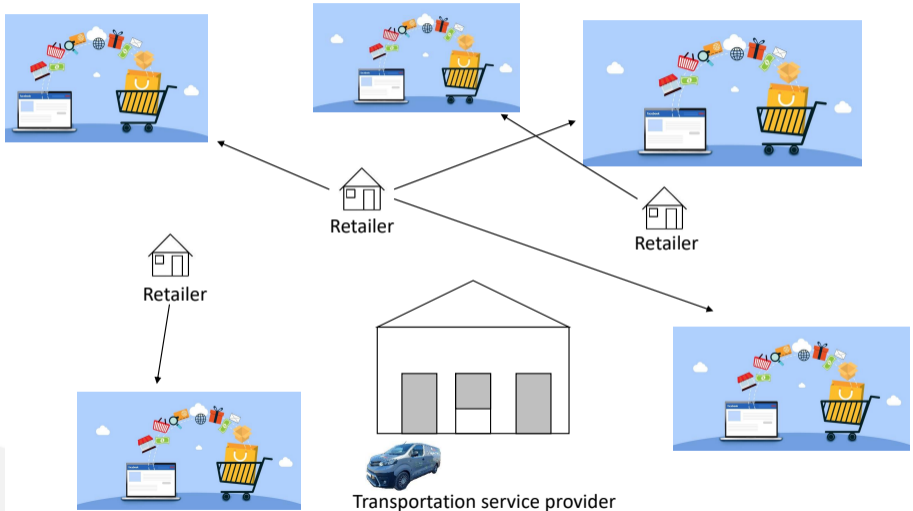
- 1 Introduction
- 2 Problem definition
- 3 Mathematical formulation
- 4 Experimental analysis
 - Instances
 - Computational results
- 5 Constructive matheuristic: Insert-and-Fix
- 6 Conclusion and future work



Introduction

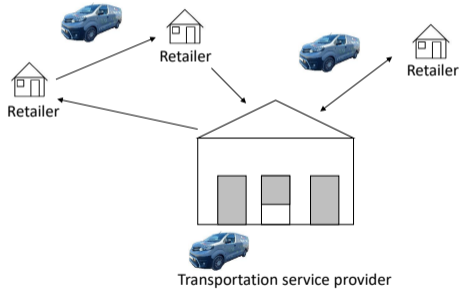


Introduction

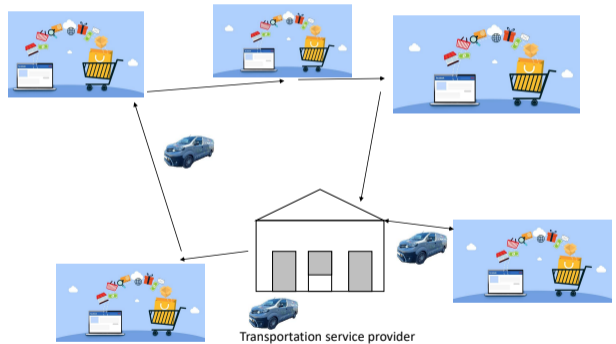


Introduction

Day 1: Pickup



Day 2: Delivery



- 1 Introduction
- 2 Problem definition**
- 3 Mathematical formulation
- 4 Experimental analysis
 - Instances
 - Computational results
- 5 Constructive matheuristic: Insert-and-Fix
- 6 Conclusion and future work

8 Belgian transportation service providers:

- unknow dimensions

8 Belgian transportation service providers:

- unknow dimensions
- rectangular boxes

8 Belgian transportation service providers:

- unknow dimensions
- rectangular boxes
- large time windows

Problem definition: practical survey

8 Belgian transportation service providers:

- unknow dimensions
- rectangular boxes
- large time windows
- split pickup

8 Belgian transportation service providers:

- unknow dimensions
- rectangular boxes
- large time windows
- split pickup
- outsourcing (administrative burden)

