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Are Dawn Storms Jupiter's auroral substorms?

B. Bonfond^{1*+}, Z. H. Yao^{2,1*+}, G. R. Gladstone³, D. Grodent¹, J.-C. Gérard¹, J. Matar¹, B. Palmaerts¹, T. K. Greathouse³, V. Hue³, M. H. Versteeg³, J. A. Kammer³, R. S. Giles³, C. Tao⁴, M. F. Vogt⁵, A. Mura⁶, A. Adriani⁶, B. H. Mauk⁷, W. S. Kurth⁸, S. J. Bolton³

 ¹ Space Science, Technologies and Astrophysical Research Institute, Laboratory for Planetary and Atmospheric Physics, University of Liège, Liège, Belgium.
 ² Key Laboratory of Earth and Planetary Physics, Institute of Geology and Geophysics, Chinese Academy of Sciences, Beijing, China.
 ³ Southwest Research Institute, San Antonio, TX, USA.
 ⁴ National Institute of Information and Communications Technology, Tokyo, Japan.
 ⁵ Center for Space Physics, Boston University, MA, USA.
 ⁶ Institute for Space Astrophysics and Planetology, National Institute for Astrophysics, Rome, Italy.
 ⁷ Applied Physics Laboratory, Johns Hopkins University, Laurel, MD, USA.
 ⁸ Department of Physics and Astronomy, University of Iowa, Iowa City, IA, USA.

Are Dawn Storms Jupiter's auroral substorms? 1 2 B. Bonfond¹*[†], Z. H. Yao^{2,1*}[†], G. R. Gladstone³, D. Grodent¹, J.-C. Gérard¹, J. Matar¹, B. Palmaerts¹, T. K. Greathouse³, V. Hue³, M. H. Versteeg³, J. A. Kammer³, R. S. Giles³, C. Tao⁴, M. F. Vogt⁵, A. Mura⁶, A. Adriani⁶, B. H. Mauk⁷, W. S. Kurth⁸, S. J. Bolton³ 3 4 5 ¹ Space Science, Technologies and Astrophysical Research Institute, Laboratory for Planetary 6 and Atmospheric Physics, University of Liège, Liège, Belgium. 7 ² Key laboratory of Earth and Planetary Physics, Institute of Geology and Geophysics, Chinese 8 Academy of Sciences, Beijing, China. 9 ³ Southwest Research Institute, San Antonio, TX, USA. 10 ⁴National Institute of Information and Communications Technology, Tokyo, Japan. 11 ⁵ Center for Space Physics, Boston University, MA, USA. 12 ⁶ Institute for Space Astrophysics and Planetology, National Institute for Astrophysics, Rome, 13 Italy. 14 ⁷ Applied Physics Laboratory, Johns Hopkins University, Laurel, MD, USA. 15 ⁸ Department of Physics and Astronomy, University of Iowa, Iowa City, IA, USA. 16 17 *Correspondence to: b.bonfond@uliege.be, zhonghua.yao@uliege.be. 18 [†]These authors contributed equally to this work. 19 20 Key points: 21 Juno's observations provide the first global description of dawn storms in Jupiter's 22 aurorae, from their initiation to their end. 23 Examples of non-isolated dawn storms and smaller events named pseudo-dawn storms 24

have been identified.

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Jovian dawn storms and terrestrial auroral substorms share many morphological and
 temporal characteristics.

28 Abstract

Dawn storms are among the brightest events in the Jovian aurorae. Up to now, they had only 29 been observed from Earth-based observatories, only showing the Sun-facing side of the planet. 30 31 Here we show for the first time global views of the phenomenon, from its initiation to its end and from the nightside of the aurora onto the dayside. Based on Juno's first 20 orbits, some patterns 32 33 now emerge. Small short-lived spots are often seen for a couple of hours before the main 34 emission starts to brighten and evolve from a straight arc to a more irregular one in the midnight sector. As the whole feature rotates dawn-ward, the arc then separates into two arcs with a 35 central initially void region that is progressively filled with emissions. A gap in longitude then 36 often forms before the whole feature dims. Finally, it transforms into an equatorward-moving 37 patch of auroral emissions associated with plasma injection signatures. Some dawn storms 38 39 remain weak and never fully develop. We also found cases of successive dawn storms within a few hours. Dawn storms thus share many fundamental features with the auroral signatures of the 40 substorms at Earth, despite the substantial differences between the dynamics of the 41 42 magnetosphere at the two planets.

43 Plain language summary

Polar aurorae are a direct consequence of the dynamics of the plasma in the magnetosphere. The sources of mass and energy differ between the Earth's and Jupiter's magnetospheres, leading to fundamentally distinct auroral morphologies and very different responses to solar wind variations. Here we report on the imaging of all development stages of spectacular auroral events at Jupiter, called dawn storms, including, for the first time, their initiation on the nightside. Our results reveal surprising similarities with auroral substorms at Earth, which are auroral events stemming from explosive magnetospheric reconfigurations. These findings demonstrate that, 51 whatever their sources, mass and energy do not always circulate smoothly in planetary 52 magnetospheres. Instead they often accumulate until the magnetospheres reconfigure and 53 generate substorm-like responses in the planetary aurorae, although the temporal and spatial 54 scales are different for different planets.

55 1. Introduction

The specificity of the dawn storms among the various auroral morphologies at Jupiter was 56 recognized as soon as the first high resolution ultraviolet (UV) images of the aurorae on Jupiter 57 became available (Gérard et al., 1994). As observed from the Hubble Space Telescope (HST), 58 having only access to the Earth-facing side of the aurora, they consist of a thickening and a major 59 enhancement of the brightness of the dawn arc of the main auroral emission (main oval). They 60 seem to last for at least 1-2 hours (Ballester et al., 1996), but given the typical length of HST 61 sequences is ~45 minutes, HST could not provide a complete and uninterrupted view of the 62 process. Dawn storms are also characterized by clear signatures of methane absorption, 63 indicating that the charged particles causing them can precipitate deep below the methane 64 homopause, with energies up to 460 keV (Gustin et al., 2006) in the case of electrons. Based on 65 the large HST observation campaign carried out in 2007, dawn storms appeared rare (3 cases out 66 of 54 observations) and occurred independently from the state of the solar wind (Nichols et al., 67 2009). However, the dawn storm observed during the HST campaign supporting the Juno 68 mission as it approached Jupiter in 2016 occurred just as a coronal mass ejection hit Jupiter's 69 magnetosphere, re-igniting the debate on the relationship between dawn storms and solar wind 70 fluctuations (Kimura et al., 2017). 71

Simultaneous in-situ measurements in the dawn-side magnetosphere with Juno and auroral
 images from the Hubble Space Telescope showed that dawn storms are associated with

74 reconnection and dipolarization signatures (Yao, Bonfond, Clark, et al., 2020). Observations from Galileo also showed signatures of dipolarization, plasmoid release and plasma energization 75 76 in the magnetotail, which were associated with substorm-like events (Ge et al., 2007; Kronberg et al., 2005, 2008; Krupp et al., 1998), because of the analogy with similar processes taking place 77 during terrestrial substorms. Magnetospheric substorms are defined as "a transient process 78 initiated on the night side of the Earth in which a significant amount of energy derived from the 79 solar wind-magnetosphere interaction is deposited in the auroral ionosphere and magnetosphere" 80 (Rostoker et al., 1980). It is however unlikely that the solar wind, and especially dayside 81 82 magnetopause reconnection, would play a similar role in the internally driven Jovian magnetosphere (Delamere & Bagenal, 2010). 83

So far, our understanding of auroral dawn storms has been incomplete mainly because we have been unable to observe the whole extent of the event, both temporally and spatially. New data from the Juno mission reveal for the first time where and how the dawn storms start and their consequences.

88 2. Image processing

Juno is a NASA New Frontiers spacecraft orbiting Jupiter since 4 July 2016. Its 53-day eccentric polar orbit brings its perijove (PJ) to ~4000 km above the surface (1 bar level) at low latitudes. This orbit allows its ultraviolet spectrograph (UVS) to acquire spectrally resolved images of the polar aurorae from approximately 4 hours before the PJ (in the northern hemisphere) to approximately 4 hours after PJ (in the southern hemisphere) with a ~1-hour interruption in between during the closest approach at low latitude.

Juno-UVS is an imaging spectrograph operating in the 68 to 210 nm range (Gladstone et al.,
2017; Greathouse et al., 2013). Its dog-bone shaped slit is 7.2° long, 0.025° wide in the center

and 0.2° wide in the two extremities. The slit is generally oriented perpendicularly to the Juno spin plane. However, a scan mirror located at the entrance of the instrument allows to shift the field of view by up to $\pm 30^{\circ}$ from the spin plane. In the present work, only the data from the wide parts of the slit are used, in order to optimize the signal to noise ratio. Moreover, the wavelength range from 155 to 162 nm is selected in order to avoid regions affected by absorption of the UV light by hydrocarbon molecules in the Jovian atmosphere (mostly methane) below 155 nm and by reflected sunlight beyond 162 nm.

