

2021 European Myeloma Network review and consensus statement on smoldering multiple myeloma: how to distinguish (and manage)

Dr. Jekyll and Mr. Hyde



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ABSTRACT

According to the updated International Myeloma Working Group criteria, smoldering multiple myeloma (SMM) is an asymptomatic plasma cell disorder characterized by an M-component >3 g/dL, bone marrow plasma cell infiltration $>10\%$ and $<60\%$, and absence of any myeloma-defining event. Active multiple myeloma is preceded by SMM, with a median time to progression of approximately 5 years. Cases of SMM range from the extremes of “monoclonal gammopathy of undetermined significance-like”, in which patients never progress during their lifetimes, to “early multiple myeloma”, in which transformation into symptomatic disease, based on genomic evolution, may be rapid and devastating. Such a “split personality” makes the prognosis and management of individual patients challenging, particularly with regard to the identification and possible early treatment of high-risk SMM. Outside of clinical trials, the conventional approach to SMM generally remains close observation until progression to active multiple myeloma. However, two prospective, randomized trials have recently demonstrated a significant clinical benefit in terms of time to progression, and of

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overall survival in one of the two studies, for some patients with higher-risk SMM treated with lenalidomide ± dexamethasone, raising the question of whether such an approach should be considered a new standard of care. In this paper, experts from the European Myeloma Network describe current biological and clinical knowledge on SMM, focusing on novel insights into its molecular pathogenesis, new prognostic scoring systems proposed to identify SMM patients at higher risk of early transformation, and updated results of completed or ongoing clinical trials. Finally, some practical recommendations for the real-life management of these patients, based on Delphi consensus methodology, are provided.

Introduction

“Asymptomatic”, smoldering multiple myeloma (SMM) is in the middle of the continuum of monoclonal gammopathies. SMM is more advanced and carries a higher disease burden than monoclonal gammopathy of undetermined significance (MGUS), but does not show the clinical features of end-organ damage, nor any of the other myeloma-defining events of active multiple myeloma (MM).¹⁻⁵

Of all patients with MM, 8-14% have SMM: these patients have a median age of onset of 67 years, an annual incidence of 0.4 cases per 100,000 persons and a higher prevalence in Americans of African descent.^{6,7} The median time to progression to active MM is around 5 years, with a variable rate of approximately 10%/year during the first 5 years, 3%/year for the next 5 years, and 1%/year thereafter.^{7,8} Thus, SMM is a heterogeneous entity, ranging from ‘MGUS-like’ to ‘early MM’, in which malignant plasma cells can rapidly expand and lead to active MM. Yet, nearly one third of cases of SMM will never progress. Such a “split personality” of SMM remains intriguing and challenging.⁹

For decades, the conventional approach to SMM has been close observation, delaying treatment to the time of progression to active MM. Significant advances in the understanding of disease biology, improved risk stratification, and newer therapies with better efficacy and lower toxicity contributed to deeper responses and longer survival for patients with active MM. These advances have also challenged the management of SMM, raising the question of whether earlier treatment could: (i) avoid or delay the progression to MM; (ii) prevent the severe complications of end-organ damage; and (iii) potentially cure at least a proportion of patients with SMM. Thus, “to treat or not to treat” SMM, or even better, “are there patients with SMM who would benefit more from early treatment?” remains a controversial issue.^{4,10-15}

Methodology

Here, a European Myeloma Network (EMN) Expert Panel updates 2016 European perspectives on SMM,⁴ addressing current biological knowledge, new prognostic scoring systems and recent results of clinical trials, as well as providing practical recommendations. During two EMN Trialist meetings in 2019 and 2020, the areas of major concern in the management of SMM were selected by generating and rank-ordering key questions using the criterion of clinical relevance. Multistep procedures were utilized to achieve a consensus on recommendations. One panelist drafted the statements addressing the identified key questions. Subsequently, each panelist expressed his or her agreement with those statements

and provided suggestions. The Delphi questionnaire method was used and a consensus of at least 80% was reached for all six final statements (median 93%; range, 83-100%).

New insights into the molecular pathogenesis of smoldering multiple myeloma

All cases of MM evolve through MGUS and SMM stages, although these are often not clinically evident.¹⁶ The disease pathogenesis starts from intrinsic genomic defects in plasma cells, mainly translocations of oncogenes under the control of regulatory elements of the immunoglobulin heavy chain (IGH) locus or multiple trisomies of odd-numbered chromosomes.¹⁷ However, these are not sufficient to cause the progression from MGUS to SMM or MM, as in most cases the clone will not evolve in a patient’s lifetime. Furthermore, high-risk translocations such as t(4;14) and t(14;16) are less frequent in asymptomatic cases, while t(11;14) is more frequent in SMM.¹⁸ These different frequencies reflect different intrinsic propensities of the oncogenic translocation to drive symptomatic progression. Hyperdiploidy seems to slightly increase the risk of transformation to active MM as well.¹⁹ Interestingly, the number of chromosomal trisomies is lower in hyperdiploid MGUS than in hyperdiploid SMM,^{20,21} suggesting that ongoing acquisition of genomic lesions may be the cause of progression. Consistently, secondary copy-number abnormalities, such as del(1p), amp(1q), del(16q), and del(17p)^{18,22} are also more frequent in MM than in SMM. Next-generation sequencing studies evidenced a globally lower number of mutations in MGUS and SMM than in MM.^{23,24} This was particularly true for mutations in *KRAS*, *NRAS*, *FAM46C*, but also for genes in the NF-κB pathway and DNA repair pathway genes.¹⁸ Conversely, high-risk SMM showed a landscape of mutations and chromosomal abnormalities more similar to that of MM.²⁵ Indeed, single-cell studies have highlighted, within a clonal plasma cell population, the presence of subclones with distinct phenotypes that could be linked to malignant progression and prove the step-wise evolution of MM.²⁶

The analyses of paired SMM-MM genomes highlighted two patterns of progression: (i) evolution from minor or entirely new subclones, and (ii) no association with genomic changes.^{18,27-29} The former includes true asymptomatic cases that need to acquire new lesions to shift their clinical behavior towards an aggressive phenotype. The latter are aggressive cases just about to meet clinical criteria for progression, with generally a shorter time to evolution.²⁵ Indeed, accumulating evidence suggests that changes in clonal substructure can be used to monitor SMM before end-organ damage develops.^{18,30} Genomic events associated with SMM progression include translo-

cations between the IGH locus and the *MYC* oncogene^{25,30,31} and accumulation of complex rearrangements.^{25,30,32} Last, the activity of mutational processes is different in MGUS/SMM and MM. Early mutations, acquired at the time of initiation, are caused by the DNA deaminase AID or from processes associated with cell aging. Late mutations, developing at the time of progression, arise from aberrant activity of the APOBEC family of cytidine deaminases, whose activity is absent in normal plasma cells.^{33,34}

In clinical practice, recurrent translocations or hyperdiploidy can be assessed by fluorescence *in situ* hybridization or multiplex ligation-dependent probe amplification.³⁵ Next-generation sequencing offers a more comprehensive evaluation of genomic lesions,³⁶ but this approach must still be considered investigational and not a current standard.

