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Raffinement des méthodes d'anesthésie locorégionale échoguidée du membre postérieur chez le chien

Refinement of ultrasound-guided locoregional anaesthesia techniques of the pelvic limb in dogs

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Abbreviations

С	Control, study 1
CON	Control group, study 4
DEX	Dexmedetomidine
DEX0PN	Control group receiving ropivacaine combined to saline solution, study 3
DEX1PN	Group receiving 1 µg/kg of perineural DEX combined to ropivacaine, study 3
DEX2PN	Group receiving 2 µg/kg of perineural DEX combined to ropivacaine, study 3
DEX1IV	Group receiving 1 µg/kg of intravenous DEX combined to ropivacaine, study 3
Ε	Epidural group, study 2
F	Femoral nerve block group, study 2
L	Levobupivacaine, study 1
L4	Fourth Lumbar spinal nerve
L6	Sixth Lumbar spinal nerve
L7	Seventh Lumbar spinal nerve
LAA	Local Anaesthetic Agent(s)
RCT	Randomised Controlled Trial
S	Sciatic nerve block group, study 2
S2	Second Sacral spinal nerve
SF	Combined Sciatic and Femoral nerve block group, study 2
TPLO	Tibial Plateau Levelling Osteotomy
US	Ultrasound
VAS	Visual Analogue Scale

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Résumé

L'anesthésie locorégionale est efficace pour réduire la douleur peropératoire lors de la chirurgie des membres pelviens chez le chien. Il existe différentes techniques d'anesthésie locorégionale telles que l'anesthésie épidurale ou l'injection périneurale d'anesthésiques locaux à proximité des nerfs périphériques. Parmi ces nerfs, les nerfs fémoraux et sciatiques sont des endroits stratégiques car ils innervent la majeure partie du membre pelvien chez le chien.

- 7 L'approche parasacrale est une technique qui peut être utilisée pour bloquer le nerf sciatique à un endroit 8 proximal sur le membre pelvien du chien. L'approche parasacrale guidée par stimulateur nerveux est 9 connue et décrite (Portela et al. 2010), mais elle ne permet pas de visualiser les structures anatomiques 10 telles que le nerf lui-même lors d'une anesthésie locorégionale. Il s'agit d'une technique moins fiable que 11 la technique échoguidée. Celle-ci est également connue et décrite, mais le taux de réussite n'est que de 12 67 % (Shilo et al. 2010). L'objectif de la première étude était donc de modifier l'approche parasacrale 13 échoguidée du nerf sciatique afin d'augmenter son taux de réussite. Une vue transverse du nerf combinée 14 à une projection de l'aiguille dans le plan et l'injection d'un volume de 0,2 mL/kg de lévobupivacaïne 15 0,5 % ont permis d'augmenter le taux de réussite à 86 % chez des chiens de la race beagle.
- 16 Les techniques échoguidées des nerfs périphériques sont décrites principalement sur des cadavres ou de 17 façon expérimentale. Peu d'études évaluent l'efficacité clinique de l'anesthésie locorégionale chez le 18 chien. Une façon courante d'évaluer le taux de réussite de l'anesthésie locorégionale en pratique est de 19 comptabiliser la quantité d'opioïdes nécessaire pour traiter les douleurs. Ceci est pertinent car 20 l'administration d'opioïdes chez les chiens peut induire des effets secondaires tels que des vomissements 21 ou une diminution de la prise alimentaire postopératoire (Bini et al. 2018). Comme alternative à 22 l'anesthésie épidurale, l'approche mi-fémorale du nerf sciatique et l'approche inguinale du nerf fémoral 23 (Campoy et al. 2010) peuvent être appliquées pour effectuer une anesthésie locorégionale chez les 24 chiens qui subissent une ostéotomie de nivellement du plateau tibial. Dans la seconde étude, nous avons 25 comparé l'efficacité de différentes techniques d'anesthésie locorégionale pour réduire la consommation 26 peropératoire d'opioïdes dans un modèle clinique de chiens subissant une chirurgie de nivellement du 27 plateau tibial. Les techniques des blocs échoguidés combinés du nerf sciatique et fémoral et la technique 28 d'anesthésie épidurale ont toutes deux réduites les besoins peropératoires totaux en opioïdes en 29 comparaison au bloc de nerf fémoral ou sciatique réalisés seuls.

L'injection périneurale d'anesthésiques locaux interrompt temporairement la conduction nerveuse. Grâce
à ce mécanisme, la chirurgie peut être effectuée sans que le patient ne perçoive de douleur, car les
signaux nerveux ne sont plus transmis. Les blocs nerveux périphériques effectués pendant la période

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1 préopératoire fournissent, dans une certaine mesure, une analgésie postopératoire. Malheureusement, la 2 durée de l'analgésie postopératoire est déterminée par la durée du bloc nerveux sensoriel, qui est lui-3 même déterminé par la durée d'action de l'anesthésique local utilisé. Ces effets bénéfiques sont de courte 4 durée, même en cas d'utilisation d'anesthésiques locaux à longue durée d'action. En médecine humaine, 5 il a été prouvé que l'ajout de dexmédétomidine, un puissant alpha-2 agoniste, aux agents anesthésiques 6 locaux prolonge la durée du bloc nerveux sensoriel (Vorobeichik et al. 2017). Dans la troisième étude, 7 nous avons donc évalué le potentiel de la dexmédétomidine comme adjuvant à la ropivacaïne pour 8 prolonger les blocs nerveux périphériques sensoriels chez des chiens de race beagle. Nous avons 9 identifié que l'injection périneurale de 1 µg/kg de dexmédétomidine était efficace. Dans un deuxième 10 temps, l'efficacité de la dexmédétomidine en vue de réduire les besoins postopératoires en méthadone a 11 été évaluée dans un cadre clinique. Dans la quatrième étude, nous avons prouvé que l'injection périneurale de dexmédétomidine combinée à la ropivacaïne lors d'une anesthésie locorégionale 12 13 échoguidée réduisait le nombre de doses postopératoires de méthadone nécessaires pour contrôler la 14 douleur chez les chiens pendant les 24 premières heures postopératoires.

15 Le perfectionnement des techniques échoguidées et leur application pour les blocs nerveux 16 périphériques dans un contexte clinique améliorent le confort peropératoire des chiens qui subissent une 17 chirurgie élective du membre pelvien. La combinaison de dexmédétomidine et de ropivacaïne semble 18 également bénéfique aux chiens subissant une ostéotomie de nivellement du plateau tibial, possiblement 19 induit par une prolongation de la durée du bloc nerveux sensoriel. Summary

Locoregional anaesthesia is effective in reducing perioperative pain during orthopedic surgery. There are different techniques of locoregional anaesthesia available such as injection of local anaesthetic agents in the epidural space or to specific target nerves for pelvic limb surgery in dogs. Among these nerves, the femoral and sciatic nerves are the most important anatomical locations because they innervate most of the dog's pelvic limb.

7

1

8 The parasacral approach can be applied to block the sciatic nerve at a proximal location on the dog's 9 pelvic limb. The parasacral approach guided by electrical nerve stimulator is known and described 10 (Portela et al. 2010) but electrical nerve stimulator does not allow visualisation of anatomical structures 11 and the nerve itself during locoregional anaesthesia. It is a less reliable technique compared to the 12 ultrasound-guided technique. That approach is known and described, but its success rate is only 67% 13 (Shilo et al. 2010). The objective of study one was therefore to modify the ultrasound-guided parasacral 14 approach to the sciatic nerve in order to increase its success rate. A transverse view of the nerve with an in-plane needle approach and the injection of a volume of 0.2 mL/kg of levobupivacaine 0.5% increased 15 16 the success rate to 86% in Beagle dogs.

17

18 Many ultrasound-guided peripheral nerve block techniques are described in cadavers or experimental 19 dogs. Only a few studies evaluate the clinical efficacy of locoregional anaesthesia in dogs. A common 20 way to evaluate the success rate of locoregional anaesthesia in clinical practice is to assess the amount 21 of opioids required to control nociception and postoperative pain. This is of particular interest as the 22 administration of opioids in dogs might induce side effects such as vomiting or decreased postoperative 23 food intake (Bini et al. 2018). As alternatives to epidural anaesthesia, the ultrasound-guided mid-femoral 24 approach to the sciatic nerve and the inguinal approach to the femoral nerve (Campoy et al. 2010) can be applied to perform locoregional anaesthesia in dogs undergoing tibial plateau levelling osteotomy. In 25 26 study two, we compared the efficacy of different locoregional anesthesia techniques in reducing 27 perioperative consumption of opioids in a clinical model of dogs undergoing elective invasive stifle 28 surgery. The combined ultrasound-guided sciatic and femoral nerve block and the epidural anaesthesia 29 technique both decreased the total perioperative opioid requirements compared to single ultrasound-30 guided femoral or sciatic nerve block.

31

The perineural injection of local anaesthetic agents will temporarily interrupt nerve conduction. Through this mechanism, surgery can be carried out without the patient perceiving pain because nerve transmission signals are no longer active. Peripheral nerve blocks performed during the preoperative period provide postoperative analgesia to some extent. Unfortunately, the duration of postoperative

- analgesia is determined by the duration of sensory nerve block, which in turn is determined by the duration of action of the local anaesthetic agent. Postoperative analgesia is short-lived even when using long-lasting local anaesthetic agents. In human medicine, it has been proven that the addition of the potent alpha-2 agonist dexmedetomidine to local anaesthetic agents significantly prolongs sensory nerve
- 5 block duration (Vorobeichik *et al.* 2017). In study three, we evaluated the potential of dexmedetomidine
- 6 as adjuvant to ropivacaine to prolong sensory peripheral nerve block in Beagle dogs. We identified that
- 7 the perineural injection of $1 \mu g/kg$ of dexmedetomidine was effective. As a next step, the efficacy of
- 8 dexmedetomidine to reduce postoperative requirements of methadone was evaluated in a clinical setting.
- 9 In study four, we proved that perineural dexmedetomidine and ropivacaine combined to ultrasound-
- 10 guided locoregional anaesthesia reduced the number of postoperative doses of methadone required to
- 11 control pain in dogs during the first 24 postoperative hours.
- 12
- 13 The refinement of ultrasound-guided techniques and their application for peripheral nerve blocks in the
- 14 clinical setting improve the perioperative comfort of dogs undergoing elective pelvic limb surgery. The
- 15 combination of dexmedetomidine with ropivacaine might also benefit dogs undergoing tibial plateau
- 16 levelling osteotomy, possibly through prolonged sensory nerve blocks.

Introduction

1 1. Locoregional anaesthesia

2 Locoregional anaesthesia is nowadays a cornerstone of a balanced anaesthetic protocol. Locoregional 3 anaesthesia consists in the perineural administration of local anaesthetic agents (LAA). The LAA will 4 diffuse through the nerve sheaths to produce a reversible blockade of the action potentials formation and 5 the nerve transmission. This will desensitize the specific body area innervated by the target nerve. This 6 allows to perform invasive surgery without pain or nociception perception. The incorporation of 7 locoregional anaesthesia techniques to the anaesthetic protocol improves patient comfort. A 8 locoregional anaesthesia should be considered and performed whenever it is possible to contribute to 9 the reduction of pain perception or when painful surgical or interventional procedures are planned. The 10 pelvic limb is innervated by the lumbosacral plexus, which main components are the femoral and sciatic 11 nerves. Theses nerves are often the target of locoregional anaesthetics agents whenever pelvic limb 12 surgery is performed.

13

1.1 Locoregional anaesthesia in human medicine over time

14 Locoregional anaesthesia is performed daily in clinical practice since decades. Locoregional techniques 15 are in constant evolution. Regarding the history of locoregional analgesia, there is no clear consensus 16 about "the discovery of locoregional anaesthesia" (Deschner et al. 2007). A progressive discovery of 17 different techniques and agents would best describe the evolution of locoregional anaesthesia. However, 18 some key dates and names should be mentioned. Nerve compression were already performed centuries 19 ago in 1564 by Paré. He reported the possibility to "provide" locoregional anaesthesia for the first time. 20 James Young Simpson published in 1848 the first reports that locoregional anaesthesia might be superior 21 to general anaesthesia in terms of pain management and safety (Deschner et al. 2007). Sigmund Freud 22 and Karl Köller are also considered as fathers of regional anaesthesia. They introduced and studied 23 cocaine as the first LAA. Karl Köller demonstrated the ability to perform ophthalmic surgery under local 24 treatment with cocaine in 1884 (Goerig et al. 2012).

25 Several techniques are nowadays available to perform locoregional anaesthesia at the pelvic limb and 26 promote pain free surgery and a great patient satisfaction. Lumbar plexus, sciatic and femoral nerve 27 blocks are examples of target nerves where the anaesthesiologist aims to deposit LAA nearby the nerve 28 prior to surgery. A specific target nerve is usually preferred as it will provide analgesia to the entire 29 anatomical area innervated by the nerve. Reviews and meta-analysis have proven that the analgesia 30 provided by combined sciatic and femoral nerve blocks is superior to administration of a sciatic nerve 31 block combined with a local infiltration or femoral nerve block combined with a local infiltration in 32 human patients undergoing total knee arthroplasty (Ma et al. 2017, Zhang et al. 2017). Blockade of a 33 nerve innervating a specific target area is superior to infiltration of the surgical site with LAA. Human 34 medicine aims to develop new techniques of locoregional anaesthesia.

35

42

1.2 History of locoregional anaesthesia in veterinary medicine

Locoregional anaesthesia in veterinary medicine has already been described in the first veterinary anaesthesia book written by Hobday in 1915. The different types of LAA available at that time and the practice of spinal anaesthesia are described with many details (Hobday 1915). This illustrates that the benefits of locoregional anaesthesia in veterinary medicine have been identified very early in the course of veterinary anaesthesiology. Things have evolved since that time and techniques and equipment available to perform locoregional anaesthesia are becoming more and more sophisticated.

1.3 The role of locoregional anaesthesia in pain management

43 The definition of pain has been described by the International Association for the Study of Pain in 1979. 44 The definition reads as follow: "pain is an unpleasant sensory and emotional experience associated with 45 actual or potential tissue damage, or described in terms of such damage". Recently, the International Association for the Study of pain and experts in pain medicine proposed a revised definition: Pain is 46 47 "an unpleasant sensory and emotional experience associated with, or resembling that associated with, 48 actual or potential tissue damage" (Raja et al. 2020). There are absolutely no doubts that mammals, 49 due to the evolved nature of their nervous system, and consequently dogs, are capable of feeling pain. 50 It should be the aim of any veterinary anaesthetist to treat this condition with an adequate analgesic plan. 51 The International Association for the Study of Pain have added six key notes to the revised definition. 52 One of them is of particular interest to veterinary medicine: "Verbal description is only one of several 53 behaviors to express pain; inability to communicate does not negate the possibility that a human or a 54 nonhuman animal experiences pain". A difference should still be noted between the term pain and 55 nociception. Pain include an emotional component according to the definition. In humans, many 56 operational procedures to the pelvic limb can be performed under locoregional analgesia only. The 57 practice of locoregional anaesthesia is often combined with general anaesthesia in dogs. This is justified 58 by the inability of dogs to voluntary stay motionless for a defined period of time while the operation is 59 been completed. Under these circumstances, we speak about nociception rather than pain because the 60 emotional component of pain is not applicable while an animal is unconscious during general 61 anaesthesia. The practice of locoregional anaesthesia to the pelvic limb of dogs will provide effective 62 analgesia while surgery is performed under general anaesthesia. Local anaesthetic agents are the only 63 drug class capable of providing complete analgesia (Epstein et al. 2015). Consequently, locoregional 64 analgesia is the analgesic regimen of choice for surgical procedures in dogs. It should be applied to 65 every patient whenever possible.

66

67 2. Anatomy: Innervation of the pelvic limb in the dog

Before performing locoregional anaesthesia at the pelvic limb of the dog, a detailed revision of the innervation is necessary. The innervation of the pelvic limb of dogs originates from fourth lumbar spinal nerve (L4) up to the second sacral spinal nerve (S2). Some individual variations are possible (Dyce *et* 71 al. 1997, Kitchell 2013). The dorsal and ventral branches of the spinal nerves join to form the lumbar 72 and sacral plexus. They send nerve fibres to various anatomical regions of the pelvic limb. The two main nerves, which are the main targets for locoregional anaesthesia, are the femoral and the sciatic nerve. 73 74 Other main nerves arising from the lumbosacral plexus and innervating proximal parts of the pelvic limb 75 of the dog include the cranial and caudal gluteal nerves and the obturator nerve. Those nerves will 76 provide innervation of the *gluteal* muscles as well as *adductor* muscles. The caudal gluteal nerve will 77 additionally provide innervation to the cranial portion of the biceps femoris muscle as well as the 78 proximal part of the semimembranosus and semitendinosus muscles (Dyce et al. 1997, Kitchell 2013). 79 These nerves might be relevant whenever surgery is performed in the region of the hip in dogs.

80 2.1. Femoral nerve

81 The femoral nerve is an important target nerve to provide locoregional anaesthesia to the pelvic limb. The femoral nerve originates from L4 to L6. It courses through the *psoas* muscles, parallel to the external 82 83 iliac artery, before entering the lacuna vasorum (Dyce et al. 1997, Kitchell 2013). The femoral nerve 84 provides innervation to the quadriceps musculature which allows the dog to stabilise the knee. In the 85 inguinal region, the femoral nerve runs together with the femoral artery and vein within the femoral 86 triangle. This location is important for US-guided locoregional anaesthesia as the nerve runs 87 superficially and is easily accessible (Campoy et al. 2010). At the proximal aspect of the femur, the 88 nerve is located between the sartorius and pectineus muscles and then continues as the saphenous nerve. 89 It is important to note that the saphenous nerve is a pure sensory nerve, except for the sartorius muscle 90 to which it provides motor fibres (Dyce et al. 1997). The figure (Figure 1) illustrates the course of the 91 femoral and saphenous nerve.

92 **Figure 1.**



Medial view of the left pelvic limb of the dog illustrate the course of the femoral nerve (1). The femoral nerve continues distally as saphenous nerve (2). The nerves are illustrated in yellow. Femoral artery and vein (3), *lacuna vasorum* (red circled structure), *pectineus* muscle (4), *vastus medialis* of the *quadriceps* muscle (5), cranial (6a) and caudal (6b) part of the *sartorius* muscle (Budras *et al.* 2007).

2.2 Sciatic nerve

97

The sciatic nerve is the largest peripheral nerve of the dog. It arises mainly from L6 to the first sacral 98 spinal nerve with occasionally nerve fibres coming from S2 (Kitchell 2013). This nerve represents an 99 100 important target for peripheral nerve block as it provides sensory and motor fibres to the main pelvic 101 musculature such as the quadratus femoris, the obturator internus or the gemelli muscles (Dyce et al. 102 1997). This nerve runs on the pelvis before crossing over the *incisura ischiadica major* and continues 103 between the gluteus profundus and medius in caudal direction. It is also reported that the sciatic nerve 104 might send nerve branches to the hip joint (Huang et al. 2013). It continues caudal to the major trochanter 105 before running distally between the *biceps femoris* and *semitendinosus* muscles. In that portion, the 106 nerve divides into the tibial and common fibular nerves. The exact anatomical location where the sciatic 107 nerve divides into tibial and common fibular nerve strongly varies from one dog to another. The tibial nerve then passes between the two bellies of the gastrocnemius muscle and finally provides innervation 108 109 to tarsal extensor muscles. The common fibular nerve runs laterally to the knee joint before dividing 110 into a superficial and profound branch. Both branches run cranially over the distal portion of the tibial 111 bone. They send nerve fibres to the tarsal flexor muscles (Dyce et al. 1997). The following figure (Figure 2) illustrates the course of the sciatic nerve of the dog at the level of the hip. 112

113 **Figure 2.**



114

Lateral view of the anatomy of the of the left hip of the dog to illustrate the course of the sciatic nerve
(1) which then divides into tibial (2) and common fibular nerve (3). The nerves are illustrated in yellow. *Gluteus medius* muscle (A), *vastus lateralis* of the *quadriceps femoris* muscle (B), *semimembranosus*

118 muscle (C), sacrotuberale ligament (D). The biceps femoris muscle (E) has been detached from its

119 attachment point to illustrate the sciatic nerve (Budras *et al.* 2007).

120 **2.3 Innervation of the skin**

121 The skin of the proximal part of the pelvic limb of the dog is innervated laterally by the cutaneous 122 femoral nerves. The caudal part is innervated by the caudal cutaneous femoral nerve and the cranial part 123 by the lateral cutaneous femoral nerve. The medial part of the proximal part of the pelvic limb is 124 innervated by the genitofemoral nerve (Portela et al. 2019). The skin of the distal portion of the pelvic 125 limb are innervated by different branches of the nerves described previously. The sciatic nerve 126 innervates the cutaneous zone of the caudal thigh. The saphenous nerve is responsible of the innervation 127 of the skin on the medial aspect of the knee joint. The fibular nerve innervates the dorsolateral aspect of 128 the pelvic limb while the tibial nerve innervates the cutaneous zone (Levine et al. 2007). A thorough

- 129 knowledge of the different dermatomes will help the clinician to evaluate nerve blockade after
- 130 locoregional anaesthesia. Skin sensation on the different parts of the pelvic limb can be evaluated by
- 131 skin clamping and reaction in the awake dog after locoregional anaesthesia of a specific target nerve.
- 132 Figure 3 illustrates the different dermatomes of the pelvic limb in the dog.
- 133 **Figure 3.**



134

The cutaneous zones of the pelvic limb of the dog are innervated by the lateral cutaneous femoral nerve (LCF), the caudal cutaneous femoral nerve (CCF), the genitofemoral nerve (GF), the saphenous nerve (S), the tibial nerve (T) and the fibular nerve (F) (used with permission, Portela *et al.* 2019).

138

2.4 Innervation of the knee joint

139 The canine knee joint is innervated by the medial, the posterior and the lateral articular nerves. The 140 medial articular nerve is a branch of the saphenous nerve which originates from the femoral nerve. In 141 some dogs, the medial articular nerve might receive additional separated muscular branches of the 142 femoral or obturator nerve (O'Connor & Woodburry 1982). The posterior articular nerve might not be present in all dogs. When present, it will provide fibres to the posterior aspect of the joint capsule. The 143 posterior articular nerve is a branch of the tibial nerve (O'Connor & Woodburry 1982). The lateral 144 145 articular nerve is a branch of the common fibular nerve. It divides into one to six branches to innervate the superior tibiofibular joint, and/or the lateral collateral ligament, and/or the lateral or posterolateral 146 147 joint capsule (O'Connor & Woodburry 1982). Figure 4 shows the innervation of the canine knee joint

148 **Figure 4**



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The medial articular nerve (yellow), the lateral articular nerve (green) and branches of the peroneal nerve
(orange) contribute to the innervation of the canine knee joint. Some dogs might receive additional
muscular branches from the femoral (blue) or the obturator (purple) nerve (used with permission, Portela *et al.* 2019).

154

155 **3.** Techniques for locoregional anaesthesia of the pelvic limb in dogs

Locoregional anaesthesia has evolved considerably and new techniques have brought about major improvements. Different techniques can be used to allow the LAA injection as close to the target nerve as possible. These different techniques for locoregional anaesthesia include the "blind" technique, the use of electrical nerve stimulator, or the ultrasound (US)-guided technique.

160 **3.1. "Blind" technique and epidural anaesthesia**

161 The definition "blind" technique can be misleading. The operator performing nerve blockade is not literally "blind". Nerve blocks towards specific nerves are performed based on surface anatomical 162 landmarks. The epidural injection of LAA illustrates a "blind" locoregional anaesthetic technique. The 163 164 injection of LAA into the epidural space is commonly performed based on palpation of the spinal process of the seventh lumbar vertebra (L7) and the wings of the ilium. Correct needle placement can be verified 165 using simple methods such as the loss of resistance or the hanging drop technique (Adami & Gendron 166 167 2017). Epidural anaesthesia is simple and practical to perform and satisfying success rate is reported (Sarotti et al. 2015). Unfortunately, epidural anaesthesia induces paralysis of both pelvic limbs, which 168

169 can affect early postoperative movements in dogs. This type of procedure should not be performed in patients suffering from hypovolemia, sepsis, shock, coagulopathies, infection at the injection site or 170 pelvic or sacral fractures. This limits the number of patients, who might benefit from epidural 171 172 anaesthesia. Other "blind" techniques for locoregional anaesthetic technique of the pelvic limbs in dogs 173 have been evaluated. Blockade of the saphenous, obturator and lateral cutaneous femoral nerves might 174 be an effective and inexpensive method (Echeverry-Bonilla et al. 2017). This technique has only been 175 evaluated in cadavers and its application to clinical cases is limited. The great trochanter and the ischiatic 176 tuberosity are very useful anatomical landmarks to localise the sciatic nerve, which runs nearby those 177 bony structures. A technique for saphenous, tibial and common fibular nerve block seemed promising 178 in dog cadavers (Rasmussen et al. 2006a) but appeared ineffective for clinical cases (Rasmussen et al. 179 2006b). This outlines the limitation of the "blind" techniques for clinical use. Nerve blocks performed with the "blind" technique are subjective because it remains impossible to certify that the injection of 180 181 LAA has been performed close to the target nerve. Nerve damage or damaging of vital structures are 182 more frequent. This technique typically requires a higher volume of LAA to compensate for the lack of 183 precision of injection.

3.2. Electrical nerve stimulation technique

185 The electrical nerve stimulator is a very useful tool to estimate the location of nerves that contains motor fibres. The introduction of this device has brought considerable improvement in the field of locoregional 186 anaesthesia. The electrical nerve stimulator generates an electrical current and depolarises the target 187 188 nerve when applied close to it. This elicits a contraction of the muscles innervated by the target nerve. 189 This allows a more accurate localisation of a nerve and LAA can be injected perineurally. The nerve 190 stimulator can only be used if the target nerve contains both, sensory and motor fibres. The motor fibres 191 will allow localisation of the nerve for perineural injection of LAA. After diffusion of LAA through the 192 nerve sheaths, anaesthesia of the sensory fibres will induce analgesia for a certain period of time. An 193 elicited motor response at ≤ 0.5 mA indicates that the tip of the needle is close enough to the target nerve 194 and typically results in a successful nerve block and increases nerve block success compared to the 195 traditional "blind" technique (Klein et al. 2012).

In humans, it has been shown that intraneural injection is observed in 66% of patients during sciatic nerve block performed under electrical nerve stimulation guidance (Sala Blanch *et al.* 2009). The absence of motor response does not exclude intraneural needle placement and nerve stimulation with low current might even increase the risk of intraneural needle placement (Robards *et al.* 2009). Intraneural injection is usually not associated with neurological complications (Sala Blanch *et al.* 2009) but nerve puncture commonly leads to inflammation and is best avoided (Wiesmann *et al.* 2017). The peripheral nerve stimulator increases the chance for successful nerve block also in dogs (Mahler &

Adogwa 2008) compared to the "blind" technique. Several studies have proven the efficacy of this

204 technique for locoregional anaesthesia in canine patients (Caniglia et al. 2012, Palomba et al. 2020). A

study has shown that the absence of motor response at a current of ≤ 0.3 mA could not rule out a contact between the needle and the epineurium (Portela *et al.* 2013a). This might lead to intraneural injection of LAA. The absence of motor response at low current during electrical nerve stimulation should not be interpreted as a safety feature to exclude intraneural injection in dogs.

209 **3.3. Ultrasound-guided technique**

210 The ultrasound is a non-invasive imaging technique to precisely localise anatomical structures. This 211 medical imaging method is based on high frequency sound waves and their reflection on anatomical 212 tissue. The ultrasound transducer contains crystals made of piezoelectric material. It serves as sound 213 transmitter and receiver for medical imaging. The ultrasound provides a real-time dynamic picture of 214 the scanned structures, which has revolutionised the discipline of locoregional anaesthesia. The 215 introduction of the US-guided technique for peripheral nerve blocks into clinical practice enabled 216 precise needle positioning in relation to the target nerve and the spread of LAA can be observed in real 217 time. The importance of this tool has gained popularity in the veterinary field in the last decades. Further research identifying new approaches and the development of new techniques using the US for 218 219 locoregional anaesthesia needs to be perform to contribute to the well-being of the animal during the 220 perioperative period.

3.4. Comparison of ultrasound-guided and electrical nerve stimulation techniques

222 In human medicine, the nerve block success rate is lower with the electrical nerve stimulator compared to the US-guided technique (Abrahams et al. 2009). The same may be true in veterinary medicine. 223 224 Shorter onset times and longer duration of action are reported for brachial plexus block guided by 225 ultrasound compared to electrical nerve stimulator (Akasaka & Shimizu 2017). When combining the 226 nerve stimulator with the US-guided technique in dogs, it is more important to assess the correct position of the needle with the ultrasound rather than trying to elicit a motor response (Portela et al. 2013a). This 227 228 might increase the number of needle pass and lead to damages of anatomical structures. A study 229 performed in cats suggested that femoral nerve block success was higher when performed with the US-230 guided technique compared to the electrical nerve stimulator-guided technique (Haro et al. 2016). The 231 US-guided technique appears superior to the electrical nerve stimulator technique. It is important to 232 refine US-guided nerve block approaches with a low reported success rate. The US-guided parasacral 233 approach to the sciatic nerve has a low reported success rate (Shilo et al. 2010). This technique needs to 234 be refined to increase its success rate in dogs.

