

On the identification of the axial force and bending stiffness of stay cables anchored to flexible supports

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Abstract

The generic model of a cable with small bending stiffness and anchored to flexible supports in rotation and translation is considered. An asymptotic analysis of the natural frequencies of this generic model is derived and shows that, for small bending stiffness, the first few natural frequencies can be expressed as a function of the cable axial force, the small bending stiffness and a single dimensionless group collecting the information of all other problem parameters. This formulation is used to develop an identification procedure of the cable axial force. Two formulations are proposed, one numerical and one semi-analytical based on a simple linear regression model. Both methods do not attempt at separately identifying the problem parameters since the observability analysis has revealed that only the cable axial force, the bending stiffness and the dimensionless group can be identified. In particular, the second method is very simple to implement and provides estimates of the cable axial force which account for the flexibility of the support. The proposed method can therefore be seen as an extension of usual identification techniques based on linear regressions of natural frequencies vs. mode number relations, by considering at the same time the bending stiffness and the deformability of supports. Being simple and robust as shown by means of an uncertainty quantification analysis, the proposed method can be conveniently embedded in the framework of a continuous monitoring strategy.

Keywords: Stay cables, Axial force, Bending stiffness, Parameter identification, Structural health monitoring, Differential Evolution

1. Introduction

The identification of cable axial force is of paramount importance for structural health monitoring and safety assessment of stayed bridges [44] and other special structures, such as large-span cable roofs [8]. Experimental testing campaigns, hence, are typically carried out both at early construction stages, to check the compliance of the cable axial force with design requirements, and during the service life of the structure. Monitoring variations in time of the axial force, indeed, can allow for early detection of potentially harmful damage phenomena [44, 36, 20, 52, 39].

Direct measurements of the axial force in stay cables can be obtained through permanent installation of load cells or by means of lift-off tests performed with hydraulic jacks. Both testing techniques require expensive instrumentation. Moreover, lift-off tests are potentially dangerous and need to be executed with great care, since they involve removal of portions of the anchoring system [35, 11, 24]. On the other hand, indirect measurements based on both static (e.g. [47]) and dynamic (e.g. [17, 6, 7]) testing can be effectively used to get axial force estimates.

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14 Dynamic testing techniques have been thoroughly investigated in the past decades and are
15 nowadays widely employed in practice, since they can be performed in operational conditions and
16 provide the ground for quick and cheap **structural parameter** identification procedures [25, 4, 13,
17 58, 40].

18 Vibration-based identification procedures typically rely on the knowledge of (i) a set of ex-
19 perimentally determined natural frequencies of the stay cable, and (ii) a **mechanical** model that
20 relates the natural frequencies to the axial force value. Reliability of results, hence, is inherently
21 affected by the predictive capabilities of the underlying **mechanical** model.

22 Due to their slenderness and inherent flexibility, structural cables are often modeled as “per-
23 fectly flexible” one-dimensional continua, that can only withstand axial forces. The dynamic
24 behavior of perfectly flexible cable models has been widely studied by accounting for the effects
25 of both geometric and elastic stiffness terms (see e.g. [31, 56, 50]).

26 Small vibrations of shallow cables, i.e. suspended cables characterized by small values of the
27 sag-to-span ratio δ (in the order of $\delta < \frac{1}{8}$), have been thoroughly investigated by Irvine and
28 Caughey [31, 30] under the assumption of quasi-static stretching. A key feature of the linearized
29 model derived by Irvine and Caughey is the decoupling between in-plane and out-of-plane vibra-
30 tions, i.e. between the components of motion respectively belonging to and perpendicular to the
31 plane of the gravity loads. In-plane spectral properties are shown to be governed by a single non-
32 dimensional parameter λ^2 that can be conveniently regarded as a characteristic ratio of the elastic
33 to the geometric stiffness terms. Small values of the Irvine’s parameter λ^2 (i.e. $\lambda^2 \lesssim 1$) are related
34 to cables strung at relatively high values of axial force and with a small sag-to-span ratio, such
35 as the ones typically employed as stays (see e.g. [6]). It is worth noting that, for vanishing values
36 of the parameter λ^2 , both the effect of the elastic deformation (i.e. the cable extensibility) and
37 of the static curvature of the cable (i.e. the cable sagging) become negligible with respect to the
38 geometric stiffness contribution and the in-plane linearized behavior of the cable tends to the one of
39 the well-known unstretchable taut string model. The small out-of-plane vibrations of the shallow
40 cable model, on the other hand, turns out to be always governed by the taut string equation of
41 motion [31].

42 A generalization of the model of Irvine and Caughey, that fully accounts for the transition
43 from shallow to deeply non-shallow cable profiles has been proposed by Lacarbonara et al. [37]
44 and served as the basis to a thorough investigation of the non-linear free vibrations of suspended
45 cables [38]. Excellent reviews on the non-linear deterministic and stochastic dynamics of perfectly
46 flexible cables have been provided, respectively, by Rega [50, 51] and Ibrahim [29].

47 Enriched cable models, accounting for bending and torsional stiffness terms, have also been
48 proposed in the literature to correctly represent some characteristic features of the static and dy-
49 namic response of structural cables, such as the geometric coupling between torsional and bending
50 behavior [3] or between axial and torsional behavior [43], and the hysteretic bending behavior
51 of metallic cables [21]. Moreover, accounting for these “beam-like” stiffness terms is of pivotal
52 importance for the characterization of the stress-strain state within the boundary layers that can
53 occur in the neighborhood of the constraints [1, 19, 18].

54 Small planar vibrations of taut cables (with sag-to-span ratio $\delta \ll 1$) characterized by small
55 values of the Irvine’s parameter λ^2 are often investigated by resorting to the classic unstretchable
56 Euler-Bernoulli beam model [6]. As it has been clearly shown by Arena et al. [1], however, the
57 Euler-Bernoulli model can be inaccurate whenever in presence of significant torsional effects or
58 static configurations characterized by values of the sag-to-span ratio in the order of $\delta = 1/15$
59 or greater. In these cases, a general formulation based on three-dimensional geometrically exact
60 curved rod models should be preferred (e.g. [1, 41]).

61 Different modeling assumptions have been adopted in the literature on stay cable axial force
62 identification problems, including: (a) the well-known taut-string model [12, 25, 4], (b) cable
63 models accounting for the bending stiffness, but neglecting cable sagging and axial extensibility
64 effects [2, 9, 24, 28], and (c) cable models accounting for bending stiffness, cable sagging and
65 axial extensibility effects [34, 42, 45, 62]. Within this context, it has been clearly highlighted
66 that cable sagging and axial extensibility play a negligible role on the in-plane dynamics of stay
67 cables characterized by small values of the sag-to-span ratio, often encountered in practice (e.g.

[31, 45, 6]). Neglecting bending stiffness effects, on the other hand, can lead to oversimplified structural models and unacceptable inaccuracies on the estimates of the axial force, as it has been shown e.g. in [9, 24]. The bending stiffness is usually treated as an additional unknown of the structural identification problem, due to the complex internal geometry of stay cables.

Boundary conditions are typically introduced in the form of either perfectly hinged or perfectly clamped cable end sections, to simplify the analytical treatment of the problem. A more realistic structural scheme could be defined, however, by considering equivalent translational and rotational springs at the beam end sections (see e.g. [17, 9]) to model the flexibility of the restraint devices and of the support structures (e.g. deck and tower for cables in stayed bridges). Proper definition of equivalent springs strongly depends on the particular technology adopted to realize the restraints and is inherently related to several different sources of uncertainties, such as those related to geometric imperfections and aging of the support devices. Physical parameters characterizing the cable restraints, hence, should be added to the unknown of the structural identification problem.

Trying to circumvent this additional difficulty, identification procedures giving axial force estimates independent of the boundary conditions are recently surfacing in the literature [10, 59, 60]. They all rely on synchronous recording of the cable motion at several different locations along its length to get experimental information on the dynamic deflection shape of the element. The experimental setup and signal acquisition system, hence, turns out to be inherently more complex than the ones required by conventional identification techniques based on natural frequencies only. The latter, indeed, can be effectively implemented by acquiring acceleration signals at a single point of the cable. Standard dynamic testing techniques (see e.g. [49, 54]), then, can be used to get estimates of the lowest natural frequencies of the element.

In the present paper, we investigate the problem of the identification of cable axial force, on the basis of observed natural frequencies. Assuming a small relative bending stiffness of the cable elements, which is typical of stay cables and cement-grouted parallel-bundle wire cables, we provide an asymptotic expansion of the natural frequencies of cables with flexible supports in rotation and translation. This expansion is used to demonstrate that only two parameters can be identified in the asymptotic case of small bending stiffness. This model will be used to identify the axial force and the bending stiffness, while lumping all other problem parameters in a single dimensionless group.

The proposed model will then be exploited in order to formulate two different versions of an identification strategy: the first is based on a least-square approach embedded in a numerical procedure; the second relies on a standard linear regression in a conformal space. This latter approach is seen to generalize the current practice aiming at fitting the frequency vs. mode number relation obtained with simpler structural models. These two models are analyzed within the scope of an uncertainty quantification analysis. While the former provides accurate estimates of the confidence on the identified tension, the latter reveals by means of simple formulae the main quantities affecting the quality of the identification process.

2. Transverse free-vibrations of stay cables

2.1. Statement of the problem

Let us consider a stay cable of length l , with constant bending stiffness EI and mass per unit of length m , subject to the axial force $T > 0$. The cable is assumed to be anchored to flexible supports, herein modeled by means of uncoupled translational and rotational springs with stiffness coefficients $K_{Tj} \geq 0$ and $K_{Rj} \geq 0$ ($j = 0, 1$), as it is schematically depicted in Fig. 1.

Stay cables are typically characterized by small values of both the sag-to-span ratio (in the order of few percents [6]) and the Irvine's parameter λ^2 (usually lower than one, with slightly larger values associated to very long stay cables [6]). As a consequence, both in-plane and out-of-plane small vibrations can be effectively described through the unstretchable Euler-Bernoulli straight beam model. By neglecting damping, hence, planar transverse free vibrations are governed by the partial differential equation (e.g. [26]):

$$EI\partial_x^4 v - T\partial_x^2 v + m\partial_t^2 v = 0 \quad (1)$$

118 where the function $v = v(x, t)$ describes the transverse displacements of the cable centerline,
 119 $x \in [0, l]$ is a coordinate spanning the chord of the element and $t \in \mathbb{R}^+$ is the time.

120 The equation of motion (1) can be integrated, for prescribed initial conditions on the displace-
 121 ment and velocity fields $v(x, 0)$ and $\dot{v}(x, 0)$, under the boundary conditions:

$$\begin{cases} EI\partial_x^3 v(0, t) - T\partial_x v(0, t) + K_{T0}v(0, t) = 0 \\ EI\partial_x^3 v(l, t) - T\partial_x v(l, t) - K_{T1}v(l, t) = 0 \\ EI\partial_x^2 v(0, t) - K_{R0}\partial_x v(0, t) = 0 \\ EI\partial_x^2 v(l, t) + K_{R1}\partial_x v(l, t) = 0 \end{cases}, \forall t > 0 \quad (2)$$

122 Stationary oscillatory solutions of Eq. (1) can be sought in the form:

$$v(x, t) = \Phi(x) \sin(\Omega t + \Theta), \quad (3)$$

123 where Ω is the vibration frequency, Θ is a constant phase depending on the initial conditions of
 124 the problem and $\Phi(x)$ is a mode shape. Substitution of Eq. (3) in Eqs. (1) and (2) yields the
 125 fourth order Sturm-Liouville problem defined by the ordinary differential equation:

$$EI\Phi'''' - T\Phi'' - \Omega^2\Phi = 0, \Phi = \Phi(x), x \in [0, l] \quad (4)$$

126 along with the boundary conditions:

$$\begin{cases} EI\Phi'''(0) - T\Phi'(0) + K_{T0}\Phi(0) = 0 \\ EI\Phi'''(l) - \Phi'(l) - K_{T1}\Phi(l) = 0 \\ EI\Phi''(0) - K_{R0}\Phi'(0) = 0 \\ EI\Phi''(l) + K_{R1}\Phi'(l) = 0 \end{cases} \quad (5)$$

127 Please notice that in Eqs. (4) and (5) the apex denotes derivation with respect to x , i.e. $(\cdot)' = \frac{d(\cdot)}{dx}$.

128 As it is well known, the problem (4)-(5) admits countably infinite non trivial solutions: $\{\Omega_k, \Phi_k(x)\}$,
 129 $k \in \mathbb{N}^+$. Exact closed form solutions can only be obtained in the particular case of doubly-hinged
 130 stay cables (e.g. [26]) and read:

$$\Omega_k = k\pi \sqrt{\frac{T}{ml^2}} \sqrt{1 + (k\pi)^2 \frac{EI}{Tl^2}}, k \in \mathbb{N}^+ \quad (6)$$

$$\Phi_k(x) = A_k \sin\left(\frac{\Omega_k}{V_T}x\right), k \in \mathbb{N}^+ \quad (7)$$

132 where $A_k \in \mathbb{R}$ is the modal displacement amplitude and $V_T = \sqrt{T/m}$ is the propagation speed of
 133 transverse waves in a taut string with mass per unit of length m subject to the axial force T (e.g.
 134 [32, 31]).

135 For any other boundary conditions, such as those described by Eq. (5), exact closed form
 136 solutions of the boundary value problem (3)-(4) are not available and the modal properties (natural
 137 frequencies and mode shapes) of the structure are typically obtained through numerical solution
 138 strategies.

139

140 2.2. Non-dimensional formulation

141 The dynamic problem formulated in Section 2.1 can be restated in a non-dimensional form by
 142 introducing the characteristic frequency

$$\Omega_0 = \frac{V_T}{l} = \sqrt{\frac{T}{ml^2}}, \quad (8)$$

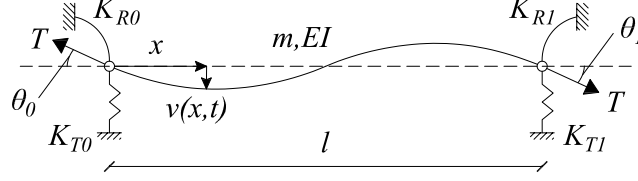


Figure 1: Schematic representation of a stay cable anchored to flexible supports and subject to a tensile load T . Cross sections are characterized by constant mass per unit of length m and bending stiffness EI . The distance between the supports is denoted as l . Please notice that, under the small displacement assumption, at leading order the following equations hold true: $T \cos(\theta_0) = T \cos(\theta_1) = T$.

143 and the non-dimensional bending stiffness

$$\varepsilon = \sqrt{\frac{EI}{Tl^2}}. \quad (9)$$

144 Values of ε typical of stay cables are lower than 0.01 [6, 7, 45]. Slightly higher values of ε , up
 145 to $\varepsilon = 0.02 - 0.03$, can also be found in cement-grouted parallel-bundle wire cables used in stayed
 146 bridges [24, 9] or in comparatively shorter cables used e.g. in tensile structures [8].

147 Substitution of Eqs. (8) and (9) in (1), yields the non-dimensional governing equation

$$\varepsilon^2 \partial_\xi^4 \nu - \partial_\xi^2 \nu + \partial_\tau^2 \nu = 0 \quad (10)$$

148 where $\xi = x/l \in [0, 1]$ is the non-dimensional coordinate, $\tau = \omega_0 t$ is the non-dimensional time and
 149 $\nu(\xi, \tau) = v(x(\xi), t(\tau))/l$ is the non-dimensional transverse displacement of the cable centerline.
 150 The Eq. of motion (10) can be integrated, for prescribed initial conditions, under suitable boundary
 151 conditions that can be easily obtained from Eq. (2) and are herein omitted for the sake of
 152 conciseness.