The progression of MM also depends on the tumor microenvironment.^{37,38} In particular, clonal plasma cells feed on proliferative and anti-apoptotic signals from stromal cells, including interleukin-6, insulin-like growth factor 1 and vascular endothelial growth factor. Osteoblasts and osteoclasts play opposing roles, with reduced osteoprotegerin secretion by osteoblasts and increased RANKL secretion by stromal cells promoting the activity of osteoclasts, which in turn secrete interleukin-6. In addition, progressive SMM is associated with increased neo-angiogenesis, in which MM-induced endothelial cells carry specific gene expression signatures associated with disease evolution. Furthermore, immune cells in the microenvironment are actively involved in MM progression. Innate and acquired immunity may prevent clonal plasma cell growth in early asymptomatic stages,³⁹ as indirectly shown by the unrestrained growth of plasma cells from asymptomatic patients xenografted into immunocompromised mice.⁴⁰ In addition, single-cell studies have shown how clonal plasma cells may shape a permissive immune environment already from the MGUS stage. In a continuous pattern, progression from SMM to MM is also associated with reduced MHC class II expression in CD14⁺ monocytes, an increase of regulatory T cells, loss of memory cytotoxic cells with skewing towards effector cells with suppressed or anergic phenotype, and upregulated interferon signaling promoting immunosuppression and MM growth.⁴¹ While these find-

ings are less likely to be translated soon into clinical applications, the possibility of harnessing the immune microenvironment to generate diagnostic tests to predict progression, or even therapeutic approaches to halt progression, is intriguing.

Current diagnosis and monitoring

SMM is currently diagnosed according to International Myeloma Working Group (IMWG) criteria, based on amount of M-component, percentage of clonal bone marrow plasma cells (BMPC) infiltration and no evidence of end-organ damage (CRAB) or amyloidosis (Table 1).³ Updated IMWG criteria re-classified 10-15% of patients previously diagnosed as having SMM,³ on the basis of new myeloma-defining events (biomarkers of malignancy: >60% BMPC, free light chains [FLC] ratio >100⁴² and >1 focal lesion with magnetic resonance imaging [MRI]), the so-called "SLIM CRAB" criteria, as "ultra-high-risk" SMM. These patients are considered eligible for full MM treatments, having a risk of progression of about 80% at 2 years.

The new IMWG criteria emphasize the role of imaging to risk-stratify SMM, with patients having two or more focal lesions >5 mm detected by MRI qualifying for active treatments.^{43,44} In particular, the IMWG recommends sensitive low-dose whole-body CT for the staging of monoclonal gammopathies.⁴⁵ This imaging technique was recently validated in a prospective study of 100 patients with SMM at different timepoints to identify early bone lesions related to MM evolution.⁴⁶ If low-dose whole-body CT is negative, whole-body or spine and pelvis MRI, where possible, should be pursued,⁴³ although the latter MRI protocol can miss around 10% of non-axial lesions. Given the spatial distribution of focal bone lesions, it has been recently suggested that the cutoff for the number of focal lesions should be adapted according to the MRI protocol used.⁴⁷ ¹⁸F-fluorodeoxyglucose positron emission tomography (PET)-CT may be considered an appropriate alternative to low-dose whole-body CT. It is highly recommended to distinguish between SMM and active MM, if low-dose whole-body CT is negative and whole-body MRI is unavailable.⁴⁸ In the case of uncertain or borderline lesions, radiological studies

Table 1. Current diagnostic criteria for monoclonal gammopathy of undetermined significance, smoldering multiple myeloma and multiple myeloma, according to International Myeloma Working Group criteria.

Disease	Criteria ³
MGUS	Serum MC (non IgM type) <3 g/dL and clonal BMPC <10% Absence of myeloma-defining events, such as end-organ damage (CRAB)*, or other biomarkers of malignancy (SLiM)**, or amyloidosis, which can be attributed to the plasma cell proliferative disorder
SMM	Serum MC (IgG or IgA) >3 g/dL or urinary MC >500 mg per 24 hours and clonal BMPC ≥10% and <60% Absence of myeloma-defining events, such as end-organ damage (CRAB)*, or other biomarkers of malignancy (SLiM)*, or amyloidosis, that can be attributed to the plasma cell proliferative disorder
MM	Clonal BMPC cells >10% or biopsy proven plasmacytoma and any one or more of myeloma-defining events, such as end-organ damage (CRAB)*, or other biomarkers of malignancy (SLiM)*, or amyloidosis, which can be attributed to the plasma cell proliferative disorder

MGUS: monoclonal gammopathy of undetermined significance; MC: M-component; BMPC: bone marrow plasma cells; SMM: smoldering multiple myeloma; MM: multiple myeloma. * CRAB: serum Calcium > 1 mg/dL above the upper limit of normal value or > 11 mg/dL; Renal insufficiency: serum creatinine > 2 mg/dL or creatinine clearance < 40 mL/min; Anemia: hemoglobin >2 g/dL below the lower limit of normal value or 10 g/dL; Bone lesions: one or more lytic lesions on skeletal radiography or computed tomography (including positron emission tomography). **SLiM: clonal bone marrow plasma cell percentage ≥ Sixty percent; serum involved/uninvolved free Light chains ratio >100; Magnetic resonance imaging: more than one focal lesion ≥5 mm.

should be repeated, preferably alternating different techniques, every 3-6 months or whenever clinically indicated, until a definitive diagnosis of symptomatic MM is reasonably made or excluded, (i.e., the suspicious lesion remains stable, is not accompanied by other signs of progression, or is disappearing).

Clinical and laboratory monitoring of SMM should initially be performed every 2-3 months after diagnosis for 6-12 months.⁴⁹ If test results are stable, patients may be followed every 4-6 months for another year and every 6-12 months thereafter. However, follow-up should be individualized based on risk of progression. Despite the absence of prospective data, according to the Expert Panel, imaging evaluation might preferably be repeated annually with MRI (because of the higher sensitivity for early damage) for the first 5 years (then stopped or the frequency reduced) or at clinical suspicion/pain or progressive increase of M-component (low-dose whole-body CT or MRI). A detailed imaging algorithm for patients with SMM is reported in the recent IMWG consensus on imaging.⁴³

Importantly, combined, longitudinal evaluation and review of all relevant disease parameters may be required to interpret dynamics of the disease correctly.⁴

New prognostic scores

During the last years, several risk scores for SMM progression, combining routinely used laboratory parameters, have emerged.^{4,9,49} These include the BMPC infiltration rate, an aberrant plasma cell phenotype (>95% clonal BMPC within the BMPC compartment), immunoparesis, the amount of serum M-component, an altered FLC ratio, and albumin levels.⁵⁰⁻⁵⁴

