“HR excellence in research” award

What to know about?

• Educ-Share WP5 Meeting
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Isabelle Halleux
isabelle.halleux@uliege.be
The ERA objectives

« The ultimate political goal is to contribute to the development of an attractive, open and sustainable European labour market for researchers, where the framework conditions allow for recruiting and retaining high quality researchers in environments conducive of effective performance and productivity »

« ... Europe must dramatically improve its attractiveness to researchers and strengthen the participation of women researchers by helping to create the necessary conditions for more sustainable and appealing careers for them in R&D »

« Member states should endeavour to offer researchers sustainable career development systems at all career stage, regardless of their contractual situation and of the chosen R&D career path, and they should endeavour to ensure that researchers are treated as professional and as an integral part of the institution in which they work »
Implementation

ARTICLE 32 AMGA

• Obligation to take measures to implement the European Charter for Researchers and Code of Conduct for the Recruitment of Researchers
• Consequences of non-compliance
Good reasons for entering the process?

• We are aware that performance and well-being are correlated, and that we are all working on the improvement of the researchers working conditions

• We agree to do it efficiently and officially and we agree to integrate the HRS4R into our institutional strategy

• We accept to involve researchers in the process and to take into account their opinion

• We would like to provide an institutional tool to the researchers for answering the requirements of Article 32 of MGA-H2020 and to apply for MSCA and ERC grants

• We would like to better recruit and to let know about
The HRS4R process

HRS4R - From PROGRESS TO QUALITY

Initial phase
- 12 months
- Endorsement
- Application
- Gap analysis
- OTM-R
- Action Plan

Implementation phase
- 24 months
- Implementation of the actions
- Revised AP

Renewal phase
- 36 months
- Implementation
- Improved AP
- 36 months
HRS4R – The essentials

Gap Analysis
Action Plan
Publication

Process
Involvement
Implementation
Coherence
Evidence
Ambition
• Involvement of all levels of researchers is MANDATORY
• The description of the process has to be clear and documented
• Each C&C principle has to be analysed regarding the actual gap and initiatives undertaken + suggestions for improvement
  • Focus on some principles: gender, ethics, OTM-R, OS
  • Narrative of the Action Plan will give a summary by groups
• (Gap Analysis is kept confidential)
Different schemes exist:
- Steering committee
- Focus groups
- Stakeholders should be included during the whole process: listing the gaps, the actual initiatives, ideas for improvement
- Researchers are supposed to validate the gap analysis

Involvement
Surveys

It is important is to do it adequately:

• Question all researchers & pertinent stakeholders
• Pertinence of question is crucial
• Response rate has to be described regarding classes, gender, pertinent groups, ...
• Results are to be discussed
• Interpretation has to make sense
Weaknesses in gap analysis are often related to:

- Top down input
- Involvement of researchers
- Quality, reporting and interpretation of surveys
- Balance between what is done and what has to be done
- Readability of the text for externals
• Organisational information for a good understanding of priorities
• Narrative regarding the 4 groups of principles
• Actions to be implemented within 2 years / 5 years
  • Action title – Timing – Responsible Unit – Indicator(s) / Target(s).
• Implementation process involving researchers
Weaknesses in AP are often related to:

- The description of the organisation
- The process is cycling so that not all the gaps are to be filled within 2 years! A 5y-perspective is also important
- The actions are not fully coherent with the gaps
Weaknesses in AP are often related to:

- The agenda is not realistic (duration, start-end, heavyness)
- Progress evaluation is not clear (targets, indicators)
- Communication and dissemination is not considered
- Implementation doesn’t involve researchers
- Researchers did not commit with the Action Plan
Content

When?  **Before** submission
Where? Has to be **visible**
What?  HR & AP + related docs

Not acceptable if...

... Not published  
... pdf on invisible page  
... Not in English  
... HR & AP are not « Template 4 »  
... Related docs are in local language  
... GA Process not described  
... No explanation on HRS4R  
... Divergence with submission  
... Award still used
Be inspired by awarded institutions

Publication

HR Award
HR Excellence in Research

We are a proud holder of the HR Award!

The European Commission grants the HR Award (HR Excellence in Research logo) to research institutions that implement the HR4R (The Human Resources Strategy for Researchers). This strategy is based on the 40 indicators set out in the European Charter for Researchers and the

HR Excellence in Research
L'Université de Rennes 1 a obtenu le label »HR Excellence in Research«. Elle est ainsi reconnue pour sa participation à la construction de l'Espace européen de la recherche et pour la qualité de sa stratégie de ressources humaines à l'égard des chercheurs.
Your communication channel
HRS4R E-tool

Mandatory since May 15th, 2018

- Easy for the management and the follow-up
- Integrates all the documents
- Visible track of the process
- Clear and well documented procedure

https://euraxess.ec.europa.eu/node/5765/#hrs4r-tabs-tab-2-name
Last news...

- Site visits – online COVID
- Updated guidelines
- Online tutorial

- Stronger link ERA-EEA
- Horizon Europe:
  - Open Science incl. Ethics
  - Data Management Plan
  - Gender Equality Plan
  - Career Development Plan
What about evaluation?

assessment is to **INCREASE** quality.

evaluation is to **JUDGE** quality.
The assessors are experts ...

- Who know about the assessment and about HRS4R from the inside
- Who are trained and works with 3 colleagues
- Who know about the ERA objectives
- Who commit with their « contract » (role, confidentiality, COI, agenda)
They are requested to...

- Put themselves in the flower pot
- Give advices for growing in quality
- Discriminate between what must be done and what would be done
- Use short and clear sentences

And also:
- Not to imagine what is not written
- Be as precise as possible
- Respect the agenda
Individual Form

is a working document used by the assessors for their personal analysis

- Give strengths and weaknesses
- Discriminate between what is major or what is minor
- Provide recommendation for improvement
- Be clear on what is mandatory, optional but of added value, just a comment or just a typing error
- Try to estimate how many time it will take to correct
- Mention what is questionable and would be discussed with peers
This is the feedback document sent to the EU
The lead assessor is responsible for aggregating the IFs into the CF
Clarification and discussion is often necessary
A good skype can sometimes help
If a consensus cannot be reached, the lead interacts with the EU
Possible decisions

• Accepted
• Accepted pending (minor)
• Accepted pending (major)

MINOR (2 months)

MAJOR (12 months)
And then?

More grows in the garden than the gardener knows he has sown...

Spanish Proverb
Useful links

Have a look on awarded institutions’ website

On the website of the Commission:

- HRS4R: [https://euraxess.ec.europa.eu/jobs/hrs4r](https://euraxess.ec.europa.eu/jobs/hrs4r)
- Initial phase: [https://euraxess.ec.europa.eu/node/5765/#hrs4r-tabs-tab-2-name](https://euraxess.ec.europa.eu/node/5765/#hrs4r-tabs-tab-2-name)
- Technical guides for the initial phase: [https://cdn4.euraxess.org/sites/default/files/technical_guidelines_hrs4r_initial_phase.pdf](https://cdn4.euraxess.org/sites/default/files/technical_guidelines_hrs4r_initial_phase.pdf)
- Register your institution and Administrator on the platform: [https://euraxess.ec.europa.eu/node/5765/#hrs4r-tabs-tab-2-name](https://euraxess.ec.europa.eu/node/5765/#hrs4r-tabs-tab-2-name)