



**Development of a genomic tool for breed assignment by comparison of different classification models - Application to three local cattle breeds**

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4 1 **Development of a genomic tool for breed assignment by comparison of**  
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6 2 **different classification models - Application to three local cattle breeds**  
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36  
37 15 **Abstract**  
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40 16 Assignments of individual cattle to a specific breed can often not rely on pedigree  
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42 17 information. This is especially the case for local breeds for which the development of  
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44 18 genomic assignment tools is required to allow more individuals of unknown origin to be  
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46 19 included to their herdbooks. A breed assignment model can be based on two specific stages 1)  
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48 20 the selection of breed-informative markers and 2) the assignment of individuals to a breed  
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51 21 with a classification method. However, the performance of combination of methods used in  
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53 22 these two stages have been rarely studied until now. In this study, the combination of 16  
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55 23 different SNP panels with four classification methods was developed on 562 reference  
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57 24 genotypes from 12 cattle breeds. Based on their performances, best models were validated on  
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59 25 three local breeds of interest. In cross-validation, 14 models had a global cross-validation  
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3 26 accuracy higher than 90%, with a maximum of 98.22%. In validation, best models used 7,153  
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5 27 or 2,005 SNPs, based on a partial least squares-discriminant analysis (PLS-DA), and assigned  
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7 28 individuals to breeds based on nearest shrunken centroids. The average validation sensitivity  
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9 29 of the first two best models for the three local breeds of interest were, respectively, 98.83%  
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12 30 and 97.5%. Moreover, results reported in this study suggest that further studies should  
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14 31 consider the PLS-DA method when selecting breed-informative SNPs.  
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19 33 **KEYWORDS**

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21 34 Breed assignment; classification; informative SNPs; local breeds; partial least squares; SNP  
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24 35 panel  
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## 1. INTRODUCTION

Interest in local breeds is increasing because they represent a reservoir of unique phenotypes and genetic material, potentially increasing the resilience of animal production systems to economic and ecological challenges. Because of the hyper-specialization of agriculture, local breeds were often left behind for several decades leading to very incomplete (or even completely missing) pedigree information. However, it has been shown that in situ conservation is a powerful tool to keep local breeds in their natural environment by supporting their social setting and their traditional use (Henson, 1992). According to the article 19 of the EU Regulation 2016/1012 on Animal breeding, a special derogation can be allocated to include animals without pedigree to enter the main section of the herdbook of an endangered breed. From this, the question of how locally subsisting populations can be recognized as members of a given endangered breed arises. The development of a tool based on genomic data that is able to correctly assign animals from the endangered breed and to exclude animals from other similar looking breeds can be the solution. Several studies have already focused on this specific topic (e.g. Baumung, Cubric-Curik, Schwend, Achmann, & Sölkner, 2006; Bertolini et al., 2018; I. Hulsegge et al., 2019; Padilla, Sansinforiano, Parejo, Rabasco, & Martínez-Trancón, 2009). Padilla, Sansinforiano, Parejo, Rabasco & Martinez-Trancón (2009) particularly highlighted the need to find a balance between including more individuals with unknown pedigree but appearing to be members of an endangered cattle breed to the herdbook, while excluding animals that could have a similar phenotype.

However, there is no consensus in studies about breed assignment concerning the methodology of selection of SNP markers or the assignment method to use, which are the main stages to follow to develop a model of this kind, stages that are also not always clearly distinguished. Concerning the methods to choose the best markers, the use of  $F_{ST}$  (Weir & Cockerham, 1984; Wright, 1951) is particularly common in studies (e.g. Dalvit et al., 2008;

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3 62 Ding et al., 2011; Frkonja, Gredler, Schnyder, Curik, & Sölkner, 2012; He et al., 2018; B.  
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5 63 Hulsegge et al., 2013; Judge, Kelleher, Kearney, Sleator, & Berry, 2017; Wilkinson et al.,  
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7 64 2011). Most likely this is due to the fact that this statistic can be easily adapted (e.g. global vs.  
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9 65 pairwise) to make them suitable for the selection of markers for breed assignment. Allelic  
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11 66 frequencies are another common methodology for selection of markers for breed assignment  
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13 67 (e.g. He et al., 2018; Kuehn et al., 2011; Wilkinson et al., 2011). Several studies also used  
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15 68 principal component analysis (PCA), based on different types of data input, to select the best  
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17 69 SNP markers for discriminating breeds (e.g. Wilkinson et al., 2011). Recently, random forests  
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19 70 (RF) were combined with PCA or  $F_{ST}$  for this purpose (Bertolini et al., 2015, 2018; I.  
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21 71 Hulsegge et al., 2019). However, the use of PCA for selecting breed-informative SNPs could  
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23 72 potentially be optimized because even if the PCA allows to reduce the number of dimensions  
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25 73 by linear combination of variables in components that are independent to each other, these  
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27 74 components do not necessarily explain the answer i.e., the breed (Jolliffe, 2002).

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33 75 Moreover, breed assignment methods reported in literature are also diverse. They are  
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35 76 often used in a second stage, after selecting SNPs, and can be based on the same statistical  
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37 77 methods used for selecting SNPs. Besides the use of RF that has already been used for  
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39 78 selecting SNPs and as a breed assignment method (Bertolini et al., 2015; I. Hulsegge et al.,  
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41 79 2019; Schiavo et al., 2019), other assignment methods were reported in the literature. Among  
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43 80 the used methods, one can cite very different approaches as five-nearest-neighbors  
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45 81 classification (Lewis et al., 2011), artificial neural network approach (Iquebal et al., 2014),  
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47 82 regression including the partial least squares method (PLS) (e.g. Funkhouser, Bates, Ernst,  
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49 83 Newcom, & Steibel, 2017) or clustering with Bayesian models (e.g. Frkonja et al., 2012;  
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51 84 Gobena, Elzo, & Mateescu, 2018; He et al., 2018; B. Hulsegge et al., 2013; Judge et al.,  
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53 85 2017). However, until now, there has been little investigation on the impact of the  
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3 86 combination of 1) different selection methods of SNPs, leading to different SNP panels, and  
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5 87 2) different assignment methods.  
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8 88 For all these reasons, there is still a need to organize and compare methods to select  
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10 89 different SNP panels interacting with different assignment methods. In this study, five  
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12 90 methods for the selection of breed-informative SNPs were tested: pairwise  $F_{ST}$  combined with  
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14 91 RF; three PCAs, based on different input data, combined with RF (these methods are  
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16 92 commonly used as aforementioned); and the partial least squares-discriminant analysis (PLS-  
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18 93 DA). The resulting SNP panels were then used as inputs for four classification methods: the  
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20 94 PLS-DA, nearest shrunken centroids (NSC), RF and linear support vector machine (SVM).  
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22 95 The main objective of this study was therefore to develop a genomic tool for breed  
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24 96 assignment by comparison of these different approaches. The specific activities to fulfill this  
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26 97 objective were: 1) based on their performances, to compare different methods for selection of  
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28 98 breed-informative SNPs and for classification of cattle breeds and the interactions between  
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30 99 both; and 2) to validate the best model in the context of three local breeds of interest.  
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## 36 100 **2. MATERIAL AND METHODS**

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38 101 Figure 1 summarizes Material and Methods in a flowchart. The combination of the SNP  
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40 102 selection stage (1.1 to 6.0) and assignment stage (A. to D.) are coded to ease the following of  
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42 103 the study. Quality control (QC), selection of breed-informative SNPs, classification methods  
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44 104 and validation were performed with PLINK v.1.9 (Chang et al., 2015; Purcell & Chang, 2019;  
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46 105 Purcell et al., 2007), R v. 3.6.3 (R Core Team, 2013) and visualized through Rstudio (Rstudio  
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48 106 Team, 2020). All the methodology developed below was also applied on a dataset with no  
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50 107 deviation of Hardy-Weinberg equilibrium per breed (P-value  $> 10^{-6}$ ).  
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## 108 2.1. Dataset

109 This study focused on three endangered local breeds of interest: Dual-Purpose Belgian  
110 Blue (DPBB), East Belgian Red and White (EBRW) and Red-Pied of Ösling (RPO), this  
111 latter being from Grand Duchy of Luxembourg. These three breeds were lacking breeding  
112 structures for a few decades even if this is less marked in DPBB that has a relatively complete  
113 pedigree following efforts in the last years to stabilize the breed. Following the European  
114 Common Agricultural Policy, DPBB, EBRW and RPO can benefit from subsidies through  
115 agri-environment measures that provide direct payments to breeders. Currently, entries to the  
116 herdbooks of EBRW and RPO are based on phenotypes of all individuals but also, except for  
117 EBRW females, on the visual appraisal of the position of individuals' genotypes to seven  
118 principal components (PCs). As this visual appraisal is made by a specific person, it can be  
119 subjective and induce some bias in the decision to include the animal to the respective  
120 herdbook.

121 Moreover, the EBRW and RPO are geographically (i.e., the regions border each-other)  
122 and genomically close as can be seen in Figure 2. These two breeds overlap and are included  
123 in the continuum of Red-Pied breeds composed of several breeds as Dutch Improved Red  
124 Pied (DIRP), Belgian Campine (CAM), EBRW, RPO, Rotbunte DN (RDN) and Meuse-  
125 Rhine-Yssel (MRY). As usual in Red-Pied breeds a continuous, more or less strong gene flow  
126 originating from (Red-)Holstein (HOL) is expected. It is also known that Simmental-type  
127 cattle were used in mating of EBRW and RPO. Similarly, DIRP bulls were used in the EBRW  
128 population.

129 From the same Figure 2, the overlap between the DPBB and the Beef Belgian Blue (BBB),  
130 two breeds that diverged during the seventies in Belgium and that originated from the  
131 Shorthorn (SHO) breed can also be seen. Another DPBB-genomically close breed currently  
132 potentially used in Belgium is the Rouge des Prés (RDP) breed.

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3 133 The overlaps (**Fig. 2**), hypotheses of previous and recent use, and the continuum of breeds  
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5 134 explain why 9 other breeds were also added to the reference population for the development  
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7 135 of the assignment model; it is of main importance to distinguish DPBB, EBRW and RPO  
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9 136 individuals from these breeds. Therefore, genotypes of 562 individuals belonging to one of  
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11 137 the following 12 breeds: **DPBB, EBRW, RPO**, BBB, CAM, DIRP, HOL, MRY, RDP, RDN,  
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13 138 SHO and SIM, were used. Table 1 shows the number of reference individuals used per breed  
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15 139 as well as abbreviations used for each breed in this article. All of these 562 individuals were  
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17 140 used as reference animals for tests already implemented in Wallonia, which were based on  
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19 141 visual appraisal of the genotype of each individual based on different PCs, similarly to those  
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21 142 reported in Figure 2. This should allow a certain global continuity of breed assignment in our  
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23 143 system.  
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## 29 144 **2.2. Quality control**

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32 145 Genotypes of the reference population were coded 0 for homozygosity of A allele, 1 for  
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34 146 heterozygosity and 2 for homozygosity of B allele. Seven different SNP chips were used in  
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36 147 this study: BovineSNP50 Beadchip v1 to 3, BovineHD Beadchip v12, EuroG 10k (imputed to  
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38 148 BovineSNP50 Beadchip) and EuroG MD (SI) v9. The EuroG MD (SI) v9 chip was not used  
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40 149 for genotyping reference individuals but were added when defining the overlap of the  
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42 150 different chips because this chip is currently used for genotyping most new DPBB, EBRW  
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44 151 and RPO individuals. This strategy was used because it allowed projecting the use of the  
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46 152 developed tool into the next years as most likely future chip designs should include a large  
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48 153 majority of these common SNPs. The number of genotyped individuals per chip and version  
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50 154 of chip can be found in Appendix 1. A total of 17,667 SNPs, common between all the seven  
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52 155 chips, passed the following filters on: no non-mapped SNPs, no SNPs located on sexual  
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54 156 chromosomes, no triallelic SNPs, minimum GenCall Score of 0.15, minimum GenTrain Score  
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56 157 of 0.55, individual Call-Rate higher than 0.98, minimum genotype Call-Rate per chip of 0.95  
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3 158 and minor allele frequency (MAF) higher than 0.01. Minimum genotype Call-Rate per chip  
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5 159 was applied to avoid SNPs that were less well genotyped by certain chips and, thus, less  
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7 160 accurate for discriminating breeds. An MAF filter of 0.01 was applied on the whole dataset as  
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10 161 several studies (Bertolini et al., 2015; I. Hulsegge et al., 2019) suggested that SNPs with high  
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12 162 MAF were beneficial for discriminating breeds. However, private alleles can sometimes help  
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14 163 differentiating breeds as explained by several authors (Bertolini et al., 2015; Dalvit, De  
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17 164 Marchi, et al., 2008; Ding et al., 2011); that is why the MAF filter was not applied per breed.