The calibrated data from Juno-UVS are available through the Planetary Data System in the 104 105 form of FITS files, which contain information about each event collected by the detector, such as the time of the event, its position in X and Y on the detector, the corresponding wavelength, etc. 106 This first step of the processing consists of removing the noise due to particle (typically 107 relativistic electrons) penetrating into the instrument and impacting the detector from the signal 108 109 caused by UV photons. Contrary to photons, which are diffracted by the grating, penetrating 110 particles illuminate the detector in an almost homogenous fashion, as confirmed by observations carried out in the radiation belts. We use a region between pixels 345 to 550 in the X direction 111 (corresponding to ~59.7 to 80.9 nm) and pixels 20 to 255 in the Y direction, which has a very 112 113 low effective area for extreme-UV photons (Hue et al., 2019), in order to estimate the count rate per pixel due to radiation. This background noise is then removed from the photon illuminated 114 part of the detector. 115

The second step consists, for each detection event, of projecting the four corners of each field of view element along the slit onto a Jupiter-shaped ellipsoid located 400 km above the 1bar level, using the SPICE kernels listed in the FITS file header. The brightness, derived from the weighted counts and the exposure time, is then attributed to a quadrilateral formed by these 4

120 points. A map of the aurora is then progressively built by adding all the detected events for a given Juno spin. Simultaneously, an exposure map, identifying the regions of the planet covered 121 by the instrument's field of view, is also constructed. Images of the whole aurorae are then 122 assembled by performing a weighted sum of the consecutive spins, with a higher weight being 123 attributed to the latest spin. Going back in time, each weighting coefficient is 1/10th of the 124 previous one. We then divide the weighted sum of the counts with a weighted sum of the 125 exposure maps to derive our final brightness map. This method offers the best compromise 126 between the completeness of the auroral map and the dynamics of the auroral features. However, 127 128 since UVS cannot observe the whole aurora during a given spin during the perijove sequence, the exact timing and duration of some transient events is uncertain, with temporal knowledge gaps of 129 30 seconds at best due to the spinning spacecraft. 130

Three main sources of uncertainty affect estimates of the total emitted power by the H₂ 131 molecules in the UV: 1) systematic calibration uncertainties estimated on the order of 16% (J.-C. 132 Gérard et al., 2020), 2) shot noise uncertainty, which depends on the number of counts in the 133 region of interest and is typically below 5% for the small spots and below 1% for the larger dawn 134 storm features and 3) the selection uncertainty, which depends on the way the region of interest 135 136 is defined and which may reach up to 15%. The quadratic sum of all these uncertainties can be rounded to a reasonable value of 25% for power estimates. 137

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3. Observations of dawn storms

3.1. 139

Development sequence of typical dawn storms

For the first time, Juno-UVS granted us a complete and global picture of the auroral dawn 140 storms, from their initiation to their vanishing. Indeed, Juno captured views of dawn storms at 141 different stages of development in approximately half of the first 20 perijoves (Table 1). 142

143	For example, on 7 February 2018 (PJ11), Juno-UVS captured the initiation of a dawn storm from
144	low altitude (~43000 km) above the north pole, thus allowing unprecedented high spatial
145	resolution observations (Figure 1). Around 13:06 UT, the event started with a relatively bright
146	midnight arc (~2000 kR). Then a few spots began to appear poleward of this arc, creating a
147	string of approximately a dozen spots within 14 minutes, each one forming ~1000 km dusk-ward
148	of the previous (Figure 2). These spots are approximately ~1000 km long (in the north-south
149	direction) and ~150 km wide, which corresponds to the projection of the instrumental point
150	spread function (PSF) on the planet. Hence, the apparent North-South extension probably result
151	from the asymmetry of the PSF. They each typically emit ~1GW of total power and appear with
152	a peak brightness of ~800 kR. Using the flux mapping method of (Vogt et al., 2015), but with
153	JRM09 (Connerney et al., 2018) as an internal field model, these spots map to a distance of 65-
154	110 Jovian radii (R_J) and a local time range between 22:40 UT and 23:45 UT, which broadly
155	corresponds to the X-line, where magnetotail reconnections take place (Vogt et al., 2010). When
156	mapped in the magnetosphere, the inter-spot distance corresponds to $1-2^{\circ}$ of longitude, or to a
157	mapped distance of 6-7 R_J . The distance between the mapped locations of the first (and dawn-
158	most) and last (and dusk-most) spots is about 42 R_J (~3.10 ⁶ km), and the associated propagation
159	speed would be on the order of 3600 km/s in the azimuthal direction. If we focus on the brightest
160	central spots, this apparent mapped azimuthal velocity reaches 10 000 km/s. If these spots indeed
161	correspond to magnetic reconnection on the X-line, it is however quite likely that these high
162	values do not correspond to any physical velocity in the magnetosheet, and that the time interval
163	rather corresponds to a phase delay. Furthermore, these numbers should be considered as rough
164	estimates only, since 1) the mapping uncertainty strongly increases with radial distance, and 2)
165	any static mapping model is inaccurate, whatever the planet, during magnetospheric

reconfiguration events. Even though the spin modulated sampling rate of UVS does not allow for easy monitoring, individual spots appear to vanish after a few minutes. These short-lived spots may be similar to the midnight spots occasionally observed from the Hubble Space Telescope at the limb of the planet (D. Grodent et al., 2004; Radioti et al., 2011). Another example of transient bright spots was found during PJ16 (see Figure S1 in the supplemental material).

172 Two hours later, Juno was located over the southern hemisphere when the main emission began to brighten and broaden irregularly, forming a bead-like pattern in the same midnight sector 173 (Figure S2). Fly-bys carried out at lower altitude during this phase of the dawn storm, such as 174 175 during PJ3 at 15:37 UT, render this pattern, with beads with ~1500 km (~2°) spacing, even more obvious. Once mapped into the magnetodisk, these beads appear to originate from a region ~ 50 176 R_J from Jupiter and are azimuthally separated by ~8 R_J (3° of longitude) in the magnetospheric 177 local time range between \sim 1:45 and \sim 3:00 LT. Hence, the enhancement of the main emission, 178 leading to the full-fledged dawn storm, actually started around magnetospheric midnight. This 179 feature then slowly migrated to the dawn sector at a pace corresponding to ~25% of corotation 180 with the planet. Around 16:22 UT, the main arc split into two parts, with one moving ~2500 km 181 182 towards the pole while the other remain relatively still. Because it is likely that these auroral 183 features arise from a reconfiguration of the magnetic field, static magnetic field mapping models would most probably provide misleading results. The whole feature continued to rotate, 184 progressively accelerating towards co-rotation with the magnetic field as the dawn storm 185 186 developed. Around 17:15 UT, the feature appeared to split, but longitudinally this time. The gap 187 extends overs $\sim 10^{\circ}$ of longitude in the upper atmosphere. At its peak, the total power reached 188 850 GW, which is among the brightest events observed during Juno's first 20 orbits (see Table
1). The UVS perijove observations ended at 18:50 UT, even though the event was still ongoing.

