# CORRESPONDENCE



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Sequential administration of low dose 5-azacytidine (AZA) and donor lymphocyte infusion (DLI) for patients with acute myeloid leukemia (AML) or myelodysplastic syndrome (MDS) in relapse after allogeneic stem cell transplantation (SCT): a prospective study from the Belgian Hematology Society (BHS)

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#### TO THE EDITOR:

Relapse remains the major cause of failure after allogeneic stem cell transplantation (SCT) and to date, there has been little progress in managing those relapses. Therapeutic options are still limited including supportive care, salvage chemotherapy, donor lymphocyte infusion (DLI), or second SCT [1]. Azacytidine (AZA) has shown to improve survival in patients with MDS and low blast count AML and has been therefore administered in relapsed MDS or AML after SCT [2-4]. Responses appear to be mainly related to an immunomodulatory effect, which consists of upregulated expression of HLA and cancer-associated antigens, and subsequent sensitization of leukemic blasts to an adaptive T-cell response. Moreover, epigenetic mechanisms induce regulatory T cells, leading to a protective effect against graft-versus-host disease (GvHD) [5–7]. Here are the results of a phase II prospective study conducted on the sequential administration of AZA and DLI in patients with MDS or AML relapsing after SCT.

Adult patients from 18 up to 70 years of age were eligible provided they were transplanted for AML in remission or MDS with less than 10% marrow blasts, and they developed evidence of relapse with <30% marrow blasts. For the first cycle, patients received AZA 100 mg/m<sup>2</sup>, subcutaneously (SQ) for 5 days. From cycle 2 to 6, AZA was decreased to 35 mg/m<sup>2</sup> SQ based on the observations that low dose AZA is sufficient to exert an immunomodulatory effect [7]. DLI was planned on day 1 or 2 of cycles 2, 4, and 6 if free from GvHD to allow lymphocytes to be fully modulated by AZA. The escalating dose schedule of DLI was  $5 \times 10^7$ ,  $1 \times 10^8$ , and  $5 \times 10^8$  CD3+/kg in case of sibling donors, and  $5 \times 10^6$ ,  $1 \times 10^7$ , and  $5 \times 10^7$  CD3+/kg for unrelated donors. In case of complete response (CR) or CR with incomplete blood recovery (CRi), two additional cycles of AZA were administered. If the patient achieved partial response (PR) or stable disease (SD), AZA was continued until progression (PD) or CR. The primary endpoint was overall response rate (ORR) at 6 months, defined by the achievement of CR, CRi, or PR. Secondary endpoints included overall survival (OS), non-relapse mortality, hematological, and non-hematological toxicities, incidence of GvHD and incidence of infections. Statistical analyses were performed with SPSS 24.0 (SPSS Inc, Chicago, IL, USA) and R 3.4.1 (R Core Team (2017). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. URL https://www.R-project.org/).

A total of 49 analyzed patients has been included in this study. Patients' characteristics are summarized in Table 1. Among them, only 17 (34.7%) achieved 6 AZA cycles. The main raisons for drop-off were progression (N = 18) and infections (N = 3). Overall, the median number of administered AZA cycles was 3 (range, 1–12), and 4 patients received additional AZA cycles. The median number of DLI given was 1 (range, 0–3). Twelve out of the 17 patients who completed 6 cycles, received 3 DLI.

Overall, 11 patients (22.4%) showed a response to AZA, including ten CR/CRi and one PR. At the time of last follow-up (range, 0-52 months), only three patients were still alive and in remission. At the end of cycle 1, there were five early responders. These patients had a median time to relapse after SCT of 125 days (range, 77–504), and they all relapsed with <10% marrow blasts. ORR at day 180 was 29% [95% CI: 27.3-30.6]. In multivariable analysis, only the absence of secondary AML at diagnosis was significantly associated with a better ORR (HR = 0.39, 95% Cl; 0.19–0.82, p = 0.013). The median OS was 6 months [95% Cl: 3.2–8.8]. The main causes of death were progression and infection. Median time to progression was 83 days (range, 8-1446). In multivariate analysis, we found that a 9/10 HLA-matched donor (HR = 2.56, [95% Cl: 1.1-6.3], p = 0.04) and higher bone marrow blasts at relapse (HR = 2.63, [95% CI: 1.2-5.7], p = 0.01) were associated with worse survival, while a longer interval from SCT to relapse correlated with better survival (HR = 0.41, [95% CI: 0.20–0.83], p = 0.013). Having received three DLI as a timedependent variable was not associated with survival (HR = 0.93, [95% CI:0.37-2.32], p = 0.87). A total of 38 patients experienced progression during the study period with 27 occurring during AZA. The incidence of acute GvHD was 5.1% within the entire cohort, and grade II-IV acute GvHD was found in 4.1%. The incidence of chronic GvHD was 12.5% including three severe. No patient died of chronic GvHD. The cumulative incidence of TRM at day 180 was 12.2% [95% Cl: 11.3-13.2]. In multivariate analysis, none of the variables were associated with TRM. Infection was frequent during the study with a median of 1 episode per patient (range, 0-9). Finally, 33 patients (67.3%) were hospitalized at least once during the study with a median of one hospitalization per patient (range, 0–10).