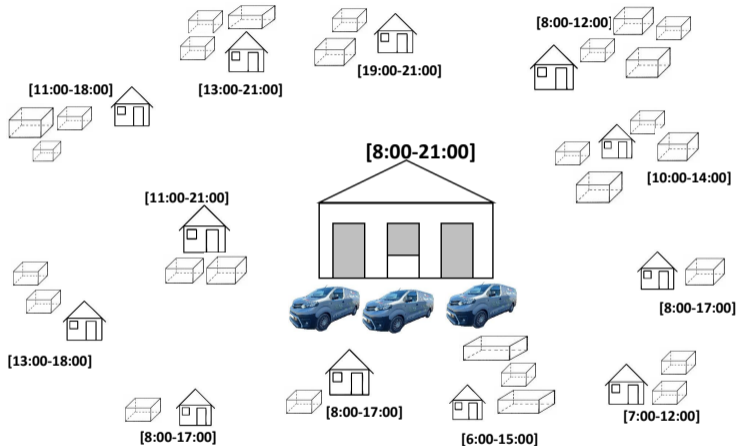
8 Belgian transportation service providers:

- unknow dimensions
- rectangular boxes
- large time windows
- split pickup
- outsourcing (administrative burden)

3L-CVRPTW with pickup operations, split pickups and possible outsourcing of some customers' requests

The problem is \mathcal{NP} -hard since it combines two \mathcal{NP} -hard problems: the Capacitated Vehicle Routing Problem and the 3D Loading Problem.

Problem definition: objective



Objective function: minimise total cost while responding to all requests

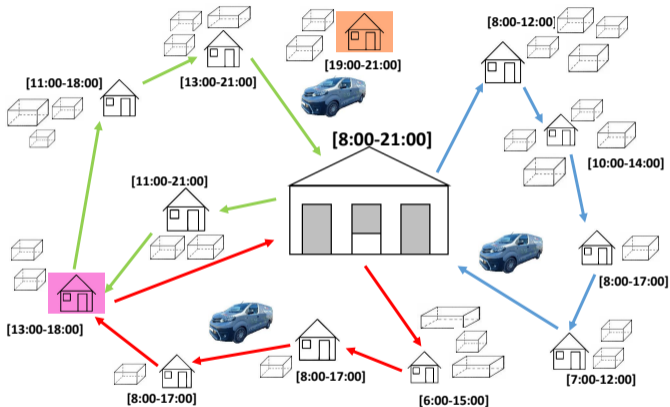
Problem definition: routing constraints

Routing constraints:

- Each route starts and ends at the depot
- Each vehicle may leave the depot at most once

Time constraints:

- Duration to complete a route does not exceed the maximum driver working duration
- Pickup operations must occur within the customer's time windows

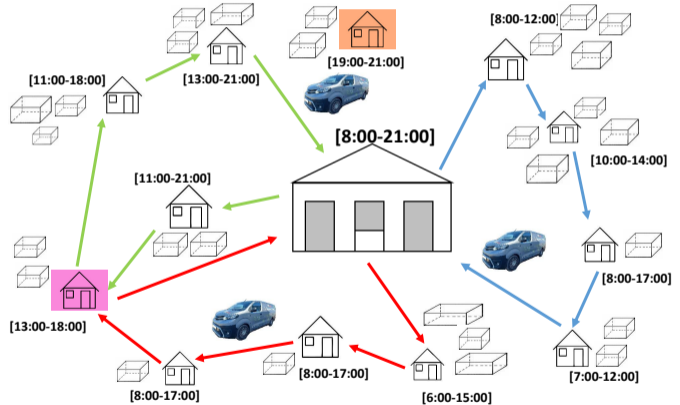


Problem definition: routing constraints

Customer satisfaction: Every customer should have his boxes transported either by a vehicle of the SP or by a subcontractor.