On 19 May 2017 (PJ6), the Juno-UVS observations missed the beginning of an event, but 190 allowed us to examine the next phases. After the broadening and the latitudinal splitting of the 191 main emission, the outer-most arc transformed into large patches. On the same day, subsequent 192 HST images acquired with the Space Telescope Imaging Spectrograph (STIS) confirmed that the 193 patches continued their evolution, forming latitudinally extended fingers slowly expanding 194 equatorward. Such features have been associated with large and fresh plasma injection signatures 195 (Dumont et al., 2018). While such a connection between dawn storms and large injection 196 197 signatures has been proposed previously, based on the simultaneous presence of a dawn storm and large injection signatures on the same image (Gray et al., 2016; Denis Grodent et al., 2018), 198 this long and continuous set of observations from Juno and Hubble is the first to clearly 199 demonstrate the transition from one into the other. It should also be noted that some (less 200 intense) injection signatures can also appear independently from dawn storms, as was observed 201 during PJ1 for example (B. Bonfond et al., 2017). 202

3.2. Non-isolated dawn storms

- 3.3. 204 Juno-UVS observations of dawn storms show that they sometimes occur as a series, rather than isolated events. For example, on 27 March 2017 (PJ5), a first 205 dawn storm was ongoing when the observations started at 03:57 UT and was 206 finished by approximately 06:51 UT, after which a second one was observed 207 peaking around 08:08 UT. Figure 3 (top) show the aurora at 04:06, during the first 208 brightening, at 07:25, after it finished and at 08:08, during the second brightening. 209 In other cases, there appears to be no gap between consecutive events. For 210 example, during PJ3 (11 December 2016), the dawn storm expansion phase seemed 211 to never really stop, continuously going on at the same local time. The dawn storm 212 was first observed with the apparition of beads around 15:21 UT, as Juno was flying 213 over the northern hemisphere, and continued until auroral observations were 214 interrupted by Juno's low latitude fly-by. When observations of the southern 215 hemisphere started over, a dawn storm was still ongoing and this continued until 216 the end of the sequence at 22:01 UT, with the emitted power increasing around the 217 end. Pseudo-dawn storms 218
- During PJ16 (29 October 2018), Juno-UVS observed the development of a particularly limited 220 dawn storm-like event (Figure 4). Around 20:19 UT, the instrument captured the appearance of 221 three transient (~6 minutes) spots poleward of the midnight arc of the main emission. Moreover, 222 the midnight arc itself was fainter than during PJ11 and the number of spots was also smaller. 223 The brightness of the enhanced the dawn arc of the main emission observed at 23:39 UT was 224 fairly dim (~500 kR), and the area concerned with the enhancement was limited (~10° in 225 longitude). While the sequence of events is similar to the one observed on PJ11, which is why 226 we identify it here as a dawn storm, it would probably not have qualified as a dawn storm in 227 previous studies, due to its limited extent and brightness. This reason, together with the fact that 228 Juno observes the whole auroral region, including the nightside where dawn storms arise, almost 229 continuously for ~8 hours explains the discrepancy between our detection rate and the one 230 deduced from HST, which only focused on the dawn storm expansion phase. The second dawn 231 storm on PJ5 is another example of such a limited dawn storm (Figure 3, top right panel). 232
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4. Discussion

Put together, the Juno-UVS observations paint a brand new picture of dawn storms. They consist 236 of a 5-10 hour long chain of events, starting with the transient spots (Figure S1), followed 2-3 237 hours hours later by the formation of bead-like features on the midnight part of the main 238 emissions (Figure S2). This time delay between events taking place at 90 and 50 R_J, respectively, 239 suggests a propagation speed of 250-400 km/s, which is consistent with estimates of the fast 240 mode velocity in the plasma sheet (Kivelson, 2015; Manners et al., 2018). This is followed by a 241 longitudinal and latitudinal (mostly poleward)expansion phase, during which the main emission 242 brightens, expands, thickens and forks into two branches (Figure S3 in the supplemental 243 material). This chain of events is very similar to the one observed during terrestrial auroral 244 substorms (Figure 5). Substorms are global reconfigurations of the magnetosphere during which 245 the magnetic energy stored in the magnetotail is converted into particle energy, which lead to 246 spectacular auroral brightening in nightside polar regions which generally follow a well-247 248 established sequence of features (Akasofu, 2013). The transient spots observed in Jupiter's aurora share several morphological and temporal characteristics with transient meso-scale 249 features on Earth, sometimes associated with poleward boundary intensifications (PBIs) and 250 251 sometimes with streamers (Forsyth et al., 2020). Both are often observed before the substorm onset (Nishimura et al., 2011), even if the exact relationship between streamers and substorms is 252 disputed (Miyashita & Ieda, 2018). Both phenomena are associated with reconnection in the 253 magnetotail and the subsequent inward flow of plasma and dipolarizing field lines 254 (Angelopoulos et al., 2008). At Jupiter, the tentative connection between magnetotail 255 256 reconnection and dawn storm has been evocated by several authors (Ballester et al., 1996; Ge et al., 2010). Recently, the most compelling examples of such a connection come from 257

contemporaneous in situ particle and fields measurements by Juno and HST images of the aurora 258 (Yao, Bonfond, Clark, et al., 2020). These observations show large reconnection signatures on 259 magnetic field lines mapping poleward of a dawn storm and then dipolarization signatures 260 preceding auroral injection signatures. The pre-expansion beads observed in the context of 261 terrestrial substorms (Henderson, 2009) are associated with plasma instabilities in the near 262 263 magnetotail, such as the ballooning instability (Yao et al., 2017). The expansion phases of Jupiter's dawn storms and the Earth's substorms also share fundamental similarities, and the 264 latter is known to be associated with a dipolarization/current disruption in the magnetosphere. In 265 particular, the apparition of a bifurcated oval at Jupiter resembles terrestrial bulge-type aurora 266 observed during substorms (Gjerloev et al., 2007, 2008). Finally, the auroral patches in the 267 equatorward emissions manifest massive plasma injections (Figure S6). Plasma injections in the 268 inner terrestrial magnetosphere are indeed observed by in situ instruments during substorm 269 events (Gabrielse et al., 2019) and they can also give rise to equatorward moving auroral 270 271 enhancements (Sergeev et al., 2010). One notable difference is that auroral substorms do not rotate with the Earth, but evolve in fixed local time, i.e., around midnight (with a slight 272 preference at pre-midnight (Gjerloev et al., 2004)). 273

At Earth, substorms do not always occur as isolated events. Instead, multiple substorm expansions can happen consecutively (Liou et al., 2013). A similar behavior is observed for dawn storms at Jupiter. The occurrence of successive dawn storms separated by a delay of a few hours could explain why images of dawn storms from HST often display large injection signatures in the post-noon sector (Gray et al., 2016; Denis Grodent et al., 2018). Furthermore, (Yao, Bonfond, Clark, et al., 2020) suggest that successive dawn storms are responsible for the multiple injection auroral structures.

Terrestrial substorms vary considerably in intensity and those which could not fully develop are called pseudo-breakups (Pulkkinen et al., 1998). The event observed during PJ16 (29 October 2018) was limited to a small intensification, which might be analogous to terrestrial pseudobreakups (Figure 4).

The orientation of the interplanetary magnetic field (and, to a lesser extent, the dynamic pressure 285 of the solar wind) controls the occurrence and intensity of Earth substorms (Kullen & Karlsson, 286 287 2004). Unfortunately, these solar wind parameters are difficult to obtain at Jupiter while Juno carries out its perijove observations. Therefore, we used the propagation model from Tao et al., 288 (2005), which relies on measurements acquired at one astronomical unit from the Sun (from 289 either the OMNI data or the Stereo A spacecraft) to estimate the solar wind velocity and dynamic 290 pressure at Jupiter when Jupiter and the observatory are sufficiently well aligned ($<40^{\circ}$) (Figures 291 S6-S8). Most dawn storms for which such an estimate was possible (i.e. PJ5, PJ9, PJ14 and 292 PJ20) happened more than 2 days away from any solar wind enhancement, which confirms that 293 294 dawn storm may occur during relaxed solar wind conditions. However, they can also occur at times closer to a solar wind enhancement (e.g. PJ1, PJ6 and PJ16), suggesting that solar wind 295 shocks do not necessarily prevent their occurrence. The comparison of the location of the 296 297 magnetopause measured by Juno and the aurora observed by HST also suggests that dawn storms 298 happen independently of the state of compression of the magnetosphere and are most probably 299 internally driven, contrary to the global main emission brightenings, which only occur in the compressed state (Yao, Bonfond, Grodent, et al., 2020). 300

Regardless of the similarities between terrestrial substorms and Jovian dawn storms, it is also important to stress the major differences between the Earth's and Jupiter's magnetospheres (Mauk & Bagenal, 2013). The first is dominated by its interaction with the solar wind, and

304 magnetic reconnections on the dayside magnetopause drive the plasma convection in the magnetosphere through the so-called Dungey cycle (Dungey, 1961). On the other hand, the 305 Jovian magnetosphere is inflated with plasma originating from the volcanic moon Io and the 306 rotation of the planet controls the motion and the energization of the magnetospheric plasma. 307 The mechanism through which the mass injected at Io is ultimately released via reconnection on 308 309 closed field lines is called the Vasyliunas cycle (Kronberg et al., 2007; Vasyliunas, 1983). Reconnection on the dayside magnetopause, while it does exist at Jupiter (Ebert et al., 2017), 310 cannot open a significant amount of flux (Desroche et al., 2012; Masters, 2017), leading to a very 311 312 different type of magnetospheric topology where the amount of flux open to the solar wind is very limited and intertwined with flux closed tubes connected to the distant magnetotail (Zhang 313 et al., 2020). By comparing the occurrence of magnetotail reconnection and plasmoid release to 314 predictions of the solar wind input, (Vogt et al., 2019) showed that these large scale 315 reconfigurations of the magnetotail were mostly independent from solar wind compression. 316