235

4. Ultrasound-guided approaches to the femoral and sciatic nerves

The sciatic and femoral nerves can be visualised with the ultrasound along their course as they originate from the lumbosacral plexus, until their continuation as tibial and fibular or saphenous nerves, respectively. The ultrasonographic approach of the sciatic nerve along its course has been described and the usefulness of this technique has been outlined (Benigni *et al.* 2007). However, Benigni and co241 workers (2007) could not visualize the most cranial part of the lumbosacral trunk due to its position 242 ventral to the sacroiliac joint but were able to visualize the origin of the sciatic nerve using a window 243 caudal to the sacrum. On the ultrasound image, nerves can be visualised as rounded, triangular or 244 elongated hypoechoic structures surrounded by hyperechoic borders (Benigni et al. 2017). The 245 appearance of the nerve also depends on the scanned anatomical region. The nerve sometimes appears 246 as a hyperechoic structure without hypoechoic centre (Fornage 1993). High resolution ultrasounds 247 enable to distinguish the nerve as a "honeycomb-like" structure separated by hyperechoic septae which 248 illustrate the presence of the epineurium (Ali et al. 2016). Vessels are important hypo- or anechoic 249 anatomical structures observed on the ultrasound screen which need to be differentiated from the nerve. 250 The dynamic pulsatile characteristic of arteries can be observed in real-time and is helpful to 251 differentiate this structure from a nerve. Colour Doppler, if available, could help to distinguish both 252 structures. Vessels are useful anatomical landmarks for ultrasound identification of nerves. This is 253 particularly relevant for the femoral nerve as it is located close to arteries along its course in dogs 254 (Campoy et al. 2010, Garcia-Pereira et al. 2018).

Different proximal US-guided approaches of nerves of the pelvic limb in dogs have been described.
 Approaches using solely nerve stimulator guidance for nerve blocks are not described.

4.1. Femoral nerve

258

4.1.1. Lumbar plexus block and femoral nerve block within the iliopsoas muscle

259 The femoral nerve is formed at the lumbar plexus. A lumbar plexus nerve block will consequently induce 260 a sensory blockade of the area innervated by the femoral nerve. Three different US-guided approaches 261 to the lumbar plexus have been compared in 29 dog cadavers of different breeds: a dorsal pre-iliac, a 262 lateral paravertebral at mid-L6 and a lateral paravertebral at mid-L7 were evaluated (Graff et al. 2015). 263 A volume of 0.1 mL/kg of iodine-based solution mixed with methylene blue was injected under US-264 guidance. Computer Tomography was performed to evaluate the spread of the solution around the 265 nerves. The authors concluded that all three approaches were accurate and easy to perform. To ensure diffusion of injectate around both; the femoral nerve and the obturator nerve, the lateral paravertebral 266 267 approach at mid-L7 should be preferred.

The femoral nerve can be located with the ultrasound as it passes through the iliopsoas muscle (Mahler 2012, Echeverry *et al.* 2012a, Mogicato *et al.* 2015). Those approaches are sometimes reported as psoas compartment block (Tayari *et al.* 2017, Portela *et al.* 2018). Some of these approaches are also reported as ventral suprainguinal approaches (Echeverry *et al.* 2012a, Echeverry *et al.* 2012b, Shimada et al. 2017). These different approaches all described an US-guided localisation of the femoral nerve as it passes within the iliopsoas muscle.

Preliminary results to approach the femoral nerve in the iliopsoas muscle in experimental dogs have
been described (Mahler 2012). The study was conducted in three phases: first, computer tomography of

the anatomical region was performed to identify the nerve and its roots; second, the femoral nerve was

successfully located with the ultrasound in 82% of dogs; third, the nerve block was performed in dogsscheduled for pelvic limb surgery.

- 279 Results by Mahler (2012) enabled to refine and describe the technique with more details in healthy
- 280 beagle dogs and cats (Mogicato et al. 2015). The authors could visualise the nerve as a rounded
- 281 hypoechoic structure surrounded by a hyperechoic rim. They also identified the external iliac artery as
- a rounded anechoic structure which was located at approximately 5.4-14.1 mm of the femoral nerve in
- 283 Beagle dogs.
- 284 The ventral suprainguinal approaches also locate the nerves as it passes through the iliopsoas. Echeverry
- *et al.* (2012a) described and evaluated this technique in dogs' cadavers and experimental Beagle dogs.
- 286 The authors concluded that their approach appeared efficient as an alternative approach to the traditional
- 287 inguinal approach.
- Echeverry *et al.* (2012b) evaluated the spread of three different volumes of dye of the ventral suprainguinal approach to the femoral nerve as it passes through the iliopsoas. The lowest volume of dye (0.2 mL/kg) injected in Mongrel dog's cadavers revealed that it was sufficient to stain the femoral and obturator nerves. This US-guided approach was a good alternative to the described techniques using
- the electrical nerve stimulator.
- The obturator and femoral nerves could both be visualised with an US-guided approach as they are located in the psoas compartment (Tayari *et al.* 2017). This technique has first been tested is dogs'
- 295 cadavers. This approach is particularly interesting because its efficacy has been evaluated in 20 dogs
- undergoing tibial plateau levelling osteotomy (TPLO). A volume of 0.1 mL/kg of ropivacaine 0.3% or
- 297 0.5% has been injected to perform femoral and obturator nerve block in clinical cases. A minimal
- 298 constant rate infusion of fentanyl (0.0-2.2 µg/kg/hr) was required in both groups to control nociception.
- 299 This outlines the efficacy of this technique.
- Finally, a study evaluated the effects of two different volume of bupivacaine 0.5% using the ventral suprainguinal approach to the femoral nerve (Shimada *et al.* 2017). This technique has been evaluated in sedated beagle dogs. The two different volumes of bupivacaine 0.5% (0.2 *versus* 0.4 mL/kg) induced similar nerve block duration. The motor and sensory nerve block duration was approximately 10 hours in both groups.
- 305 4.1.2. Inguinal approach and adductor canal nerve block
- 306 The approach used for femoral nerve block varies between institutions and preference of the operator.
- The inguinal approach is reported is regularly applied for canine patients undergoing pelvic limb surgery
 (Campoy *et al.* 2012a, Campoy *et al.* 2012b, Bartel *et al.* 2016).
- 309 Campoy *et al.* (2010) could localise the femoral nerve using an inguinal approach. They could localise
- 310 the femoral nerve in all dogs and performed a perineural injection of 0.15 mL/kg of methylene blue
- 311 mixed with lidocaine. The dogs were positioned in lateral recumbency and the nerve block was
- 312 performed on the uppermost limb elevated in an abducted position. They observed that the femoral

- artery and vein were useful anatomical structure to identify the femoral nerve. The nerve was located
- 314 caudal to the fascia of the rectus femoris muscle. The nerve structure is located superficially and it was
- 315 easy to visualise and perform a perineural injection. Anatomical dissection revealed consistent staining
- 316 of the nerve. Figure 4 illustrates an ultrasound image after perineural femoral nerve injection of LAA
- 317 using the above-mentioned inguinal technique.
- Echevery *et al.* (2010) used a similar approach but performed the femoral nerve block on the undermost
- 319 pelvic limb with the dogs positioned in lateral recumbency. The study included anatomical dissection
- 320 of pelvic limb cadavers, performance of the nerve block on cadavers and perineural injection of
- 321 0.3mL/kg of lidocaine 1% in sedated dogs. The US-guided identification of the femoral nerve was
- 322 difficult in vitro and could not be identified in 50% of cases in vivo.
- 323 The femoral nerve courses distally as the saphenous nerve and passes within the adductor canal. An
- 324 adductor canal nerve block technique has been evaluated under US-guidance in dog cadavers' (Castro
- 325 et al. 2018). The US-transducer was placed in the longitudinal plane of the pectineus muscle. Methylene
- 326 blue injection of 0.3 mL/kg within the adductor canal was obtained in 100% of cases but stained the
- 327 saphenous nerve > 2cm only in 55% of cases. The success rate of this technique seems low and
- 328 refinement of the technique and clinical efficacy need further investigations.

329

Figure 4.



344 Transverse Ultrasound image of LAA seen as an anechoic pocket of fluid next the femoral nerve (FN), 345 seen as a thin hypoechoic structure surrounded by a hyperechoic rim. The femoral artery (FA) can be 346 observed on the image as an anechoic structure. The femoral artery (FA) is an important anatomical 347 structure to identify the femoral nerve as those two structures are located close to each other. Ca: 348 caudal; Cr: Caudal.

4.2. Sciatic nerve

350

4.2.1. Proximal approaches to the sciatic nerve

The ultrasonographic approach of the sciatic nerve as it crosses the ilium and along its entire course of the pelvic limb in dogs has been described (Benigni *et al.* 2007). An US-guided parasacral approach of the sciatic nerve is reported (Shilo *et al.* 2010). They scanned the sciatic nerve in a longitudinal plane. They compared the efficacy of perineural injection of 0.03 mL/kg, 0.06 mL/kg or 0.13 mL/kg of bupivacaine 0.5% with perineural injection of saline solution. Unfortunately, the sciatic sensory nerve block after bupivacaine injection was only effective in four out of the six Hound dogs (67%) included in the study. This suggest that either the technique or the volume of LAA should be modified.

An alternative US-guided approach has been described as the nerve passes between the great trochanter and the ischiatic tuberosity (Costa-Farré *et al.* 2011). The nerve could be visualised using a transverse view as a hypoechoic structure surrounded by a hyperechoic rim caudal to the great trochanter and caudal to the ischiatic tuberosity. The caudal gluteal artery was observed caudal to the nerve. A perineural sciatic nerve injection of 0.1 mL/kg of lidocaine 2% was performed in five sedated dogs. The nerve block components were evaluated during three hours after LAA injection. Complete motor block was only obtained in three dogs. The sensory nerve block was complete for the peroneal component of the sciatic nerve but was only partial for the tibial component of the sciatic nerve in all dogs. This technique can be used to perform US guidance of the sciatic nerve between the great trochanter and the ischiatic tuberosity but refinement of the technique is needed to obtain complete sensory nerve block.

368

4.2.2. Mid-femoral approach

369 Descriptive studies using the US-guided technique to block the sciatic nerve frequently use a more distal
370 approach. The nerve is localised distal to the ischiatic tuberosity at the level of the caudal thigh (Campoy
371 *et al.* 2010; Echeverry *et al.* 2010).

372 Campoy *et al.* (2010) evaluated a mid-femoral US-guided approach to block the sciatic nerve in four 373 experimental Beagle dogs. A volume of 0.05 mL/kg of lidocaine and methylene blue solution was 374 injected perineurally. Dogs were euthanised and anatomical dissection was performed. Sciatic nerve 375 staining was adequate in three dogs. The US image of the sciatic nerve was adequate. Muscles 376 surrounding the sciatic nerve which can be visualised on the ultrasound include: the *biceps femoris* 377 *muscle* (craniodorsal), the *abductor muscle* (cranioventral) and the *semimembranosus muscle* (caudal). 378 This study was the first to describe an US-guided sciatic nerve block in dogs.

379 Echeverry et al. (2010) also described an US-guided sciatic nerve block using a mid-femoral acoustic 380 window. They first performed anatomical dissection of eight pelvic limbs to localise the sciatic nerve precisely; second, they evaluated the ultrasonographic appearance of the sciatic nerve in another eight 381 pelvic limbs; third, they performed US-guided sciatic nerve block in eight sedated dogs. The sciatic 382 383 nerves were easily identified during the second phase of the study and nerve blocks were performed 384 successfully during the third phase of the study. The practicability of the mid-femoral US-guided sciatic 385 nerve block could be validated. The mid-femoral approach described by Campoy et al. (2010) and 386 Echeverry et al. (2010) are now widely applied in clinical practice and are often referenced in clinical

387 studies (Arnholz et al. 2017; Warrit et al. 2019a; Warrit et al. 2019b).

388 Those techniques, combined with a femoral nerve block, provide efficient analgesia distal to mid femur.

389 They are particularly useful for elective knee surgery such as TPLO, tibial tuberosity advancement or

390 the extracapsular repair technique, which are surgical procedures routinely performed in dogs. Figure 5

- 391 illustrates an US image using a mid-femoral approach for sciatic nerve blockade.
- 392

Figure 5.



394

Transverse ultrasound image of LAA seen as an anechoic pocket of fluid next to the sciatic nerve (SN).
The nerve can be observed on the image as an elongated structure surrounded by a hyperechoic rim with
two hypoechoic rounded structures in the middle. The circled structure represents the needle tip (NT).
Cr: cranial, Ca: caudal.

399 5. Risks and benefits of locoregional anaesthesia

400 Every medical procedure is associated with its own risks. The right balance between the benefits and 401 risks needs to be outweighed thoroughly. The benefits of locoregional anaesthesia outweigh its risks and 402 it is therefore routinely performed in clinical practice every day. Locoregional anaesthesia has the 403 potential to reduce perioperative mortality in human patients undergoing vascular surgery compared to 404 general anaesthesia (Hajibandeh et al. 2018; Bennett et al. 2019). Locoregional anaesthesia performed 405 under US-guidance might possibly contribute to reduce the risks of neurological complications after peripheral nerve block. This chapter reviews the benefits and risks of locoregional anaesthesia and 406 407 focuses on the advantages and disadvantages of the US-guided technique.

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408
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5.1. Benefits of locoregional anaesthesia

409 The advantages of nerve blocks are numerous. The main benefit is without any doubt the pain control 410 provided by the LAA as the nerve transmission is reversibly interrupted. This enables the reduction of 411 pain in the postoperative phase as long as the LAA exert its effects. A Cochrane database review highlighted the evidence of better pain control in human patients undergoing elective hip replacement 412 413 after peripheral nerve block or neuraxial anaesthesia compared to systemic analgesia (Guay et al. 2017). 414 A femoral nerve block provides better pain control than patient-controlled analgesia for total knee 415 replacement (Chan et al. 2014) and might also reduce the incidence of postoperative nausea and 416 vomiting. The addition of locoregional anaesthesia to the multimodal anaesthetic regimen might also 417 reduce the perioperative need of opioids.

In dogs, locoregional anaesthesia is usually combined with general anaesthesia as dogs will not stay still during surgery. Pelvic limb peripheral nerve blocks and epidural anaesthesia have shown to reduce the minimum alveolar concentration in dogs (Campoy *et al.* 2012a, Portela *et al.* 2013b, Romano *et al.* 2016). Lately, a "zero pain philosophy" website (<u>https://www.zeropainphilosophy.com/</u>) to "achieve analgesic excellence" in dogs has been created. Many information and support for veterinarians are available online. To achieve this goal, locoregional anaesthesia clearly needs to be provided in dogs before invasive surgeries.

425

5.1.1. Benefits of the ultrasound-guided technique

426 The benefits of the US-guided technique are real. This method enables precise localisation of neuronal 427 structures and their adjacent anatomical structures, anatomical variation might be identified, the needle 428 and the exact administration and spread of LAA can be observed in real-time (Marhofer 2010). Other 429 benefits of the US-guided technique include: lower amount of needle pass, shorter time to perform the nerve block, shorter onset time of nerve blockade and a lower dose of LAA might be needed 430 431 (Koscielniak-Nielsen 2008). The different anatomical structures such as blood vessels, nerves, muscles, 432 bones and tendons can all be visualised and the risk of intraneural or intravascular injection is reduced 433 with the use of US (Marhofer et al. 2005). Hematoma or iatrogenic puncture of a vessel might be reduced

when the US technique is used because direct visualisation of vessels is possible. All thoses advantages 434 435 illustrate the usefulness of this technique for clincial practice.

436

5.2. Risks & complications of locoregional anaesthesia

437 Risks and complications of locoregional can be classified as major or minor complications. Under major 438 complications we understand that consequences can be irreversible, catastrophic or have long-term 439 consequences for the patient. Minor complications are usually self-limiting without long-term 440 complications for the patients.

- 441 A publication has reported the incidence of major complications in France to be 3.5/10'000 in human 442 patients. The list of complications was reported as follows: << (1) Cardiac arrest requiring cardiac 443 massage and/or epinephrine; (2) acute respiratory failure requiring tracheal intubation and/or assisted 444 ventilation; (3) seizures; (4) peripheral nerve injury, defined as a sensory and/or motor deficit with clinical and/or electrophysiological abnormalities suggesting a peripheral site of injury and no evidence 445 446 of spinal cord lesion; (5) cauda equina syndrome; (6) paraplegia; (7) cerebral complication; (8) 447 meningeal syndrome; and (9) death » (Auroy et al. 2002). A review has analysed the rate of neuropathy 448 after central (spinal or epidural) anaesthesia and after peripheral nerve block (Brull et al. 2007). The rate 449 of reported neurological complication is 0.04% after central anaesthesia and 3% after peripheral nerve block. There was only one reported case of permanent neuropathy after peripheral nerve block in the 450 451 studies analysed in this review. The risk of neurological complication after peripheral nerve blockade 452 remains rare. Those complications were reported in humans and reports on the incidence of major 453 complications in dogs are lacking.
- 454 Tingling or bruising sensations, infections or vascular trauma with subsequent hematoma formation are 455 examples of minor complications without long-term consequences for the patient. An excessive duration 456 of motor blockade (>24 hours) can sometimes be observed. This might happen when large volume and 457 concentrations of LAA are used. In that case, self-injury is possible and regular monitoring of the dog 458 is advised. This is best avoided by judicious use of appropriate volumes and concentration of LAA, 459 especially in large dogs.
- 460 To minimise the risks of nerve trauma, it is recommended to use a needle with a short-bevelled tip. 461 Needles with special shape will help to visualise the needle tip with precision to reduce the risk of 462 traumatic puncture of the nerve (Schafhalter-Zoppoth et al. 2004). Severe nerve lesions usually happen 463 when injection or puncture of the endoneurium occurs. Lesions of the epineurium are commonly mild 464 and transient (Neal et al. 2015). Hypodermic sharp needles are best avoided to perform locoregional anaesthesia to avoid intraneural injection. The risk of infection can be minimised by using a strict aseptic 465 466 technique. A nerve block should not be performed whenever signs of infection or pyoderma are observed 467 after shaving of the dog's skin. Additionally, locoregional anaesthesia should not be performed in dogs suffering from thrombocytopenia or coagulopathies. The syringe should also be aspirated and the needle 468
- 469 hub observed for signs of blood before LAA injection to avoid intravascular injection (Grubb &

470 Lobprise 2020). Applying the above-mentioned recommendations will help to reduce the risk of471 complications in locoregional anaesthesia

472

5.2.1. Risks and disadvantages of the US-guided technique

473 The risk of the ultrasound-guided technique depends on the operator performing for peripheral nerve 474 blocks. Special skills and adequate knowledges of the anatomy are mandatory to guide a needle under 475 direct visualisation to prevent iatrogenic damage. Unfortunately, there is a lack of large randomised 476 clinical trial comparing the risk of complications of the US-guided technique compared with other 477 techniques in human and veterinary medicine (Marhofer et al. 2010). The question about the increased 478 margin of saftey with the US technique still creates a debate within the regional anesthesia world. A 479 study found that patients still had neurological symptoms such as numbress or tingling ten days (8.2%), 480 one month (3.7%) or 6 month (0.6%) after US- guided nerve blocks (Fredrickson et al. 2009). A recent 481 review suggested that the US-guided technique in children seems to reduce the risk of failed block but 482 does not or only minimally reduce the risk of minor complications (Guay et al. 2019). However, it seems 483 evident that if the technique is used correctly, the margin of saftey might be increased. There is little 484 evidence to draw strong conclusions in dogs. It is likely that results from human medicine might be 485 extrapolated to veterinary medicine. Interestingly, a study in dogs concluded that costs of US-guided 486 sciatic and femoral nerve block might be increased due to the equipment required but that the anesthesia 487 costs related to pain management and complications migth be decreased (Warrit et al. 2019a). The cost of the initial investment for the purchase of the US equipment in a clinical practice might be a 488 489 disadvantage. The learning curve of the operator for successful block is usually slow. The success of an 490 US-guided nerve block greatly varies with the skills of the operator for a given technique (Marhofer et 491 al. 2005). Those might also be considered as disadvantages of the technique.

492

493 **6. Local anaesthetic agents**

494 Various types of LAA are available for clinical use. Commonly used LAA include lidocaine, ropivacaine 495 or bupivacaine, among others. These LAA all vary by their duration of action, their potency and their 496 onset. The duration of action of LAA is primarily determined by their protein affinity. The stronger the 497 affinity, the longer the duration of action (Becker & Reed 2012). The duration of action is of particular 498 interest. The longer the duration of action, the longer the duration of analgesia will be. Unfortunately, 499 even when using long acting LAA such as ropivacaine, levobupivacaine or bupivacaine, the duration of 500 action rarely exceeds 6 hours (Rioja Garcia 2015, Lemke & Dawson 2000). This duration of action is 501 short and research should aim on developing strategies to prolong the analgesia provided by LAA. The 502 combination of adjuvants to LAA might be a possible approach (see Chapter 7). The anaesthetic potency 503 is dependent on the lipid solubility of the agent. This affects the diffusion through the nerve sheath. As 504 an example, ropivacaine is more lipid soluble than lidocaine and therefore more potent than lidocaine. 505 This explains that ropivacaine is usually commercialised as a 0.5% solution and lidocaine as a 2%

solution. The onset time of a LAA is also dependent of the lipid solubility of the LAA. Another important factor for the onset time is the ionisation constant (pKa) of the LAA. According to the Henderson-Hasselbach equation, the pKa of a LAA molecule will determine which proportion of the drug is in the ionised and the non-ionised form, which is determinant for penetration of the nerve tissue and is responsible for the onset time of the LAA. Local anaesthetic agents can be classified using different classification schemes. The classification by their duration of action is one of them. Local anaesthetic agents are also commonly classified by their chemical structure as ester-linked or amide-linked LAA.

513 **6.1. Classification**

514 LAA are formed by a lipophilic aromatic ring structure, an intermediary chain and a hydrophilic amino

515 group at the end of the chain. The nature of the intermediary chain is determinant for their classification

516 according to the chemical structure.



517

518

6.1.1. Ester-linked local anaesthetic agents

The intermediary chain of Ester-linked LAA is formed of an ester group (COO-CH2). They are Aminoester LA. They are not commonly used in clinical practice because of their usual shorter duration of action. This is due to their rapid hydrolysation by plasma choline esterases. Cocaine, procaine, tetracaine or benzocaine are examples of amino-ester linked LAA.

523

6.1.2. Amide-linked local anaesthetic agents

524 The intermediary chain of Amide linked LAA is composed of an amide group (NH-CO-CH2). The LAA 525 of this group are commonly used in clinical practice due to their chemical nature, which is suitable for 526 clinical use. Lidocaine, ropivacaine or bupivacaine are examples of LAA of this group.

527 **6.2. Mechanism of action**

After being in contact and diffusion into nerve tissue, LAA will block nerve conduction by blocking the formation of action potentials. This means that the nerve signal transduction is unable to take place. This action is mediated by reversibly blocking the sodium (Na⁺) voltage-gated channels. The ionised form of the LAA will bind to the sodium channel. This will prevent the receptor to function properly. No further action potential can be formed because the inactive state of the receptor prevents depolarisation. The sodium channels are closed at resting membrane potential. Whenever a depolarisation occurs, they
rapidly open to upstroke the action potential before closing again. Depolarisation is therefore essential
to form an action potential (Becker & Reed 2012, Lagan & McClure 2004).

536

6.3. Examples of local anaesthetic agents

Ropivacaine is commonly used in veterinary clinical practice for peripheral nerve block.
Levobupivacaine is used to a lesser extent compared to ropivacaine but is also regularly applied for
locoregional anaesthesia in dogs. The profile of ropivacaine and levobupivacaine are briefly described
as both LAA were used for perineural injections in this thesis.

6.3.1. Ropivacaine

542 Ropivacaine is an amino-amide LAA with a duration of action of up to 6 hours (Rioja Garcia 2015). 543 The interesting pharmacokinetic profile of ropivacaine is that it is less lipophilic than other LAA, less than bupivacaine for example. Ropivacaine might less likely penetrate larger motor fibers than 544 bupivacaine. This could possibly reduce motor blockade compared to sensory blockade. This might be 545 of advantage when motor blockade needs to be reduced. This might promote early postoperative 546 547 locomotion while pain sensation might still be absent. However, this is not always as straight forward when ropivacaine is used in clinical practice (Kuthiala & Chaudhary 2011). The profile of ropivacaine 548 549 regarding its toxicity in case of inadvertent overdose or intravascular injection makes it a safe and 550 suitable LAA for clinical use. Ropivacaine is less cardiotoxic and neurotoxic than bupivacaine (Kuthiala & Chaudhary 2011). 551

552

6.3.2. Levobupivacaine

553 Levobupivacaine has an interesting clinical and pharmacokinetic profile. Levobupivacaine is an 554 enantiomer preparation and does not contain the R(+) isomer of the racemic mixture of bupivacaine. 555 The R (+) isomer had been associated with central nervous system and cardiovascular complications 556 after injections (Heppolette et al. 2020). Levobupivacine has therefore a larger therapeutic index than 557 bupivacaine (Bajwa & Kaur 2013). Levobupivacaine conserves the advantages of bupivacaine such as 558 a long duration of action up to 240-360 minutes (Lemke & Dawson 2000) and makes it one of the LAA 559 of choice for clinical and experimental projects involving locoregional analgesia in dogs. Interestingly, 560 a PRISMA meta-analysis in human medicine has recently concluded that levobupivacaine seems to be 561 more potent than ropivacaine when used for peripheral nerve block (Li et al. 2017).

562

563 7. Adjuvants for locoregional anaesthesia

Local anaesthetic agents exert their function through their physico-chemical properties. Different agents have been combined with LAA to modify those properties in an attempt to prolong the duration of action or to hasten the onset time. Adrenaline is the most common adjuvant combined to LAA. Recently, the effects of dexamethasone and dexmedetomidine combined to LAA have been studied. Other agents such as bicarbonate, opioids (e.g., buprenorphine) or midazolam can be combined to LAA but are not commonly used in a clinical setting for peripheral nerve blockade (Brummett & Williams 2011).

570 7.1. Adrenaline

571 Adrenaline has been combined to LAA since decades. The drug causes vasoconstriction and will slow 572 the systemic reabsorption of the LAA. It will therefore prolong the duration of the nerve block. The 573 recommended ratio of adrenaline to LAA for clinical use is 1:400'000 to 1:200'000 (Rioja Garcia 2015). 574 Adrenaline is often combined to short acting LAA such as lidocaine. The action of adrenaline is not as 575 effective when it is combined to LAA with a longer duration of action. Some authors therefore do not 576 recommend its use with ropivacaine or bupivacaine for example (Brummett & Williams 2011). The 577 prolonged duration is usually self-limited and the prolonged motor blockade is not superior to 60 578 minutes (Tschopp et al. 2018).

579

7.1.1. Complications with adrenaline

The combinations related to the use of adrenaline with LAA are not always harmless. Adrenaline is a potent catecholamine acting on adrenergic receptors and interact directly with the cardiovascular system. The use of adrenaline should be used with caution in patients at risk of arrhythmia or cardiac ischemia. A decreased blood flow to the spinal cord is possible when adrenaline is injected intrathecally. It should also be used with caution in patients prone to neurotoxicity as a consequence of decreased blood flow (Niemi 2005). Tissue necrosis at the injection site because of vasoconstriction should be considered (Hartzell *et al.* 2010).

587 7.2. Bicarbonate

588 Local anaesthetic agents can also be combined with sodium bicarbonate 8.4%. The rationale behind is 589 to increase the pH of the solution to speed the onset time and duration of action of the LAA. The 590 literature remains controversial on this topic (Rioja Garcia 2015). Bicarbonate will also decrease pain 591 on injection as it is known that LAA injection might be painful especially if the solution is injected 592 quickly. However, it seems to have little effect when combined to ropivacaine or bupivacaine. The 593 combination of bicarbonate with bupivacaine might cause precipitation of the solution (Bourget et al. 594 1990). The solution should be inspected before perineural or epidural injection and bicarbonate should 595 be used with caution.

596 **7.3. Dexamethasone**

597 Dexamethasone is a synthetic anti-inflammatory corticosteroid. It is commonly used to treat 598 inflammatory pain (Zhou *et al.* 2018). Its efficiency as perineural adjuvant has been tested in several 599 clinical trials in humans. A Cochrane review has proven that perineural dexamethasone combined with 600 LAA can prolong sensory nerve block by six and a half hours compared to LAA alone in humans but 601 the quality of evidence was low (Pehora *et al.* 2017). Dexamethasone is thought to negatively affect 602 wound healing due to its effect on fibroblasts, collagenisation and epithelisation (Mahmut *et al.* 2003). 603 In humans, "a single dose of dexamethasone probably does not increase the risk of postoperative 604 infection" (Polderman et al. 2018). This suggest that a single dose might probably be used safely for peripheral nerve blocks. A meta-analysis compared the clinical efficacy of dexmedetomidine and 605 606 dexamethasone as adjuvants to LAA for supraclavicular brachial plexus block (Albrecht et al. 2019). 607 Dexamethasone significantly prolonged the duration of analgesia by 2.5 hours compared to 608 dexmedetomidine. The scientific evidence was low and research focussing on direct comparison of both 609 drugs used as perineural adjuncts was recommended. The combination of ropivacaine and 610 dexamethasone should be used with caution because the mixture of both solutions might cause 611 precipitation (Watkins et al. 2015). The effects of perineural dexamethasone have not been studied in 612 dogs to date.

