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Minimal requirements for the vibration-based identification of the axial force, the bending stiffness and the flexural boundary conditions in cables

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Abstract

This paper aims at presenting the guidelines to follow in order to set up an identification procedure which is able to determine the axial force, the flexural rigidity and the rotational end stiffnesses of slender and tensioned structural elements, based on measurements of their natural frequencies and mode shapes. First of all, when such an element is slightly affected by bending stiffness effects, perturbation methods can be applied to get a composite approximation for its natural frequencies and an asymptotic expression for its mode shapes. These simple analytical formulas allow to understand the role played by each model parameter in the modal response and show that the axial force, the flexural rigidity and the rotational end stiffnesses can be correctly identified under some conditions, which are established and provided in this document. Among others, it is necessary that the identification procedure relies on the first few natural frequencies and mode shapes, which are measured near each extremity of the element, as well as some natural frequencies associated with higher modes.

Keywords: structural health monitoring, cable tension, end restraints, flexural rigidity, identification, mode shape, natural frequency

Nomenclature

Capital letters (*e.g.* L , EI , T , K_0 , K_1) refer to the model parameters. The developments presented in this paper are based on a characteristic lengthscale x_r and a characteristic timescale t_r . These quantities are used to define dimensionless ones which are all referred to with greek symbols. The space coordinate x and the time t are the two independent variables of the problem; the corresponding dimensionless quantities are denoted ξ and τ . The other greek symbols refer to the problem parameters, *e.g.* ε^2 is the dimensionless bending stiffness.

Bold lowercase symbols (*e.g.* \mathbf{r} , \mathbf{s}) refer to vectors; bold capital symbols (*e.g.* \mathbf{B}) are used for matrices. In the paper, five major sets of frequencies are considered: ω_n corresponds to numerical values (which serve as a reference), ω_t and ω_b are the frequencies obtained with the taut-string model and the beam model respectively, while ω_ρ and ω_f are obtained with an asymptotic approach. A generic version is noted $\omega_\#$ where $\#$ is any of the symbols in the set $\{n, t, b, \rho, f\}$.

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21 1. Introduction

22 Cables in cable-stayed bridges, hangers in tied-arch bridges, external tendons in box girder bridges, diagonal
23 braces in buildings and ties in tensegrity modules provide a few examples of key structural elements resisting high
24 tensile stresses to ensure the overall stability of crucial structures. The regular determination of the actual tension
25 levels inside these elements is therefore essential to monitor the health state of the structure throughout its lifetime
26 and to detect potential harmful damages from the associated redistribution of forces, at earlier stages than those
27 revealed by visual inspections [1, 2].

28 Highly tensioned members are also most often slender, lightweight, slightly damped and thus particularly sus-
29 ceptible to wind, human or traffic induced vibrations [3, 4]. Stress variations are consequently concentrating in the
30 anchorages zones which are, hence, exposed to structural fatigue [5, 6] in addition to corrosion due to environmental
31 loadings, such as rain or humidity [7, 8]. The supports are therefore critical parts of the structure, most of the
32 damages are suspected to locate over them, but they are known to be difficult to examine visually [9, 7]. By
33 tracking the evolution of their structural properties in time, for instance their rotational rigidity, it could be easier
34 to regularly check their condition and to determine the positions of most of the damaged areas without requiring
35 advanced numerical methods [10, 11].

36 Given the difficulty, not to say the impossibility, to install hydraulic jacks or load cells on existing structures
37 and to calibrate elasto-magnetic sensors or strain gauges on site [12, 13], it is more convenient and affordable to use
38 a nondestructive dynamic testing technique to identify indirectly the structural properties of an element from its
39 natural frequencies and possibly the associated mode shapes which are typically extracted from transverse vibration
40 signals recorded by easy-to-operate accelerometers [14, 15] or which are, more recently, coming from the processing
41 of video images taken by multiple digital recording devices [16, 17]. The only limitation of this approach lies in the
42 necessary mathematical model which has to interlink the structural properties of a given element with its modal
43 characteristics, since the reliability of the identification procedure and the identifiability of the target parameters
44 will inherently depend on the predictive capabilities of this underlying structural model and on its sensitivity to
45 each parameter.

46 At the beginning, vibration-based methods were principally employed to estimate the axial force inside flexible
47 and extensible elements by assimilating them to taut strings, whose tension can be related to the measured natural
48 frequencies through a simple analytical formula, involving solely the prior knowledge of the length and the mass
49 per unit length of the element [18, 19]. While the sagging effects can always be discarded, even for elements with
50 a low extensibility, a small tension-to-weight or a large sag-to-span ratio, provided their transverse vibrations are
51 measured horizontally [20, 3], the bending stiffness effects have to be taken into account for shorter, less loaded or
52 flexurally more rigid elements in order to get a correct estimate of their internal tensile force [21, 22]. It consequently
53 provides the opportunity to treat their flexural rigidity as an additional unknown in the identification procedure
54 [23, 24], since it affects the natural frequencies and the mode shapes of the element but is difficult to determine in
55 advance due to complex internal geometries or to poor information about the materials. Moreover, non-negligible
56 bending stiffness effects interestingly reveal the influence of the rotational end restraints on the dynamics of the

57 cable.

58 Over the years, empirical and practical formulas [24, 25] have been developed to take these effects into account.
 59 Whereas they are mostly dedicated to cables with either perfectly hinged [26], either perfectly clamped boundary
 60 conditions [27], in practice, the end restraints are rarely infinitely flexible or infinitely rigid in rotation and are
 61 rather comprised between these two extreme cases [21]. Similarly to the flexural rigidity of the element, the proper
 62 definition of the rotational stiffnesses of its end restraints is challenging because it depends on structural details,
 63 on the specific technology adopted to fix the element at its extremities, on geometric imperfections but also on
 64 time-dependent variables, such as the aging of the support devices or the potential evolution of their degradation.
 65 These structural parameters can therefore be added to the list of unknowns to identify as well.

66 On the sole basis of measured natural frequencies, which are not modified by the swapping of the two rotational
 67 end restraints, existing methods have shown that it is only possible to determine a single dimensionless group,
 68 accounting for both of them all at once [28], when the measurements are corrupted by external noise or when
 69 the model contains epistemic uncertainties. However, by complementing the natural frequencies with synchronous
 70 measurements of the dynamic deflections of the element at several locations along its length, its rotational end
 71 restraints [29] can be identified after its axial force [30] when its bending stiffness is known in advance, by contrast
 72 with the recent attempts to make the simultaneous identification of both the axial force and the bending stiffness
 73 regardless of the boundary conditions [31, 17, 32].

74 In the present paper, we formulate necessary conditions that need to be fulfilled for an identification procedure
 75 to be effective. A mathematical model is employed to explain the reasons why some existing methods fail or
 76 struggle at identifying all together the axial force, the flexural rigidity and the rotational stiffnesses, when the
 77 considered element has a small bending stiffness. To do so, in Section 2, we first derive simple analytical formulas
 78 for the composite approximations of the natural frequencies and of the mode shapes which take into account this
 79 whole set of parameters. The asymptotic expressions are subsequently used to determine how each structural
 80 parameter influences the modal response of slender and highly tensioned members. It is ultimately possible to
 81 define some guidelines gathering the necessary conditions to set up an appropriate identification procedure. These
 82 minimal requirements are finally presented and challenged by means of numerical simulations or references from
 83 the literature in Section 3.

84 2. Modal analysis of a cable with arbitrary rotational end restraints

85 2.1. Governing equations

86 Figure 1 illustrates the structural model employed in this paper to represent a cable of length L , with constant
 87 bending stiffness EI , axial stiffness EA and mass per unit length M , subjected to a tensile force $T > 0$. Provided
 88 this structural element is sufficiently slender, extensible ($EA \gg T$) and tensioned ($T \gg MLg$) to neglect the sagging
 89 [20], the shear deformability and the rotational inertia effects [33], its free transverse vibrations $v(x, t)$ about its

static equilibrium configuration are governed by the partial differential equation

$$EI \partial_x^4 v - T \partial_x^2 v + M \partial_t^2 v = 0 \quad (1)$$

where $t \in \mathbb{R}^+$ is the time and $x \in [0, L]$ is the position on the cable chord [3]. The equation of motion is then assorted with the four boundary conditions

$$\begin{cases} v(0, t) = 0 \\ v(L, t) = 0 \\ EI \partial_x^2 v(0, t) - K_0 \partial_x v(0, t) = 0 \\ EI \partial_x^2 v(L, t) + K_1 \partial_x v(L, t) = 0 \end{cases} \quad (2)$$

which translate the fixity in translation and the rotational equilibrium of both ends of the cable. As it is schematically depicted in Figure 1, the supports of the cable are supposed to be flexible in rotation and are accordingly modeled by rotational springs, whose stiffnesses are $K_i \geq 0$, with the subscript $i = 0$ for the left end and the subscript $i = 1$ for the right one.

Cables are commonly connected to structural components with markedly different dynamic properties (mass, stiffness, damping), such as in the paradigmatic case of stay cables linking the deck and towers of cable-stayed bridges. Strong differences between the dynamic properties of the interconnected structural elements or substructures often lead to modal localization phenomena, hinting a “quasi-independent” dynamic behavior [34, 35] and naturally leading to a clear distinction between global modes of the overall structure and local modes of the cables [36, 37, 38, 35]. Dynamic interaction and local-global mode hybridization phenomena can always occur and are typically associated to internal resonance conditions between a pair of local-global modes of the structure. Although potentially relevant for the study of the overall dynamic response of the structure, these interaction phenomena typically do not affect a significant number of the lower local modes of a cable, as it has been clearly highlighted through both analytical and experimental investigations on cable-deck and cable-tower dynamic coupling in cable-stayed bridges [18, 35, 39, 37].

Based on the above remarks, cable axial force identification procedures usually rely on analytical models describing the local dynamics of stay cables. Within this context, the dynamic coupling between the cable and the surrounding substructures is generally neglected and boundary conditions are defined in the form of either perfectly

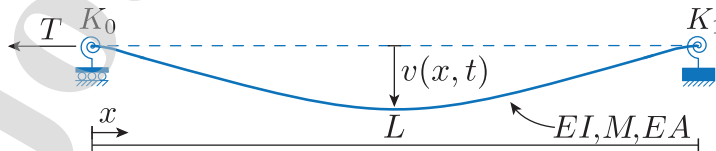


Figure 1: Structural model for an element of length L , with constant bending stiffness EI , axial stiffness EA and mass per unit length M , subjected to a tensile force $T > 0$ and restrained at its extremities by rotational springs of stiffness K_i . The subscripts $i = 0$ and $i = 1$ are respectively attributed to the left or the right end.

111 hinged, either perfectly clamped cable end sections (e.g. [7, 15, 14, 22]) but, in [21], the need for more refined
 112 boundary conditions, accounting for the rotational flexibility of the cable anchorages, is clearly pointed out. Defi-
 113 nition of the rotational stiffness of the equivalent rotational springs strongly depends on the particular technology
 114 adopted to realize the restraints and is affected by different sources of uncertainties, such as those related to geo-
 115 metric imperfections and aging of the support devices. The rotational stiffness of the cable restraints, hence, should
 116 be added to the unknowns of the structural identification problem. The same modeling assumptions are also often
 117 adopted to identify the axial force of tie-rods in vaulted structural systems (e.g. [23, 40]).