Further studies have highlighted the prognostic value of additional factors: (i) a progressive increase of the M-component and Bence-Jones proteinuria over time;^{55,56} (ii) an evolving decrease in hemoglobin;⁵⁷ (iii) bone marrow biopsy characteristics;⁵⁸ (iv) presence of circulating plasma cells and their proliferative activity in the bone marrow;^{59,60} (v) bone involvement detected by MRI or PET-CT imaging;^{61,62} (vi) cytogenetic and molecular features of the clonal population;^{22,63-65} and (vii) serum levels of B-cell maturation antigen.⁶⁶ In particular, a Southwest Oncology Group model, incorporating serum FLC values, serum M-component and the University of Arkansas Medical Sciences 70-gene expression profile signature (GEP-70), predicted 2-year progression rates of 66.7%, 21.9% and 3.4% in patients with two or three, one, and no risk factors, respectively, ranking statistically first among other investigated clinical risk scores available at the time of analysis (Table 2).⁶⁷

Since ultra-high-risk SMM patients are now considered to have MM,³ previously defined risk stratification models need to be re-assessed. Researchers at Mayo Clinic re-examined their initial cohort of 421 patients with SMM who met the 2014 IMWG criteria to re-classify risk factors for progression.⁶⁸ The median time to progression to symptomatic MM was 57 months. Based on multivariate analysis data, a new Mayo risk model was proposed utilizing the same three parameters previously identified in 2008, but with different cut-offs: involved to uninvolved serum FLC ratio >20, serum M-component >2 g/dL, and BMPC infiltration >20% (20/2/20 SMM score, Table 2).

On this basis, three risk groups were identified: low-risk: no risk factors; intermediate risk: one risk factor; high-risk: two or more risk factors. The estimated median times to progression were 109.8 months, 67.8 months and 29.2 months, respectively. Criticisms of this model, however, could be that the cut-offs were developed due to best subgroup separations, the entire cohort and subgroups were limited, and a validation analysis was not available at the time of publication.

A panel of IMWG experts recently conducted a larger, multicenter, retrospective study of 1,996 SMM patients diagnosed according to the 2014 IMWG criteria, to develop a robust risk stratification model⁶⁹ (Table 2). The follow-up from diagnosis was 3 years. The median time to progression of the entire cohort was 6.4 years, while the 2-, 5-, and 10-year risks of progression were 22%, 42% and 64%, respectively. Stepwise selection and multivariable analysis confirmed the value of 20/2/20 parameters and 1,363 patients with all three factors available were stratified in the same three categories, whose 2-year progression rates were 6%, 18% and 44%, respectively. Additional analyses were conducted in 689 patients with a complete dataset by adding the presence of at least one of the following recurrent cytogenetic abnormalities: t(4;14), t(14;16), 1q gain, and del13q. Pooled data identified four risk categories, corresponding with 2-year risks of progression of 6%, 23%, 37%, and 63% (Table 2). To define a scoring tool providing a more individualized risk assessment, the three original risk factors (involved to uninvolved serum FLC ratio, serum M-component, and BMPC infiltration), together with cytogenetic abnormalities, were included in a new logistic regression model based on the entire range of values instead of using single cut points (Table 2). Using this approach, the 2-year risks of progression were 3.8% in patients with a total score of 0-4, 26% in those with a score 5-8, 51% in those with a score 9-12, and 73% when the score was >12.

The Czech Myeloma Group recently developed a simplified alternative model for SMM based exclusively on different serum parameters⁷⁰ (Table 2). Data were collected from a training group of 287 patients and validated in an independent cohort of 240 patients. With a median follow-up of 2.4/2.5 years in the two groups, progression to MM occurred in 52% and 39% of patients, respectively. The median risks of progression per year were 11% and 10%, during the first 5 years after diagnosis, respectively. A serum FLC ratio of >30, immunoparesis (at least one uninvolved immunoglobulin below reference levels), and serum M-component ≥ 2.3 g/dL emerged as predictors of 2-year progression rate in a combined multivariate analysis. Based on these parameters, a new risk model was proposed with four groups of patients defined by the presence of none, one, two or three of these risk factors. Notably, the 2-year risks of progression in the two cohorts were 79% and 80% for those patients with three risk factors.

In a recently proposed "genomic model", next-generation sequencing was applied in a retrospective cohort of 214 patients with SMM, with whole exome sequencing performed on 166 tumors, and deep targeted sequencing on 48 tumors⁷¹ (Table 2). The model incorporated information on DNA repair/MAPK pathway gene alterations and *MYC* aberrations. All these genomic abnormalities independently predicted progression after accounting for

Table 2. Most recent prognostic models for smoldering multiple myeloma.

Model	Risk factors	Risk Group	2-year PD rate (%)	Median TTP (months)
SWOG 2014 ⁶⁷	MC >3 g/dL, sFLC >25 mg/dL, GEP-70 >0.26	Low (0 factors), n=60 Intermediate (1 factor), n=39 High (≥2 factors), n=18	3.4 21.9 66.7	
Mayo 2018 ⁶⁸	sFLCr >20, MC >2 g/dL, BMPC >20%	Low (0 factors), n=143 Intermediate (1 factor), n=121 High (≥2 factors), n=153	9.7 26.3 47.4	109.8 67.8 29.2
IMWG 2020 ⁶⁹	MC >2 g/dL, sFLCr >20, BMPC >20% + high risk cytogenetics: [t(4;14), t(14;16), +1q, del(13q)]	Low (0 factors), n=522 Intermediate (1 factor), n=445 High (≥2 factors), n=396 Low (0 factors/score 0-4) *, n=241 Low-intermediate (1 factor/score 5-8), n=264 Intermediate (2 factors/score 9-12), n=233 High (3-4 factors/score >12), n=51	6 18 44 6/3.8 22/26.2 45.5/51.1 63.1/72.5	
CMG 2020 ⁷⁰	Immunoparesis (at least one uninvolved immunoglobulin below reference levels), sFLCr >30, MC ≥2.3 g/dL	Low (0 factors), n=48/26 ** Intermediate (1 factor), n=44/34 High (2 factors), n=32/41 Very high (3 factors), n=15/12	8.5 / 5.3 ** 20.9 / 7.5 41.9 / 44.8 78.7 / 81.3	
Dana Farber 2020 ⁷¹	DNA repair pathway gene alterations [mutations in <i>TP53</i> and <i>ATM</i> , del(17p)], MAPK pathway gene mutations (<i>KRAS</i> , <i>NRAS</i>), <i>MYC</i> aberrations (translocations or copy-number variations).	0 factors, n=58 At least 1 factor, n=24	86.4 14.4	

PD: progressive disease; TTP: time to progression; SWOG: Southwest Oncology Group; MC: monoclonal component; sFLC: serum free light chains; sFLCr: serum free light chain ratio; BMPC: bone marrow plasma cells; GEP-70: University of Arkansas Medical Sciences 70-gene expression profile signature; CMG: Czech Myeloma Group. *sFLCr score: <10: 0; 10-25: 2; >25-40: 3; >40: 5. MC score: 0-1.5 g/dL: 0; >1.5-3 g/dL: 3; >3 g/dL: 4. BMPC score: 0-15%: 0; >15-20%: 2; >20-30%: 3; >30-40%: 5; >40%: 6. ** Validation cohort.

clinical risk staging. Patients without such alterations had a median time to progression of 7.2 years *versus* 1.2 in those with one or more alterations. In addition, the risk was cumulative, and patients with two or more alterations progressed the fastest. These results were validated in an external cohort of 72 patients with SMM previously sequenced. Importantly, this model outperformed the Mayo Clinic 2008 and 2018 prediction models.