However, regardless of the different reasons for the loading, in both cases plasma and energy 317 regularly accumulates within the system, which grows increasingly unstable, especially in the 318 midnight magnetotail where the field lines are the most elongated. While the long term 319 320 (~months) global evolution of the position of the main auroral emissions has been attributed to 321 the variations of the mass output from Io (B. Bonfond et al., 2012), the shorter term variations of its position at different local times are poorly understood. Hence, since its typical location at 322 midnight for the various System III longitudes is unknown, we were unable to identify any 323 324 equatorward departure from it, as typically observed for the terrestrial growth phase auroral arcs. Such a stretching of the field lines provides favorable conditions for reconnection to occur. At 325

Earth, such reconnection closes the magnetic field lines open to the solar wind in the

magnetotail, while at Jupiter, reconnection is internally driven (Ge et al., 2010; Kronberg et al., 327 2005; Vogt et al., 2019; Woch et al., 2002) and is expected to take place on closed field lines. In 328 329 the middle magnetosphere, various plasma instabilities may occur, such as ballooning instability (Hameiri et al., 1991; Kalmoni et al., 2018; Oberhagemann & Mann, 2020), cross-field current 330 instability (Lui et al., 1991), shear flow ballooning (Viñas & Madden, 1986) or shear flow-331 332 interchange instability (Derr et al., 2020). Since the magnetic field lines in Jupiter's outer magnetosphere are also highly stretched, and the magnetosphere consists of more energetic ions 333 than the Earth's magnetotail, many plasma instabilities identified in Earth's magnetotail would 334 335 likely take place in Jupiter's outer magnetosphere. Such instabilities can then lead to a disruption of the azimuthal currents in the middle magnetosphere and a dipolarization of the field lines. 336 While the dipolarizing field lines would remain in the night sector at Earth, they would be 337 progressively swept away in azimuthal direction by the planetary rotation at Jupiter as they 338 progress inward. This makes studies of east-ward or west-ward expansion of the dawn storm 339 almost impossible at Jupiter, because the exact longitudinal expansion would be very difficult to 340 disentangle from partial corotation. These processes would also bring hot and sparse plasma 341 from the outer magnetosphere further into the system and energize it, forming plasma injections (342 343 Yao, Bonfond, Clark, et al., 2020). Their study also shows that dipolarization at Jupiter may corotate with the planet, as a counterpart of corotating auroral injection. 344

The above explanation probably gives the impression that the dawn storm auroral sequence implies that the magnetotail reconfigurations at Jupiter are systematically "outside-in" in nature, , rather than "inside-out", . Here the "outside-in" means starting with reconnection at ~90RJ before propagating inward and disrupt the plasma sheet closer to Jupiter (~60-40R_J, where the main emissions map) and finally trigger plasma injections in the middle magnetosphere (30-10

350 R_{J}) and "inside-out" means starting in the middle magnetosphere with plasma injections, before disrupting the region where the main emissions maps (40-60 R_J) and finally triggering 351 352 reconnection and the release of plasmoids in the distant magnetotail $(\sim 90R_J)$. For the terrestrial case, this debate around models such as the near-Earth neutral line model (outside-in) (Baker et 353 al., 1996) and near Earth current disruption model (inside-out) (Lui, 2015) has been raging for 354 355 years despite the flotilla of dedicated spacecraft cruising in the magnetosphere and we certainly would not want to suggest that with the few cases presented here, Juno has single handedly 356 solved the problem at Jupiter. As a possible counter-example, the auroral observations during 357 358 PJ1 with Juno-UVS have shown the progressive development of injection signature all around the pole before a poleward protrusion (the shape of which may be reminiscent of omega bands at 359 Earth) appeared on the midnight arcs of the main emissions (Bonfond et al., 2017). It then took 2 360 hours for bead-like features and then a dawn storm expansion phase to appear on infrared images 361 (see supplemental material S9). Contrary to the other sequences discussed here, this particular 362 one thus suggests that magnetospheric instabilities appeared closer to Jupiter before they 363 developed further out. Some studies also suggested that both situations might appear at Earth 364 (Murphy et al., 2014; Panov et al., 2020). Rather than a unique causal process leading to 365 366 systematic chain of events, a possible interpretation is that the accumulation of mass and energy makes the different regions of the magnetosphere progressively susceptible to different types of 367 368 plasma instabilities (including, at places, reconnection). Once one of these regions reaches the 369 instability threshold and collapses, the generated disturbance propagates to the other regions, making their own collapse more likely. 370

While they have some unique characteristics as well, the magnetosphere and aurorae at Saturn are generally understood as representing an intermediate case between the Earth and Jupiter.

Indeed several lines of evidence (Bader et al., 2019) show that Saturn supports a combination of 373 Vasyliunas and rotating Dungey cycles (Cowley et al., 2005). It is thus less of a surprise to find 374 similar auroral features, such as transpolar arcs (Radioti et al., 2013) or auroral beads (Radioti et 375 al., 2019) in both the terrestrial and the Kronian aurorae. On the other hand, both observational 376 and theoretical arguments indicate that the overall dynamics of the plasma in the two 377 378 magnetospheres are fundamentally different (Delamere et al., 2015; Delamere & Bagenal, 2010; Louarn et al., 2000), one being mostly externally driven and the other being mostly internally 379 driven. It is thus remarkable that universal processes releasing the accumulated matter and 380 energy from the systems generate strikingly similar auroral signatures. 381

Finally, we note that, if our interpretation is correct, the evolution of the dawn storms is another demonstration that many, if not most, auroral processes and Jupiter cannot be explained by the corotation enforcement currents paradigm (Bonfond et al., 2020). Indeed, on both planets, currents and auroral intensities appear directly correlated only in specific places (Korth et al., 2014).

387 Summary and conclusions

Freed from all the biases related to Earth-based observations, we detected dawn storms in 388 approximately half of the Juno perijove sequences (10 dawn storm observations over 19 389 390 orbits – no observations were carried out during PJ2). This is due to three factors: 1) longer observations, providing additional chances to catch dawn storm at any stage of their 391 development, 2) a view of the nightside, where the dawn storms actually form and 3) a 392 looser definition of the dawn storm, which is no longer restricted to the brighter examples. 393 Moreover, the occurrence of dawn storms appears independent of the arrival of a solar 394 wind compression region at Jupiter. 395

While every feature has not been observed in each case, the dawn storms appear to follow 396 a systematic sequence of events (Figure 5), some of which are being reported here for the 397 first time. A dawn storm precursor appears to be the appearance of a series of transient 398 399 spots separated by ~ 1000 km, mapping to the pre-midnight sector. Approximately 2 to 3 hours later, the midnight section of the main emission starts to brighten, often forming 400 regularly spaced (~ 1500 km apart) beads. The arc further brightens and expands in 401 longitude as it progressively starts to co-rotate with the planet and to move towards the 402 dawn side. Then it bifurcates, with a branch moving poleward. The void between the arcs 403 then fills progressively as the arcs broaden in latitude. A longitudinal gap also generally 404 forms within the feature. Finally, the whole feature dims and the equatorward part of the 405 dawn storms evolves as an equatorward patch of emission associated with plasma 406 injection signatures, providing a direct link between dawn storms and some plasma 407 408 injection signatures.

Many of these auroral forms at Jupiter resemble meso-scale (Forsyth et al., 2020) and large scale auroral forms observed during substorms at Earth. Furthermore, we found cases of consecutive dawn storms occurring within a few hours, similar to the non-isolated substorms at Earth. We also found cases of particularly weak dawn storm, reminiscent of pseudo-breakups at Earth.

The magnetospheric processes associated with substorm magnetotail reconfigurations, such as tail reconnection, dipolarization or hot plasma injection have also been observed at Jupiter (Kronberg et al., 2005; Louarn et al., 2014; B. H. Mauk et al., 1997; Vogt et al., 2010; Woch et al., 2002). The connection between these processes and dawn storms, was

418	proposed based on measurements from either in situ magnetic field or auroral images (Ge
419	et al., 2010; Kimura et al., 2017), and was later confirmed by contemporaneous
420	measurements from Juno and HST (Yao, Bonfond, Clark, et al., 2020), associated with dawn
421	storms. Despite the fact that the mass and energy loading in the magnetotail at Earth and
422	Jupiter are very different, the evidence presented here show that the auroral signatures of
423	the processes releasing them at Jupiter are remarkably similar to terrestrial auroral
424	substorm.