153 Stationary oscillatory solutions of Eq. (10) can be expressed as $\nu(\xi, \tau) = \phi(\xi) \sin(\omega\tau + \Theta)$,
 154 where ω and $\phi(\xi)$ are the non-dimensional counterparts of the vibration frequency Ω and mode
 155 shape function $\Phi(x)$ in Eq. (3), i.e. $\omega = \Omega/\Omega_0$ and $\phi(\xi) = \Phi(x(\xi))$. After some straightforward
 156 computations, the boundary value problem (4)-(5) can be re-written as

$$\varepsilon^2 \phi'''' - \phi'' - \omega^2 \phi = 0, \quad \text{with } \xi \in [0, 1] \quad (11)$$

$$\begin{cases} \varepsilon^2 \phi''''(0) - \phi'(0) + k_{T0} \phi(0) = 0 \\ \varepsilon^2 \phi''''(1) - \phi'(1) - k_{T1} \phi(1) = 0 \\ \varepsilon^2 \phi''(0) - k_{R0} \phi'(0) = 0 \\ \varepsilon^2 \phi''(1) + k_{R1} \phi'(1) = 0 \end{cases} \quad (12)$$

157 where the apex denotes derivation with respect to ξ (i.e. $(\cdot)' = \frac{d(\cdot)}{d\xi}$), while k_{Tj} and k_{Rj} ($j = 0, 1$)
 158 are non-dimensional translational and rotational stiffness coefficients defined, respectively, as

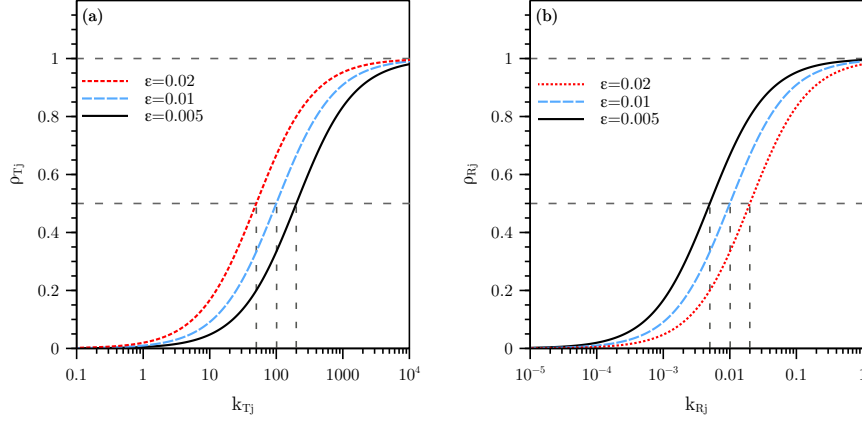


Figure 2: Translational (ρ_{Tj} , Fig. (a)) and rotational (ρ_{Rj} , Fig. (b)) degree-of-fixity parameters as a function of the corresponding non-dimensional stiffness coefficients. The results are shown for different values of the non-dimensional bending stiffness ε .

$$k_{Tj} = \frac{l}{T} K_{Tj} \quad \text{and} \quad k_{Rj} = \frac{1}{Tl} K_{Rj}, \quad \text{with } j = 0, 1. \quad (13)$$

159 Both translational (k_{Tj}) and rotational (k_{Rj}) non-dimensional stiffness coefficients can take
 160 values on the left-bounded interval $I_k = [0, +\infty)$, with free and perfectly restrained boundary
 161 conditions corresponding, respectively, to the lower bound value $k_- = 0$ and to the limit value
 162 $k_+ \rightarrow \infty$. For modeling purposes, however, it is more convenient to characterize the translational
 163 and rotational cable restraints by means of degree-of-fixity parameters taking values in the closed
 164 unit interval $I_\rho = [0, 1]$, with free and perfectly fixed restrained boundary conditions corresponding,
 165 respectively, to the lower and upper bound values $\rho_- = 0$ and $\rho_+ = 1$ (e.g. [9, 33]).

166 Starting from this observation, the following definitions are introduced in the present work for
 167 the translational (ρ_{Tj}) and rotational (ρ_{Rj}) degree-of-fixity parameters

$$\rho_{Tj} = \frac{\varepsilon k_{Tj}}{1 + \varepsilon k_{Tj}}, \quad j = 0, 1 \quad (14)$$

$$\rho_{Rj} = \frac{k_{Rj}}{\varepsilon + k_{Rj}}, \quad j = 0, 1 \quad (15)$$

168 Equations (14) and (15) define two mappings of the left-bounded interval I_k onto the closed
 169 unit interval I_ρ . Figures 2(a) and 2(b) respectively show the values of the translational and
 170 rotational degree-of-fixity parameters as a function of the corresponding non-dimensional stiffness
 171 coefficients for different values of the non-dimensional bending stiffness ε typical of stay cables.
 172 It can be observed how the translational (rotational) degree-of-fixity parameter asymptotically
 173 approaches the unit value, corresponding to perfectly fixed boundary conditions, with increasing
 174 rapidity for increasing (decreasing) values of ε . The transition from 0 to 1 in ρ_{Rj} takes place for
 175 $k_{Rj} \sim \varepsilon$ while the transition from 0 to 1 in ρ_{Tj} takes place for $k_{Tj} \sim \varepsilon^{-1}$.

176

177 By exploiting the definitions in (14) and (15), then, the boundary conditions (12) can be
 178 re-written as

$$\begin{cases} (1 - \rho_{T0}) (\varepsilon^3 \phi'''(0) - \varepsilon \phi'(0)) + \rho_{T0} \phi(0) = 0 \\ (1 - \rho_{T1}) (\varepsilon^3 \phi'''(1) - \varepsilon \phi'(1)) - \rho_{T1} \phi(1) = 0 \\ (1 - \rho_{R0}) \varepsilon^2 \phi''(0) - \rho_{R0} \varepsilon \phi'(0) = 0 \\ (1 - \rho_{R1}) \varepsilon^2 \phi''(1) + \rho_{R1} \varepsilon \phi'(1) = 0 \end{cases} \quad (16)$$

179 This formulation of the boundary conditions generalizes several interesting particular cases.
 180 Indeed, by setting $\rho_{Tj} = \rho_{Rj} = 1$ in the above equation, the boundary conditions $\phi = 0$ and $\varepsilon\phi' = 0$
 181 at both ends is recovered. They correspond to the perfectly restrained problem in translation and
 182 rotation (provided $\varepsilon \neq 0$, the end rotation is equal to zero; otherwise the rotation cannot be
 183 specified). By setting $\rho_{Tj} = 1$ and $\rho_{Rj} = 0$, one recovers the boundary conditions of the hinged-
 184 hinged stay cable while setting $\rho_{Tj} = \rho_{Rj} = 0$ corresponds to the free-free stay cable.

185 As it has been previously mentioned, values of the non-dimensional bending stiffness typical of
 186 stay cables are much smaller than unity, i.e. $\varepsilon \ll 1$. The small number ε multiplying the highest
 187 order derivative in Eq. (11) makes the boundary value problem (11)-(16) singularly perturbed
 188 and hints the existence of boundary layers in the mode shapes of the stay cable (e.g. [55, 27, 19]).
 189 It is worth noticing that the singular perturbation of Eq. (11) can also entail ill-conditioning, for
 190 vanishingly small values of ε , of numerical solvers associated to classic discretization techniques
 191 such as Finite Difference or Finite Element formulations (see e.g. [18]).

192 In the ideal limit case characterized by $\varepsilon = 0$, the order of Eq. (11) is lowered from four to
 193 two. The resulting degenerate ordinary differential equation reads

$$\phi'' + \omega^2\phi = 0, \quad \phi = \phi(\xi), \quad \text{with } \xi \in [0, 1] \quad (17)$$

194 and the boundary conditions (16), i.e. $\phi(0) = \phi(1) = 0$. It corresponds to the classic taut
 195 string model (e.g. [32, 31]). The non-dimensional mode shapes are $\phi_k^{(ts)}(\xi) = a_k \sin(\omega_k^{(ts)}\xi)$,
 196 with $a_k \in \mathbb{R}$, and the dimensionless natural frequencies, obtained as integer multiples of the
 197 fundamental one, simply read

$$\omega_k^{(ts)} = k\pi, \quad \text{with } k \in \mathbb{N}^+. \quad (18)$$

198 2.3. Semi-analytical solution

199 General solutions of Eq. (11) can be expressed as:

$$\phi(\xi) = \alpha_1 \sin(z_1\xi) + \alpha_2 \cos(z_1\xi) + \alpha_3 \exp(-z_2\xi) + \alpha_4 \exp(-z_2(1-\xi)) \quad (19)$$

200 where $\alpha_i \in \mathbb{R}$ ($i = 1, \dots, 4$) are integration constants, while the arguments z_1 and z_2 are the two
 201 functions of the non-dimensional vibration frequency ω :

$$z_j = z_j(\omega) = \frac{1}{\varepsilon\sqrt{2}} \sqrt{(-1)^j + \sqrt{1 + (2\varepsilon\omega)^2}}, \quad j = 1, 2. \quad (20)$$

202 Notice that using exponential instead of hyperbolic functions in Eq. (19) is more appropriate to
 203 highlight the existence of two boundary layers when $\varepsilon \ll 1$. Substitution of Eqs. (19) and (20) in
 204 the boundary conditions (16) yields the algebraic eigenvalue problem:

$$\mathbf{B}(\omega; \mathcal{P}) \boldsymbol{\alpha} = \mathbf{0} \quad (21)$$

205 where $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_4)^T$ is a column vector listing the integration constants of Eq. (19), $\mathbf{0}$ is the
 206 four-dimensional null column vector and \mathbf{B} is the 4×4 ‘‘boundary condition matrix’’ depending
 207 on ω and on the set of parameters: $\mathcal{P} = \{\varepsilon, \rho_{T0}, \rho_{T1}, \rho_{R0}, \rho_{R1}\}$. Closed form expressions for the
 208 components of the matrix \mathbf{B} are fully reported in Appendix A. These, again, generalize simpler
 209 formulations known in particular cases.

210 Countably infinite non trivial solutions $\{\omega_k, \boldsymbol{\alpha}_k\}$, with $k \in \mathbb{N}^+$, of the algebraic eigenvalue
 211 problem (21) can be readily obtained by complementing Eq. (21) with the characteristic equation

$$D(\omega; \mathcal{P}) = \det[\mathbf{B}(\omega; \mathcal{P})] = 0 \quad (22)$$

212 The eigenvalues ω_k , i.e. the non-dimensional natural frequencies of the stay cable, corre-
 213 spond to the roots of Eq. (22). Generally speaking, hence, they can be regarded as functions
 214 of the five parameters belonging to the set \mathcal{P} . Intuitive symmetry reasons, however, allow one

215 to observe that the eigenvalues ω_k should depend on two mappings ρ_T and ρ_R of the degree-of-
 216 fixity parameters that need to satisfy the symmetry conditions: $\rho_T(\rho_{T0}, \rho_{T1}) = \rho_T(\rho_{T1}, \rho_{T0})$ and
 217 $\rho_R(\rho_{R0}, \rho_{R1}) = \rho_R(\rho_{R1}, \rho_{R0})$. This indicates that the non-dimensional natural frequencies of the
 218 cable shall not depend on the 5 parameters of the problem, independently, but rather by means
 219 of some dimensionless groups.

220 For instance, symmetry requirements allowed to state that the non-dimensional natural fre-
 221 quencies of the cable should depend (at most) only on the non-dimensional bending stiffness ε
 222 and on two parameters (ρ_T and ρ_R) describing the boundary conditions, i.e. $\omega_k = \omega_k(\varepsilon, \rho_T, \rho_R)$.
 223 Appropriate definitions for the parameters ρ_T and ρ_R will be introduced later in this Section.
 224 Notice that this discussion on symmetry is only valid for the natural frequencies but not for mode
 225 shapes.

226 Exact solutions of the characteristic Eq. (22) are only available for the special case of doubly-
 227 hinged cables. They correspond to the non-dimensional counterparts of (6) and read

$$\omega_k = k\pi \sqrt{1 + (k\pi)^2 \varepsilon^2}. \quad (23)$$

228 For other boundary conditions, the roots of Eq. (22) can be numerically evaluated through a
 229 suitable root finding algorithm.

230 Beside numerical solutions, a deeper insight into the properties of the functions $\omega_k = \omega_k(\varepsilon, \rho_T, \rho_R)$
 231 can be gained by expanding the determinant $D(\omega; \mathcal{P})$ in Taylor series around $\varepsilon = 0$. By trun-
 232 cating the series expansion at first order in ε and focusing on the engineering meaningful case of
 233 non-zero translational stiffness of the anchorages (i.e. $\rho_{T0}, \rho_{T1} > 0$), one can get

$$D(\omega) = \rho_{T0}\rho_{T1} \left\{ \sin(\omega) - 2\omega \cos(\omega) \left[1 + \frac{\rho_{R0} + \rho_{R1}}{2} - \frac{1}{2} \left(\frac{1}{\rho_{T0}} + \frac{1}{\rho_{T1}} \right) \right] \varepsilon \right\} + o(\varepsilon) \quad (24)$$

234 where $o(\cdot)$ denotes the Landau symbol ("little-o"). Inspection of Eq. (24) naturally leads to the
 235 following definitions for the parameters ρ_T and ρ_R

$$\rho_R = \frac{1}{2}(\rho_{R0} + \rho_{R1}) \quad \text{and} \quad \rho_T = \frac{2\rho_{T0}\rho_{T1}}{\rho_{T0} + \rho_{T1}} \quad (25)$$

236 Moreover, by introducing the parameter

$$p = 1 + \rho_R - \frac{1}{\rho_T}, \quad (26)$$

237 Eq. (24) can be re-written in the more compact form

$$D(\omega) = \rho_{T0}\rho_{T1} (\sin(\omega) - 2p\varepsilon\omega \cos(\omega)) + o(\varepsilon). \quad (27)$$

239 The non-dimensional cable frequencies, hence, should satisfy the first order accurate transcen-
 240 dental equation

$$\tan(\omega) = 2p\omega\varepsilon + o(\varepsilon) \quad (28)$$

242 whose first-order accurate solutions read

$$\omega_k \equiv \omega_k(\varepsilon, p) = k\pi(1 + 2p\varepsilon) + o(\varepsilon), k \in \mathbb{N}^+ \quad (29)$$

243 Equations (28) and (29) clearly allow to appreciate how, for $\varepsilon \ll 1$, boundary conditions affect
 244 the lower-order non-dimensional cable frequencies through the global parameter p only, rather
 245 than through the two independent parameters ρ_T and ρ_R . This latter point, that will be further
 246 investigated in the next Section, has a major impact on the setting up of frequency-based axial
 247 force identification procedures, since it suggests that the progression of the natural frequencies of
 248 a stay cable anchored on flexible supports depends at first order only on two parameters, namely
 249 the non-dimensional bending stiffness ε and the parameter p defined in Eq. (26).

250 Moreover, Eq. (27) allows to conclude that, thanks to the scaling of the governing equations
 251 herein adopted, the determinant $D(\omega; \mathcal{P})$ of the boundary condition matrix admits a Taylor series

252 expansion with a bounded and order-one leading order term for vanishingly small values of ε . This
 253 makes searching for the roots of the characteristic Eq. (22) a well-conditioned problem that can
 254 be efficiently solved by means of simple root finding algorithms.

255 In the present work, the zeros of Eq. (22) are found through sequential applications of a
 256 standard dichotomy root finding algorithm. As an example, Fig. (3-a) shows the absolute value
 257 of the determinant $D(\omega)$ for a doubly-hinged stay cable ($p = 0$) and two different values of non-
 258 dimensional bending stiffness, namely: $\varepsilon = 0.01$ and $\varepsilon = 0.02$. The red circles denote the first
 259 ten zeros of the function, numerically evaluated with a tolerance equal to 10^{-5} on $|D(\omega)|$. As
 260 it can be easily inferred from Fig. (3-b), for both values of ε the non-dimensional frequencies
 261 obtained through the proposed semi-analytical solution strategy are in excellent agreement with
 262 the exact values calculated through Eq. (23). Fig. (3-b) also shows a comparison with the
 263 first ten natural frequencies of a Finite Element Model (FEM) relying on a discretization of the
 264 cable in 100 **three-dimensional (3D) two-node equally spaced** corotational Euler-Bernoulli beam
 265 elements [23, 22], **fully accounting for the geometric nonlinearities that characterize the cable**
 266 **response** and with consistent mass matrix. **Focusing on planar vibration modes, displacements of**
 267 **the 3D finite elements have been constrained to belong to the vertical plane. As a consequence,**
 268 **each node of the elements can only undergo to transverse and longitudinal displacements and**
 269 **planar rotations (which amounts to a total number of 300 degrees-of-freedom, in the most general**
 270 **case of elastically restrained end sections). The beam elements are assumed to be straight in the**
 271 **reference configuration of the problem. Cubic and linear shape functions are adopted, respectively,**
 272 **for transverse and axial displacements. Preliminary parametric analyses have been performed by**
 273 **varying the number of FE to carefully check the convergence of the discrete model in terms of**
 274 **the lower natural frequencies and mode shapes.** Preliminary comparisons with the outcomes of
 275 a lumped mass FEM have also have been carried out, leading as expected (due to the inherent
 276 slenderness of the structural element herein considered) to practically negligible differences in the
 277 results. The natural frequencies of the FEM are in good agreement with both the semi-analytical
 278 and the exact solutions, with maximum discrepancies smaller than 1% for the tenth mode.