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19 165 Moreover, several methods used in this study for selection of SNPs or classification do not  
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21 166 allow any missing values. Therefore, we replaced missing values per SNP by their mean and  
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23 167 finally, iteratively, we performed a PCA for the available 17,667 SNPs and imputed missing  
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25 168 values until convergence, as implemented in the imputePCA function from the missMDA  
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27 169 v1.14 R package (Josse & Husson, 2012). Imputed values were kept as real numbers (i.e., not  
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29 170 only integers 0, 1 or 2) which provided a continuous estimate of allele (also called gene)  
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31 171 content instead of deciding on one genotype. The number of PCs to perform the imputation  
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33 172 was evaluated by cross-validation, using the estim\_ncpPCA function from the missMDA  
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35 173 v1.14 R package (Josse & Husson, 2012). Twenty-two PCs were chosen to parameterize the  
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37 174 imputePCA function as it minimizes the mean squared error of prediction (MSEP=0.3482).  
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40 175 The objective was not to have a completely accurate value but a more plausible value than the  
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42 176 mean. Indeed, it is difficult to have an accurate imputation for limited-sized/local breeds, in  
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44 177 part because of their intrinsic feature of being fewer than main breeds. Moreover, local breeds  
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46 178 (especially the red-pied breeds like EBRW and RPO) were lacking structured breeding  
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48 179 schemes for a few decades and were admixed with similar other local (or even mainstream)  
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50 180 breeds which makes them less genetically differentiated from each other. This could also  
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52 181 explain the value of MSEP obtained. In addition, it was expected that the selection of breed-  
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3 182 informative SNPs would eliminate SNPs that were less accurate as they would not allow to  
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5 183 make a clear discrimination of breeds.  
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### 8 184 **2.3. Methods for selection of breed-informative SNPs**

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11 185 Different methods were tested for the selection of best SNPs (called breed-informative  
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13 186 SNPs). Following the idea of Bertolini et al. (2015, 2018) and I. Hulsegge et al. (2019), three  
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15 187 different PCAs (i.e., PCA performed on genotypes (classical-PCA), on the mean values of  
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17 188 genotypes by breed (mean-PCA) and on genotypes of each autosome separately (chrom-  
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19 189 PCA)) were combined with a RF for the selection of breed-informative SNPs. The mean-PCA  
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21 190 was equivalent to the use of allelic frequencies found in several studies (He et al., 2018;  
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23 191 Kuehn et al., 2011; Wilkinson et al., 2011) because means of genotypes by breed were equal  
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25 192 to twice the allelic frequencies as genotypes were coded as 0, 1, or 2. As SNPs used in this  
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27 193 study were for practical reasons based on the overlap of seven chips, it was expected that, by  
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29 194 chance, several SNPs could be in linkage disequilibrium (LD) whereas other regions of the  
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31 195 genome would unfortunately not be represented. Therefore, the chrom-PCA would break LD  
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33 196 by the selection of best SNPs at each of the autosomes. Similarly as Bertolini et al. (2018), we  
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35 197 also combined the selection of breed-informative SNPs by pairwise Weir & Cockerham's  $F_{ST}$   
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37 198 values (Weir & Cockerham, 1984) with RF.  
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43 199 A last method of selection was based on PLS-DA, the adapted form of PLS to  
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45 200 classification problems. The PLS is based on a PCA while maximizing the covariance with  
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47 201 the response (Despaigne, Massart, & Chabot, 2000). It thus ensures that components will be  
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49 202 correlated with the answer, which is especially desired for selecting breed-informative SNPs.  
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51 203 Moreover, the PLS is particularly fitted for situations where the number of variables highly  
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53 204 exceeds the number of samples and when there is a high level of collinearity between  
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55 205 variables (Kuhn & Johnson, 2013), which could happen because of LD. The PLS-DA-based  
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3 206 selection method has the advantage to be performed in one step whereas the other described  
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5 207 methods are decomposed into two steps.

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7 208 Even if several studies have already used PLS-based models for breed  
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9 209 assignment/composition (e.g. Frkonia, Gredler, Schnyder, Curik, & Sölkner, 2012), it is  
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11 210 however the first time, to our knowledge, that the PLS-DA is used as a tool for selecting  
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13 211 breed-informative SNPs in the context of breed assignment. In another context, Soyeurt et al.  
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15 212 (2020) used PLS for selection of best wavelengths in mid-infrared spectrum-based prediction  
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17 213 of milk lactoferrin content, which inspired the methodology of the current study.

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19 214 Finally, some classification methods were also tested on the entire SNP panel at the  
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21 215 overlap of the seven chips i.e., on 17,667 SNPs. A total of six different SNP methods for  
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23 216 selecting breed-informative SNPs were therefore explored in this study. Figure 1 illustrates  
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25 217 these six different methods of selection of breed-informative SNPs in a flowchart.

#### 26 27 28 29 30 31 218 **2.4. Computation of thresholds for selection of breed-informative SNPs**

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33 219 The different thresholds, their code and the ranking measure used for each panel of breed-  
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35 220 informative SNPs can be found in Table 2.

##### 36 37 38 39 40 221 2.4.1. PCA

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42 222 The PCA scores were computed as followed: for each SNP, loadings corresponding  
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44 223 respectively to the first seven, five and a range of the first three to nine PCs were squared and  
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46 224 summed, as proposed initially by Paschou et al. (2007) and used e.g. by Bertolini et al. (2015,  
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48 225 2018) and Wilkinson et al., (2011). The number of PCs to take into account was evaluated  
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50 226 considering the PC after which there is a stabilization of eigenvalue and percentage of total  
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52 227 variance explained. As highlighted by Bertolini et al. (2015), it is important to recover the  
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54 228 variance due to breed differentiation to fulfill our objective and not to know which percentage  
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56 229 of the total variance is explained by the PCs considered.

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3 230 Thresholds were then defined as the mean of these scores plus one, two or three times the  
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5 231 standard deviation (SD) of scores. The SNPs corresponding to scores' values that were higher  
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7 232 than these thresholds were kept for the second step of selection of breed-informative markers  
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10 233 i.e., for RF. The three PCA variants were performed using the FactomineR v.2.3 R package  
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12 234 (Lê, Josse, & Husson, 2008) on the matrix of covariances (option "scale.unit=FALSE" of the  
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14 235 PCA function) (Bertolini et al., 2015; Paschou et al., 2007; Wilkinson et al., 2011). The use of  
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16 236 the matrix of covariances, and therefore the choice to not scale SNP values, would allow to  
17  
18 237 determine directions of maximal variability in the PCA, as explained by Price et al. (2006).

#### 22 238 2.4.2. $F_{ST}$

25 239 The  $F_{ST}$  values were computed using the formula by Weir & Cockerham (1984) as  
26  
27 240 implemented in Plink v.1.9 (Purcell & Chang, 2019):

$$21 241 F_{ST} = \frac{s^2}{\bar{p}(1 - \bar{p})}$$

33 242 where  $s^2$  and  $\bar{p}$  are the variance and the mean of allelic frequencies, respectively.

35 243 Thresholds were defined, for each pair of breeds, as the mean of their pairwise  $F_{ST}$  values  
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37 244 plus one, two or three times their SD. Therefore, for each pair of breeds, SNPs corresponding  
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39 245 to  $F_{ST}$  values that were higher than these thresholds were kept for the second step of selection  
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41 246 of breed-informative markers i.e., for RF.

#### 46 247 2.4.3. RF

48 248 It should be highlighted that the selection of breed-informative SNPs through RF was  
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50 249 combined with each of the aforementioned selection methods i.e., selection of SNPs based on  
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52 250 the three PCAs and  $F_{ST}$  values.

55 251 For the selection of breed-informative SNPs based on RF, values of each SNP were  
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57 252 standardized (i.e., each SNP column mean centered and divided by the SD). This  
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59 253 standardization was applied to avoid the effect of discriminating SNPs with low MAF to be

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3 254 hidden by the effect of SNPs with higher MAF. The predictive performance of RF is based on  
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5 255 the prediction of the out-of-bag (OOB) sample which is not used for the elaboration of the  
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8 256 tree (Hastie, Tibshirani, & Friedman, 2009). Internal validation of RF is therefore based on  
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10 257 the average error of OOB samples of all trees, called the OOB error rate. For each panel of  
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12 258 SNPs (based on one of the three PCAs or on  $F_{ST}$  values), RF was optimized for the number of  
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14 259 trees (maximum of 5,000 trees tested) and the minimum node size (values of 1 to 50 tested) as  
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17 260 implemented by the randomForest function of the randomForest v.4.6-14 R package  
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19 261 (Breiman, 2001). The number of tested predictors at each tree node (*mtry*) was optimized by,  
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21 262 first using the default value, and then, inflating or deflating this value by steps of one to verify  
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24 263 if the OOB error estimate was improved or not. This was implemented by the tuneRF function  
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26 264 of the same R package.

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28 265 Thresholds were defined the same way as for PCA and  $F_{ST}$  values but were based on the  
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30 266 mean decrease of the Gini Index (MDGI), a measure of the importance of variables (Hastie et  
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33 267 al., 2009; Kuhn & Johnson, 2013):

$$268 \quad Gini\ index = 1 - \sum_{i=1}^c (P_i)^2$$

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39 269 with C is the number of classes and P the observed class probabilities induced by the split.  
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42 270 The Gini Index can therefore be seen as an indication of the purity of the nodes. It is  
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44 271 minimized when the probability to belong to one class is maximized. If a SNP allows an  
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47 272 important decrease of the Gini Index, it means that it increases the purity of each node.  
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49 273 Moreover, the MDGI was demonstrated to be efficient for the selection of breed-informative  
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51 274 SNPs (Bertolini et al., 2015, 2018; Boulesteix, Bender, Bermejo, & Strobl, 2012; I. Hulsegge  
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53 275 et al., 2019).

#### 276 2.4.4. PLS-DA

277 The last method of selection of breed-informative SNPs is the PLS-DA, as implemented  
278 by the caret v.6.0-85 R package (Kuhn, 2008). As for RF, SNPs were centered and scaled.  
279 Again, this standardization was applied to avoid the effect of discriminating SNPs with low  
280 MAF to be hidden by the effect of SNPs with higher MAF. A number of 50 components were  
281 tested to optimize the accuracy using a 10-folds cross-validation. It means that the sample was  
282 divided in 10 parts, nine being used for the elaboration of the classification model and the last  
283 part for internal validation, and this is done 10 times, one for each tenth of the sample. In our  
284 case, 15 components provided the best accuracy. The maximum number of iterations allowed  
285 for convergence of the model was 20,000. Thresholds were then defined as for PCA,  $F_{ST}$  and  
286 RF, but were based on the importance of each variable for each of the twelve models (one per  
287 breed) i.e., based on the absolute value of coefficients computed for each SNP for each model.  
288 As selection of SNPs by the twelve models can partially overlap, we also determined the  
289 number of SNPs that passed the threshold for one to 12 models.

