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#### Are Dawn Storms Jupiter's auroral substorms?

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21	Key points:	
22	• Juno's observations provide the first global description of dawn storms in Jupiter's	
23	aurorae, from their initiation to their end.	
24	• Examples of non-isolated dawn storms and smaller events named pseudo-dawn storms	
25	have been identified.	
26	• Jovian dawn storms and terrestrial auroral substorms share many morphological and	
27	temporal characteristics.	

### 28 Abstract

Dawn storms are among the brightest events in the Jovian aurorae. Up to now, they had only 29 been observed from Earth-based observatories, only showing the Sun-facing side of the planet. 30 31 Here we show for the first time global views of the phenomenon, from its initiation to its end and from the nightside of the aurora onto the dayside. Based on Juno's first 20 orbits, some patterns 32 33 now emerge. Small short-lived spots are often seen for a couple of hours before the main 34 emission starts to brighten and evolve from a straight arc to a more irregular one in the midnight sector. As the whole feature rotates dawn-ward, the arc then separates into two arcs with a 35 central initially void region that is progressively filled with emissions. A gap in longitude then 36 often forms before the whole feature dims. Finally, it transforms into an equatorward-moving 37 patch of auroral emissions associated with plasma injection signatures. Some dawn storms 38 39 remain weak and never fully develop. We also found cases of successive dawn storms within a few hours. Dawn storm thus share many fundamental features with the auroral signatures of the 40 41 substorms at Earth. These findings demonstrate that, whatever their sources, mass and energy do 42 not always circulate smoothly in planetary magnetospheres. Instead they often accumulate until the magnetospheres reconfigure and generate substorm-like responses in the planetary aurorae, 43 although the temporal and spatial scales are different for different planets. 44

#### 45 Plain language summary

Polar aurorae are a direct consequence of the dynamics of the plasma in the magnetosphere. The sources of mass and energy differ between the Earth's and Jupiter's magnetospheres, leading to fundamentally distinct auroral morphologies and very different responses to solar wind variations. Here we report on the imaging of all development stages of spectacular auroral events at Jupiter, called dawn storms, including, for the first time, their initiation on the nightside. Our results reveal surprising similarities with auroral substorms at Earth, which are auroral events stemming from explosive magnetospheric reconfigurations. Our results show that, despite their major differences, the magnetospheres of the two planets can accumulate mass and energy in the tail of their magnetosphere until they release them in an explosive manner. In spite of their different scales and characteristics, this sudden reconfiguration of the magnetosphere triggers the same types of substorm-like aurora in the polar regions of both the Earth and Jupiter, suggesting that common universal processes are at play.

#### 58 1. Introduction

The specificity of the dawn storms among the various auroral morphologies at Jupiter was 59 recognized as soon as the first high resolution ultraviolet (UV) images of the aurorae on Jupiter 60 became available (Gérard et al., 1994). As observed from the Hubble Space Telescope (HST), 61 having only access to the Earth-facing side of the aurora, they consist of a thickening and a major 62 enhancement of the brightness of the dawn arc of the main auroral emission (main oval). They 63 seem to last for at least 1-2 hours (Ballester et al., 1996), but given the typical length of HST 64 sequences is ~45 minutes, HST could not provide a complete and uninterrupted view of the 65 process. Dawn storms are also characterized by clear signatures of methane absorption, 66 indicating that the charged particles causing them can precipitate deep below the methane 67 homopause, with energies up to 460 keV (Gustin et al., 2006) in the case of electrons. Based on 68 the large HST observation campaign carried out in 2007, dawn storms appeared rare (3 cases out 69 of 54 observations) and occurred independently from the state of the solar wind (Nichols et al., 70 2009). However, the dawn storm observed during the HST campaign supporting the Juno 71 mission as it approached Jupiter in 2016 occurred just as a coronal mass ejection hit Jupiter's 72 magnetosphere, re-igniting the debate on the relationship between dawn storms and solar wind 73

fluctuations (Kimura et al., 2017). So far, our understanding of dawn storms has been incomplete mainly because we have been unable to observe the whole extent of the event, both temporally and spatially. New data from the Juno mission reveal for the first time where and how the dawn storms start and their consequences.

## 78 2. Image processing

Juno is a NASA New Frontiers spacecraft orbiting Jupiter since 4 July 2016. Its 53-day eccentric polar orbit brings its perijove (PJ) to ~4000 km above the surface (1 bar level) at low latitudes. This orbit allows its ultraviolet spectrograph (UVS) to acquire spectrally resolved images of the polar aurorae from approximately 4 hours before the PJ (in the northern hemisphere) to approximately 4 hours after PJ (in the southern hemisphere) with a ~1-hour interruption in between during the closest approach at low latitude.