Split pickup is allowed

Outsourced customer → all his boxes must be loaded by the subcontractor; penalty costs per outsourced customer



Problem definition: loading constraints (Bortfeldt and Wäscher (2013))

AT EACH CUSTOMER LOCATION

Weight capacity constraint



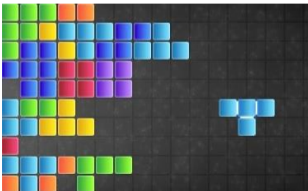
Geometric constraints



Horizontal 90°-rotation constraints
Fragility constraints



Multi-load constraints



Stability constraints $\begin{cases} \text{Vertical} \\ \text{Horizontal/dynamic} \end{cases}$



Problem definition: stability constraints

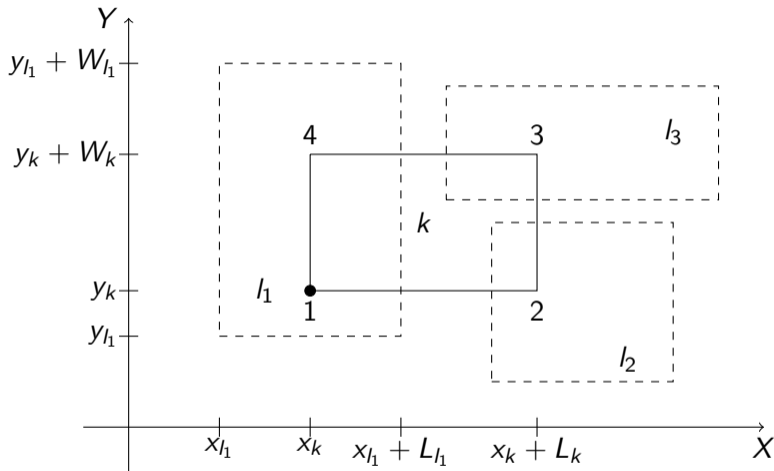


Figure: Example of four corners of a box k supported by boxes l_1 , l_2 and l_3 (dashed lines)

Problem definition: stability constraints

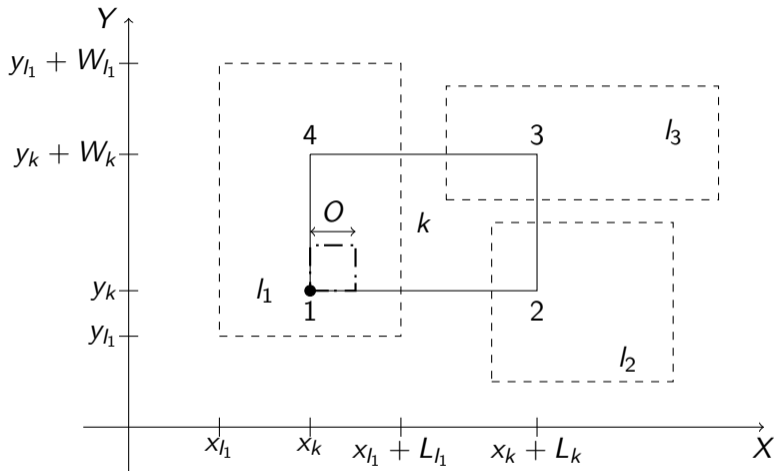


Figure: Example of four corners of a box k supported by boxes l_1 , l_2 and l_3 (dashed lines)

Problem definition: summary

Minimise the transportation and outsourcing costs subject to:

- customer satisfaction
- routing constraints
- time constraints
- loading constraints
 - weight capacity constraint
 - geometric constraints
 - vertical stability
 - horizontal 90°-rotation constraints
 - fragility constraints
 - multi-load constraints



- 1 Introduction
- 2 Problem definition
- 3 Mathematical formulation**
- 4 Experimental analysis
 - Instances
 - Computational results
- 5 Constructive matheuristic: Insert-and-Fix
- 6 Conclusion and future work