613 **7.4. Opioids**

614 Opioids are very effective to control pain. They are often administered systemically by intramuscular or 615 intravenous injection. This can induce systemic side effects such as panting, nausea, vomiting or 616 bradycardia. Opioids such as morphine or buprenorphine can be combined with LAA and administered 617 in the epidural space to provide effective pain control in dogs (Smith & Kwang-An Yu 2001, Bartel et 618 al. 2016). The efficacy of perineural buprenorphine, fentanyl or morphine has been evaluated in different 619 clinical trials in humans (Kirksey et al. 2015). Buprenorphine seems the most promising opioid to 620 prolong sensory nerve block in humans. Sensory nerve block is prolonged by 6 hours after combination 621 with bupivacaine compared to nerve block without buprenorphine (Candido et al. 2010). Morphine and fentanyl do not seem to prolong nerve block effectively (Kirksey et al. 2015). Systemic side effects such 622 623 as postoperative nausea and vomiting are commonly reported when opioids are used as adjuvants 624 (Kirksey et al. 2015). This might be due to the reabsorption of opioids after perineural injection.

625 **7.5. Dexmedetomidine**

626 Dexmedetomidine is one of the most promising adjuvants used for locoregional anaesthesia. 627 Dexmedetomidine is a potent alpha 2 adrenoceptor agonist that exerts analgesic properties (Grosu & 628 Lavand'homme 2015). It has been extensively studied in human medicine due to its potential to 629 effectively prolong peripheral nerve blocks. The results of several clinical trials have been supporting 630 the use of long-acting amide-type LAA combined with dexmedetomidine in clinical settings (Fritsch et 631 al. 2014; Keplinger et al. 2015). It has been proven that perineural dexmedetomidine reduces 632 postoperative pain, enhances patients' satisfaction and decreases postoperative oral morphine 633 consumption (Vorobeichik et al. 2017). The amount of dexmedetomidine required to prolong 634 locoregional anaesthesia in different studies varies. Doses of 100 μ g per nerve block, 0.75 μ g/kg and 2 µg/kg have been suggested (Keplinger et al. 2015, Bisui et al. 2017, Jung et al. 2018). The minimal 635 dose of dexmedetomidine to efficaciously prolong sensory nerve block remains to be determined. 636 637 Whether the effect of dexmedetomidine on local nerve block is linked to the local or systemic action of the drug remains unknown but a study in healthy volunteers suggested that prolonged analgesia provided 638
by ropivacaine and dexmedetomidine at the saphenous nerve was possibly mediated by a peripheralmechanism (Andersen *et al.* 2017).

- 641 Dexmedetomidine is routinely used as a sedative agent in dogs but it is not commonly used as perineural
- 642 LAA adjuvant. To date, only two studies regarding the perineural injection of dexmedetomidine with
- 643 LAA have been published in dogs (Bartel et al. 2016, Trein et al. 2017). The analgesic efficacy of
- 644 perineural bupivacaine combined with dexmedetomidine is comparable to epidural bupivacaine with
- buprenorphine in dogs after stifle arthroplasty (Bartel et al. 2016). The addition of 0.2 µg/kg of
- 646 dexmedetomidine to ropivacaine seems insufficient to prolong sensory sciatic and femoral nerve block
- 647 in experimental dogs and a higher dose of dexmedetomidine is probably necessary (Trein *et al.* 2017).
- 648 The analgesic potential of perineural dexmedetomidine in dogs needs to be further studied. This was
- one of the aims of this thesis.

Goals

1 The field of US-guided locoregional anaesthesia is constantly expanding. The development of new 2 techniques, new US-guided approaches, new combinations of LAA are contributing to the well-being 3 of canine patients. Research in locoregional anaesthesia in human medicine is growing and efforts 4 should be made to expand the knowledge of locoregional anaesthesia in veterinary medicine as well. 5 The US-guided technique seems to be the best available tool for good clinical practice. The published 6 US-guided nerve blocks techniques were reviewed. We identified that some US-guided peripheral nerve 7 blocks techniques, such as the parasacral approach to the sciatic nerve, had an unsatisfactory reported 8 success rate. The main goals of this thesis were to improve the success rate of the parasacral approach 9 by modifying the existing technique and to evaluate the opioid requirements after the US-guided mid-10 femoral sciatic nerve block and inguinal femoral nerve block approaches in a clinical setting. 11 Unfortunately, the duration of action of LAA used alone is short. Therefore, we aimed to evaluate the 12 efficacy of dexmedetomidine combined to ropivacaine to prolong sensory nerve blocks and to evaluate 13 the potential of this combination to reduce postoperative opioids requirements in dogs undergoing 14 TPLO. In summary, the thesis aims to refine US-guidance for peripheral nerve blocks of the pelvic limb 15 in dogs.

Study 1: The aim of study 1 was to modify and improve an existing US-guided technique in dogs to achieve a better success rate than previously described (Shilo *et al.* 2010). The previously described USguided parasacral approach to the sciatic nerve in dogs used a longitudinal US view of the sciatic nerve and a low volume of LAA. The success rate of the technique reported by Shilo *et al.* (2010) was unsatisfying. The parasacral approach has been modified and the volume of LAA adapted to improve the success rate of this technique.

Study 2: The goal of study 2 was to evaluate the intraoperative and early postoperative opioid requirements in dogs undergoing TPLO. The differences of intraoperative fentanyl and postoperative methadone consumption after different techniques of locoregional anaesthesia were recorded for this purpose. The consumption of opioids after US-guided combined sciatic and femoral nerve block using the technique described by Campoy *et al.* (2010) was compared to single US-guided femoral or sciatic nerve block and to the epidural technique using surface anatomical landmark palpation.

Study 3: Study 3 aimed to compare different doses and routes of administration of dexmedetomidine for locoregional anaesthesia in experimental Beagle dogs. The nerve block duration of an US-guided sciatic and femoral nerve block with ropivacaine 0.5% combined with perineural or IV dexmedetomidine at different doses was determined. The second goal of this study was to measure plasma levels of dexmedetomidine after perineural and IV injections to demonstrate if the effect of perineural dexmedetomidine results from a perineural rather than a systemic mechanism of action.

1 Study 4: The study 4 was the clinical application of the findings of study 3. Perineural dexmedetomidine 2 at 1 µg/kg or the same volume of perineural saline solution was combined to ropivacaine 0.5% for USguided sciatic and femoral nerve block in dogs undergoing TPLO. The goal of this study was to 3 4 determine the amount of methadone required to treat pain in the postoperative phase between both 5 groups. The hypothesis was that the need for postoperative rescue analgesia with methadone would be 6 reduced in the dexmedetomidine group compared to the control group receiving saline solution. The 7 study was designed as a two-centre clinical trial, which allowed for a recruitment of a larger number of 8 participants and a wider range of population groups, and the ability to compare results among centres, 9 all of which increase the generalisability of the study results.

Experimental section

- Experimental section

Study 1:

Sciatic nerve block in dogs: description and evaluation of a modified ultrasound-guided parasacral approach

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1	Abstract
2	Objective To develop a modified ultrasound-guided parasacral approach to the sciatic nerve and
3	compare the effects of a volume of 0.2 mL kg ⁻¹ of 0.5% levobupivacaine to an equivalent volume of
4	0.9% saline injected near the sciatic nerve.
5	Study design Cadaveric and experimental, blinded, randomized study.
6	Animals Seven canine cadavers and seven experimental Beagle dogs.
7	Methods Both sciatic nerves of seven cadavers were identified using a modified in-plane ultrasound-
8	guided approach. Methylene blue solution (0.2 mL) was injected perineurally and success was evaluated
9	through dissection. The same approach was repeated in seven Beagle dogs sedated with
10	dexmedetomidine (50 μ g kg ⁻¹) injected intramuscularly (IM). After randomization, 0.2 mL kg ⁻¹ of 0.5%
11	levobupivacaine (limb L) and 0.2 mL kg ^{-1} of 0.9% saline (limb C) were injected perineurally on either
12	right or left limb. Block success was determined by sensory deficits every hour for 8 hours after an
13	atipamezole injection (0.2 mg kg ^{-1}) IM. Reaction to pinprick (binary score) over the course of the sciatic
14	nerve (4 locations) and locomotion were assessed.
15	Results The overall sciatic nerve block success was 93% in cadavers and 86% in sedated dogs. It was
16	impossible to localize the sciatic nerves in one obese sedated dog. Significant differences between limb
17	L and limb C were observed for pinprick at great trochanter, caudal thigh and lateral tarsal joint ($p < p$
18	0.0001). Reaction to pinprick was absent in all dogs at great trochanter and caudal thigh up to at least 3

hours on limb L. Locomotion was impaired in all but one dog for 60 (30–210) minutes (median;
interquartile range). No complications were observed.

21 **Conclusion and clinical relevance** A volume of ≥ 0.2 mL kg⁻¹ and a concentration of 0.5% 22 levobupivacaine can be recommended when using a modified ultrasound-guided parasacral approach to 23 the sciatic nerve in dogs.

-	

Introduction

2 The sciatic nerve provides sensory and motor fibres to the pelvic limb. Sciatic nerve block has 3 traditionally been performed using anatomical landmarks or electrical nerve stimulators. The 4 ultrasonographic approach to this nerve has been described in dogs (Benigni et al. 2007). The usefulness 5 of ultrasound (US)-guided sciatic nerve block has been demonstrated by several clinical studies (Costa-6 Farré et al. 2011; Arnholz et al. 2017). US-guided technique enables precise needle positioning in 7 relation to the target nerve and the spread of local anaesthetic can be observed in real time. Improved 8 peripheral nerve block success rate using the US-guided technique compared with the electrolocalization 9 technique has been reported by a meta-analysis performed in human medicine (Abrahams et al. 2009). A similar improved success rate was demonstrated in a study performed in cats for producing femoral 10 11 nerve block (Haro et al. 2016).

12 Descriptive studies using the US-guided technique for the sciatic nerve have used a mid-femoral 13 approach in dogs (Campoy et al. 2010; Echeverry et al. 2010). Alternatively, an approach to the nerve as it crosses the femur between the great trochanter and the sciatic tuberosity has been described (Costa-14 15 Farré et al. 2011). These techniques, combined with a femoral nerve block, appear to provide effective 16 analgesia distal to the femur. Analgesia of a proximal area, such as the hip joint, has traditionally been 17 provided using epidural anaesthesia (Wetmore & Glowaski 2000). A disadvantage of epidural 18 anaesthesia is that motor blockade of both pelvic limbs is achieved, preventing early postoperative 19 ambulation, whereas a nerve block of the sciatic nerve will provide analgesia for the surgical limb 20 without affecting the opposite limb.

The parasacral approach for injection of local anaesthetic around the sciatic nerve in dogs has been reported using electrolocalization (Portela *et al.* 2010) or US-guided technique using a long axis view (longitudinal plane) (Shilo *et al.* 2010). The authors suggested to modify either the dose or the USguided technique before applying it to clinical cases. 1 Objectives of this study were first, to develop, describe and evaluate a modified technique to 2 block the sciatic nerve at the parasacral level using an US-guided cross-section view (transverse plane) 3 in Beagle cadavers. Second, to compare levobupivacaine (0.2 mL kg^{-1} ; 0.5%) with an equivalent volume 4 of 0.9% saline solution when injected adjacent to the sciatic nerve. We hypothesized that locomotion, 5 proprioception and sensory functions would be normal in the control pelvic limb (saline) but 6 significantly reduced or absent in the treatment limb (levobupivacaine).

Materials and Methods

An ethical approval was obtained for the experimental part of the study. The authorization was
delivered by the University of Liège, Belgium, approval for animal experimentation (Commission
d'éthique animale; no. 1770).

5 Cadaver study. Anatomical dissections were performed in canine cadavers after localization of the sciatic nerve at the level of the iliac crest using ultrasound and injection of methylene blue dye. 6 7 Seven adult female Beagle cadavers, euthanized for reasons unrelated to the study, were studied. The 8 cadavers were thawed, placed in any lateral recumbency and the hair clipped over the entire pelvic area. 9 The site was cleaned; first with a chlorhexidine-based solution, then with alcohol based medical 10 solutions. The sciatic nerve was localized using a portable US unit (M-Turbo; SonoSite Inc., WA, USA) 11 and a high frequency 8–13 MHz linear probe. The observer was positioned dorsally to the cadaver and 12 contact transmission gel was applied on the area. The US probe was placed perpendicular to the skin 13 surface at the centre point of a line between the ischiatic tuberosity and the sacral tuberosity. The 14 ultrasound position represents the starting point to localize the sciatic nerve in transverse section at the modified parasacral level (Fig. 1). The probe was then rotated from this point towards the first coccygeal 15 16 vertebra, until an angle of $60-90^{\circ}$ between the ultrasound and the vertebral column was obtained. The 17 nerve could be localized as a hypoechogenic rounded structure at the surface of the iliac spine visible as 18 a convex hyperechoic interface associated with distal acoustic shadowing (Fig. 2). The probe was glided 19 cranially to follow the sciatic nerve in transverse plane until it was overshadowed by the iliac spine and 20 could no longer be visualized (Fig. 3). An echogenic stimulation needle (21 gauge, 10 cm, SonoPlex 21 Stim cannula; Pajunk USA, GA, USA) was inserted in a caudolaterodorsal to craniomedioventral 22 direction under US-guidance using the in-plane technique. The needle was carefully advanced until the 23 tip could be visualized near the target nerve and methylene blue (0.2 mL) was injected. The cadaver 24 was turned to the opposite lateral recumbency and same procedure was repeated for a total of 14 25 injections in seven cadavers. The skin and subcutaneous fat was incised in a craniodorsal to caudoventral direction over the iliac crest, and skin and subcutaneous fat was removed. The gluteal musculature was
dissected and abducted to evaluate the distribution of the dye. A perineural injection was considered
successful when dye stained perineural connective tissue in direct contact with the sciatic nerve and/or
the nerve itself (Fig. 4). The perineural placement of dye was considered a failure if no stained tissue
was in direct contact with the nerve.

6 **Figure 1.**



7

8 Ultrasound probe positioned at the centre of a line connecting the sacral tuberosity (ST) and the ischial 9 tuberosity (IT) in a dog positioned in right lateral recumbency. Probe is rotated towards the tail base. 10 Arrow indicates the needle insertion point, using the red line as a reference point.

Figure 2.



Ultrasound image of the sciatic nerve (SN) in transverse section as a round hypoechoic structure at the surface of the ischiatic spine (IS) visible as a convex hyperechoic interface associated with distal acoustic shadowing. GM, gluteal musculature; V, ventral; D, dorsal; L, lateral, M, medial.

Figure 3.



Ultrasound image of the sciatic nerve (SN) passing under the greater ischiatic notch (GIN). The needle tip (NT, circled structure) is positioned near the SN for local anaesthetic injection. The sciatic nerve localization in this image is deeper (approximately 2 cm) and more cranial (approximately 3 cm) compared with Figure 2. GM, gluteal musculature; V, ventral; D, dorsal; L, lateral, M, medial. **Figure 4.** Staining of the sciatic nerves in different dogs after an ultrasound-guided injection of methylene blue (0.2 mL) using a modified parasacral approach. Arrows indicate areas of staining. Ca, caudal; Cr, cranial; D, dorsal; GIN, greater ischiatic notch; SN, sciatic nerve; V, ventral.



Figure 4a.

(a) positive perineural staining

Figure 4b.

Figure 4c.

(c) negative staining

(b) partial staining

In vivo experimental study. A group of seven research Beagle dogs (four males, three females) 2 aged 5.1 ± 4.0 years were used to perform locoregional anaesthesia of the sciatic nerve. The experiments 3 were carried out in an equipped research room located in the same building as the kennel to which the 4 dogs had already been acclimatized. All dogs were fed dry commercial food once daily but were fasted 5 for 24 hours prior to the experiments. They were fed in the late morning on the day of the experiment 6 after fully recovering from sedation. Water was provided *ad libitum*. No abnormalities were detected 7 during physical examination (including neurologic and orthopaedic examinations) and the dogs were 8 classified as American Society of Anesthesiologists Class I. The dogs weighed 15.2 ± 1.5 kg and their 9 body condition score (BCS: 1–9) was 5.9 ± 1.3 (Nestlé Purina Body Condition Score chart https://www.allpetsny.com/uploads/Body condition chart dog.pdf, last accessed on 05.09.2018). 10

11 The dogs were sedated with dexmedetomidine (50 μ g kg⁻¹; Dexdomitor; Zoetis, Belgium) 12 injected intramuscularly (IM) in the triceps muscle. Oxygen was supplemented at 2 L minute⁻¹ throughout sedation via a circle breathing system equipped with a face mask. The anatomical site was 13 14 prepared and the sciatic nerve was localized as described in the cadaveric part of the study. For each dog, levobupivacaine (1 mg kg⁻¹; 0.2 mL kg⁻¹; 0.5%, Chirocaine; AbbVie, Belgium) was injected 15 adjacent to the sciatic nerve (treatment L) and another injection of saline (0.2 mL kg⁻¹; NaCl 0.9%) was 16 17 injected on the opposite side using the same technique (treatment C). Treatment L was randomly 18 assigned to the right or left sciatic nerve using a random program generator (www.random.org). 19 Treatment C was injected in the contralateral limb. Perineural injection was first performed at the right sciatic nerve. The investigator (VM) performing and evaluating the nerve blocks was not aware of 20 treatment assignment until all experiments were completed. Atipamezole (0.2 mg kg⁻¹; Antisedan: 21 22 Zoetis, Belgium) was administered IM after both injections were performed.

23 Tests to evaluate locomotion, proprioception and sensitivity were performed on each limb. Locomotion was evaluated clinically and quantified by a motricity score (1, complete weight bearing 24 on the limb; 2, partial weight bearing on the limb; 3, no weight bearing) and by the ability to walk in a 25 26 circle (1, no missteps present; 0, missteps present). Proprioception was evaluated through reposition of

1 the limb after the dorsal part of the digits was positioned on the ground (knuckling test) and following 2 scores were attributed: 1, immediate reposition of limb; 2, reduced or retarded reposition of the limb; 3, 3 no reposition of the limb. Antinociception was evaluated by pinprick with a 22 gauge, 32 mm 4 hypodermic needle applied at 5 locations: a) over the sacrum, b) over the great trochanter of the femur, 5 c) at the caudal aspect of the thigh at the mid-femoral level, d) over the lateral part of the tarsal joint and 6 e) interdigitally between the third and fourth digit. A reaction to toe clamping was assessed by clamping 7 most lateral and most medial pads of digits with the flat portion of a mosquito forceps. A manual increase 8 in pressure was applied for 2 seconds but the first ratchet was not closed to avoid iatrogenic tissue 9 damage. The pressure was immediately released as soon as a positive reaction was observed. The tests 10 to evaluate the sensory component (pinprick and toe clamping) were considered positive (score = 1) if 11 withdrawing of the limb, crying, barking, actively looking at the stimulated area or escaping was 12 observed and considered negative (score = 0) if none of these behaviours was observed.

A baseline measurement (T0) was performed prior to the experiment for each test. The measurements were repeated 10, 20, 30 and 60 minutes after atipamezole injection (T10, T20, T30, T60; respectively) and every hour (T120, T180, T240 etc.) until baseline values had returned in all categories. Orthopaedic and neurologic examinations were performed in all dogs 24 hours after the experiment to identify any signs of nerve damage or residual blockade.

18 Statistical analysis. A sample size calculation using an online calculator (sealedenvelope.com) 19 for a binary outcome superiority trial was used. A sciatic nerve block success of 60% when using 20 levobupivacaine against a success of 0% when using saline was considered. A total of six animals were 21 integrated to the study to have an 80% chance with an alpha standard error of 5% to detect a significant 22 difference. One animal has been added in case of possible loss of data. Beagle dogs, seven cadavers and 23 seven live dogs were included in the study. A statistical analysis was performed using GraphPad Prism 24 Version 5.00 for Windows (GraphPad Software, CA, USA). The data were analyzed for normality distribution using the Kolmogorov-Smirnov test. Parametric data are presented as mean ± standard 25 deviation. Comparison between treatments L and C in each dog was performed with Wilcoxon matched 26

- 1 pairs signed rank tests and Bonferroni correction for 10 time points (T10–T420) was applied. Changes
- 2 over time observed at different time points (T0–T480) between subjects were analyzed using Kruskal-
- 3 Wallis test with Dunn's post hoc correction whenever significant differences were observed.
- 4 Significance was set at $p \le 0.05$ and at $p \le 0.005$ with Bonferroni correction.

Results

2	Cadaver study. Injections were considered successful in 13 of 14 nerves (93%) (Fig. 4). In one
3	dog, tissues lateral to the iliac crest and the deep gluteal muscle were stained and not the sciatic nerve.
4	In vivo experimental study. The sciatic nerve could not be localized in the first dog (intact
5	female, bodyweight 17.6 kg, BCS 8/9) and the perineural injections were not performed. Treatment L
6	was injected on the right side in four dogs and the left in two dogs. Measurements were recorded up to
7	T300 in one dog, to T360 in one dog, to T420 in three dogs and to T480 in one dog before return of
8	baseline values (Figs 5 & 6). No adverse effects were observed during and after the perineural injections.
9	Assessment of locomotion. There was a statistical difference between limb C and limb L in the
10	motricity score ($p < 0.0001$) and in the ability to walk in a circle ($p < 0.0001$). One dog had no locomotor
11	dysfunction despite having modified sensory scores. Duration of motor block deficits (score = 2) was
12	60 (30–210) minutes (median, interquartile range). Locomotor score ($p = 0.0024$) and ability to walk in
13	circles ($p = 0.0011$) over time did not reach significant differences after Dunn's <i>post hoc</i> correction (Fig.
14	5).
15	Assessment of proprioception. A decreased reposition of the limb (score = 2) was observed in
16	three out of six dogs at T10 and T20 in one dog, at T10 in one dog and at T20-T180 in the last dog. The

17 knuckling test was not significantly different between limb L and limb C (p = 0.006) in each dog, neither 18 was it over time between subjects (p = 0.395). The orthopaedic and neurologic examinations performed 19 24 hours after the experiments were normal in all dogs.

Assessment of sensory component. Pinprick testing revealed a statistical difference between limb L and limb C at the great trochanter of the femur (p < 0.0001), at the caudal aspect of the thigh, at the mid-femoral level (p < 0.0001) and at the lateral part of the tarsal joint (p < 0.0001; Fig. 6). Reaction to pinprick at the sacrum was always observed and a score of 1 was recorded at all time points. The reaction to interdigital pinprick and to lateral toe clamping did not reach statistical difference with

1 Bonferroni correction (p = 0.0369). Reaction to clamping of the medial pad was always observed and a 2 score of 1 was recorded at all time points. Sensory test measurements over time were significantly 3 different for the great trochanter and caudal thigh sites at time points T0 (baseline) versus T10-T180 and at time points T10–T180 versus T480 (both sites p < 0.0001). The sensory component over time at 4 5 the tarsal joint site was significantly different between T0 versus T60 and between T60 versus T420-T480 (p < 0.0004), but not for the interdigital site and lateral toe clamping (p = 0.7643). The success 6 7 rate of the sensory nerve block was 86% (six of seven successful perineural sciatic nerve injections) as 8 determined by significant sensory deficits (score = 0) observed in six animals at the great trochanter, 9 caudal thigh and lateral tarsal joint sites.







12 Number of dogs with locomotor and proprioceptive deficits after an ultrasound-guided injection of 0.5%

13 levobupivacaine (0.2 mL kg-1) at the parasacral level of the sciatic nerve.

1 Figure 6.





Number of dogs with sensory deficits assessed by pinprick with a 22-gauge hypodermic needle at
different anatomical sites after an ultrasound-guided injection of 0.5% levobupivacaine (0.2 mL kg-1)
at the parasacral level of the sciatic nerve.

Discussion

2 The first objective of the study was to develop a modified US-guided parasacral approach to the 3 sciatic nerve evaluated by cadaver dissections. A success rate of 93% was achieved. The second objective was to compare the effect of 0.5% levobupivacaine (0.2 ml kg⁻¹) versus saline solution injected 4 near the sciatic nerve using the evaluated technique. A success rate of 86% was achieved. These are 5 6 higher success rates than the 67% obtained in a previous study employing a similar approach (Shilo et 7 al. 2010). Differences are the volume and concentration of the local anesthetic and some modification 8 of the technique. Shilo et al. (2010) divided the total volume of 0.5% bupivacaine between the saphenous 9 (one-third) and the sciatic (two-thirds) nerves. The results suggested that the block was more complete and lasted longer when the highest volume (0.13 mL kg⁻¹) was injected near the sciatic nerve. Portela 10 11 et al. (2010) used an electrical nerve stimulator for the parasacral approach. In that study the total volume of bupivacaine (0.25%, 0.1 mL kg⁻¹ or 0.5%, 0.05 mL kg⁻¹) was divided into four equal parts and 12 injected at the fourth, fifth and sixth lumbar nerves, and a parasacral injection. To quote a review about 13 14 peripheral nerve blocks of the pelvic limb in dogs "Where low injectate volumes are used which are 15 insufficient to surround the selected nerve, the technique is likely to fail. This appears to be the current stumbling block with the parasacral technique as reported by Shilo et al. (2010) and Portela et al. (2010)" 16 17 (Gurney & Leece 2014).

Consequently, a higher volume (0.2 mL kg⁻¹) of 0.5% levobupivacaine was used in this study. The results indicate that this volume and concentration of injectate induced adequate sensory blockade at the trochanter major, caudal thigh and lateral tarsal joint sites. A previous observation during local anesthetic injection at the parasacral level was that the volume injected appeared to 'push the sciatic nerve away' instead of surrounding the nerve as is observed during a mid-femoral approach (Shilo *et al.* 2010). A similar action was observed in the present study, as the injected solution did not remain entirely around the selected nerve and spread away from the intended target site. This may explain why a larger

volume might be necessary with the parasacral approach, despite the usual assumption that US-guided 2 techniques are associated with a reduction in volume of anaesthetic (Walker et al. 2009).

3 The parasacral sciatic nerve injection could not be performed in the first dog. The cadaver and experimental parts of the study were performed more than a year apart. The investigator required 4 5 additional familiarization with the technique after this time lapse. A learning curve is observed for 6 performing US-guided nerve blocks, and devices such as needle enhancement software have been used 7 to improve injection technique (Viscasillas et al. 2013). Block failure in the first dog could also be attributed to the dog's conformation (BCS 8/9). Targeted sites are located deeper in obese animals, 8 9 making visualization of the needle tip in a deeper and steeper plane more challenging. Schwemmer et 10 al. (2006) suggested that the use of ultrasound helped localization of the interscalene brachial plexus in 11 obese humans. Therefore, with the limitation of an altered perception of the anatomical structures, 12 additional practice in overweight animals may be required to avoid block failure. In addition, the use of 13 a lower frequency probe may facilitate visualization of the nerve in a deeper location.

14 The hypothesis of this study concerning differences in locomotion, proprioception and sensory 15 components after injection of levobupivacaine was partially confirmed. All dogs had significant sensory 16 deficits at specific sites that were not always associated with locomotion deficits. The effect on 17 proprioception was minimal. Similar results (i.e., sensory deficits but not always motor blockade) are 18 obtained after epidural injection of 0.5% levobupivacaine in dogs (Gomez de Segura et al. 2009). The 19 discrepancies between sensory and motor blockade seem to be strongly related to the type, volume and 20 concentration of local anesthetic used, and also fibre organization within the nerve, nerve diameters, 21 fibre types (A δ , A β , C), myelinated versus unmyelinated fibres or length of the nerve exposed 22 (McDowell & Durieux 2006; Rioja Garcia 2015).

23 Significant differences in sensation of interdigital pinprick and lateral toe clamping were not present. Loss of sensation at these sites would be an expected consequence of sciatic nerve blockade. A 24 25 possible explanation may be related to the large size of the nerve impacting diffusion, which will depend

on the volume and concentration of the local anaesthetic. There are two main innervations of the pelvic 1 2 limb: the femoral nerve which originates from L4–L6 and the sciatic nerve which originates from L6– 3 S2. The femoral nerve contributes to stabilization of the stifle by innervation of the quadriceps muscle 4 that controls the patella. The areas chosen to test the sensory component by pinprick were selected based 5 on the dermatome innervated by the sciatic nerve (Campoy et al. 2015). The skin over the sacrum is innervated by the lateral cutaneous femoral nerve, a branch of the femoral nerve. The medial toe is 6 7 innervated by branches of both major nerves; the superficial fibular nerve and the saphenous nerve 8 (Evans & de Lahunta 2013). The sacral and medial toe sites were purposely chosen as control areas 9 because of their innervation by branches of the femoral nerve. All dogs were reacting to stimulation of 10 these areas during sciatic nerve blockade and this may explain lack of complete proprioception and 11 motor block.