Juno-UVS is an imaging spectrograph operating in the 68 to 210 nm range (Gladstone et al., 85 2017; Greathouse et al., 2013). Its dog-bone shaped slit is 7.2° long, 0.025° wide in the center 86 and  $0.2^{\circ}$  wide in the two extremities. The slit is generally oriented perpendicularly to the Juno 87 spin plane. However, a scan mirror located at the entrance of the instrument allows to shift the 88 field of view by up to  $\pm 30^{\circ}$  from the spin plane. In the present work, only the data from the wide 89 parts of the slit are used, in order to optimize the signal to noise ratio. Moreover, the wavelength 90 range from 155 to 162 nm is selected in order to avoid regions affected by absorption of the UV 91 92 light by hydrocarbon molecules in the Jovian atmosphere (mostly methane) below 155 nm and by reflected sunlight beyond 162 nm. 93

The calibrated data from Juno-UVS are available through the Planetary Data System in the form of FITS files, which contain information about each event collected by the detector, such as the time of the event, its position in X and Y on the detector, the corresponding wavelength, etc.

97 This first step of the processing consists of removing the noise due to particle (typically relativistic electrons) penetrating into the instrument and impacting the detector from the signal 98 caused by UV photons. Contrary to photons, which are diffracted by the grating, penetrating 99 particles illuminate the detector in an almost homogenous fashion, as confirmed by observations 100 carried out in the radiation belts. We use a region between pixels 345 to 550 in the X direction 101 (corresponding to ~59.7 to 80.9 nm) and pixels 20 to 255 in the Y direction, which has a very 102 low effective area for extreme-UV photons (Hue et al., 2019), in order to estimate the count rate 103 per pixel due to radiation. This background noise is then removed from the photon illuminated 104 105 part of the detector.

The second step consists, for each detection event, of projecting the four corners of each 106 field of view element along the slit onto a Jupiter-shaped ellipsoid located 400 km above the 1-107 bar level, using the SPICE kernels listed in the FITS file header. The brightness, derived from 108 the weighted counts and the exposure time, is then attributed to a quadrilateral formed by these 4 109 points. A map of the aurora is then progressively built by adding all the detected events for a 110 given Juno spin. Simultaneously, an exposure map, identifying the regions of the planet covered 111 by the instrument's field of view, is also constructed. Images of the whole aurorae are then 112 assembled by performing a weighted sum of the consecutive spins, with a higher weight being 113 attributed to the latest spin. Going back in time, each weighting coefficient is 1/10<sup>th</sup> of the 114 previous one. We then divide the weighted sum of the counts with a weighted sum of the 115 116 exposure maps to derive our final brightness map. This method offers the best compromise between the completeness of the auroral map and the dynamics of the auroral features. However, 117 118 since UVS cannot observe the whole aurora during a given spin during the perijove sequence, the exact timing and duration of some transient events is uncertain, with temporal knowledge gaps of
30 seconds at best due to the spinning spacecraft.

Three main sources of uncertainty affect estimates of the total emitted power by the  $H_2$ molecules in the UV: 1) systematic calibration uncertainties estimated on the order of 16% (Gérard et al., 2020), 2) shot noise uncertainty, which depends on the number of counts in the region of interest and is typically below 5% for the small spots and below 1% for the larger dawn storm features and 3) the selection uncertainty, which depends on the way the region of interest is defined and which may reach up to 15%. The quadratic sum of all these uncertainties can be rounded to a reasonable value of 25% for power estimates.

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## 3. Observations of dawn storms

## 129 3.1. Development sequence of typical dawn storms

For the first time, Juno-UVS granted us a complete and global picture of the auroral dawn storms, from their initiation to their vanishing. Indeed, Juno captured views of dawn storms at different stages of development in approximately half of the first 20 perijoves (Table 1).

For example, on 7 February 2018 (PJ11), Juno-UVS captured the initiation of a dawn storm from 133 134 low altitude (~43000 km) above the north pole, thus allowing unprecedented high spatial resolution observations (Figure 1). Around 13:06 UT, the event started with a relatively bright 135 midnight arc (~2000 kR). Then a few spots began to appear poleward of this arc, creating a 136 137 string of approximately a dozen spots within 14 minutes, each one forming ~1000 km dusk-ward of the previous (Figure 2). These spots are approximately  $\sim 1000$  km long (in the north-south 138 direction) and ~150 km wide, which corresponds to the projection of the instrumental point 139 spread function (PSF) on the planet. Hence, the apparent North-South extension probably result 140 from the asymmetry of the PSF. They each typically emit ~1GW of total power and appear with 141