Early treatment

Possible treatments of SMM vary considerably, with aims ranging from disease control, to delaying progression, and ideally cure (Table 3). Eight randomized, controlled trials covering 885 patients were evaluated in a recent meta-analysis that compared early *versus* deferred treatment in SMM.⁷² These studies included patients treated with melphalan + prednisone,⁷³⁻⁷⁵ bisphosphonates ± thalidomide,⁷⁶⁻⁷⁸ siltuximab,⁷⁹ and lenalidomide + dexamethasone.^{80,81} Overall, early treatment significantly decreased progression of SMM (risk ratio [RR] = 0.53, 95% confidence interval [95% CI]: 0.33-0.87, *P*=0.01), particularly in patients receiving melphalan + prednisone (RR=0.22, 95% CI: 0.08-0.64, *P*=0.005) or immunomodulatory drugs (RR=0.43, 95% CI: 0.31-0.59, *P*<0.00001), and in the high-risk SMM subgroup (RR=0.51, 95% CI: 0.37-0.70, *P*=0.0001). In the latter patients, treatment of SMM also significantly decreased mortality (RR=0.53, 95% CI: 0.29-0.96, *P*=0.04). Regarding the most relevant adverse events, the risk of secondary primary malignancies was significantly increased with early treatment (RR=4.13, 95% CI: 1.07-15.97, *P*=0.04). Major criticisms to this meta-analysis were the wide heterogeneity of therapeutic approaches used and the lack of risk stratification in all but one trial.

Treatment options with novel agents

Lenalidomide

The results of two phase III, prospective studies have been fully published, providing support to the use of lenalidomide (± dexamethasone) in patients with high-risk SMM.

The Spanish QuiRedex phase III trial (NCT00480363) was a pivotal study conducted in 119 patients with high-risk SMM, defined by the presence of both BMPC >10% and M-component >3 g/dL or, if only one criterion was present, patients had to have <95% aberrant BMPC by immunophenotyping plus immunoparesis. Patients were randomized to receive nine 4-week induction cycles with lenalidomide + dexamethasone followed by maintenance with lenalidomide alone for 2 years or to undergo observation.^{80,81} The primary endpoint was time to progression. Updated results after a median follow-up of 10.8 years revealed a 46% reduction in the risk of death (hazard ratio [HR]=0.54; 95% CI: 0.3-0.9; *P*=0.034) and 73% in that of progression (HR=0.27; 95% CI: 0.16-0.42; *P*<0.0001) for early treatment *versus* observation.⁸² The median overall survival had not been reached in the treatment arm, while it was 7.8 years in the control arm. The updated median time to progression was 9.0 *versus* 2.1 years in patients receiving treatment and in the control group, respectively. No differences were observed between arms when overall survival was compared from the start of subsequent therapy in patients who progressed to active MM, suggesting that, once the patient has progressed, lenalidomide treatment would not induce the appearance of resistant clones. The frequency of secondary primary malignancies was higher in the treatment group than in the observation group (10% *vs.* 2%), but the cumulative risk did not differ significantly (*P*=0.07). Extensive phenotypic studies suggested that

Table 3. Update of clinical trials in smoldering multiple myeloma with currently available results. Other ongoing studies without published results are reported in the text of the paper.

	Phase, n, of patients	Treatments	Risk stratification	Primary endpoint, FU	ORR, CR, MRD	PFS, TTP, OS
QuiRedex NCT00480363 [80-82]	III, n=119	<u>Induction</u> : 28-day cycle [C1-9] Lenalidomide 25 mg p.o. days 1–21 + Dex 20 mg p.o. days 1–4, 12–15; <u>Maintenance</u> : 28-day cycle [C1-24] Lenalidomide 10 mg p.o. days 1–21 (n=57) <u>Observation</u> (n=62)	High-risk by BMPC >10% and MC >3 g/dL or, if only one criterion present, BMPC with aberrant phenotype >95% plus immunoparesis	TTP Median FU: 10.8 years	After induction: ORR 79%, CR: 14% After maintenance: ORR 90%, CR 26% ORR/CR: 0%	Median TTP: 9.0 <i>vs.</i> 2.1 years (<i>P</i> =0.034) Median OS: NR <i>vs.</i> 7.8 years (<i>P</i> <0.0001)
SWOG E3A06 NCT01169337 [84]	III, n=182	<u>Continuous therapy</u> : 28 day-cycle [C1 – PD]: Lenalidomide 25 mg p.o. days 1–21 (n=90) <u>Observation</u> (n=92)	Intermediate or high-risk by BMPC >10%, or sheets and FLC ratio <0.26 or >1.65	PFS Median FU: 35 months	ORR 50%, CR 0% ORR 0%	3-year PFS: 91% <i>vs.</i> 66% (<i>P</i> =0.002)
NCT01572480 [23,85]	II, n=18	<u>Induction</u> : 28-day cycle [C1-8]: Carfilzomib 20/36 mg/m ² i.v. days 1,2, 8, 9, 15, 16 + Lenalidomide 25 mg p.o. days 1–21 + Dex 20 mg (C1 – 4) and 10 (C5– 8) p.o. or i.v. days 1, 2, 8, 9, 15, 16 <u>Maintenance</u> : 28-day cycle [C1 – 24] Lenalidomide 25 mg days 1–21	High-risk by PETHEMA and MAYO 2008 criteria	ORR, MRD Median FU: 43.3 months	ORR 100%, MRD negativity: 92% by MFC, 75% by NGS,	Estimated 4-year PFS: 71% Estimated 4-year OS: 100%
GEM-CESAR NCT02415413 [87,88]	III, n=90	<u>Induction</u> : 28-day-cycle [C1-6]: Carfilzomib 20/36 mg/m ² i.v. days 1,2, 8, 9, 15, 16 + Lenalidomide 25 mg p.o. days 1–21 + Dex 40 mg days 1, 8, 15, 22 <u>AuSCT</u> : Melphalan 200 mg/m ² <u>Consolidation</u> : KRd as induction for 2 cycles <u>Maintenance</u> : 28 day-cycle Lenalidomide 10 mg days 1–21 + Dex 20 mg days 1, 8,15, 22 for 2 years	High-risk by PETHEMA criteria (ultra-high risk patients were included, ref. 3)	MRD Median FU: 32 months	ORR: 98% post-induction, 98% post-AuSCT, 100% post-consolidation and maintenance; ≥CR: 38.4%, 61.5% and 68.6% at the same time-points MRD negativity 23%, 44% and 55% at the same time points	PFS 93% (5 biochemical progressions)
NCT02697383 [89]	Pilot study, n=14	<u>Induction</u> : 28-day cycle [C1-12]: Ixazomib 4 mg p.o. days 1, 8, 15 + Dex 40 mg p.o. days 1, 8, 15, 22 <u>Maintenance</u> : 28-day cycle [C1-24] Ixazomib 4 mg p.o. days 1, 8, 15	High-risk by PETHEMA or Mayo 2008 criteria	ORR Median FU 17 months	ORR 64% (no CR)	No progression to MM
NCT02916771 [90]	II, n=26 (56 planned)	<u>Induction</u> : 28-day-cycle [C1-9]: Ixazomib 4 mg p.o. days 1, 8, 15 + Lenalidomide 25 mg p.o. days 1–21 + Dex 40 mg p.o. days 1, 8, 15, 22 <u>Maintenance</u> : 28-day cycle [C10-24]: Ixazomib 4 mg p.o. days 1, 8, 15 + Lenalidomide 15 mg p.o. days 1–21 (n= 45)	High risk, (ref. 8)	PFS Median number of cycles: 8	ORR 89%, CR 19.2%	No progression to MM
CENTAURUS NCT02316106 [92]	II, n=123	Daratumumab 16 mg/kg i.v. in 8-week cycles: <u>Extended intense</u> (n=41): [C1] every 1 week; [C2-3]	Intermediate or high-risk by BMPC ≥10% and <60% and at least one of the following: MC	CR and PD/DR ratio Median FU 26 months	ORR 56%, CR 4.9%, PD/DR 0.059	2-year PFS: 89.9% extended intense, <i>vs.</i> 82.0% intermediate