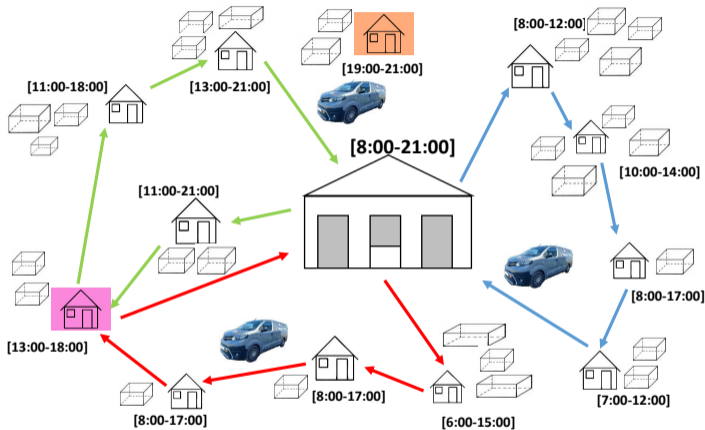
- Set of vehicles:
 $f \in \{1, \dots, F\}$

- Set of vehicles:
 $f \in \{1, \dots, F\}$
- Depot: $i = 0$

- Set of vehicles:
 $f \in \{1, \dots, F\}$
- Depot: $i = 0$
- Set of customers:
 $i \in \{1, \dots, N\}$

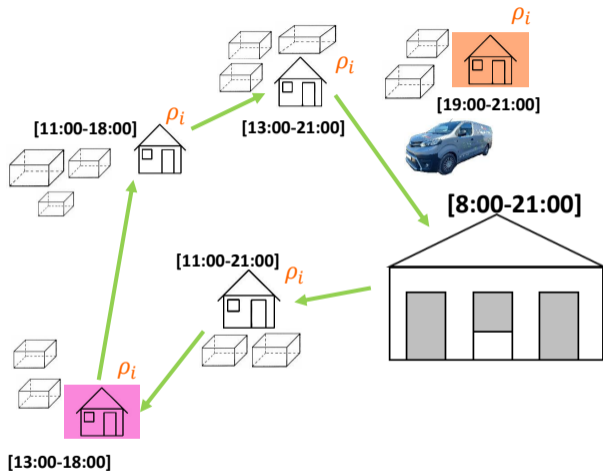
- Set of vehicles:
 $f \in \{1, \dots, F\}$
- Depot: $i = 0$
- Set of customers:
 $i \in \{1, \dots, N\}$
- Set of boxes per customer i :
 $k_i \in \{1, \dots, |\mathcal{I}_i|\}$

Mathematical formulation: Main decisions



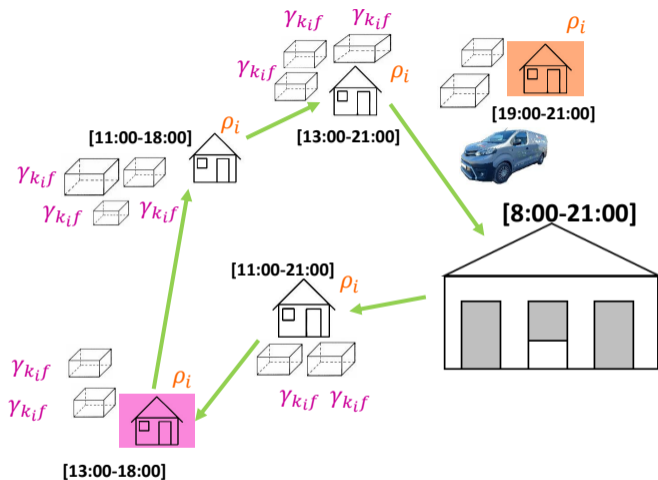
- Set of vehicles:
 $f \in \{1, \dots, F\}$
- Depot: $i = 0$
- Set of customers:
 $i \in \{1, \dots, N\}$
- Set of boxes per customer i :
 $k_i \in \{1, \dots, |\mathcal{I}_i|\}$