142	a peak brightness of ~800 kR. Using the flux mapping method of (Vogt et al., 2015), but with
143	JRM09 (Connerney et al., 2018) as an internal field model, these spots map to a distance of 65-
144	110 Jovian radii $(R_J)$ and a local time range between 22:40 UT and 23:45 UT, which broadly
145	corresponds to the X-line, where magnetotail reconnections take place (Vogt et al., 2010). When
146	mapped in the magnetosphere, the inter-spot distance corresponds to $1-2^{\circ}$ of longitude, or to a
147	mapped distance of 6-7 $R_J$ . The distance between the mapped locations of the first (and dawn-
148	most) and last (and dusk-most) spots is about 42 $R_J$ (~3.10 <sup>6</sup> km), and the associated propagation
149	speed would be on the order of 3600 km/s in the azimuthal direction. If we focus on the brightest
150	central spots, this apparent mapped azimuthal velocity reaches 10 000 km/s. If these spots indeed
151	correspond to magnetic reconnection on the X-line, it is however quite likely that these high
152	values do not correspond to any physical velocity in the magnetosheet, and that the time interval
153	rather corresponds to a phase delay. Furthermore, these numbers should be considered as rough
154	estimates only, since 1) the mapping uncertainty strongly increases with radial distance, and 2)
155	any static mapping model is inaccurate, whatever the planet, during magnetospheric
156	reconfiguration events. Even though the spin modulated sampling rate of UVS does not allow
157	for easy monitoring, individual spots appear to vanish after a few minutes. These short-lived
158	spots may be similar to the midnight spots occasionally observed from the Hubble Space
159	Telescope at the limb of the planet (Grodent et al., 2004; Radioti et al., 2011). Another example
160	of transient bright spots was found during PJ16 (see Figure S1).
161	Two hours later, Juno was located over the southern hemisphere when the main emission began

161 Two nours rater, Juno was located over the southern hemisphere when the main emission began 162 to brighten and broaden irregularly, forming a bead-like pattern in the same midnight sector 163 (Figure S2). Fly-bys carried out at lower altitude during this phase of the dawn storm, such as 164 during PJ3 at 15:37 UT, render this pattern, with beads with ~1500 km (~2°) spacing, even more

obvious. Once mapped into the magnetodisk, these beads appear to originate from a region ~50 165  $R_J$  from Jupiter and are azimuthally separated by ~8  $R_J$  (3° of longitude) in the magnetospheric 166 local time range between ~1:45 and ~3:00 LT. Hence, the enhancement of the main emission, 167 leading to the full-fledged dawn storm, actually started around magnetospheric midnight. This 168 feature then slowly migrated to the dawn sector at a pace corresponding to  $\sim 25\%$  of corotation 169 with the planet. Around 16:22 UT, the main arc split into two parts, with one moving ~2500 km 170 towards the pole while the other remain relatively still. Because it is likely that these auroral 171 172 features arise from a reconfiguration of the magnetic field, static magnetic field mapping models 173 would most probably provide misleading results. The whole feature continued to rotate, progressively accelerating towards co-rotation with the magnetic field as the dawn storm 174 developed. Around 17:15 UT, the feature appeared to split, but longitudinally this time. The the 175 gap extends overs  $\sim 10^{\circ}$  of longitude in the upper atmosphere. At its peak, the total power 176 reached 850 GW, which is among the brightest events observed during Juno's first 20 orbits (see 177 Table 1). The UVS perijove observations ended at 18:50 UT, even though the event was still 178 ongoing. 179

On 19 May 2017 (PJ6), the Juno-UVS observations missed the beginning of an event, but 180 181 allowed us to examine the next phases. After the broadening and the latitudinal splitting of the 182 main emission, the outer-most arc transformed into large patches. On the same day, subsequent HST images acquired with the Space Telescope Imaging Spectrograph (STIS) confirmed that the 183 patches continued their evolution, forming latitudinally extended fingers slowly expanding 184 185 equatorward. Such features have been associated with large and fresh plasma injection signatures 186 (Dumont et al., 2018). While such a connection between dawn storms and large injection signatures has been proposed previously, based on the simultaneous presence of a dawn storm 187

and large injection signatures on the same image (Gray et al., 2016; Grodent et al., 2018), this long and continuous set of observations from Juno and Hubble is the first to clearly demonstrate the transition from one into the other. It should also be noted that some (less intense) injection signatures can also appear independently from dawn storms, as was observed during PJ1 for example (Bonfond et al., 2017).

## 193 3.2. Non-isolated dawn storms

Juno-UVS observations of dawn storms show that they sometimes occur as a series, rather than 194 isolated events. For example, on 27 March 2017 (PJ5), a first dawn storm was ongoing when the 195 196 observations started at 03:57 UT and was finished by approximately 06:51 UT, after which a second one was observed peaking around 08:14 UT (Figure 3). In other cases, there appears to be 197 no gap between consecutive events. For example, during PJ3 (11 December 2016), the dawn 198 storm expansion phase seemed to never really stop, continuously going on at the same local time. 199 The dawn storm was first observed with the apparition of beads around 15:21 UT, as Juno was 200 flying over the northern hemisphere, and continued until auroral observations were interrupted 201 by Juno's low latitude fly-by. When observations of the southern hemisphere started over, a 202 dawn storm was still ongoing and this continued until the end of the sequence at 22:01 UT, with 203 the emitted power increasing around the end. 204