118 However, whenever a significant dynamic interaction between a cable and the surrounding components is ex-
 119 pected, such as for example in the case of cable trusses and nets, the proposed modeling strategy cannot be applied
 120 and different boundary conditions should be implemented (e.g. [41, 30]).

121 2.2. Dimensionless formulations

122 A reference length x_r and a reference time t_r are now employed to define the non-dimensional position, $\xi = x/x_r$,
 123 time, $\tau = t/t_r$, and transverse displacement of the cable centerline, $\nu(\xi, \tau) = v(x, t)/x_r$. The introduction of these
 124 new coordinates into the equation of motion provides the dimensionless form

$$\frac{EI}{T} \frac{1}{x_r^2} \partial_\xi^4 \nu - \partial_\xi^2 \nu + \frac{M}{T} \frac{x_r^2}{t_r^2} \partial_\tau^2 \nu = 0. \quad (3)$$

125 The characteristic length is chosen as the length of the cable, $x_r = L$, while the characteristic time $t_r = 1/\Omega_r$ is
 126 chosen as the inverse of the fundamental frequency of a taut string

$$\Omega_r = \frac{1}{L} \sqrt{\frac{T}{M}} \quad (4)$$

127 in order to obtain a unitary coefficient in front of the time derivative. The dimensionless formulation of the equation
 128 of motion thus reads

$$\varepsilon^2 \partial_\xi^4 \nu - \partial_\xi^2 \nu + \partial_\tau^2 \nu = 0 \quad (5)$$

129 where $\xi \in [0, 1]$, $\tau \in \mathbb{R}^+$ and $\varepsilon^2 = EI/TL^2$ is the bending stiffness parameter [42]. This dimensionless number is
 130 equal to zero for a taut string ($EI = 0$) and increases together with bending stiffness effects, when the structural
 131 element tends towards an Euler-Bernoulli beam by becoming shorter, less loaded or flexurally more rigid. In
 132 addition, the governing equation is singularly perturbed when ε is small since it multiplies the highest order space
 133 derivative, prefiguring the existence of boundary layers in the deformed shape of the cable [43, 44, 45]. In practice,
 134 ε is typically lower than 0.02 for slender structural elements, such as stay-cables [46], but belongs to the broader
 135 range $[0.02; 1]$ for thicker structural elements, such as diagonal braces, truss bars, short hangers and tie-rods of
 136 historical vaulted structures [30, 29, 23, 47].

137 The boundary conditions are also dimensionlessly re-written as follows

$$\begin{cases} \nu(0, \tau) = 0 \\ \nu(1, \tau) = 0 \\ \varepsilon^2 \partial_\xi^2 \nu(0, \tau) - \kappa_0 \partial_\xi \nu(0, \tau) = 0 \\ \varepsilon^2 \partial_\xi^2 \nu(1, \tau) + \kappa_1 \partial_\xi \nu(1, \tau) = 0 \end{cases} \quad (6)$$

138 where $\kappa_i = K_i/TL$ are the non-dimensional rotational stiffness coefficients of the left ($i = 0$) and right ($i = 1$)
 139 springs. They take values in the left-bounded interval $[0, \infty[$ with hinged and clamped boundary conditions cor-
 140 responding respectively to the zero lower bound value and to the infinite upper limit value. For modeling and
 141 identification purposes [28], the rotational degree-of-fixity parameters

$$\rho_i = \frac{\kappa_i}{\varepsilon + \kappa_i} \quad (7)$$

142 are preferred over the stiffness coefficients κ_i because their values lie in a closed unit interval $[0, 1]$. Again, the lower
 143 and the upper bounds respectively correspond to the hinged ($\rho_i = 0$) and clamped ($\rho_i = 1$) boundary conditions.

144 2.3. Eigenproblem statement

145 By focusing on stationary oscillatory solutions [48], initial conditions are not required and, in the absence of
 146 external forcing, the transverse displacement can be expressed as the product of a mode shape function $\phi(\xi)$ and
 147 a time-dependent amplitude $q(\tau)$, according to the separation of variables method,

$$\nu(\xi, \tau) = \phi(\xi) q(\tau). \quad (8)$$

148 The partial differential equation of motion, Eq. (5), is consequently transformed into a set of two ordinary differential
 149 equations

$$\begin{cases} \ddot{q} + \omega^2 q = 0 \\ \varepsilon^2 \phi'''' - \phi'' - \omega^2 \phi = 0 \end{cases} \quad (9)$$

150 where superscripted dots $\dot{}$ and apostrophes \prime respectively denote differentiation with respect to τ and ξ . The first
 151 ODE shows that the time-dependent amplitude is given by

$$q(\tau) = q_0 \sin(\omega\tau + \varphi) \quad (10)$$

152 where ω is the dimensionless circular frequency and φ is a constant phase shift depending on the initial conditions
 153 of the problem. The second ODE yields an expression for the mode shapes

$$\phi(\xi) = \mathbf{s}^T \mathbf{r} \quad (11)$$

154 where

$$\mathbf{r} = [r_1, r_2, r_3, r_4]^T \quad (12)$$

155 is the vector of integration constants and

$$\mathbf{s}(\xi) = [\sin(z_1\xi), \cos(z_1\xi), \exp(-z_2\xi), \exp(-z_2(1-\xi))]^T \quad (13)$$

156 is the vector of mode shape functions, in which

$$z_j = \frac{1}{\varepsilon\sqrt{2}} \sqrt{\sqrt{1+4(\omega\varepsilon)^2} + (-1)^j}, \quad j = (1, 2). \quad (14)$$

157 The last two components of the vector \mathbf{s} are expressed with exponentials instead of hyperbolic sine and cosine
 158 functions to highlight the presence of boundary layers [43, 44, 45], developing near the left ($\xi \rightarrow 0^+$) and right
 159 ($\xi \rightarrow 1^-$) ends when $\varepsilon \ll 1$ and the supports are not pinned.

160 The substitution of Eq. (7), (8) and (10) in Eq. (6) gives

$$\begin{cases} \phi(0) = 0 \\ \phi(1) = 0 \\ (1 - \rho_0) \varepsilon^2 \phi''(0) - \rho_0 \varepsilon \phi'(0) = 0 \\ (1 - \rho_1) \varepsilon^2 \phi''(1) + \rho_1 \varepsilon \phi'(1) = 0 \end{cases} \quad (15)$$

161 for the boundary conditions and it is worth noting that the n -th order derivatives are always multiplied by the
 162 n -th power of ε thanks to the definition adopted for the degree-of-fixity parameters in Eq. (7). Besides, by setting
 163 $\rho_i = 0$ or $\rho_i = 1$, one consistently recovers the boundary condition relative to a hinged ($\phi''(i) = 0$) or a clamped
 164 ($\phi'(i) = 0$) end.

165 Eq. (11) is then injected into the boundary conditions, yielding the following algebraic eigenvalue problem

$$\mathbf{B} \mathbf{r} = \mathbf{0} \quad (16)$$

166 where $\mathbf{0}$ is a four-row column vector and \mathbf{B} is the boundary condition matrix

$$\mathbf{B} = \begin{pmatrix} 0 & 1 & 1 & e \\ s & c & e & 1 \\ -z_a \rho_0 & -z_a^2(1 - \rho_0) & z_b^2(1 - \rho_0) + z_b \rho_0 & e z_b^2(1 - \rho_0) - e z_b \rho_0 \\ c z_a \rho_1 - s z_a^2(1 - \rho_1) & -s z_a \rho_1 - c z_a^2(1 - \rho_1) & e z_b^2(1 - \rho_1) - e z_b \rho_1 & z_b^2(1 - \rho_1) + z_b \rho_1 \end{pmatrix} \quad (17)$$

167 with

$$s = \sin(z_1) ; c = \cos(z_1) ; e = \exp(-z_2) ; z_a = \varepsilon z_1 ; z_b = \varepsilon z_2.$$

168 The eigenproblem described by Eq. (16) is solved in two steps. Firstly, the eigenvalues ω_n , corresponding to
 169 the non-dimensional natural frequencies of the cable, are obtained by finding the roots of the determinant of the
 170 boundary conditions matrix

$$\det(\mathbf{B}) = 0 \quad (18)$$

171 and are thus functions of ε , ρ_0 and ρ_1 . Since the matrix \mathbf{B} involves trigonometric functions, Eq. (18) takes a
 172 transcendental form and admits countably infinite non-trivial solutions, $\omega_{n,k} \neq 0$ with $k \in \mathbb{N}^+$, as it is expected for
 173 a continuous structural element. Secondly, the eigenvalues provide inputs for the boundary conditions matrix and
 174 the solution of Eq. (16) gives the associated eigenvectors $\mathbf{r}_{n,k}$ (also called the vectors of integration constants).

175 2.4. Closed-form analytical solutions

176 In general, the zeros of Eq. (18) can be evaluated through any suitable root finding algorithm and, in this paper,
 177 the bisection method was successively applied to obtain them numerically, by using the dichotomy algorithm that
 178 has already been validated against a finite element model in [28]. However, in order to get a deeper understanding
 179 of the influence of each parameter on the dynamics of the cable, analytical formulas for the natural frequencies and
 180 the mode shapes are derived as well in the appendix. They are presented for cases of increasing complexity, starting
 181 from a doubly-hinged cable, which is the only configuration enabling to get exact closed-form expressions. Then,
 182 asymptotic solutions are derived for the small and the large natural frequencies of built-in and spring-supported
 183 elements whose bending stiffness parameter $\varepsilon \ll 1$. At the end, a composite approximation is obtained for the
 184 natural frequencies of a cable with partially restrained extremities by matching these asymptotic expressions. It
 185 reads

$$\omega_\rho^2 = (1 - \varepsilon\rho_r)^{-2} (k\pi)^2 + \varepsilon^2 (k\pi)^4 \quad (19)$$

186 where k is the mode number and $\rho_r = \rho_0 + \rho_1$ is the boundary condition parameter while the vectors of integration
 187 constants are asymptotically approached by

$$\mathbf{r}_\rho = \begin{pmatrix} 1 \\ -C \\ +C \\ \cos(z_1)C - \sin(z_1) \end{pmatrix} \quad (20)$$

188 with

$$C = \frac{\rho_0 z_a}{(1 - \rho_0)(z_a^2 + z_b^2) + \rho_0 z_b} \quad (21)$$

189 as detailed in the appendix.

190 2.5. Comments on the natural frequencies

191 For symmetry reasons, the natural frequencies are not modified by the swapping of ρ_0 and ρ_1 . They are therefore
 192 foreseen to depend on symmetric combinations of these two degrees-of-fixity, such as their sum ρ_r which appears

at leading order in Eq. (19) and satisfies the symmetry condition $\rho_r(\rho_0, \rho_1) = \rho_r(\rho_1, \rho_0)$. By introducing also $\rho_s = (\rho_0 - \rho_1)^2$, which is supposed to influence the natural frequencies at higher orders, the system of equation formed by ρ_r and ρ_s admits the two following pairs of solutions, for ρ_0 and ρ_1 ,

$$\begin{cases} 2\rho_0 &= [1 \pm \alpha] \rho_r \\ 2\rho_1 &= [1 \mp \alpha] \rho_r \end{cases} \quad \text{with} \quad \alpha = \sqrt{\frac{\rho_s}{\rho_r^2}} \quad (22)$$

that are characterised by the same natural frequencies.