279 The validity of the proposed semi-analytical model has been further assessed, under different
 280 boundary conditions, through extensive comparisons with the outcomes of the FEM. Results are
 281 shown in Figure 4 in terms of the first ten non-dimensional natural frequencies of stay cables with
 282 non-dimensional bending stiffness equal to $\varepsilon = 0.01$ (Fig. 4(a)) and $\varepsilon = 0.02$ (Fig. 4(b)) and the
 283 following five different boundary conditions: (I) $\rho_R = 0, \rho_T = 1$ ($p = 0$, doubly-hinged stay cable),
 284 (II) $\rho_R = 1, \rho_T = 1$ ($p = 1$, doubly-clamped stay cable), (III) $\rho_R = 0.5, \rho_T = 1$ ($p = 0.5$), (IV)
 285 $\rho_R = 1, \rho_T = 0.5$ ($p = 0$), (V) $\rho_R = 1, \rho_T = 0.25$ ($p = -2$). It can be observed that the outcomes
 286 of the two models are in excellent agreement for all values of ε and boundary conditions herein
 287 considered, with maximum discrepancies smaller than 1% for the tenth mode.

288 It is also interesting to notice that the curves corresponding to the boundary conditions (I)
 289 and (IV), associated to the same value of restraint parameter $p = 0$, are practically coincident
 290 up to the fifth and third mode for respectively $\varepsilon = 0.01$ and $\varepsilon = 0.02$. Differences between the
 291 curves increase with the order of the mode, but are less than 0.5% and 4% at the tenth mode for
 292 respectively $\varepsilon = 0.01$ and $\varepsilon = 0.02$. These numerical results further strengthen the conclusion,
 293 previously reached through inspection of Eqs. (28) and (29), that lower-order non-dimensional
 294 frequencies of stay cables are affected by the global restraint parameter p only, rather than by the
 295 two independent parameters ρ_T and ρ_R .

296

297

298 2.4. Closed-form asymptotic solution

299 Closed form equations for the natural frequencies of stay cables are of valuable interest to define
 300 efficient vibration-based axial force identification procedures. As it has been already mentioned
 301 in Section 2.3, however, exact closed form solutions of the fourth order Sturm-Liouville problem
 302 (11)-(16) can only be found in the special case of doubly-hinged cables (see Eq. (23)). During

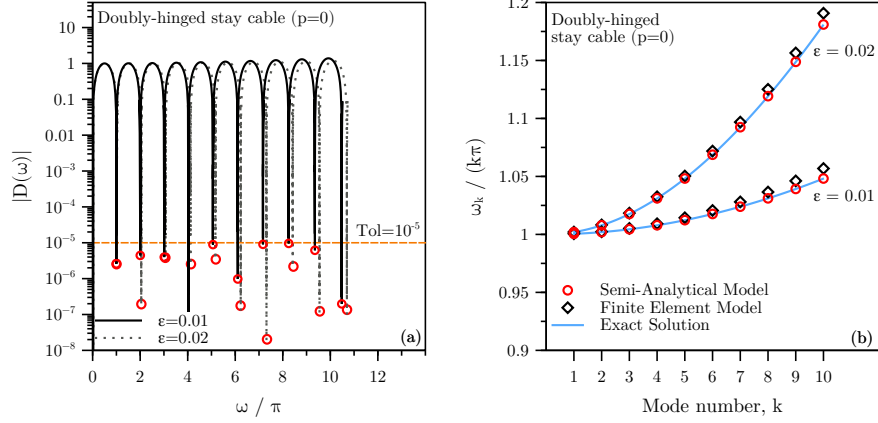


Figure 3: Doubly-hinged stay cable ($p = 0$). Results are shown for two different values of the non-dimensional bending stiffness ε . (a) Absolute value of the determinant $D(\omega)$ of the boundary condition matrix (Eq. (22)). The red circles denote the zeros of the determinant, numerically evaluated with a tolerance equal to 10^{-5} on $|D(\omega)|$. (b) Non-dimensional natural frequencies: comparison among the results of the proposed semi-analytical model, the exact solution (Eq. (23)) and the outcomes of a finite element model.

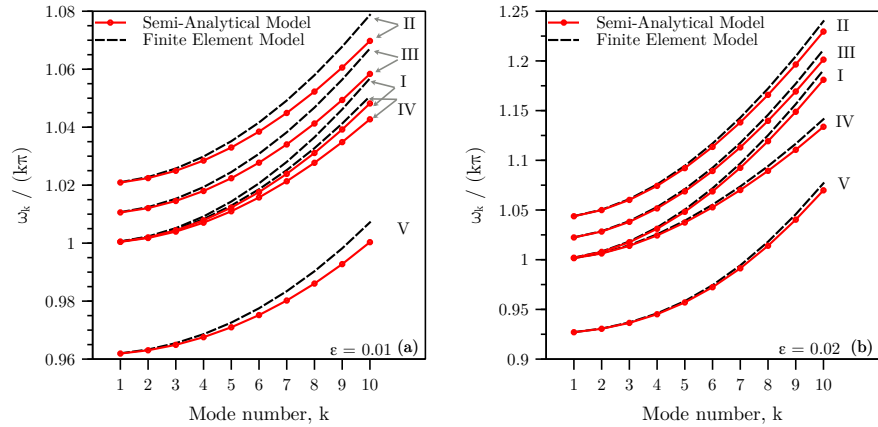


Figure 4: Comparison between the non-dimensional natural frequencies obtained through the semi-analytical and the finite element model. Results are shown for $\varepsilon = 0.01$ (Fig. (a)) and $\varepsilon = 0.02$ (Fig. (b)) and five different boundary conditions: (I) $\rho_R = 0, \rho_T = 1$ ($p = 0$, doubly-hinged stay cable), (II) $\rho_R = 1, \rho_T = 1$ ($p = 1$, doubly-clamped stay cable), (III) $\rho_R = 0.5, \rho_T = 1$ ($p = 0.5$), (IV) $\rho_R = 1, \rho_T = 0.5$ ($p = 0$), (V) $\rho_R = 1, \rho_T = 0.25$ ($p = -2$).

303 the years, this observation led researchers to adopt both pragmatcal approaches and approximate
 304 solution strategies.

305 Pragmatcal approaches of the literature mainly consist in: (a) neglecting the effects of the
 306 bending stiffness, (e.g. [12, 25, 4]) so that Eqs. (11)-(16) boil down to the taut string eigenvalue
 307 problem (17), whose natural frequencies are reported in Eq. (18); or (b) properly accounting for
 308 the effects of the bending stiffness, but approximately modeling cable anchorages by assuming
 309 a doubly-hinged structural scheme (e.g. [2]). It is worth noting that, for values of the non-
 310 dimensional bending stiffness ε typical of stay cables, both pragmatcal approaches (a) and (b)
 311 usually deliver an excellent estimate of the fundamental frequency, while higher order natural
 312 frequencies are predicted with a level of accuracy decreasing with the modal order.

313 Approximate closed form expressions of the stay cable natural frequencies have also been
 314 obtained in the literature under particular assumptions on the boundary conditions, namely for the
 315 doubly-clamped and the doubly-hinged structural schemes. Focusing on doubly-clamped axially-
 316 loaded elements, Morse and Ingard [46] derived, through a Taylor series expansion of the terms
 317 of the characteristic equation (22), a second-order accurate asymptotic expression later used for
 318 axial force identification purposes by several authors (e.g. [17, 24, 6])

$$\omega_k = k\pi \left(1 + 2\varepsilon + \left(4 + \frac{(k\pi)^2}{2} \right) \varepsilon^2 \right) + o(\varepsilon^2), \quad k \in \mathbb{N}^+ \quad (30)$$

319 where the leading order term gives, as expected, the non-dimensional natural frequencies of the
 320 taut string model (cf. Eq. (18)). By following the same approach as Morse and Ingard [46], a
 321 similar result can also be easily obtained for doubly-hinged elements

$$\omega_k = k\pi \left(1 + \frac{(k\pi)^2}{2} \varepsilon^2 \right) + o(\varepsilon^2), \quad k \in \mathbb{N}^+ \quad (31)$$

322 Notice that Eq. (31) can also be readily obtained through a Taylor series expansion of the
 323 exact solution (23).

324 A novel second-order accurate closed form asymptotic expression, that generalizes Eqs. (30)
 325 and (31) to account for a partial flexibility of cable anchorages, is developed in the following
 326 through a standard perturbation approach (e.g. [27]).

327 Let us search for a second-order accurate asymptotic solution of the algebraic eigenvalue prob-
 328 lem (21) that can be expressed through the regular expansion

$$\omega = \omega_{(0)} + \omega_{(1)}\varepsilon + \omega_{(2)}\varepsilon^2 + o(\varepsilon^2), \quad (32)$$

$$\boldsymbol{\alpha} = \boldsymbol{\alpha}_{(0)} + \boldsymbol{\alpha}_{(1)}\varepsilon + \boldsymbol{\alpha}_{(2)}\varepsilon^2 + o(\varepsilon^2). \quad (33)$$

329 Substitution of Eq. (32) in the definitions (A.1)-(A.16) and subsequent Taylor series expansion
 330 in a neighborhood of $\varepsilon = 0$, yields the following second-order accurate expression for the boundary
 331 condition matrix \mathbf{B}

$$\mathbf{B} = \mathbf{B}_{(0)}(\omega_{(0)}) + \mathbf{B}_{(1)}(\omega_{(1)}, \omega_{(0)})\varepsilon + \mathbf{B}_{(2)}(\omega_{(2)}, \omega_{(1)}, \omega_{(0)})\varepsilon^2 + o(\varepsilon^2) \quad (34)$$

332 where $\mathbf{B}_{(0)}$, $\mathbf{B}_{(1)}$ and $\mathbf{B}_{(2)}$ are 4×4 matrices whose components are fully reported in Appendix
 333 B. Substitution of Eqs. (33) and (34) in (21), then, leads to the matrix equation

$$(\mathbf{B}_{(0)} + \mathbf{B}_{(1)}\varepsilon + \mathbf{B}_{(2)}\varepsilon^2)(\boldsymbol{\alpha}_{(0)} + \boldsymbol{\alpha}_{(1)}\varepsilon + \boldsymbol{\alpha}_{(2)}\varepsilon^2) = \mathbf{0} \quad (35)$$

334 The individual vanishing of the coefficients of the different powers in ε in Eq. (35) yields the
 335 system of equations

$$\begin{cases} \text{ord}(\varepsilon^0) : \mathbf{B}_{(0)}\boldsymbol{\alpha}_{(0)} = \mathbf{0} \\ \text{ord}(\varepsilon^1) : \mathbf{B}_{(0)}\boldsymbol{\alpha}_{(1)} + \mathbf{B}_{(1)}\boldsymbol{\alpha}_{(0)} = \mathbf{0} \\ \text{ord}(\varepsilon^2) : \mathbf{B}_{(0)}\boldsymbol{\alpha}_{(2)} + \mathbf{B}_{(1)}\boldsymbol{\alpha}_{(1)} + \mathbf{B}_{(2)}\boldsymbol{\alpha}_{(0)} = \mathbf{0} \end{cases} \quad (36)$$

336 that can be easily solved for the variables $\omega_{(i)}$ and $\alpha_{(i)}$ ($i = 0, 1, 2$) through a cascaded approach,
 337 starting from the leading order problem (i.e. $\text{ord}(\varepsilon^0)$) and moving towards the higher order ones.
 338 Solution of (36) is fully detailed in Appendix C and leads to the following second-order accurate
 339 asymptotic expression for the non-dimensional natural frequencies of the cable

$$\omega_k = k\pi \left(1 + 2p\varepsilon + \left(\frac{(k\pi)^2}{2} + 4p^2 \right) \varepsilon^2 \right) + o(\varepsilon^2), \quad k \in \mathbb{N}^+ \quad (37)$$

340 The leading order term in Eq. (37) coincides, as expected, with the frequencies $\omega_k^{(ts)}$ of the
 341 taut sting model (Eq. (18)), while the effects of the bending stiffness and of the flexibility of the
 342 cable anchorages enter the first and second order correction terms through the non-dimensional
 343 variables ε and p . Equation (37), hence, can also be re-written in the more expressive form

$$\omega_k = \omega_k^{(ts)} (1 + f_k(\varepsilon, p)) + o(\varepsilon^2), \quad k \in \mathbb{N}^+ \quad (38)$$

344 with the definition

$$f_k(\varepsilon, p) = 2p\varepsilon + \left(\frac{(k\pi)^2}{2} + 4p^2 \right) \varepsilon^2, \quad k \in \mathbb{N}^+ \quad (39)$$

345 It is also worth noting that the proposed asymptotic solution easily allows one to recover the
 346 equations previously introduced for the special cases of doubly-clamped (Eq. (30)) and doubly-
 347 hinged (Eq. (31)) cables, by respectively setting $p = 1$ or $p = 0$ in Eq. (39). More importantly,
 348 Equations (38-39) show that, at leading order the natural frequencies are given by the taut string
 349 model, $\omega_k \sim \omega_k^{(ts)}$. Then, the second and third order terms explicitly given in $f_k(\varepsilon, p)$ indicate
 350 that natural frequencies only depend on p and ε . The only possibility to separate, on the sole
 351 basis of natural frequencies, the influence of translational and rotational flexibilities of anchorages,
 352 would be to push the derivation to the fourth order. For practical reasons, the measurement noise
 353 and epistemic uncertainties make it unrealistic to derive an identification procedure based on a
 354 fourth-order small detail. This also justifies the reason why the two identification procedures
 355 presented in the following Section (Sections 3.2 and 3.3) do not pretend to identify more than
 356 3 parameters. Furthermore, Equations (38-39) indicate that the sequence of $\{\omega_k\}$, as a function
 357 of k , takes the form of a quadratic expression in k with only two terms, namely the intercept
 358 and the second degree coefficient). The adjustment of a mathematical model to the sequence of
 359 $\{\omega_k\}$, as a function of k , is therefore not able to capture more than 2 independent parameters.
 360 This explains why p is considered as a parameter, while the proposed identification techniques will
 361 provide estimators for the cable tension and bending stiffness.

362 Figure 5 shows a comparison between the outcomes of the asymptotic solution (38) and the
 363 ones of the semi-analytical model described in Section 2.3. Results are presented in terms of the
 364 first ten non-dimensional natural frequencies of stay cables with non-dimensional bending stiffness
 365 equal to $\varepsilon = 0.01$ (Fig. 5(a)) and $\varepsilon = 0.02$ (Fig. 5(b)) under the same five different boundary
 366 conditions labeled as (I)-(V) in Section 2.3. Notice that the asymptotic solutions corresponding
 367 to cases (I) and (IV) are coincident, since they are both associated to the same value of the
 368 non-dimensional restraint parameter: $p = 0$.

369 On the overall, the asymptotic solution is in excellent agreement with the results of the semi-
 370 analytical model. Discrepancies increase, as expected, with the increase of both ε and the modal
 371 order. For $\varepsilon = 0.01$ (Fig. 5(a)), differences are less than 1% over the whole range of modes herein
 372 considered and for all different boundary conditions. For $\varepsilon = 0.02$ (Fig. 5(a)), the maximum
 373 differences between the asymptotic solution and the outcomes of the semi-analytical model are
 374 less than 1.5% for boundary conditions (I), (II) and (III). Discrepancies are larger for the boundary
 375 conditions (IV) and (V), being however in the order of 5% for the tenth mode.