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## 3.3. Pseudo-dawn storms

During PJ16 (29 October 2018), Juno-UVS observed the development of a particularly limited dawn storm-like event (Figure 4). Around 20:19 UT, the instrument captured the appearance of three transient (~6 minutes) spots poleward of the midnight arc of the main emission. Moreover, the midnight arc itself was fainter than during PJ11 and the number of spots was also smaller. 211 The brightness of the enhanced the dawn arc of the main emission observed at 23:39 UT was fairly dim (~500 kR), and the area concerned with the enhancement was limited (~10° in 212 longitude). While the sequence of events is similar to the one observed on PJ11, which is why 213 we identify it here as a dawn storm, it would probably not have qualified as a dawn storm in 214 previous studies, due to its limited extent and brightness. This reason, together with the fact that 215 216 Juno observes the whole auroral region, including the nightside where dawn storms arise, almost continuously for ~8 hours explains the discrepancy between our detection rate and the one 217 deduced from HST, which only focused on the expansion phase. The second dawn storm on PJ5 218 219 is another example of such a limited dawn storm (Figure 3, top right panel).

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#### 4. Discussion

Put together, the Juno-UVS observations paint a brand new picture of dawn storms. They consist 223 of a 5-10 hour long chain of events, starting with the transient spots (Figure S1), followed 2-3 224 hours hours later by the formation of bead-like features on the midnight part of the main 225 emissions (Figure S2). This time delay between events taking place at 90 and 50 R<sub>I</sub>, respectively, 226 suggests a propagation speed of 250-400 km/s, which is consistent with estimates of the Alfvén 227 velocity in the plasma sheet (Manners et al., 2018). This is followed by an expansion phase, 228 during which the main emission brightens, expands, thickens and forks into two branches (Figure 229 S3). This chain of events is very similar to the one observed during terrestrial auroral substorms 230 (Figure 5). Substorms are global reconfigurations of the magnetosphere during which the 231 magnetic energy stored in the magnetotail is converted into particle energy, which lead to 232 spectacular auroral brightening in nightside polar regions which generally follow a well-233 established sequence of features (Akasofu, 2013). The transient spots observed in Jupiter's 234

aurora share several morphological and temporal characteristics with transient meso-scale 235 features on Earth, sometimes associated with poleward boundary intensifications (PBIs) and 236 sometimes with streamers (Forsyth et al., 2020). Both are often observed before the substorm 237 onset (Nishimura et al., 2011), even if the exact relationship between streamers and substorms is 238 disputed (Miyashita & Ieda, 2018). Both phenomena are associated with reconnection in the 239 magnetotail and the subsequent inward flow of plasma and dipolarizing field lines 240 (Angelopoulos et al., 2008). At Jupiter, the tentative connection between magnetotail 241 reconnection and dawn storm has been evocated by several authors (Ballester et al., 1996; Ge et 242 al., 2010). Recently, the most compelling examples of such a connection come from 243 contemporaneous in situ particle and fields measurements by Juno and HST images of the aurora 244 (Yao et al., 2020). These observations show large reconnection signatures on magnetic field lines 245 mapping poleward of a dawn storm and then dipolarization signatures preceding auroral injection 246 signatures. The pre-expansion beads observed in the context of terrestrial substorms (Henderson, 247 248 2009) are associated with plasma instabilities in the near magnetotail, such as the ballooning instability (Yao et al., 2017). The expansion phases of Jupiter's dawn storms and the Earth's 249 substorms also share fundamental similarities, and the latter is known to be associated with a 250 251 dipolarization/current disruption in the magnetosphere. In particular, the apparition of a bifurcated oval at Jupiter resembles terrestrial bulge-type aurora observed during substorms 252 (Gjerloev et al., 2007, 2008). Finally, the auroral patches in the equatorward emissions manifest 253 254 massive plasma injections (Figure S6). Plasma injections in the inner terrestrial magnetosphere are indeed observed by in situ instruments during substorm events (Gabrielse et al., 2019) and 255 256 they can also give rise to equatorward moving auroral enhancements (Sergeev et al., 2010). One notable difference is that auroral substorms do not rotate with the Earth, but evolve in fixed local
time, i.e., around midnight (with a slight preference at pre-midnight (Gjerloev et al., 2004)).

At Earth, substorms do not always occur as isolated events. Instead, multiple substorm expansions can happen consecutively (Liou et al., 2013). A similar behavior is observed for dawn storms at Jupiter. The occurrence of successive dawn storms separated by a delay of a few hours could explain why images of dawn storms from HST often display large injection signatures in the post-noon sector (Gray et al., 2016; Grodent et al., 2018). Furthermore, (Yao et al., 2020) suggest that successive dawn storms are responsible for the multiple injection auroral structures.

Terrestrial substorms vary considerably in intensity and those which could not fully develop are called pseudo-breakups (Pulkkinen et al., 1998). The event observed during PJ16 (29 October 2018) was limited to a small intensification, which might be analogous to terrestrial pseudobreakups (Fig. S2).