12 Bupivacaine has been popular for peripheral sciatic nerve block but reports of the duration of 13 action vary greatly. The duration of sensory sciatic nerve block after administration of bupivacaine was 14 145–330 minutes (Shilo et al. 2010) whereas the duration of complete sensory blockade at the fibular 15 and tibial nerves was 70-268 minutes (Portela et al. 2010). By contrast, injection of 0.5% bupivacaine 16 (0.15 mL kg⁻¹) using a standard sciatic nerve approach resulted in durations of action of 12 and 10 hours 17 for motor and sensory block, respectively (Cathasaigh et al. 2018). A short motor and long sensory nerve 18 block are usually preferred to promote early postoperative ambulation while providing effective pain 19 treatment. Levobupivacaine has a larger therapeutic index than bupivacaine owing to the missing R(+)20 enantiomer molecule mainly responsible for the cardiovascular and central nervous system side effects 21 (Gristwood & Greaves 1999). Unfortunately, few studies report the use of levobupivacaine for 22 peripheral sciatic nerve block in dogs (Vettorato et al. 2013). Levobupivacaine 0.5% induced a longer 23 sensory sciatic nerve block than ropivacaine 0.5% in humans (Pham Dang et al. 2015). Levobupivacaine was selected for the present study as the local anesthetic of choice because it has the potential to induce 24 25 long sensory nerve block.

A limitation of this study is the use of a small number of dogs of similar weight and size. Future studies should include a larger number of dogs of different breeds and sizes. A further limitation is in the study protocol where evaluations were performed every 60 minutes to reduce the stress on the dogs. A shorter time span between evaluation points may have generated additional useful data. Although the sensory nerve blocks were evaluated using a binary score, a graduated score may have further classified the degree of sensory nerve blockade because dogs may not react consistently to pinprick stimuli.

No adverse side effects were observed during or after the experimental procedure. However,
perineural local anesthetic injection is associated with some risk. The potential for vascular puncture or
intravascular injection should be considered given the proximity of gluteal vessels to the anatomical
site, although US-guided sciatic nerve block is less likely to result in vascular puncture than a technique
employing electrolocalization. In humans, perforation of the rectum is listed as a risk of the parasacral
approach should the needle be inadvertently directed more dorsally (Ripart *et al.* 2005; Cao *et al.* 2015).
These complications of the parasacral approach have not been reported in veterinary medicine.

14 Innervation of the hip joint of the dog may vary among individuals. Anatomical dissections of 15 the canine hip joint capsule have documented invariable innervation by the cranial gluteal nerve but 16 never by the caudal gluteal nerve (Huang et al. 2013). Branches of the sciatic nerve often cover the hip 17 joint capsule, but coverage by femoral and obturator nerves occurs to a lesser extent. Given the 18 proximity of the cranial gluteal and sciatic nerves at the parasacral level, local anaesthetic intended to 19 block the sciatic nerve might also provide cranial gluteal nerve blockade. Dissections of human cadavers 20 have revealed parasacral sciatic nerve injections spreading to the obturator nerve and sacral roots in 82% 21 of successful injections (Valade et al. 2008). This observation is in opposition to the finding that 22 anesthesia of the obturator nerve does not occur with the parasacral approach in a clinical setting 23 (Aissaoui et al. 2013). Whether this would occur in dogs remains to be determined. It is possible that 24 the modified parasacral approach could offer an alternative to epidural anaesthesia to provide sufficient 25 analgesia to the hip joint and surrounding muscles. Further studies involving hip surgery in dogs are 26 required to confirm this hypothesis.

1 **Conclusion.** The modified parasacral approach is an effective alternative approach to the sciatic 2 nerve and administration of 0.5% levobupivacaine (0.2 mL kg⁻¹) resulted in a high success rate for 3 sensory nerve block at the level of the hip and caudal thigh in dogs.

4

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- Experimental section

Study 2

Opioid requirements after locoregional anaesthesia in dogs undergoing tibial plateau levelling osteotomy: a pilot study.

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Abstract 1 2 **Objective** To determine the intraoperative and early postoperative opioid requirement after sciatic 3 and/or femoral nerve block or epidural anaesthesia in dogs undergoing tibial plateau levelling osteotomy 4 (TPLO). 5 Study design Prospective, masked, pilot, randomised, clinical trial. 6 Animals A total of 40 client owned dogs undergoing TPLO. 7 Methods Each dog was randomly assigned to group SF (combined sciatic and femoral nerve block), 8 group S (sciatic nerve block), group F (femoral nerve block) or group E (epidural anaesthesia). A total of 0.3 mL kg⁻¹ of ropivacaine 0.5% was administered to each nerve or in the epidural space. 9 10 Intraoperatively, fentanyl (2 µg kg⁻¹) was administered intravenously when heart rate, mean arterial 11 pressure or respiratory rate increased >30% compared to baseline values. Postoperatively, a visual 12 analogue scale (VAS) and a modified German version of the French pain scale (4AVet) were used to 13 assess pain every 30 minutes for 150 minutes and again once the morning after surgery. Methadone (0.1 14 mg kg⁻¹) was administered intravenously if the VAS was ≥ 4 cm (maximal value 10 cm) or the composite 15 pain score was \geq 5 (maximal value 15). 16 Results Groups SF and E required less total intraoperative and early postoperative opioid doses compared to groups S and F (p = 0.031). No dogs in groups SF had a block failure or required 17 18 postoperative methadone. A reduced methadone requirement was found for SF compared to all the other 19 groups up to 150 minutes after recovery (p = 0.041). 20 Conclusion and clinical relevance Combined sciatic and femoral nerve block and epidural anaesthesia 21 lead to less cumulative consumption of perioperative opioids than single nerve blockade. Sciatic or 22 femoral nerve block alone might be insufficient to control nociception and early postoperative pain in

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dogs undergoing TPLO.

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Introduction

Tibial Plateau Levelling Osteotomy (TPLO) is a surgical technique performed in dogs suffering from cranial cruciate ligament rupture. Thanks to the favourable postoperative outcome reported (Kowaleski *et al.* 2013), the procedure has become increasingly popular in many veterinary hospitals. However, as TPLO includes arthrotomy or arthroscopy, soft tissue elevation, osteotomy as well as bone plate application, it leads to moderate to severe postoperative pain and adequate perioperative analgesia is essential (Christopher *et al.* 2013).

8 For invasive orthopaedic procedures under general anaesthesia, the adjunct of locoregional 9 anaesthesia, like perineural injections of local anaesthetics, markedly improves the wellbeing of animals 10 during the perioperative period. Reasons for this include a higher analgesic efficacy with a lower risk of 11 side effects (such as dsyphoria) when compared to systemic drugs (Troncy *et al.* 2002; Becker *et al.* 12 2013).

The duration of a peripheral nerve blockade is drug and dose dependent (Sakonju *et al.* 2009). When compared to other local anaesthetics, ropivacaine has a favourable profile for local blocks due to its long-lasting and reliable analgesic effects (Shah *et al.* 2018). The epidural injection of ropivacaine has been shown to provide adequate perioperative analgesia in dogs undergoing TPLO surgery (Adami *et al.* 2012). Peripheral nerve blocks can provide complete pain relief and reduce the amount of medication (such as halogenated agents) required to maintain general anaesthesia (Pascoe 1997; Campoy *et al.* 2012)

The usefulness of the ultrasound-guided technique for sciatic nerve block has been outlined in dogs (Echeverry *et al.* 2010). Sonographic guidance for peripheral nerve blocks improves the success rate of the block (Abrahams *et al.* 2009). In animals, the technique of ultrasound guidance for the blockade of large peripheral nerves such as the sciatic and the femoral nerves have been described in anatomical studies (Campoy *et al.* 2010; Shilo *et al.* 2010). The large majority of studies describing ultrasound guided sciatic and femoral nerve block were performed on cadavers, experimental or healthy dogs (Costa-Farré *et al.* 2001; Cathasaigh *et al.* 2018; Marolf *et al.* 2019). Ultrasound guided sciatic and

1 femoral nerve blocks are nowadays commonly used in clinical practice to control nociception in canine patients undergoing hindlimb surgery (Campoy et al. 2012). 2 Unfortunately, clinical studies 3 investigating the outcome of different locoregional anaesthetic techniques are sparse (Arnholz et al. 4 2017; Tayari et al. 2017). Recently, perioperative analgesia provided by a perineural injection of 5 ropivacaine or saline at the lumbar plexus and sciatic nerve has been compared in dogs undergoing 6 TPLO (Warrit et al. 2019b). Greater analgesia and better recovery scores were observed when 7 ropivacaine was injected under ultrasound guidance compared to saline. Further expertise to determine 8 the success rate and to prove the efficacy of ultrasound guided sciatic and femoral nerve block for dogs 9 in a clinical context is essential.

10 The aim of the study was to evaluate perioperative opioid analgesic requirement after epidural 11 anaesthesia, sciatic nerve block, femoral nerve block or a combined sciatic/femoral nerve block with 12 ropivacaine in dogs undergoing TPLO. We hypothesized that an ultrasound guided combined 13 sciatic/femoral nerve block or epidural anaesthesia would lead to lower rescue intraoperative fentanyl 14 and/or postoperative methadone administration than a single ultrasound guided femoral or sciatic nerve 15 block.
Materials and Methods

2 The study was designed as a prospective, pilot, masked, randomised, clinical trial. The 3 experimental trial was performed with permission from the local Committee for Animal Experimentation (Canton of Bern BE 83/12, No 22523, Switzerland). The study was a pilot and 4 5 consequently the number of animals per group was arbitrarily set at 10 animals per group. This would 6 correspond to the number of animals required for a power of 80% and a standard error alpha set at 5% 7 if two doses of opioids were required in the control group and none were required in the treatment group 8 with a standard deviation of 1.5 doses. The study was terminated when a complete data set of 40 animals 9 (10 per group) was available for analysis. Client-owned dogs with a cranial cruciate ligament rupture 10 undergoing elective TPLO were enrolled in the study. Only dogs classified as ASA I or II according to 11 the American Society of Anesthesiology (ASA) physical status grading system were included in the 12 study. Signed owner consent was a prerequisite for a participation in the study. Dogs with concomitant 13 systemic diseases, infectious skin diseases in the area of the blocks or bleeding disorders were excluded 14 from the study.

15 Procedure. After intramuscular (IM) premedication with acepromazine (Prequillan; Arovet AG, Switzerland; 0.02 mg kg⁻¹), an intravenous (IV) catheter was placed in a cephalic vein. General 16 17 anaesthesia was induced by IV injection of propofol (Propofol Lipuro 1%; B. Braun Medical, 18 Switzerland) titrated to effect. After endotracheal intubation, isoflurane (Isoflurane; Provet, 19 Switzerland) was delivered in 100% oxygen using a rebreathing system and an isotonic crystalloid solution was administered IV at a rate of 10 mL kg⁻¹ hour⁻¹. Monitoring included electrocardiography, 20 21 pulse oximetry, respiratory gas measurements, spirometry, invasive blood pressure measured by 22 cannulation of the metatarsal artery and oesophageal temperature. All parameters were measured 23 continuously and recorded every 5 minutes. Dogs were allowed to breathe spontaneously. If the end-24 tidal carbon dioxide ($PE^{\prime}CO_{2}$) was higher than 50 mmHg (6.67 kPa), pressure support ventilation was 25 started with a peak inspiratory pressure (PIP) of 10 cmH₂O. An end-tidal isoflurane concentration of 1.3% was targeted during anaesthesia. Hypotension, defined as mean arterial pressure (MAP) lower than 26

60 mmHg, was treated with a bolus of a colloid solution (Voluven; Fresenius Kabi AG, Switzerland; 1
or 2 mL kg⁻¹ IV over 15 minutes). A second bolus of the same dose was repeated if necessary. At the
time of extubation, carprofen (Rimadyl; Zoetis Schweiz GmbH, Switzerland; 4 mg kg⁻¹ IV) was
administered to all dogs.

5 Dogs were randomised by drawing a lot from an envelope indicating the treatment group: group 6 SF (combined sciatic and femoral nerves block), group S (sciatic nerve block), group F (femoral nerve 7 block), group E (epidural injection). The masking procedure included an identical preparation of all 8 injection sites in all dogs. The skin was pricked at all injection sites with an injection needle to avoid 9 group identification. The anaesthetist (NM) performing anaesthesia and postoperative pain scoring was unaware of the selected block. A volume of 0.3 mL kg⁻¹ ropivacaine (Naropin 0.5%; Aspen Pharma 10 11 Schweiz GmbH, Switzerland) was injected into each injection site according to the group allocation. Group SF received two injections of 0.3 mL kg⁻¹, one for each nerve. A volume of 10 mL per injection 12 13 site was never exceeded.

The epidural injections as well as the sciatic and femoral nerve blocks were performed as previously described (Campoy *et al.* 2010). The epidural injection at the lumbosacral space was performed with a 75 mm 19-gauge spinal needle (Spinocan; B Braun, Switzerland) with the bevel facing cranially after palpation of anatomical landmarks. The "popping" sensation when penetrating the interarcuate ligament, the lack of resistance to injection and the hanging drop technique were applied for assessment of proper needle positioning (Adami & Gendron 2017).

20 The sciatic and femoral perineural injections were performed under sonographic guidance. A 21 portable ultrasound unit (M-Turbo SonoSite; Bothell, WA, USA) with an 8-13 MHz linear probe was 22 used to visualize the target nerve, the needle and the distribution of the local anaesthetic. After aseptic 23 preparation of the puncture site, the nerve blocks were performed using an insulated 21-gauge 90 mm 24 needle with facet tip and injection line (Sonostim; Pajunk GmbH, Germany). Ropivacaine 0.5% was injected under real-time ultrasound control. The person performing locoregional anaesthesia had at least 25 1-year clinical experience in ultrasound guidance for sciatic and femoral nerve block and epidural 26 27 anaesthesia.

Assessment of nociception and pain. An increase in heart rate (HR), MAP or respiratory rate 2 (f_R) of 30% above baseline values (defined as the mean values recorded over 15 minutes during general 3 anaesthesia before surgical stimulation started) was considered indicative of nociception leading to 4 administration of a fentanyl bolus (Fentanyl-Janssen; Janssen-Cilag AG, Switzerland; 0.002 µg kg⁻¹ IV). A fentanyl continuous rate infusion (CRI) at a rate of 0.005 µg kg⁻¹ hour⁻¹ was started after the second 5 fentanyl bolus. Fentanyl boluses were repeated every 5 minutes until the physiological variables returned 6 7 to baseline. The total amount of fentanyl administered per dog during surgery was recorded as the total 8 intraoperative fentanyl dose. Intraoperative vital parameters were evaluated separately for arthroscopy 9 and TPLO.

10 Pain was evaluated using a modified German version of the French pain scale (4AVet) and a 11 100 mm Visual Analogue Scale (VAS) with end points labelled as 0 (no pain) and 100 (worst pain 12 imaginable for this type of surgery). The assessments were performed preoperatively (T-1), at recovery 13 as soon as the animal was able to lift the head (T0), and 30 (T30), 60 (T60), 90 (T90), 120 (T120) and 14 150 (T150) minutes after extubation as well as at 8.00 hours on the day after surgery (T8AM). The 15 evaluations were performed by an anaesthetist (NM) unaware of the treatment group. Rescue analgesia methadone (Methadon Streuli; Streuli Pharma AG, Switzerland; 0.1 mg kg⁻¹ IV) was administered when 16 17 the VAS was \geq 40 mm or the multidimensional pain scale was \geq 5 [0 (no pain), 15 (worst possible pain)]. 18 The total number of postoperative rescue methadone doses administered were recorded for each dog. 19 Duration of efficacy (minutes) was recorded as the time elapsed from the local anaesthetic injection to 20 the first injection of methadone. After the evaluation at T150, all dogs received buprenorphine (Temgesic; Individor Schweiz AG, Switzerland; 0.02 mg kg⁻¹ IV) every 8 hours as standard pain 21 22 medication during the hospital stay. Buprenorphine was repeated after the pain evaluation at T8AM had 23 been completed.

24 A block failure was declared if a dog required two or more opioid boluses during surgery and/or 25 during the early postoperative phase (up to T150). The administration of one fentanyl bolus during 26 surgery or one methadone bolus in the early postoperative period was not considered a block failure.

1	Statistical evaluation. Data analysis was performed using statistical software (Sigma Stat,
2	Version 3.5, Systat Software, San Jose, CA). A Fisher exact test was performed using an online software
3	calculator (https://www.socscistatistics.com/tests/fisher/default2.aspx, last accessed on 16.08.2020).
4	Demographic data, duration of nerve block, anaesthesia and surgery were tested for normality with a
5	Kolmogorov-Smirnov test and were equally distributed. Results are presented as mean \pm standard
6	deviation (SD). Non-parametrically distributed data are presented as median and interquartile range
7	[IQR]. The mean values as well as the range (minimum maximum) were evaluated for HR, MAP and f_R
8	for the arthroscopy and TPLO operative periods. Kruskal Wallis Analysis of Variance (ANOVA) on
9	ranks (Dunn's Method) was performed to evaluate differences in intraoperative vital parameters among
10	groups at each time point. Mann-Whitney Rank Sum Test was used to compare intraoperative vital
11	parameters between the arthroscopy and TPLO phase within groups. Multidimensional pain scores
12	evaluations and VAS were analysed with repeated measures ANOVA on ranks followed by Tukey test
13	for comparison between time points within groups and with one-way ANOVA on ranks for comparison
14	between groups at each time points and duration of nerve blocks. Rate of block failure, the number of
15	dogs that required opioid interventions and the total number of opioid doses in each group was analysed
16	with Fisher-exact tests. Significance was set at $p \le 0.05$.
17	

Results

Animals. A total of 44 dogs completed the study. Insufficient data were available in four dogs and 10 dogs per group were included in the analysis. The mean weight of the dogs was 36.8 ± 10.1 kg and the mean age was 6.0 ± 2.6 years. The mean weight of group S (45.85 ± 12.02 kg) was higher (p =0.007) than the mean weight of the group's SF (32.9 ± 6.13 kg), F (34.55 ± 8.01 kg) and E (33.74 ± 5.96 kg).

Anaesthesia. Arthroscopy and TPLO were successfully performed in 44 dogs. All animals recovered from anaesthesia and they were discharged from the hospital 1 day after surgery. Duration of anaesthesia ranged from 210 to 350 minutes (260 ± 35 minutes) while duration of surgery (arthroscopy + TPLO) was 75 to 145 minutes (102 ± 16 minutes). The anaesthesia time was long because of the time required for surgical preparation and pre- and postoperative radiography. No difference among groups could be detected.

13 Intraoperative comparison of physiological variables. The median values and IQR of 14 intraoperative physiological variables (HR, f_R , MAP) are presented in Table 1. During arthroscopy, HR 15 was higher in group F than in group S (p = 0.02). The f_R was higher in group E than in groups SF and F 16 (p = 0.002) while MAP was lower in group E than in all other groups (p < 0.001).

During TPLO, no difference in HR could be detected among groups (p = 0.057). Regarding f_R , group E and S showed higher values than group SF and F. The MAP was lower in group E than in all other groups (p < 0.001).

The physiological variables did not differ between arthroscopy and TPLO in groups SF and E. In group S, f_R increased (p = 0.036) while MAP decreased (p = 0.007) during TPLO compared to arthroscopy. In group F the range of HR and f_R was higher during TPLO than during arthroscopy with p = 0.004 and p = 0.039, respectively.

1 Table 1 Intraoperative physiological variables of 40 dogs undergoing surgery for stifle arthroscopy 2 followed by tibia plateau levelling osteotomy (TPLO). Dogs were given 0.3 mL kg-1 of ropivacaine 3 0.5% per injection site (maximum 10 mL per injection) administered preoperatively. Animals were randomly assigned to one of four groups with 10 dogs per group. Ropivacaine was administered 4 5 perineurally by ultrasound guidance using a mid-femoral approach to the sciatic nerve (group S), an 6 inguinal approach to the femoral nerve (group F), a combined ultrasound-guided approach to both nerves 7 (group SF) or by palpation of surface anatomical landmarks for injection into the lumbosacral epidural 8 space (group E). All data are presented as median and interquartile range [IQR] or range (minimum-9 maximum).

Group	Arthroscopy			TPLO		
	Median [IQR]		Median [IQR]			
	Range			Range		
	HR (beats	$f_{\rm R}$ (breaths	MAP	HR (beats	$f_{\rm R}$ (breaths	MAP
	minute ⁻¹)	minute ⁻¹)	(mmHg)	minute ⁻¹)	minute ⁻¹)	(mmHg)
SF	95 [81-112]	10 [9-16]*	74.5 [68-80]* ^c	100 [85-111]	12 [9-17]*§	75 [70-80]*
	16 (11-21)	2.5 (2-5)	18 (10-25)	15.5 (11-30)	3.5 (3-5)	12.5 (9-17)
S	95 [85-104]*	12 [11-15]¶	80 [70-88]†#	95 [81-108]	13 [12-17] द	75 [66-82]†#
	21.5 (11-31)	3 (1-7)	21.5 (12-30)	30 (27-40)	4 (2-6)	19 (15-30)
F	103 [92-108]*	10 [9-13]†	78 [72-81]‡	102 [85-111]	12 [9-13]†‡	78 [70-85]‡
	13.5 (10-16)¶	4.5 (3-8)#	21 (15-23)	27.5 (22-43)¶	6 (4-10)#	19.5 (16-24)
Е	95 [80-105]	14 [12-16]*†	65 [61-77]*†‡	95 [80-105]	14 [11-16]*†	65 [61-75] *†‡
	17.5 (10-31)	2.5 (2-3)	17.5 (8-25)	18.5 (15-53)	5 (3-7)	16 (10-29)

10 $*, \dagger, \ddagger, \$ = \text{significant difference (within the same column) between groups (p < 0.05); \P, \# = \text{significant difference}$

11 (within the same row) between arthroscopy and TPLO phase; HR, heart rate; fR, respiratory rate; MAP, mean

12 arterial pressure

Intraoperative hypotension. A colloid bolus of 2 mL kg⁻¹ was administered to one dog in group S and a bolus of 1 mL kg⁻¹ was administered to two dogs in group E which was repeated in one dog in 2 3 group E.

- 4
- 5

6

Rescue analgesia. During surgery, fentanyl was only administered during the TPLO phase. Block failure was declared in five dogs (3 in group S, 1 in group F, 1 in group E).

7 In group SF one dog received one bolus of fentanyl. In group SF, no dogs required methadone 8 in the postoperative phase and no block failure was observed. There was no difference in the 9 intraoperative featanyl requirement between groups SF and E versus groups S and F (p = 0.695). Group 10 SF required less postoperative methadone compared to the other groups (p = 0.041). Intraoperative 11 fentanyl consumption of group SF did not differ from the other groups (p = 0.653). In group S, a fentanyl 12 CRI was started in two dogs while one dog was given a single fentanyl bolus. One dog needed six 13 boluses of fentanyl in addition to a fentanyl CRI during the intraoperative phase. All three dogs required 14 methadone at T30. The nerve blocks of all three dogs were defined as a failure. In group F, a fentanyl 15 bolus was given to two dogs, one of them was given additional methadone at T0. Perioperative analgesia 16 was insufficient for this dog and the nerve block was defined as a block failure. In four animals, 17 additional methadone was given in the postoperative phase, two at T120 and two at T150. In group E, 18 one dog required fentanyl CRI during surgery and methadone at T0 and T30. For this dog, a block failure 19 was declared. A single bolus of fentanyl was administered to one dog during surgery and another was 20 given a single bolus of methadone at T90. Block failure between groups E and SF (complete nerve 21 block) versus groups S and F (partial nerve block) was not different (p = 0.342) and neither was the 22 opioid requirement (opioid yes or no) per dog between groups for the intraoperative and early 23 postoperative phase (p = 0.176). There was a difference between groups E and SF versus single nerve 24 block groups (groups S and F) when the total administered doses of opioids were analysed for the intraoperative and early postoperative period (p = 0.031). Rescue opioids administered per dog are 25 26 presented in Table 2.

27

Table 2. Opioids administered intraoperatively to control nociceptive autonomic reflexes or postoperatively to control pain in 40 dogs undergoing surgery for stifle arthroscopy followed by tibia plateau levelling osteotomy (TPLO). Dogs were given 0.3 mL kg-1 of ropivacaine 0.5% injected preoperatively at the sciatic and femoral nerve (Group SF), the sciatic nerve (Group S), the femoral nerve (Group F) using ultrasound guidance or in the epidural space (Group E). Each dog was randomly assigned to a group and each group included 10 dogs. Each row represents one dog.

Group	Number of fentanyl	CRI of fentanyl	Number of	Time points of	Block
	boluses	$(5 \ \mu g \ kg^{-1} \ hour^{-1})$	methadone (0.1	methadone	failure
	$(2 \ \mu g \ kg^{-1})$	administered	mg kg ⁻¹) boluses	administration	
	administered during	during surgery	administered	(minutes)	
	surgery		after surgery		
SF	1	-	-	-	-
S	6	yes	2	T30, T90	yes
S	1	-	1	T30	yes
S	2	yes	1	T30	yes
F	-	-	1	T150	-
F	1	-	-	-	-
F	-	-	1	T150	-
F	1	-	1	T0	yes
F	-	-	1	T120	-
F	-	-	1	T120	-
Е	3	yes	2	T0, T30	yes
Е	-	-	1	T90	-
Е	1	-	-	-	-

7 CRI: continuous rate infusion; T0, T30, T90, T120, T150: time points in minutes after recovery from surgery when

8 a multidimensional pain score and visual analogue scale has been used to evaluate pain.

1	Postoperative pain evaluation. Methadone administration led to exclusion from further
2	analyses (T30 -T150). At T8AM all animals were included as this time point was considered as an
3	independent time point. Results for VAS are illustrated in Fig. 1. The VAS of all animals ($n = 40$) was
4	higher ($p = 0.025$) at T8AM (2.35 [1.7-3.1]) than at T0 (1.2 [0.8-1.6]). No differences in VAS between
5	groups at any time point could be detected. The VAS was higher in group S at T8AM than at T-1 ($p =$
6	0.033). Results of the composite pain scale are illustrated in Figure 2. The pain scores of all animals (n
7	= 40) were higher ($p < 0.0001$) at T8AM (3 [2-4]) than at T0 (0 [0-1]), T30 (0 [0-3]) and T60 (2 [0-3]).
8	No differences in pain scores between groups could be detected at any time point. In group S, the pain
9	score was higher at T8AM than at T0 ($p = 0.026$).
10	
11	Duration of analgesia. The duration from the time locoregional anaesthesia was performed

12 until extubation was 209 ± 29 minutes. Mean duration of analgesia was at least 349 ± 41 minutes. No 13 difference between groups could be detected (p = 0.278). In animals that were not given methadone, the 14 entire duration of effect could not be determined.

1 **Figure 1.**



2

The median value and error bar of a Visual Analogue Scale (VAS; 0 cm = no pain; 10 cm = worst pain possible) in 40 dogs undergoing surgery for stifle arthroscopy followed by tibia plateau levelling osteotomy (TPLO). Groups were randomly allocated with 10 dogs per group. For detailed legend see Table 1. The maximum value on the y-axis has been set at 5 cm for illustrative purposes. VAS was determined at various time points (T); T-1: preoperative, T0: at recovery when the dog was able to lift the head, T30-T150: minutes after extubation, T8AM: the morning following the day of surgery. * shows significant difference (p < 0.05) between T-1 and T8AM in group S.

1 **Figure 2.**



The median value and error bar of a Composite pain scale (4AVet; 0 = no pain; 15 = worst pain possible)evaluated in 40 dogs undergoing surgery for stifle arthroscopy followed by tibial plateau levelling osteotomy (TPLO). Groups were randomly allocated with 10 dogs per group. For detailed legend see Table 1. The maximum value on the y-axis has been set at 5 for illustrative purposes. Pain scores were evaluated at different time points (T); T-1: preoperative, T0: at recovery when the dog was able to lift the head, T30-T150: minutes after extubation, T8AM: the morning following surgery. * shows significant difference (p < 0.05) between T0 and T8AM in group S.

Discussion

The results of this study have shown that a combined femoral and sciatic nerve block or an epidural anesthetic lead to less cumulated perioperative consumption of opioids than a sciatic or a femoral nerve block alone. This confirms the analgesic efficacy of epidural anaesthesia and the combined sciatic and femoral nerve block for the provision of effective intraoperative antinociception and postoperative analgesia (Caniglia *et al.* 2012).

No dog given a combined sciatic and femoral nerve block received methadone during the postoperative
phase, while some dogs given a single nerve block or a lumbosacral epidural anaesthetic required
methadone.

10 In humans undergoing total knee arthroplasty, a single femoral nerve block seems to provide 11 short-term postoperative analgesia which is superior to patient-controlled intravenous analgesia alone 12 (Paul et al. 2010). However, a recent meta-analysis showed better postoperative pain control after a 13 combined sciatic and femoral nerve block than a femoral nerve block alone for total knee arthroplasty. 14 A reduced postoperative opioid consumption was noted in patients receiving combined nerve blocks 15 compared to a single nerve block (Zorrilla-Vaca et al. 2018). In dogs undergoing TPLO, those with a 16 single femoral nerve block were given more postoperative methadone than those given a combined 17 sciatic and femoral nerve block (McCally et al. 2015). This underlines a superior postoperative analgesic 18 efficacy provided by a combined nerve block compared to a single nerve block.

19 Considering the sensory innervation of the knee joint, only blockade of both nerves, the femoral 20 and the sciatic, allows complete desensitization of this anatomical area. Indeed, the canine stifle joint 21 is innervated by the medial articular nerve, which originates from the femoral nerve, and the lateral and 22 occasionally posterior articular nerves, which derive from the sciatic nerve (O'Connor & Woodbury 23 1982).