#### 290 2.5. Classification methods

291 Four methods were trained on the standardized genotypes of the reference set ( $n=562$ ) for  
292 assignment models: RF, PLS-DA, NSC and linear SVM. The RF was not tested on the non-  
293 selected panel of SNPs. For the other 15 panels of SNPs, the four aforementioned methods  
294 were tested. As for selection of breed-informative SNPs, RF was optimized for the number of  
295 trees, the *mtry* and the minimum node size as implemented in the randomForest v.4.6-14 R  
296 package (Breiman, 2001). The same parameter values as for selection of breed-informative  
297 SNPs were tested for their optimization. Other classification methods were implemented by  
298 the caret v.6.0-85 R package (Kuhn, 2008). For the PLS-DA, a maximum number of 30  
299 components were tested to optimize the accuracy and the maximum number of iterations  
300 allowed for convergence of models was 20,000.

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3 301 The NSC method is based on distance of the sample to overall and class centroids and is  
4  
5 302 therefore a linear classification method. Some advantages of NSC are its suitability for a large  
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7 303 number of variables and low number of samples, which is the case in this study (i.e., 17,667  
8  
9 304 SNPs and 562 individuals). The particularity of NSC compared to the classical nearest  
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11 305 centroid method is to shrink class centroids toward the overall centroid. Before the shrinkage,  
12  
13 306 the within-class SD of each variable is used for standardization as it gives more weight to  
14  
15 307 variables that are stable within class. Therefore, it should give more weight to private alleles  
16  
17 308 if they exist. Class variables that confounded with the overall centroid are not used by the  
18  
19 309 model because they do not allow differentiation. It highlights another benefit from NSC:  
20  
21 310 selection of variables, which are not necessarily the same for each class. Moreover, NSC  
22  
23 311 targets misclassification errors (Kuhn & Johnson, 2013). One parameter has to be optimized  
24  
25 312 for NSC: the level of shrinkage called  $\Delta$ . The higher it is, the higher the shrinkage to the  
26  
27 313 overall centroid is and so less variables are used by the model. In this study, a maximum 20  
28  
29 314 different levels of shrinkage were tested by the caret v.6.0-85 R package (Kuhn, 2008). More  
30  
31 315 information about the NSC method and computation can be reached through Tibshirani,  
32  
33 316 Hastie, Narasimhan, & Chu (2002).

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39 317 The linear SVM is a method that builds linear hyperplanes as boundaries between classes.  
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41 318 In this method, boundaries are defined to maximize their margins i.e., their distance with the  
42  
43 319 closest training set points called support vectors. The particularity of the SVM method is that  
44  
45 320 the prediction equation is only a function of these support vectors i.e., on samples that are  
46  
47 321 predicted with the least accuracy and that are the most extreme. For the linear SVM, the cost  
48  
49 322 ( $C$ ) is the only parameter to tune; the higher it is, the more complex is the model and closer to  
50  
51 323 overfitting. In this study, several values of  $C$  have been tested: 0.01, 0.05, 0.1, 0.25, 0.5, 0.75,  
52  
53 324 1, 1.25, 1.5, 1.75, 2 and 5, and implemented by the caret v.6.0-85 R package (Kuhn, 2008).  
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## 2.6. Performance of classification models in cross-validation

As explained previously, the internal validation of RF is based on the OOB error rate. For all other models, the 10-folds CV was used. However, Kuhn & Johnson (2013) reported that cross-validation and OOB error rate give a similar insight of the predictive performance. Ranking of the classification models was thus based on 1) the values of global cross-validation accuracy which is the proportion of right assignments or 2) for RF, on 100 minus the OOB error rate. To avoid possible overfitting, the tuning parameters of the simplest model within one standard deviation (SD) of the best model (based on global cross-validation accuracy) were chosen (“oneSE” function of caret v.6.0-85 R package; Kuhn, 2008). This was applied for PLS-DA, NSC and linear SVM as it is accepted that RF avoids overfitting (Kuhn & Johnson, 2013). Models with a cross-validation accuracy higher than 90% were further validated on the validation set.

## 2.7. Validation set

A balanced independent validation set made of 200 animals of which 40 BBB, 40 DPBB, 40 EBRW, 40 HOL and 40 RPO was used. The validation set comprised animals that were included in the breed herdbook and for which genotypes were available. For EBRW males and RPO animals, this inclusion is based on visual appraisal of phenotypes, and of genotypes on seven PCs. For BBB, DPBB and HOL, the animals corresponding to the breed standards are included in the herdbook based on their pedigree. The concordance of phenotypes with breed standards is checked on farm for BBB, DPBB, EBRW and RPO.

Assigning EBRW, DPBB and RPO individuals to the right breed being the main objective, other breeds in cross-validation were used to control if animals from these breeds could be identified as DPBB, EBRW and RPO. Moreover, HOL and BBB are common breeds in Belgium and it should be expected from the models to correctly assign animals from these



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3 349 breeds. These two breeds were therefore used as a “control” of the validation test. We would  
4  
5 350 also ensure that BBB were not classified as DPBB, as both breeds genetically overlap (**Fig.**  
6  
7 351 **2**). Imputation of missing values was performed iteratively on each of the validation animal  
8  
9 352 by adding it to the imputed reference population and following the same algorithm described  
10  
11 353 above. Then, the mean and variance per SNP of the reference population were used to  
12  
13 354 standardize SNP values of validation animals.  
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16  
17 355 To determine the best model in validation, different performance measures were looked  
18  
19 356 at: the global validation accuracy, the average validation sensitivity of DPBB, EBRW and  
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21 357 RPO, the average validation specificity of BBB and HOL and probabilities of an animal to  
22  
23 358 belong to its predicted breed. The sensitivity of a model is defined as the proportion of  
24  
25 359 animals of a specific breed correctly assigned to this breed by the assignment model. If the  
26  
27 360 average validation sensitivity of DPBB, EBRW and RPO is high, it means that animals  
28  
29 361 effectively belonging to one of these three breeds are correctly assigned to their breed. On the  
30  
31 362 opposite, the specificity of a model is the proportion of animals not belonging to a specific  
32  
33 363 breed that are not assigned to this specific breed. If the average validation specificity of BBB  
34  
35 364 and HOL is high, it means that DPBB, EBRW and RPO are not assigned to these breeds. This  
36  
37 365 is of interest as DPBB are genetically close to BBB (same history for both breeds until the  
38  
39 366 seventies) and as EBRW and RPO were sometimes crossed with red-pied Holsteins.  
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41 367 Therefore, if these breeds are not confused by the model, it will ensure a better definition of  
42  
43 368 the three local breeds of interest.  
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### 46 47 48 49 369 **3. RESULTS**

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52 370 In this study, the importance of using a HW filter was tested. However, the performances  
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54 371 only slightly differed between both datasets. Therefore, more information on the results  
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56 372 obtained for the dataset with no HW equilibrium deviation can be found in Appendixes 2B  
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58 373 and 3B.  
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### 374 **3.1. Selection of breed-informative SNPs**

375 Five different methods of selection of breed-informative SNPs, each associated to three  
376 thresholds for selecting SNPs, and a panel with all the SNPs that passed QC were used,  
377 leading to 16 different SNP panels in total (**Figure 1; Table 2**). In Table 3, the number of  
378 SNPs for each panel is shown. When the less stringent threshold is applied (mean + SD), the  
379 number of selected SNPs ranged from 205, for classical-PCA combined with RF (3.1.), to  
380 15,102 for PLS-DA (1.1.). When the most stringent threshold is applied (mean + three SD),  
381 the number of selected SNPs ranged from three, for classical-PCA combined with RF (3.3.),  
382 to 2,005 for PLS-DA (1.3). Three panels included only three, five and six SNPs  
383 (3.3./5.3./4.3.). These numbers of SNPs were smaller than the number of breeds to  
384 discriminate. Therefore, it was expected that these panels could not be able to perform  
385 correctly and they were discarded from further use in this study.

386 Table 4 shows the total number of SNPs selected by each threshold of the PLS-DA  
387 (1.1./1.2./1.3.) and, inside each threshold, by how many of the 12 models these SNPs were  
388 selected. It can be observed that the number of SNPs selected by several models decreased  
389 with the stringency of the thresholds. Moreover, with the lowest level of stringency of the  
390 threshold (1.1.), the maximum number of models that selected the same SNP was nine. With  
391 the intermediary and higher levels of stringency (1.2./1.3.), the maximum number of models  
392 that selected the same SNPs dropped to five and three models, respectively. It was expected  
393 that PLS-DA would give a higher number of selected SNPs than other methods of selection of  
394 SNPs because this is a one-step method that selects best SNPs for each of the 12 models (each  
395 model predicting one specific breed).

396 On the opposite, other methods were two-step, which allows to limit the number of  
397 selected SNPs a second time. The  $F_{ST}$  combined with RF gave higher numbers of selected  
398 SNPs, compared to PCA-based methods, as  $F_{ST}$  is based on selection of the best SNPs for

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3 399 discriminating each pair of breeds, leading to 66 combinations of breeds. The PCA-based  
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5 400 methods used only a small number of PCs (from three to nine PCs out of 17,667 PCs in total)  
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8 401 to compute the loadings, which explains the lower number of selected SNPs with PCA-based  
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10 402 methods.

### 13 403 **3.2. Cross-validation**

16 404 Each of the 16 different SNP panels developed before was tested on several classification  
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18 405 methods which are PLS-DA (A), NSC (B), RF (C)(this latter was not tested on the panel  
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20 406 without selection of SNPs) and linear SVM (D). This led to a total of 63 different models. For  
21  
22 407 simplification, only models with a cross-validation accuracy greater than 90% are shown in  
23  
24 408 Table 5. All the 63 different models and their performances can be found in Appendixes 2A  
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26 409 and 3A. Among them, results obtained with panels of less than 12 SNPs (i.e., less than the  
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28 410 number of breeds to discriminate) are only available for an informative purpose as it was  
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30 411 obvious that they could not perform correctly.

34 412 From Table 5, it can be observed that 14 models had a cross-validation accuracy greater  
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36 413 than 90%. The maximum global cross-validation accuracy of 98.22% was obtained with the  
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38 414 panel of 2,005 SNPs and the PLS-DA classification method (1.3.A). The minimum global  
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40 415 cross-validation accuracy of 90.39% was obtained with the panel of 228 SNPs and the NSC  
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42 416 classification method (5.1.B). Moreover, only the NSC (B) and PLS-DA (A) classification  
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44 417 methods allowed global cross-validation accuracy greater than 90%. These classifications  
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46 418 methods seemed therefore more appropriate than RF (C) and linear SVM (D) for  
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48 419 discriminating the twelve breeds under study.

52 420 It should also be highlighted that the most performant methods of selection of breed-  
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54 421 informative SNPs seemed to be PLS-DA (1.1./1.2./1.3.) and  $F_{ST}$  combined with RF (2.1./2.2.).  
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56 422 No selection of SNPs (6.0.) also allowed good assignment even if it is probably related to the  
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58 423 high number of breeds to discriminate. If there are more breeds to discriminate, it means that

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3 424 more SNPs would be selected to discriminate each breed from other breeds. Thus, it can be  
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5 425 thought that the total panel of 17,667 SNPs is carrier of less noise for discriminating twelve  
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7 426 breeds than it would be for discriminating a lower number of breeds.