Figure 2 illustrates the good agreement between the natural frequencies of a cable with intermediate rotational supports computed numerically or analytically, by means of Eq. (19). The results are presented for two different bending stiffness parameters, $\varepsilon = 0.05$ or $\varepsilon = 0.1$, and under four (ρ_r, ρ_s) pairs: (i) $\rho_r = 0$ and $\rho_s = 0$ ($\rho_0 = \rho_1 = 0$, doubly-hinged cable); (ii) $\rho_r = 2$ and $\rho_s = 0$ ($\rho_0 = \rho_1 = 1$, doubly-clamped cable); (iii) $\rho_r = 1$ and $\rho_s = 0$ ($\rho_0 = \rho_1 = 0.5$, same intermediate rigidity at both ends); (iv) $\rho_r = 1$ and $\rho_s = 0.36$ ($\rho_0 = 4\rho_1 = 0.8$ or equivalently $4\rho_0 = \rho_1 = 0.8$). In addition, they are compared to the outputs of the second-order accurate asymptotic expression

$$\omega_f^2 = (k\pi)^2 \left(1 + \varepsilon\rho_r + (\varepsilon\rho_r)^2 + \frac{1}{2}\varepsilon^2 (k\pi)^2 \right)^2 \quad (23)$$

that has been developed to approximate the first few frequencies in [28] and generalizes the formula derived by Morse and Ingard for doubly-clamped cables [27], to account for a partial flexibility of the anchorages.

By looking at Figure 2 and by examining Eq. (19), it appears that the natural frequencies of a cable should follow two distinctive trends, depending on the mode number k , the bending stiffness parameter ε and the boundary conditions parameter ρ_r . Indeed, the second term of the sum in Eq. (19) is actually negligible compared to the first one when k is much smaller than $1/[(\pi\varepsilon)(1 - \varepsilon\rho_r)]$, and conversely. Far below this threshold, $\omega_\rho^2 \sim (1 - \varepsilon\rho_r)^{-2} (k\pi)^2$ and the natural frequencies grow like those of a taut string

$$\omega_t^2 = (k\pi)^2 \quad (24)$$

with a slope of 2 in log-log scale [19], as exhibited in Figure 2-(A). The ratios of ω_ρ^2/ω_t^2 presented in Figure 2-(B) are hence close to $(1 - \varepsilon\rho_r)^{-2}$ for the first few modes. At the opposite, for values of k far above $1/[(\pi\varepsilon)(1 - \varepsilon\rho_r)]$, $\omega_\rho^2 \sim \varepsilon^2 (k\pi)^4$ and the natural frequencies are approaching those of a simply-supported Euler-Bernoulli beam

$$\omega_b^2 = \varepsilon^2 (k\pi)^4 \quad (25)$$

with a slope of 4 in log-log scale [26], see Figure 2-(A,C). In that second asymptotic case, the reference numerical solution as well as the proposed composite solution also indicate that the natural frequencies are independent of the rotational stiffnesses at leading order.

Given that the range of validity of Eq. (23) is limited to small natural frequencies, it correctly fits the reference numerical solutions for small k but tends to drift as k increases. Indeed, Eq. (23) and Figure 2-(A) both show that

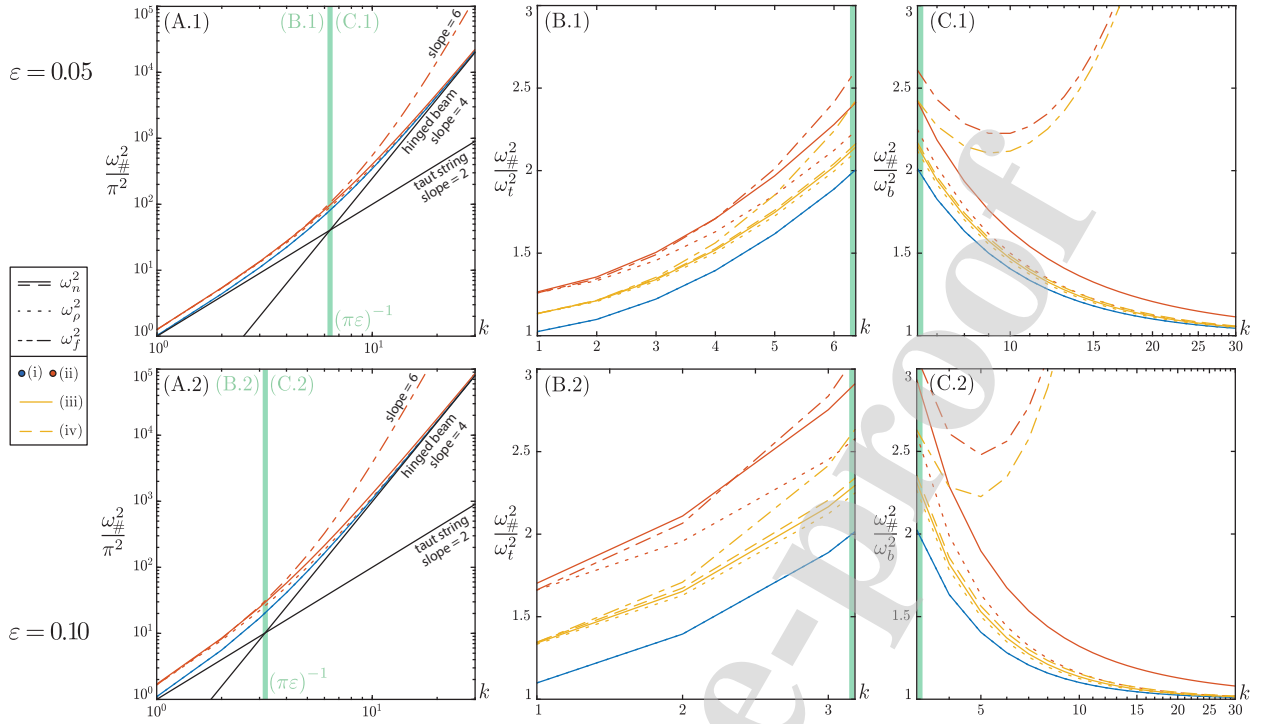


Figure 2: Comparison between the squared natural frequencies computed numerically, by means of a dichotomy algorithm [28], or analytically, with Eq. (19) and Eq. (23), as functions of the mode number k for two different values of the bending stiffness parameters, $\varepsilon = 0.05$ and $\varepsilon = 0.10$, and under four (ρ_r, ρ_s) pairs: (i) $(0, 0)$; (ii) $(2, 0)$; (iii) $(1, 0)$; (iv) $(1, 0.36)$. (A) Global picture. (B) In ratio with ω_t^2 from Eq. (24). (C) In ratio with ω_b^2 from Eq. (25). The subscript $\#$ refers to n , ρ or f depending on the line type, as indicated in the legend.

218 the squared natural frequencies ω_f^2 appropriately grow as k^2 for small k , while they increase as k^6 when $k \rightarrow \infty$,
 219 which is faster than the power law in k^4 expected for higher modes.

220 Last but not least, Eq. (19) ensures that the natural frequencies are, as always, increasing with the rigidity,
 221 whether it is due to the stiffening of the cable itself (ε) or of its end restraints (ρ_r), and that the exact limit cases
 222 of a taut string [19] or of a pinned-pinned cable [26] are easily recovered by setting either ε , either ρ_r , to zero, i.e.
 223 $\lim_{\varepsilon, \rho_r \rightarrow 0^+} |\omega_\rho^2 - \omega_n^2| = 0$ and $\lim_{\varepsilon, \rho_r \rightarrow 0^+} |\mathbf{r}_\rho - \mathbf{r}_n| = 0$. It thus gives Eq. (24) or

$$\omega_h^2 = (k\pi)^2 + \varepsilon^2 (k\pi)^4 \quad (26)$$

224 for the natural frequencies, respectively, and

$$\phi_s(\xi) = \sin(k\pi\xi) \quad (27)$$

225 for the mode shapes in both cases.

226 2.6. Comments on the mode shapes

227 Speaking of which, the mode shapes of taut strings and cables hinged at both ends are represented by black lines
 228 in Figure 3, along with the mode shapes of cables whose supports are characterized by three other pairs of rotational

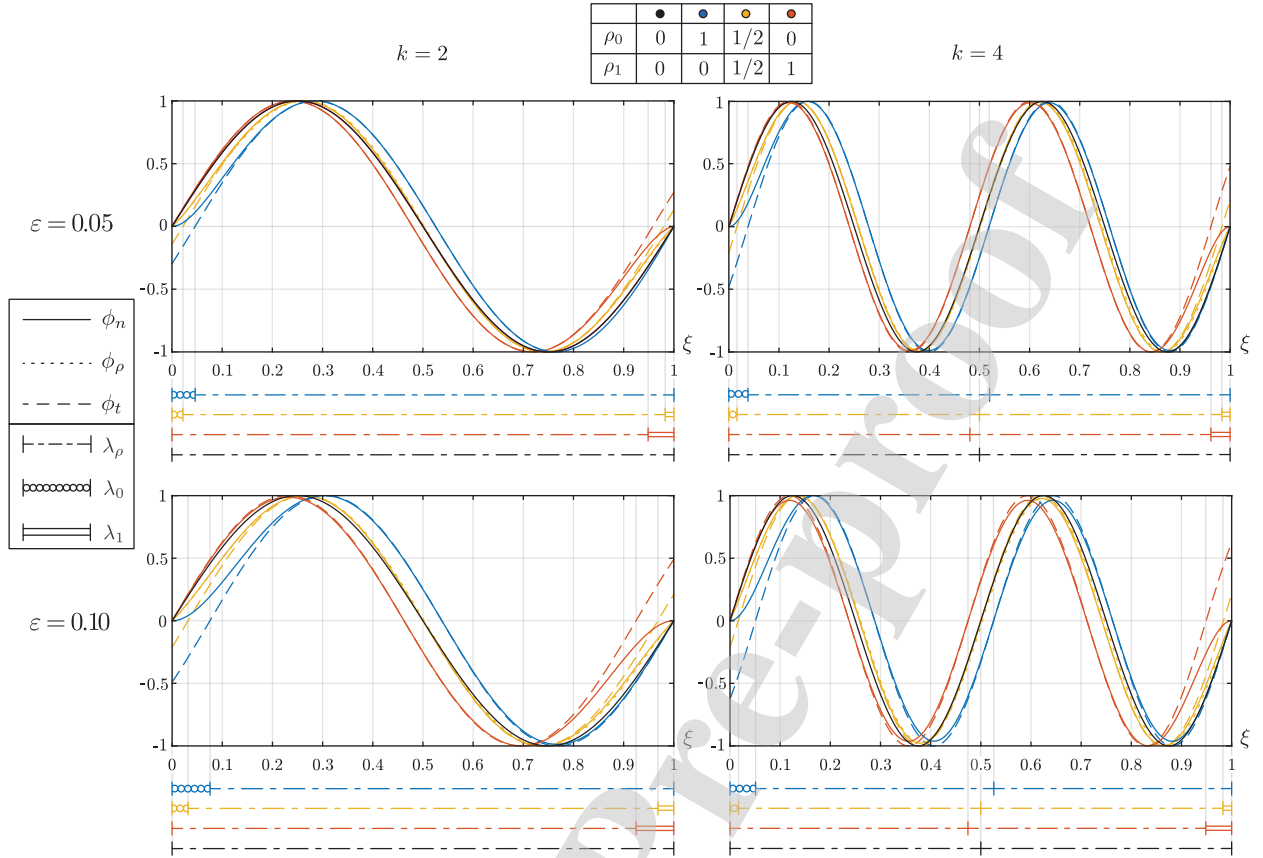


Figure 3: Comparison between the second and fourth mode shapes computed numerically, ϕ_n , by following the steps detailed in Section 2.3 or analytically, ϕ_ρ , by means of Eq. (28) for two different values of the bending stiffness parameters, $\varepsilon = 0.05$ and $\varepsilon = 0.10$, and under four (ρ_0, ρ_1) pairs: (i) $(0, 0)$; (ii) $(1, 0)$; (iii) $(1/2, 1/2)$; (iv) $(0, 1)$.