Block failures were observed in groups E, S and F but not in group SF. In groups S and F this might be explained by insufficient analgesia resulting from anaesthesia of a single nerve despite its potential to provide some analgesia. Block failure in group E might be linked to the procedural failure

1 rate which has been reported to vary between 7% (Troncy et al. 2002) and 32% (Sarotti et al. 2015). 2 The introduction of ultrasound guided techniques in clinical practice for the performance of locoregional 3 sciatic and femoral nerve blocks has considerably increased their success rates (Perlas et al. 2008; Ponde 4 et al. 2013). In dogs, the success rate of ultrasound guided sciatic nerve block varies between 86-93% 5 (Marolf et al. 2019). An experienced clinician, sufficient practice or previous training are additional 6 advantages associated with increased success rates (Rueda Rojas et al. 2019). The high success rate of 7 ultrasound guided combined sciatic and femoral nerve blocks might explain the observation that no 8 postoperative rescue analgesia was needed in group SF compared to groups E, S and F.

9 The analysis of the physiological variables recorded intraoperatively showed significant 10 differences among groups. The lower blood pressure found in group E might be explained by the 11 blockade of the sympathetic nervous system through local anaesthetics (Holte et al. 2004). The cause of 12 hypotension of one dog in group S probably resulted from hypovolemia as blood pressure increased 13 after a fluid bolus. During anaesthesia, modifications in physiological variables were used to estimate 14 intraoperative nociception. There was no difference in these variables between arthroscopy and TPLO 15 in groups SF and E while differences were observed in groups S and F. This can probably be explained 16 by better control of nociception in groups SF and E, which might suggest that single nerve blockade 17 provides insufficient control of nociception during TPLO.

18 Ropivacaine can provide effective sensory blockade of up to 6 hours duration (Feldman et al. 1996). 19 The minimum duration of action of ropivacaine observed in our study is in accordance with its reported 20 duration of action. Unfortunately, the beneficial effects of the nerve block had probably declined the 21 day after surgery. This might explain why pain scores and VAS were higher at T8AM compared to T-1 22 and T0, despite the administration of buprenorphine. The administration of opioids during the 23 perioperative period can provide effective control of nociception if nerve blocks are ineffective. 24 However, opioids may induce potential side effects such as bradycardia, postoperative nausea, or 25 vomiting. The strategic use of perioperative opioids seems judicious. The use of a composite pain scale 26 during the postoperative period guides the administration of opioids. Bini et al. (2018) showed that the 27 use of a composite pain scale to assess pain after TPLO decreases the amount of methadone required when compared to its administration at fixed intervals. Furthermore, titrating analgesia to an individual's
 need was associated with a decreased incidence of vomiting and an increased food intake (Bini *et al.* 2018).

The present study has several limitations. The fact that pain scores where only assessed for 2.5 hours postoperatively should be considered as a major limitation. A modified German version of the French pain scale (4AVet) was used for pain evaluation. The use of a translated version of a pain scale may have affected its validation. No power calculation was performed *a priori* because data about the perioperative use of opioids after locoregional anaesthesia was unavailable at the time the study started. Finally, no confirmation method was applied to verify correct needle placement in the epidural space.

10 In group SF, ropivacaine injection using ultrasound guidance did not reduce the intraoperative 11 need for fentanyl when compared to epidural or femoral or sciatic nerve block alone. However, 12 physiological variables in group SF were more stable, suggesting better control of nociception. 13 Analgesia provided by femoral and sciatic nerve blockade or epidural anaesthesia reduced the 14 requirement for perioperative opioids compared to the use of single nerve blockade. Single nerve block 15 seems insufficient to control nociception and early postoperative pain in certain dogs undergoing TPLO. 16 The combination of sciatic and femoral nerve blockade seems the most promising technique to reduce 17 postoperative methadone consumption during the first 2.5 postoperative hours when compared to 18 epidural or single sciatic or femoral nerve anaesthesia.

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-Experimental Section

Study 3:

Pharmacokinetics and effects of perineural or intravenous dexmedetomidine combined with ropivacaine for sciatic and saphenous nerve blocks in a canine model

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1	Abstract
2	Objective Determine the appropriate dose and route and the plasma level of dexmedetomidine for
3	locoregional anesthesia in dogs.
4	Animals Seven experimental adult Beagle dogs.
5	Procedures In phase 1, dogs were randomized to receive the following three treatments in a Latin square
6	crossover design: ultrasound-guided injection of ropivacaine 0.5% at the sciatic (0.2 mL/kg) and
7	saphenous (0.2 mL/kg) nerve, combined with either saline (DEX0PN), dexmedetomidine at 1 μ g/kg
8	(DEX1PN) or 2 μ g/kg (DEX2PN). In phase 2, nerve blocks were combined with intravenous
9	dexmedetomidine 1 µg/kg (DEX1IV). Nerve blocks were evaluated at different timepoints.
10	Dexmedetomidine concentrations were determined in collected plasma samples.
11	Results The duration of sensory nerve block was significantly longer in DEX1PN and DEX2PN
12	compared to DEX0PN ($P < 0.05$). DEX1IV did not prolong nerve block duration compared to DEX0PN.
13	Peak plasma concentrations after perineural administrations of dexmedetomidine were reached after 30
14	minutes in DEX1PN (338 \pm 190 pg/mL) and DEX2PN (786 \pm 549 pg/mL). Bioavailability was 54 \pm
15	40% and 73 \pm 43% in DEX1PN and DEX2PN groups, respectively. The highest plasma level of
16	dexmedetomidine was measured in DEX1IV group ($1032 \pm 415 \text{ pg/mL}$) 5 minutes after injection.
17	Conclusion and clinical relevance One $\mu g/kg$ of dexmedetomidine administered perineurally leads to
18	lower plasma level compared to DEX2PN and DEX1IV, and significantly prolongs sensory sciatic and
19	saphenous nerve blocks compared to DEX0PN and DEX1IV. One $\mu g/kg$ of dexmedetomidine combined
20	to ropivacaine seems an adequate dose for perineural injection for locoregional anesthesia in dogs.
21	
22	Key words: dog; plexus; adjuvant; pain; femoral; ultrasound
23	
24	Abbreviations
25	ASA: American Society of Anesthesiologist
26	BPM: Beats Per Minute

27 CV: Coefficient of Variation

- 1 DEX: Dexmedetomidine
- 2 HR: Heart Rate
- 3 PN: Perineural
- 4 SD: Standard Deviation

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Introduction

The combination of local anesthetics and DEX, a potent alpha-2 adrenoceptor agonist, has gained popularity for locoregional anesthesia. It has been proven to prolong sensory nerve blockade, enhance patients' satisfaction, and reduce pain and postoperative oral morphine consumption (Marhofer & Brummett 2016, Abdallah & Brull 2013, Vorobeichik *et al.* 2017).

Experimental studies with high doses of DEX showed that it did not induce axon and myelin
degeneration and confirmed that its use is safe when combined with local anesthetics (Marhofer &
Brummett 2016, Brummett *et al.* 2008)

9 In humans, the results of several clinical trials have been supporting the use of long-acting amide-10 type local anesthetic combined with DEX in clinical settings (Akhondzadeh et al. 2018, Fritsch et al. 11 2014). The amount of DEX required to prolong locoregional anesthesia in different studies varies and 12 DEX doses of 100 μ g per nerve block, 0.75 μ g/kg and 2 μ g/kg have been suggested (Marhofer & 13 Brummett 2008, Keplinger et al. 2015, Bisui et al. 2017, Jung et al. 2018). The minimal dose of DEX 14 to efficaciously prolong sensory nerve block remains to be determined. Whether the effect of DEX on 15 local nerve block is linked to the local or systemic action of the drug remains unknown. Intravenous 16 administered DEX prolongs the effect of nerve block in a similar manner than when it is administered 17 perineurally in humans (Abdallah et al. 2016). However, the systemic use of DEX can induce effects 18 such as bradycardia and sedation that might be stronger after IV compared to perineural administration. 19 In dogs, studies about perineural dexmedetomidine for locoregional anesthesia are rare (Bartel et 20 al. 2016, Trein et al. 2017). Ultrasound-guided sciatic and saphenous nerve blocks are performed 21 regularly in experimental and clinical daily practice in dogs, but local anesthetics are not commonly 22 mixed with DEX (Campoy et al. 2010). Plasma levels and the optimal dose of DEX for perineural 23 injection to significantly prolong nerve block in dogs are unknown.

The aim of the study was to evaluate the effects of ropivacaine 0.5% combined with DEX for sciatic and saphenous nerve blocks in dogs. The primary objective was to compare nerve block duration after perineural injection of ropivacaine alone with ropivacaine combined with perineural or IV DEX at 1 and 2 µg/kg. The secondary objective was to determine whether the plasma levels of DEX could potentially 90 be associated with its clinical effects when used for the sciatic and saphenous nerve blocks. We
hypothesized that combination of ropivacaine and DEX would significantly prolong nerve block
duration compared to ropivacaine alone, and that this effect would not be associated with the plasma
levels of DEX.

Materials and Methods

2 An authorisation was delivered by the commission for the ethical use of animals at the Faculty of 3 Veterinary Medicine, University of Liège, Belgium (No 16-1887). A sample size calculation for 4 continuous outcome and superiority trial using an online software (www.sealedenvelope.com) has been 5 used. Power and standard error alpha were set at 80% and 5%, respectively. The mean duration (\pm SD) 6 of sensory ulnar nerve block produced by 22.5 mg of ropivacaine combined with 100 μ g of DEX (9.1 \pm 7 3.3h) compared to the mean duration of sensory nerve block which might be expected after perineural 8 injection of 0.2 mL/kg of ropivacaine 0.5% in dogs (4h) has been used for calculation (Keplinger et al. 9 2015). The calculation revealed that a total of 7 dogs per group were required.

10

11 Animals. To be included in the study, dogs needed to be healthy on physical examination, have no 12 abnormalities after orthopaedic and neurologic examination and classified as ASA I or II for anaesthesia 13 related risk. All dogs were 10 years old except for one female which was 6 years old. Seven intact 14 experimental adult Beagle dogs (four males, three females) weighing 16.9 ± 2.3 kg (mean \pm SD) 15 underwent first three experimental treatments with a minimum wash out period of one week between 16 treatments (phase 1) and underwent a fourth experimental treatment eight months later (phase 2). The 17 dogs were fed dry commercial food once daily. Dogs were fed two hours after recovery from anesthesia and water was provided ad libitum. The experiments were carried out in an experimental room located 18 19 in the same building as the kennel. Dogs were kept in single cages for nerve block evaluation and were 20 returned to the kennel after the last evaluation.

21

Anesthesia. A 22-gauge catheter was inserted in a cephalic vein and Ringer's lactate solution was administered at 5 mL/kg/hr. Anesthesia was induced with IV propofol^a (4-8 mg/kg) and an adequately sized cuffed endotracheal tube was placed in the trachea. The dogs were connected to a circle breathing system and 2-3% sevoflurane^b in 100% oxygen was used for maintenance. Monitoring included pulse oximetry, non-invasive blood pressure measurement with a size 3 paediatric cuff placed around the front limb, a 3-lead base apex electrocardiogram, end-tidal carbon dioxide and anesthetic vapor analyser using a multiparameter monitor^c. A jugular vein catheter^d was placed to enable post-anesthetic stress free
 blood sampling.

3

4 Sciatic and saphenous nerves blockade. A DEX solution of $500 \mu g/mL^e$ was diluted with 0.9% saline solution in a 1:10 ratio to obtain a 50 μ g/mL solution. In phase 1, dogs were randomized for the 5 first three treatments using an online randomization generator (www.random.org). The groups consisted 6 7 of perineural sciatic and saphenous injections of 0.5% ropivacaine^f (0.4 mL/kg) + 0.9% saline solution 8 (0.04 mL/kg; DEX0PN group); 0.5% ropivacaine $(0.4 \text{ mL/kg}) + \text{diluted DEX} (0.02 \text{ mL/kg} = 1 \mu \text{g/kg})$ 9 + saline solution (0.02 mL/kg; DEX1PN group); 0.5% ropivacaine (0.4 mL/kg) + diluted DEX (0.04 10 $mL/kg = 2 \mu g/kg$; DEX2PN group). In phase 2, all dogs were allocated to treatment with perineural 0.5% ropivacaine (0.4 mL/kg) + diluted DEX (0.02 mL/kg = 1 μ g/kg) injected IV (DEX1IV group). 11 12 The sciatic and saphenous nerve blocks were performed first and DEX was injected slowly over 60 13 seconds through the cephalic vein catheter directly thereafter and dogs were recovered. The total volume 14 of each drug administered perineurally was divided equally between the sciatic and saphenous nerves.

The area over the saphenous and the sciatic nerves was clipped and disinfected. Sterile contact gel was applied and ultrasound guided nerve block^g of the sciatic and saphenous nerves was completed with an insulated needle^h using a standard approach (Campoy *et al.* 2010). An electrical nerve stimulatorⁱ was used to confirm right needle placement for sciatic nerve block. The volume corresponding to the content of the echogenic needle and injection line (0.7mL) was flushed with 0.7mL of saline solution after each perineural injection. The same experienced board-certified veterinary anesthetist (VM) performed all nerve blocks and was unaware of the treatments given in the first three treatment groups.

- 22
- \mathbf{a}

Post-anesthetic phase. Sevoflurane administration was discontinued and dogs were recovered
 from anesthesia. The minutes required for extubation were recorded.

Heart rates were assessed every 15 minutes by left thorax wall auscultation with a stethoscope until
 return of pre-anesthetic baseline measurements. The sedation scores were evaluated every 15 minutes

using a sedation scoring scheme until a score of 0 or a negative scoring was measured (negative value
= awake; 0 = no sedation; 14 = maximum sedation) (Hofmeister *et al.* 2010).

3 Sciatic and saphenous nerve blocks were evaluated using nociception, locomotion and 4 proprioception tests performed every 15 minutes after perineural injection until recovery from nerve 5 blockade. The investigator was blind to the treatments during phase 1 of the experiments. Nociception was assessed by clamping the skin with a needle holder for 2 seconds over the caudal part of the thigh 6 7 (to evaluate the sciatic nerve), over the dorsal part of the fourth metatarsus (to evaluate the fibular nerve), 8 over the plantar part of the fourth metatarsus (to evaluate the tibial nerve), over the medial part of the 9 distal femur (to evaluate the saphenous nerve). Behaviours such as barking, crying, immediate 10 withdrawing of the limb, escaping, actively looking at the stimulated site were attributed a score of 1 11 (sensory feeling present), mild reactions such as slow withdrawing of the limb or slow head movement 12 towards the stimulated area were attributed a score of 2 (sensory feeling partially present) and absence 13 of reaction was attributed a score of 3 (sensory feeling absent).

Locomotion was assessed using the following scores to define motor block: 1, normal gait; 2,
abnormal gait, missteps observed; 3, abnormal gait, dragging of the limb.

Spontaneous reposition of the limb after the dorsal part of the metatarsal phalanxes were positioned on the ground was evaluated with scores (1, immediate reposition; 2, reduced or retarded reposition; 3, no reposition) to characterize proprioception deficits.

Nociception, locomotion and proprioception tests were performed every 15 minutes (T1, T2, T3, T4, etc.) until at least two successive score of 1 were recorded for each parameter tested. The time elapsed from the perineural injection until the first score 2 or 3 (partial blockade) and score 3 (complete blockade) was defined as the onset time. The time that the scores 2 or 3 and score 3 only were observed was defined as the duration of partial and complete nerve blockade, respectively.

24

25 Pharmacokinetics. Ten mL of blood were withdrawn from the jugular catheter before two mL of 26 blood were sampled for analysis. The 10mL were restored to avoid excessive blood loss and the jugular 27 catheter was flushed with 2mL of saline solution. Blood samples were placed into heparinized tubes at 1 15, 30, 60, 90, 120, 180, 240, 360, 480 min (an additional blood sample was collected at 5 min in 2 DEX1IV group), which were immediately centrifuged (4 min at 2200×g). Plasma samples were 3 collected with a pipette, identified and frozen at -80C° until transport on dry ice to the Medical 4 University of Gdańsk, Poland. Samples were analysed using reverse phase high performance liquid 5 chromatography coupled with triple quadrupole mass spectrometry detection (RP-HPLC-QqQ/MS, 6 Agilent Technology, Waldbronn, Germany) with the use of previously developed and validated 7 determination method (Szerkus *et al.* 2017).

8 Because of the possible species differences, revalidation of the most crucial analytical method 9 parameters (specificity, linearity, intra- and interday precision and accuracy) was performed. The 10 calibration curves for DEX were made by spiking canine plasma with proper DEX concentrations. Each 11 calibration curve was composed of 7 concentration levels (5, 10, 50, 100, 500, 1000, 2500 pg/mL). 12 Precision and accuracy of the method were studied with the use of quality control plasma samples at three concentration levels (20 pg/mL – LQC, 200 pg/mL – MQC, 2000 pg/mL – HQC). Besides, the 13 14 specificity of DEX was carried out by the determination of blank canine plasma extract for DEX ion 15 transition as well as canine plasma extract fortified with DEX.

The plasma concentration of DEX was plotted against time and standard formulas were used according to the best compartmental model that fit the data to calculate the bioavailability ([(AUCPN/AUCIV) x (DOSEIV/DOSEPN)] x 100), elimination half-life, plasma clearance, and volume of distribution of DEX.

20

Statistical analysis. Data distribution was assessed for normality using the Kolmogorov-Smirnov test. The bodyweight, anesthesia time, time for extubation, HR, onset and duration of sensory nerve blockage, proprioception, locomotion, plasma concentration, bioavailability, and half-life were compared between groups using two-way repeated measures ANOVA and post hoc Tukey test. Sedation scores were assessed within a group using Wilcoxon Signed Rank test. All data were assessed using GraphPad Prism 5.03 and P < 0.05 was considered significant.

27

1	Results
2	One dog was euthanized after sudden diagnosis of liver tumour metastasis. Data of this dog were
3	obtained only for the DEX0PN and DEX1PN treatments.
4	No significant differences in bodyweight (DEX0PN: 16.9 ± 2.3 kg; DEX1PN: 16.9 ± 2.3 kg;
5	DEX2PN: 16.6 \pm 2.4 kg; DEX1IV: 14.5 \pm 1.6 kg; P = 0.2051) and anesthesia time (DEX0PN: 26.1 \pm
6	7.8 minutes; DEX1PN: 21.4 \pm 6.1 minutes; DEX2PN: 28.2 \pm 9.1 minutes; DEX1IV: 25.2 \pm 8.6; P =
7	0.4844) were observed between groups.
8	Extubation time was longer in the DEX1IV group (13.0 \pm 4.2 minutes) compared with the
9	DEX1PN (5.1 \pm 2.3 minutes; P = 0.0013) and DEX0PN (5.7 \pm 2.3 minutes; P = 0.0022) groups. The
10	DEX2PN group (10.5 \pm 4.2 minutes) took longer to be extubated than the DEX1PN group (P = 0.0142).
11	
12	Analytical method validation. The analytical determination was based on a formerly
13	developed method, used for analysis of DEX in pediatric patients after intravenous administration of the
14	drug (Szerkus et al. 2017). As a result of revalidation, the method was linear in a range from 5 pg/mL
15	to 2500 pg/mL with correlation coefficient above 0.999. The result of specificity is illustrated in Figure
16	1 while the intra- and inter-day precision and accuracy results are presented in Table 1. Coefficient of
17	variation for precision was below 10 % for both intra- and inter-day studies. Concerning accuracy, the
18	differences between determined concentrations and nominal concentrations were less than 10 %. Based
19	on the obtained revalidation data, the method revealed to be selective, linear in the tested concentration
20	range, precise and accurate, as the validation parameters values fell within the bioanalytical methods
21	criteria proposed by FDA guidance ^j .

1 **Figure 1.**



Exemplary chromatograms of the blank canine plasma extract for dexmedetomidine ion transition (A)
or for detomidine (IS) ion transition (B), canine plasma extract fortified with dexmedetomidine (C) or
detomidine (D). Ion transitions were followed in the multiple reaction monitoring mode (MRM).

- 1 Table 1. Validation parameters (inter- and intra-day precision and accuracy) from dexmedetomidine
- 2 determination with the use of LC-QqQ/MS technique in MRM mode.

Nominal concentration	Determined	Standard	Precision	Accuracy
(pg/mL)	concentration	deviation (pg/mL)		(0/)
	(pg/mL)		$(\mathbf{C}\mathbf{v})$	(70)
20	21.6	1.8	8.54	107.82
200	219.4	11.1	5.07	109.69
2000	2077.8	57.9	2.79	103.89

INTRA-DAY PRECISION AND ACCURACY (n=6)

INTER-DAY PRECISION AND ACCURACY (n=20)

Nominal concent	ration Determined	Standard	Precision	Accuracy
(pg/mL)	concentration	deviation (pg/mL)	(CV)	(2)
	(pg/mL)			(%)
20	20.5	1.8	9.01	102.51
200	215.4	14.0	6.49	107.69
2000	2110.2	118.1	5.59	105.51

³ **CV:** coefficient of variance

5 Pharmacokinetics. Plasma samples of one dog in group DEX1IV had to be excluded from 6 analysis because the results were not consistent with other data (low or undetectable level of DEX). This 7 might either be related to blood sampling errors, perivascular injection, an error in DEX doses 8 administered or analysis dysfunction. Sufficient plasma samples were not available to repeat analysis 9 and calibration for RP-HPLC-MS. The plasma concentration versus time curve is shown in the figure 2. 10 The shape of the curve indicates that a two-compartmental model best fit the data.

⁴

1	Dexmedetomidine plasma levels were 1032 ± 415 pg/mL at first measurement (5 minutes after
2	injection) in DEX1IV group. Peak plasma concentrations were reached at 30 minutes in the DEX1PN
3	group (348 \pm 200 pg/mL) and in the DEX2PN group (819 \pm 607 pg/mL). DEX plasma concentration
4	was significantly different in DEX1IV at 120 ($P = 0.0212$), 180 ($P = 0.0051$), 240 ($P = 0.0084$), 360 ($P = 0.0084$), 260
5	= 0.0062), and 480 (P = 0.0482) minutes compared with DEX2PN, and at 360 (P = 0.0224) and 480 (P
6	= 0.0482) minutes compared with DEX1PN (Fig. 2). Results for bioavailability, elimination half-life,
7	volume of distribution and plasma clearance are presented in Table 2.

- 8
- 9 Figure 2.
- 10



Mean plasma dexmedetomidine concentration in dogs that received perineural sciatic and saphenous
 nerve injections of 0.5% ropivacaine (0.4 mL/kg) combined with perineural injection of a lower (1
 µg/kg; DEX1PN) or higher (2 µg/kg; DEX2PN) dose of dexmedetomidine or with IV administration of

dexmedetomidine (1 μ g/kg; DEX1IV). For perineural injections, the dose was divided equally between the 2 sites. Error bars represent SD. *Within a time point, value for the DEX1PN treatment was significantly (P < 0.05) different from the value for the DEX1IV treatment. †Within a time point, value for the DEX2PN treatment was significantly (P < 0.05) different from the value for the DEX1IV treatment.

6

Table 2. Pharmacokinetic variables of dexmedetomidine in dogs administered ropivacaine for sciatic and saphenous nerve block combined with either saline (DEX0PN), 1 μ g/kg dexmedetomidine (DEX1PN), or 2 μ g/kg dexmedetomidine (DEX2PN) perineurally, or 1 μ g/kg dexmedetomidine IV (DEX1IV).

	DEX1PN	DEX2PN	DEX1IV
	(n=7)	(n=6)	(n=5)
Bioavailability (%)	54 ± 40	73 ± 43	N/A
Half-life (minutes)	147 ± 98	258 ± 119	93 ± 50
Plasma clearance (mL/kg/min)	N/A	N/A	4.6 ± 0.3
Volume of distribution (L/kg)	N/A	N/A	0.6 ± 0.4

11 N/A: not applicable, n: number of dogs

Sedation. An increase in the sedation scores was observed at 15 minutes in DEX1PN (1.0 [0.0 -7.0]; P = 0.0340), DEX2PN (2.5 [0.0 – 14.0]; P = 0.0055), and DEX1IV (13.0 [6.0 – 14.0]; P = 0.0335) groups, and at 30 minutes in DEX2PN (1.5 [0.0 – 13.0]; P = 0.0055) and DEX1IV groups (4.5 [2.0 – 9.0]; P = 0.0350) compared with baseline (score 0 in all groups). Dogs of the DEX0PN group had no significant changes in the sedation scores throughout.

In the DEX1IV group, the sedation scores were significantly higher compared with all other groups at 15 minutes (versus 0 [0-2] in DEX0PN, P = 0.0027; versus 1 [0-7] in DEX1PN, P = 0.0048; versus 2.5 [0-14] in DEX2PN, P = 0.0496), and were greater than DEX0PN (0 [0-2], P = 0.0032) and DEX1PN (0 [0-5], P = 0.0099) groups at 30 minutes.

Heart rate. The HR 15 minutes after discontinuation of anesthesia were 101 ± 9 BPM in
DEX1PN group; 83 ± 24 BPM in DEX2PN group; 107 ± 35 BPM in DEX0PN group; 74 ±15 in DEX1IV
group. A significant difference was present between baseline versus 15 minutes in the DEX1IV group
(p = 0.0021) and between DEX0PN versus DEX1IV at 15 minutes (p = 0.0250).

6 Nociception. The onset time and duration of the sensory nerve blockade are presented in Table 7 3. The sensory response was present (score 1) in all dogs at baseline. No significant differences in the 8 onset time for tibial (P = 0.1322), fibular (P = 0.3848), saphenous (P = 0.1297), and sciatic (P = 0.0596)9 nerves sensory blockade (scores 2 and 3) were observed among groups. The onset time for a complete 10 sensory tibial (P = 0.3598), fibular (P = 0.2739), and saphenous (P = 0.1811) nerves blockage (score 3) 11 was not significantly different between groups. The only significant difference in the beginning of a 12 sensory blockade was observed for the complete sciatic nerve blockade that was faster in the DEX2PN 13 group than in the DEX0PN group (P = 0.0073). The duration of sensory blockade (scores 2 and 3) and 14 complete sensory blockade (score of 3) of the tibial nerve was significantly longer in DEX1PN group 15 compared with the DEX0PN (P = 0.0029 and P = 0.0030, respectively) and DEX1IV groups (P = 0.012116 and P = 0.0055, respectively). The sensory fibular nerve block (scores 2 and 3) was significantly longer 17 in the DEX1PN and DEX2PN compared with the DEX0PN (P = 0.0096 and P = 0.0101, respectively) 18 and DEX1IV (P = 0.0148 and P = 0.0130, respectively) groups. The complete sensory fibular nerve 19 block (score 3) was longer in the DEX1PN (P = 0.0108) and DEX2PN (P = 0.0060) groups compared 20 with DEX0PN. The sensory saphenous nerve blockade (scores 2 and 3) and complete blockade (score 21 3) lasted significantly longer in DEX1PN group compared with DEX0PN (P = 0.0022 and P = 0.0146, 22 respectively) and DEX1IV (P < 0.0001 and P = 0.0052, respectively). In the DEX2PN group, the 23 duration of sensory nerve blockade (scores 2 and 3) was longer than in DEX1IV (P = 0.0368), and the complete block (score 3) was longer than in DEX0PN (P = 0.0337) and DEX1IV (P = 0.0265). 24

25

The sensory sciatic nerve blockade (scores 2 and 3) and complete blockade (score 3) lasted

1 significantly longer in DEX1PN (P = 0.0154 and P = 0.0132, respectively) and DEX2PN (P = 0.01062 and P = 0.0003, respectively) groups compared with DEX0PN.

3

6

Table 3. Median (minimum - maximal) onset times and duration of mild sensory blockade 4 (scores 2 and 3) and complete sensory blockade (scores 3) of the tibial, fibular, saphenous, and sciatic 5 nerves in dogs administered ropivacaine combined with either saline (DEX0PN), 1 µg/kg dexmedetomidine (DEX1PN), or 2 µg kg dexmedetomidine (DEX2PN) perineurally, or 1 µg/kg 7 dexmedetomidine IV (DEX1IV).