The orientation of the interplanetary magnetic field (and, to a lesser extent, the dynamic pressure 270 271 of the solar wind) controls the occurrence and intensity of Earth substorms (Kullen & Karlsson, 2004). Unfortunately, these solar wind parameters are difficult to obtain at Jupiter while Juno 272 carries out its perijove observations. Therefore, we used the propagation model from Tao et al. 273 274 (2005), which relies on measurements acquired at one astronomical unit from the Sun (from either the OMNI data or the Stereo A spacecraft) to estimate the solar wind velocity and dynamic 275 pressure at Jupiter when Jupiter and the observatory are sufficiently well aligned (<40°) (Figures 276 277 S6-S8). Most dawn storms for which such an estimate was possible (i.e. PJ5, PJ9, PJ14 and PJ20) happened more than 2 days away from any solar wind enhancement, which confirms that 278 dawn storm may occur during relaxed solar wind conditions. However, they can also occur at 279

times closer to a solar wind enhancement (e.g. PJ1, PJ6 and PJ16), suggesting that solar wind shocks do not necessarily prevent their occurrence. The comparison of the location of the magnetopause measured by Juno and the aurora observed by HST also suggests that dawn storms happen independently of the state of compression of the magnetosphere and are most probably internally driven, contrary to the global main emission brightenings, which only occur in the compressed state (Yao et al., 2020).

286 Regardless of the similarities between terrestrial substorms and Jovian dawn storms, it is also important to stress the major differences between the Earth's and Jupiter's magnetospheres 287 (Mauk & Bagenal, 2013). The first is dominated by its interaction with the solar wind, and 288 magnetic reconnections on the dayside magnetopause drive the plasma convection in the 289 magnetosphere through the so-called Dungey cycle (Dungey, 1961). On the other hand, the 290 Jovian magnetosphere is inflated with plasma originating from the volcanic moon Io and the 291 rotation of the planet controls the motion and the energization of the magnetospheric plasma. 292 293 The mechanism through which the mass injected at Io is ultimately released via reconnection on closed field lines is called the Vasyliunas cycle (Kronberg et al., 2007; Vasyliunas, 1983). 294 Reconnection on the dayside magnetopause, while it does exist at Jupiter (Ebert et al., 2017), 295 296 cannot open a significant amount of flux (Desroche et al., 2012; Masters, 2017), leading to a very 297 different type of magnetospheric topology (Zhang et al., 2020). By comparing the occurrence of magnetotail reconnection and plasmoid release to predictions of the solar wind input, (Vogt et 298 al., 2019) showed that these large scale reconfigurations of the magnetotail were mostly 299 300 independent from solar wind compression.

However, regardless of the different reasons for the loading, in both cases plasma and energy regularly accumulates within the system, which grows increasingly unstable, especially in the

midnight magnetotail where the field lines are the most elongated. While the long term (~months) global evolution of the position of the main auroral emissions has been attributed to the variations of the mass output from Io (Bonfond et al., 2012), the shorter term variations of its position at different local times are poorly understood. Hence, since its typical location at midnight for the various System III longitudes is unknown, we were unable to identify any equatorward departure from it, as typically observed for the terrestrial growth phase auroral arcs.

309 Such a stretching of the field lines provides favorable conditions for reconnection to occur. At Earth, such reconnection closes the magnetic field lines open to the solar wind in the 310 magnetotail, while at Jupiter, reconnection is internally driven (Ge et al., 2010; Kronberg et al., 311 312 2005; Vogt et al., 2019; Woch et al., 2002) and is expected to take place on closed field lines. In the middle magnetosphere, various plasma instabilities may occur, such as ballooning instability 313 (Hameiri et al., 1991; Kalmoni et al., 2018; Oberhagemann & Mann, 2020), cross-field current 314 instability (Lui et al., 1991) or shear flow-interchange instability (Derr et al., 2020). Since the 315 316 magnetic field lines in Jupiter's outer magnetosphere are also highly stretched, and the magnetosphere consists of more energetic ions than the Earth's magnetotail, many plasma 317 instabilities identified in Earth's magnetotail would likely take place in Jupiter's outer 318 319 magnetosphere. Such instabilities can then lead to a disruption of the azimuthal currents in the 320 middle magnetosphere and a dipolarization of the field lines. While the dipolarizing field lines would remain in the night sector at Earth, they would be progressively swept away in azimuthal 321 direction by the planetary rotation at Jupiter as they progress inward. This makes studies of east-322 323 ward or west-ward expansion of the dawn storm almost impossible at Jupiter, because the exact 324 longitudinal expansion would be very difficult to disentangle from partial corotation. These processes would also bring hot and sparse plasma from the outer magnetosphere further into the 325

system and energize it, forming plasma injections (Yao et al., 2020). Their study also shows that
 dipolarization at Jupiter may corotate with the planet, as a counterpart of corotating auroral
 injection.