376

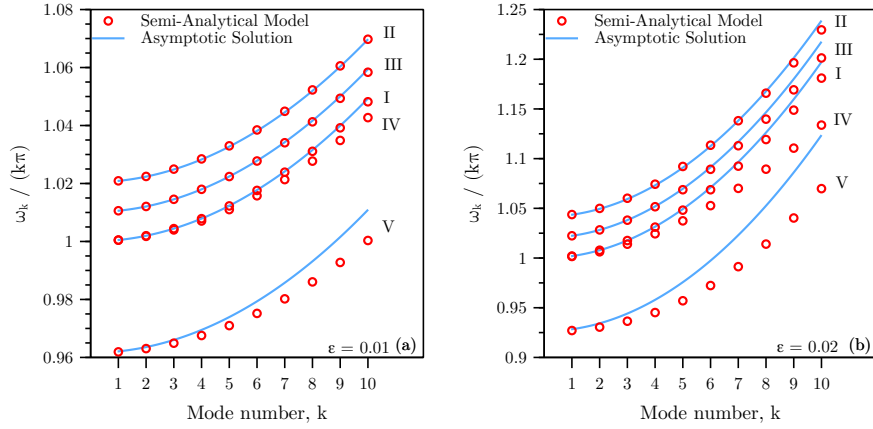


Figure 5: Comparison between the non-dimensional natural frequencies obtained through the asymptotic solution (Eq. (37)) and the semi-analytical model (Section 2.3). Results are shown for $\varepsilon = 0.01$ (Fig. (a)) and $\varepsilon = 0.02$ (Fig. (b)) and five different boundary conditions: (I) $\rho_R = 0, \rho_T = 1$ ($p = 0$, doubly-hinged stay cable), (II) $\rho_R = 1, \rho_T = 1$ ($p = 1$, doubly-clamped stay cable), (III) $\rho_R = 0.5, \rho_T = 1$ ($p = 0.5$), (IV) $\rho_R = 1, \rho_T = 0.5$ ($p = 0$), (V) $\rho_R = 1, \rho_T = 0.25$ ($p = -2$).

377 3. Parameter identification problem

378 Most vibration-based methods for the identification and monitoring of the axial force of stay
379 cables rely on the knowledge of a set of experimentally determined natural frequencies. Stay cables
380 are indeed lightweight and lightly damped structural elements, whose transverse vibrations can
381 be easily excited by providing relatively small amounts of input energy. Standard dynamic testing
382 techniques (see e.g. [49, 54]), hence, can be effectively used to get estimates of the lowest natural
383 frequencies of the cable. This experimental information, along with a suitable structural model,
384 serves as the basis to set up a model updating strategy to identify the value of unknown structural
385 parameters.

386 More specifically, assuming that the length l and mass per unit length m of the stay cable are
387 known, the axial force in the stay cable is determined from (8):

$$T = m l^2 \Omega_0^2, \quad (40)$$

388 which indicates that the identification problem is limited to estimating Ω_0 .

389 Three different identification strategies are presented in this Section. Classic approaches relying
390 on application of the taut string model are firstly reviewed in Section 3.1. The closed form
391 asymptotic solution developed in Section 2.4 is used, within this context, to provide a rigorous
392 assessment of the effects on the axial force estimate due to the simplifying assumptions at the
393 base of the taut string model. Two novel strategies for the simultaneous identification of the
394 axial force and bending stiffness of stay cables anchored to flexible supports are then presented in
395 Sections 3.2 and 3.3. The first one (Section 3.2) relies on the numerical solution of a non-linear
396 optimization problem, while the second one (Section 3.3) is based on the application of a simple
397 linear regression model.

398 3.1. Taut string model

399 Let us denote as $\mathcal{M}^* = \{\Omega_{k_1}^*, \Omega_{k_2}^*, \dots, \Omega_{k_M}^*\}$ a set of M natural circular frequencies, identified
400 from a vibration test and associated to the modes $k_1 \leq k_2 \leq \dots \leq k_M$ (with $k_j \in \mathbb{N}^+, \forall j \in [1, M]$).
401 The measured frequencies \mathcal{M}^* can be conveniently regarded as realizations of a set of independent
402 Gaussian variables $\mathcal{M} = \{W_{k_1}, W_{k_2}, \dots, W_{k_M}\}$, with nominal average values and variances given
403 by

$$\bar{\Omega}_{k_j} = \mathbb{E}[W_{k_j}] \quad \text{and} \quad \sigma_{k_j}^2 = \mathbb{E}[W_{k_j}^2] - \bar{\Omega}_{k_j}^2, \quad j = 1, \dots, M \quad (41)$$

404 where $\mathbb{E}[\cdot]$ denotes the mathematical expectation [48].

405 The most simple axial force identification strategy proposed in the literature relies on the
406 adoption of the taut string model [17, 7], whose natural circular frequencies $\Omega_k^{(ts)}$ are given by

$$\Omega_k^{(ts)} = \Omega_0 \omega_k^{(ts)} = k\pi \Omega_0, \quad k = 1, 2, \dots \quad (42)$$

407 since $\omega_k^{(ts)} = k\pi$ (see Eq. (18)). Due to both unavoidable measurements errors and the simplifying
408 assumptions of the adopted structural model (“modeling errors”), the measured frequencies \mathcal{M}^*
409 will only approximately satisfy Eq. (42). An estimate $\hat{\Omega}_0$ of the characteristic frequency can be
410 obtained by firstly solving for Ω_0 each of the equations: $\Omega_{k_j}^{(ts)} = \Omega_{k_j}^*$ ($j = 1, \dots, M$), and then
411 taking the arithmetic mean of the results, i.e.

$$\hat{\Omega}_0 = \frac{1}{M} \sum_{j=1}^M \frac{\Omega_{k_j}^*}{k_j \pi}, \quad M \geq 1. \quad (43)$$

412 Once $\hat{\Omega}_0$ is known from Eq. (43), the axial force can be estimated from Eq. (40) as

$$\hat{T} = ml^2 \hat{\Omega}_0^2 \quad (44)$$

413 where m and l should be regarded as the nominal values of the linear density and length of the
414 cable. A simple and usual approach consists in considering only one natural frequency, in which
415 case the summation drops and makes the determination of the estimate $\hat{\Omega}_0$ straightforward.

416 The bending stiffness of the stay cable and the flexibility of the anchorages, which are not
417 accounted for in the taut string model, unfortunately make $\hat{\Omega}_0$ a biased estimator. The bias
418 associated to these modeling errors can be quantitatively assessed by exploiting the asymptotic
419 solution introduced in Section 2.4. Taking expectations of both sides of Eq. (43) yields

$$\mathbb{E}[\hat{\Omega}_0] = \frac{1}{M} \sum_{j=1}^M \frac{\bar{\Omega}_{k_j}}{k_j \pi}. \quad (45)$$

420 For small values of the non-dimensional bending stiffness ε and focusing on the lower modes of
421 the cable, the average values $\bar{\Omega}_{k_j}$ can be approximately assumed equal to $\bar{\Omega}_{k_j} = \Omega_0 \omega_{k_j}^{(ts)} (1 + f_{k_j}(\varepsilon, p))$
422 (see Eq. (38)). Substitution in Eq. (45) yields

$$\mathbb{E}[\hat{\Omega}_0] = \Omega_0 \left(1 + \frac{1}{M} \sum_{j=1}^M f_{k_j}(\varepsilon, p) \right) \quad (46)$$

423 By recalling the definition of $f_k(\varepsilon, p)$ introduced in Eq. (39), the bias term in (46) reads

$$\text{bias}[\hat{\Omega}_0] = \mathbb{E}[\hat{\Omega}_0] - \Omega_0 = \Omega_0 \left(2p\varepsilon (1 + 2p\varepsilon) + \varepsilon^2 \frac{\pi^2}{2M} \sum_{j=1}^M k_j^2 \right) \quad (47)$$

424 Figure 6 shows this theoretical bias of the estimator $\hat{\Omega}_0$ as a function of the non-dimensional
425 bending stiffness ε and for three different boundary conditions: (I) $p = 0$ (doubly-hinged stay
426 cable), (II) $p = 1$, (doubly-clamped stay cable) and (III) $p = 0.5$. These boundary conditions
427 cover the whole range of values that the restraint parameter p can assume in the special case,
428 often encountered in practice, of stay cables anchored to supports characterized by negligible
429 translational flexibility (i.e. $\rho_T = 1$ in Eq. (26)). Figures 6(a) and 6(b) are referred to identification
430 procedures respectively based on the knowledge of (a) the fundamental frequency of the cable only
431 (i.e. $j = M = 1$ in Eqs. (45) and (47)), and (b) the five lower natural frequencies of the cable (i.e.
432 $M = 5$ and $j = 1, 2, \dots, 5$ in Eqs. (45) and (47)).

433 As it can be easily observed from Figure 6, the bias tends to zero for structural elements
434 approaching the idealized taut string model, i.e. for vanishingly small values of ε . Moreover, the

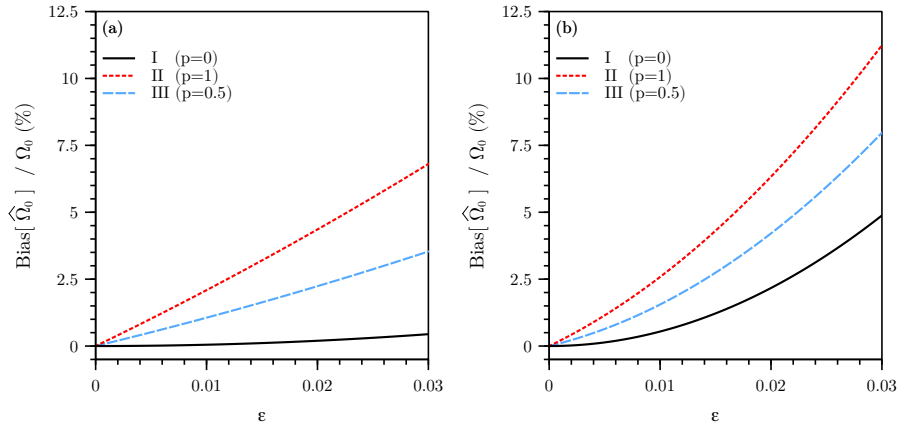


Figure 6: Bias of the estimator $\hat{\Omega}_0$ relying on the application of a taut string model (see Eqs. (43) and (47)). The bias is normalized for the characteristic frequency Ω_0 and plotted as a function of the non-dimensional bending stiffness ε . Results are shown for three different boundary conditions: (I) $p = 0$ (doubly-hinged stay cable), (II) $p = 1$, (doubly-clamped stay cable) and (III) $p = 0.5$. Figure (a) is obtained by considering $M = 1$ and $j = 1$ (fundamental mode of the cable). Figure (b) is obtained by considering $M = 5$ and $j = 1, 2, \dots, 5$ (first five modes of the cable).

435 bias increases with the increase of both (i) the number of modes M considered in the identification
 436 procedure, and (ii) the value of the degree-of-fixity parameter p . For fixed values of ε and M ,
 437 indeed, the bias is minimum for doubly hinged stay cables ($p = 0$, curves labeled as (I) in Fig. 6)
 438 and maximum for doubly clamped stay cables ($p = 1$, curves labeled as (II) in Fig. 6). It can be
 439 concluded, hence, that the bias of the estimator $\hat{\Omega}_0$ increases with the increase of the rotational
 440 stiffness of the cable anchorages.

441 On the overall, inspection of the results depicted in Figure 6, shows that, depending on the
 442 boundary conditions and the number of modes M , the bias introduced by modeling errors in the
 443 identification procedure based on the taut string model can be quite relevant, i.e. in the order of
 444 several percent of Ω_0 .

445 In spite of these potentially significant inaccuracies, identification strategies based on the taut
 446 string model are widely used in practice due to their inherent simplicity, paving the way for a
 447 straightforward assessment of uncertainties. With the probabilistic model (41) for the measured
 448 frequencies, the variance $\sigma_{\hat{\Omega}_0}^2$ of the estimator $\hat{\Omega}_0$ can be calculated as

$$\sigma_{\hat{\Omega}_0}^2 = \mathbb{E} [\hat{\Omega}_0^2] - \left(\mathbb{E} [\hat{\Omega}_0] \right)^2 = \frac{1}{M^2} \sum_{j=1}^M \frac{\sigma_{k_j}^2}{k_j^2 \pi^2} \quad (48)$$

449 By assuming that the measured frequencies are characterized by a constant coefficient of vari-
 450 ation $I_n = \sigma_{k_n} / \bar{\Omega}_{k_n}$ (also termed in the following, with a slight abuse of terminology, noise
 451 intensity), Eq. (48) can be re-written as

$$\sigma_{\hat{\Omega}_0}^2 = \frac{I_n^2}{M^2} \sum_{j=1}^M \frac{\bar{\Omega}_{k_j}^2}{k_j^2 \pi^2}. \quad (49)$$

452 The substitution of $\bar{\Omega}_{k_j} = \Omega_0 \omega_{k_j}^{(ts)} (1 + f_{k_j}(\varepsilon, p))$ in Eq. (49), then, yields the following ex-
 453 pression for the standard deviation $\sigma_{\hat{\Omega}_0}$

$$\sigma_{\hat{\Omega}_0} = \frac{I_n \Omega_0}{M} \sqrt{\sum_{j=1}^M (1 + f_{k_j}(\varepsilon, p))^2} \quad (50)$$

454 By neglecting higher-order terms, hence, $\sigma_{\hat{\Omega}_0}$ can be approximately computed as

$$\sigma_{\hat{\Omega}_0} = \frac{I_n}{\sqrt{M}} \Omega_0 \simeq \frac{I_n}{\sqrt{M}} \hat{\Omega}_0. \quad (51)$$

455 It is worth noticing that the standard deviation of the estimator $\hat{\Omega}_0$, contrarily to the bias due
 456 to modeling errors, decreases with the increase of the number of modes M . As a consequence,
 457 the selection of an appropriate value of M naturally claims for a trade-off between accuracy and
 458 variability of the outcomes of the identification procedure.

459 Starting from Eq. (44) the combined uncertainty $\sigma_{\hat{T}}$ on the estimated value \hat{T} of the cable
 460 axial force can be evaluated through the well-known first-order accurate expression (e.g. [17, 7]):

$$\frac{\sigma_{\hat{T}}}{\hat{T}} \simeq \frac{\sigma_m}{m} + 2\frac{\sigma_l}{l} + 2\frac{\sigma_{\hat{\Omega}_0}}{\hat{\Omega}_0} \quad (52)$$

461 where σ_m and σ_l are the standard uncertainties (see e.g. [5]) associated to the nominal values m
 462 and l of the cable linear density and length.

463 3.2. Non-linear optimization problem

464 Both bending stiffness and anchorage flexibility effects on the low-order natural circular fre-
 465 quencies Ω_k ($k = 1, 2, \dots$) of a stay cable can be accurately accounted for by means of the closed-
 466 form asymptotic solution presented in Section 2.4. Multiplication of Eq. (38) by the characteristic
 467 frequency $\Omega_0 = \Omega_0(T, m, l)$ (see Eq.(8)) yields

$$\Omega_k = \Omega_0 \omega_k^{(ts)} (1 + f_k(\varepsilon, p)), \quad k = 1, 2, \dots \quad (53)$$

468 where $\omega_k^{(ts)} = k\pi$ are the non-dimensional frequencies of the taut string model (Eq. (18)).

469 Whenever a set of M measured frequencies $\mathcal{M}^* = \{\Omega_{k_1}^*, \Omega_{k_2}^*, \dots, \Omega_{k_M}^*\}$ is available from vi-
 470 bration tests, the unknown model parameters Ω_0 , ε and p can be estimated by minimizing the
 471 difference between predictions of Eq. (53) and experimental observations. To this aim, let us
 472 introduce the cost (or objective) function

$$F_{\text{obj}}(\mathbf{X}) = \sqrt{\sum_{j=1}^M \left(1 - \frac{\Omega_{k_j}}{\Omega_{k_j}^*}\right)^2} \quad (54)$$

473 where \mathbf{X} is the parameter vector $\mathbf{X} = (\Omega_0, \varepsilon, p)^T \in \mathcal{S} \subset \mathbb{R}^3$, taking values on the searching space
 474 \mathcal{S} subject to the physical constraints: $\Omega_0 > 0$, $\varepsilon > 0$ and $p \leq 1$. Whenever the translational
 475 flexibility of the cable anchorages can be assumed as negligible, the degree-of-fixity parameter p
 476 can only take values in the closed unit interval, i.e. $0 \leq p \leq 1$ and the definition of the searching
 477 space \mathcal{S} needs to be modified accordingly.