	DEX0PN	DEX1PN	DEX2PN	DEX1IV
Tibial nerve				
Onset of blockade	30 (15 - 60)	30 (15 - 45)	15 (15 - 60)	15 (15 - 45)
(minutes)				
Duration of blockade	190 (105 - 235)	400 (160 - 655)*†	332 (190 - 520)	205 (135 - 310)
(minutes)				
Onset of complete	45 (15 - 105)	45 (15 - 60)	30 (15 - 60)	52 (15 - 105)
blockade (minutes)				
Duration of complete	75 (45 - 175)	340 (15 - 490)*†	190 (115 - 325)	102 (15 - 280)
blockade (minutes)				
Fibular nerve	DEX0PN	DEX1PN	DEX2PN	DEX1IV
Onset of blockade	30 (15 - 60)	15 (15 - 45)	15 (15 - 30)	15 (15 - 45)
(minutes)				
Duration of blockade	235 (145 - 325)	415 (160 - 685)*†	347 (235 - 550)*†	197 (175 - 310)
(minutes)				
Onset of complete	45 (15 - 105)	30 (15 - 45)	22 (15 - 60)	52 (15 - 60)
blockade (minutes)				
Duration of complete	130 (60 - 190)	355 (90 - 505)*	227 (130 - 400)*	137 (60 -295)
blockade (minutes)				
Saphenous nerve	DEX0PN	DEX1PN	DEX2PN	DEX1IV
Onset of blockade	15 (15 - 45)	15 (15-45)	15 (15 - 15)	15 (15 - 15)
(minutes)				

Duration of blockade	250 (75 - 430)	445 (370 - 490)*†	400 (160 - 595)†	280 (160 - 310)	
(minutes)					
Onset of complete	45 (15 - 90)	30 (15 - 150)	15 (15 - 30)	45 (15 - 75)	
blockade (minutes)					
Duration of complete	190 (15 - 340)	310 (250 - 415)*†	332 (135 - 550)*†	197 (75 - 265)	
blockade (minutes)	blockade (minutes)				
Sciatic nerve	DEX0PN	DEX1PN	DEX2PN	DEX1IV	
Onset of blockade	30 (15 - 75)	15 (15 - 45)	15 (15 - 30)	15 (15 - 45)	
(minutes)					
Duration of blockade	145 (105 - 310)	340 (160 - 520)*	340 (190 - 505)*	205 (105 - 310)	
(minutes)					
Onset of complete	60 (15 - 105)	60 (15 - 75)	15 (15 - 30)*	15 (15 - 60)	
blockade (minutes)					
Duration of complete	75 (15 - 135)	190 (75 - 445)*	310 (175 - 460)*	162 (75 - 280)	

1 *different from the DEX0PN group (P < 0.05); [†] different from the DEX1IV group (P < 0.05).

Proprioception and Locomotion. No significant differences in the onset times and duration of the proprioceptive and locomotor deficits were observed within and between groups (Table 4).

3

Table 4. Median (minimum – maximal) onset times and duration of the proprioceptive deficit and
abnormal gait (scores 2 and 3) and absent proprioception and complete motor blockade (scores 3) in
dogs administered ropivacaine combined with either saline (DEX0PN), 1 µg/kg dexmedetomidine
(DEX1PN), or 2 µg/kg dexmedetomidine (DEX2PN) perineurally, or 1 µg/kg dexmedetomidine IV
(DEX1IV).

	DEX0PN	DEX1PN	DEX2PN	DEX1IV
Proprioception				
Onset of	30 (15 - 60)	30 (15 - 60)	22 (15 - 60)	15 (15 - 45)
proprioception deficit				
(min)				
Duration of	130 (30 - 160)	250 (60 - 655)	167 (120 - 385)	167 (130 - 280)
proprioception deficit				
(min)				
Onset of absent	45 (30 - 60)	45 (15 - 60)	45 (15 - 75)	15 (15 - 60)
proprioception (min)				
Duration of absent	90 (0 - 130)	175 (0 - 625)	117 (15 - 325)	120 (75 - 250)
proprioception (min)				
Locomotion	DEX0PN	DEX1PN	DEX2PN	DEX1IV
Onset of abnormal gait	30 (30 - 30)	45 (15 - 75)	30 (15 - 60)	15 (15 - 60)
(min)				
Duration of abnormal	105 (0 - 190)	265 (15 - 685)	160 (15 - 385)	167 (75 - 280)
gait (min)				
Onset of motor block	45 (45 - 60)	45 (15 - 60)	45 (15 - 75)	15 (15 - 90)
(min)				
Duration of motor	15 (0 - 130)	175 (0 - 625)	115 (0 - 325)	112 (15 - 220)
block (min)				
1 Complications. A vessel was punctured during ultrasound-guided saphenous nerve block in one 2 dog. Blood was aspirated in the needle hub before perineural injection. The needle was immediately 3 withdrawn and reoriented to avoid intravascular injection. Three dogs got injured in the interdigital 4 space during the second round of experiments. The injury was not apparent on the day the experiments 5 were carried out. The day after, the paw was red, warm and swollen. The interdigital space was mildly 6 ulcerated in two dogs and moderately ulcerated in one dog. The injury was probably due to excessive 7 weight bearing on dorsal aspect of the digits (paw knuckling) and excessive dragging of the limb. The 8 dogs were medically examined twice a day by a veterinarian and appropriate care were provided. The 9 hairs were clipped and the injury was disinfected with povidone-iodine solution. They were treated with 10 oral carprofen for 5 days and oral amoxicillin-clavulanic acid for 10 days. The third experimental 11 treatment was started after full healing. A soft protective bandage was applied over the digits and a soft 12 mattress was placed in the cage for the rest of the trial in all dogs. The bandage was removed for each 13 assessment and replaced directly afterwards. The paw was carefully examined visually and by palpation 14 for injury at each evaluation time point and three times a day during two days after the experiments.

Discussion

2 The results of this study indicate that perineural administration of 1 and 2 μ g/kg (0.5 and 1 μ g/kg 3 per nerve block, respectively) of DEX added to ropivacaine 0.5% significantly prolong sciatic, fibular, 4 tibial and saphenous sensory nerve blocks without increasing the duration of the motor blockade and 5 proprioception deficits in experimental dogs. A systematic review in humans on adjuvants and local 6 anesthesia has revealed that analgesia provided by DEX and ropivacaine can be prolonged by 50 minutes 7 up to 4.5h (Kirksey et al. 2015). Our findings are in accordance to the actual data in humans but doses 8 and side effects of DEX and concentrations of ropivacaine varies greatly between trials (Marhofer & 9 Brummett 2016, Abdallah & Brull 2013, Akhondzadeh et al. 2018, Fritsch et al. 2014, Keplinger et al. 10 2015, Bisui et al. 2017, Jung et al. 2018). A dose of 0.1 µg/kg of DEX per nerve block combined with 11 bupivacaine for sciatic and femoral nerve block in dogs did not find a significant increase in nerve block 12 duration (Trein *et al.* 2017). The authors suggested a higher dose of DEX is needed and doses of 1µg/kg 13 and $2 \mu g/kg$ were evaluated in our study. The choice of the dose for our study has also been guided by 14 practice in human medicine (Kirksey *et al.* 2015). A study compared 1, 1.5 and 2 μ g/kg of DEX for 15 interscalene brachial plexus block (Jung *et al.* 2018). The authors suggested that $2 \mu g/kg$ might be the 16 optimal dose but that it was associated with an increased risk of hypotension.

17 Perineural use of DEX has the potential to induce sedation, bradycardia and hypotension already at 18 doses of $1\mu g/kg$ in humans (Lin et al. 2013, Rancourt et al. 2012). There was no decrease in HR when 19 DEX was administered perineurally but it was observed when DEX was administered IV. This might 20 suggest that side effects such as bradycardia might be stronger when DEX is administered IV for 21 locoregional anesthesia in dogs. Sedation scores of dogs in DEX groups were significantly higher in 22 DEX2PN and DEX1IV group up to 30 minutes and extubation time was longer in DEX1IV group. 23 Moderate and deep sedation in human patients was associated with plasma DEX concentrations of 0.2-24 0.3 ng/mL and 1.9 ng/mL, respectively (Bloor et al. 1992, Ebert et al. 2000). In the present study, such 25 levels were measured after perineural and intravenous DEX 5, 15 and 30 or even up to 60 minutes after the injections. Plasma level of DEX after perineural brachial plexus block with 150 µg were measured 26 27 at 0.64 ng/mL 30 minutes after injection and progressively decreased by 0.002 ng/mL per minute 106

(Fritsch et al. 2014). This is similar to the plasma level measured in DEX2PN. The undesired systemic 1 2 effects of DEX could be avoided or reduced in DEX1PN group. The target for locoregional anesthesia 3 is to keep a low plasma level of DEX. A dose of $1 \mu g/kg$ (0.5 $\mu g/kg$ per nerve block) of DEX seems to 4 be an effective dose to prolong sensory nerve block while minimising systemic side effects. A dose of 5 $2 \mu g/kg$ did not provide significant advantages. It is possible that at dose lower than $1 \mu g/kg$ per dog 6 (<0.5 μ g/kg per nerve block) as evaluated in our study but higher than 0.2 μ g/kg per dog (>0.1 μ g/kg 7 per nerve block) as evaluated in another study might be effective to prolong sensory sciatic and 8 saphenous nerve block (Trein *et al.* 2017). Bioavailability is lower in DEX1PN group and the perineural 9 rather than the intravenous use of DEX for locoregional anesthesia seems better.

10 In the present study, DEX administered IV did not significantly prolong peripheral nerve block 11 compared to control group, which is in opposition to findings in humans (Abdallah et al. 2016). This 12 difference might be explained by the difference in study design because the duration of analgesia and 13 the 24h cumulative morphine consumption were used as endpoints. The differences in the duration of 14 action on sensory nerve block between perineural and IV administration of DEX might be linked to the 15 mechanism of action by which DEX prolongs locoregional anesthesia. Even though the exact 16 mechanism remains unclear, a recent study has started to provide an answer. Andersen et al. have 17 analysed the effect of perineural DEX in volunteers (Andersen et al. 2017). They observed that 18 saphenous nerve block was prolonged when DEX was combined with ropivacaine compared with 19 saphenous nerve block performed without DEX in the contralateral limb. They concluded that the 20 perineural mechanism of action of DEX might be peripheral, which is supported by our results. We have 21 observed that sensory nerve blocks were prolonged only when DEX was injected perineurally. Such 22 effect was not correlated to the plasma levels of the drug, which were initially lower and then similar 23 compared with IV DEX. These observations suggest that the main mechanism of action of perineural 24 DEX might be peripheral. The perineural and local mechanism of action of alpha-2 adrenoceptor 25 agonists DEX is thought be related to vasoconstriction, which can delay the absorption of ropivacaine, 26 and inhibit compound action potentials (Yoshitomi et al. 2008, Kosugi et al. 2010). The perineural 27 action of DEX by blocking the Ih-current to keep the nerve in a hyperpolarised state is an additional explanation of the peripheral mechanism of action (Brummett *et al.* 2011). A dose-dependent central analgesia produced by DEX seemed unlikely as it did not contribute to increase the duration of the locoregional anesthesia in DEX1IV. A centrally mediated antinociceptive effect of DEX through stimulation of presynaptic alpha-2 adrenoceptors in the central nervous system seems less probable but cannot be excluded. The perineural injection of DEX could be effective through its action at the spinal cord.

7 Limitations of the study include the small number of animals and some missing data due to the fact 8 that one dog had to be euthanised and that plasma concentrations analysis of DEX of one dog in DEX1IV 9 group could not be repeated. The puncture related vessel damage and self-injuries may have influenced 10 the behavioral results of the dogs. The fact that the investigator was not blinded to the DEX1IV treatment 11 is another main limitation of the study. After randomisation, results regarding nerve block duration were 12 evaluated with unpaired t-test. Significant differences were obtained for DEX0PN vs DEX1PN (P =13 0.0012) and DEX0PN vs DEX2PN (P = 0.0097) but not for DEX1PN vs DEX2PN (P = 0.0819). It was 14 concluded that 1µg/kg was sufficient to prolong nerve sensory nerve block duration and, therefore, the 15 IV administration of this dose (DEX1IV group) was performed for comparison of the systemic effects. 16 This experimental design was purposefully planned before the experimentations according to the 3R 17 guidelines for animal welfare. A group administered $2 \mu g/kg$ IV was not planned to reduce the number 18 of experiments per animal according to the guidelines.

The perineural injection of 1 μ g/kg of DEX combined to ropivacaine 0.5% for locoregional anesthesia in dogs seems to balance the benefit of prolonged sensory nerve blocks while minimising side effects. At a dose of 1 μ g/kg, the perineural route should be favoured over the IV route to administer DEX for locoregional analgesia. At the tested doses, the plasma level of DEX for locoregional anesthesia were low and did weakly correlate with sensory nerve block duration. These findings and the pharmacokinetic model might guide the route and dose of DEX for locoregional analgesia to be assessed in clinical studies in dogs.

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6	
7	Footnotes
8	^a Diprivan 1%, Astra Zeneca, Belgium
9	^b SevoFlo, Abbott Laboratories Ltd, UK
10	^c Datex-Ohmeda, Finland
11	^d Leader-Flex 19G, Vygon, France
12	^e Dexdomitor, Orion, Belgium
13	^f Naropin, AstraZeneca, Belgium
14	^g Mindray, Schöneiche, Germany
15	^h SonoPlex Stim cannula, 21G, 10 cm, Pajunk, Germany
16	ⁱ TOF-watch, Organon, Ireland
17	^j Guidance for Industry, Bioanalytical Method Validation, U.S. Department of Health and Human
18	Services, Food and Drug Administration, Center for Drug Evaluation and research, Center for
19	Veterinary Medicine, May 2001; <u>http://academy.gmp-compliance.org/guidemgr/files/4252FNL.PDF</u> ,
20	last accessed 09.08.2020.

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— Experimental section

Study 4:

Perineural dexmedetomidine seems to reduce postoperative methadone requirements in dogs after tibial plateau levelling osteotomy:

a two-center study

Preliminary Results

Vincent Marolf, Alexandru Tutunaru, Julie Selz, Pierre Picavet, Claudia Spadavecchia, Charlotte Sandersen

1	Abstract
2	Objective Evaluate the efficacy of a perineural injection of dexmedetomidine (1µg/kg) combined with
3	ropivacaine to reduce postoperative methadone requirements in dogs after tibial plateau levelling
4	osteotomy (TPLO).
5	
6	Animals Sixty (30 per institution) client-owned dogs undergoing elective TPLO.
7	
8	Material and Methods Preoperative ultrasound-guided sciatic and femoral nerve blocks with
9	ropivacaine 0.5% (0.2 mL/kg per nerve block) combined either with dexmedetomidine (0.5 μ g/kg per
10	nerve block; group DEX) or with the same volume of saline solution (group CON) was performed in
11	dogs. Postoperative pain was assessed 30 minutes, 2 hours and then every 4 hours for 24 hours with a
12	validated pain scale (4AVet). Rescue methadone (0.2 mg/kg IV) was administered each time a score of
13	\geq 6 (maximal score 18) was recorded. The total amount and number of doses of methadone and time to
14	first rescue methadone were recorded. The opioids consumption was analysed by Fisher exact tests and
15	time to first methadone by Mann-Whitney U test. The study was performed in parallel at the University
16	clinic of Liege in Belgium and at a private veterinary clinic in Switzerland. Meloxicam (0.15 mg/kg IV)
17	was administered to all dogs at recovery from general anaesthesia.
18	
19	Results The data of the private clinic were analysed $(n = 30)$. Dogs received a total of 14 and 28
20	postoperative doses of methadone in groups DEX and CON, respectively ($p = 0.0264$). Time to first
21	methadone required was not different between groups ($p = 0.0901$). During the first 24 postoperative
22	hours, analgesia provided by postoperative administration of meloxicam and preoperative nerve blocks
23	was sufficient in 60% and 27% of dogs in group DEX and CON, respectively.
24	
25	Conclusions and clinical relevance Dexmedetomidine added to ropivacaine for ultrasound-guided

conclusions and chincar relevance Dexinedetoinidine added to ropivacanie for ultrasound-guided
 sciatic and femoral nerve blocks in dogs seems to reduce the requirements of postoperative methadone
 in dogs after TPLO.

1 *Keywords* Saphenous, pain, opioid, rescue analgesia, fentanyl

Introduction

Cranial cruciate ligament rupture in dogs is one of the most commonly reported orthopaedic diseases in veterinary medicine and usually requires invasive stifle surgery that may include osteotomy and bone plate application in dogs of medium to large size (Hoelzler *et al.* 2005). These surgical procedures are painful and perioperative analgesic plan is mandatory. The adjunct of locoregional anaesthesia such as peripheral nerve blocks clearly improves the pain management and well-being of animals during the perioperative period compared to systemic analgesia (Palomba *et al.* 2020).

8 Performing locoregional anaesthesia is associated with a certain number of risks. Intraneural 9 fascicular injection of local anaesthetic agents (LAA) can induce detrimental neurologic consequences 10 (Hadzic *et al.* 2004). Infection, bleeding through traumatic vessel puncture, transient tingling or 11 numbness are usually self-limited reported complications. Major complications are rare (Auroy *et al.* 12 2002). Only one single case of permanent neuropathy has been reported in a review on the risks of 13 peripheral nerve blocks in humans (Brull *et al.* 2007). The benefits of locoregional anaesthesia usually 14 outweigh the risks of complications but a weigh-in of interest must be assessed.

15 In humans, regional anaesthesia techniques were shown to provide important advantages 16 compared to general anaesthesia and systemic analgesia, including excellent pain control and reduced 17 side effects (Liu & Wu 2007, Liu et al. 2005). Continuous improvements in peripheral nerve blocks and 18 the advantages of regional anaesthesia compared with administration of systemic opioids, have 19 strengthened postoperative pain management over recent years (Schnabel et al. 2018). However, these 20 early advantages can be short-lived and limited by the relatively brief duration of action of currently 21 available LAA, potentially resulting in early block resolution during the postoperative period (Abdallah 22 et al. 2013). The use of perineural dexmedetomidine, a potent alpha-2 agonist, as an adjunct to long-23 lasting LAA in peripheral nerve blocks has been proven to significantly prolong the duration of sensory 24 nerve block (Study 3). This will contribute to reduce postoperative opioid consumption and improve the patient's comfort after surgery through reduced pain perception (Abdallah et al. 2013, El-Boghadly et

al. 2017, Vorobeichik et al. 2017, Ping et al. 2017, Sun et al. 2019).

2

1

3 Locoregional anaesthesia techniques of the pelvic limb are commonly used in dogs undergoing invasive stifle surgery. Their efficacy, wide margin of safety and prolonged analgesic effects throughout 4 5 the perioperative period make them a valuable part of multimodal anaesthetic protocols (Hoelzler et al. 6 2005, Vettorato et al. 2012, Caniglia et al. 2012). They reduce the need for systemic administration of 7 opioids and so the associated side-effects such as generalized central nervous system depression, 8 dysphoria, prolonged gastric emptying time, vomiting, gastroesophageal regurgitation, urine retention, 9 pruritus and ventilatory depression (Campoy et al. 2012). A limited number of studies has investigated 10 the effect of dexmedetomidine perineurally combined with ropivacaine on locoregional anaesthesia in 11 dogs (Trein et al. 2017, Bartel et al. 2016). Although a longer duration of sensory blockade was observed 12 with perineural dexmedetomidine compared with intramuscular or no administration of 13 dexmedetomidine, the duration was only significantly increased for the tibial nerve. A potential 14 explanation for this finding might be explained by the low dose of dexmedetomidine combined to 15 ropivacaine.

The purpose of our study was to investigate the effect of perineural dexmedetomidine combined to ropivacaine injected preoperatively at the femoral and sciatic nerves of dogs undergoing TPLO. The objective of the study was to evaluate postoperative number of doses of methadone to control pain during the first 24 postoperative hours. Our hypothesis was that dogs which were administered perineural dexmedetomidine combined with ropivacaine would require less rescue methadone to control postoperative pain than dogs which were administered perineural ropivacaine with saline.

Material and Methods

The study has been designed as a prospective, clinical, randomised and blinded trial. Two centres were enrolled for participation: The University of Liège in Belgium and the Veterinary Medical Centre Medi-Vet SA in Switzerland. Ethical committee approval has been obtained by Belgian (Nr. Signal Swiss (Nr. 29685, VD3493) authorities. A signed owner informed consent has been collected prior to study participation.

7 Animals. Thirty dogs per institution were recruited. They were considered eligible for study 8 participation if: a TPLO surgery was planned; if they were 1-15 years old; weighted 10-50 kg; were 9 classified as ASA I or II by the American Society of Anaesthesiologist and if hospitalisation for 24 hours 10 after surgery was possible. Exclusion criteria included: invasive reconstructive knee surgery involving 11 other techniques than TPLO; Body condition score ≥ 8 based on the Nestlé Purina Score; any 12 contraindication for locoregional anaesthesia; any contraindication for the use of ropivacaine, 13 dexmedetomidine or meloxicam; aggressive dogs; skin infection at injection site for sciatic or femoral 14 nerve block; owner refusal; or neuromuscular disorder. At the end of surgery, dogs were classified as 15 small dogs (< 20 kg) or large dogs (> 20kg).

Dogs were randomly assigned to two different groups by drawing a paper from an envelope containing equal number of each group at each institution (30 dogs at each institution). The treatment group (DEX) received an US-guided perineural injection of ropivacaine combined with dexmedetomidine while the control group (CON) received a US-guided perineural injection of ropivacaine combined with saline 0.9% at the sciatic and femoral nerves.

Anaesthesia. Left or right cephalic vein was catheterised and dogs were premedicated with acepromazine 0.01 mg/kg and methadone 0.2 mg/kg administered intravenously (IV). Approximately ten minutes later, anaesthesia was induced with propofol IV titrated to effect until the anaesthetic depth was sufficient to allow orotracheal intubation with an appropriately sized cuffed endotracheal tube. The tube was connected to a circle breathing system. The fresh gas flow of 100% oxygen was set at 1 L/min 118

1 and anaesthesia was maintained with an End Tidal (ET) concentration of Isoflurane targeted at 1.3%. 2 Lactated Ringer's solution was administered IV at a rate of 5 mL/kg/hr. A multiparameter monitor 3 including electrocardiogram, pulse oximetry, non-invasive blood pressure, capnography, anaesthetic gas 4 analyser, temperature was used to control vital parameters of the dogs during anaesthesia. Blood 5 pressure was measured by an appropriately sized cuff placed around the forelimb of the dog and 6 measurements were cycled every 3 minutes. Hypotension defined as MAP < 60 mmHg was treated by 7 reduction of the ET of isoflurane by 0.1% every 5 minutes according to clinical anaesthetic depth. If this 8 was insufficient to restore normotension, a bolus of fluids of 10 mL/kg over 20 minutes was 9 administered IV. Finally, dobutamine at 5 µg/kg/min, increased by 2.5 µg/kg/min every 10 minutes was 10 administered if necessary, to restore normotension. Bradycardia was defined as HR < 40 bpm. 11 Bradycardia was treated by administration of IV atropine at 20 µg/kg. Bradycardic and hypotensive 12 events during surgery were recorded. Heart rate, respiratory rate and blood pressure were recorded as 13 baseline value before surgery start. Any increase of 25% in HR or MAP or RR of the recorded baseline 14 value was indicative of nociception and led to the intraoperative administration of fentanyl at 2 μ g/kg 15 IV. The number of fentanyl boluses administered during surgery were recorded. Meloxicam 0.15 mg/kg 16 was administered IV shortly after removal of the endotracheal tube.

17 Surgery. Dogs were operated by three different residency trained surgeons with at least three 18 years of experience as independent surgeon. Two of them are board-certified (Dipl. ECVD). The canine 19 knee joint was instrumentalised with a three-portal method. The arthroscope was inserted laterally to the 20 patellar ligament, the cannula was inserted proximally in the medial joint compartment and the 21 instrument was inserted medially. The surgical procedure for TPLO followed a standard approach 22 (Slocum & Slocum 1993). The type of procedure (arthrotomy versus arthroscopy) performed before 23 TPLO was documented. The presence of meniscal tear lesions was recorded as present or absent during arthroscopy/arthrotomy by the operating surgeon. 24

Locoregional analgesia. A sciatic and femoral ultrasound-guided nerve block was performed preoperatively using a described approach (Campoy *et al.* 2010). The nerve stimulator was used to

1 confirm right needle placement if necessary. The same experienced anaesthesiologist familiar with US-2 guidance (Wireless US-probe B024; Konted, China) performed nerve blocks (VM at the Veterinary 3 Medical Center; AT at the University Clinic). A diluted preparation of dexmedetomidine was prepared 4 for dogs of the treatment group. Dexmedetomidine 0.05% (0.1 mL) was diluted with 0.9 mL of NaCl 5 0.9% in a 1 mL syringe. Care was taken that the preparation was homogenously diluted. Dogs in group DEX received a perineural sciatic injection of 0.2 mL/kg of ropivacaine 0.5% combined with 0.01 6 7 mL/kg of diluted dexmedetomidine (equals 0.5 µg/kg of dexmedetomidine). The same volume was 8 injected at the femoral nerve. Dogs in group CON received a perineural sciatic injection of 0.2 mL/kg 9 ropivacaine 0.5% combined with 0.01 mL/kg of NaCl 0.9%. The same volume was injected at the 10 femoral nerve. After perineural injection, the volume of the extension line and needle was flushed with 11 NaCl 0.9%. The heart rate was recorded prior to perform locoregional anaesthesia and 5, 15, 30, 60 and 12 90 minutes after nerve block.

13 Postoperative data collection. Thirty minutes (T0.5), two hours (T2), and then every four hours 14 (T4, T8, T12, T16, T20, T24) for 24 hours after recovery, the 4Avet pain score (Holopherne-Doran et 15 al. 2010) was used to assess pain. When pain score was ≥ 6 out of 18 (moderate pain), 0.2 mg/kg of 16 methadone was administered IV. The amount of methadone administered were recorded and compared 17 between groups. At the same evaluation time point, a sedation score and a proprioception score were 18 evaluated. The sedation score was evaluated with the following scale (Score 0: fully alert and able to 19 stand and walk; Score 1: alert and able to maintain sternal recumbency; Score 2: drowsy and able to 20 maintain sternal recumbency but unable to stand; Score 3: fast asleep; Campoy et al. 2013). The 21 proprioception score consisted on positioning the dorsal part of the digits of the blocked limb on the 22 floor to verify the immediate reposition (knuckling reflex). The following score were attributed (Score 23 1: absent reposition of the leg; Score 2: retarded, weak or diminished reposition; Score 3: immediate 24 reposition of the leg). Scores of 1 indicated an absent motor nerve block, score of 2 a partial motor nerve 25 block and a score of 3 the presence of a motor nerve block. The veterinarians in charge of collecting the

different scores overnight were previously trained by AT, VM and JS to use the 4Avet pain score until 2 they became familiar with the use of this score if they had not used it previously.

3 Statistical analysis. An online program (sealedenvelope.com) was used to calculate the number of animals required per experiment at a standard alpha error of 5% and power of 90%. The results of 4 5 study 3 of sensory sciatic nerve block duration of ropivacaine and perineural dexmedetomidine (321 \pm 6 123 minutes) compared to ropivacaine and saline (171 minutes) was used for calculation. A set up for 7 continuous outcome superiority trial indicated that 15 animals per group were necessary. The experiment 8 was conducted in parallel at two centres to verify if results were reproducible. A statistical analysis was 9 performed using GraphPad Prism Version 5.00 for Windows (GraphPad Software, CA, USA). A 10 Shapiro Wilk test verified distribution of data. Parametric data are presented as mean ± standard 11 deviation (±SD) and non-parametric data as median and interquartile range [IQR]. Demographic data, 12 the duration of surgery, anaesthesia, nerve block to extubation, nerve block to start of 13 arthroscopy/arthrotomy were analysed by unpaired Student *t*-test. Time to first methadone and time to 14 full recovery of proprioception was evaluated by Mann-Whitney U test. A Kaplan-Meier Survival 15 analysis (Log-rank (Mantel-Cox) Test) tested the postoperative survival probability without rescue 16 analgesia (methadone). Fisher exact tests were applied to analyse postoperative number of doses 17 administered. Co-factors, which might have influenced the number of postoperative doses of methadone 18 such as the surgeon, the weight of the dog, the presence or absence of meniscal tear lesions, the type of 19 surgery (arthrotomy versus arthroscopy) were analysed by fisher exact and Chi-square tests. Pain scores, 20 sedation scores and proprioception scores were compared with Friedman's test with Dunn's multiple 21 comparison. A *p* value of ≤ 0.05 was significant.

1	Results
2	The results present data of the veterinary centre only because data in Belgium are still being collected.
3	A total of 15 dogs in group DEX and 15 dogs in group CON completed the study. No complications
4	were observed.
5	
6	Demographic data. Age and weight were normally distributed. There was no significant
7	difference between groups in age (CON: 6.8 ± 2.9 ; DEX: 6.9 ± 2.9 years) and weight (CON: 25.1 ± 8.5 ;
8	DEX: 28.0 ± 6.3 kg). Breeds of dogs are presented in table 2.
9	
10	Surgery. All dogs underwent arthroscopy and TPLO except two dogs which underwent
11	arthrotomy and TPLO. The entire knee joint capsule was not open during surgery except in the two dogs
12	which had arthrotomy. The durations of surgical and anaesthetic procedures are presented in table 1.
13	Co-factors are presented in table 2.
14	

15 **Table 1.**

Duration	Group CON	Group DEX	<i>p</i> -values
	(minutes)	(minutes)	
Arthroscopy/arthrotomy	27.0 ± 3.2	25.3 ± 2.0	0.6633
TPLO	67.3 ± 3.4	68.6 ± 3.4	0.7827
Anaesthesia (induction to extubation)	173.3 ± 4.7	178.3 ± 3.9	0.4209
Block to extubation	145.3 ± 5.8	138.7 ± 3.5	0.3325
Block to start of arthroscopy/tomy	32.0 ± 1.7	30.0 ± 1.9	0.4435

¹⁶

Duration of surgical and anaesthetic procedures. Dogs received a preoperative ultrasound-guided sciatic and femoral nerve block with ropivacaine 0.5% combined with perineural dexmedetomidine at 1 μ g/kg (group DEX) or combined with the equivalent volume of perineural saline solution (group CON). Results are presented as mean ± Standard deviation. TPLO; tibial plateau levelling osteotomy.