The above explanation probably gives the impression that the dawn storm auroral sequence 329 suggests that the magnetotail reconfigurations at Jupiter are systematically "outside-in" in nature 330 rather than "inside-out". Here the outside and inside represent relatively further or closer to the 331 332 planet. For the terrestrial case, this debate has been raging for years despite the flotilla of dedicated spacecraft cruising in the magnetosphere and we certainly would not want to suggest 333 that with the few cases presented here, Juno has single handedly solved the problem at Jupiter. 334 As a possible counter-example, the auroral observations during PJ1 with Juno-UVS have shown 335 the progressive development of injection signature all around the pole before a poleward 336 protrusion (the shape of which may be reminiscent of omega bands at Earth) appeared on the 337 midnight arcs of the main emissions (Bonfond et al., 2017). It then took 2 hours for bead-like 338 features and then a dawn storm expansion phase to appear on infrared images (see supplemental 339 material S9). Contrary to the other sequences discussed here, this particular one thus suggests 340 that magnetospheric instabilities appeared closer to Jupiter before they developed further out. 341 342 Some studies at Earth also suggested that both situations might appear at Earth (Murphy et al., 343 2014). Rather than a unique causal process leading to systematic chain of events, a possible interpretation is that the accumulation of mass and energy makes the different regions of the 344 magnetosphere progressively susceptible to different types of plasma instabilities (including, at 345 346 places, reconnection). Once one of these regions reaches the instability threshold, the generated disturbance propagates to the other region, making their own collapse more likely. 347

While they have some unique characteristics as well, the magnetosphere and aurorae at Saturn 348 are generally understood as representing an intermediate case between the Earth and Jupiter. 349 Indeed several lines of evidence (Bader et al., 2019) show that Saturn supports a combination of 350 Vasyliunas and rotating Dungey cycles (Cowley et al., 2005). It is thus less of a surprise to find 351 similar auroral features, such as transpolar arcs (Radioti et al., 2013) or auroral beads (Radioti et 352 353 al., 2019) in both the terrestrial and the Kronian aurorae. On the other hand, both observational and theoretical arguments indicate that the overall dynamics of the plasma in the two 354 magnetospheres are fundamentally different (Bagenal & Delamere, 2011; Delamere et al., 2015; 355 Louarn et al., 2000), one being mostly externally driven and the other being mostly internally 356 driven. It is thus remarkable that universal processes releasing the accumulated matter and 357 energy from the systems generate strikingly similar auroral signatures. 358

## 359 Summary and conclusions

Freed from all the biases related to Earth-based observations, we detected dawn storms in 360 approximately half of the Juno perijove sequences (10 dawn storm observations over 19 361 orbits – no observations were carried out during P[2]. This is due to three factors: 1) longer 362 363 observations, providing additional chances to catch dawn storm at any stage of their development, 2) a view of the nightside, where the dawn storms actually form and 3) a 364 looser definition of the dawn storm, which is no longer restricted to the brighter examples. 365 Moreover, the occurrence of dawn storms appears independent of the arrival of a solar 366 wind compression region at Jupiter. 367

While every feature has not been observed in each case, the dawn storms appear to follow a systematic sequence of events (Figure 5), some of which are being reported here for the

first time. A dawn storm precursor appears to be the appearance of a series of transient 370 spots separated by  $\sim$ 1000 km, mapping to the pre-midnight sector. Approximately 2 to 3 371 hours later, the midnight section of the main emission starts to brighten, often forming 372 regularly spaced (~ 1500 km apart) beads. The arc further brightens and expands in 373 longitude as it progressively starts to co-rotate with the planet and to move towards the 374 dawn side. Then it bifurcates, with a branch moving poleward. The void between the arcs 375 then fills progressively as the arcs broaden in latitude. A longitudinal gap also generally 376 forms within the feature. Finally, the whole feature dims and the equatorward part of the 377 dawn storms evolves as an equatorward patch of emission associated with plasma 378 injection signatures, providing a direct link between dawn storms and some plasma 379 injection signatures. 380

Many of these auroral forms at Jupiter resemble meso-scale (Forsyth et al., 2020) and large scale auroral forms observed during substorms at Earth. Furthermore, we found cases of consecutive dawn storms occurring within a few hours, similar to the non-isolated substorms at Earth. We also found cases of particularly weak dawn storm, reminiscent of pseudo-breakups at Earth.

The magnetospheric processes associated with substorm magnetotail reconfigurations, such as tail reconnection, dipolarization or hot plasma injection have also been observed at Jupiter (Kronberg et al., 2005; Louarn et al., 2014; Mauk et al., 1997; Vogt et al., 2010; Woch et al., 2002). The connection between these processes and dawn storms, was proposed based on measurements from either in situ magnetic field or auroral images (Ge et al., 2010; Kimura et al., 2017), and was later confirmed by contemporaneous measurements

from Juno and HST (Yao et al., 2020), associated with dawn storms. Despite the fact that the mass and energy loading in the magnetotail at Earth and Jupiter are very different, the evidence presented here show that the auroral signatures of the processes releasing them at Jupiter are remarkably similar to terrestrial auroral substorm.