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656 Data

657 The data included herein are either archived in NASA's Planetary Data System (http://pds-658 atmospheres.nmsu.edu/data_and_services/atmospheres_data/JUNO/juno.html). This research is also based on publicly available observations acquired with the NASA/ESA Hubble Space 659 Telescope and obtained at the Space Telescope Science Institute, which is operated by AURA 660 for NASA (https://archive.stsci.edu/hst/search.php). Data analysis was performed with the 661 AMDA science analysis system provided by the Centre de Données de la Physique des Plasmas 662 (CDPP) supported by CNRS, CNES, Observatoire de Paris and Université Paul Sabatier, 663 Toulouse. The THEMIS data are available from http://themis.ssl.berkeley.edu/data/themis/. The 664 IMAGE-WIC images can be accessed at https://spdf.gsfc.nasa.gov/pub/data/image/fuv/ and were 665 processed using the FUVIEW3 software (http://sprg.ssl.berkeley.edu/image/). 666

667

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- 690 Supplementary Materials:
- 691 Figures S1-S9

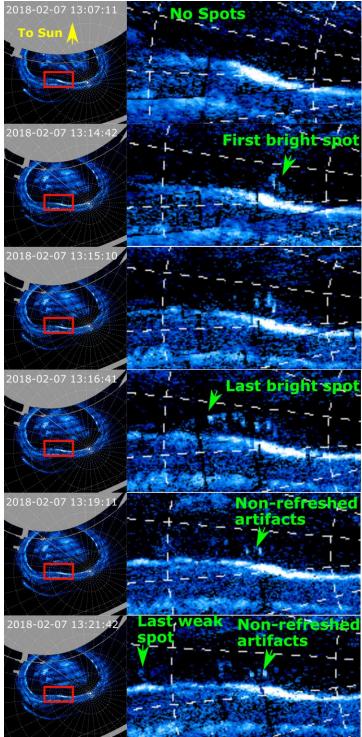


Figure 1. Details of the development of the transient spots during the PJ11 dawn storm. A polar projection of the
whole northern aurora is shown on the left and a zoom on the region boxed in red is shown on the right. The Sun
direction is towards the top and dashed lines show System III meridians and planeto-centric parallel spaced every
10°. Bright spots of the size of the instrument al PSF successively appeared from dawn to dusk, approximately 1000
km apart. The two bright spots remaining on the center of the last two frames are due to the non-refreshment of this
part of the image.

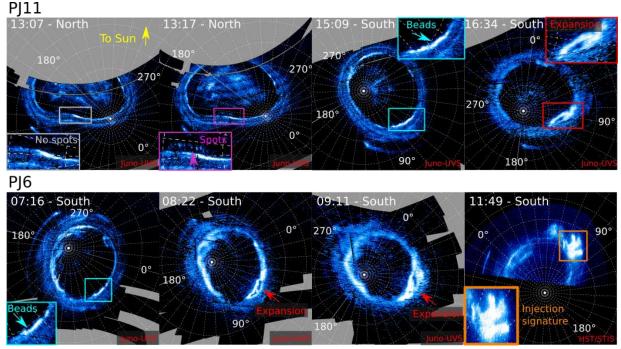
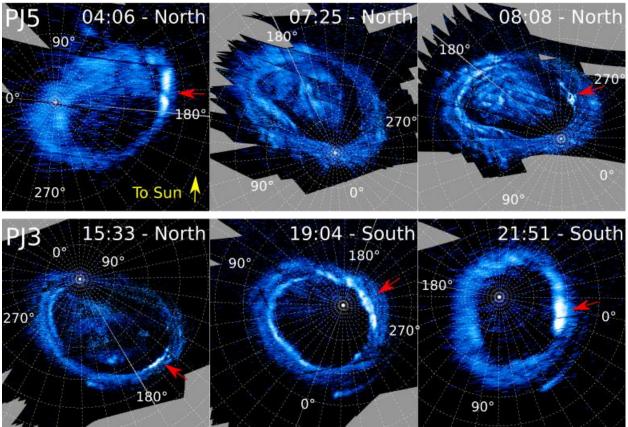
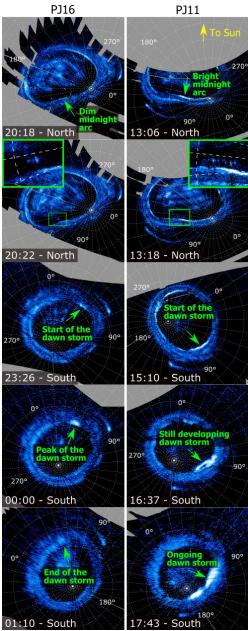


Figure 2. Polar projection of the development of a dawn storm, based on observations acquired by Juno-UVS and HST/STIS during the 11th and the 6th perijove sequences. On PJ11, the event was preceded by the progressive appearance of a set of transient spots poleward of the main emission. Two hours later, the dawn storm itself started as an enhancement of the main emission in the form of beads before the arc began to fork and expand, both latitudinally and longitudinally. On the PJ6 sequence, the same sequence of emergence of beads, followed by the expansion phase is observed, but subsequent observations by both Juno-UVS and HST-STIS show that the equatorward arc transforms into a large injection signature.

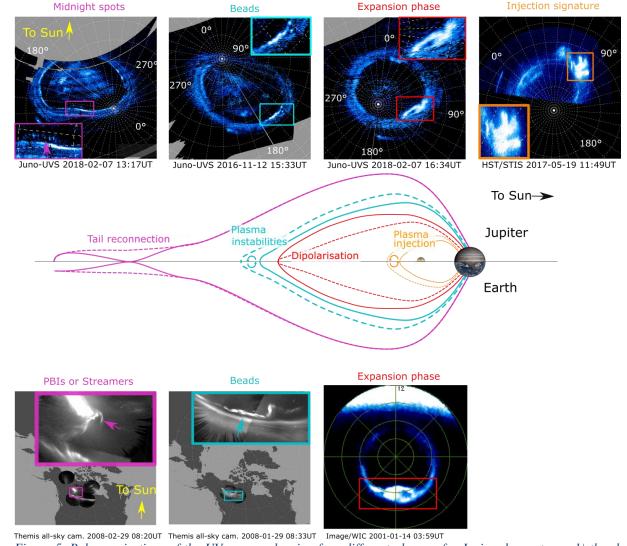
Non-Isolated Dawn Storms



- Figure 3. Polar projections of the development of non-isolated dawn storms during PJ3 and PJ5. The red arrow highlights the dawn storms. During PJ5, a second dawn storm took place ~3 hours after the first one. On PJ3, new dawn storms seem to appear during all the southern branch of the perijove sequence.



71701:10 - South17:43 - South718Figure 4. The left column shows polar projections of the aurorae during the 16th perijove, and the right column719shows a similar sequence for the 11th perijove. While the sequence on PJ11 compares with a terrestrial substorm720(Figure 1), the one on PJ16 is much more limited in size, emitted power and duration and would be more similar to721a terrestrial pseudo-breakup.



722 723 Figure 5. Polar projections of the UV aurora showing four different phases of a Jovian dawn storm: 1) the short 724 lived polar midnight spots, 2) the formation of irregularities on the main emission pre-dawn part 3) the expansion 725 phase, with the two arcs splitting and 4) the injection signatures in the outer emission. The first three images are 726 based on data from the Juno-UVS instrument and the fourth one comes from Hubble Space Telescope observations 727 carried out to support Juno. These four phases appear to correspond to nightside tail reconnection, plasma 728 instabilities, current disruption/dipolarization in the middle magnetosphere and to flux tube interchange, 729 respectively, as illustrated in the general scheme shown in the central scheme (not to scale). These auroral features 730 corresponding to these phases in the terrestrial aurora are show on the bottom raw. In the bottom, the first two 731 images come from the THEMIS network of all-sky cameras (Nishimura et al., 2010; Z. Yao et al., 2017). The third 732 image corresponds to Earth's aurora as seen from IMAGE-WIC.

	date	Peak power (W)	Identified features
PJ1	27 Aug 2016 18:00 => 20:00		b?, e
PJ3	11 Dec 2016 15:10 = > 22:02	8.1 10 ¹¹	b, e, g, nids
PJ5	27 Mar 2017 3:56 => 06:00	1.5 10 ¹¹	e, g, i, nids
	7 :33=> 11 :09	1.1 10 ¹¹	
PJ6	19 May 2017 07:14 => 10:54	1.6 10 ¹²	b?, e, i
PJ7	10 Jul 2017 22:43 => 00:00	2.7 10 ¹¹	e, i
PJ9	24 Oct 2017 12:19 => 13:50	6.0 10 ¹¹	е
PJ11	07 Feb 2018 12:58 => 18:49	8.5 10 ¹¹	s, b, e, g
PJ14	16 Jul 2018 08:42=> 10:15	6.5 10 ¹¹	е
PJ16	29 Oct 2018 23:20=> 01:00	1.4 10 ¹¹	s, e, i?
PJ20	29 May 2019 09 :30 => 12 :54	9.2 10 ¹¹	e, g, i

737 Table 1. List of the dawn storms identified during Juno's perijove observations sequences. The second column collects the approximate times of the expansion phases of the dawn storm. The end time in particular are 738 739 approximate, as there is no clear criterion for when the phenomenon is finished. Start and end times in bold indicate 740 that the observations started or ended at the indicated time, but the dawn storm probably lasted longer. The third 741 column indicates the peak power reached by the dawn storm and the fourth column indicates the observed feature during this sequence, (s) meaning the spots, (b) the beads, (e) the expansion, (g) the gap, (i) the injections and (nids) 742 743 the occurrence of non-isolated dawn storms. The PJ1 dawn storm started after the end of the UVS observations, but 744 the beginning of the expansion phase was observed with the JIRAM (Jovian InfraRed Auroral Mapper) instrument 745 (Adriani et al., 2017; Mura et al., 2017) (Figure S9).