229 degrees-of-fixity: $(\rho_0, \rho_1) = (1, 0)$ in blue, $(\rho_0, \rho_1) = (1/2, 1/2)$ in yellow and $(\rho_0, \rho_1) = (0, 1)$ in orange. Just as
 230 Figure 2 for the natural frequencies, Figure 3 reveals an almost perfect fit between the mode shapes computed
 231 numerically or analytically by injecting Eq. (19) and Eq. (20) into Eq. (11):

$$\phi_\rho(\xi) = \sin(z_1\xi) - C \cos(z_1\xi) + C \exp(-z_2\xi) + (\cos(z_1)C - \sin(z_1)) \exp(-z_2(1-\xi)). \quad (28)$$

232 As shown in Figure 3 as well, the wavelength

$$\lambda_\rho = \frac{2\pi}{z_1} \quad (29)$$

233 of the sine and cosine functions encountered in Eq. (28) is shorter than those of a taut string or of a pinned-pinned
 234 cable

$$\lambda_s = \frac{2}{k} \quad (30)$$

235 when ε and ρ_r are both different from zero, since boundary layers develop at each non-hinged end of cables with
 236 small bending stiffness. Such a reduction of the wavelength is naturally (and mathematically, via z_1) consistent with

237 the increase observed in the natural frequencies under the same conditions. This discrepancy regularly disappears
 238 as either ε , either ρ_r , tends to zero, i.e. $\lim_{\varepsilon \rho_r \rightarrow 0^+} |\lambda_\rho - \lambda_s| = 0$.

239 Aside from this global effect and interestingly enough, the mode shapes are also affected locally, through the
 240 vectors of integration constants, by the independent variation of each degree-of-fixity parameter and by their possible
 241 interchange, contrary to the natural frequencies. As a matter of fact, the first two terms of Eq. (28), involving sine
 242 and cosine functions of the same argument, can be merged to obtain the following expression

$$\phi_t(\xi) = \sqrt{1 + C^2} \sin(z_1 \xi - \arctan(C)) \quad (31)$$

243 which outlines how the left boundary layer influences the mode shapes through the small bending stiffness parameter,
 244 i.e. when $0 < \varepsilon \ll 1$.

245 Indeed, if ρ_0 is different from zero, a boundary layer develops on the left end of the cable [45] because $C > 0$,
 246 just as the third integration constant. The mode shapes are therefore shifted to the right by a distance λ_0 equal
 247 to $\arctan(C)/z_1$ which is asymptotically approximated by $\varepsilon \rho_0$ for lower modes and tends towards zero for higher
 248 ones. Similarly, if ρ_1 is different from zero, a boundary layer emerges on the right end of the cable and extends over
 249 a distance equal to λ_1 as a result of the same wavelength reduction as mentioned before.

250 3. Inverse parameter identification problem

251 3.1. Minimal requirements

252 Most vibration-based identification procedures rely on a structural model and consist in updating its parameters
 253 until the error between the outcomes of its modal analysis and their experimental equivalents is minimized. In this
 254 paper, the structural model employed has been presented in Section 2. It is assumed that a set of dimensional natural
 255 frequencies Ω_{m,k_ω} and modal amplitudes $\phi_{m,k_\phi}(\xi_{k_\xi})$ at measurement positions ξ_{k_ξ} , with $k_\omega \in \mathbb{N}^+ \cap \{k_\omega \leq n_\omega\}$,
 256 $k_\phi \in \mathbb{N}^+ \cap \{k_\phi \leq n_\phi\}$ and $k_\xi \in \mathbb{N}^+ \cap \{k_\xi \leq n_\xi\}$, can be collected experimentally from transverse vibrations measured
 257 via standard dynamic testing techniques, since cables are typically light, slender, slightly damped and therefore easily
 258 excited by relatively small inputs of energy. Thanks to the simplicity of the expressions for the natural frequencies
 259 and the mode shapes introduced respectively in Eq. (19) and Eq. (28), the conclusions drawn in the previous
 260 section about the modal properties of a cable with arbitrary rotational end restraints are now exploited to provide
 261 some guidelines for the development of a method to identify the fundamental frequency $\tilde{\Omega}_r$, the bending stiffness
 262 parameter $\tilde{\varepsilon}$ and the rotational degrees-of-fixity, $\tilde{\rho}_0$ and $\tilde{\rho}_1$. Assuming that the length L and the mass per unit
 263 length M are known, these four numbers are subsequently employed to evaluate the tension $\tilde{T} = ML^2\tilde{\Omega}_r^2$ first, which
 264 is in turn used to determine the bending stiffness $\tilde{EI} = \tilde{T}L^2\tilde{\varepsilon}^2$ and the rotational stiffnesses $\tilde{K}_i = \tilde{T}L\tilde{\varepsilon}\tilde{\rho}_i/(1 - \tilde{\rho}_i)$
 265 of the cable. Irrespective of the bending stiffness and end conditions, any relative error Δ_M on the mass per unit
 266 length would result in a relative error $\Delta_T = \Delta_M$ on the identified tension and a relative error $\Delta_{EI} = \Delta_M$ on the
 267 bending stiffness [3].

268 In theory, it should be possible to get estimates for $\tilde{\Omega}_r$, $\tilde{\varepsilon}$, $\tilde{\rho}_r$ and $\tilde{\rho}_s$ on the sole basis of natural frequencies but,
 269 in practice, because of the smallness of ε , it is unrealistic to catch a third or fourth order detail like $\tilde{\rho}_s$ when the
 270 measured natural frequencies are corrupted by external noise and the model suffers from epistemic uncertainties.
 271 Moreover, the system of Eq. (22) potentially formed by $\tilde{\rho}_r$ and $\tilde{\rho}_s$ admits two solutions that prevent the attribution
 272 of a single value to each end restraint parameter, in compliance with the insensitivity of the natural frequencies to
 273 the swapping of $\tilde{\rho}_0$ and $\tilde{\rho}_1$.

274 In fact, according to Eq. (19), the squares of measured natural frequencies can be fitted properly by a second
 275 degree polynomial with only two terms at leading order, i.e. $a(k\pi)^2$ and $b(k\pi)^4$. Each of those two terms is leading
 276 over the other on a specific range of mode numbers and depends on the bending stiffness parameter. The first
 277 term, which is dominant for the first few frequencies, is also a function of the degree-of-fixity $\rho_r = \rho_0 + \rho_1$. Because
 278 there are only two terms or two coefficients, a and b , only two parameters out of three ($\Omega_r, \varepsilon, \rho_r$) are identifiable
 279 from measured natural frequencies provided that the identification procedure relies upon (i) the first few natural
 280 frequencies, that are approximately given by the first term of the sum in Eq. (19) and should thus provide a correct
 281 estimation of the first-order coefficient, and (ii) a few natural frequencies whose mode numbers are close enough or
 282 even superior to the threshold value $1/[(\pi\varepsilon)(1 - \varepsilon\rho_r)]$, in order to see the influence of the second term of the sum
 283 in Eq. (19), that grants access to the second-order coefficient of the polynomial. This observation therefore advises
 284 on which natural frequencies should enter, at least, into the objective function that has to be minimized through
 285 the updating process. As a corollary, to use only frequencies below the threshold $1/[(\pi\varepsilon)(1 - \varepsilon\rho_r)]$ would not grant
 286 access to the coefficient of the second term, in b , and would result in a procedure that is able to identify only one
 287 out of the three parameters ($\Omega_r, \varepsilon, \rho_r$).

288 Additional information coming from the identified mode shapes is then required to determine ρ_r or even more
 289 specifically ρ_0 and ρ_1 . The closed form expression of the mode shapes indicates that they are divided into three
 290 parts at most, or more precisely one internal and two extremal parts, and that each of them is modified at leading
 291 order by different structural parameters. The internal part is defined by a sinusoidal function and gives access to
 292 the corresponding wavelength (affected by the bending stiffness), which is redundant with the natural frequencies
 293 via z_1 and z_2 , while the extents of the boundary layers near the cable ends are asymptotically approached by $\varepsilon\rho_0$
 294 and $\varepsilon\rho_1$ for the first few modes, respectively. Measurements of the modal displacements at both ends, that are
 295 associated to these first few mode numbers, thus provide the possibility to identify ρ_0 and ρ_1 independently. This
 296 requires the measurement points to be concentrated at the extremities of the cable, in the boundary layers, in order
 297 to capture λ_0 and λ_1 . Besides, these distances shorten with increasing mode numbers. As a consequence, the first
 298 few modes, essentially, should be included in the identification procedure, for practical reasons.

299 In brief, the recommendations for choosing which information should be considered in the identification are the
 300 following: use measurements of (i) the first few natural frequencies, (ii) a few natural frequencies whose mode order
 301 $k_\omega \geq 1/\pi\varepsilon$, (iii) the first few mode shapes, (iv) with measurement points located at both extremities of the cable,
 302 inside each boundary layer, i.e. $\xi \sim \varepsilon\rho_0$ and $\xi \sim (1 - \varepsilon\rho_1)$ respectively.

303 *3.2. Objective function*

304 Within this context, the unknown parameters $\mathbf{x} = \{\Omega_r, \varepsilon, \rho_0, \rho_1\}$ can be identified by solving the nonlinear
305 constrained optimization problem

$$\tilde{\mathbf{x}} = \underset{\mathcal{S}}{\operatorname{argmin}} [F_{\text{obj}}(\bar{\mathbf{x}})] \quad (32)$$

306 in which the objective function F_{obj} reads

$$F_{\text{obj}}(\bar{\mathbf{x}}) = \frac{1}{n_\omega} \sum_{k_\omega=1}^{n_\omega} \left[1 - \frac{\Omega_{n,k_\omega}(\bar{\mathbf{x}})}{\Omega_{m,k_\omega}(\mathbf{x})} \right]^2 + \frac{1}{n_\phi} \frac{1}{n_\xi} \sum_{k_\phi=1}^{n_\phi} \sum_{k_\xi=1}^{n_\xi} \left[1 - \frac{\sigma_{n,k_\phi}(\xi_{k_\xi}; \bar{\mathbf{x}})}{\sigma_{m,k_\phi}(\xi_{k_\xi}; \mathbf{x})} \right]^2 \quad (33)$$

307 where the dimensional frequencies $\Omega_{n,k_\omega} = \Omega_r \omega_{n,k_\omega}$ are computed numerically and the relative modal displacements

$$\sigma_{\#,k_\phi}(\xi_{k_\xi}) = \frac{\phi_{\#,k_\phi}(\xi_{k_\xi})}{\phi_{\#,k_\phi}(\xi_{\text{ref}})} \quad (34)$$

308 are defined with respect to the reference displacement located at the position ξ_{ref} . The number of natural frequencies
309 n_ω , the number of modes n_ϕ and the measurements positions ξ_{k_ξ} are selected in accordance with the guidelines
310 listed above. Please notice that these requirements can be further reduced if one does not aim at determining
311 simultaneously the four structural parameters, or if some of them are already known. In this case, the objective
312 function can be modified accordingly, by removing the mode shapes if it is not necessary to identify the rotational
313 end restraints for instance. Several applications available in the literature are particular cases of this general
314 formulation; they are further discussed and analyzed in Section 3.5.