Mathematical formulation: Main decisions



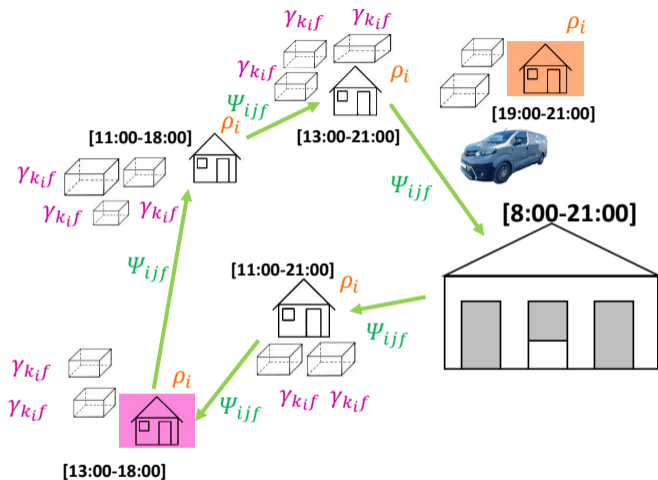
- Set of vehicles:
 $f \in \{1, \dots, F\}$
- Depot: $i = 0$
- Set of customers:
 $i \in \{1, \dots, N\}$
- Set of boxes per customer i :
 $k_i \in \{1, \dots, |\mathcal{I}_i|\}$

Mathematical formulation: Main decisions



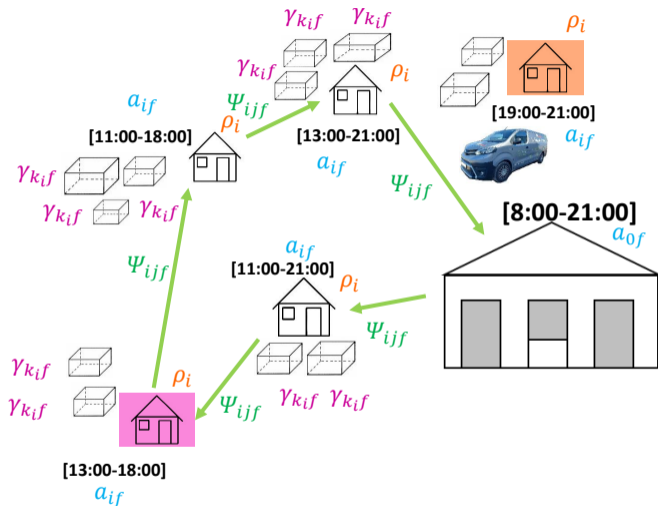
- Set of vehicles:
 $f \in \{1, \dots, F\}$
- Depot: $i = 0$
- Set of customers:
 $i \in \{1, \dots, N\}$
- Set of boxes per customer i :
 $k_i \in \{1, \dots, |\mathcal{I}_i|\}$

Mathematical formulation: Main decisions



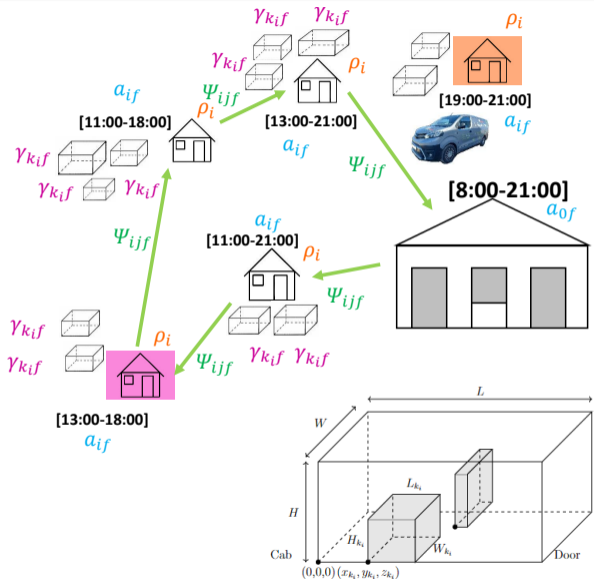
- Set of vehicles:
 $f \in \{1, \dots, F\}$
- Depot: $i = 0$
- Set of customers:
 $i \in \{1, \dots, N\}$
- Set of boxes per customer i :
 $k_i \in \{1, \dots, |\mathcal{I}_i|\}$