Intraoperative data. The percentage of dogs requiring at least one dose of fentanyl (27%) was equal in both groups (4 dogs per group). In group CON, 14% of dogs (n = 2) required fentanyl at 3 1 occasions, one dog required fentanyl twice and one dog received a single bolus. In group DEX, all four 2 dogs required a single bolus of fentanyl. There was no difference in the total number of doses of fentanyl 3 administered between groups (p = 0.2297). No dogs required atropine. Hypotension was recorded in 4 20% of dogs (n = 3) in group CON and 7% (n = 1) in group DEX. In group CON, hypotension could be 5 treated by reduction of isoflurane in two dogs and one dog required an additional bolus of isotonic 6 crystalloid. In group DEX, a single isotonic crystalloid bolus restored normotension. No dogs required 7 dobutamine.

8

9 **Postoperative methadone administration.** The total requirements of postoperative doses of 10 methadone were higher in group CON compared to group DEX (table 2; p = 0.0264). The total 11 cumulative fraction of methadone (mg/kg) administered per group is illustrated in figure 1. The number 12 of methadone doses administered during the first 24 postoperative hours were 28 and 14 in the group 13 CON and DEX, respectively (table 2). The highest number of doses of postoperative methadone 14 administered in each group was 6 (n = 2) and 5 (n = 1) in groups CON and DEX, respectively. A total 15 of 60% (9/15) and 27% (4/15) of dogs did not require methadone during the postoperative evaluation 16 period of 24 hours in groups DEX and CON, respectively. The percentage of dogs that required at least 17 one dose of methadone is presented in figure 2. The time to administration of the first postoperative dose 18 of methadone was not different between groups (p = 0.0901). The Kaplan-Meier survival analysis to 19 first dose of postoperative methadone is presented in figure 3 (p = 0.0773).

20

21 **Co-factors.** Co-factors such as the surgeon operating, the classification as small or large dog 22 and intraoperative fentanyl requirement might have influenced the number of doses of methadone 23 administered in each dog (table 2). Dogs operated by surgeon 3 required significantly more 24 postoperative doses of methadone. Small dogs required significantly more doses of methadone. In group 25 DEX, dogs which required intraoperative fentanyl never received postoperative methadone (p = 0.02).

1 **Table 2.**

Co-factor		Group CON (n = 15)	Group DEX (n = 15)	<i>p</i> -value
Centre	Private clinic	n = 15 x = 28	n = 15 x = 14	0.0264
	University clinic	N/A	N/A	N/A
Weight	Large dogs (> 20kg)	n = 10 x = 16	n = 14 x = 9	< 0.001
	Small dogs (< 20 kg)	n = 5 x = 12	n = 1 x = 5	-
Meniscal tear	p- value Present	0.2557 n = 8 x = 18	n = 5 x = 5	0.123
	Absent	n = 10 x = 10	n = 7 x = 9	-
Surgery	P-value Arthroscopy +TPLO	n = 13 x = 23	1 n = 15 x = 14	0.1669
	Arthrotomy + TPLO	n = 2 x = 5	n = 0 x = 0	-
Surgeon	p-value Surgeon 1	n = 6 x = 5	1 n = 7 x = 5	0.003
	Surgeon 2	n = 6 x = 10	n = 3 x = 0	
	Surgeon 3	n = 3 x = 13	n = 5 x = 9	
Intraoperative	p-value Required at	n = 4	n = 4	<0.001 0.126
fentanyl	least 1 dose No fentanyl required	x = 7 n = 11 x = 21	x = 0 n = 11 x = 14	-
Breed		Mixed breed (n = 5) Labrador (n = 3) Bernese Mountain Dog (n = 2) Australian Shepherd (n = 1) Staffordshire Bull Terrier (n = 1) Beauceron (n = 1) Beagle (n = 1) Airedale Terrier (n = 1)	Labrador (n = 4) German Shepherd (n = 2) Golden retriever (n = 2) Australian Shepherd (n = 1) American Staffordshire Terrier (n = 1) Barbet (n = 1) Boxer (n = 1) English Pointer (n = 1) Dalmatian (n = 1) Spitz (n = 1)	N/A

1 The table shows potential cofounding factors which might have influenced the postoperative methadone 2 requirements of two groups of dogs. Dogs received a preoperative ultrasound-guided sciatic and femoral 3 nerve block with ropivacaine 0.5% combined with perineural dexmedetomidine at $1 \mu g/kg$ (group DEX) 4 or combined with the equivalent volume of perineural saline solution (group CON). All co-factors were 5 analysed with fisher exact tests except the co-factor "surgeon" which was analysed by Chi-square test. 6 P-values < 0.05 were considered significant. Significant values are written in bold. N/A, not applicable; 7 n, number of dogs; x = number of postoperative doses of methadone administered

8





10

11 Cumulative postoperative methadone consumption (mg/kg) of dogs after tibial plateau levelling 12 osteotomy. Dogs received a preoperative ultrasound-guided sciatic and femoral nerve block with 13 ropivacaine 0.5% combined with perineural dexmedetomidine at 1 μ g/kg (group DEX) or combined 14 with saline solution (group CON). Postoperative pain was assessed by 4Avet pain scores at 15 predetermined timepoints (T0.5 -T24 hours) starting from removal of the endotracheal tube.

1 **Figure 2.**



Proportion (%) of dogs which required at least one dose of postoperative rescue analgesia (methadone 0.2 mg/kg IV) after tibial plateau levelling osteotomy. The number in parenthesis is the number of dogs which received ≥ 2 doses of methadone at each evaluation timepoints. Postoperative pain was assessed by 4Avet pain score at predetermined timepoints (T0.5 -T24 hours) starting from removal of the endotracheal tube. Dogs received a preoperative ultrasound-guided sciatic and femoral nerve block with ropivacaine 0.5% combined with (group DEX) or without (group CON) dexmedetomidine at 1 µg/kg injected perineurally.

1 **Figure 3.**



2

Postoperative (PostOP) survival probability (%) without methadone rescue analgesia (MRA) over time between two groups of dogs after surgery for tibial plateau levelling osteotomy. Dogs received a preoperative ultrasound-guided perineural injection of ropivacaine (0.5%) combined with dexmedetomidine at 1 μ g/kg (Group DEX; n = 15) or combined with saline (Group CON; n = 15) at the sciatic and femoral nerve. The table show a Kaplan-Meier Survival Analysis (*p* = 0.0773; Log-rank Test)

9

Postoperative pain scores. There was no significant difference in pain scores between the
different evaluation time points in each group. Pain scores in group CON were significantly higher at
T20 (4[1-7]) and at T16 (4[2-6]) compared to group DEX at T0.5 (1[0-2]), T2 (1[0-2]) and T4 (1[0-2]).
Pain scores were significantly higher in group CON at T24 (3[2-6]) compared to group DEX at T2 and
T4.

15

16 Sedation. Sedation scores were higher in group CON (p < 0.001) at T0.5 (1[0-2]) compared to 17 T2 (0[0-0]) and in group DEX (p < 0.001) at T0.5 (1[1-2]) compared to T2 (0[0-0]). The sedation scores 18 were not statistically different between group CON and group DEX at all evaluated time points.

19

Proprioception. All dogs had recovered proprioception (score = 3) at T24. The time to full recovery of proprioception was longer in group DEX than in group CON (p = 0.0042). A significant difference between proprioception scores was present at T8 between groups (p < 0.05). The time to recovery of proprioception is illustrated in figure 4.

- 5
- 6 Figure 4



8 Recovery of proprioception after ultrasound-guided sciatic and femoral nerve assessed at different 9 timepoints after endotracheal tube removal in dogs recovering from tibial plateau levelling osteotomy. 10 Group DEX received 1 μ g/kg of dexmedetomidine added to ropivacaine 0.5% for the perineural 11 injection while group CON received an equivalent volume of saline solution instead of 12 dexmedetomidine. A score of 1 indicated absent proprioception, a score of 2 indicated partial or retarded 13 proprioception and a score of 3 indicated recovered proprioception. * Significant differences between 14 groups (p < 0.05).

Discussion

Preliminary results of this study suggest that the addition of $1 \mu g/kg$ of dexmedetomidine to 0.2 ml/kg of ropivacaine 0.5% for US-guided sciatic and femoral nerve block is beneficial for dogs undergoing TPLO. The postoperative 24 hours cumulated methadone consumption is reduced when dexmedetomidine is added to ropivacaine for peripheral nerve block.

Dexmedetomidine is often used as an adjuvant together with LAA for perineural injection in
human patients undergoing orthopaedic surgeries. Several reviews have evaluated the clinical benefits
of this drug for peripheral nerve blockade. Doses around 1 μg/kg of DEX will prolong nerve block by
approximately 200 minutes (Kirksey *et al.* 2015). Our previous study (study 3) also confirmed that 1
μg/kg might be an effective dose and justify the dose applied in the present study. Other reviews have
shown a decreased need of 24 hours cumulated analgesic agents if dexmedetomidine is combined to
LAA compared to LAA alone (Vorobeichik *et al.* 2017, Wang *et al.* 2018).

13 The 24-hour number of postoperative doses of methadone was used as endpoint in this study 14 rather than sensory nerve block duration. The cumulated requirement of postoperative opioids is 15 clinically relevant because the repeated administration of methadone might negatively affect the comfort 16 of dogs (Bini et al. 2018). Study 3 revealed that sensory but not motor nerve block was prolonged when 17 dexmedetomidine was added to ropivacaine for peripheral nerve block. In humans, those results are 18 contradictory. Dai et al. (2018) reported a longer duration of motor block for supraclavicular brachial 19 plexus block but not for axillary or intermuscular brachial plexus block. Although a trend towards longer 20 motor block was observed in axillary and intermuscular brachial plexus block, it did not reach significant 21 difference. The duration of motor block seems to be dependent on the type of nerve block. In this study, the time to full recovery of proprioception was longer in group DEX. A significant difference in 22 23 proprioception was observed at T8 between groups. This proves that motor nerve block was longer in 24 group DEX. A prolonged motor nerve block will likely induce a prolonged sensory nerve block. The 25 time to first rescue analgesia was not different between groups. This could also have suggested a block

of longer duration. The reduced cumulated number of doses of methadone required and lower 1 postoperative pain scores in group DEX compared to group CON at specific time points tend to suggest 2 3 better postoperative analgesia provided by perineural dexmedetomidine. In humans, analgesia is 4 prolonged when dexmedetomidine is added to peripheral nerve blocks (Schnabel et al. 2018). Therefore, 5 it remains unclear if prolonged nerve block or a better quality of the nerve block and more solid block 6 explain the decreased the postoperative methadone requirements. A combination of prolonged nerve 7 block, better postoperative analgesia provided by dexmedetomidine and systemic effects of opioids is 8 also possible.

9 The operating surgeon, the size of the dog (small or large), the breed and the intraoperative 10 fentanyl requirements might have biased the postoperative number of doses of methadone required in 11 each dog. The skills and years of experience vary between surgeons. This might impact on soft tissue 12 trauma and wound size possibly influencing the level of postoperative pain. Common veterinary 13 caseload includes dogs of different weight, size and breeds. Dogs interact differently with humans 14 depending on their breed. Dogs of certain breeds might be shy, stoic, bouncy or happy and interact 15 differently in a postoperative setting. It might influence the final result of the postoperative pain score. 16 Surgery for TPLO is usually performed in larger breed dogs, but the operation might occasionally be 17 indicated for dogs of smaller size. Small dogs required more postoperative doses of methadone than 18 large dogs in our study. Dogs of small size have higher metabolism and higher basal heart rate (Rondo 19 et al. 2017). This can lead to faster elimination of drugs from the body. Methadone, dexmedetomidine 20 and LAA might have been metabolised faster in small dogs. There was no difference between 21 intraoperative fentanyl and postoperative methadone requirements between groups but in group DEX, 22 intraoperative fentanyl administration led to decreased postoperative methadone requirements. It seems 23 unlikely that a single dose of $2 \mu g/kg$ of intraoperative fentanyl could reduce the postoperative need of 24 methadone. Fentanyl has a short duration of action with a maximum terminal elimination phase of 199 minutes at 10 µg/kg in dogs (Murphy et al. 1979). Perineural dexmedetomidine might have influenced 25 26 the relationship between intraoperative and postoperative need for opioids.

1 "Rebound pain" is a phenomenon by which the patient perceives severe postoperative pain after 2 peripheral nerve block resolution. It has a negative impact on the patient's comfort and might lead to 3 increased opioid consumption and sleep disturbance (Nobre et al. 2019). Rebound pain is not reported 4 in dogs but careful observations of postoperative pain after nerve blocks have weaned off is important. 5 The addition of adjuvants to LAA and the IV injection of anti-inflammatory drugs have been proposed 6 to prevent rebound pain in humans (Dada et al. 2019). The injection of perineural dexmedetomidine and 7 IV meloxicam are important multimodal analgesic strategies to prevent the potential underestimated 8 rebound pain phenomenon in dogs.

9 In humans, many orthopaedic surgeries are performed under locoregional anaesthesia while the 10 patient is awake. When dexmedetomidine is added to LAA for peripheral nerve block, dexmedetomidine 11 might induce sedation (Rancourt *et al.* 2012). After perineural injection of dexmedetomidine, sedation 12 might be deeper in patients receiving a higher dose of the drug (Keplinger *et al.* 2015). In dogs, 13 locoregional anaesthesia is usually combined to general anaesthesia for TPLO surgery. This probably 14 explains why there was no difference in sedation scores between groups in our study.

15 Some limitations need to be mentioned. The data presented are preliminary results. The statistical analysis of the entire data sets of both centres might reveal different results. A validated French 16 17 pain scale was applied to evaluate postoperative pain instead of an English pain scale used more 18 frequently in clinical settings such as the Glasgow composite pain scale. Objective scores were recorded 19 by different veterinarians at different institutions. An interobserver variability is not excluded and might 20 have affected validity of data. A two-centre study might be considered an advantage to evaluate the 21 efficacy of perineural dexmedetomidine and generalise its clinical application. However, multiple 22 investigators might have different clinical judgement or different skills in performing US-guided nerve 23 blocks. This might have impacted on the results.

The use of dexmedetomidine as an adjuvant to ropivacaine after femoral and sciatic nerve block,
 seems to reduce the postoperative consumption of methadone in dogs undergoing TPLO surgery.

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Discussion - Perspectives

1 Surgical procedures at the pelvic limb in dogs are performed daily in clinical veterinary 2 practices. The procedures vary from hip prothesis, femur head osteotomy, invasive reconstructive stifle surgery, arthrodesis, fracture repair to amputation of the digits or even of the entire pelvic limb. Each 3 4 surgery is performed on a specific anatomical region of the pelvic limb. As in human medicine, 5 locoregional anaesthesia should be implemented for invasive pelvic limb surgery to provide effective 6 pain relief in dogs. It allows the reduction of isoflurane requirements and side effects associated with 7 high doses of systemic analgesia (Congdon et al. 2017, Portela et al. 2008, Vettorato & Corletto 2016). 8 Locoregional anaesthesia at the pelvic limb in dogs can be performed by LAA in the epidural space or 9 nearby peripheral nerves. The success rate of epidural anaesthesia varies (Troncy et al. 2002, Sarotti et 10 al. 2015) and this technique unfortunately induce paralysis of both hindlimbs. Peripheral nerve blocks 11 might be a preferred technique. Ultrasound-guidance allows precise visualisation of the nerves of the 12 pelvic limb and seems superior than the electrical nerve stimulator technique (Haro et al. 2016, Akasaka 13 & Shimizu 2017) for peripheral nerve blocks. Some of the US-guided also have a low reported success 14 rate (Shilo et al. 2010). This is clinically relevant because dogs might not be able to profit from a specific 15 US-guided approach which might be indicated for a specific surgical procedure. The US-guided parasacral approach to the sciatic nerve in dogs needed modifications to improve its success rate (Shilo 16 17 et al. 2010). Improvements of the US-guided parasacral approach was the goal of study 1. The success 18 rate of the US-guided parasacral approach could be improved by modifications of the ultrasound view 19 of the nerve and by modifying the volume, concentration and type of LAA. An optimised US view was 20 obtained by applying a transverse (short axis) view of the nerve instead of a longitudinal (long axis) 21 view with an in-plane imaging of the needle. This adaptation of the technique yields better results than those obtained in the study by Shilo et al. (2010). To discuss the success rate of a US-guided nerve block 22 23 techniques, it is necessary to understand the different US-guided views in relationship to the nerve and 24 needle as illustrated in the following figure.



1 Figure: Schematic representation of the nerve and needle view for US-guided nerve block

2 3

(a) Longitudinal (long axis) view of the nerve with an out-of-plane needle approach

4 (b) Longitudinal (long axis) view of the nerve with an in-plane needle approach

5 (c) Transverse (short axis) view of the nerve with an out-of-plane needle approach

6 (d) Transverse (short axis) view of the nerve with an in-plane needle approach

7

8 In human patients, the insertion of perineural catheters using a longitudinal view requires more 9 time compared to insertions using a transverse view (Mariano et al. 2013, Kim et al. 2014). Reducing 10 the time needed to perform nerve block will shorten the preparation and procedure time. This advantage 11 is relevant in clinical settings. It is unclear, if the success rate is improved for single nerve blockade with 12 a transverse view compared to the longitudinal view. The transverse out-of-plane approach seems to be 13 easier to use for the inexperienced operator (Huang et al. 2018). The transverse view also offers better 14 visualisation of the surrounding structures. Unfortunately, the out-of-plane technique does not allow 15 entire visualisation of the needle which could be inadvertently inserted in the nerve. The transverse inplane view might be a good alternative to the transverse out-of-plane view for operators in training 16 17 without underestimating the safety issues of the out-of-plane technique. The transverse view also enables 18 the operator to follow the course of the nerve along its course. In cats, it has been shown that the in-19 plane technique was more successful than the out-of-plane technique to perform US-guided radial, ulnar, 20 musculocutaneous and median nerve block (Leung et al. 2019). The transverse in-plane view offers 21 therefore many advantages and seems safer to avoid nerve damage. The success rate of an US-guided 22 nerve block is highly depending on the training, the skills and experience of the operators (Marhofer et 23 al. 2010). Other findings in humans suggests that the operator should use the needle-probe alignment technique which they are most comfortable with (Fredrickson & Danesh-Clough 2013). Therefore, broadening the range of US-guided nerve block techniques is essential. Study 1 contributed to develop a new approach to the sciatic nerve at the parasacral level which might be preferred by some operators.

4

5 The volume, the concentration and the type of LAA might also influence the success rate and 6 the duration of action of an US-guided nerve bloc. In study 1, the volume of LAA was 0.2 mL/kg 7 representing an increase of 0.07 mL/kg of the highest volume used by Shilo et al. (2010). The volume 8 of LAA injected plays an important role for the efficiency of the nerve block. This will determine how 9 much and for how long the LAA will surround the target nerve after perineural injection. The sciatic 10 nerve is very large at the parasacral level. This might also explain why a larger volume of LAA is needed 11 to properly diffuse through the entire nerve sheath. The effective dose 50 and effective dose 95 of 12 ropivacaine 0.5% for popliteal sciatic nerve block in humans were 6 mL and 16 mL, respectively (Jeong 13 et al. 2015). The effective dose 95 of 16 mL equals a volume of 0.23 mL/kg in a 70 kg person. This 14 volume is very similar to the volume used in study 1 and supports the volume of LAA used in our study. 15 A meta-analysis revealed that increasing the volume of LAA also increased inferior alveolar nerve block 16 success in patient suffering from pulpitis (Milani et al. 2018). It remains unclear if a higher volume 17 increases the success of US-guided nerve blocks. An increase in volume of LAA also hastens the nerve 18 block onset time for digital nerve block (Ballo et al. 2016). The percentage of persons showing a reduced 19 muscular response of the vastus medialis after LAA injection within the adductor canal was lower after 20 a low injection volume (10 mL) compared to higher volumes (20 mL and 30 mL) (Grevstad et al. 2016). 21 A modification of the concentration of the LAA might impact the success rate of the nerve block. It has 22 been proven that the success rate of a sciatic nerve block was higher with low volume high concentration 23 than with high volume low concentration mepivacaine (Taboada Muñiz et al. 2008).

24 In dogs, Portela et al. (2010) demonstrated that the duration and intensity of lumbar plexus and 25 sciatic nerve blocks guided by electrical nerve stimulator is more positively affected by the injection of 26 a higher concentration of bupivacaine than by the injection of a higher volume of bupivacaine. The 27 epidural administration of a higher concentration of bupivacaine (0.75% compared to 0.5%) will induce 28 a longer dermatomal block at fixed volumes (Feldmann et al. 1996). A concentration of 0.5% of long 29 acting LAA is often used for clinical practice. At fixed concentrations, the author believes that an 30 increase in volume also improves nerve block success rate. A larger volume of LAA might be able to 31 compensate a suboptimal placement of the needle in relationship to the nerve. Experts in US-guided 32 nerve blocks have demonstrated that it is possible to achieve a successful sciatic nerve block with a 33 minimal volume of LAA in human volunteers (Latzke et al. 2010). The administration of a higher 34 volume of LAA might be relevant for novice US users to increase their chance for successful nerve block. 35

1	The novel use of the LAA levobupivacaine has also been tested in study 1. The use of
2	levobupivacaine for locoregional anaesthesia in dogs remains sparse despite its evidence of lower
3	toxicity compared with bupivacaine (Cerasoli et al. 2017, Gomez de Segura et al. 2009, Leone et al.
4	2008). Ropivacaine was preferred to levobupivacaine for studies 2, 3 and 4 because this LAA is used
5	more frequently for peripheral nerve blocks in dogs. Additionally, levobupivacaine is nearly twice as
6	expensive compared to ropivacaine. Ropivacaine was used to reduce costs of clinical studies.
7	
8	These different refinements of the US-guided parasacral approach to the sciatic nerve in dogs
9	contributed to improve the success rate from 67% (Shilo et al. 2010) to 93% (Marolf et al. 2019).
10	
11	During US-guided perineural injection, LAA might be observed spreading over the nerve, under
12	the nerve or circumferentially around the nerve. A circumferential spread of LAA around the sciatic
13	nerve is sometimes described as the typical "doughnut sign" and commonly induce a successful nerve
14	block (van Geffen & Gielen 2006, Sites & Antonakakis 2009). However, a circumferential spread of
15	LAA around the nerve cannot always be achieved (Marhofer et al. 2014). Trying to obtain a
16	circumferential spread of LAA around the target nerve will increase the amount of needle passes (Szűcs
17	et al. 2014) and might increase the risk of iatrogenic nerve damage. The perineural spread of LAA does
18	not appear to influence the success rate of nerve blocks (Marhofer et al. 2014, Szűcs et al. 2014). There
19	is therefore no clinical advantage to obtain a circumferential spread of LAA around the nerve compared
20	to the spread of LAA above versus under the nerve. In this thesis, the needle tip was positioned nearby
21	the nerve and a test dose of 1-2 mL was injected to observe the spread of LAA. If LAA spread above,
22	under or around the target nerve, the total volume of LAA was injected. If the spread was inadequate,
23	the needle was repositioned and another test dose was injected until a correct position of the needle tip
24	and spread of LAA was obtained. In general, the needle tip and spread of LAA were observed over the
25	nerve.

The ultrasound enables the precise localisation of the nerve. Visualisation on the ultrasound image of other anatomical structures adjacent to the nerve will help to localise the nerve. Vessels might be visualised as rounded anechogenic structures surrounded by a hyperechoic rim. The circumflex iliac arteries are useful anatomical landmarks for proximal femoral nerve blocks in humans. The iliac arteries should be considered and special care should be taken to avoid puncture of those vessels as they might lay on the needle pass to perform femoral nerve blocks (Ogami *et al.* 2017).

In dogs, the superficial iliac artery has also been identified as an important landmark for proximal femoral nerve block (Garcia-Pereira *et al.* 2018). This US-guided femoral nerve block approach, or a femoral nerve approach within the psoas compartment (Tayari *et al.* 2017), combined with the modified US-guided parasacral approach described in study 1 might be beneficial for hip joint

1 surgeries in dogs. According to the author's clinical experience, a single US-guided parasacral sciatic 2 nerve block is not sufficient to block nociception during femur head osteotomy. This observation 3 correlates with the anatomical innervation of the hip joint of the dog. Articular branches to the hip joint 4 rising from the femoral and sciatic nerves were identified in five out of six and in six out of six dog's 5 cadavers, respectively (Huang et al. 2013). A combined sacral plexus and psoas compartment nerve 6 blocks has been evaluated for pelvic limb amputation. This nerve block combination provides effective 7 analgesia for this type of surgical procedure (Congdon et al. 2017). The sacral plexus nerve block had 8 been performed under electrostimulation guidance. The presence of the ilium and the sacrum over the 9 sacral plexus makes an US-guided approach difficult. We have shown that it was possible to apply a 10 modified parasacral US-guided approach to the sciatic nerve. It is possible that this modified approach 11 combined with an US-guided psoas compartment nerve block (Tayari et al. 2017) would be effective to 12 control nociception during pelvic limb amputation in dogs. When performing peripheral nerve blocks, 13 the advantages of the US-guidance over the electrostimulation guidance are, among others, direct 14 visualisation of the nerve and spread of the LAA. Therefore, for pelvic limb amputation in dogs 15 combined US-guided nerve blocks should be considered.

16

Considering that nerve blocks are usually performed under general anaesthesia or deep sedation,
it is challenging to evaluate the success of locoregional anaesthesia in dogs. We differentiate two
situations: evaluation of locoregional anaesthesia under experimental or under clinical circumstances.

20 Under experimental circumstances, nerve blocks evaluation requires different techniques to 21 identify the effects of LAA on the nerves. Success is evaluated directly after performance of the nerve 22 block by the operator as soon as the animal recovered from general anaesthesia or sedation. The nerve 23 block is usually evaluated by motor, proprioceptive and sensory deficits. The evaluation by mechanical 24 nociceptive threshold with the use of an algometer after sciatic and femoral nerve block has also been 25 applied (Gray *et al.* 2019). Sensory and motor blocks can be evaluated by clamp pressure or pinprick 26 applied at different dermatomes and ability to walk (Portela et al. 2010, Trein et al. 2017). The use of a 27 perfusion index has been proposed as an effective method to evaluate sciatic nerve block success 28 (Gatson et al. 2016). The perineural injection of bupivacaine around the sciatic nerve induces 29 vasodilation and increases the perfusion index. The lack of an increase in perfusion index can therefore 30 indicate partial or complete block failure. Sensory deficits by pinprick testing and motor blockade by 31 control of locomotion and proprioceptive deficits were used in study 1 and study 3.

Under clinical circumstances, the nerve block success is usually evaluated while the animal is under general anaesthesia or shortly after recovery once surgery has been completed. Occasionally, locoregional anaesthesia can be combined with procedural sedation in dogs (Campoy *et al.* 2012b). The perioperative opioids requirements needed to control nociception and pain is the most common method used to evaluate the efficacy of locoregional anaesthesia in clinical settings in dogs. Perioperative
1 opioids requirements are very relevant in clinical settings. Despite being effective to control pain and 2 nociception (Quirion 1984), opioids can induce systemic side effects. Dogs can encounter vomiting, 3 nausea, dysorexia or respiratory depression after opioid administration (Evans 1992, Wilson et al. 2005, 4 Bini et al. 2018). Locoregional anaesthesia has the potential to reduce the perioperative consumption of 5 opioids in dogs. Studies showed that dogs receiving preoperative locoregional anaesthesia require less 6 opioids to control nociception compared to dogs without locoregional (Boscan et al. 2016, Warrit et al. 7 2019b). Better recovery scores at the end of general anaesthesia were also reported in dogs receiving 8 locoregional anaesthesia (Boscan et al. 2016). One of the goals of study 2 was to determine which 9 locoregional anaesthesia technique was most likely to reduce the number of doses of opioids required 10 to control pain. We could prove that epidural anaesthesia and combined US-guided sciatic and femoral 11 nerve block reduced the total perioperative opioid requirements compared to single femoral or sciatic 12 nerve block in dogs undergoing TPLO. Other studies have proven the efficacy of combined sciatic and 13 femoral nerve blocks in dogs undergoing TPLO (Caniglia et al. 2012; Mc Cally et al. 2015) but those 14 studies used the electrical nerve stimulator. This technique is less reliable compared to the US-guided 15 technique for peripheral nerve blocks (Haro et al. 2016). Additionally, we demonstrated that combined 16 US-guided sciatic and femoral nerve block reduced early postoperative opioid requirements compared 17 to epidural anaesthesia and single US-guided nerve blocks. This might encourage clinicians to favour 18 the US-guided technique rather than the epidural anaesthesia technique. Arnholz et al. (2017) did not 19 prove superiority of US-guided nerve blocks compared to the epidural anaesthesia technique for dogs 20 undergoing pelvic limb surgery. The differences observed between study 2 and the study by Arnholz et 21 al. (2017) might be related to the different US-guided approaches to the sciatic and femoral nerves. We 22 used the approaches described by Campoy et al. (2010) while Arnholz et al. (2017) used the US-guided 23 approaches described by Echeverry et al. (2010, 2012a). Finally, study 2 was designed as a pilot study 24 to evaluate the precise perioperative opioid requirements of dogs after US-guided nerve blocks in dogs. 25 The results provide nowadays sufficient data for power calculations of larger randomised controlled 26 trials about US-guided sciatic and femoral nerve blocks in dogs. Pain after surgical orthopaedic 27 procedures might lead to prolonged hospitalisation to administer stronger pain medications. Despite 28 adequate intraoperative analgesia provided by spinal or epidural or peripheral sciatic and femoral nerve 29 block during TPLO, long term chronic pain is not excluded. A total of 41% of dogs showed chronic 30 signs of pain six month after surgery (Pownall et al. 2020). This proportion might even be higher if 31 locoregional anaesthesia had not been provided for surgery. The evaluation of perioperative opioid 32 consumption and perioperative pain appear to be adequate outcomes to evaluate the efficacy of 33 peripheral nerve blocks.