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#### 593 Data

The data included herein are either archived in NASA's Planetary Data System (http://pds-594 atmospheres.nmsu.edu/data\_and\_services/atmospheres\_data/JUNO/juno.html). This research is 595 596 also based on publicly available observations acquired with the NASA/ESA Hubble Space Telescope and obtained at the Space Telescope Science Institute, which is operated by AURA 597 for NASA (https://archive.stsci.edu/hst/search.php). Data analysis was performed with the 598 AMDA science analysis system provided by the Centre de Données de la Physique des Plasmas 599 (CDPP) supported by CNRS, CNES, Observatoire de Paris and Université Paul Sabatier, 600 Toulouse. The THEMIS data are available from http://themis.ssl.berkeley.edu/data/themis/. The 601 602 IMAGE-WIC images can be accessed at https://spdf.gsfc.nasa.gov/pub/data/image/fuv/ and were processed using the FUVIEW3 software (http://sprg.ssl.berkeley.edu/image/). 603

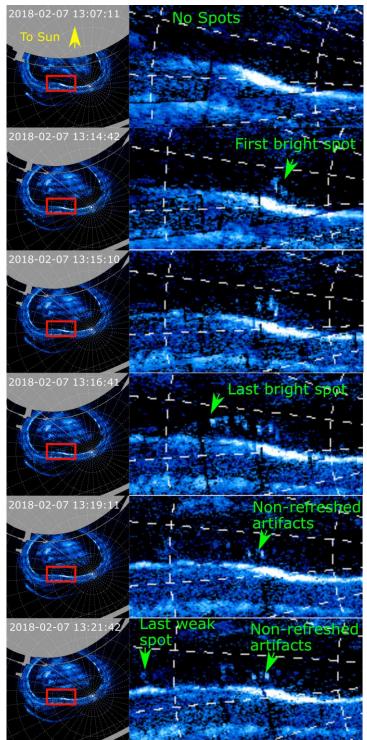
604

#### 605 Acknowledgments

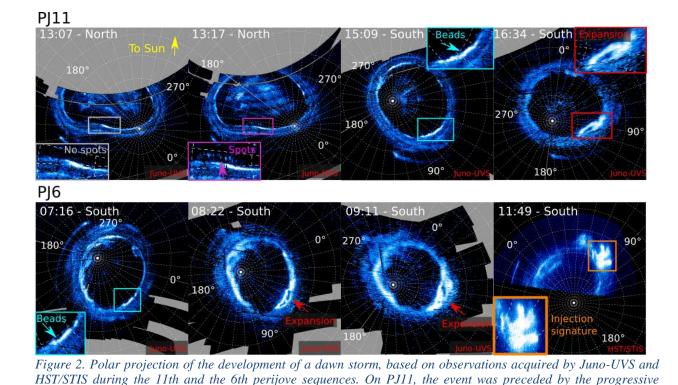
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#### 614 Supplementary Materials:

615 Figures S1-S9



617
618 Figure 1. Details of the development of the transient spots during the PJ11 dawn storm. A polar projection of the
619 whole northern aurora is shown on the left and a zoom on the region boxed in red is shown on the right. The Sun
620 direction is towards the top and dashed lines show System III meridians and planeto-centric parallel spaced every
621 10°. Bright spots of the size of the instrument al PSF successively appeared from dawn to dusk, approximately 1000
622 km apart. The two bright spots remaining on the center of the last two frames are due to the non-refreshment of this
623 part of the image.



appearance of a set of transient spots poleward of the main emission. Two hours later, the dawn storm itself started as an enhancement of the main emission in the form of beads before the arc began to fork and expand, both latitudinally and longitudinally. On the PJ6 sequence, the same sequence of emergence of beads, followed by the expansion phase is observed, but subsequent observations by both Juno-UVS and HST-STIS show that the equatorward arc transforms into a large injection signature.

## Non-Isolated Dawn Storms

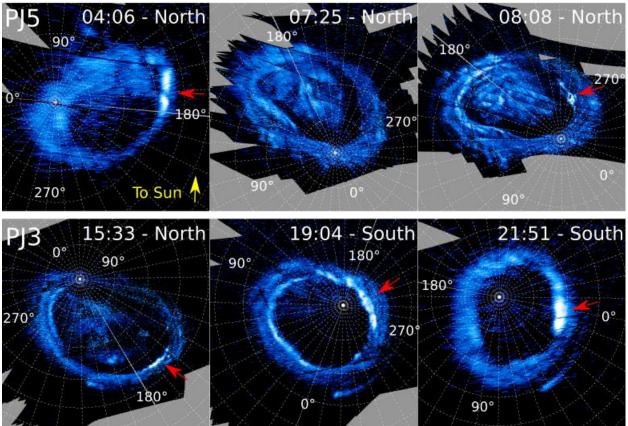


Figure 3. Polar projections of the development of non-isolated dawn storms during PJ3 and PJ5. The red arrow highlights the dawn storms. During PJ5, a second dawn storm took place ~3 hours after the first one. On PJ3, new dawn storms seem to appear during all the southern branch of the perijove sequence.