Mathematical formulation: Main decisions



- Set of vehicles:
 $f \in \{1, \dots, F\}$
- Depot: $i = 0$
- Set of customers:
 $i \in \{1, \dots, N\}$
- Set of boxes per customer i :
 $k_i \in \{1, \dots, |\mathcal{I}_i|\}$

Mathematical formulation: Main decisions



- Set of vehicles:
 $f \in \{1, \dots, F\}$
- Depot: $i = 0$
- Set of customers:
 $i \in \{1, \dots, N\}$
- Set of boxes per customer i :
 $k_i \in \{1, \dots, |\mathcal{I}_i|\}$

Objective function: minimise the transportation and outsourcing costs of the service provider

$$\min \underbrace{\sum_{f=1}^F \sum_{i=0}^N \sum_{\substack{j=0 \\ j \neq i}}^N C_{ij} \Psi_{ijf}}_{\text{transportation costs}} + \underbrace{\sum_{i=1}^N P_i \rho_i}_{\text{outsourcing costs}}$$

Customer satisfaction: \forall customer i and box k_i of customer i

$$\sum_{f=1}^F \gamma_{k_i f} = 1 - \rho_i$$

Routing constraints:

$$\sum_{\substack{i=0 \\ i \neq j}}^N \Psi_{ijf} = \sum_{\substack{l=0 \\ l \neq j}}^N \Psi_{jlf} \quad \forall f \in \{1, \dots, F\}, j \in \mathcal{V} \quad \text{flow conservation}$$

$$\sum_{j=1}^N \Psi_{0jf} \leq 1 \quad \forall f \in \{1, \dots, F\} \quad \text{no multi-trip}$$

$$\sum_{\substack{j=0 \\ j \neq i}}^N \Psi_{ijf} \geq \gamma_{k_i f} \quad \forall f \in \{1, \dots, F\}, i \in \mathcal{V} \setminus \{0\}, k_i = 1, \dots, |\mathcal{I}_i| \quad \text{visit if loaded}$$

Time constraints (I): Pickup operations must occur within the customer's time-windows

$$A_i \leq a_{if} \quad \forall f \in \{1, \dots, F\}, i \in \mathcal{V} \quad \text{earliest arrival}$$

$$a_i + \sum_{\substack{j=0 \\ j \neq i}}^N S_j \Psi_{ijf} \leq A_i + (B_i - A_i) \sum_{\substack{j=0 \\ j \neq i}}^N \Psi_{ijf} \quad \forall f \in \{1, \dots, F\}, i \in \mathcal{V} \quad \text{latest arrival}$$

$$a_{if} + \sum_{\substack{j=0 \\ j \neq i}}^N S_j \Psi_{ijf} + T_{i0} \leq B_0 \quad \forall f \in \{1, \dots, F\}, i \in \mathcal{V} \setminus \{0\} \quad \text{return depot}$$

Time constraints (II):

$$a_{if} + \sum_{\substack{j=0 \\ j \neq i}}^N S_i \Psi_{ijf} + T_{i0} - a_{0f} \leq \Delta + (B_0 - A_0) \left(1 - \sum_{\substack{j=0 \\ j \neq i}}^N \Psi_{ijf}\right)$$

$$\forall f \in \{1, \dots, F\}, i \in \mathcal{V} \setminus \{0\}$$

maximum working duration

$$a_{if} + S_i \Psi_{ijf} + T_{ij} - a_{jf} \leq \mathbf{M}'(1 - \Psi_{ijf})$$

$$\forall f \in \{1, \dots, F\}, i, j \in \mathcal{V} \setminus \{0\}, \\ i \neq j$$

$$a_{0f} + T_{0j} - a_{jf} \leq \mathbf{M}'(1 - \Psi_{0jf})$$

$$\forall f \in \{1, \dots, F\}, j \in \mathcal{V} \setminus \{0\}$$

sequencing

Weight capacity constraint:

$$\sum_{i=1}^N \sum_{k_i=1}^{|\mathcal{I}_i|} M_{k_i} \gamma_{k_i f} \leq M \quad \forall f \in \{1, \dots, F\}$$