478 Identification of the structural parameters, within this context, amounts to solve the non-linear
 479 constrained optimization problem

$$\hat{\mathbf{X}} = \underset{\mathbf{X} \in \mathcal{S}}{\text{argmin}} F_{\text{obj}}(\mathbf{X}) \quad (55)$$

480 Once the optimal parameters $\hat{\Omega}_0$ and $\hat{\varepsilon}$ are known from the solution $\hat{\mathbf{X}}$ of (55), estimates of
 481 the cable axial force (\hat{T}) and bending stiffness (\hat{EI}) can be respectively obtained through Eq. (44)
 482 and Eq. (9) as:

$$EI = \hat{T} \hat{\varepsilon}^2 l^2 \quad (56)$$

483 The non-linear optimization problem defined in Eq. (55) is characterized by several peculiar
 484 features that should guide the selection of an appropriate solution algorithm. Due to unavoidable
 485 measurement errors affecting the natural frequencies $\Omega_{k_j}^*$ ($j = 1, \dots, M$), the landscape of the cost
 486 function (54) will be characterized, in general, by many local minima. Moreover, inspection of
 487 Eqs. (53) and (39) allows one to observe that the sensitivity of the cost function (54) with respect

488 to the parameter p tends to be substantially negligible for small values of the non-dimensional
 489 bending stiffness ε typical of stay cables.

490 Gradient-based optimization algorithms, hence, are not well suited for the particular problem
 491 at hand, since they are prone to get trapped in local minima and their iteration operators could
 492 potentially be ill-conditioned for small values of ε . Within the class of gradient-free algorithms,
 493 the family of Differential Evolution (DE) algorithms, firstly proposed by Storn and Price [53],
 494 has shown excellent performances in finding the global optimum of non-linear, non-convex, multi-
 495 modal and non-differentiable functions (see e.g. [14, 16]).

496 DE is an Evolutionary Algorithm that iteratively operates on a population of candidate solu-
 497 tions made of NP parameter vectors. The initial population is randomly chosen within an initial
 498 searching volume $V_0 \subseteq \mathcal{S}$ and offsprings are generated by perturbing trial solutions with scaled
 499 differences of randomly selected population elements. As the number of iterations grows, the
 500 characteristic size of these differences tend to automatically adapt to the natural scales of the ob-
 501 jective landscape [16]. It is worth noting that the peculiar strategy adopted to generate offsprings
 502 and evolve the population of candidate solution makes DE algorithms able to deal with objective
 503 functions characterized by low or moderately low sensitivity with respect to one ore more variables
 504 of the searching space without numerical problems. Selection of the better fitted elements of the
 505 population is performed through a one-to-one parent/offspring competition scheme. The physical
 506 constraints can be enforced through a simple penalty criterion and the iterations are performed
 507 until a termination criterion is satisfied.

508 In the present work, a custom implementation of a well-known variant of the DE algorithm
 509 proposed by Das et al. [15] has been adopted to solve the non-linear optimization problem in
 510 Eq. (55). The termination criterion has been defined such that iterations are stopped whenever
 511 one of the following conditions is satisfied: (a) the relative difference between the best and worst
 512 objective function values $\Delta = (F_{\text{obj}}^{\text{worst}} - F_{\text{obj}}^{\text{best}}) / \max\{F_{\text{obj}}^{\text{worst}}, 1\}$ of a population is below a given
 513 threshold $Toll$ (cf. the 'Diff' termination criterion proposed by Zielinski and Laur [61]), (b) the
 514 value of the cost function is lower than a prescribed value $F_{\text{obj}}^{\text{min}}$, (c) the number of iterations NIT
 515 is equal to a prescribed maximum number of iterations $MAXIT$.

516 Whenever multiple sets of observed frequencies are available, the non-linear optimization prob-
 517 lem (55) can be repeatedly solved by means of independent runs of the DE algorithm. The average
 518 values and variances of the structural parameter estimates $\hat{\mathbf{X}} = (\hat{\Omega}_0, \hat{\varepsilon}, \hat{p})^T$ and the combined un-
 519 certainty of the estimated axial force \hat{T} , then, can be calculated a posteriori, through a standard
 520 statistical analysis of the results of the identification procedure.

521 In order to better illustrate a typical run of the DE algorithm, a reference stay cable anchored
 522 to flexible supports and characterized by $\Omega_0 = 5.66$ rad/s, $\varepsilon = 0.02$ and $p = 0.25$ ("target values") is
 523 considered in the present section. The cost function (54) was defined by assuming that the first five
 524 natural frequencies of the system are known. Measured frequencies were numerically simulated by
 525 corrupting the theoretical results, obtained from the solution of the algebraic eigenvalue problem
 526 (21), through the addition of a small error term drawn from a zero-mean Gaussian distribution with
 527 coefficient of variation $I_n = 0.5\%$ (notice that I_n can be conveniently regarded, within this context,
 528 as the measurement noise intensity). The optimization problem (55) has been solved by running
 529 the DE algorithm ("DEGL/SAW/bin" scheme, with scale factor $F = 0.8$ and crossover parameter
 530 $CR = 0.9$, see [15] for further details), starting from a population of $NP = 30$ trial solutions
 531 randomly chosen in the initial search volume $V_0 : 10^{-5} \leq \Omega_0 \leq 50, 10^{-5} \leq \varepsilon \leq 1, 0 \leq p \leq 1$.
 532 The parameters of the termination criterion have been set up as: $F_{\text{obj}}^{\text{min}} = 10^{-6}$, $Toll = 10^{-7}$ and
 533 $MAXIT = 5000$.

534 Figures 7 and 8 show the initial and final populations of candidate solutions, along with the
 535 result of the optimization run, i.e. the best member of the final population, and the target solution.
 536 The two figures allow one to clearly appreciate how the initial population, uniformly distributed
 537 in the initial searching volume V_0 , evolves to a final population clustered in a small region of the
 538 searching space close to the target solution. It is also worth noting that the dispersion of the
 539 members of the final population with respect to variables Ω_0 and ε is much lower than the one
 540 with respect to p . This result is a direct consequence of the already mentioned low sensitivity of

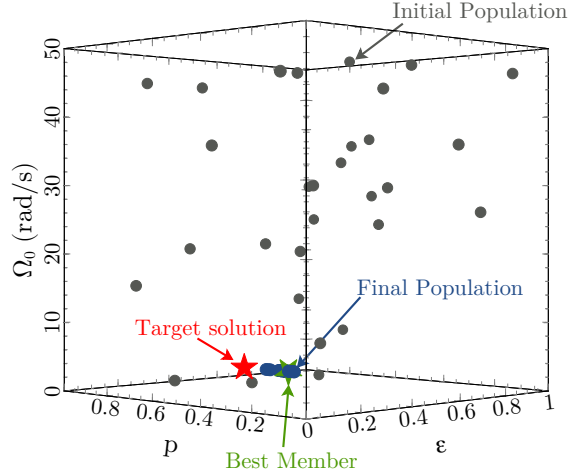


Figure 7: Illustration of a typical run of the DE algorithm. An initial population of $NP = 30$ trial solutions randomly chosen in the initial search volume $V_0 : 10^{-5} \leq \omega_0 \leq 50, 10^{-5} \leq \varepsilon \leq 1, 0 \leq p \leq 1$ evolves to a final population close to the target solution characterized by the values: $\Omega_0 = 5.66$ rad/s, $\varepsilon = 0.02$ and $p = 0.25$

541 the cable natural frequencies with respect to the degree-of-fixity p .

542 Figure 9(a) shows the evolution of the best and worst values of the cost function (54) through
 543 the iterations of the DE algorithm. These two quantities converge to a common small value
 544 as the population of candidate solutions tends to concentrate in a neighborhood of the target
 545 solution. The components of the best parameter vector are shown in Figures 9(b), (c) and (d)
 546 as a function of the number of iterations. It is worth noting that parameters Ω_0 and ε rapidly
 547 converge to the corresponding target values. As expected, instead, variations of the parameter p
 548 do not significantly affect the value of the cost function. This topic will be further discussed in
 549 Section 4.1

550 3.3. Linear regression model

551 Axial force identification strategies based on the numerical solution of a non-linear optimization
 552 problem, such as the one proposed in Section 3.2, can be computationally expensive and not well
 553 suited for structural health monitoring applications requiring continuous acquisition and on-line
 554 processing of experimental data. Furthermore, error propagation analyses can only be carried out
 555 through a posteriori statistical treatment of the outcomes of the identification procedure. This
 556 can lead to a considerable increase of the overall computational burden and prevents a deeper
 557 understanding of the effects on the axial force estimates of the main mechanical and geometrical
 558 parameters entering the structural model.

559 To circumvent these drawbacks, a novel approach is developed in the present Section for the
 560 simultaneous identification of the cable axial force and bending stiffness. The proposed procedure
 561 accounts for both the bending stiffness of the cable and the effects of the anchorage flexibility. It
 562 is based on the following steps: (a) a transformation of coordinates, mapping the non-linear
 563 asymptotic equation (53) into a linear one, (b) ordinary linear regression analysis. Once the
 564 regression coefficients are known, simple closed form equations allow one to get estimates of the
 565 characteristic frequency ($\hat{\Omega}_0$) and non-dimensional bending stiffness ($\hat{\varepsilon}$) of the cable. Propagation
 566 of uncertainties is then investigated through approximate closed form equations, leading to a clear
 567 picture of the main geometrical and mechanical parameters affecting the results of the proposed
 568 identification procedure.

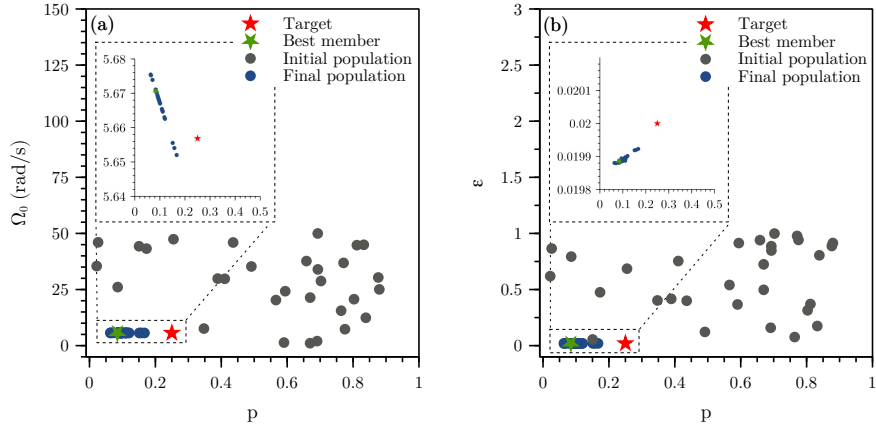


Figure 8: Illustration of a typical run of the DE algorithm. Projections of Figure 7 on the coordinate planes (p, Ω_0) (Figure (a)), and (p, ε) (Figure (b)).

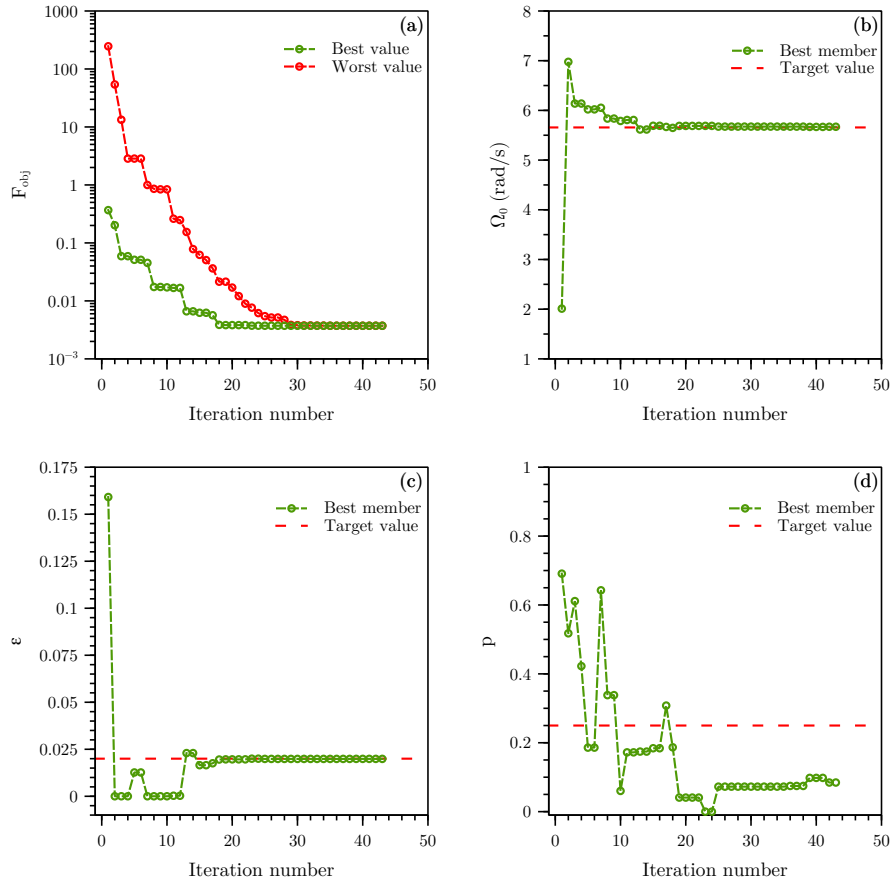


Figure 9: Illustration of a typical run of the DE algorithm. Figure (a) shows the best and worst values of the cost function (54) as a function of the number of iterations. Figures (b), (c) and (d) show the value of the components $(\Omega_0, \varepsilon, p)$ of the best parameter vector as a function of the number of iterations.

569 Let us consider an ordered set of M natural frequencies $\{\Omega_{k_1}, \Omega_{k_2}, \dots, \Omega_{k_M}\}$, with $k_1 \leq k_2 \leq$
 570 $\dots \leq k_M$ ($k_j \in \mathbb{N}^+$, $\forall j \in [1, M]$), and introduce the integral coordinate

$$\eta_m = \frac{1}{m} \sum_{j=1}^m \frac{\Omega_{k_j}}{\pi k_j}, \quad m = 1, \dots, M \quad (57)$$

571 Substitution of (53) into this definition yields

$$\eta_m = \frac{1}{m} \sum_{j=1}^m \frac{\pi k_j \Omega_0 (1 + f_{k_j}(\varepsilon, p))}{\pi k_j} = \frac{\Omega_0}{m} \sum_{j=1}^m \left(1 + 2p\varepsilon + \left(\frac{(k_j \pi)^2}{2} + 4p^2 \right) \varepsilon^2 \right), \quad (58)$$

572 which can also be written

$$\eta_m = \beta_0 + \beta_1 \gamma_m, \quad m = 1, \dots, M \quad (59)$$

573 where

$$\gamma_m = \frac{1}{m} \sum_{j=1}^m k_j^2 \quad (60)$$

574 and

$$\beta_0 = \Omega_0 (1 + 2p\varepsilon + 4p^2\varepsilon^2) \quad \text{and} \quad \beta_1 = \Omega_0 \frac{\varepsilon^2 \pi^2}{2}. \quad (61)$$

575 The use of this new coordinate shows that the original problem involving a quadratic sequence
 576 of the mode orders $\{k_j\}$ can be replaced by the simple linear relationship (59) in the new coordinate
 577 system (γ_m, η_m) .

578 Whenever a set of frequencies $\mathcal{M}^* = \{\Omega_{k_1}^*, \Omega_{k_2}^*, \dots, \Omega_{k_M}^*\}$, associated to the modes $k_1 \leq k_2 \leq$
 579 $\dots \leq k_M$, is known from vibration tests, Eqs. (57) and (60) can be used to calculate the cor-
 580 responding ‘‘experimental’’ points (γ_m^*, η_m^*) , $m = 1, \dots, M$. Notice that the set $\{\eta_1, \dots, \eta_M\}$ can
 581 also be regarded as sample of non-Gaussian random variable obtained by applying the non-linear
 582 transformation (57) to the set of independent Gaussian variables $\mathcal{M} = \{W_{k_1}, W_{k_2}, \dots, W_{k_M}\}$, al-
 583 ready introduced in Section 3.1. A full characterization of the probability density function of this
 584 resultant non-Gaussian random variable, although relatively straightforward, is outside the scope
 585 of the present work.