315 Finally, the vector of parameters subjected to the updating strategy $\bar{\mathbf{x}} = \{\bar{\Omega}_r, \bar{\varepsilon}, \bar{\rho}_0, \bar{\rho}_1\}$ is defined on the searching
316 space \mathcal{S} , delimited by the physical constraints

$$\begin{cases} \tilde{\Omega}_c \leq \bar{\Omega}_r \leq \tilde{\Omega}_h \\ \tilde{\varepsilon}_h \leq \bar{\varepsilon} \leq \tilde{\varepsilon}_c \\ 0 \leq \bar{\rho}_0 \leq 1 \\ 0 \leq \bar{\rho}_1 \leq 1 \end{cases} \quad (35)$$

317 where

$$\left(\tilde{\Omega}_h, \tilde{\varepsilon}_h \right) = \underset{(\mathbb{R}^+, \mathbb{R}^+)}{\operatorname{argmin}} [F_{\text{obj}}(\{\tilde{\Omega}_h, \bar{\varepsilon}_h, 0, 0\})] \quad \text{and} \quad \left(\tilde{\Omega}_c, \tilde{\varepsilon}_c \right) = \underset{(\mathbb{R}^+, \mathbb{R}^+)}{\operatorname{argmin}} [F_{\text{obj}}(\{\tilde{\Omega}_c, \bar{\varepsilon}_c, 0, 0\})] \quad (36)$$

318 are respectively the best fits obtained while assuming the cable to be hinged or clamped at both ends.

319 Although the traditional way to validate a new identification technique would be to demonstrate its applicability
320 and robustness in various configurations, by including for instance some noise on simulated data or even by showing
321 the realism of the results obtained with on site measurements, the goal of this paper is quite the opposite. It
322 aims at establishing the minimum conditions to be fulfilled for the identification method to be fruitful. With this in
323 mind, we chose to make some minimalistic noise corruption of the observations and study under which conditions
324 the parameters of the identification method (e.g. number of modes, positions of sensors) **do not** allow a successful

325 identification of the unknown mechanical parameters.

326 The assumed measured natural frequencies $\Omega_{m,k}$ are thus obtained by corrupting the outputs of the numerical
327 model presented in Section 2.3 as follows,

$$\Omega_{m,k}(\mathbf{x}) = (1 + \chi) \Omega_{n,k}(\mathbf{x}) \quad (37)$$

328 where $\chi \sim \mathcal{N}(0, I_n^2)$, i.e. is a zero-mean Gaussian noise with a low intensity, or standard deviation, I_n equal to 0%,
329 0.5% or 1% [3]. This approach is used in many other numerical studies [49, 50, 51, 52, 53] even though the intensity
330 of the noises observed on natural frequencies determined through experimental or operational modal analysis is
331 neither always constant over the mode ranks, nor always Gaussian [54, 55]. Nevertheless, it still allows to corrupt
332 the natural frequencies, at least in a simple way, as desired.

333 Besides, it is decided to not corrupt the mode shapes whose measured values are then assumed to be given by

$$\phi_{m,k_\phi}(\xi_{k_\xi}; \mathbf{x}) = \phi_{n,k_\phi}(\xi_{k_\xi}; \mathbf{x}) \quad (38)$$

334 since the point of this paper is to derive necessary conditions to be met for the identification to be successful, as stated
335 before. Contrary to the natural frequencies, adding independent Gaussian noises on each mode shape measurement
336 without taking any spatial correlation into account is by no means realistic nor appropriate, as stated in [55]. To
337 be in line with the current practices which recur to mode smoothing techniques (see e.g. [56]), one possibility to
338 deal with experimental data among many others, including finite element model updating or low-pass filtering, is
339 to assume that the measured mode shapes are correctly approximated by their asymptotic approximation, see Eq.
340 (31) and to find the constant C that provides the best fit between measured and computed modal displacements.
341 Then, a suitable manner to reproduce the effects of uncertainties that are correlated in space would be to corrupt
342 the mode shapes by slightly and randomly modifying the constant C. These additional illustrations are not deemed
343 essential to support the main message of this paper. The results obtained with such corrupted modal displacements
344 are thus provided in the supplementary material.

345 As a prelude to the following investigations, the evolution of the objective function close to target parameters,
346 $\mathbf{x} = \{5 \text{ rad/s}, 0.06, 0.4, 0.6\}$, is represented in Figure 4 for a specific set of measurements, $k_\omega = \{1 - 15\}$, $k_\phi =$
347 $\{1 - 3\}$ and $\xi_{k_\xi} = \{1, 2, 4\}^{\varepsilon/2} \cup 1 - \{4, 2, 1\}^{\varepsilon/2}$, which follows the recommendations enumerated in Section 3.1: the
348 threshold frequency is $1/\pi\varepsilon = 5.3$, so there are (i) 5 natural frequencies below the threshold, (ii) 10 frequencies
349 above the threshold, (iii) the first three mode shapes are used and (iv) their amplitude in the boundary layers are
350 considered. The contours of the objective function show that the problem is well conditioned in the 4-dimensional
351 searching space \mathcal{S} and is characterized by a low sensitivity to noise. The specific shapes of the contours also reveal
352 the existence of a single optimum.

353 By contrast, dropping any minimal requirement results in an ill-posed problem. In particular, when modal
354 amplitudes are not measured at all, the topology of the objective function drastically changes, as shown in Figure
355 5. It appears to be far less sensitive to ε but to be much more affected by the noise than before, especially in the

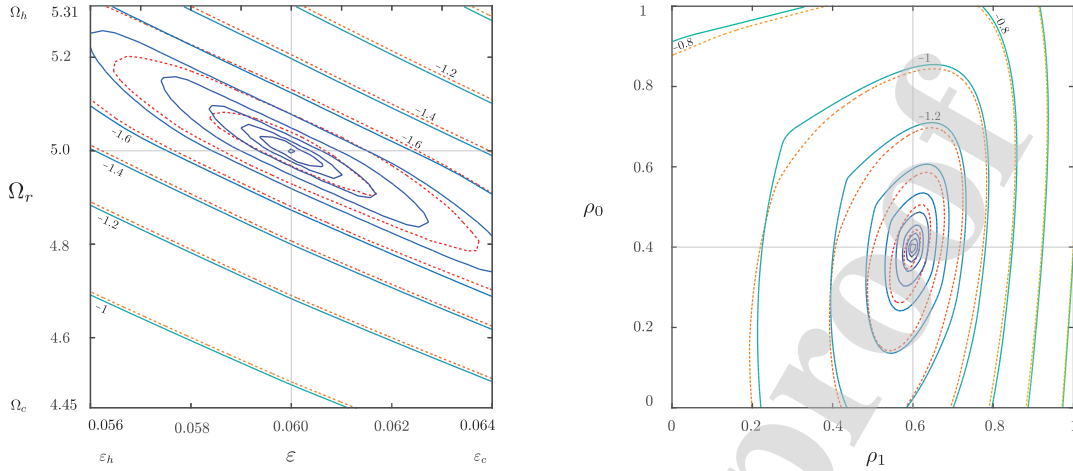


Figure 4: Contours of the objective function in log-scale around a given set of target parameters, $\mathbf{x} = \{5 \text{ rad/s}, 0.06, 0.4, 0.6\}$, when $k_\omega = \{1 - 15\}$, $k_\phi = \{1 - 3\}$ and $\xi = \{1, 2, 4\} \varepsilon/2 \cup 1 - \{4, 2, 1\} \varepsilon/2$. Blue-to-green plain lines and red-to-yellow dashed lines correspond respectively to different noise intensities, $I_n = 0\%$ and $I_n = 1\%$. Contours are spaced by a 0.2 difference in the decimal logarithm of the objective function.

356 plane $\rho_0 - \rho_1$ where the straight line $\rho_0 = \rho_1$ has in fact turned into an axis of symmetry. This is obviously in
 357 accordance with the insensitivity of the natural frequencies alone to the swapping of ρ_0 and ρ_1 . Figure 5 finally
 358 demonstrates that ρ_r and ρ_s are clearly not identifiable as soon as the noise intensity is different from zero since
 359 it completely flattens the objective function in a large area, see dashed lines, while two minima take place in the
 360 absence of noise.

361 3.3. Differential Evolution

362 In this paper, a custom implementation of the Differential Evolution (DE) algorithm introduced by Storn and
 363 Price [57], belonging to the family of improved variants proposed by [58], has been implemented in order to find the
 364 set of parameters that minimizes the objective function presented in Eq. (33) and, as a result, solve the nonlinear
 365 constrained optimization problem presented in Eq. (32). As it is well known [59], gradient-free algorithms like
 366 DE are particularly well suited to deal with cost functions that are not very sensitive to some input parameters or
 367 that are likely to exhibit several local minima, as it is the case for the objective function at hand, which is almost
 368 indifferent to modifications of the degree-of-fixity parameters for small values of the bending stiffness parameter ε
 369 and contains local minima as soon as unavoidable measurement errors affect the modal characteristics of the cable.

370 In more details, DE is an Evolutionary Algorithm operating on a population of N candidate solution vectors
 371 to make it evolve over the iterations and eventually converge towards a target vector which globally minimizes the
 372 objective function. As illustrated in Figure 6, a typical run for a cable characterized by the vector of parameters
 373 $\mathbf{x} = \{5 \text{ rad/s}, 0.06, 0.4, 0.6\}$ starts with an initial population composed of $(N - 2^4)$ elements (blue dots in Figure
 374 6) which are randomly chosen within the searching space \mathcal{S} , as usual, and 2^4 elements (red dots in Figure 6)
 375 which are especially imposed here to cover the full range of parameters, as indicated in Eq. (35), in order to ensure that

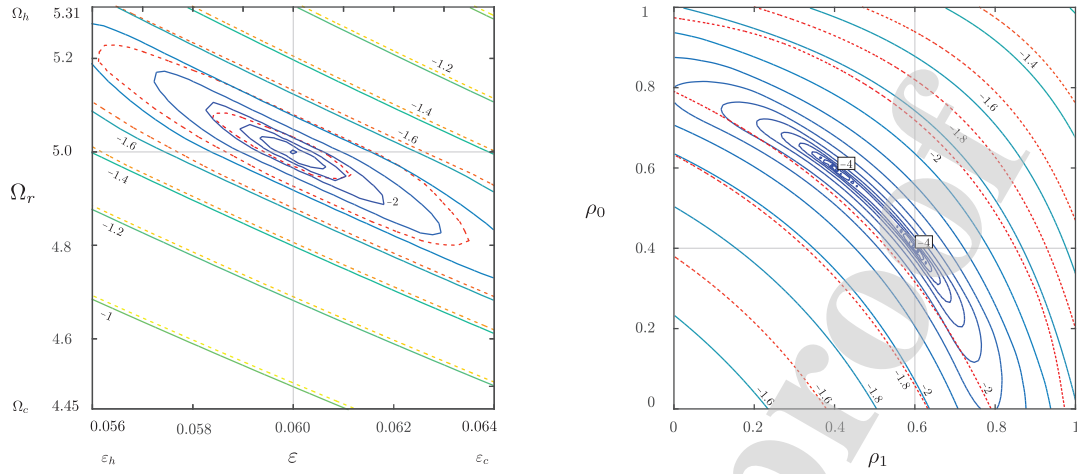


Figure 5: Contours of the objective function in log-scale around a given set of target parameters, $\mathbf{x} = \{5 \text{ rad/s}, 0.06, 0.4, 0.6\}$, when $k_\omega = \{1 - 15\}$, $k_\phi = \emptyset$ and $\xi_{k_\xi} = \emptyset$. Blue-to-green plain lines and red-to-yellow dashed lines correspond respectively to different noise intensities, $I_n = 0\%$ and $I_n = 1\%$. Contours are spaced by a 0.2 difference in the decimal logarithm of the objective function.