The median time to relapse after SCT was only 146 days in our cohort, reflecting selection of very aggressive diseases. Median

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	Patient's characteristics ( $N = 4$	49
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Median follow-up time (range)	6 months (0–52)
Median age (range)	60 years (17–73)
Patients' gender, N (%)	
M/F	26 (53.1%)/23 (46.9%)
Co-morbidities based on HCT-CI, N (%)	31 (63.3%)
Performance status at study entrance, N (%)	
ECOG 0	18 (36.7%)
ECOG 1–2	31 (63.3%)
Disease type, N (%)	
AML	30 (61.2%)
MDS	19 (38.8%)
Disease status at SCT, N (%)	
CR1	39 (79.6%)
CR2	4 (8.2%)
PR	3 (6.1%)
Never treated	3 (6.3%)
Karyotype at diagnosis, N (%)	
Intermediate	22 (46.8%)
FLT-3 mutations	3
NPM1 mutation	3
Missing	1
Adverse	25 (53.2%)
Missing	2
sAML, <i>N</i> (%)	12 (24.5%)
t-MN, <i>N</i> (%)	8 (16.3%)
Donor type, N (%)	
Sibling	15 (30.6%)
Unrelated	34 (69.4%)
HLA matching, N (%)	
10/10	38 (79.2%)
9/10	10 (20.8%)
F donor to M recipient, N (%)	8 (16.3%)
Second SCT, N (%)	2 (4.1%)
Conditioning regimen, N (%)	
MAC/RIC	11 (22.4%)/38 (77.6%)
Median time from SCT to relapse (range)	146 days (35–4520)
Median BM blasts at relapse (range)	10 % (0–29%)
Median Hgb at inclusion (range)	9.9 g/dL (7.1–13.8)
Median WBC at inclusion (range)	2260/µL (130–34,550)
Median Plts at inclusion (range)	34,000/μL (4000–447,000)
Median unfractionated donor chimerism at inclusion (range)	87.6% (0–100)
Median CD3+ donor chimerism at inclusion (range)	89% (0–100)

*N* number, *M* male, *F* female, *AML* acute myeloid leukemia, *MDS* myelodysplastic syndrome, *SCT* allogeneic stem cell transplantation, *CR1* first remission, *CR2* second remission, *PR* partial remission, *sAML* secondary AML, *t-MN* therapy-related myeloid neoplasm, *HLA* human leukocyte antigen, *MAC* myeloablative conditioning, *RIC* reduced-intensity conditioning, *BM* bone marrow, *Hgb* hemoglobin level, *WBC* white blood cell count, *Plts* platelets level.

time to relapse has been reported as the strongest prognostic factor and the reported median survival of such patients is in the range of 6 months [1]. In multivariate analysis, longer median time to relapse and lower marrow blasts at relapse were both significantly associated with better OS, suggesting that more indolent relapses might be better candidates for such therapy [8, 9]. Even though our selection criteria tried to select upfront better candidates, our ORR (29%) is not different from the results of other studies [2-4, 10, 11] or with standard salvage therapy [1]. In multivariate analysis, only the diagnosis of sAML was associated with a significantly decreased ORR, which is in line with the more resistant profile of such diseases. We observed a few early responders, which may be explained by an immunologic effect from either withdrawal of immunosuppression or upregulation by AZA of several antigens on leukemic cells [7]. Four other responders achieved remission after receiving at least one DLI supporting the potential benefit of additional cell-based therapy [1, 4, 9]. However, in our cohort, there was no significant impact of DLI on neither response nor survival. We think that the concomitant administration of DLI with AZA as in our study might exert a toxic effect on lymphocytes, preventing a full-blown GvL effect but we do not have clinical evidence to prove our hypothesis. Infection was frequent during therapy leading to hospitalization in most cases, but the rate and the type of infection do not appear different from what has been described with AZA given for MDS. We observed a very low incidence of chronic GvHD, which support the protective effect of azacytidine from GvHD [5-7].

Overall, the benefit of our trial remains limited in a very highrisk population. Better outcomes are suggested for patients with more indolent disease and early administration of AZA appears as a promising way to improve efficacy [9]. Moreover, efficacy of AZA in the SCT setting could be improved by the addition of other drugs such as tyrosine kinase inhibitors, venetoclax, or lenalidomide [8, 9]. We do not have currently enough data to support either pre-emptive or prophylactic strategies, but we believe that patients with a very high risk of relapse could be offered maintenance with hypomethylating agents. However, future trials are needed to identify optimal treatment strategies and to better define high-risk patients.

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## **AUTHOR CONTRIBUTIONS**

XP and CG wrote the paper. AO and JH collected the data. XP analyzed the data and performed the statistical analysis. XP, CG, FB, HS, PL, ADB, DD, ZB, TK, PZ, DS, and YB provided the data. FB, HS, PL, ADB, DD, ZB, TK, PZ, DS, and YB approved the paper.

## **COMPETING INTERESTS**

FB has received travel grants and/or speaker honoraria from Celgene, AbbVie, Novartis, Pfizer and Sanofi. HS has received travel grants and/or speaker/advisor honoraria from Incyte, Janssen, Novartis, Jazz Pharmaceuticals, Takeda Celgene, AbbVie, MSD, and Therakos. YB has received travel grants and/or speaker/consulting honoraria from Celgene, AbbVie, Novartis, Pfizer, Janssens-Cilag, Amgen and Sanofi. The study was supported by a grant from Celgene Inc.

#### ADDITIONAL INFORMATION

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