586 The coefficients β_0 and β_1 , then, can be estimated through an application of the ordinary least
 587 squares method (see e.g. [57]):

$$\hat{\beta}_1 = \frac{S_{\eta\gamma}}{S_{\gamma\gamma}} \quad (62)$$

$$\hat{\beta}_0 = \bar{\eta} - \hat{\beta}_1 \bar{\gamma} = \bar{\eta} - \frac{S_{\eta\gamma}}{S_{\gamma\gamma}} \bar{\gamma} \quad (63)$$

588 where

$$\bar{\eta} = \frac{1}{M} \sum_{m=1}^M \eta_m^*, \quad \bar{\gamma} = \frac{1}{M} \sum_{m=1}^M \gamma_m^* \quad (64)$$

$$S_{\eta\eta} = \frac{1}{M} \sum_{m=1}^M (\eta_m^* - \bar{\eta})^2, S_{\gamma\gamma} = \frac{1}{M} \sum_{m=1}^M (\gamma_m^* - \bar{\gamma})^2, S_{\eta\gamma} = \frac{1}{M} \sum_{m=1}^M (\eta_m^* - \bar{\eta})(\gamma_m^* - \bar{\gamma}). \quad (65)$$

590 The estimators $\hat{\beta}_0$ and $\hat{\beta}_1$ given by these formulae are also available in any commercial software
 591 as a standard tool of basic fitting. The proposed linear fitting has therefore no specific difficulty,
 592 other than the establishment of the transformed coordinates (η, γ) . Figure 10 illustrates a typical
 593 application of the coordinate transformation defined by Eqs. (57) and (60) to the first five natural
 594 frequencies of a cable characterized by $\Omega_0 = 5.66$ rad/s, $\varepsilon = 0.02$ and $p = 0.5$. Ten samples of
 595 measured frequencies have been numerically simulated by corrupting the theoretical results of the

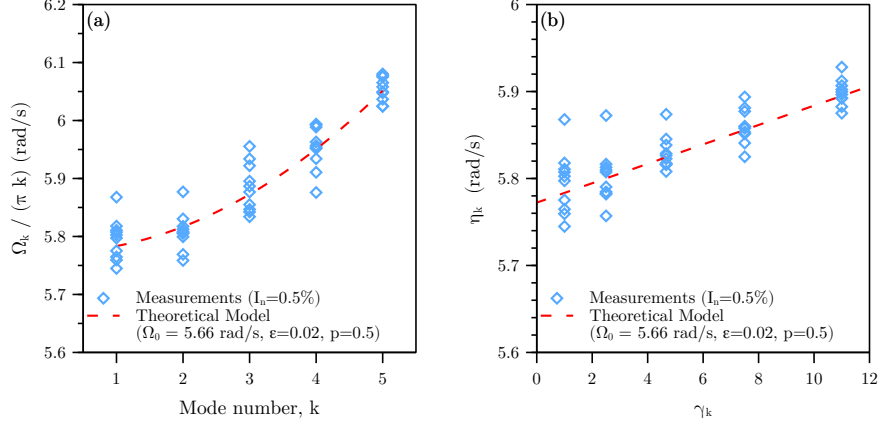


Figure 10: First five natural frequencies of a stay cable characterized by $\Omega_0 = 5.66$ rad/s, $\varepsilon = 0.02$ and $p = 0.5$. Measured results are corrupted by a zero-mean Gaussian noise with intensity $I_n = 0.5\%$. (a) Representation in the plane $(k, \frac{\Omega_k}{k\pi})$. (b) Representation in the plane (γ_k, η_k) , obtained through the coordinate transformation defined in Eqs. (57) and (60).

596 semi-analytical model, presented in Section 2.3, through the addition of a low-intensity zero-mean
 597 Gaussian noise. Figures 10(a) and 10(b) respectively show the simulated measurement results
 598 and their transformed representation in the coordinate system (γ_m, η_m) . The outcomes of the
 599 underlying theoretical (i.e. free from noise) model are also shown for comparison purposes (red
 600 dashed lines).

601 Once $\hat{\beta}_0$ and $\hat{\beta}_1$ are determined, substitution of $\hat{\beta}_0$ and $\hat{\beta}_1$ in Eqs. (61) yields, after some
 602 rearrangements, the system of equations

$$\begin{cases} \frac{\varepsilon^2}{1+2p\varepsilon+4p^2\varepsilon^2} = \frac{2}{\pi^2} \frac{\hat{\beta}_1}{\hat{\beta}_0} \\ \hat{\Omega}_0 (1 + 2p\varepsilon + 4p^2\varepsilon^2) = \hat{\beta}_0 \end{cases} \quad (66)$$

603 where $\hat{\Omega}_0$ and $\hat{\varepsilon}$ are estimates of the characteristic frequency and the non-dimensional stiffness of
 604 the cable.

605 Equations (61) and (66) allow one to notice that the intercept β_0 of the linear regression model
 606 is of the same order of magnitude as Ω_0 , while the slope β_1 is of the same order as ε^2 . For typical
 607 stay cables, $\varepsilon \ll 1$ and $\Omega_0 \sim 1$. A first-order accurate approximate solution of (66), hence, can be
 608 expressed as

$$\hat{\Omega}_0 = \hat{\beta}_0 - p \frac{2\sqrt{2}}{\pi} \sqrt{\hat{\beta}_0 \hat{\beta}_1} \quad (67)$$

$$\hat{\varepsilon} = \frac{\sqrt{2}}{\pi} \sqrt{\frac{\hat{\beta}_1}{\hat{\beta}_0}} \quad (68)$$

609 Once $\hat{\Omega}_0$ and $\hat{\varepsilon}$ are known, estimates of the cable axial force (\hat{T}) and bending stiffness (\hat{EI})
 610 can be respectively obtained through Eqs. (44) and (56).

611 Substitution of Eq. (68) in (67) yields the following, more expressive, equation for $\hat{\Omega}_0$

$$\hat{\Omega}_0 = \hat{\beta}_0 (1 - 2p\varepsilon) \quad (69)$$

612 Inspection of Eqs. (68) and (69) allows one to observe that modeling errors on the boundary
 613 conditions do not affect $\hat{\varepsilon}$, but can introduce a bias on the estimator $\hat{\Omega}_0$.

614 It is interesting to notice that, at first order, the linear relation between η_m and γ_m expressed
 615 in (59) just depends on the two parameters β_0 and β_1 . This indicates that it is impossible to

616 independently determine the three parameters Ω_0 , ε and p . In principle, a third equation for the
617 third unknown could be determined by considering the governing equations at second order. This
618 investigation track (to form a well-posed set of equations) is not further developed because the
619 order of magnitude of the second-order terms are very likely to fall within the measurement noise
620 in most practical cases. Instead, in the solutions expressed in (67-68) we have considered p as a
621 parameter.

622 Let us denote as p^* an assumed value of the restraint parameter p that, after substitution in
623 Eq. (69), allows to get $\hat{\Omega}_0$ for given $\hat{\varepsilon}$ and $\hat{\beta}_0$. Moreover, let us define as $\Delta p = p^* - p$ the difference
624 between the assumed (p^*) and the unknown “true” value (p) of the restraint parameter. A first
625 order accurate estimate expression of the bias of the estimator $\hat{\Omega}_0$ can be easily obtained from Eq.
626 (69)

$$\text{bias} \left[\hat{\Omega}_0 \right] = 2\Delta p \hat{\varepsilon} \hat{\Omega}_0. \quad (70)$$

627 By assuming $p^* = 0$, i.e. by modeling both cable restraints as perfect hinges, one gets $\Delta p = -p$
628 and Eq. (70) approximately coincides with the first order term in the Eq. (47), describing the
629 bias of the identification procedure based on the taut string model. Furthermore, whenever the
630 translational flexibility of the cable anchorages is negligible, the “true” value of the degree-of-fixity
631 parameter can only vary in the range $0 \leq p \leq 1$. As a consequence, the bias of the estimator $\hat{\Omega}_0$
632 turns out to be bounded, with upper and lower bound values implicitly defined by Eq. (70). For
633 example, by pragmatically assuming the intermediate value $p^* = 0.5$, Eq. (70) yields

$$\left| \frac{1}{\hat{\Omega}_0} \text{bias} \left[\hat{\Omega}_0 \right] \right| \leq \hat{\varepsilon} \quad (71)$$

634 By denoting respectively as $\sigma_{\hat{\beta}_0}^2$, $\sigma_{\hat{\beta}_1}^2$ and $\rho_{\hat{\beta}_0\hat{\beta}_1}$ the variances and correlation coefficient of the
635 regression parameters $\hat{\beta}_0$ and $\hat{\beta}_1$, a standard linearized error propagation model (see e.g. [5])
636 allows to approximately evaluate the variances of $\hat{\Omega}_0$ and $\hat{\varepsilon}$ as

$$\sigma_{\hat{\Omega}_0}^2 = \left(\frac{\partial \hat{\Omega}_0}{\partial \hat{\beta}_0} \right)^2 \sigma_{\hat{\beta}_0}^2 + \left(\frac{\partial \hat{\Omega}_0}{\partial \hat{\beta}_1} \right)^2 \sigma_{\hat{\beta}_1}^2 + \frac{\partial \hat{\Omega}_0}{\partial \hat{\beta}_0} \frac{\partial \hat{\Omega}_0}{\partial \hat{\beta}_1} \rho_{\hat{\beta}_0\hat{\beta}_1} \sigma_{\hat{\beta}_0} \sigma_{\hat{\beta}_1} \quad (72)$$

637 and

$$\sigma_{\hat{\varepsilon}}^2 = \left(\frac{\partial \hat{\varepsilon}}{\partial \hat{\beta}_0} \right)^2 \sigma_{\hat{\beta}_0}^2 + \left(\frac{\partial \hat{\varepsilon}}{\partial \hat{\beta}_1} \right)^2 \sigma_{\hat{\beta}_1}^2 + \frac{\partial \hat{\varepsilon}}{\partial \hat{\beta}_0} \frac{\partial \hat{\varepsilon}}{\partial \hat{\beta}_1} \rho_{\hat{\beta}_0\hat{\beta}_1} \sigma_{\hat{\beta}_0} \sigma_{\hat{\beta}_1}. \quad (73)$$

638 Equations (72) and (73) rely on the assumption of small coefficients of variation $\frac{\sigma_{\hat{\beta}_0}}{\hat{\beta}_0}$ and $\frac{\sigma_{\hat{\beta}_1}}{\hat{\beta}_1}$,
639 i.e. $\frac{\sigma_{\hat{\beta}_0}}{\hat{\beta}_0} \ll 1$, $\frac{\sigma_{\hat{\beta}_1}}{\hat{\beta}_1} \ll 1$. As it will be further shown in Section 4.2 through numerical examples,
640 typical values of the the ratio $\frac{\sigma_{\hat{\beta}_0}}{\hat{\beta}_0}$ are in the order of a few percent, while the order of magnitude
641 of the coefficient of variation $\frac{\sigma_{\hat{\beta}_1}}{\hat{\beta}_1}$ can rapidly approach the unity for decreasing values of the
642 non-dimensional bending stiffness ε and increasing values of the noise intensity.

643 Although approximate, Eqs. (72) and (73) allows one to gain a deeper insight on the propaga-
644 tion of uncertainties within the proposed identification procedure. By assuming that $\frac{\sigma_{\hat{\beta}_0}}{\hat{\beta}_0} \sim \varepsilon \frac{\sigma_{\hat{\beta}_1}}{\hat{\beta}_1}$,
645 substitution of the partial derivatives of $\hat{\Omega}_0$ and $\hat{\varepsilon}$ in Eqs. (72) and (73) yields, after some simple
646 rearrangements, the following second-order accurate approximate equations

$$\left(\frac{\sigma_{\hat{\Omega}_0}}{\hat{\Omega}_0} \right)^2 = \left(\frac{\sigma_{\hat{\beta}_0}}{\hat{\beta}_0} \right)^2 - p\hat{\varepsilon} \rho_{\hat{\beta}_0\hat{\beta}_1} \frac{\sigma_{\hat{\beta}_0}}{\hat{\beta}_0} \frac{\sigma_{\hat{\beta}_1}}{\hat{\beta}_1} p\hat{\varepsilon}^2 \left(\frac{\sigma_{\hat{\beta}_1}}{\hat{\beta}_1} \right)^2 \quad (74)$$

$$\left(\frac{\sigma_{\hat{\varepsilon}}}{\hat{\varepsilon}} \right)^2 = \frac{1}{4} \left[\left(\frac{\sigma_{\hat{\beta}_1}}{\hat{\beta}_1} \right)^2 - \rho_{\hat{\beta}_0\hat{\beta}_1} \frac{\sigma_{\hat{\beta}_0}}{\hat{\beta}_0} \frac{\sigma_{\hat{\beta}_1}}{\hat{\beta}_1} + \left(\frac{\sigma_{\hat{\beta}_0}}{\hat{\beta}_0} \right)^2 \right]. \quad (75)$$

Equation (74) clearly allows one to appreciate that the leading order term of the coefficient of variation of $\hat{\Omega}_0$ is equal to that of $\hat{\beta}_0$. Correction terms increase with $\hat{\varepsilon}$ and are linearly proportional to the value of the restraint parameter p . On the other hand, Eq. (75) shows that the coefficient of variation of $\hat{\varepsilon}$ is independent of the boundary conditions and with a leading order term equal to $\frac{1}{2} \frac{\sigma_{\beta_1}}{\beta_1}$.

In summary, in this Section, we have proposed an extension of the identification procedure for a cable with a focus on the influence of unknown end conditions. By exploiting the asymptotic response for small bending stiffness, we have shown that it is only possible to determine two of the three parameters Ω_0 , ε and p with the help of a simple linear regression model. For practical reasons, parameter p is typically difficult to determine, a reason why we have suggested to consider it as a known parameter of the model, since, in most cases of practical interest $p \in [0, 1]$, a pragmatical choice $p = 0.5$ could be formulated. It lessens the bias and standard error on the estimate that could be obtained by assuming hinged-hinged end conditions. More importantly, the bias on the estimated cable tension could be bounded by considering the two limit cases $p = 0$ and $p = 1$. Finally, because of the simplicity of this asymptotic model, the uncertainty propagation analysis of the proposed identification procedure could be derived. The main trends indicating in which way the problem parameters do affect the standard errors of the estimated cable tension and bending stiffness could be determined. These will be illustrated in the following Section.

4. Applications

The performances of the novel identification strategies described in Sections 3.2 and 3.3 have been assessed through extensive numerical testing. The results will be presented in the following with reference to a stay cable attached to anchorages with negligible translational flexibility and characterized by $\Omega_0 = 5.66$ rad/s and $T = 4000$ kN. Three different values of non-dimensional bending stiffness ($\varepsilon = 0.01, 0.02, 0.03$) have been considered, along with five different boundary conditions: (I_R) $p = 0$ (doubly-hinged cable), (II_R) $p = 0.25$, (III_R) $p = 0.50$, (IV_R) $p = 0.75$ and (V_R) $p = 1$ (doubly-clamped cable).

In order to simulate experimental input data, the algebraic eigenvalue problem (21) has been numerically solved to get the first five natural frequencies of the system. These reference values, then, have been corrupted through multiplication by a unit-mean and low intensity Gaussian noise, to account for the effects of measurement errors. Different values of noise intensity, ranging from 0 to 2.5%, have been considered. **Please notice that the range of noise intensity values herein considered is consistent with the expected outcome of standard dynamic testing techniques for the identification of natural frequencies of stay cables (see e.g. [49, 54]).** For each noise intensity value, a sample of 1000 sets of noisy natural frequencies has been independently randomly generated.

Sections 4.1 and 4.2 present the results of the proposed identification strategies based on, respectively, the non-linear optimization problem (see Section 3.2) and the linear regression model (see Section 3.3). Section 4.3 reports comparisons between the outcomes of the proposed identification strategies and the ones of the classic approach relying on the taut string model (see Section 3.1).