376 the algorithm is able to head towards the boundaries of the searching space \mathcal{S} .

377 Then, offsprings are generated by perturbing the current population with scaled differences of randomly selected
 378 elements and the new population is the result of a one-to-one parent/offspring competition based on the value of
 379 the objective function associated to each candidate. The physical constraints can thus be enforced by allocating
 380 a penalty to the elements that do not fulfill the conditions described in Eq. (35) and iterations are performed
 381 until the final population (turquoise dots in Figure 6) satisfies at least one of the following termination criteria: (a)
 382 the cost function of the best member is lower than the prescribed value OBJ , (b) the relative difference between
 383 the objective functions corresponding to the best and worst members of the population is below a given threshold
 384 named TOL [60], (c) the number of iterations NIT reaches its specified maximum value $MAXIT$.

385 For instance, the convergence of the algorithm during a typical run is depicted in Figure 7. A cable is supposed
 386 to be described by the vector of parameters $\mathbf{x} = \{5 \text{ rad/s}, 0.06, 0.4, 0.6\}$ again and intermediate parameter estimates
 387 associated to the member with the lowest cost function, i.e. the best member, are displayed for each iteration NIT ,
 388 together with the values that have to be compared to OBJ and TOL in order to stop the iterative procedure.

389 3.4. Numerical verification

390 While Figure 6 and Figure 7 show the initial and final populations, but also the results obtained for a single set
 391 of target parameters, Figure 8 and Figure 9 respectively gather the errors made on the four direct $(\tilde{\Omega}_r, \tilde{\varepsilon}, \tilde{\rho}_0, \tilde{\rho}_1)$
 392 and indirect $(\tilde{T}, \tilde{EI}, \tilde{K}_0, \tilde{K}_1)$ outputs of the identification procedure obtained for many configurations by running
 393 DE with a number of initial candidate vectors $N = 40$, a scale factor $F = 0.8$, a crossover parameter $CR = 0.9$, see
 394 [59] for further explanations, and the termination criteria: $TOL = 5 \cdot 10^{-4}$, $OBJ = 10^{-4}$ and $MAXIT = 250$ which
 395 are responsible for the small errors represented in Figure 8 and Figure 9 when $I_n = 0\%$. These values have been

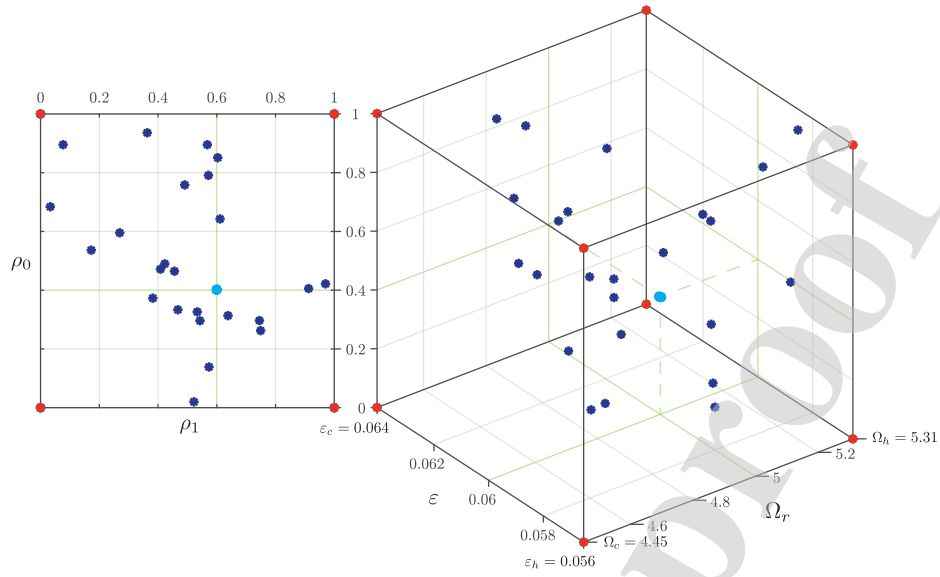


Figure 6: Illustration of the searching space and distribution of the initial (blue and red dots) and final (turquoise dots) populations associated to a typical run of DE for a cable whose target parameters are represented by green lines. The initial population is composed of randomly chosen elements, in blue, and elements on the boundary of the searching space, in red.

396 chosen loose enough to guarantee that the iterative procedure never ends because of the criterion (c), as is well the
 397 case in Figure 7 where criterion (a) or criterion (b) are respectively fulfilled when the noise intensity is either equal
 398 to zero, either equal to one percent.

399 In the extensive examples, the fundamental frequency Ω_r is always fixed at 5 rad/s and two different bending
 400 stiffness parameters, $\varepsilon = 0.03$ ($1/\pi\varepsilon = 10.6$) and $\varepsilon = 0.06$ ($1/\pi\varepsilon = 5.3$), are considered along with six different values
 401 for each rotational degree-of-fixity parameter: 0, 0.2, 0.4, 0.6, 0.8 and 1. The objective function included 15 natural
 402 frequencies, 3 mode shapes and 3 measurement points at each end of the cable, positioned at the dimensionless
 403 coordinates $\xi = \{1, 2, 4\} \varepsilon/2$ and $\xi = 1 - \{4, 2, 1\} \varepsilon/2$. This specific choice of measurements ensures that the guidelines
 404 provided before are all followed, no matter the value of the bending stiffness parameter, since $n_\omega = 15$ is greater
 405 than $\max(10.6; 5.3)$.

406 Figure 8 and Figure 9 demonstrate that DE globally delivers accurate estimates for the fundamental frequency
 407 and for the bending stiffness parameters, with respectively less than 1.1% and 1.7% relative errors, all cases con-
 408 sidered. They can be used to get accurate estimates of the axial force and the flexural rigidity of the structural
 409 element, with respectively less than 2.2% and 2.7% absolute relative errors. It seems interesting to notice here that
 410 the relative error on the axial force

$$\Delta_T = \frac{\tilde{T}}{T} - 1 \quad (39)$$

411 is approximately twice the relative error on the fundamental frequency

$$\Delta_\Omega = \frac{\tilde{\Omega}_r}{\Omega_r} - 1 \quad (40)$$

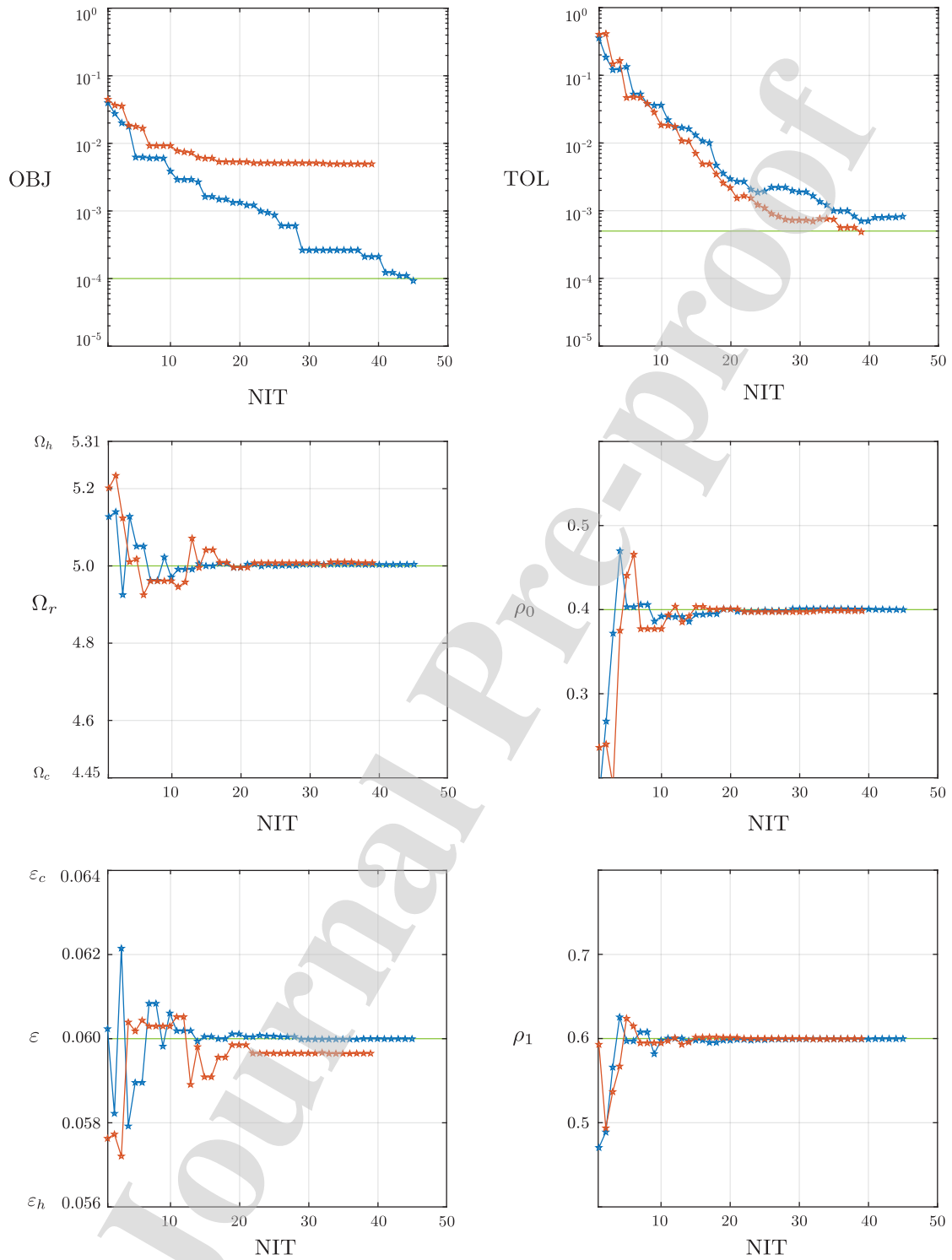


Figure 7: Convergence of the iterative procedure during a typical run of the DE algorithm for a cable whose target parameters are represented by green lines. Blue and red stars are respectively associated to a noise intensity of 0% or 1% on the natural frequencies.