In human medicine, the evaluation of nerve blocks in volunteers mimics the situation in
experimental dogs. The quantitative effects of nerve blocks include the sensory and motor components.
The sensory component of nerve blocks is often evaluated by pinprick, by cold or heat stimulation or by

1 alcohol swab sensation. The motor component of nerve blocks can be evaluated by active resistive force 2 testing, the ability of thumb adduction, maximal knee extension or maximal hip adduction (Rothe et al. 3 2011, Keplinger et al. 2015, Andersen et al. 2017, Nielsen et al. 2020). In clinical settings, the qualitative 4 effects of nerve blocks are assessed by the duration of analgesia. The cumulative morphine consumption, 5 pain scores evaluation on movement or at rest, patient satisfaction, time to first opioid requirement, 24-6 or 48-hours total opioids consumption are often applied to evaluate the qualitative component of nerve 7 blocks (Abdallah et al. 2016, Baeriswyl et al. 2017, Bjørn et al. 2017). Qualitative and quantitative 8 evaluations are regularly combined in studies in human medicine. Trying to reduce perioperative opioids 9 requirements is relevant in human medicine because increased opioids consumption might lead to drug 10 induced dependence. Methods used for nerve block evaluation in this thesis seem adequate in 11 comparison to methods used in human medicine. However, the assessment of pinprick and motor scores 12 in studies 2 and 4 might have provided interesting additional information such as the precise duration of 13 sensory and motor nerve block. This could have explained whether the decreased postoperative opioid 14 requirements were related to a longer duration of the nerve blocks or a more solid nerve block.

15

16 Evaluating pain in animals is challenging. The inability to communicate verbally complicates 17 the quantification of pain in dogs. Different methods were developed for this purpose. A scoring system 18 is generally completed to determine the level of analgesia required in dogs, with a differentiation 19 between subjective scales and objective scales (Hernandez-Avalos et al. 2019). Preventive scoring 20 system, simple descriptive scale, numerical rating scale or visual analogue scale (VAS) are examples of 21 subjective scales. Subjective scales have the advantage of being easy and simple to apply but have a 22 high inter-observer variability (Holton et al. 1998). These scales are inadequate when three or more 23 veterinarians simultaneously evaluate pain in dogs after surgery (Holton et al. 1998). In study 2, pain 24 was evaluated by a single person and data collected with the use of VAS could be analysed. In study 4, 25 it was logistically impossible for the same veterinarian to apply a VAS during 24 hours and no subjective 26 scales were used. Objective pain scales are multidimensional and evaluate different aspects of pain such 27 as palpation of the surgical site, observation of the dog or cardiovascular parameters. A final score 28 determines the degree of pain at a certain timepoint. The Glasgow Composite Measuring Pain Scale, the 29 University of Melbourne Pain Scale or the Colorado State Acute Pain Scale are examples of objective 30 pain scales (Reid et al. 2018). Those pain scales are valid, reliable and useful. Unfortunately, they are 31 only available in English. The 4AVet pain scale is a validated pain scale in French (Holopherne-Doran 32 et al. 2010). Veterinarians evaluating postoperative pain in Study 4 were all working in a French 33 speaking practice or university. Under these circumstances, the 4AVet pain scale seemed a judicious 34 choice for postoperative pain evaluation after TPLO in dogs.

35

1 The interest about US-guided locoregional anaesthesia in dogs has considerably increased 2 during the last years. This is illustrated by the increasing number of publications available (Portela et al. 3 2018). Peripheral nerve blocks are very effective to control intraoperative nociception and early 4 postoperative pain. Unfortunately, the duration of action of LAA for peripheral nerve blocks is short. 5 This is a clinical issue for dogs recovering from orthopaedic surgeries. It might lead to postoperative 6 pain and increased administrations of opioids. We therefore planned to find a solution to extend the 7 duration of action of LAA in dogs. Adjuvants added to amid-type LAA might contribute to increase the 8 duration of sensory nerve blocks. The ideal adjuvant should not be neurotoxic, should not induce side effects (local or generalised), should shorten the onset time of the nerve block, minimise the systemic 9 10 absorption of LAA and improve the nerve block intensity and duration (Swain et al. 2017). 11 Dexmedetomidine represents a unique adjuvant and meets some criteria of the ideal adjuvant for 12 locoregional anaesthesia. An increasing number of publications is available in human medicine about 13 dexmedetomidine combined to LAA for perineural injections. Dexmedetomidine is safe and does not 14 induce neurotoxicity (Knight et al. 2015, Brummett et al. 2008). A study performed in rats has shown 15 that intraneural fascicular injection could even have anti-inflammatories and protective neural properties 16 (Kim et al. 2018). This might be of particular interest in case of inadvertent intraneural injection. The 17 onset time of nerve block is shorter when dexmedetomidine is added to LAA compared to the control 18 group without dexmedetomidine for supraclavicular brachial plexus block in humans (Bharti et al. 19 2015). Dexmedetomidine does induce mild side effects such as transient hypotension or bradycardia in 20 humans (Lin et al. 2013, Rancourt et al. 2012, Vorobeichik et al. 2017). Systematic reviews and meta-21 analysis concluded that perineural dexmedetomidine enhances motor and sensory nerve block and, most 22 importantly, increases the degree of analgesia (El-Boghdadly et al. 2017, Knight et al. 2015, 23 Vorobeichik et al. 2017). Surprisingly, very few studies have evaluated the analgesic efficacy of 24 dexmedetomidine for locoregional anaesthesia in dogs. Only two studies on this topic are available. An 25 experimental study in dogs has tested the efficacy of ropivacaine 0.75% for sciatic and femoral nerve 26 blocks combined with or without dexmedetomidine at 0.2 µg/kg injected either perineurally or 27 intramuscularly (Trein et al. 2017). Dexmedetomidine did not significantly influence nerve block 28 characteristics between groups. Despite the absence of a significant difference, a trend towards a longer 29 tibial sensory nerve bloc was observed in the perineural dexmedetomidine group. The authors concluded 30 that the dose of dexmedetomidine might have been insufficient. The separated block between the two 31 branches of the sciatic nerve, the tibial and common peroneal nerves, might be due to an extraparaneural 32 injection of LAA. To avoid a differential block, a subparaneural injection might be necessary (Micieli 33 et al. 2020). A clinical study also evaluated the efficacy of perineural dexmedetomidine for dogs 34 undergoing stifle arthroplasty. The analgesic efficacy of sciatic and femoral nerve block with bupivacaine 0.5% combined with dexmedetomidine 0.2 µg/kg was compared to the analgesic efficacy 35 36 of epidural bupivacaine 0.5% combined with buprenorphine 4 μ g/kg (Bartel *et al.* 2016). Both

- techniques provided sufficient analgesia for up to 24 hours in two third of dogs. Dexmedetomidine will
 provide postoperative analgesia when administered IV as a continuous infusion rate (Valtolina *et al.* 2009), but the potential of perineural dexmedetomidine needs to be further investigated.
- 4

5 Study 3 and was planned to determine an adequate dose and route of dexmedetomidine used as 6 adjuvants for locoregional anaesthesia in dogs. The plasma level of dexmedetomidine was also 7 determined to identify the systemic effects of dexmedetomidine on peripheral nerve blocks and the 8 mechanism of action of dexmedetomidine for locoregional anaesthesia. We concluded that the 9 perineural injection of dexmedetomidine at $1 \mu g/kg$ together with ropivacaine 0.5% was effective to 10 prolong sensory sciatic and saphenous block compared to nerve blocks without dexmedetomidine. At 11 this dose, side effects such as transient sedation were minimal. Dexmedetomidine injected IV and the 12 plasma level of dexmedetomidine apparently do not influence the duration of sensory nerve blocks. The 13 dose of perineural dexmedetomidine used in study 3 is similar to the reported doses used for locoregional 14 analgesia in humans (Marhofer & Brummett 2016, Andersen et al. 2017, Jung et al. 2018). The clinical 15 efficacy of dexmedetomidine combined to LAA has been proven in humans. It reduces postoperative 16 pain and postoperative opioid consumption and enhance patient's satisfaction (Vorobeichik et al. 2017). 17 Clinical studies to evaluate the postoperative analgesic efficacy of perineural dexmedetomidine are 18 needed in dogs. This was the purpose of study 4.

19

20 Based on the results of study 3, study 4 was designed as a clinical study to evaluate the clinical 21 efficacy of 1 µg/kg of perineural dexmedetomidine. Dogs undergoing elective TPLO surgery were 22 randomised to receive a perineural US-guided sciatic and femoral nerve bloc with ropivacaine 0.5% 23 combined with or without dexmedetomidine. Results have shown that the total number of postoperative 24 doses of methadone were lower in the group receiving perineural dexmedetomidine and ropivacaine 25 compared to the group receiving perineural ropivacaine without dexmedetomidine. However, some 26 cofounding factors such as the operating surgeon, the breed and/or weight of the dog might have 27 influenced the results. The reduced administrations of postoperative doses of methadone observed in the 28 group of dogs receiving perineural dexmedetomidine is in accordance to clinical studies in human 29 patients. Postoperative analgesic drugs consumption is reduced in groups receiving perineural 30 dexmedetomidine compared to control groups (Fritsch et al. 2014, Bengisun et al. 2014, Bharti et al. 31 2015). However, a review about the use of dexmedetomidine for locoregional anaesthesia rated the 32 evidence for this finding as low (Vorobeichik et al. 2017). In dogs, approximately 66% of dogs did not 33 require hydromorphone rescue analgesia after preoperative sciatic and femoral nerve bloc with 34 bupivacaine and dexmedetomidine for stifle arthroplasty (Bartel et al. 2016). Perineural dexmedetomidine has the potential to reduce postoperative opioids consumption and should be 35 36 considered as part of the analgesic regimen for dogs undergoing invasive knee surgery.

1 The exact mechanism of action of dexmedetomidine for locoregional anaesthesia remains 2 unclear. Dexmedetomidine might act through systemic or peripheral mechanisms depending if the drug 3 is administered IV or perineurally, respectively. In humans, Abdallah et al. (2016) showed that IV 4 dexmedetomidine provides postoperative analgesia after interscalene brachial plexus block. Systemic 5 dexmedetomidine administration prolongs ulnar nerve block but to a lesser extent that perineural 6 dexmedetomidine (Andersen et al. 2019). This suggests that dexmedetomidine has at least a partial 7 central mechanism of action. In dogs, we could not show prolonged sensory nerve blocks after IV 8 injection of dexmedetomidine in study 3. Trein et al. (2017) also failed to demonstrate any effects on 9 locoregional anaesthesia after a single dose of intramuscular dexmedetomidine. The continuous rate 10 infusion of dexmedetomidine at 1µg/kg/hour combined to spinal anaesthesia provided by a hyperbaric 11 solution of bupivacaine in dogs undergoing pelvic limb surgery delayed the time to first intraoperative 12 fentanyl administration compared to spinal anaesthesia alone (Sarotti et al. 2019). The IV injection of 13 dexmedetomidine as a continuous infusion rate will induce a steady state. The constant plasmatic level 14 of the drug in the body might explain better control of intraoperative nociception. In study 3, 15 dexmedetomidine was administered as a single IV bolus injection. The central analgesic mechanism of 16 action of dexmedetomidine might principally be explained by the action of the drug at spinal and 17 supraspinal levels (Murrell & Hellebrekers 2005). After systemic administration of dexmedetomidine, 18 the central release of substance P and glutamate decreases and interneurons remain in a hyperpolarised 19 state which induce an attenuation of pain signals transmission (Weerink et al. 2017). Dexmedetomidine 20 seems to stimulates presynaptic alpha-2 adrenoceptors in the central nervous system, especially in the 21 locus coeruleus (Guo et al. 1991). The effects of centrally mediated dexmedetomidine induced analgesia 22 might also be dose-dependent. Plasma level of dexmedetomidine up to 1.23 ng/mL did not induce 23 analgesia in healthy volunteers despite an apparent degree of sedation (Angst et al. 2004). This 24 concentration is above the highest plasmatic level measured in study 3 and might explain the lack of 25 efficacy of IV dexmedetomidine.

26 The main mechanism of action of perineural dexmedetomidine seems to be peripheral. Andersen 27 et al. (2017) attempted to prove the peripheral mechanism of action of perineural dexmedetomidine 28 based on an experience in healthy volunteers. They observed that the saphenous nerve block was 29 prolonged when dexmedetomidine was combined with ropivacaine compared to saphenous nerve block 30 performed without dexmedetomidine in the contralateral limb. They concluded that the perineural 31 mechanism of action of dexmedetomidine might be peripheral. This observation is also supported by 32 our results of study 3. Different hypothesis might explain the peripheral mechanism of action of 33 perineural dexmedetomidine. Vasoconstriction, hyperpolarisation-activated (Ih) currents or anti-34 inflammatories properties of dexmedetomidine might be responsible for some of the analgesic effects 35 of perineural dexmedetomidine. Alpha-2 agonists such as dexmedetomidine induce vasoconstriction 36 through reversible binding of the alpha-2A subtypes adrenoceptors located in vessels (Yabuki et al.

1 2014, Yoshitomi et al. 2018). This is thought to slow systemic reabsorption of LAA. Consequently, 2 LAA remain perineurally active for a longer period of time and will prolong nerve block. A mechanism 3 of action independent to adrenoceptor is also reported (Kosugi et al. 2010). The modulation of Ih-4 currents via activated cyclic nucleotide-gated cation channels is possible. Dexmedetomidine will inhibit 5 hyperpolarisation-activated cation currents by keeping the nerve in a hyperpolarised state. Thus, 6 preventing further firing of action potential by neurons (Kosugi et al. 2010, Brummett et al. 2011). 7 Sensory C-fibres seem more sensitive to this mechanism than motoric A-fibres (Weerink et al. 2017). 8 Finally, perineural dexmedetomidine might have anti-inflammatories properties. The inflammatory 9 response appears to be attenuated via nuclear factor kappa-light-chain-enhancer of activated B cells 10 (NF-κB) receptors after perineural injection of dexmedetomidine (Huang et al. 2014). An intraneural 11 fascicular injection of dexmedetomidine at 0.5 µg/kg in rats induces anti-inflammatories and protective neural properties (Kim et al. 2018). It seems that the peripheral mechanism of action of 12 13 dexmedetomidine prevails over the centrally mediated effects. The complex mechanism of action of 14 perineural dexmedetomidine is likely multifactorial and a synergistic action with locoregional 15 anaesthesia seems evident.

16

17 The initial goal was to design study 4 as a multicentre study. Prospective single-centre 18 randomised controlled trials (RCT) are carefully designed investigations. They can contribute to change 19 clinical practice and improve patient care but methodological problems can occur and centre specific 20 bias might affect the validity of the study (Youssef et al. 2008). Results from single-centre RCT might 21 not always be applicable to another centre despite similar clinical settings. The effect of treatments of 22 single centre RCT might be larger than multicentre RCT and results should be interpreted with caution 23 (Dechartres et al. 2011, Unverzagt et al. 2013). Multi-centre studies have the potential to increase the 24 level of scientific evidence and reduce potential confounder bias (Evans 2013). Their results are usually 25 reproducible but studies can be challenging to conduct (Irving & Curley 2008). They are more complex 26 to perform than single-centre studies and coordination is essential.

An explanatory video of study 4 was projected at the international congress of the Association of Veterinary Anaesthetists in March 2018 in Grenada to recruit clinics to take part to this project. Unfortunately, no veterinary hospital has expressed interest. It might be related to the time-consuming nature of the study, to the inability to motivate a responsible person to conduct the study or to the restricted number of institutions with experience in performing US-guided sciatic and femoral nerve blocks. After discussion, it was decided to perform the study in parallel at two different centres applying the same study design.

34

The financial impact of US-guidance for locoregional anaesthesia needs further discussion. Costs of the purchase of a performant ultrasound machine have considerably decreased over years. Small

1 portable or wireless devices are available on the market. This type of equipment is sufficient to perform 2 US-guided nerve blocks. Most hospitals are nowadays equipped with US machines and offer the 3 possibility to use these devices for locoregional anaesthesia. In human medicine, high success rate of 4 nerve block and improvement of anaesthesia-related workflow generate considerable cost savings 5 (Marhofer et al. 2010). The use of the US greatly contributes to optimise these conditions. The costs 6 related differences between US-guided interscalene brachial plexus nerve block and general anaesthesia 7 patients undergoing arthroscopic shoulder surgery were compared (Gonano et al. 2009). The emergence 8 time and time spent in the post-anaesthetic care unit were shorter and the costs were 19.6% lower in the 9 locoregional anaesthesia group. Sandhu et al. (2004) provided a calculation comparing the costs of 10 infraclavicular brachial plexus nerve block guided by ultrasound or electrical nerve stimulator. The costs 11 are lower for US-guided nerve block because it requires less time to perform and the onset time of nerve 12 block is shorter. The US-guidance reduces the procedural time (8.06 ± 1.92 minutes) compared to the 13 electrical nerve stimulator (13.60 \pm 4.56 minutes) for femoral nerve block (Forouzan *et al.* 2017). 14 Consequently, the patient spends less time in the operating room which greatly contributes to reduce 15 medical expenses. This seems particularly true in case of catheter placement for continuous nerve 16 blockade (Ehlers et al. 2012, Sandhu et al. 2004). A model of costs analysis was more balanced 17 regarding this statement (Liu & John 2010). In an ambulatory model setting, the cost of US-guided nerve 18 block is cheaper compared to electrostimulation guidance but in a hospitalisation model setting, the 19 benefit of the ultrasound over the electrical nerve stimulator is masked by the costs for hospitalisation 20 and general anaesthesia (Liu & John 2010).

21 In dogs, the financial impact is also relevant. One study evaluated the costs of nerve block for 22 dogs undergoing TPLO between sham block with saline or with ropivacaine (Warrit et al. 2019). The 23 purchase of equipment (ultrasound and electrical nerve stimulator) increases anaesthesia related costs 24 but might reduce costs related to pain management and treatment of complications. Peripheral nerve 25 blocks offer better analgesia and have an economical benefit compared to systemic analgesia provided 26 by fentanyl (Palomba et al. 2020). No studies are comparing the anaesthesia related economic impact 27 between US-guided nerve block and epidural or spinal anaesthesia. Epidural anaesthesia does not require 28 a particular equipment and the simplicity of the procedure makes it a valuable technique for locoregional 29 anaesthesia in dogs. The procedural failure rate of 32% after epidural anaesthesia (Sarotti et al. 2015) 30 might induce additional costs through prolonged postoperative hospitalisation or pain treatment. Personal observations have shown that pet owners might dislike the hairless area over the lumbosacral 31 32 area of their dog required for epidural anaesthesia. Hair re-growth is delayed in 12.2% of dogs over the 33 epidural injection site (Kalchofner Guerrero et al. 2014). The authors believe that informing pet owners 34 of the advantages of US-guided nerve block over epidural anaesthesia might lead pet owner to favour 35 the US-guided peripheral nerve block analgesia despite potential higher costs of the technique.

36

1 Limitations of the research articles of this thesis have already been discussed individually in the 2 related section of each study. Additional limitations are as follows. 3 The efficacy of the modified parasacral approach of study 1 has not been evaluated in clinical 4 cases. The difficulty was to recruit specific cases for surgical procedures at the level of the hip joint. 5 Many times, hip joint surgical repair follows traumatic events in dogs. This involves additional lesions 6 around the hip joint such as pelvic fractures for example. Injuries at additional anatomical body regions 7 would be an exclusion criterion, therefore limiting the number of clinical cases available for a study. 8 The veterinary centres involved did not routinely perform total hip replacement surgery. This procedure 9 could not be used as standard surgery to evaluate the efficacy of the modified parasacral approach. The 10 modified parascral approach of study 1 could have been applied in study 2 for dogs undergoing TPLO. 11 We did not use this approach because a proximal approach to the sciatic nerve is not necessary for 12 surgery performed at the knee in dogs. Additionally, the presence of the gluteal vessels nearby the sciatic 13 nerve increases the risk of iatrogenic puncture with the parasacral approach compared to a mid-femoral 14 approach where the sciatic nerve runs under the *biceps femoris* muscle without the proximity of vessels.

A complete qualitative and quantitative assessment of nerve blocks components were not performed in studies 2 and 4. Pinprick testing and detailed motor scores might have provided a better overview of the clinical outcome of US-guided nerve blocks.

18 In study 3, it was originally planned to perform a US-guided femoral nerve block instead of a 19 saphenous the block. The right position of the needle tip close to the femoral nerve should have been 20 confirmed with the electrical nerve stimulator. Unfortunately, we could not elicit a motor response to 21 confirm the position of the needle tip but an adequate US-image of the nerve was present. We believe 22 that the lack of motor response was related to the needle tip located nearby the saphenous nerve. The 23 saphenous nerve does not elicit a motor response because it is mainly composed of sensory fibres. In 24 study 3, blood was aspirated in the needle hub in 1 dog due to puncture of a vessel. It is possible that 25 this complication was due to the increased amount of needle passes while trying to elicit a motor 26 response. To avoid further puncture of vessels and to decrease the amount of needle passes, the electrical 27 nerve stimulator was not used anymore and the saphenous nerve block was performed in all dogs in 28 study 3.

29

30 Perspectives. Many US-guided techniques are described for locoregional anaesthesia in dogs.
31 The combined US-guided sciatic and femoral nerve blocks are valuable for clinical practice in dogs and
32 have been highlighted in this thesis. The use of perineural dexmedetomidine as adjuvant to prolong
33 sensory nerve blocks and reduce postoperative requirements in dogs has also been proven in this thesis.
34 Research should now focus on additional possibilities to prolong the beneficial effects of locoregional
35 anaesthesia.

36

1 Perineural catheters might be an effective tool to allow repeated or continuous injections of LAA 2 to prolong nerve blocks. Perineural catheters are regularly placed during the perioperative period in 3 human patients to allow repeated or continuous LAA injections (Afsari & McCartney 2010). Their use 4 improves postoperative pain management in human patients after orthopaedic surgery (Bugada et al. 5 2017). Perineural catheters can be placed under US-guidance using different US views of the nerve and 6 needle (Ilfeld et al. 2010, Mariano et al. 2013). This allows real-time visualisation of catheter insertion 7 and verification of correct placement. Perineural catheters at the hind limb in humans are commonly 8 placed at the sciatic or femoral nerve. Continuous infusion of ropivacaine at the femoral nerve in patients 9 undergoing total knee arthroplasty will benefit from improved rehabilitation, shorter hospital stays and 10 satisfactory analgesia (De Ruyter et al. 2006). Continuous infusion of ropiyacaine at the sciatic nerve 11 also improves patients' comfort at home by reducing opioid need and sleep disturbances and decreasing 12 pain after orthopaedic surgery (Ilfeld et al. 2002).

13 In veterinary medicine, the use of perineural catheters is rare. In horses, perineural catheters 14 have been placed at the palmar nerves and infusion of bupivacaine induced sensory nerve block at the 15 distal limb (Zarucco et al. 2010). Experimentally induced signs of forelimb pain are reduced by LAA 16 continuous infusion at the lateral and medial palmar nerves (Watts et al. 2011). Very recently, an US-17 guided technique was developed for continuous blockade of ulnar and median nerves in horses (Souto 18 et al. 2020). In dogs, preliminary results of perineural catheters placement at the sciatic nerve suggest a 19 minimal dislocation rate and repeated perineural injections were feasible in > 90% of cases (Marolf et 20 al. 2015). Monticelli et al. (2016) have investigated the possibility of an US-guided catheter placement 21 at the lumbar plexus in dogs' cadavers. They reported good success of the technique but the injection of 22 dye could spread into the abdomen or epidural space. Clinical studies are needed to further evaluate this 23 technique. An interesting report describes the US-guided placement of an epidural catheter at the 24 brachial plexus to prevent postoperative pain by repeated injection of LAA in a dog after invasive front 25 limb surgery (Vettorato & Taeymans 2017). This is a clinical application of the potential benefits of 26 perineural catheter. Perineural catheter might find more clinical application in dogs in the near future. 27 Considering the challenge of avoiding complications related to perineural catheters in canine patients, 28 future studies could focus on efforts to avoid dislocation and catheter related infections. Both of these 29 issues are already described in human medicine (Marhofer et al. 2013, Nicolotti et al. 2016)

30

Liposomal bupivacaine might allow prolongation of peripheral nerve blocks. This new LAA formulation composed of liposomal bupivacaine is available for veterinary medicine. The liposomal formulation will induce sustained-releases of bupivacaine at the site of injection for up to 72 hours after a single injection dose. The product has been licensed for surgical wound infiltration in dogs undergoing cranial cruciate ligament rupture in the United States (Lascelles *et al.* 2016). Liposomal bupivacaine appears to have a superior safety profile compared to hydrochloride bupivacaine after IV, epidural,

1 intrathecal or intra-arterial administration in dogs (Joshi et al. 2015). The question whether liposomal 2 bupivacaine would be suitable for perineural injection remains. The website of the manufacturer 3 (https://nocita.aratana.com/, last accessed 06.09.2020) reports approval by the Food and Drug 4 Administration in the United States for peripheral nerve block for cats undergoing onychectomy. The 5 injection of liposomal bupivacaine at the digital nerves seems to provide superior postoperative 6 analgesia 72 up to hours compared to the injection of saline 7 (https://animaldrugsatfda.fda.gov/adafda/app/search/public/document/downloadFoi/3952, last accessed 8 06.09.2020). The injection of liposomal bupivacaine around the brachial plexus has been tested in dogs 9 and rabbits. Results suggest that the injection and sustained-release was safe at doses 5-fold higher than 10 recommended clinical indication, except for mild granulomatous inflammation observed around the 11 nerve (Richard et al. 2012). It is possible that liposomal bupivacaine solution might find clinical 12 application for peripheral nerve injection to prolong duration of nerve blockade. However, prolonged 13 motor nerve block (up to 72 hours) is dangerous and might lead to self-trauma in dogs. The dogs would 14 need to be monitored closely and protective measures such as the application of protective bandages are 15 necessary to prevent injuries.

16

17 The US-guided technology for peripheral nerve blockade has considerably evolved during the 18 last decades. Recent advances in human medicine include three- or four-dimensional imaging, 19 improvement of the visibility of needles and needle tips and robotic assistance using special software 20 programs (Sen et al. 2019). The three-dimensional imaging can show a nerve and spread of LAA around 21 the nerve on multiplanar or multiorthogonal views (Foxal et al. 2007, Gebhard et al. 2015). The four-22 dimensional imaging technology include the real-time component during US-guided nerve block 23 (Gebhard et al. 2015). These imaging techniques might increase in popularity as the technology becomes 24 more easily available. Micro air bubbles injection of 0.1-0.2 ml in the needle are described to increase 25 the visibility of the needle by producing hyperechoic rounded structures within the shaft of the needle 26 (Liu & Mei 2018). Micro air bubbles do not only increase needle visibility but also seem to enhance 27 nerve blockade (Cullion et al. 2018). This has been evaluated for sciatic nerve blockade in rats with the 28 application of high-frequency, low-intensity ultrasound. Motor and sensory sciatic nerve blocks were 29 prolonged when high-frequency, low-intensity ultrasound was combined to microbubbles but not when 30 both techniques were used alone. Very recently, the same research group demonstrated that insonation 31 through high frequency ultrasound but not the injection of microbubbles enhanced sciatic nerve 32 blockade induced by tetrodotoxin in rats (Cullion et al. 2020). Insonation had no effect on sciatic nerve 33 block induced by bupivacaine. The first report about robotic performance of US-guided nerve block 34 evaluated a sciatic nerve block approach in human patients. The principle of robotic assistance was 35 based on a software control system equipped with a joystick and a robotic arm (Hemmerling et al. 2013). 36 The nerve success rate was "defined as the introduction of the needle into the nerve sheath" and was achieved in all patients. The procedural time was short (3 to 4 minutes). Robotic assistance hastens the
 learning curve of the physician and reduces inter-operator variability performing nerve blocks (Morse
 et al. 2014).

4

5 Dexmedetomidine or dexamethasone combined to LAA, repeated or continuous LAA injections 6 through perineural catheter or liposomal bupivacaine seems today the most adequate possibilities to 7 prolong peripheral nerve block effectively (Orebaugh & Dewasurendra 2020). Regional anaesthesia 8 under US-guidance has already been classified as "gold standard" by some authors (Griffin & Nicholls 9 2010, Hopkins 2007). Ultrasound-guided locoregional anaesthesia coupled with the possibilities 10 mentioned by Orebaugh & Dewasurendra (2020) might today be considered best practice. This thesis 11 might have triggered new insights for research in veterinary medicine, with the hope that the canine 12 population undergoing pelvic limb surgery might soon benefit from these medical advances.

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Appendix

Additional figure to study 1



US-image illustrating an in-plane needle view during a modified parasacral approach to the sciatic nerve in dogs. IS, isciatic spine; SN, sciatic nerve; N, needle (circled structure); GM, gluteal musculature; D, dorsal ; V, ventral ; M, medial ; L, lateral.

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