4.1. Solution of the non-linear optimization problem

The non-linear optimization problem (55) has been solved by running the DE algorithm presented in Section 3.2 (“DEGL/SAW/bin” scheme, with scale factor $F = 0.8$ and crossover parameter $CR = 0.9$, see [15] for further details), starting from a population of $NP = 30$ trial solutions randomly chosen in the initial search volume $V_0 : 10^{-5} \leq \Omega_0 \leq 50, 10^{-5} \leq \varepsilon \leq 1, 0 \leq p \leq 1$. The parameters of the termination criterion have been set up as: $F_{obj}^{min} = 10^{-6}$, $Toll = 10^{-7}$ and $MAXIT = 5000$. **Please notice that the initial search space V_0 satisfies all physical constraints of the structural problem (i.e. $\Omega_0 > 0, \varepsilon > 0$ and $0 \leq p \leq 1$).** Since trial solutions are not constrained within the initial search volume V_0 , however, no special criteria needs to be adopted to define V_0 . As a consequence, the initial upper bounds values of the variables Ω_0 and ε have been herein defined in order to be large enough to highlight the good convergence properties of

697 the optimization algorithm also whenever initial guesses for these structural parameters are not
 698 available.

699 Figure 11 shows the results of the identification procedure, as a function of the noise intensity,
 700 for a stay cable with target bending stiffness value $\varepsilon = 0.02$. Results are averaged over the number
 701 of runs NR of the algorithm (i.e. $NR = 1000$) for every different noise intensity level and expressed
 702 in terms of: (a-c) the identified values of the parameters $\hat{\Omega}_{0,ave}$, $\hat{\varepsilon}_{ave}$ and \hat{p}_{ave} ; (d) the relative
 703 error on the element axial force, $\Delta T = \frac{\hat{T}_{ave} - T_{target}}{T_{target}}$, where \hat{T}_{ave} is the average identified value of
 704 the axial force and T_{target} is the target value (i.e. $T_{target} = 4000$ kN); (e) $F_{obj,ave}^{best}$ is the average
 705 value of the cost function associated to the identified optimal set of parameters; (f) NIT_{ave} is the
 706 average number of iterations of the DE algorithm.

707 The identification strategy gives fairly accurate results in terms of parameters Ω_0 and ε for
 708 all values of noise intensity herein considered. As already noticed in Section 3.1, the boundary
 709 conditions do not significantly affect the cost function (54) and, as a consequence, the identification
 710 algorithm is not able to correctly identify the parameter p . For each value of noise intensity, the
 711 identification procedure tends to a mean value of p equal to about 0.5, no matter the target value in
 712 $[0, 1]$. This mean value actually coincides with the mean value of p within the randomly generated
 713 candidate solutions of the DE algorithm.

714 On the overall, in spite of a poor identification of the degree-of-fixity p of the beam end sections,
 715 the procedure gives a good estimate of the axial force. Errors on the average identified axial force
 716 value are not substantially affected by noise intensity and, since $p \rightarrow 0.5$, the bias is higher for the
 717 two boundary conditions corresponding to the limit cases of doubly-hinged (label I_R , $p = 0$) and
 718 doubly-clamped (label V_R , $p = 1$) cables, for which $|\Delta p| = 0.5$, see (70).

719 Figure 11(e) allows to appreciate how measurements errors, herein associated to non-zero noise
 720 intensity values, determine a significant jump in the estimated minimum values of the cost function.
 721 Figure 11(f) shows that convergence of the algorithm is usually reached after a number of iterations
 722 significantly lower than the prescribed maximum number $MAXIT$, because the relative difference
 723 between the best and worst objective function is lower than the prescribed tolerance value (see
 724 the description of the termination criterion in Section 3.1).

725 As it can be clearly appreciated from Figure 11(a), errors on the parameter p introduce a bias
 726 on the estimates of $\hat{\Omega}_0$. Numerical tests carried out for different values of ε , in the typical range
 727 of values of stay cables, have shown that the bias of the estimator $\hat{\Omega}_0$ is very well approximated
 728 by the same Eq. (70) originally derived for the linear regression model. The analysis of the
 729 outcomes of the DE algorithm, hence, suggests that, whenever in presence of cable anchorages
 730 with unknown rotational flexibility, a pragmatistical approach to reduce the computational burden
 731 of the identification procedure without affecting the average values of the estimated parameters
 732 $\hat{\Omega}_0$ and $\hat{\varepsilon}_0$ is to assume $p = 0.5$. Under this assumption, the maximum expected relative error on
 733 $\hat{\Omega}_0$ turns out to be in the range $\pm \hat{\varepsilon}$ (cf. Eq. (71)).

734 Figure 12 shows a comparison between the results of the standard DE algorithm presented
 735 in Section 3.2 and the one based on the pragmatistical assumption $p = 0.5$, reducing therefore the
 736 searching space to a two-dimensional space. In all cases, computations have been performed by
 737 using the control parameters of the DE algorithm previously reported in this Section and the
 738 outcomes averaged over the number of runs $NR = 1000$ for each noise intensity level. Reference
 739 stay cables with the same boundary conditions ($p = 0.5$) and three different values of ε ($\varepsilon = 0.01$,
 740 0.02 and 0.03) have been considered. The results are shown in terms of the average values of
 741 $\hat{\Omega}_0$ and $\hat{\varepsilon}$ (Figures 12(a) and 12(c)) along with their associated coefficient of variation (Figures
 742 12(b) and 12(d)). As it can be appreciated from Figure 12, the outcomes of the two-parameter
 743 identification algorithm based on the pragmatistical assumption $p = 0.5$ are practically coincident
 744 with the ones of the three-parameter DE algorithm, with an important difference for the coefficient
 745 of variation of $\hat{\Omega}_0$. Enforcing the constraint $p = 0.5$, indeed, leads to values of $\sigma_{\hat{\Omega}_0}/\hat{\Omega}_0$ that are
 746 independent of ε and well approximated by the linear relation $\sigma_{\hat{\Omega}_0}/\hat{\Omega}_0 = I_n$. These values are in
 747 general smaller than the ones coming from the three-parameter DE algorithm and shows the same
 748 linear trend as the classic identification strategy based on the taut string model, see Eq. (51).

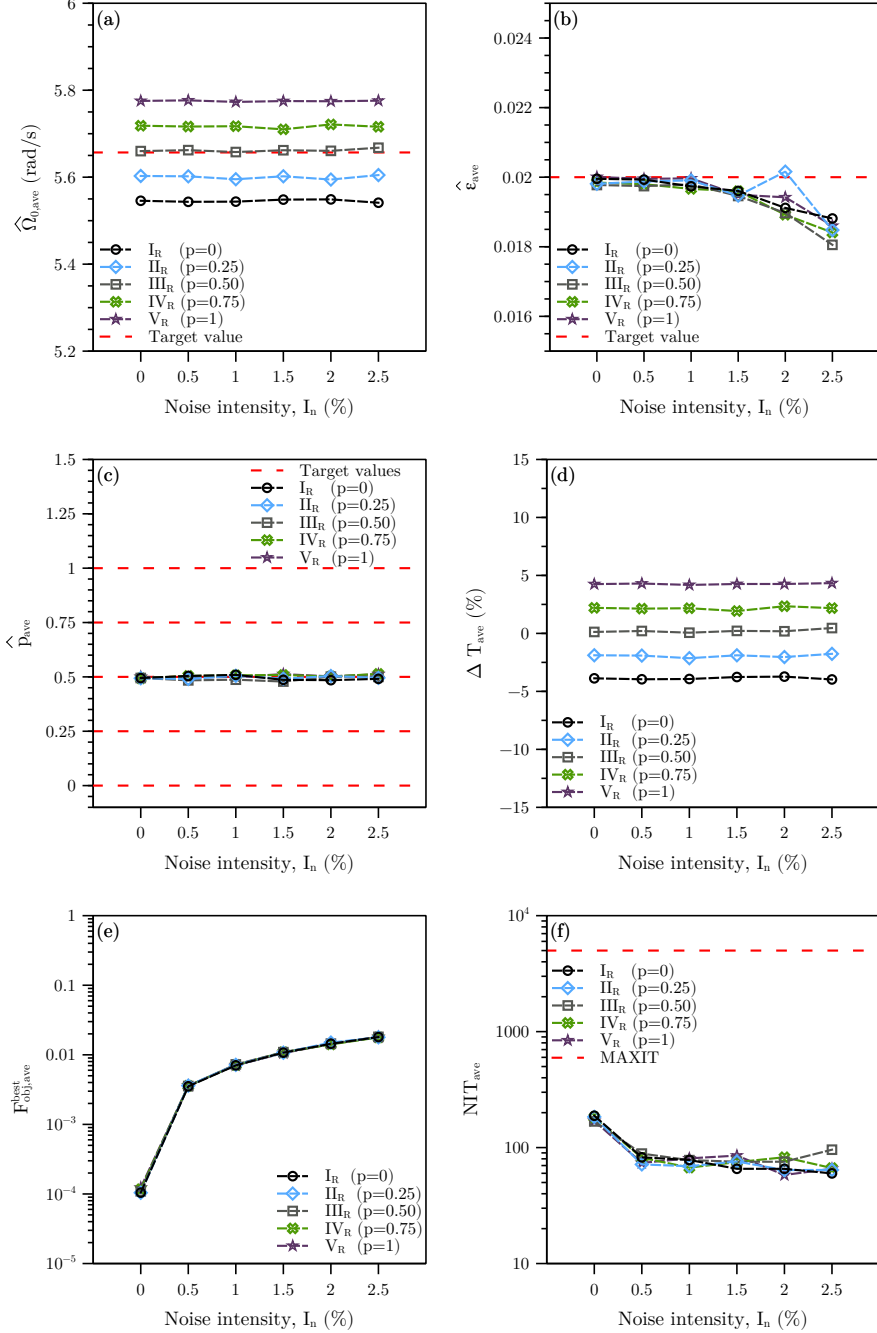


Figure 11: Non-linear optimization problem. Results of the Differential Evolution (DE) algorithm, averaged over one-thousand runs, as a function of the noise intensity. (a) Characteristic frequency Ω_0 (target value: $\Omega_0 = 5.66$ rad/s). (b) Non-dimensional bending stiffness ϵ (target value: $\epsilon = 0.02$). (c) Degree-of-fixity parameter p . (d) Relative error on the axial force $\Delta T = \frac{\hat{T} - T_{target}}{T_{target}}$. (e) Value of the cost function associated to the identified optimal set of parameters. (f) Number of iterations (the maximum number is $MAXIT = 5000$).

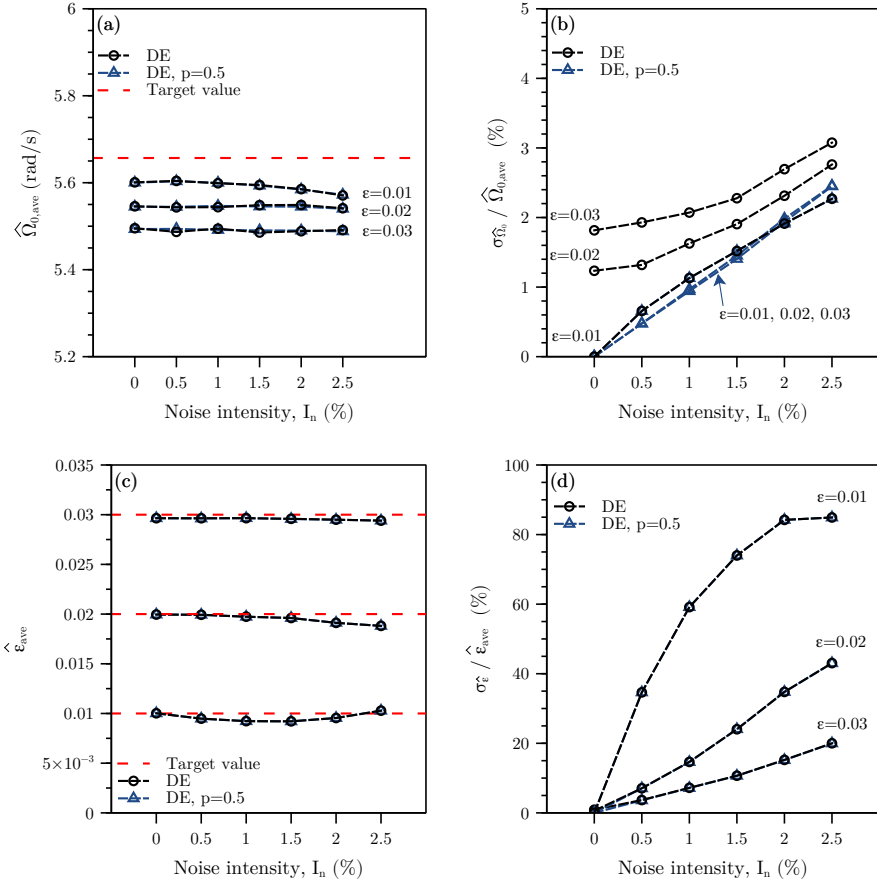


Figure 12: Non-linear optimization problem. Comparison among the results of the Differential Evolution algorithm with unknown parameters: Ω_0 , ε and p (DE) and the ones obtained under the assumption $p = 0.5$ (DE, $p = 0.5$). The results are averaged over one-thousand runs and shown as a function of the noise intensity. (a) Characteristic frequency Ω_0 (target value: $\Omega_0 = 5.66$ rad/s). (b) Coefficient of variation of the estimated value of Ω_0 . (c) Non-dimensional bending stiffness ε (target values: $\varepsilon = 0.01, 0.02$ and 0.03). (d) Coefficient of variation of the estimated value of ε .

749 4.2. Results of the linear regression model

750 As a first illustration, the linear regression model presented in Section 3.3 is applied to a stay
 751 cable characterized by target bending stiffness $\varepsilon = 0.02$ and restraint parameter $p = 0.5$ (cf. the
 752 boundary condition labeled as III_R in Section 4.1). The results of the identification algorithm are
 753 averaged over the number of runs $NR = 1000$ for each noise intensity level. Figure 13 shows the
 754 average values of $\hat{\Omega}_0$ and $\hat{\varepsilon}$, (a) and (c), along with their associated coefficient of variations, (b)
 755 and (d). Figures 13(a) and 13(b) report, for comparison purposes, the results obtained by setting
 756 the restraint parameter p in Eq. (69) equal to: $p = 0$ (curves labeled as “ $LR, p = 0$ ”), $p = 0.5$
 757 (“ $LR, p = 0.5$ ”) and $p = 1$ (“ $LR, p = 1$ ”). Different assumptions on p do not affect the estimate $\hat{\varepsilon}$
 758 of the non-dimensional bending stiffness (see Eqs. (68) and (75)). As a consequence, a single curve
 759 labeled as “ LR ” reports the results of the proposed identification procedure in Figures 13(c) and
 760 13(d). The outcomes of the approximate closed-form equations (74) and (75) for the coefficients
 761 of variation $\sigma_{\hat{\Omega}_0}/\hat{\Omega}_0$ and $\sigma_{\hat{\varepsilon}}/\hat{\varepsilon}$ are also reported in Figures 13(b) and 13(d) (see the dashed curves,
 762 labeled as “Approx. Model”). Figures 14(a) and 14(b) respectively show the coefficient of variation
 763 of the linear regression parameters $\hat{\beta}_0$ and $\hat{\beta}_1$ as a function of the noise intensity.

764 Figure 13(a) shows that the average values of $\hat{\Omega}_0$ are substantially independent of the noise
 765 intensity and biased by modeling errors on the boundary conditions, herein globally modeled
 766 though the restraint parameter p . As expected from Eq. (70), bias-induced discrepancies between
 767 the estimated and target values of the characteristic frequency Ω_0 are practically negligible for the
 768 model “ $LR, p = 0.5$ ”, while relative errors equal to about $+\varepsilon$ and $-\varepsilon$ (i.e. $\pm 2\%$) are respectively
 769 associated to the models “ $LR, p = 0$ ” and “ $LR, p = 1$ ”.

770 As it can be appreciated from Figure 13(b), the coefficient of variation $\sigma_{\hat{\Omega}_0}/\hat{\Omega}_0$ is almost linearly
 771 related to the noise intensity I_n . Slope values increase with the restraint parameter, ranging
 772 from about 0.9 for $p = 0$ to about 1.7 for $p = 1$. The numerical results shown in Figure 13(b)
 773 are practically coincident with the outcomes of the approximate closed-form error propagation
 774 Eq. (74) for the special case $p = 0$ (i.e. doubly-hinged stay cable). Discrepancies between the
 775 numerical results and the outcomes of the approximate model increase with the increase of both
 776 p and the noise intensity. The reason for these discrepancies can be traced back to the behavior
 777 of the coefficient of variation of the linear regression coefficient $\hat{\beta}_1$, which satisfies the considered
 778 assumption $\sigma_{\hat{\beta}_1}/\hat{\beta}_1 \ll 1$ only for small values of the noise intensity, as it can be clearly observed
 779 from Figure 14(b).