- Geometric constraints
- Vertical stability
- Horizontal 90°-rotation constraints
- Fragility constraints
- Multi-load constraints

- 1 Introduction
- 2 Problem definition
- 3 Mathematical formulation
- 4 Experimental analysis**
 - Instances
 - Computational results
- 5 Constructive matheuristic: Insert-and-Fix
- 6 Conclusion and future work

- Combined the benchmark instances from Solomon (1987) and Bortfeldt and Yi (2020)

- Combined the benchmark instances from Solomon (1987) and Bortfeldt and Yi (2020)
- Generated 10 instances for 5, 10, 15, 20 customers respectively for small and large time windows

- Combined the benchmark instances from Solomon (1987) and Bortfeldt and Yi (2020)
- Generated 10 instances for 5, 10, 15, 20 customers respectively for small and large time windows
- On average 2 boxes per customer

- Combined the benchmark instances from Solomon (1987) and Bortfeldt and Yi (2020)
- Generated 10 instances for 5, 10, 15, 20 customers respectively for small and large time windows
- On average 2 boxes per customer
- On average 11%-12% of fragile boxes

- Combined the benchmark instances from Solomon (1987) and Bortfeldt and Yi (2020)
- Generated 10 instances for 5, 10, 15, 20 customers respectively for small and large time windows
- On average 2 boxes per customer
- On average 11%-12% of fragile boxes
- 3 vehicles, weight capacity 1200kg

Computational results I

The linear formulation is implemented in Java using IBM ILOG CPLEX 12.10 library as Branch-and-Bound (B&B) solver. Tests were performed on a workstation with a computation time limit of **one hour** for every instance run.

		Number of customers (N)				
		5	10	15	20	
Small TW	Instances solved at optimality [%]	100.00	90.00	30.00	0.00	
	Time [sec.]	Mean (sd.)	0.19 (0.07)	392.05 (1140.90)	533.72 (328.34)	/
	GAP [%]	Mean (sd.)	/	84.94 (0.00)	84.06 (15.75)	96.75 (2.19)
Large TW	Instances solved at optimality [%]	100.00	90.00	10.00	0.00	
	Time [sec.]	Mean (sd.)	1.41 (2.51)	685.48 (1099.04)	3461.55 (0.00)	/
	GAP [%]	Mean (sd.)	/	6.47 (0.00)	94.26 (4.55)	97.81 (0.84)

Table: Evolution of the computational time and percentage of instances solved at optimality ($F = 3$)

Objective function: minimise the transportation and outsourcing costs of the service provider

$$\min \underbrace{\sum_{f=1}^F \sum_{i=0}^N \sum_{\substack{j=0 \\ j \neq i}}^N C_{ij} \Psi_{ijf}}_{\text{transportation costs}} + \underbrace{\sum_{i=1}^N P_i \rho_i}_{\text{outsourcing costs}}$$

		Number of customers (N)			
		5	10	15	20
Small TW	Instances solved at optimality [%]	100.00	90.00	30.00	0.00
	Final solution outsourcing all customers [%]	0.00	0.00	10.00	80.00
Large TW	Instances solved at optimality [%]	100.00	90.00	10.00	0.00
	Final solution outsourcing all customers [%]	0.00	0.00	30.00	80.00

Table: Evolution of the percentage of outsourcing ($F = 3$)

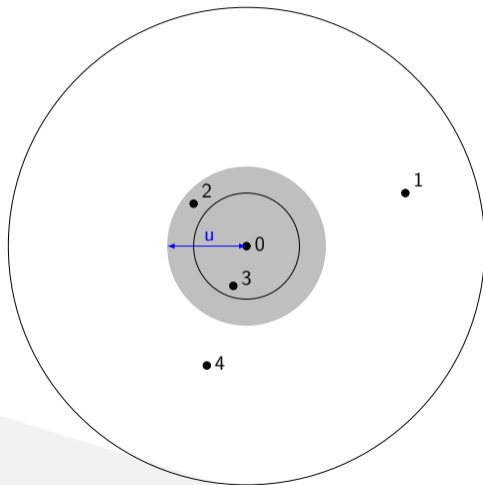
- 1 Introduction
- 2 Problem definition
- 3 Mathematical formulation
- 4 Experimental analysis
 - Instances
 - Computational results
- 5 Constructive matheuristic: Insert-and-Fix**
- 6 Conclusion and future work