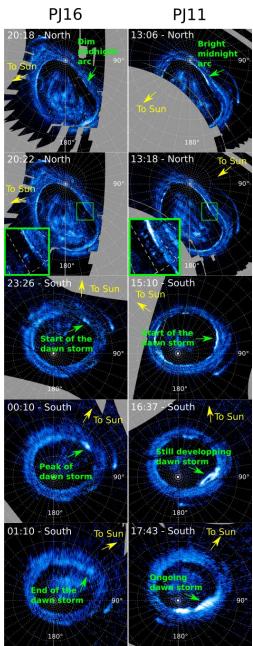
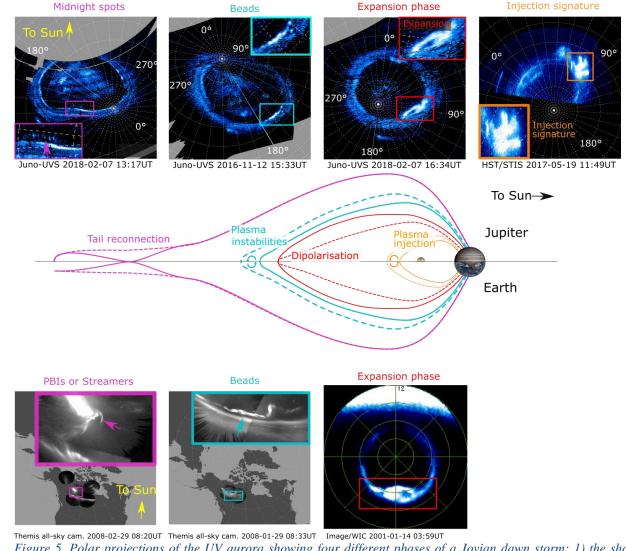


Figure 4. The left column shows polar projections of the aurorae during the 16th perijove, and the right column
shows a similar sequence for the 11th perijove. While the sequence on PJ11 compares with a terrestrial substorm
(Fig. 1-2), the one on PJ16 is much more limited in size, emitted power and duration and would be more similar to a
terrestrial pseudo-breakup.



647 Figure 5. Polar projections of the UV aurora showing four different phases of a Jovian dawn storm: 1) the short lived polar midnight spots, 2) the formation of irregularities on the main emission pre-dawn part 3) the expansion 648 649 phase, with the two arcs splitting and 4) the injection signatures in the outer emission. The first three images are 650 based on data from the Juno-UVS instrument and the fourth one comes from Hubble Space Telescope observations carried out to support Juno. These four phases appear to correspond to nightside tail reconnection, plasma 651 652 instabilities, current disruption/dipolarization in the middle magnetosphere and to flux tube interchange, respectively, as illustrated in the general scheme shown in the central scheme (not to scale). These auroral features 653 654 corresponding to these phases in the terrestrial aurora are show on the bottom raw. In the bottom, the first two 655 images come from the THEMIS network of all-sky cameras (Nishimura et al., 2010; Yao et al., 2017). The third image corresponds to Earth's aurora as seen from IMAGE-WIC. 656

	date	Peak power (W)	Identified features
PJ1	27 Aug 2016 18:00 => <b>20:00</b>		b?, e
PJ3	11 Dec 2016 15:10 = > <b>22:02</b>	8.1 10 <sup>11</sup>	b, e, g, nids
PJ5	27 Mar 2017 <b>3:56</b> => 06:00	1.5 10 <sup>11</sup>	e, g, i, nids
	7 :33=> 11 :09	1.1 10 <sup>11</sup>	
PJ6	19 May 2017 07:14 => <b>10:54</b>	1.6 10 <sup>12</sup>	b?, e, i
PJ7	10 Jul 2017 22:43 => 00:00	2.7 10 <sup>11</sup>	e, i
PJ9	24 Oct 2017 12:19 => 13:50	6.0 10 <sup>11</sup>	е
PJ11	07 Feb 2018 12:58 => <b>18:49</b>	8.5 10 <sup>11</sup>	s, b, e, g
PJ14	16 Jul 2018 08:42=> <b>10:15</b>	6.5 10 <sup>11</sup>	е
PJ16	29 Oct 2018 23:20=> 01:00	1.4 10 <sup>11</sup>	s, e, i?
PJ20	29 May 2019 09 :30 => <b>12 :54</b>	9.2 10 <sup>11</sup>	e, g, i

661 Table 1. List of the dawn storms identified during Juno's perijove observations sequences. The second column collects the approximate times of the expansion phases of the dawn storm. The end time in particular are 662 approximate, as there is no clear criterion for when the phenomenon is finished. Start and end times in bold indicate 663 664 that the observations started or ended at the indicated time, but the dawn storm probably lasted longer. The third column indicates the peak power reached by the dawn storm and the fourth column indicates the observed feature 665 during this sequence, (s) meaning the spots, (b) the beads, (e) the expansion, (g) the gap, (i) the injections and (nids) 666 the occurrence of non-isolated dawn storms. The PJ1 dawn storm started after the end of the UVS observations, but 667 the beginning of the expansion phase was observed with the JIRAM (Jovian InfraRed Auroral Mapper) instrument 668 669 (Adriani et al., 2017; Mura et al., 2017) (Figure S9).