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9  
10 427 The PCA-based methods for selecting SNPs seemed less relevant in the context of this  
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12 428 study. However, the smallest SNP panel that allowed global cross-validation accuracy greater  
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14 429 than 90% was composed of 221 SNPs and was based on mean-PCA combined with RF (4.1.).  
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17 430 Therefore, it can be suggested not to use too stringent thresholds when selection of breed-  
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19 431 informative SNPs is based on a PCA combined with the RF method.

### 22 432 **3.3. Validation tests**

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25 433 Validation tests were performed on a set based on the three breeds of interest (DPBB,  
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27 434 EBRW and RPO) as well as on “control breeds” (BBB and HOL). Table 6 shows the results  
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29 435 of global validation accuracy, average validation sensitivity of DPBB, EBRW and RPO and  
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31 436 average validation specificity of BBB and HOL. It should be noticed that changes in ranking  
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33 437 and in global accuracies of models from cross-validation to validation could not be strictly  
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35 438 compared because the reference set used for establishing models and the validation set  
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37 439 differed. The objective of this study was to assign properly three breeds of interest (DPBB,  
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39 440 EBRW and RPO) and to distinguish them from nine other “close” or sister breeds. To fulfill  
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41 441 this objective, it was necessary to use the other nine breeds in the reference for developing  
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43 442 models. However, it seemed relevant to focus on DPBB, EBRW and RPO in validation.

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45  
46 443 The 7,153 panel of SNPs followed by the NSC (1.2.B) classification method was the  
47  
48 444 model that provided the best global validation accuracy (99%), average validation sensitivity  
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50 445 of DPBB, EBRW and RPO (98.33%) and average validation specificity of BBB and HOL  
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52 446 (100%). This model was in fourth position in cross-validation. However, this model is less  
53  
54 447 parsimonious than the best model found in cross-validation (1.3.A.). It should also be noticed  
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56 448 that the second best model obtained in validation (1.3.B.) performed very similarly than the  
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3 449 best model with much less SNPs i.e., with 2,005 SNPs (98.5% vs. 99%). The model based on  
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5 450 the less stringent threshold of  $F_{ST}$  followed by RF for selection of SNPs and then by NSC for  
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7 451 classification (2.1.B.) performed remarkably well (global validation accuracy of 97.5%) with  
8  
9 452 only 1,014 SNPs. The panels with 2,005 (1.3.) and 1,014 SNPs (2.1.) could therefore be a  
10  
11 453 compromise between using less SNPs and having a correct assignment.  
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14 454 A confusion matrix of the best validation model (1.2.B.) is shown in Table 7. In this  
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16 455 confusion matrix, it can be seen that the mainstream breeds (“control”) as well as DPBB and  
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18 456 EBRW seemed to be perfectly predicted. There were two RPO animals that were predicted as  
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20 457 EBRW. This was expected since exchanges of animals between both breeds have been  
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22 458 existing for many years and as they are geographically close, considered as sister breeds. It is  
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24 459 also known from tests already implemented in Wallonia (Southern region of Belgium) that  
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26 460 they could genomically overlap (**Fig. 2**). These elements should be considered when  
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28 461 interpreting results.  
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#### 33 462 **4. DISCUSSION**

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36 463 A lot of studies have already targeted the topic of breed assignment/composition in animal  
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38 464 productions, based on SNPs or other markers. Generally, they focused only on comparison of  
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40 465 methods of selection of breed-informative markers and then applied the resulting selected  
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42 466 markers on one or two assignment methods (among other examples Bertolini et al., 2015,  
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44 467 2018; Dalvit, De Marchi, et al., 2008; Ding et al., 2011; He et al., 2018; B. Hulsegge et al.,  
45  
46 468 2013; I. Hulsegge et al., 2019; Judge et al., 2017; Wilkinson et al., 2011). Some other studies  
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48 469 focused more on comparison of classification methods themselves (e.g. Iquebal et al., 2014;  
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50 470 Nikolic, Park, Sancristobal, Lek, & Chevalet, 2009). However, studies focusing on  
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52 471 comparisons of the combination of different SNP panels and classification methods seemed  
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54 472 not common.  
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3 473 Even if their objective was slightly different i.e., determining breed composition, Frkonja  
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5 474 et al. (2012) compared 23 panels of SNPs (all one-step, whereas some were two-step in this  
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7 475 study) combined with four clustering/regression methods and they computed the correlations  
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9 476 between these models and ADMIXTURE (Alexander, Novembre, & Lange, 2009) breed  
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11 477 composition. In our study moreover, SNPs were all selected based on a measure of  
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13 478 informativeness (**Table 2**) whereas Frkonja et al. (2012), aside the panels based on  $F_{ST}$ ,  
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15 479 selected equally spaced panels, panels of one/a few chromosome(s) or full panels. The models  
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17 480 chosen by Frkonja et al. (2012) were all based on clustering or regression methods because  
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19 481 their objective was slightly different. In our study, classification methods (RF, linear SVM,  
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21 482 NSC and PLS-DA) were then preferred.  
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26 483 Moreover, our study has the specificity to combine data from 1) 12 breeds (of which at  
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28 484 least eight are local) with several of them being relatively, or even tightly, historically and  
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30 485 genetically connected (“sister breeds”) and 2) seven chips. In most studies (e.g. Bertolini et  
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32 486 al., 2015; Dalvit et al., 2008; Funkhouser et al., 2017; I. Hulsegge et al., 2019; Judge et al.,  
33  
34 487 2017; Padilla et al., 2009), the number of breeds to discriminate ranged from two to six and  
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36 488 they generally distinctly cluster from one to each other even if they can also be admixed (e.g.  
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38 489 Frkonja et al., 2012; Gobena et al., 2018). Similarly to our study, He et al. (2018)  
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40 490 discriminated against ten cattle breeds using the overlap of five SNP chips. However, they  
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42 491 used completely different methods to assign animals i.e., selection of breed-informative  
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44 492 markers was based on mean Euclidean distances of allelic frequencies and assignment of  
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46 493 animals on the ADMIXTURE and linear regression models. Results from their study and ours  
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48 494 could therefore hardly be compared. Iquebal et al. (2014) used different artificial neural  
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50 495 networks to discriminate between 22 goat breeds. However, their study was based on  
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52 496 microsatellites. Kuehn et al. (2011) also discriminate against a high number of cattle breeds  
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54 497 (16 low related/unrelated breeds) but they only used one chip for this purpose. Wilkinson et  
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3 498 al. (2011) also used only one chip when assigning animals to one of the 17 breeds under  
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5 499 study. To achieve 98% of correct assignment, they estimated that around 242 SNPs were  
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7 500 necessary with a pairwise Weir & Cockerham's  $F_{ST}$  based panel and a log-likelihood ratio of  
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9 501 three. For a similar level of correct assignment, our study demonstrated that 2,005 SNPs  
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11 502 (1.3.B.) were necessary. However, the breeds to discriminate in the study of Wilkinson et al.  
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13 503 (2011) were generally weakly genetically related. They also had the choice for selecting SNPs  
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15 504 from the entirety of the BovineSNP50 Beadchip while we considered the overlap of 7 chips.  
16  
17 505 The effect of the number of chips, the number of breeds, their level of differentiation, the  
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19 506 number of individuals from each breed in the reference population, the genomic  
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21 507 representativeness of these reference individuals to their breed and the combination of these  
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23 508 elements should therefore be investigated.

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25  
26 509 Because it is known, among other advantages, to eliminate SNPs that were less well  
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28 510 genotyped (Pongpanich, Sullivan, & Tzeng, 2010), a dataset with SNPs that do not deviate  
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30 511 from HW equilibrium per breed ( $P$ -value  $> 10^{-6}$ ) was also evaluated in this study. Compared  
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32 512 to the dataset with no HW equilibrium filter, this dataset did not demonstrate any major  
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34 513 differences in performances. To our knowledge, nobody has studied the impact of HW filter  
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36 514 on performances of breed assignment models before. However, there were some studies that  
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38 515 highlighted the impact of MAF and LD on the selection of breed-informative markers, mostly  
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40 516 in a retrospective manner (e.g. Dalvit et al., 2008; Ding et al., 2011; I. Hulsegge et al., 2019).  
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42 517 The influence of QC on the performance of breed assignment models should be targeted by  
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44 518 following studies as this could strengthen the power of discrimination of models developed.  
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46 519 In this study, it was also chosen to standardize data to be handled by the different models (i.e.,  
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48 520 mean centering and standard deviation division for each SNP value). It might be interesting to  
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50 521 study to which level and how standardization is beneficial to develop models to assign breeds.  
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3 522 Some studies (e.g. Frkonja et al., 2012; Kuehn et al., 2011) computed the correlation  
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5 523 between pedigree breed composition and estimated breed composition. As already explained,  
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7 524 it could not be excluded that other red-pied breeds have been used to ensure the viability of  
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9 525 EBRW and RPO. Moreover, local breeds on which this study focused have been pedigree  
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11 526 recorded for only a few years and genotype recorded for even less years. Therefore, it was not  
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13 527 possible to precisely correlate our results with the available pedigree breed composition. The  
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15 528 fact that these limited-sized breeds were only recently registered also explain why it was not  
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17 529 possible to select animals to be in the reference population based on their relationship as other  
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19 530 studies did (e.g. Funkhouser et al., 2017; Gobena et al., 2018). For the same reason, it was not  
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21 531 relevant to eliminate possible outliers (He et al., 2018) as it was desired to consider the  
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23 532 maximum diversity of these limited-sized breeds. When pedigree and genotypes are available  
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25 533 for a certain time, it can therefore be advised for following studies to compare different  
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27 534 methods for defining reference populations (e.g. methods for eliminating outliers or animals  
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29 535 from the same family) and the suitability of this reference population for developing a model  
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31 536 that can handle genetically diverse animals from the same breed while excluding animals  
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33 537 from other breeds.

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39 538 Most of the studies used Delta, i.e., absolute allele frequency differences (e.g., Dalvit, De  
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41 539 Marchi, et al., 2008; Ding et al., 2011; Frkonja et al., 2012; Gebrehiwot, Strucken, Marshall,  
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43 540 Aliloo, & Gibson, 2021; B. Hulsegge et al., 2013; Wilkinson et al., 2011),  $F_{ST}$  based methods  
44  
45 541 (e.g., Dalvit et al., 2008; Ding et al., 2011; Frkonja et al., 2012; B. Hulsegge et al., 2013;  
46  
47 542 Wilkinson et al., 2011) or PCA based methods (e.g., Bertolini et al., 2015, 2018; I. Hulsegge  
48  
49 543 et al., 2019; Wilkinson et al., 2011) as a measure of breed informativeness to select markers,  
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51 544 even if other methods of selection of markers can be used (e.g., Ding et al., 2011; He et al.,  
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53 545 2018).