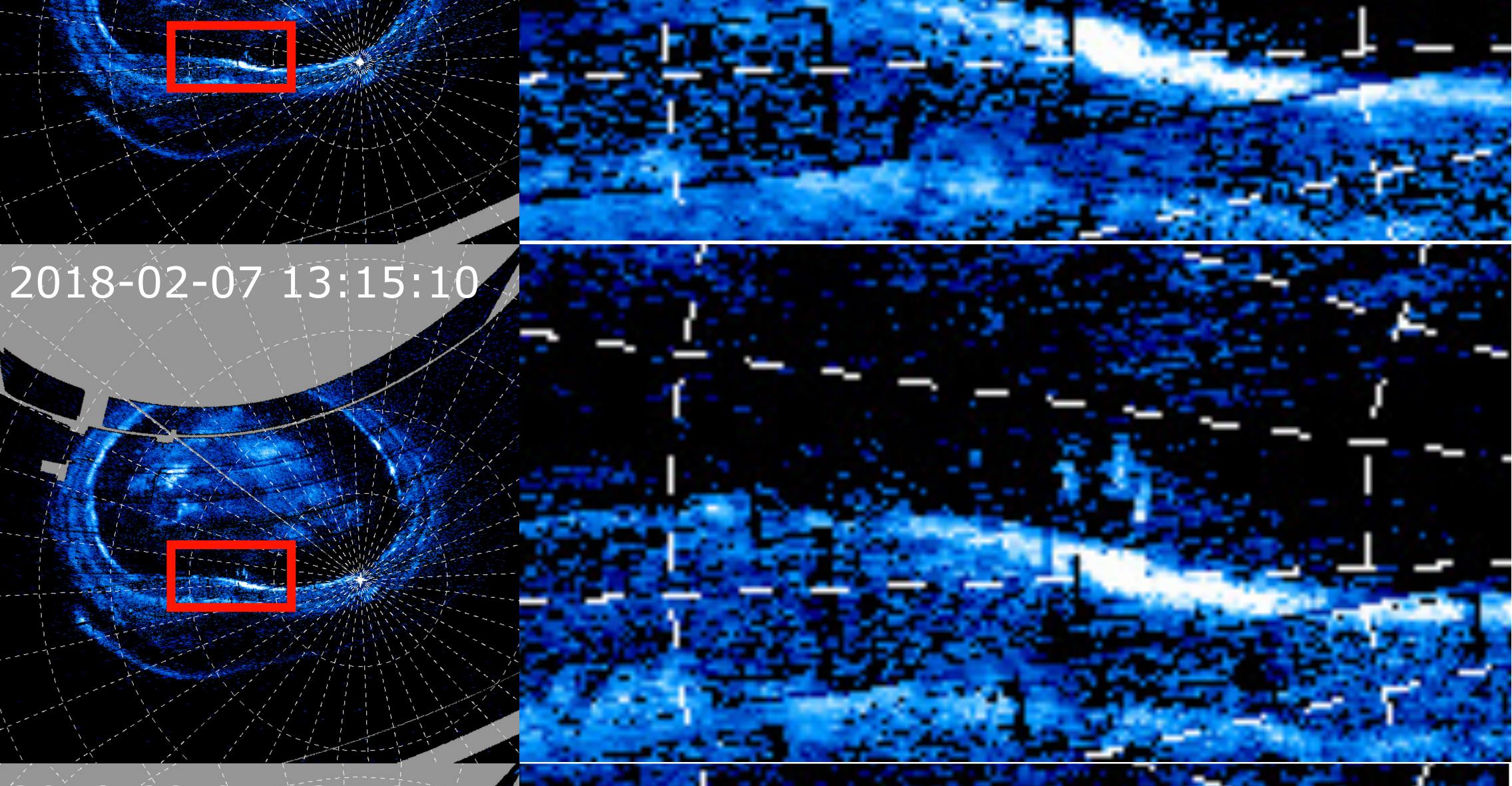
2018-02-07 13:07:11

TOSUM

- No Spots

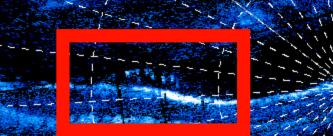
2018-02-07 13:14:42

First bright spot



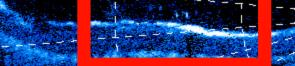
2018-02-07

Last bright spot 17



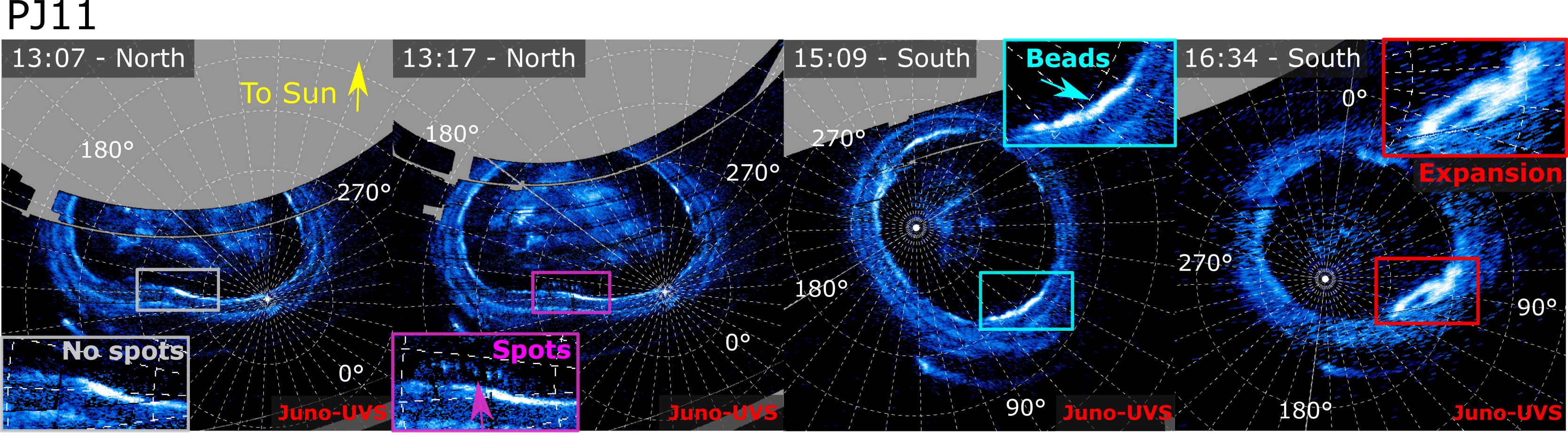
2018-02-07 13:19:11

Non-refreshed artifacts

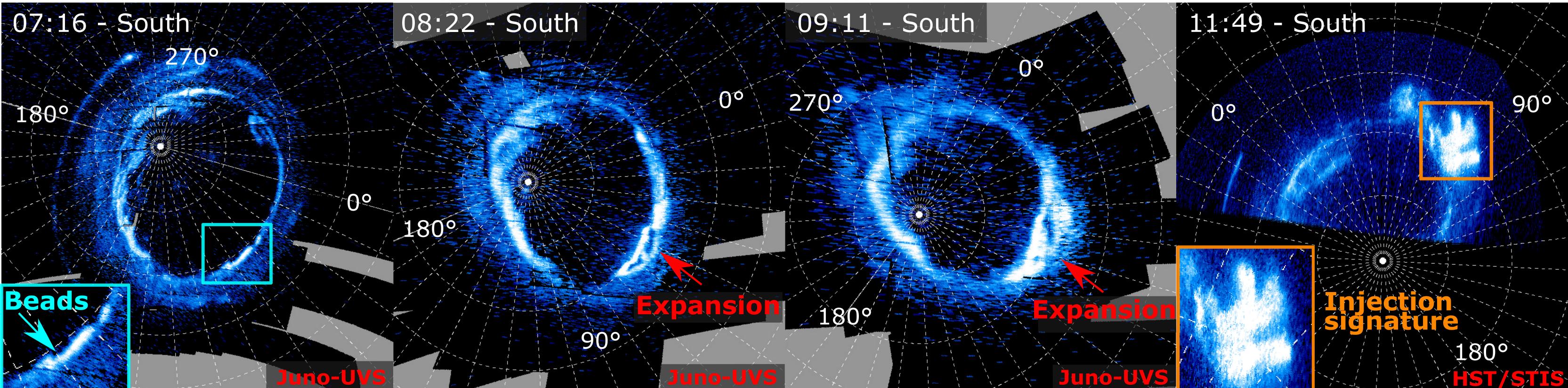


Last weak Non-refreshed 2018-02-07 13:21:42 artifacts **Spo**

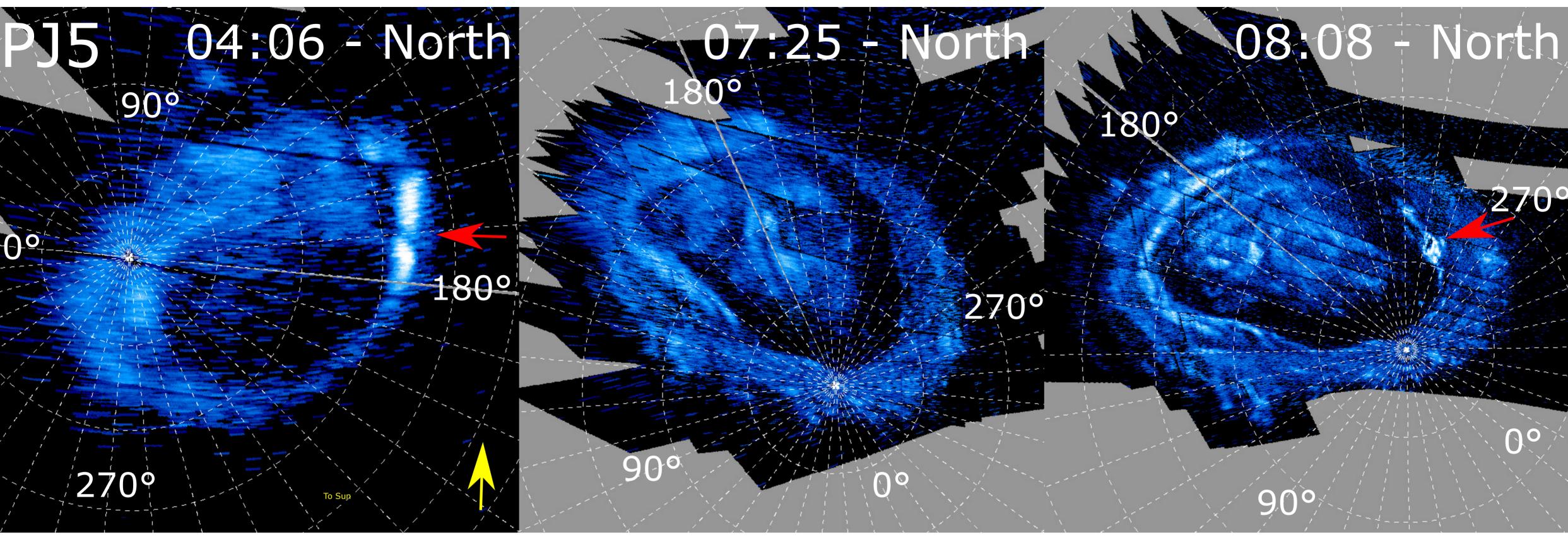




PJ6



Non-Isolated Dawn Storms



Øo



15:33 - North 900





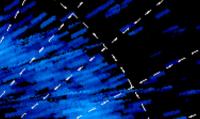


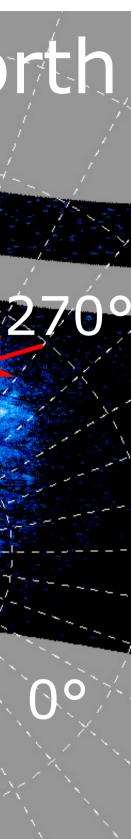
19:04 - South 180°