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		every other week; [C4-7] every 4 weeks; [C8-20] every 8 weeks <u>Intermediate intense</u> (n=41): [C1] every 1 week and [C2-20] every 8 weeks <u>Short dosing</u> (n=41): [C1] every 1 week	≥3 g/dL (IgA ≥2 g/dl), urine MC >500 mg/24 h, FLC ratio <0.126 or >8			intense <i>vs.</i> 75.3% short dosing
NCT02960555 [96]	II, n=24 (planned n. 61)	Isatuximab 20 mg/kg i.v. in 4 week cycle [C1] every 1 week; [C2-6] every other week; [C7-30] every 4 weeks	High-risk (criteria NA)	ORR Median number of cycles: 11.5	ORR 54%, CR 9.8%, PD/DR 0.107 ORR,38%, CR 0%, PD/DR 0.150	NA with MRD negativity
NT01441973 [97]	II, n=31	Elotuzumab 20 mg/kg i.v. [C1] days 1, 8, then [C2-progressive disease] monthly every 4 weeks Elotuzumab 10 mg/kg i.v. [C1] days 1, 8, 15, 22, then [C2- progressive disease] monthly every 2 weeks	High risk by MC ≥3 g/dL with BMPC ≥10%; or MC 1–3 g/dL (alternatively urine MC >200 mg/24 h), BMPC ≥10% and FLC ratio <0.125 or >8.0	Relationship CD 56 ^{dim} NK cells and MC protein reduction (not found) FU at least 28 months	ORR 10% (cumulative)	2-year PFS 69% (cumulative)
NCT02279394 [98]	II, n=50	<u>Induction</u> : 28-day cycle [C1-2] Elotuzumab 10 mg/kg i.v. days 1, 8, 15, 22 + Lenalidomide 25 mg p.o. days 1–21 + Dex 40 mg p.o. days 1, 8, 15, 22 [C3-8]: Stem cell collection; Elotuzumab 10 mg/kg i.v. days 1, 15 + Lenalidomide as 25 mg p.o. days 1–21 + Dex 40 mg p.o. days 1, 8, 15 <u>Maintenance</u> : 28-day cycle [C9-24] Elotuzumab 10 mg/kg i.v. days 1 + Lenalidomide 25 mg p.o. days 1–21	High-risk, (ref. 8)	PFS FU NA	ORR 84%, CR 6%	No progression to MM
NCT02603887 [99]	Pilot study, n=13	Pembrolizumab 200 mg i.v. every 3 weeks × 8 cycles; with option to continue up to 24 cycles if continued benefit	Intermediate to high risk by either PETHEMA, Mayo 2008 or SWOG criteria	ORR Median number of cycles: 8	ORR 8%, CR 8%, MRD negativity 8%	15% of patients progressed to MM
NCT01484275 [79]	III, n=85	Siltuximab 15 mg/kg i.v. in 2 h every 4 weeks (n=43) [C1-progressive disease] (n=43) Observation (n=2)	High risk by BMPC >10% and either MC >3 g/dL, or FLC ratio <0.126 / >8 and MC >1 / <3 g/dL (32% ultra-high risk; ref 3)	PFS Median FU 29.2 months	NA	1-year PFS: 84.5% siltuximab <i>vs.</i> 74.4% observation (P<0.06) Median PFS: NR siltuximab <i>vs.</i> 23.5 months observation OS not reached in both arms
NCT01718899 [100]	I-IIa, n=20	<u>PVX-410 vaccine cohort</u> (n=3+6) 0.4-0.8 mg s.c.; every 2 weeks × 6 doses <u>PVX-410 combination cohort</u> (n=10): PVX-410 vaccine 0.8 mg s.c. every 2 weeks × 6 doses + Lenalidomide 25 mg p.o. days 1-21 every 28 days × 3 cycles	Moderate to high risk by MC ≥3 g/dL, BMPC >10%, abnormal FLC ratio (0.26-1.65) Moderate (2 risk factors) or high risk (3 risk factors)	Safety and immune response	Immune response 95%; (10/11 PVX-410 monotherapy, 9/9 PVX-410 combination)	PVX-410-alone: 3 progressions to MM (median TTP 36 weeks) Combination cohort: 1 progression to MM, median TTP NR

FU: follow-up; ORR: overall response rate; CR: complete remission; MRD: minimal residual disease; PFS: progression-free survival; TTP: time to progression; OS: overall survival; C: cycle; p.o.: per os; i.v. intravenous; s.c.: subcutaneous; BMPC: bone marrow plasma cells; MC: M-component; KRd: carfilzomib, lenalidomide, dexamethasone; NR: not reached; FLC: free light chains; Dex: dexamethasone; MFC: multicolor flow cytometry; NGS: next generation sequencing; AuSCT: autologous stem cell transplantation; NA, not available; NK: natural killer; MM: multiple myeloma; PD/DR: progressive disease/death rate per patient-year ratio; Mayo 2008: ref. 51; PETHEMA: ref. 50; SWOG: ref. 66.