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3 546 The power of  $F_{ST}$  values for selecting efficient markers for discriminating breeds is  
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5 547 obviously related to their intrinsic ability to provide an index of differentiation between  
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7 548 breeds. Moreover, the use of  $F_{ST}$  can also be declined in several forms: global vs. pairwise,  
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9 549 Wright vs. Weir & Cockerham, alone vs. combined with one method, etc. Several studies  
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11 550 pointed out that pairwise  $F_{ST}$  were more appropriate than global  $F_{ST}$  when discriminating  
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13 551 more than two breeds (or populations) (e.g., Ding et al., 2011; Kersbergen et al., 2009;  
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15 552 Wilkinson et al., 2011) which explains why it was decided to test only pairwise  $F_{ST}$  in this  
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17 553 study. Bertolini et al. (2018) combined selection of SNPs based on  $F_{ST}$  values with RF and  
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19 554 compared this method with PCA-based methods combined with RF. As it was already noticed  
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21 555 in our study, models based on PCA-based panels (3.1./3.2./3.3./4.1./4.2./4.3./5.1./5.2./5.3.)  
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23 556 performed relatively poorly compared to models based on other panels. This was expected  
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25 557 since PCA-based methods do not only select SNPs that explain breed differentiation but also  
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27 558 other sources of variation observed in the reference set. On the contrary, the PLS-DA method  
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29 559 for selection of SNPs considers the correlation of SNPs with the different breeds to  
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31 560 discriminate. To our knowledge, this study is the first one to use PLS-DA for selection of  
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33 561 SNPs in a breed assignment context. This was inspired by the article of Soyeurt et al. (2020)  
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35 562 who used PLS for selecting mid-infrared spectral points of interest for predicting milk  
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37 563 lactoferrin content.  
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44 564 The models based on PLS-DA used for selection of SNPs (1.1./1.2./1.3.) performed very  
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46 565 well on the reference and validation sets. One of the advantages of using the PLS-DA for  
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48 566 selecting breed-informative SNPs is that this method was one-step whereas other methods  
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50 567 used in this study were two-step. Consequently, they tended to use more SNPs than  $F_{ST}$  based  
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52 568 panels (2.1./2.2./2.3.), which performed well with only 1,014 SNPs (2.1.), instead of 7,153  
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54 569 and 2,005 SNPs (1.2./1.3.) for the two best models obtained in validation. Therefore, the  
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56 570 power of discrimination of panels based on the PLS-DA method with adapted thresholds  
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3 571 should be investigated. It can be asked why it may be necessary to use the PLS-DA to select  
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5 572 most informative SNPs and then apply it again on the resulting panel for assignment purposes  
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7 573 (1.1./1.2./1.3.A). This preselection stage strengthens the signal of most informative SNPs by  
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9 574 removing the “noise” and the collinearity. This explanation is also applicable when RF is used  
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11 575 for selection of breed-informative SNPs and then to assign animals  
12  
13 576 (2.1./2.2./2.3./3.1./3.2./3.3./4.1./4.2./4.3./5.1./5.2./5.3.C.). Indeed, when applied on the  
14  
15 577 reduced panel, the classification method estimates new weights (or importance) for each of  
16  
17 578 the SNP. Consequently, the importance of SNPs outside the selected panel is forced to 0. This  
18  
19 579 iterative way of working can be seen as pseudo-bayesian and is similar to the heuristic  
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21 580 approaches applied for selection of SNPs and estimation of their weights in genomic selection  
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23 581 (e.g., VanRaden, 2008).

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25 582 Besides their power of discrimination of breeds, another key point about SNPs selected by  
26  
27 583 the best model is that they should be expected to appear in new chips, as highlighted in He et  
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29 584 al. (2018). In our study, the overlap of seven chips was used to select the most informative  
30  
31 585 SNPs. It could therefore be expected that new chips will also contain the selected SNPs and  
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33 586 there is a higher chance of this if assignment models need less SNPs to perform properly. This  
34  
35 587 fact also explains why the second best model (1.3.B.) could be preferred to the best model  
36  
37 588 (1.2.B.) obtained in validation (2,005 vs. 7,153 SNPs). As already mentioned, the use of 2,005  
38  
39 589 SNPs would be a good compromise between limiting the number of SNPs used and  
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41 590 discriminating correctly.

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43 591 Another issue, that was not targeted in this study, is the correlation between the different  
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45 592 SNP panels by determining their overlap (Bertolini et al., 2015; Ding et al., 2011; B.  
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47 593 Hulsegge et al., 2013; Paschou et al., 2007). This could also be of interest when determining  
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49 594 the best model to use for breed assignment. For example, some panels could lead to similar  
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51 595 performances but not using the same SNPs at all to fulfill this objective. There could maybe  
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3 596 also have SNP panels with a different number of SNPs, one being almost entirely contained in  
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5 597 the other, with similar or highly different global accuracies. Some panels may be also more  
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7 598 appropriate to specific methods of assignment, meaning that some methods are more able to  
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9 599 handle specific measures of informativeness.

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12 600 The definition of thresholds for selecting SNPs that should allow the differentiation  
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14 601 amongst breeds is also a burning question. However, in several studies, the number of best  
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16 602 selected SNPs seemed arbitrary (e.g., Bertolini et al., 2018; He et al., 2018; I. Hulsegge et al.,  
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18 603 2019; Judge et al., 2017) while others focused on regularly spaced SNPs or full sets (Frkonja  
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20 604 et al., 2012; Funkhouser et al., 2017; Kuehn et al., 2011). A more accurate manner to  
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22 605 determine the number of best SNPs to be chosen is to have a look at the log-likelihood ratio  
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24 606 (B. Hulsegge et al., 2013) or to define a threshold either of the global accuracy needed (as in  
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26 607 Wilkinson et al., 2011) either of the measure of informativeness (as in our study or e.g., in  
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28 608 Frkonja et al., 2012). It seems less accurate to compare models that did not contain the same  
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30 609 level of informativeness (in case of same number of SNPs for different methods) than  
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32 610 different models with different number of SNPs that are supposed to share similar levels of  
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34 611 informativeness even if this informativeness could be expressed with different measures.

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36 612 The fact that some studies used a log-likelihood ratio for determining the number of  
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38 613 necessary SNPs highlighted the need for accounting for probabilities when assigning animals  
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40 614 to a breed. I. Hulsegge et al. (2019) defined thresholds of probabilities for defining if the  
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42 615 animal is pure or crossbred. Even though this topic is also of importance, it was not the  
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44 616 objective of this study. For two breeds under study (EBRW and RPO), the herdbook,  
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46 617 following the EU Animal Breeding regulation for endangered breeds, only allows animals  
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48 618 that fit with the genomic (and phenotypic) breed standards, which means that animals  
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50 619 included should be considered as “pure” or excluded.  
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3 620 Moreover, probabilities of animals to belong to a certain breed were higher in NSC (B)  
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5 621 than in PLS-DA models (A) (data not shown), which probably ties to the intrinsic way those  
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7 622 methods handle data. In general, NSC models (B) performed better than PLS-DA models (A)  
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9 623 in validation. It therefore seems that NSC models (B) are less susceptible to overfitting than  
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11 624 PLS-DA models (A) in the specific case of this study. The PLS-DA models (A) may be more  
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13 625 appropriate to discriminate amongst a smaller number of breeds, that are less genomically  
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15 626 related, than the twelve breeds of this study. On the contrary, hyperplanes dedicated to each  
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17 627 breed can overlap with the NSC method because it is based on the distance of the animal to  
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19 628 assign to each of the class shrunken centroids. Another advantage of the NSC model (B) is  
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21 629 that it should easily adapt to dynamic reference populations by modifications of the position  
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23 630 of the centroids. A breed should not be considered as static and the reference population  
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25 631 should change accordingly.

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27 632 This study did not demonstrate high cross-validation accuracy of assignment when using  
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29 633 the linear SVM method, maybe because it designs margins based on most extreme animals  
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31 634 from each breed. Therefore, the lack of performance can be due to the genomic relatedness of  
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33 635 the 12 breeds involved i.e., the 12 breeds did not clearly discriminate from each other and  
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35 636 formed a continuum as shown in Figure 2. With a model based on a SVM, Pasupa,  
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37 637 Rathasamuth, & Tongsimma (2020) obtained an accuracy of 95.12% with only 164 SNPs to  
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39 638 discriminate 21 pig breeds that seemed less genomically related than the 12 cattle breeds  
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41 639 under study. Moreover, they used an iterative combination of algorithms to select breed-  
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43 640 informative SNPs and they tuned the SVM to be radial, which may take time to parameterize  
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45 641 as they highlighted. This can explain the differences of performances between their study and  
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47 642 ours. Therefore, it can be suggested to further studies to use the radial SVM method instead of  
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49 643 the linear SVM.

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3 644 The RF method gave intermediary cross-validation accuracies even if it was previously  
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5 645 shown to be efficient. For example, I. Hulsegge et al. (2019) obtained a global accuracy of  
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7 646 86.13% with 976 SNPs to discriminate seven breeds. This result is similar to the best cross-  
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9 validation accuracy found with the RF classification method (1.3.C.) i.e., to 88.79%. Another  
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11 example is provided by Bertolini et al. (2018) that obtained high global accuracies of  
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13 minimum 98.62% with only 48 SNPs when comparing different methods of selection of  
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15 breed-informative SNP,. However, again, the number of breeds they studied was lower (n=5)  
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17 and they were more genomically differentiated than the 12 breeds under study.  
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19 652 It should be highlighted that the SNPs selected, their number, the best models and their  
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21 parameters were specific to the number of breeds under study, their genomic diversity and  
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23 relatedness and the SNPs available. The best model (1.2.B.) found in this study may not be  
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25 the best in other cases. However, methods for selection of SNPs and/or assignment of breeds  
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27 that were proven to perform well should be expected to perform well also in other cases (e.g.,  
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29 selection of SNPs based on  $F_{ST}$  combined with RF (2) /PLS-DA (1) and classification  
30 658  
31 methods based on NSC (B) /PLS-DA (A)). The results obtained in this study (99% and 98.5%  
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33 of global accuracy in validation, with 7,153 (1.2.B.) and 2,005 SNPs (1.3.B.) respectively) are  
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35 encouraging and should pave the way for other studies concerned about this topic.  
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42 661 The results obtained can also potentially be used in the process of certification of labelled  
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44 breed-products. One usual strategy that can be relatively easily implemented for preserving  
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46 endangered breeds is the development of labelled products, for example based on meat or  
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48 cheese. In Belgium and France, for example, the transboundary BlueSter (2020) project was  
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50 launched to preserve and enhance the DPBB breed. Among other outcomes, a cheese of  
51 666  
52 DPBB was created and will be followed by other certified products like meat. One of the  
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54 objectives of the BlueSter (2020) project is to develop a certification tool to ensure to  
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56 consumers that these local products are actually derived from the DPBB breed and not, for  
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3 669 example, from the BBB breed, which only diverged from DPBB a few decades ago (BlueSter,  
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5 670 2020). This situation highlights the need for a breed assignment model that can be applied on  
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7 671 breed-derived products themselves. Several studies have already developed models for meat  
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9 672 breed certification purposes (e.g., Dimauro et al., 2013; Judge et al., 2017). Moreover,  
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11 673 genomic DNA can now be extracted from small samples of milk (Pokorska, Kułaj, Dusza,  
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13 674 Żychlińska-Buczek, & Makulska, 2016). This technological advance will possibly ensure a  
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15 675 non-invasive manner of determining the breed of origin of labelled dairy products.  
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## 20 676 **5. CONCLUSIONS**

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22 677 This study demonstrated that PLS-DA and NSC are effective for selection of breed-  
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24 678 informative SNPs and breed assignment, respectively. The results obtained are promising,  
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26 679 especially as models were 1) developed on a high number of breeds (n=12); 2) based on the  
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28 680 overlap of seven chips; and 3) validated on three local endangered cattle breeds of interest.  
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30 681 The best model (1.2.B.) found in this study used 7,153 SNPs, had a global validation accuracy  
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32 682 of 99% and an average validation sensitivity for the three local breeds (DPBB, EBRW and  
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34 683 RPO) of interest of 98.83%. However, the second best model (1.3.B.) performed almost  
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36 684 equally with only 2,005 SNPs, a global validation accuracy of 98.5% and an average  
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38 685 validation sensitivity for DPBB, EBRW and RPO of 97.5%. This second model (1.3.B.) may  
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40 686 be preferred in application to limit the number of SNPs to be used and then ensure the  
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42 687 continued use of the model for next years. Future breed assignments for EBRW and RPO will  
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44 688 be based on these results. Also, these results indicate, that at least for the studied breeds (e.g.,  
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46 689 DPBB), certification of breed-derived products can be considered a feasible option. Finally, to  
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48 690 our knowledge, this is the first time that the PLS-DA is used in the context of breed  
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50 691 assignment for selection of breed-informative markers. This method of selection of SNPs  
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52 692 should further be investigated and potentially be compared with other strategies, especially  
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54 693 those not tested in this study.  
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**713 CONFLICT OF INTEREST**

714 The authors declare that they have no competing interests.

715

**716 AUTHOR CONTRIBUTIONS**

717 H. Wilmot, J. Bormann, X. Hubin, H. Soyeurt and N. Gengler conceived and designed the  
718 study. G. Glorieux and X. Hubin provided data from the Walloon part of Belgium and J.