Figure 8: Relative or absolute errors between the target parameters and the values obtained by the identification procedure for three different values of the noise intensity ($I_n = 0\%$, $I_n = 0.5\%$ and $I_n = 1\%$), two different values of the bending stiffness parameter ($\varepsilon = 0.03$ and $\varepsilon = 0.06$) and six different values of each degree-of-fixity parameter (0, 0.2, 0.4, 0.6, 0.8 and 1). Absolute errors are considered for the degrees-of-fixity because their reference value is equal to zero in some cases.

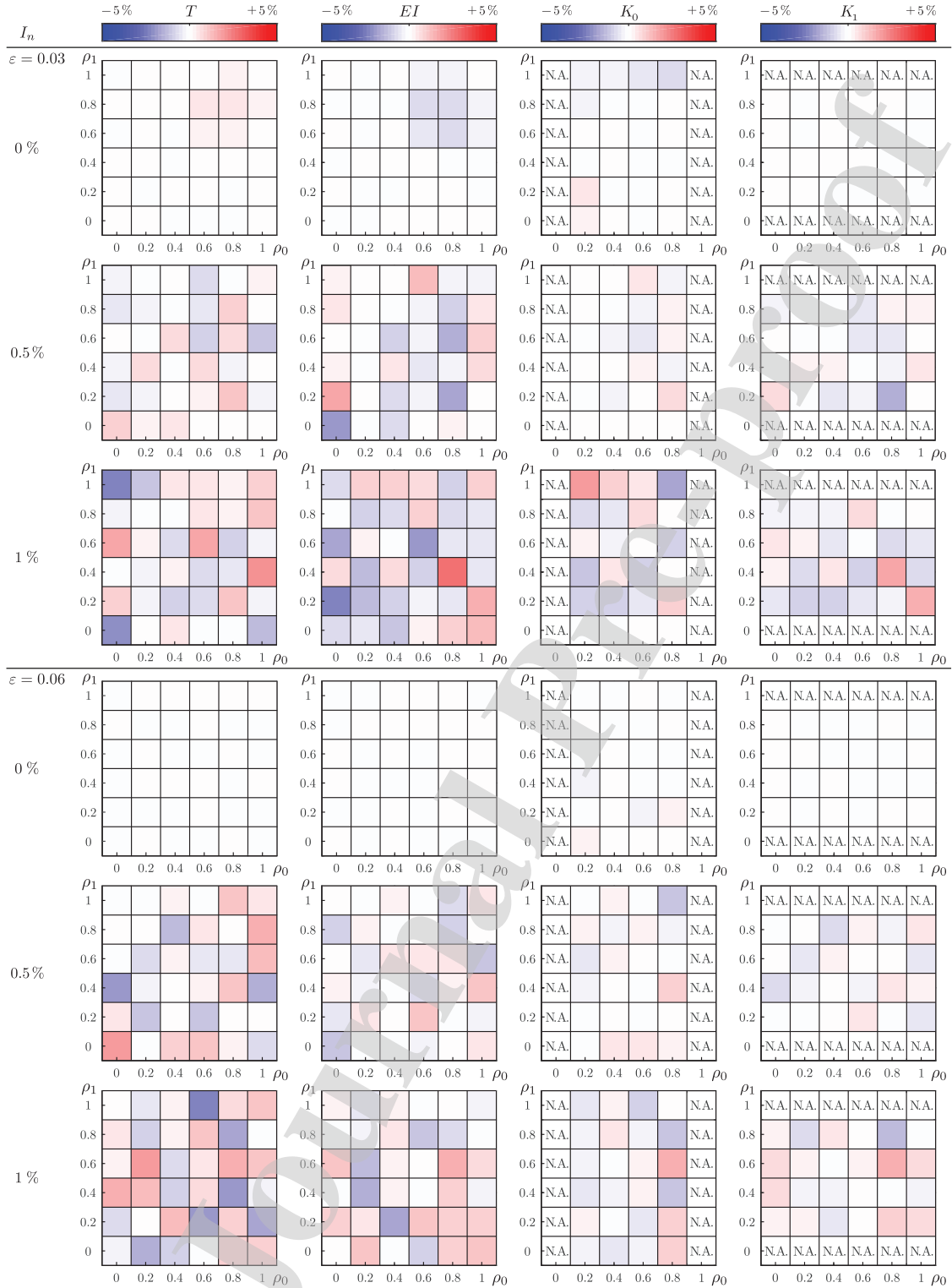


Figure 9: Relative errors between the target parameters and the values obtained by the identification procedure for three different values of the noise intensity ($I_n = 0\%$, $I_n = 0.5\%$ and $I_n = 1\%$), two different values of the bending stiffness parameter ($\epsilon = 0.03$ and $\epsilon = 0.06$) and six different values of each degree-of-fixity parameter (0, 0.2, 0.4, 0.6, 0.8 and 1). Relative errors cannot be computed (N.A.) when the reference value is equal to zero or goes towards infinity.

when those errors are small since it is possible to show that

$$\Delta_T = 2\Delta_\Omega + \Delta_\Omega^2 \quad (41)$$

after some straightforward manipulations of Eq. (4), see also [3].

Nevertheless, as it can be clearly appreciated from Figure 8 and Figure 9 again, DE also correctly determines the two degree-of-fixity parameters, ρ_0 and ρ_1 , with less than 0.004 absolute error, all cases considered, and thus the rotational rigidities, K_0 and K_1 , with less than 1% relative error. This estimator applies to cases for which the support stiffnesses are neither equal to zero, nor going towards infinity.

These numerical examples therefore confirm that all four parameters of the problem (Ω_r , ε , ρ_0 and ρ_1 or their equivalent dimensional quantities T , EI , K_0 and K_1) can be precisely estimated in this context by using the Differential Evolution algorithm once the recommendations concerning the choice of measurements that have to be incorporated in the objective function are followed. Although it does not allow to conclude that such a procedure would perform equally well with more realistic examples, it certifies that any important loss of accuracy occurring after a change in the objective function cannot be attributed to the algorithm.

3.5. Challenging the guidelines

The second part of the demonstration, hence, consists in showing that the identification fails if at least one of the requirements is not fulfilled. The literature shows that existing methods indeed struggle in these circumstances, as briefly detailed next.

First, methods that do not include any measurement of the mode shapes are only able to identify two independent parameters; and this is also conditioned upon small noise on measured natural frequencies. For instance, in [8], the axial force and a global rotational stiffness (equivalent of ρ_r) have been correctly identified because the bending stiffness was known in advance while, in [28], ρ_r was fixed to a pragmatic value in order to determine the fundamental frequency and the bending stiffness parameter. These observations are corroborated in this paper as well by looking at Figure 4 and Figure 5, which illustrate the evolution of the objective function close to a given set of target parameters when modal amplitudes are included in the measurements or are not considered at all, respectively. Indeed, in the latter case, the problem is clearly not well conditioned.

Then, by adding a single piece of data relative to the mode shapes as in [21], it became possible to determine a global rotational stiffness as well; but not each rotational end restraint, separately, because measurements in the distinctive boundary layers were omitted. It clearly underpins the importance of the fourth guideline.

In an alternative approach, when dealing with one natural frequency and at least five associated modal displacements, see [29], the wavelength and the extent of the two boundary layers have been accurately evaluated provided some measurement positions were close enough to the extremities of the element. It thus gave the possibility to adjust three parameters (T , K_0 and K_1) whereas the value of the fourth one (EI) had to be defined apart. The uniform distribution of sensors recommended in that paper explains however why the method fails when the bending stiffness parameter is too small. In fact, the size of the boundary layers decreases with ε , the measurement

445 positions hence fall out of these zones and information about the boundary conditions are lost. Again, this shows
 446 the importance of the fourth guideline.

447 Although they are fully supported by the above mathematical analysis, the importance of each specific guideline
 448 is hence also observable thanks to evidences coming from the literature. They are further complemented by means
 449 of additional numerical simulations summarized in Figure 10. This figure shows the dispersion of the results of the
 450 identification obtained over 100 runs of a noised version ($I_n = 1\%$) of the following nominal case: the fundamental
 451 frequency Ω_r is equal to 5 rad/s, the bending stiffness parameter is fixed at 0.03 and the rotational degree-of-fixity
 452 parameters, ρ_0 and ρ_1 , are respectively 0.2 and 0.8, while the previous set of measurements is taken as a reference
 453 and is modified in one way among the four following:

- 454 (i) the number of natural frequencies is reduced, i.e. $k_\omega = \{1, 2, \dots, n_\omega\}$ with $n_\omega = 15, 10$ or 5 ;
- 455 (ii) the first five natural frequencies and the 6th, the 8th or the 10th one are selected;
- 456 (iii) displacements in the 1st, the 4th or the 8th mode shape only are considered;
- 457 (iv) sensors are positioned near the left end only, $\xi_{k_\xi} = \{1, 2, 4\}^{\varepsilon/2}$, or the right end only, $\xi_{k_\xi} = 1 - \{4, 2, 1\}^{\varepsilon/2}$.

458 The boxplots contained in the first column of Figure 10 demonstrate that the identification is more accurate when
 459 the number of frequencies increases, as expected. However, by comparing the results obtained when $k_\omega = \{1 - 10\}$
 460 to those obtained when $k_\omega = \{1 - 5\} \cup \{10\}$, in the second column of the Figure, it appears that the same level of
 461 precision can be approximately reached even though there is a gap in the list of the natural frequencies considered
 462 in the latter case. It thus demonstrates the usefulness of the first and the second guidelines which advise to rely
 463 on the first few natural frequencies, but also on some natural frequencies related to sufficiently high modes. As a
 464 consequence, instead of trying to measure a lot of natural frequencies, it seems more interesting to focus on the
 465 detection of the appropriate ones: a few below and a few above the threshold.

466 Similarly, the results presented in the third column of Figure 10 indicate that the fourth mode is already too
 467 high to be regarded as one of the first few modes mentioned in the third guideline because it does not allow to get
 468 correct estimates for the degree-of-fixity parameters, unlike the very first one. Nevertheless, it seems necessary to
 469 point out that it might depend on the measurement positions too. Indeed, the shortening of the boundary layers
 470 with increasing mode numbers could certainly be compensated by zooming even more on the extremities of the
 471 element if one is able to do so in practice and measurements of modal displacements in higher modes could thus be
 472 useful.

473 At last, as displayed in the fourth column of Figure 10, the procedure fails at identifying ρ_0 when the measurement
 474 positions are concentrated near the right end of the element but conversely delivers an accurate estimate for ρ_1 .
 475 This is due to the fact that the target value (0.2) of ρ_0 is much lower than that (0.8) of ρ_1 and is hence not
 476 easily indirectly identifiable while the value of ρ_1 can be correctly determined once ρ_r and ρ_0 are obtained by using
 477 measurements of modal amplitudes in the left boundary layer. Since the opposite might as well occur, the fourth
 478 guideline makes perfect sense and measurements should accordingly be positioned on both sides of the elements,
 479 inside each boundary layer, in order to estimate the degree-of-fixity parameters.