the impaired immune system of high-risk SMM patients could be reactivated by the immunomodulatory activity of lenalidomide.⁸³

The Eastern Cooperative Oncology Group E3A06 phase III trial (NCT01169337) assessed the efficacy of lenalidomide monotherapy compared with observation in patients with intermediate- or high-risk SMM.⁸⁰ Lenalidomide was administered orally as a single agent until disease progression, toxicity, or withdrawal for other reasons. Response to therapy was observed in 50% of patients in the lenalidomide arm, with no responses in the observation arm. With a median follow-up of 35 months, progression-free survival (the primary endpoint of the study) was significantly longer with lenalidomide than with observation (HR=0.28; 95% CI: 0.12-0.62; $P=0.002$), indicating a 72% decrease in the risk of progression. The most pronounced and significant benefit was seen in patients with high-risk SMM, as defined by both 2008 and 2018 Mayo Clinic risk models. Six deaths occurred, two in the lenalidomide arm and four in the observation arm. Grade 3 or 4 non-hematologic adverse events were observed in 25 patients (28%) on lenalidomide and in none of the control group. The treatment discontinuation rate was 50%, (in 40% of cases due to adverse events). Secondary primary malignancies occurred in 5.2% and 3.5% of treated and untreated patients, respectively. At the time of publication, data were not mature for evaluation of overall survival.

Several different, more intensive treatments are being or are close to being tested in SMM. Such approaches, briefly described below, generally comprise triplets including a proteasome inhibitor with an immunomodulatory drug + dexamethasone, monoclonal antibodies or even autologous stem cell transplantation. These new strategies, however, have so far generally been explored only in pilot studies with a limited number of patients or in phase II trials, with still preliminary results.

Carfilzomib, lenalidomide and dexamethasone

In a US pilot study (NCT01572480) in high-risk SMM, the carfilzomib, lenalidomide + dexamethasone (KRd) regimen resulted in an overall response rate of 100%.^{23,85} Very high rates of minimal-residual disease (MRD) negativity, as determined by multiparametric flow cytometry (92%) or next-generation sequencing (75%), were observed. After a median potential follow-up of 43.3 months, 63% of patients remained MRD-negative, with estimated 4-year progression-free and overall survival rates of 71% and 100%, respectively. The safety profile was consistent with previous reports for this regimen.

A subsequent phase II study in high-risk SMM (Mayo Clinic or PETHEMA models) assessed KRd (8 cycles) + lenalidomide maintenance (KRd-R).⁸⁶ After a median potential follow-up of 27.3 months, the overall response rate was 100% and 78% of patients achieved a best response of stringent complete remission. The primary objective of MRD-negative complete remission was achieved by 70.2%, with a median duration of 5.5 years. At the 5-year landmark, only 10% of patients had developed MM. No patient died, while grade 3-4 treatment-related adverse events occurred in 33% of patients, the most frequent being cytopenias, thromboembolism, rash, and lung infection.

Another ongoing multicenter, open-label phase II trial by the HOVON group is randomizing patients with high-

risk SMM, defined according to both the Mayo Clinic⁸² and Spanish⁵¹ criteria, and with ultra-high-risk SMM, as defined by the IMWG,³ to treatment with a KRd combination *versus* lenalidomide + dexamethasone alone for nine cycles, followed by lenalidomide maintenance for 2 years (NCT03673826).

Among studies examining potentially curative strategies, the GEM-CESAR trial is a phase II, single-arm trial focusing on SMM patients at high risk of progression to active MM (>50% at 2 years), according to Spanish criteria (NCT02415413), and patients with ultra-high-risk SMM.^{87,88} The induction therapy in this trial is six 4-week cycles of KRd, high-dose melphalan and autologous stem cell transplantation as intensification therapy, followed by two KRd consolidation cycles and maintenance with lenalidomide + dexamethasone for up to 2 years. The primary endpoint is a sustained MRD-negativity rate of at least 50%, as determined by next-generation flow cytometry after induction and transplantation. At a first analysis of 90 patients younger than 70 years,⁸⁷ main grade 3-4 toxicities during induction and maintenance treatments included neutropenia (6%), thrombocytopenia (11%), infections (18%) and skin rash (9%). With a median follow-up of 32 months, 98% of patients remained alive and 93% progression-free, with only five patients having had biochemical relapses. Updated results⁸⁸ indicate that the overall response rate was 98% after induction, 98% after autologous stem cell transplantation, and 100% after consolidation; 68.6% of patients reached complete remission or better after consolidation, with 55% of them achieving MRD negativity.

Ixazomib-based regimens

A combination of ixazomib + dexamethasone has been evaluated in a small pilot study in high-risk SMM⁸⁹ (NCT02697383). At the time of the first analysis, with a median follow-up of 17 months, ten patients were continuing treatment: nine of them had achieved at least very good partial remission and no patient had progressed to symptomatic MM. Grade 3 non-hematologic adverse events included one intestinal complication and two grade 3 lung infections.

The oral triplet ixazomib, lenalidomide + dexamethasone for nine cycles followed by 15 cycles of ixazomib + lenalidomide was investigated in patients with high-risk SMM in a phase II trial.⁹⁰ Based on preliminary results in the first 26 patients, grade 3 hypophosphatemia, leukopenia, and neutropenia occurred in about 10% of patients, while 8% developed grade 4 neutropenia and hyperglycemia. The overall response rate in patients who received at least three cycles of treatment was 89% (23/26), including five complete responses (19.2%). None of the patients had shown progression to overt MM at the time of the analysis.

Monoclonal antibodies

As for other hematological malignancies, monoclonal antibodies are currently also being tested in SMM.⁹¹

Daratumumab (anti-CD38)

The randomized phase II CENTAURUS study (NCT02316106) evaluated daratumumab as a single agent in three different treatment schedules (extended intense,

extended intermediate, or short dosing) in 123 patients with intermediate/high-risk SMM.⁹² The main endpoints were a complete remission rate >15% and the progressive disease/death rate per patient-year ratio. After a median follow-up of 26 months, the complete remission rates were 4.9%, 9.8%, and 0% for patients treated with the intense, intermediate, and short dosing schedules, respectively. Favorable progressive disease/death rate ratios and a median progression-free survival longer than 2 years were observed in all arms. Two-year progression-free survival rates for intense, intermediate, and short dosing were 89.9%, 82%, and 75.3%, respectively. Target-saturating trough concentrations were generally preserved by intense dosing. No new safety issues occurred.