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2  
3 719 Bormann provided data from Luxembourg. G. Glorieux and J. Bormann contributed to the  
4  
5 720 understanding of current breeding situations in the studied breeds and X. Hubin contributed to  
6  
7 721 information on the used chipsets. H. Wilmot performed the experiment. H. Wilmot and N.  
8  
9 722 Gengler interpreted the results. H. Wilmot wrote the paper. N. Gengler edited and reviewed  
10  
11 723 the manuscript. All authors read and approved the final manuscript.  
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## 16 725 **ETHICAL APPROVAL**

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19 726 The SNP data for the animals included in this study were previously obtained on samples  
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21 727 collected by concerned breeder associations based on relevant authorization by the different  
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23 728 local authorities.  
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## 27 28 730 **CONSENT FOR PUBLICATION**

29  
30 731 Not applicable.  
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## 34 35 733 **DATA AVAILABILITY STATEMENT**

36  
37 734 The data supporting the findings of this study cannot be made available as a whole. The  
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39 735 corresponding author, upon reasonable request, will forward request to relevant data owners.  
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For Peer Review

**Table 1.** Breeds used for assignment models and the number (n) of reference individuals used per breed. **In bold**, breeds on which this study specifically focuses.

<b>Breed</b>	<b>Abbreviation</b>	<b>n</b>
Beef Belgian Blue	BBB	60
Belgian Campine	CAM	33
Dutch Improved Red Pied	DIRP	25
<b>Dual-Purpose Belgian Blue</b>	<b>DPBB</b>	<b>60</b>
<b>East Belgian Red and White</b>	<b>EBRW</b>	<b>50</b>
(Red-)Holstein	HOL	120
Meuse-Rhine-Yssel	MRY	63
Rotbunte DN	RDN	17
Rouge des Prés	RDP	20
<b>Red-Pied of Ösling</b>	<b>RPO</b>	<b>51</b>
Shorthorn	SHO	30
Simmental	SIM	33



**Table 2.** Methods used for stage 1: selection of breed-informative informative SNPs, ranking measures and definition of thresholds for each ranking measure. Cases relative to stage 1 are coded following Figure 1.

Method of selection of breed-informative markers	Ranking measure	Thresholds	Used in case
Classical-PCA/Mean-PCA/Chrom-PCA	Scores defined as: $\sum_{i=1}^k (\text{SNP loading to the } i^{\text{th}} \text{PC})^2$ k = number of PCs considered	$\mu_{\text{scores}} + \sigma_{\text{scores}}$	First step of 3.1./4.1./5.1.
		$\mu_{\text{scores}} + 2*\sigma_{\text{scores}}$	First step of 3.2./4.2./5.2.
		$\mu_{\text{scores}} + 3*\sigma_{\text{scores}}$	First step of 3.3./4.3./5.3.
W&C $F_{ST}$	NA	$\mu_{W\&C F_{ST}} + \sigma_{W\&C F_{ST}}$	First step of 2.1.
		$\mu_{W\&C F_{ST}} + 2*\sigma_{W\&C F_{ST}}$	First step of 2.2.
		$\mu_{W\&C F_{ST}} + 3*\sigma_{W\&C F_{ST}}$	First step of 2.3.
Random forest	MDGI	$\mu_{MDGI} + \sigma_{MDGI}$	Second step of 2.1./3.1./4.1./5.1
		$\mu_{MDGI} + 2*\sigma_{MDGI}$	Second step of 2.2./3.2./4.2./5.2.
		$\mu_{MDGI} + 3*\sigma_{MDGI}$	Second step of 2.2./3.3./4.3./5.3.
Partial least square-discriminant analysis	Absolute value of coefficients	$\mu_{\text{coefficient}} + \sigma_{\text{coefficient}}^a$	1.1.
		$\mu_{\text{coefficient}} + 2*\sigma_{\text{coefficient}}^a$	1.2.
		$\mu_{\text{coefficient}} + 3*\sigma_{\text{coefficient}}^a$	1.3.
No selection	NA	NA <sup>e</sup>	6.0.

Abbreviations: classical-PCA: principal component analysis on genotypes; mean-PCA: principal component analysis on mean of genotypes by breed; chrom-PCA: principal component analysis per autosome; W&C  $F_{ST}$ : pairwise Weir & Cockerham's  $F_{ST}$ ; PC: principal component; NA: not applicable; MDGI: mean decrease in the Gini Index.

<sup>a</sup>: thresholds were applied to the twelve models (one per breed) to determine breed-informative markers specific to each of these twelve breeds.

**Table 3.** Number of SNPs selected by each method of selection of breed-informative SNPs.

Cases are coded following Figure 1. *In italic*, panels with less SNPs than the number of breeds to discriminate.

Case	Number of SNPs
1.1.	15,102
1.2.	7,153
1.3.	2,005
2.1.	1,014
2.2.	396
2.3.	154
3.1.	205
3.2.	30
3.3.	3
4.1.	221
4.2.	35
4.3.	6
5.1.	228
5.2.	33
5.3.	5
6.0.	17,667

**Table 4.** Total number of SNPs selected by each threshold of the partial least squares-discriminant analysis (PLS-DA) and, within each threshold, number of SNPs detected as informative by one to nine models of the PLS-DA. Cases are coded following figure 1.

Case	Number of models of the PLS-DA <sup>a</sup>									Total number of SNPs
	1	2	3	4	5	6	7	8	9	
1.1.	5,074	4,887	3,035	1,429	520	134	22	0	1	15,102
1.2.	5,387	1,456	277	29	4	0	0	0	0	7,153
1.3.	1,857	138	10	0	0	0	0	0	0	2,005

<sup>a</sup>: the PLS-DA creates a model for each breed. There are therefore 12 models inside each threshold.

**Table 5.** Number of selected SNPs, classification methods and ranked global accuracy obtained in 10-folds cross-validation. Cases are coded following Figure 1.

<b>Case</b>	<b>Number of selected SNPs</b>	<b>Classification method</b>	<b>Global accuracy (%)</b>
1.3.A.	2,005	PLS-DA	98.22
1.3.B.	2,005	NSC	97.33
1.2.A.	7,153	PLS-DA	96.62
1.2.B.	7,153	NSC	96.26
1.1.B.	15,102	NSC	94.66
2.1.A.	1,014	PLS-DA	95.54
1.1.A.	15,102	PLS-DA	95.19
6.0.A.	17,667	PLS-DA	94.48
6.0.B.	17,667	NSC	93.77
2.1.A.	1,014	NSC	93.41
2.2.A.	396	PLS-DA	92.88
2.2.B.	396	NSC	92.7
4.1.B.	221	NSC	91.46
5.1.B.	228	NSC	90.39

Abbreviations PLS-DA: partial least squares-discriminant analysis; NSC: nearest shrunken centroids.

**Table 6.** Number of selected SNPs, classification methods, ranked global accuracy, ranked average sensitivity of Dual-Purpose Belgian Blue (DPBB), East Belgian Red and White (EBRW) and Red Pied of Ösling (RPO), average specificity of Beef Belgian Blue (BBB) and Holstein (HOL), obtained in validation. Cases are coded following Figure 1.

<b>Case</b>	<b>Number of selected SNPs</b>	<b>Classification method</b>	<b>Global accuracy (%)</b>	<b>Average sensitivity of BBM, EBRW and RPO (%)</b>	<b>Average specificity of BBB and HOL (%)</b>
1.2.B.	7,153	NSC	99	98.83	100
1.3.B.	2,005	NSC	98.5	97.5	100
1.1.B.	15,102	NSC	98	96.67	100
6.0.B.	17,667	NSC	98	96.67	100
1.1.A.	15,102	PLS-DA	97.5	95.83	100
6.0.A.	17,667	PLS-DA	97.5	95.83	100
2.1.B.	1,014	NSC	97.5	95.83	100
1.2.A.	7,153	PLS-DA	97	95	99.69
2.1.A.	1,014	PLS-DA	97	95	99.69
1.3.A.	2,005	PLS-DA	96	93.33	99.38
2.2.B.	396	NSC	93	88.33	100
4.1.B.	221	NSC	90.5	84.17	99.69
2.2.A.	396	PLS-DA	89.5	82.5	99.38
5.1.B.	228	NSC	51	49.17	100

Abbreviations NSC: nearest shrunken centroids; PLS-DA: partial least squares-discriminant analysis.

**Table 7.** Confusion matrix of the best model found in validation (7,153 SNPs selected and the nearest shrunken centroids classification method; case 1.2.B.).

Predicted breed	Breed of origin				
	BBB	DPBB	EBRW	HOL	RPO
BBB	40	0	0	0	0
DPBB	0	40	0	0	0
EBRW	0	0	40	0	2
HOL	0	0	0	40	0
RPO	0	0	0	0	38

Abbreviations BBB: Beef Belgian Blue; DPBB: Dual Purpose Belgian Blue; EBRW: East Belgian Red and White; HOL: Holstein; RPO: Red Pied of Ösling.

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3 **Figure 1.** Schematic representation of the Material & Methods followed in this study.  
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5 Abbreviations MAF: minor allele frequency; PLS-DA: partial least square-discriminant  
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7 analysis; RF: random forest; Classical-PCA: principal component analysis on genotypes;  
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9 Mean-PCA: principal component analysis on mean of genotypes by breed; Chrom-PC:  
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11 principal component analysis per autosome; NSC: nearest shrunken centroids; SVM: support  
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13 vector machine. <sup>a</sup>: for each method of selection of breed-informative SNPs, case “1” represents  
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15 the less stringent threshold, case “2” represents the intermediary threshold and case “3”  
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17 represents the most stringent threshold. Case “0” is used when there is no threshold because of  
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19 no selection.  
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24 **Figure 2.** Distribution of animals from the reference population on the first two components of  
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26 a principal component analysis. Animals from each breed are represented with a different  
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28 colour. **In bold**, breeds on which this study specifically focuses. Abbreviations BBB: Beef  
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30 Belgian Blue; CAM: Belgian Campine; DIRP: Dutch Improved Red Pied; **DPBB: Dual-**  
31  
32 **Purpose Belgian Blue**; **EBRW: East Belgian Red and White**; HOL: Holstein; MRY: Meuse-  
33  
34 Rhine-Yssel; RDN: Rotbunte DN; RDP: Rouge des Prés; **RPO: Red Pied of Ösling**; SHO:  
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36 Shorthorn; SIM: Simmental.  
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**Appendix 1.**

Chips used and number (n) of genotyped reference individuals per chip.

<b>Chip</b>	<b>n</b>
BovineSNP50 Beadchip v1	97
BovineSNP50 Beadchip v2	274
BovineSNP50 Beadchip v3	76
BovineHD Beadchip v12	110
EuroG 10k	5
EuroG MD v9 <sup>a</sup>	0
EuroG MD SI v9 <sup>a</sup>	0

<sup>a</sup>: this chip was not used for genotyping reference individuals but for genotyping new individuals.