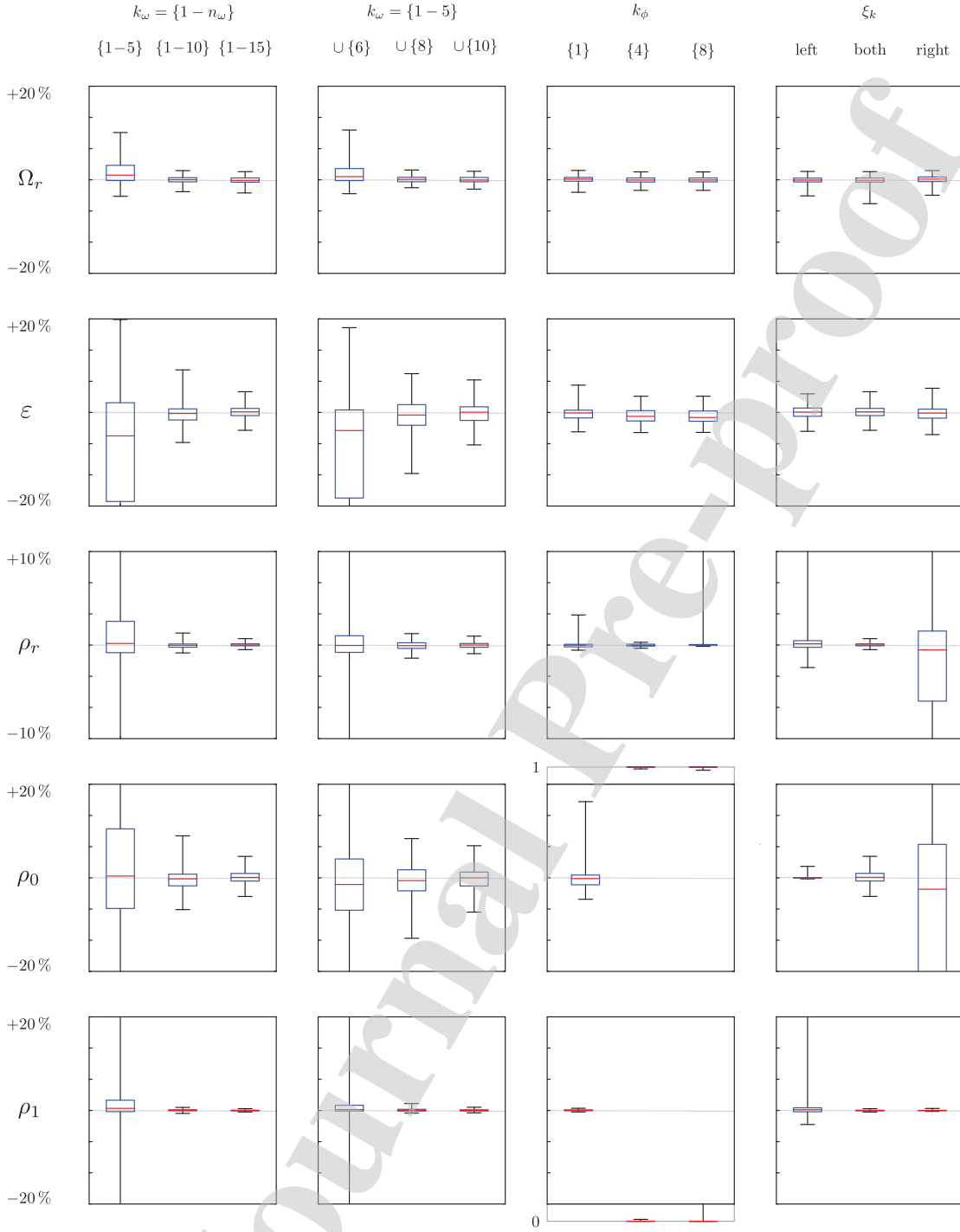


Figure 10: Distribution of the results obtained at the end of the identification procedure for 100 sets of noisy natural frequencies ($I_n = 1\%$) and modal amplitudes, generated numerically. The measurements included in the objective function differ in each column: (1) $k_\omega = \{1 - n_\omega\}$ with $n_\omega = 5, 10$ or 15 , $k_\phi = \{1 - 3\}$ and $\xi_{k_\xi} = \{1, 2, 4\} \varepsilon/2 \cup 1 - \{4, 2, 1\} \varepsilon/2$, (2) $k_\omega = \{1 - 5\} \cup \{k_+\}$ with $k_+ = 6, 8$ or 10 , $k_\phi = \{1 - 3\}$ and $\xi_{k_\xi} = \{1, 2, 4\} \varepsilon/2 \cup 1 - \{4, 2, 1\} \varepsilon/2$, (3) $k_\omega = \{1 - 15\}$, $k_\phi = 1, 4$ or 8 and $\xi_{k_\xi} = \{1, 2, 4\} \varepsilon/2 \cup 1 - \{4, 2, 1\} \varepsilon/2$, (4) $k_\omega = \{1 - 15\}$, $k_\phi = \{1 - 3\}$ and $\xi_{k_\xi} = \{1, 2, 4\} \varepsilon/2$ or $1 - \{4, 2, 1\} \varepsilon/2$ or both. The red lines correspond to the median values, the blue boxes extend from the 25th to the 75th percentiles and the edges of the whiskers represent the minimum and maximum values encountered, respectively.

480 4. Conclusions

481 All in all, this paper proves that any identification procedure whose objective function does not include mea-
 482 surements of (i) the first few natural frequencies, (ii) a first few natural frequencies associated to higher modes
 483 ($k_\omega \sim 1/\pi\varepsilon$) and (iii) several modal displacements in the first few mode shapes (iv) located in each boundary layer,
 484 whose extent scale with $\varepsilon\rho_i$, fails at the simultaneous identification of the axial force, the bending stiffness and the
 485 rotational end restraints.

486 These minimal requirements have been derived from the closed-form asymptotic expressions that are established
 487 in this paper for the natural frequencies and the mode shapes of a highly tensioned cable with a small bending
 488 stiffness anchored to supports that are neither hinges, nor clamps, but rather in between.

489 5. Appendix

490 5.1. Hinged boundary conditions, $\rho_0 = 0$ and $\rho_1 = 0$

491 The particular case of a doubly-hinged cable is the only configuration for which it is possible to determine the
 492 exact closed-form expression of the natural frequencies. As $\rho_0 = 0$ and $\rho_1 = 0$, the boundary conditions matrix
 493 reads

$$494 \mathbf{B}_h = \begin{pmatrix} 0 & 1 & 1 & e \\ s & c & e & 1 \\ 0 & -(\varepsilon z_1)^2 & (\varepsilon z_2)^2 & e(\varepsilon z_2)^2 \\ -s(\varepsilon z_1)^2 & -c(\varepsilon z_1)^2 & e(\varepsilon z_2)^2 & (\varepsilon z_2)^2 \end{pmatrix} \quad (42)$$

494 and its determinant cancels out if $\sin(z_1) = 0$. The well-known formula

$$495 \omega_h^2 = (k\pi)^2 + \varepsilon^2 (k\pi)^4 \quad (43)$$

495 therefore provides the natural frequencies of a cable hinged at both ends [26].

496 5.2. Clamped boundary conditions, $\rho_0 = 1$ and $\rho_1 = 1$

497 For a cable clamped at both ends, as $\rho_0 = 1$ and $\rho_1 = 1$, the boundary conditions matrix becomes

$$498 \mathbf{B}_c = \begin{pmatrix} 0 & 1 & 1 & e \\ s & c & e & 1 \\ -\varepsilon z_1 & 0 & \varepsilon z_2 & -e\varepsilon z_2 \\ c\varepsilon z_1 & -s\varepsilon z_1 & -e\varepsilon z_2 & \varepsilon z_2 \end{pmatrix} \quad (44)$$

498 and its determinant is equal to zero when

$$(1 - e^2) \sin(z_1) - 2\omega\varepsilon(1 + e^2) \cos(z_1) + 4\omega\varepsilon e = 0. \quad (45)$$

499 Contrary to the hinged configuration, it is not possible to provide a simple but exact expression for the natural
 500 frequencies of a doubly-clamped cable because the transcendental equation deriving from the cancellation of the
 501 determinant is too complex to be solved analytically as such.

502 Nevertheless, the asymptotic solutions

$$\omega_u^2 = (1 - 2\varepsilon)^{-2} (k\pi)^2 \text{ or } \omega_v^2 = \varepsilon^2 (k\pi)^4 \quad (46)$$

503 can be found for small or large frequencies when $\varepsilon \ll 1$, by keeping the leading order terms in the series expansions
 504 of z_1 and z_2 for $\omega\varepsilon \ll 1$ or $\omega\varepsilon \gg 1$, respectively, and by neglecting the resulting exponentially small terms. The
 505 natural frequencies of a built-in cable can then be compositely approximated by

$$\omega_c^2 = \omega_u^2 + \omega_v^2 \quad (47)$$

506 since each term is leading over the other on the specific range of mode numbers where it is supposed to match
 507 correctly the frequencies.

508 *5.3. Intermediate boundary conditions, $\rho_0 \in]0, 1[$ and $\rho_1 \in]0, 1[$*

509 Similarly to the clamped case, the composite approximation of the natural frequencies of a cable with interme-
 510 diate rotational end restraints is expressed as

$$\omega_\rho^2 = (1 - \varepsilon\rho_r)^{-2} (k\pi)^2 + \varepsilon^2 (k\pi)^4 \quad (48)$$

511 where

$$\rho_r = \rho_0 + \rho_1 \quad (49)$$

512 by conserving the leading order terms in the series expansions of z_1 and z_2 for $\omega\varepsilon \ll 1$ or $\omega\varepsilon \gg 1$ and by discarding
 513 the exponentially small ones on the basis that $\varepsilon \ll 1$.

514 *5.4. Vectors of integration constants*

515 The leading order term in the series expansion of z_2 for $\omega\varepsilon \ll 1$ or $\omega\varepsilon \gg 1$ is respectively equal to $1/\varepsilon$ or $\sqrt{\omega/\varepsilon}$.

516 Therefore, $\exp(-z_2)$ is negligible in both asymptotic cases when $\varepsilon \ll 1$. The boundary conditions matrix thus reads

$$\mathbf{B}_\rho = \begin{pmatrix} 0 & 1 & 1 & 0 \\ s & c & 0 & 1 \\ -z_a\rho_0 & -z_a^2(1 - \rho_0) & z_b^2(1 - \rho_0) + z_b\rho_0 & 0 \\ cz_a\rho_1 - sz_a^2(1 - \rho_1) & -sz_a\rho_1 - cz_a^2(1 - \rho_1) & 0 & z_b^2(1 - \rho_1) + z_b\rho_1 \end{pmatrix} \quad (50)$$

517 for a cable with intermediate boundary conditions. The vectors of integration constants are then obtained as follows

$$\mathbf{r}_\rho = \begin{pmatrix} 1 \\ -C \\ +C \\ \cos(z_1)C - \sin(z_1) \end{pmatrix} \quad (51)$$

518 where

$$C = \frac{\rho_0 z_a}{(1 - \rho_0)(z_a^2 + z_b^2) + \rho_0 z_b} \quad (52)$$

519 without any further approximation.

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CRedit Author Statement

Identifiability of flexural boundary conditions in cables using natural frequencies and mode shapes for monitoring applications

Margaux Geuzaine: Conceptualization, Methodology, Software, Validation, Investigation, Writing (Original Draft), Visualization, Supervision

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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