Insert-and-Fix

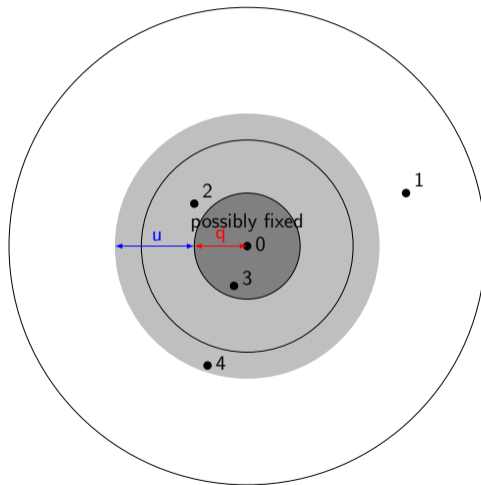
- decompose the problem into smaller subproblems
- sequential routing and packing
- adaptative to some disruptions

Constructive matheuristic: Insert-and-Fix II

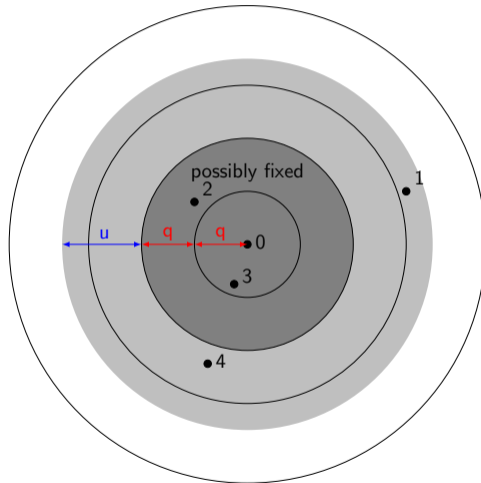
Step 1:



Step 2:



Step 3:



Sorting methods: are related to the way customers are added

Sorting method	Distance A_i	Distance Polar angle	A_i Polar angle	Distance depot Distance customers
-----------------------	-------------------	-------------------------	----------------------	--------------------------------------

Table: Some possible sorting methods

Decision policy: is related to the decision policy used to fix variables

Decision policy	$\gamma_{kif} = 1$ $(x_{k_i}, y_{k_i}, z_{k_i})$	$\rho_i = 0$	$\gamma_{kif} = 1$	Ψ_{jif} s.t. $\rho_i = 0$
------------------------	---	--------------	--------------------	-----------------------------------

Table: Some possible decision policies

- 1 Introduction
- 2 Problem definition
- 3 Mathematical formulation
- 4 Experimental analysis
 - Instances
 - Computational results
- 5 Constructive matheuristic: Insert-and-Fix
- 6 Conclusion and future work

- Complete mathematical formulation
- Computational limitations

Perspectives:

- Constructive matheuristic: Insert-and-Fix
- Use the solution from the I&F as initial solution in CPLEX or in an improvement heuristic
- Disruptions occurring during the day



THANK YOU

A mathematical formulation for a Capacitated Vehicle Routing Problem with pickups, Time Windows and 3D packing constraints

- Bortfeldt, A., & Wäscher, G. (2013). Constraints in container loading—a state-of-the-art review. European Journal of Operational Research, 229(1), 1–20.
- Bortfeldt, A., & Yi, J. (2020). The split delivery vehicle routing problem with three-dimensional loading constraints. European Journal of Operational Research, 282(2), 545–558.
- Solomon, M. M. (1987). Algorithms for the vehicle routing and scheduling problems with time window constraints. Operations research, 35(2), 254–265.