## Appendix 2.

Ranked results of cross-validation obtained on a) the dataset without any Hardy-Weinberg filter and b) the dataset filtered out for Hardy-Weinberg equilibrium P-value smaller than  $10^{-6}$ .

In green, results with more than 90% of global accuracy. In red, results from panels with less than 12 SNPs. Cases are coded following Figure 1.

a)

Case	Number of SNPs	Optimized parameters	Global accuracy (%)
1.3.A.	2,005	17 components	98.22
1.3.B.	2,005	Delta=0.3273	97.33
1.2.A.	7,153	11 components	96.62
1.2.B.	7,153	Delta=0.3248	96.26
2.1.A.	1,014	11 components	95.54
1.1.A.	15,102	11 components	95.19
1.1.B.	15,102	Delta=0.3230	94.66
6.0.A.	17,667	11 components	94.48
6.0.B.	17,667	Delta=0.3224	93.77
2.1.B.	1,014	Delta=0.3403	93.41
2.2.A.	396	11 components	92.88
2.2.B.	396	Delta=0.3445	92.7
4.1.B.	221	Delta=0.3251	91.46
5.1.B.	228	Delta=0.3242	90.39
3.1.B.	205	Delta=0.3259	89.15
4.1.A.	221	11 components	88.79
1.3.C.	2,005	2,000 trees/Minimum node size=1	88.79
2.3.B.	154	Delta=0.3475	88.24
3.1.A.	205	10 components	87.36
5.1.A.	228	10 components	86.84
1.2.C.	7,153	2,000 trees/Minimum node size=6	86.3
1.1.C.	15,102	2,000 trees/Minimum node size=3	85.77
2.1.C.	1,014	500 trees/Minimum node size=4	85.59
2.3.A.	154	11 components	85.4
2.2.C.	396	500 trees/Minimum node size=1	84.88
3.1.C.	205	500 trees/ Minimum node size=3	83.63
2.3.C.	154	3,000 trees/Minimum node size=1	83.27
4.1.C.	221	500 trees/Minimum node size=1	83.27
5.1.C.	228	500 trees/Minimum node size=4	82.56
4.1.D.	221	C=0.01	75.08
4.2.B.	35	Delta=0.3309	74.78
5.2.C.	33	2,000 trees/ Minimum node size=1	72.95
5.2.B.	33	Delta=0.3323	71.89
4.2.C.	35	500 trees/Minimum node size=2	71.35
3.1.D.	205	C=0.01	70.8
2.3.D.	154	C=0.01	70.65
3.2.B.	30	Delta=0.3318	70.62
5.1.D.	228	C=0.01	70.49
4.2.A.	35	8 components	70.29
5.2.A.	33	15 components	70.12
3.2.C.	30	500 trees/Minimum node size=1	68.86
2.2.A.	30	7 components	66.72
2.1.C.	1,014	C=0.01	66.02
1.2.D.	7,153	C=0.01	66.02
1.1.D.	15,102	C=0.05	65.13
6.0.D.	17,667	C=0.01	64.78
4.2.D.	35	C=0.01	64.6
2.2.D.	396	C=0.1	64.23
1.3.D.	2,005	C=0.01	61.03
3.2.D.	30	C=0.01	59.45
5.2.D.	33	C=0.01	58.71
5.3.B.	5	Delta=0.3085	46.28
5.3.C.	5	2,000 trees/ Minimum node size=26	45.73
4.3.C.	6	500 trees/ Minimum node size=18	43.5
5.3.A.	5	4 components	43.25
3.3.C.	3	2000 trees/ Minimum node size=3	42.7
4.3.A.	6	4 components	42.51
4.3.B.	6	Delta=0.2805	42.35
5.3.D.	5	C=0.05	38.44
3.3.B.	3	Delta=0.3106	38.43
4.3.D.	6	C=0.05	38.42
3.3.A.	3	2 components	37.72
3.3.D.	3	C=0.25	33.99

b)

Case	Number of SNPs	Optimized parameters	Global accuracy (%)
1.3.A.	1,843	14 components	98.05
1.3.B.	1,843	Delta=0.3272	97.51
1.2.A.	6,687	11 components	96.79
1.2.B.	6,687	Delta=0.3248	96.26
2.1.A.	959	11 components	95.18
1.1.A.	14,067	11 components	95.01
1.1.B.	14,067	Delta=0.3230	94.48
6.0.A.	16,449	11 components	94.48
2.1.B.	959	Delta=0.3399	93.77
6.0.B.	16,449	Delta=0.3223	93.59
2.2.A.	342	11 components	92.33
2.2.B.	342	Delta=0.3439	92.33
4.1.B.	203	Delta=0.3243	91.11
5.1.B.	220	Delta=0.3244	89.85
4.1.B.	202	Delta=0.3254	89.33
1.3.C.	1,843	3,000 trees/Minimum node size=3	88.79
4.1.A.	203	11 components	88.25
3.1.A.	202	10 components	86.83
2.3.B.	146	Delta=0.3484	86.67
1.2.C.	6,687	3,000 trees/Minimum node size=3	86.3
5.1.A.	220	10 components	86.3
2.3.A.	146	11 components	85.75
2.1.C.	959	500 trees/Minimum node size=1	85.23
1.1.C.	14,067	2,000 trees/Minimum node size=5	84.88
1.2.C.	342	500 trees/Minimum node size=4	84.7
3.1.C.	202	500 trees/Minimum node size=1	84.52
1.3.C.	146	1,000 trees/Minimum node size=1	83.81
4.1.C.	203	2,000 trees/Minimum node size=1	82.92
5.1.C.	220	500 trees/Minimum node size=4	82.56
4.2.B.	37	Delta=0.3309	74.04
5.2.B.	32	Delta=0.3324	73.66
4.2.C.	37	2,000 trees/Minimum node size=4	72.95
5.2.C.	32	3,000 trees/Minimum node size=1	72.78
4.1.D.	203	C=0.01	72.25
3.2.C.	31	3,000 trees/Minimum node size=1	70.8
3.1.D.	202	C=0.01	70.47
3.2.B.	31	Delta=0.3329	70.25
5.2.A.	32	12 components	69.73
4.2.A.	37	11 components	69.22
5.1.D.	220	C=0.05	68.35
3.2.A.	31	9 components	67.25
1.2.D.	6,687	C=0.01	66.2
2.3.D.	146	C=0.05	66.02
1.1.D.	14,067	C=0.05	65.49
6.0.D.	16,449	C=0.01	64.95
2.1.D.	959	C=0.01	64.24
2.2.D.	342	C=0.01	64.14
4.2.D.	37	C=0.01	63.17
1.3.D.	1,843	C=0.01	60.85
5.2.D.	32	C=0.01	59.79
3.2.D.	31	C=0.1/0.01	57.3
5.3.C.	4	2,000 trees/Minimum node size=16	44.31
5.3.B.	4	Delta=0.2938	43.61
5.3.A.	4	3 components	42.89
3.3.A.	4	3 components	41.27
3.3.B.	4	Delta=0.2718	40.92
4.3.A.	4	3 components	40.48
3.3.C.	4	500 trees/ Minimum node size=21	40.31
4.3.B.	4	Delta=0.7697	40.22
4.3.C.	4	500 trees/Minimum node size=48	39.15
3.3.D.	4	C=0.01	38.8
4.3.D.	4	C=0.01	38.06
5.3.D.	4	C=0.1	37.74

### Appendix 3.

Ranked results of validation obtained on a) the dataset without any Hardy-Weinberg filter and b) the dataset filtered out for Hardy-Weinberg equilibrium P-value smaller than  $10^{-6}$ . Only models with global accuracy greater than 90% in cross-validation were tested on validation.

a)