Based on these data, the long dosing schedule is being investigated in the ongoing, randomized phase III AQUILA study (NCT03301220) comparing subcutaneous daratumumab for up to 39 cycles *versus* active observation.⁹³ The study will randomize about 360 patients with high-risk SMM according to one or more of the following features: serum M-component ≥ 3 g/dL, IgA SMM, immunoparesis, serum FLC ratio of ≥ 8 but <100, BMPC percentage in the range of 51% to 60%.

Another phase III, randomized trial is comparing lenalidomide + dexamethasone *versus* daratumumab, lenalidomide + dexamethasone in high-risk SMM (NCT03937635, DETER-SMM) patients, with overall survival as the primary endpoint. A target of 288 patients diagnosed within 1 year and having an abnormal serum FLC ratio (≤ 0.125 or ≥ 8.0) and involved chain <100 mg/L, and/or serum M-component >3 g/dL and/or presence of t(4;14) or del 17p or 1q will be enrolled.

The ongoing, phase II ASCENT trial (NCT03289299) is evaluating daratumumab, carfilzomib, lenalidomide and dexamethasone (DKRd) without autologous stem cell transplantation in high-risk SMM.⁹⁴ Treatment consists of 12 DKRd cycles, followed by maintenance with lenalidomide and daratumumab for 12 cycles. Preliminary safety data on 46 patients who reported grade 3-4 adverse events documented cytopenia, thromboembolic events, infections, hypertension, diarrhea, and allergic reactions, which all occurred in less than 10% of subjects. The relative median dose intensity was $\geq 80\%$ for all drugs, demonstrating the feasibility of such an approach.

Single-agent daratumumab given intravenously for up to 20 cycles is also being evaluated in a phase II study in lower-risk SMM (NCT03236428).⁹⁵ Feasibility was demonstrated in 28 patients. Response rates were assessed in 15 patients who completed six or more cycles: Partial response and at least very good partial response were achieved in 53% and 20% of these patients, respectively. Thus far, no deaths, progression or therapy discontinuations due to toxicity have occurred.

Isatuximab (anti-CD38)

A phase II study (NCT02960555) is exploring the efficacy of isatuximab (20 mg/kg intravenously) in high-risk SMM; the drug is administered at decreasing intervals up to 30 weeks.⁹⁶ The median number of cycles given in the first 24 evaluable patients was 11.5 (range, 6-30). Five patients interrupted treatment, two because of progression to active MM. No deaths occurred during the study. Five grade 3 treatment-related adverse events [dyspnea, infusion related reactions, headache, neutropenia, urinary

tract infections] were observed, all of which resolved to baseline. Best responses included partial remission (42%), very good partial remission (17%), and complete remission with MRD negativity by multiparameter flow cytometry at 10^{-5} (5%). This study also evaluated health-related quality of life scores, suggesting that isatuximab may reduce anxiety and worry of progression to MM, with improvement of the score after six cycles.

A phase III, randomized, multicenter study comparing isatuximab, lenalidomide + dexamethasone *versus* lenalidomide + dexamethasone in higher-risk SMM (according to IMWG criteria) within 5 years is about to start (NCT04270409). An initial safety run-in phase will confirm the recommended dose of isatuximab. Primary endpoints will include safety and efficacy (progression-free survival), while pharmacokinetic and immunological studies, percentages, duration and quality of responses (including MRD), type of progression, second progression-free survival, overall survival, economic and health-related quality of life evaluations will be secondary objectives.

Elotuzumab (anti-SLAMF7/CS1)

Elotuzumab monotherapy had modest activity in SMM patients (overall response rate, 10%,) in a phase II trial (NCT01441973).⁹⁷ However, duration of response and the 2-year progression-free survival of 69% in some patients with high-risk characteristics were of some interest. In that study, no relationship emerged between baseline CD56^{dim} NK cells and response.

Another phase II trial (NCT02279394), tested elotuzumab, lenalidomide + dexamethasone in high-risk SMM.⁹⁸ This combination induced partial remissions or better, including three complete remissions and 18 very good partial remissions, in 41 of 50 patients (84%). Genomic studies revealed that mutations occurring in genes involved in DNA repair were associated with poor response. No progression to symptomatic MM was observed and the toxicity profile was manageable, although some thromboembolic events occurred.

Pembrolizumab (anti-PD1)

The checkpoint inhibitor pembrolizumab was investigated in 13 patients with intermediate/high-risk SMM (NCT02603887).⁹⁹ After a median of eight cycles, 11 patients (85%) had stable disease, one patient progressed and one patient with 17p deletion and a high-risk gene-expression signature reached MRD negativity at 10^{-4} by next-generation sequencing in bone marrow which persisted after 27 months. Three patients discontinued the treatment due to immune-related adverse events.

Siltuximab (anti-interleukin-6)

A randomized, double-blind, placebo-controlled study evaluated interleukin-6 blocking with siltuximab in 85 patients with high-risk SMM (NCT01484275).⁷⁹ After a median follow-up of 29.2 months, the 1-year progression-free survival rate was 84.5% with siltuximab and 74.4% with placebo. The median progression-free survival was not reached with siltuximab whereas it was 23.5 months with placebo ($P=0.057$). Adverse events in the experimental arm were mainly of grade 2-3, the most common and serious ones being infections and urinary complications. Three deaths occurred with siltuximab and four with placebo.

Vaccines

PVX-410 is a vaccine composed of a combination of four peptides, specifically targeting the highly overexpressed plasma cell antigens XBP1, CD138 and CS1/SLAMF7. A phase I/IIa multicenter, dose-escalation study (NCT01718899) accrued 22 patients with SMM at moderate or high risk of progression who received PVX-410, with or without lenalidomide.¹⁰⁰ The most common adverse events were mild-to-moderate injection site reactions and constitutional symptoms. PVX-410 was immunogenic as monotherapy and, more consistently, in combination with lenalidomide, as demonstrated by an increase in the percentage of PVX-410 tetramer and interferon- γ -positive specific CD3⁺CD8⁺ T lymphocytes, as well as by a persistent rise of vaccine-specific effector memory cells. In the PVX-410-alone cohort, three of 12 patients progressed, with a median time to progression of 36 weeks. In the combination cohort, five of 12 patients showed a clinical response, with one patient progressing and a median time to progression not reached. PVX-410 is also under investigation in SMM in combination with the selective histone-deacetylase inhibitor citarinstat \pm lenalidomide in a phase I trial (NCT02886065).

PD-L1 peptide vaccine, a new molecule targeting this immunological checkpoint, is also currently under investigation in SMM (NCT03850522).

Other trials

A phase II trial with ibrutinib (NCT02943473), a Bruton tyrosine kinase inhibitor, was recently closed due to poor accrual and an unfavorable risk/benefit ratio in patients with high-risk SMM.