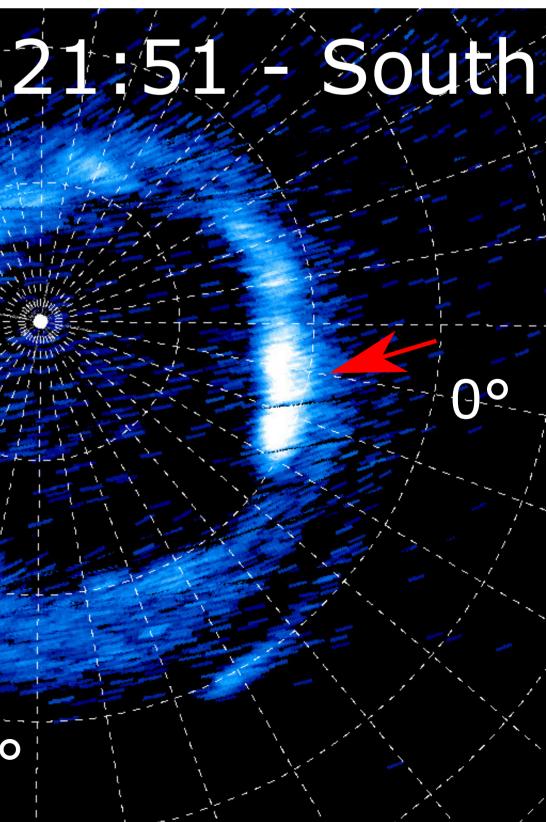








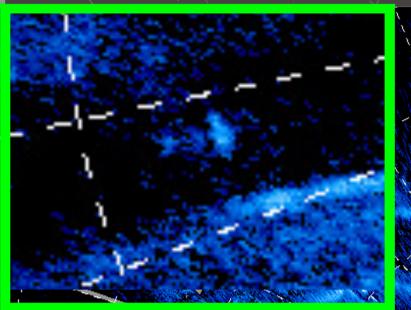




PJ16

PJ11

20:18 - North



180

27/0°

Dim midnight

arc

270°

00-

Ō____

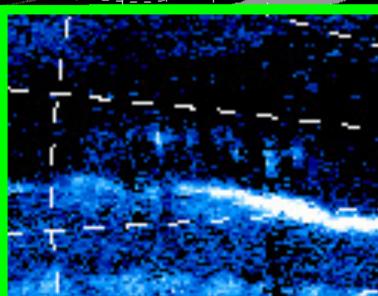
90° 13:06 - North

180°

270°

180°

180°



00

To Sun

Bright midnight

arc

2700

 0°

 $\mathbf{\hat{0}}$

20:22 - North

 $\mathbf{0}^{\circ}$

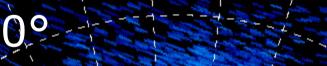
90° 13:18 - North

Start of the 90°

′90°

23:26 - South

15:10 - South



Peak of the dawn storm

270°

<u>270°</u>

00:00 - South

90°

Still developping dawn storm

Start of the

dawn storm

270°

900

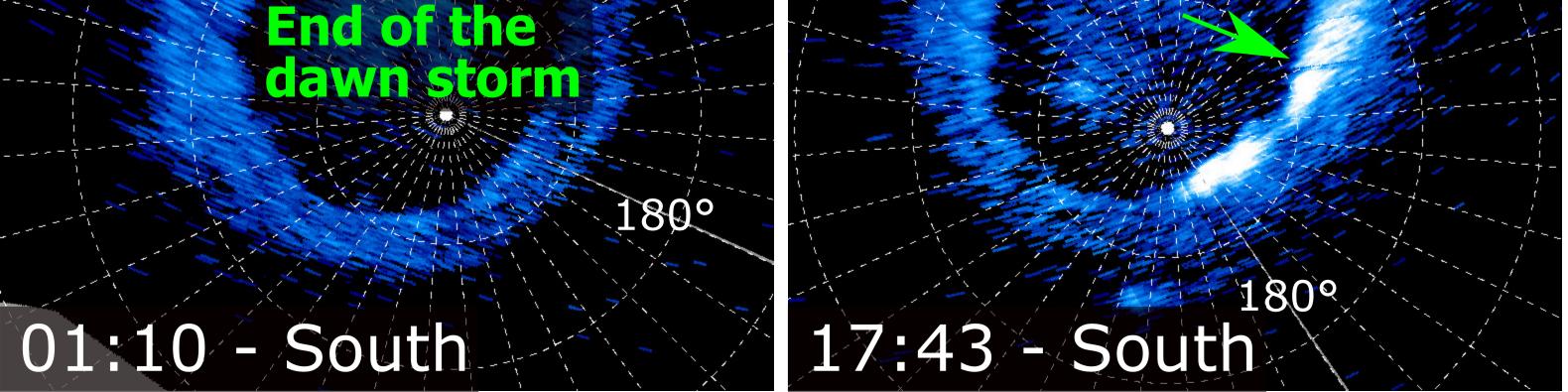
16:37 - South