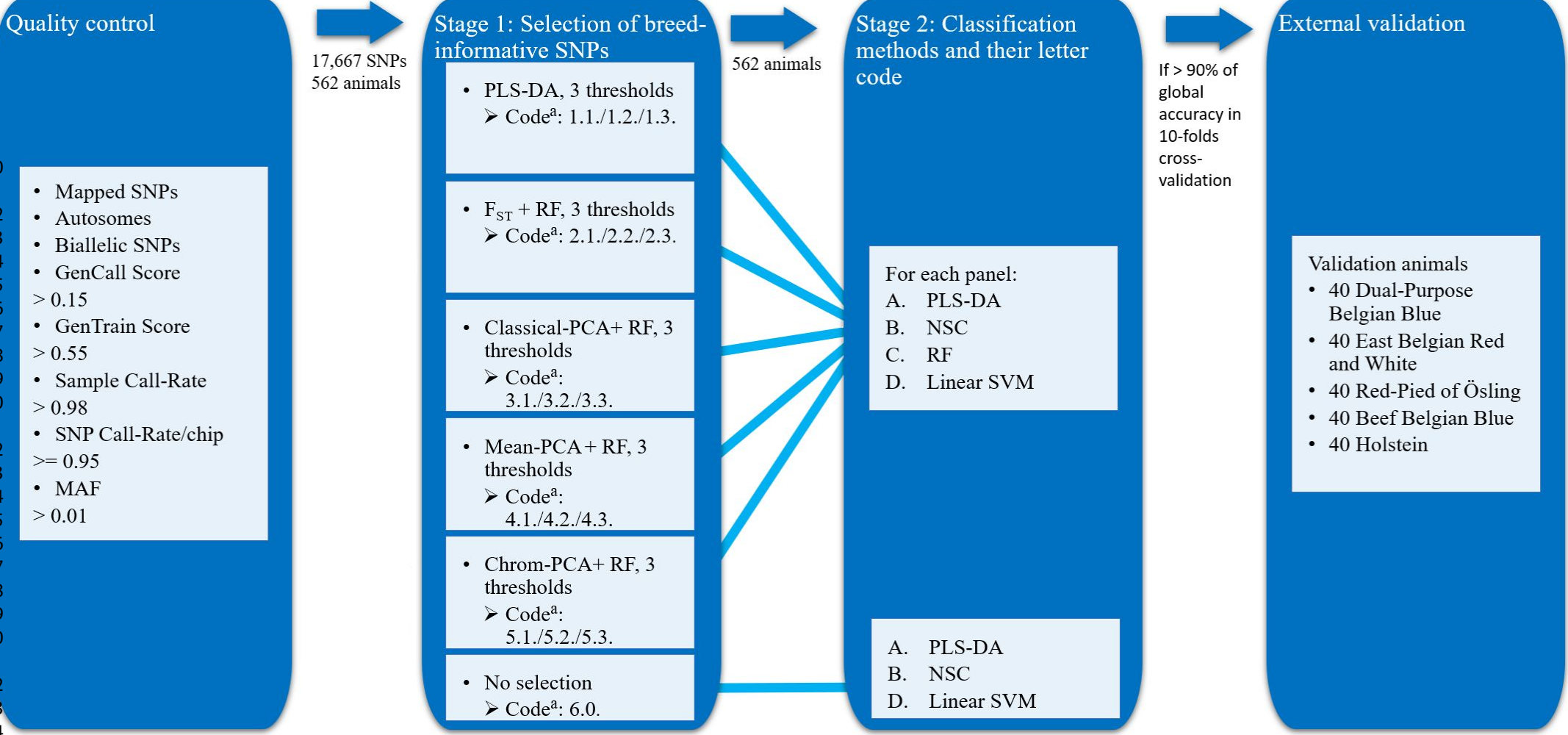
Case	Number of SNPs	Global accuracy (%)	Sensitivity (%)	Specificity (%)
1.2.B.	7,153	99	BBB 100/DPBB 100/EBRW 100/HOL 100/RPO 95	BBB 100/CAM 100/DIRP 100/DPBB 100/EBRW 98.75/HOL 100/MRY 100/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
1.3.B.	2,005	98.5	BBB 100/DPBB 100/EBRW 100/HOL 100/RPO 92.5	BBB 100/CAM 100/DIRP 100/DPBB 100/EBRW 98.12/HOL 100/MRY 100/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
1.1.B.	15,102	98	BBB 100/DPBB 100/EBRW 100/HOL 100/RPO 90	BBB 100/CAM 100/DIRP 100/DPBB 100/EBRW 99.38/HOL 100/MRY 100/RDN 98.5/RDP 100/RPO 100/SHO 100/SIM 100
6.0.B.	17,667	98	BBB 100/DPBB 100/EBRW 100/HOL 100/RPO 90	BBB 100/CAM 100/DIRP 100/DPBB 100/EBRW 99.38/HOL 100/CAM 100/MRY 100/RDN 98.5/RDP 100/RPO 100/SHO 100/SIM 100
1.1.A.	15,102	97.5	BBB 100/DPBB 100/EBRW 95/HOL 100/RPO 92.5	BBB 99.38/CAM 100/DIRP 100/DPBB 100/EBRW 100/HOL 96.88/MRY 98.5/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
6.0.A.	17,667	97.5	BBB 100/DPBB 100/EBRW 95/HOL 100/RPO 92.5	BBB 100/CAM 99.38/DIRP 100/DPBB 99.38/EBRW 100/HOL 100/MRY 98.5/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
2.1.B.	1,014	97.5	BBB 100/DPBB 100/EBRW 92.5/HOL 100/RPO 95	BBB 100/CAM 99.5/DIRP 99.5/DPBB 100/EBRW 98.75/HOL 100/MRY 100/RDN 100/RDP 100/RPO 99.38/SHO 100/SIM 100
1.2.A.	7,153	97	BBB 100/DPBB 100/EBRW 92.5/HOL 100/RPO 92.5	BBB 99.38/CAM 100/DIRP 100/DPBB 100/EBRW 100/HOL 100/MRY 97.5/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
2.1.A.	1,014	97	BBB 100/DPBB 100/EBRW 90/HOL 100/RPO 95	BBB 100/CAM 99.5/DIRP 100/DPBB 100/EBRW 100/HOL 99.38/MRY 98.50/RDN 100/RDP 100/RPO 99.38/SHO 100/SIM 100
1.3.A.	2,005	96	BBB 100/DPBB 100/EBRW 85/HOL 100/RPO 95	BBB 99.38/CAM 99.5/DPBB 100/EBRW 100/HOL 99.38/MRY 98.5/RDN 100/RDP 99.5/RPO 99.38/SHO 100/SIM 100
2.2.B.	396	93	BBB 100/DPBB 100/EBRW 72.5/HOL 100/RPO 92.5	BBB 100/CAM 97.5/DIRP 99/DPBB 100/EBRW 98.12/HOL 100/MRY 100/RDN 100/RDP 100/RPO 97.5/SHO 100/SIM 100
4.1.B.	221	90.5	BBB 100/DPBB 97.5/EBRW 65/HOL 100/RPO 90	BBB 99.38/CAM 95.5/DIRP 99.5/DPBB 100/EBRW 97.5/HOL 100/MRY 100/RDN 100/RDP 100/RPO 97.5/SHO 100/SIM 100
2.2.A.	396	89.5	BBB 100/DPBB 97.5/EBRW 70/HOL 100/RPO 80	BBB 98.75/CAM 99.5/DIRP 99.5/DPBB 99.38/EBRW 100/HOL 100/MRY 95/RDN 99.5/RDP 100/RPO 96.88/SHO 100/SIM 100
5.1.B.	228	51	BBB 60/DPBB 40/EBRW 60/HOL 47.5/RPO 47.5	BBB 100/CAM 84/DIRP 85/DPBB 96.88/EBRW 81.25/HOL 100/MRY 100/RDN 99.5/RDP 100/RPO 100/SHO 100/SIM 100

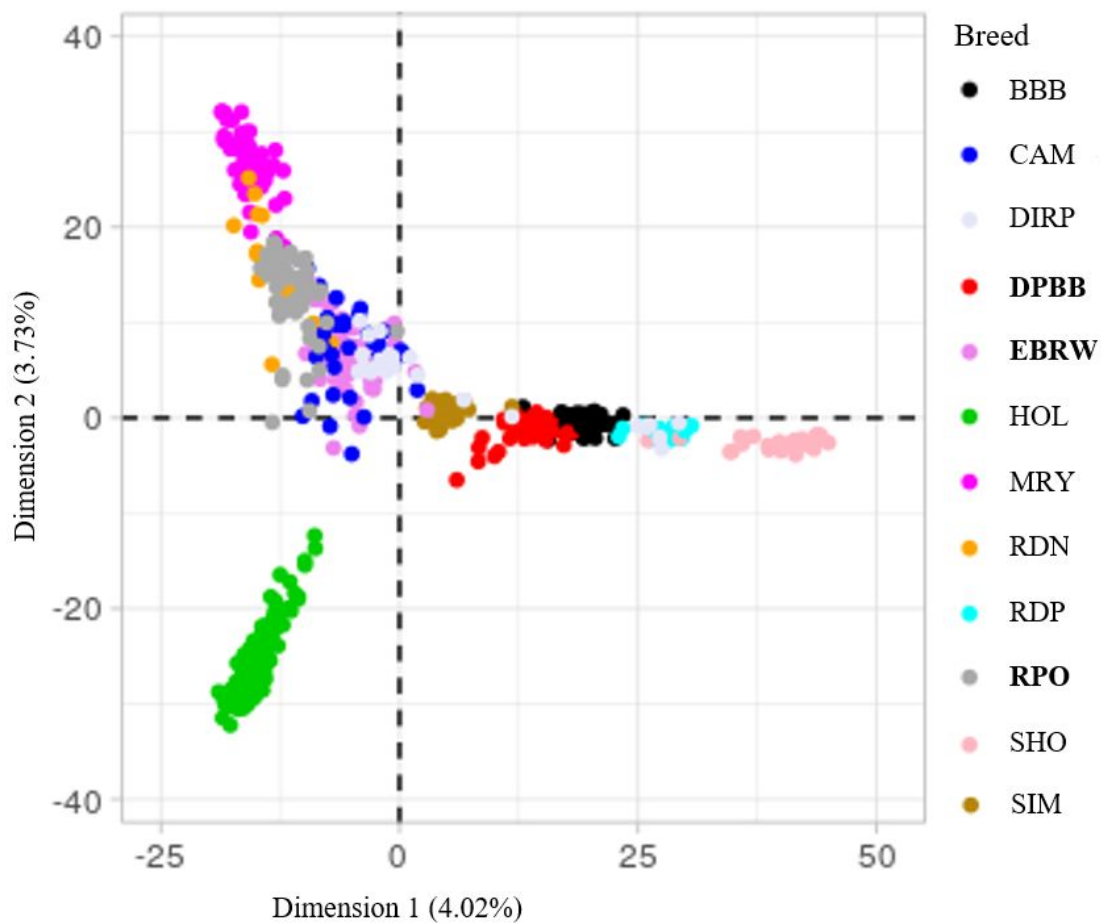
b)

Case	Number of SNPs	Global accuracy (%)	Sensitivity (%)	Specificity (%)
1.2.B.	6,687	99	BBB 100/DPBB 100/EBRW 100/HOL 100/RPO 95	BBB 100/CAM 100/DIRP 100/DPBB 100/EBRW 99.38/HOL 100/MRY 100/RDN 99.5/RDP 100/RPO 100/SHO 100/SIM 100
1.1.B.	14,067	98	BBB 100/DPBB 100/EBRW 100/HOL 100/RPO 90	BBB 100/CAM 100/DIRP 100/DPBB 100/EBRW 99.38/HOL 100/MRY 100/RDN 98.5/RDP 100/RPO 100/SHO 100/SIM 100
6.0.B.	16,449	98	BBB 100/DPBB 100/EBRW 100/HOL 100/RPO 90	BBB 100/CAM 100/DIRP 100/DPBB 100/EBRW 99.38/HOL 100/MRY 100/RDN 98.5/RDP 100/RPO 100/SHO 100/SIM 100
1.3.B.	1,843	97.5	BBB 100/DPBB 100/EBRW 95/HOL 100/RPO 92.5	BBB 100/CAM 99/DIRP 100/DPBB 100/EBRW 98.12/HOL 100/MRY 100/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
1.1.A.	14,067	97.5	BBB 100/DPBB 100/EBRW 95/HOL 100/RPO 92.5	BBB 99.38/CAM 100/DIRP 100/DPBB 100/EBRW 100/HOL 100/MRY 98/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
1.2.A.	6,687	97	BBB 100/DPBB 100/EBRW 92.5/HOL 100/RPO 92.5	BBB 99.38/CAM 100/DIRP 100/DPBB 100/EBRW 100/HOL 100/MRY 97.5/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
6.0.A.	16,449	97	BBB 100/DPBB 100/EBRW 95/HOL 100/RPO 90	BBB 99.38/CAM 100/DIRP 100/DPBB 100/EBRW 99.38/HOL 100/MRY 98/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
1.3.A.	1,843	96.5	BBB 100/DPBB 100/EBRW 87.5/HOL 100/RPO 95	BBB 99.38/CAM 99.5/DIRP 100/DPBB 100/EBRW 100/HOL 99.38/MRY 98.5/RDN 100/RDP 100/RPO 99.38/SHO 100/SIM 100
2.1.B.	959	96.5	BBB 100/DPBB 100/EBRW 92.5/HOL 100/RPO 90	BBB 100/CAM 99/DIRP 99.5/DPBB 100/EBRW 97.5/HOL 100/MRY 100/RDN 100/RDP 100/RPO 100/SHO 100/SIM 100
2.1.A.	959	95	BBB 100/DPBB 95/EBRW 85/HOL 100/RPO 95	BBB 98.75/CAM 99.5/DIRP 99.5/DPBB 100/EBRW 100/HOL 100/MRY 98/RDN 100/RDP 100/RPO 98.75/SHO 100/SIM 100
2.2.B.	342	93.5	BBB 100/DPBB 100/EBRW 75/HOL 100/RPO 92.5	BBB 100/CAM 97/DIRP 99/DPBB 100/EBRW 98.75/HOL 100/MRY 99.5/RDN 100/RDP 100/RPO 98.75/SHO 100/SIM 100
4.1.B.	203	93	BBB 100/DPBB 97.5/EBRW 77.5/HOL 100/RPO 90	BBB 99.38/CAM 96.50/DIRP 99.5/DPBB 100/EBRW 97.5/HOL 100/MRY 100/RDN 100/RDP 100/RPO 99.38/SHO 100/SIM 100
2.2.B.	342	89.5	BBB 100/DPBB 100/EBRW 65/HOL 100/RPO 82.25	BBB 99.38/CAM 97/DIRP 99/DPBB 99.38/EBRW 99.38/HOL 100/MRY 96/RDN 100/RDP 100/RPO 98.75/SHO 100/SIM 100

Abbreviations NSC: Nearest Shrunken Centroids; PLS-DA: Partial Least Squares-Discriminant Analysis; BBB: Beef Belgian Blue; DPBB: Dual-Purpose Belgian Blue; EBRW: East Belgian Red and White; HOL: Holstein; RPO: Red-Pied of Ösling; CAM: Belgian Campine; DIRP: Dutch improved Red Pied; MRY: Meuse-Rhine-Yssel; RDN: Rotbunte DN; RDP: Rouge des Prés; SHO: Shorthorn; SIM: Simmental.

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Review