General considerations

Despite the many ongoing and planned studies, only one randomized trial has so far demonstrated a significant survival benefit for early active therapy of high-risk SMM.⁵² Another recent trial showed an improvement in progression-free survival, but follow-up is still too short for adequate evaluation of overall survival.⁵⁴

Both these studies raised some concerns. Their sample size was limited, with less than 100 patients in each arm. The Spanish study was conducted between 2007 and 2013,⁵⁰ when some new MM drugs were not available, while bone involvement was assessed by a low-sensitivity technique such as plain radiography. Thus the survival benefit in some patients could have been due to treating active MM, rather than SMM. Furthermore, patients in the control group could start therapy only after meeting CRAB criteria, which may be difficult in patients with progressive deterioration of renal function or anemia, because they would start therapy before the cut-off is reached. On the other hand, in the US trial, the high discontinuation rate and the fact that the group achieving the most significant benefit with lenalidomide in terms of progression-free survival included only 25 patients could be concerns.⁵⁴

Notwithstanding, all ongoing studies seem to take a survival benefit for granted, as they do not include an untreated control group or compare two arms with active

treatments, thus substantially accepting the philosophy that high-risk SMM “should” be treated. However, while some experts suggest that the Southwest Oncology Group and Spanish trials should represent the current standard of care for patients with high-risk SMM,^{8,11} others are not convinced.^{10,14} Studies underway will likely show a response to therapy, more or less pronounced depending on the drugs used, with consequent improvement of progression-free survival. However, whether these often intensive treatments with novel agents will also have an extensive impact on overall survival of SMM patients will not be formally addressed and could remain unclear. The key issue remains to identify patients with high-risk SMM who “must” receive a treatment, because they will certainly have a significantly longer survival.

Recommendations for clinical practice and future research

Which diagnostic procedures are necessary for diagnosing smoldering multiple myeloma?

An adequate diagnostic work-up for SMM should include a hemogram and biochemistry with renal function and calcium levels, morphological and (if available) phenotypic quantification of clonal plasma cells in bone marrow smears and bone trephine biopsy, with cytogenetics by fluorescence *in situ* hybridization or a validated equivalent molecular method on purified plasma cells, evaluation of serum and urinary M-component, total serum immunoglobulins, serum involved/uninvolved FLC ratio and their absolute values. Diagnostic imaging should comprise low-dose whole-body CT and whole-body MRI, if low-dose whole-body CT is negative. Axial MRI or PET-CT are reasonable alternatives, according to availability and specific diagnostic needs, as previously detailed.

How should current predictive models be applied in the setting of smoldering multiple myeloma?

Prediction of progression to overt MM of SMM should be based on routine and universally applicable tests, considering, however, that proposed models may show significant discordances in identifying “true” high-risk SMM.¹⁰¹ All the clinical prognostic scores reported above, particularly the updated 2/20/20 model (endorsed by the IMWG and including variables that reflect both disease burden and biological features of SMM that can be measured by most centers), represent important tools that physicians must routinely use (whatever they select) for risk stratification, to reasonably predict the outcome of different patients with SMM, because their management should be risk-adapted. Combination with appropriate imaging investigation is also recommended.

Although more sophisticated genomic facilities may be available at specialized academic research institutions (where fluorescence *in situ* hybridization is replaced by tests such as whole exome sequencing), they are not mandatory, as not yet routinely used and validated for clinical purposes.

Should patients with smoldering multiple myeloma be informed according to their different risk of evolution?

Due to the heterogeneous behavior of SMM, appropriate information about their possible future clinical outcome should be given to patients with either lower- or

higher-risk SMM, as defined by current risk models. The possibility of enrollment in a clinical trial, if available, should also be proposed.

How should smoldering multiple myeloma be monitored?

Once diagnosed, SMM patients should be monitored according to the above-reported recommendations, taking into particular account the individual risk of progression. Changes in serum M-component represent the most simple, useful and worldwide available biomarker of progression over time. Serum FLC monitoring has also been demonstrated to be useful in this setting.¹⁰² However, for all SMM patients, it is important to follow the evolution of multiple different parameters that, taken together, may give better and comprehensive insight into the dynamics of the disease. Imaging should be periodically repeated, as previously detailed, and also performed if there is a biochemical or clinical suspicion of disease progression.

Which patients with smoldering multiple myeloma might benefit from early treatment?

Regarding patients with lower-risk SMM, diagnosed according to current criteria, only active observation is recommended.

With regard to early treatment of high-risk SMM, there is no consensus yet. Two prospective randomized trials have shown significant benefits from treatment with lenalidomide ± dexamethasone in these patients, but they were not registration studies and they were not presented to regulatory agencies.^{80,84} However, it should be considered that patients presenting with the coexistence of multiple risk factors, particularly increasing M-component levels or BMPC count or a significant decrease in hemoglobin concentration, high FLC ratio and/or high-risk cytogenetics, will further increase their risk of progression. For those cases, physicians may consider starting early treatment, with the intention of either delaying progression or even achieving a cure. However, it will be the individual physician's responsibility to seek active risk/benefit discussion with their patients, also considering that health-related quality of life is an essential outcome parameter.¹⁰³ The decision regarding treatment will also depend on whether such an unlicensed treatment approach falls within the legal framework of the national healthcare system. The Expert Panel agreed that therapy in these selected, very high-risk SMM patients, should be similar to that offered to patients with active MM, and that their treatment should be administered in a controlled setting, such as a clinical trial.¹⁰⁴

What should be done in the near future to further improve the management of smoldering multiple myeloma?

It is quite difficult to compare the results of the treatments that are currently being assessed in SMM, because of the substantial differences in times at which the studies were conducted, definitions of high-risk SMM, intensities of therapeutic approaches, and criteria/methods to evaluate response and progression across these studies. Thus, before definitively changing the current paradigms for the management of SMM, comparable future trials will have to be performed, aiming to define the following, relevant primary objectives: (i) to identify new predictive biomarkers (clinical, molecular/genomics,

immunological, microenvironmental, imaging) for further refining risk prediction and selecting SMM patients who may do well with observation ("Dr. Jekyll") and those who require more stringent monitoring in order to establish the most appropriate moment to start treatment ("Mr. Hyde"). In this setting, possible racial diversities should also be considered, as they may have an impact on SMM biology;¹⁰⁵ (ii) to assess the necessary balance between reduced risk of progression (and of consequent MM complications) with early treatment *versus* possible short- and long-term adverse effects, specifically deteriorating health-related quality of life, secondary primary malignancies and induction of refractory disease, elucidating, in particular, whether early treatment may select resistant clones or, the opposite, if delaying therapy may favor disease that is more resistant to future therapies; and (iii) to determine what intensity and which type of treatment are preferable in selected patients with high-risk SMM, i.e., short-term, intensive approaches with "curative" intent *versus* prolonged immunological control of the disease, for example by targeting immunity with memory to provide long-term surveillance, according to a "preventive" strategy. Both these approaches should have the primary objective of improving overall survival, without negatively affecting health-related quality of life.

Disclosures

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Contributions

PM reviewed the literature and wrote the first draft. All authors revised, provided comments and consensus according to the Delphi methodology, and approved the final draft before submission.

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