0.0

Ongoing dawn storm

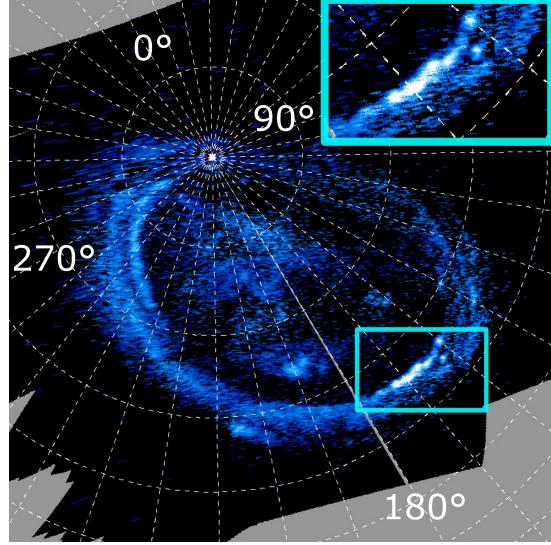


90°



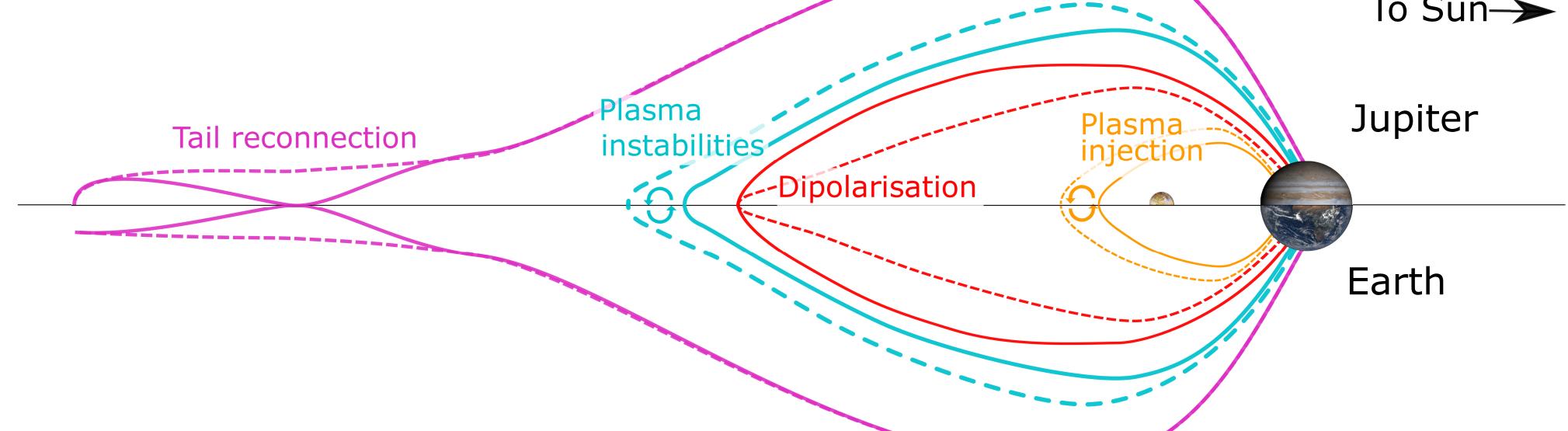
Midnight spots /To`Sun 180° 270° 00

Juno-UVS 2018-02-07 13:17UT

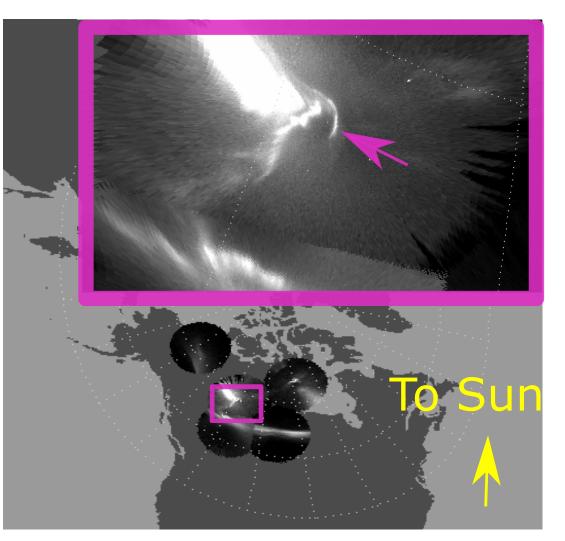


Beads

Juno-UVS 2016-11-12 15:33UT

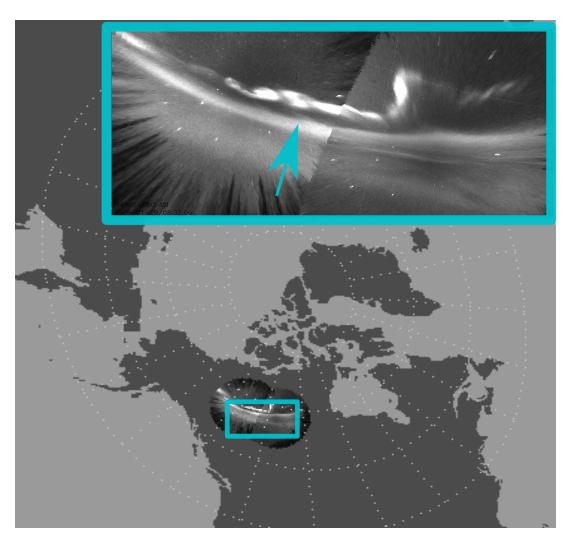


PBIs or Streamers



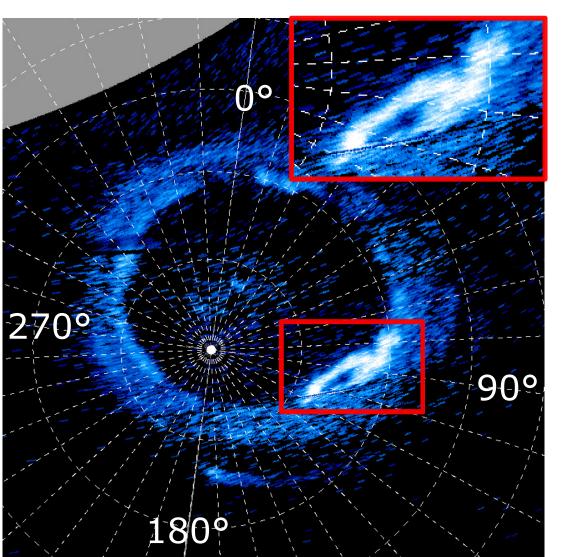
Themis all-sky cam. 2008-02-29 08:20UT Themis all-sky cam. 2008-01-29 08:33UT

Beads



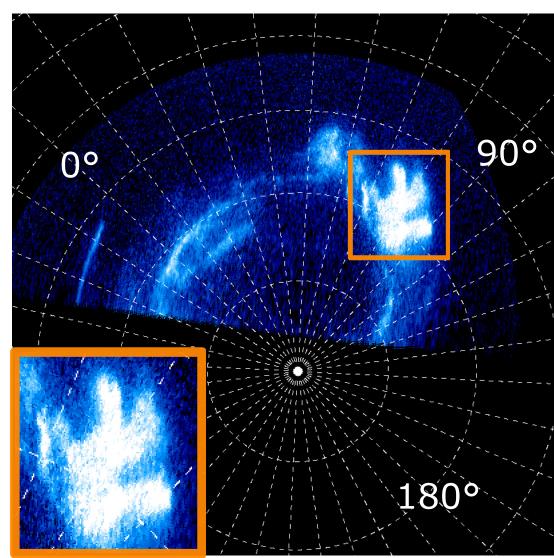
Image/WIC 2001-01-14 03:59UT

Expansion phase



Juno-UVS 2018-02-07 16:34UT

Injection signature



HST/STIS 2017-05-19 11:49UT

To Sun->>

Expansion phase