780 The proposed identification procedure also delivers fairly good estimates of the non-dimensional
 781 bending stiffness ε , as it can be appreciated from Figure 13(c). Discrepancies between the average
 782 value of $\hat{\varepsilon}$ and the target value $\varepsilon = 0.02$ increase with the noise intensity, ranging from about 1.8%
 783 for $I_n = 0$ to about 11% for $I_n = 2.5\%$. It is worth noting that the small error corresponding to
 784 the ideal case of experimental data free from noise (i.e. $I_n = 0$) can be regarded as a modeling
 785 error due to the adoption of the asymptotic closed form Eq. (37) instead of the exact solution of
 786 the semi-analytical model presented in Section 2.3.

787 The values of the coefficient of variation $\sigma_{\hat{\varepsilon}}/\hat{\varepsilon}$ increase with the noise intensity, as it is shown in
 788 Figure 13(d). A comparison between Figures 13(d) and 14(b) clearly allows one to appreciate that
 789 $\sigma_{\hat{\varepsilon}}/\hat{\varepsilon}$ is mainly governed by the leading order term of the approximate equation (75), i.e.: $\frac{1}{2} \frac{\sigma_{\hat{\beta}_1}}{\hat{\beta}_1}$.
 790 It can also be observed how the closed form Eq. (75) delivers a reasonably good approximation
 791 of $\sigma_{\hat{\varepsilon}}/\hat{\varepsilon}$ for small values of noise intensity, with discrepancies in the order of about 15% and 30%
 792 for I_n respectively equal to 1% and 2.5%.

793 Parametric analyses have been carried out to assess the performance of the linear regression
 794 model for different values of the non-dimensional bending stiffness ε . Figure 15 depicts the out-
 795 comes of the proposed identification procedure for three stay cables characterized by $\Omega_0 = 5.66$
 796 rad/s, $p = 0.5$ and different values of ε , i.e. $\varepsilon = 0.01, 0.02$ and 0.03 . The linear regression model
 797 has been applied by setting the restraint parameter p in Eq. (69) equal to $p = 0.5$, i.e. by modeling
 798 boundary conditions without errors. The results of the identification algorithm are averaged over
 799 the number of runs NR (i.e. $NR = 1000$) for each noise intensity level.

800 The average values of $\hat{\Omega}_0$ (Figure 15(a)) are substantially independent of ε and unbiased,
 801 according to the predictions of Eq. (70). Furthermore, the coefficient of variation $\sigma_{\hat{\Omega}_0}/\hat{\Omega}_0$ turns

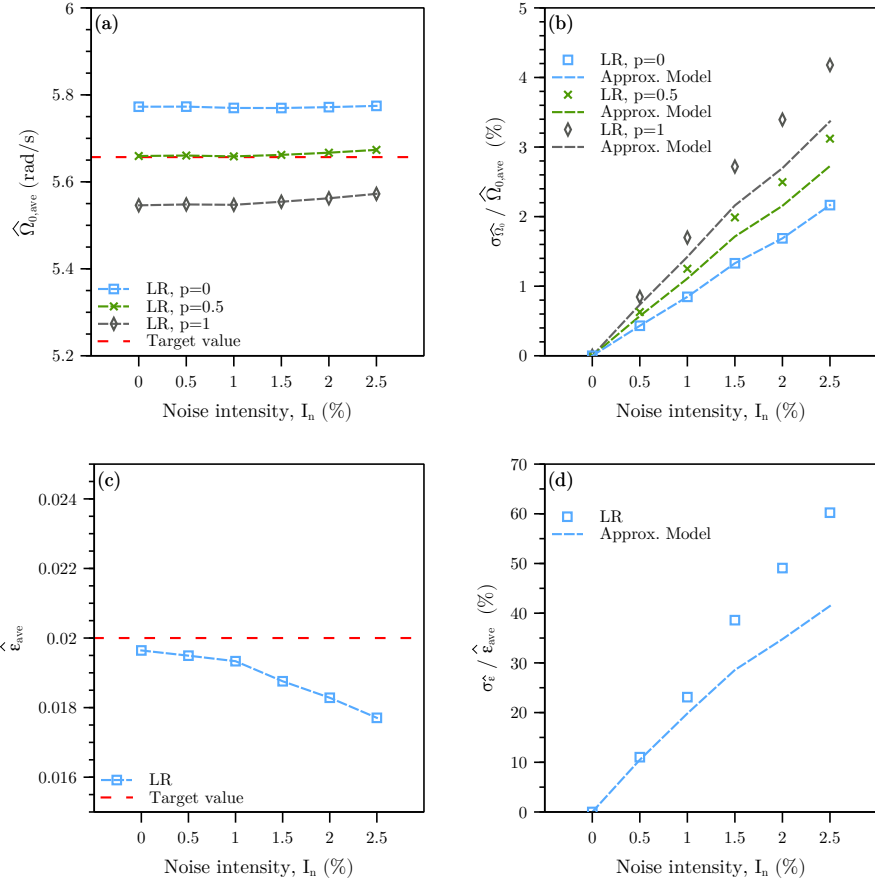


Figure 13: Results of the linear regression model, averaged over one-thousand runs, as a function of the noise intensity for a stay cable anchored to flexible restraints characterized by a theoretical value of the restraint parameter equal to $p = 0.5$. (a) Characteristic frequency Ω_0 (target value: $\Omega_0 = 5.66$ rad/s). (b) Coefficient of variation of the estimated value of Ω_0 . (c) Non-dimensional bending stiffness ε (target value: 0.02). (d) Coefficient of variation of the estimated value of ε . The results shown in Figures 13(a) and 13(b) have been obtained by setting the restraint parameter p in Eq. (69) equal to: $p = 0$ (curves labeled as *LR, $p = 0$*), $p = 0.5$ (*LR, $p = 0.5$*) and $p = 1$ (*LR, $p = 1$*). Approximate results (labeled as *Approx. Model*) in Figures (b) and (d) are respectively obtained through Eqs. (74) and (75).

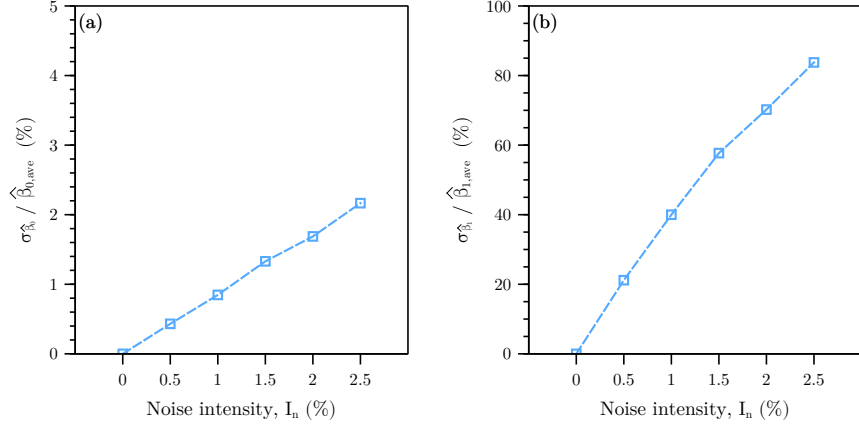


Figure 14: Results of the linear regression model, averaged over one-thousand runs, as a function of the noise intensity for a stay cable anchored to flexible restraints characterized by: $\Omega_0 = 5.66$ rad/s, $\varepsilon = 0.02$ and $p = 0.5$. Coefficients of variation of the linear regression parameters: (a) $\hat{\beta}_0$ (see Eq. (63)), and (b) $\hat{\beta}_1$ (see Eq. (62)).

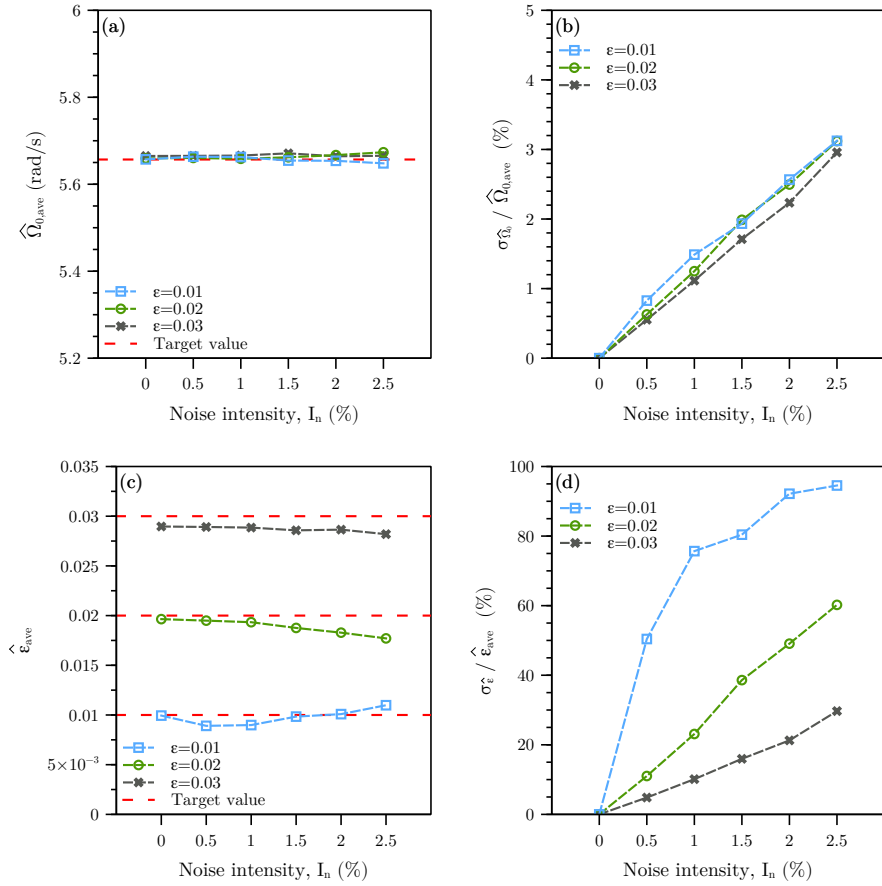


Figure 15: Results of the linear regression model, averaged over one-thousand runs, as a function of the noise intensity for a stay cable anchored to flexible restraints characterized by: $\Omega_0 = 5.66$ rad/s, $p = 0.5$ and three different values of ε : $\varepsilon = 0.01$, 0.02 and 0.03 . The results have been obtained by setting the restraint parameter p in Eq. (69) equal to: $p = 0.5$. (a) Characteristic frequency Ω_0 (target value: $\Omega_0 = 5.66$ rad/s). (b) Coefficient of variation of the estimated value of Ω_0 . (c) Non-dimensional bending stiffness ε (target values: 0.01 , 0.02 and 0.03). (d) Coefficient of variation of the estimated value of ε .

802 out to be only weakly affected by ε (Figure 15(b)). This remark is consistent with the predictions of
803 Eq. (74), characterized by a leading-order term independent of the cable non-dimensional bending
804 stiffness. Figure 15(c) allows one to observe that the proposed identification procedure delivers
805 average values of $\hat{\varepsilon}$ in very good agreement with the target ones (i.e. $\varepsilon = 0.01, 0.02$ and 0.03),
806 for all values of noise intensity herein considered. Within this context, it is worth noting that the
807 already mentioned modeling errors associated to the ideal condition of zero noise ($I_n = 0$) decrease
808 for decreasing values of ε , being practically negligible for $\varepsilon = 0.01$. The coefficient of variation
809 $\sigma_{\hat{\varepsilon}}/\hat{\varepsilon}$ is shown in Figure 15(d) as a function of the noise intensity. It can be easily observed that,
810 for a fixed value of noise intensity, $\sigma_{\hat{\varepsilon}}/\hat{\varepsilon}$ rapidly increases with the decrease of the non-dimensional
811 bending stiffness ε .

812 These illustrations show that, at the limited extra cost of a coordinate transformation before
813 the linear regression, we could develop a simple identification procedure that provides very good
814 estimates of the cable tension, through $\hat{\Omega}_0$, with limited, controlled and rather noise-insensitive
815 bias. At the same time, the method provides accurate estimates of the cable bending stiffness $\hat{\varepsilon}$
816 which are insensitive to the assumed flexibility in the boundary conditions.

817 4.3. Comparisons among different identification procedures

818 The outcomes of the two novel identification procedures proposed in Sections 3.2 (non-linear op-
819 timization problem) and 3.3 (linear regression model) are systematically compared, in the present
820 Section, with reference to stay cables characterized by $\Omega_0 = 5.66$ rad/s, $p = 0.5$ and three different
821 values of ε , i.e.: $\varepsilon = 0.01, 0.02$ and 0.03 . The results of the classic approach relying on the taut
822 string model (see Section 3.1) are also considered for comparison purposes.

823 The non-linear optimization problem (Section 3.2) has been solved by using the two variants
824 of the DE algorithm already described in Section 4.1, i.e.: (i) the three-parameter scheme with
825 unknown parameters Ω_0 , ε and p (curves labeled as “DE”), and (ii) the two-parameter scheme
826 based on the pragmatismal assumption $p = 0.5$, with unknown parameters Ω_0 and ε (curves labeled
827 as “DE, $p = 0.5$ ”). All control parameters of the DE algorithm are defined as explained in Section
828 4.1. Similarly as in Section 4.2, the linear regression model has been applied by considering three
829 different assumptions to set the restraint parameter p in Eq. (69): (i) $p = 0$ (curves labeled as
830 “LR, $p = 0$ ”), (ii) $p = 0.5$ (“LR, $p = 0.5$ ”), and (iii) $p = 1$ (“LR, $p = 1$ ”). As already shown in
831 Section 4.2, different assumptions on p do not affect the estimate $\hat{\varepsilon}$ of the non-dimensional bending
832 stiffness and its associated coefficient of variation $\sigma_{\hat{\varepsilon}}/\hat{\varepsilon}$. As a consequence, a single curve labeled
833 as “LR” is used to report the results of the linear regression model in terms of $\hat{\varepsilon}$ and $\sigma_{\hat{\varepsilon}}/\hat{\varepsilon}$. Two
834 different variants of the taut string model have also been considered for comparison purposes,
835 respectively based on the knowledge of: (i) the fundamental frequency of the cable only (curves
836 labeled as “TS 1f”), and (ii) the five lower natural frequencies of the cable (curves labeled as
837 “TS 5f”).

838 Figures 16, 17 and 18 show the results of the different identification algorithms, averaged
839 over the number of runs $NR = 1000$ considered for each noise intensity level. Figures 16-18 (a)
840 report the average values of $\hat{\Omega}_0$. The results of the two different variants of the DE algorithm
841 (“DE” and “DE, $p = 0.5$ ”) are practically coincident with the ones of the linear regression model
842 “LR, $p = 0.5$ ”. The results of the taut string model “TS 1f”, on the other hand, turns out to
843 be very close to the ones of the linear regression model “LR, $p = 0$ ”. This was expected, since
844 both models neglect the effect of the rotational stiffness of the anchoring devices. Minimum and
845 maximum values of $\hat{\Omega}_0$ are systematically delivered by, respectively the “LR, $p = 1$ ” and the
846 “TS 5f” models. The difference between the two taut string models “TS 1f” and “TS 5f” can be
847 easily explained by recalling that, for these procedures, the bias of the estimator $\hat{\Omega}_0$ increase with
848 the increase of the number of modes considered for identification purposes, see Eq. (47).

849 Figures 16-18 (b) show the coefficient of variation $\sigma_{\hat{\Omega}_0}/\hat{\Omega}_0$ as a function of the noise intensity
850 I_n . All identification strategies, with the only exception of the three-parameter identification
851 algorithm (“DE”), are characterized by a somewhat linear relation between $\sigma_{\hat{\Omega}_0}/\hat{\Omega}_0$ and I_n , with
852 slope values that are: (a) strictly independent of ε for the identification strategies “LR, $p = 0$ ”,
853 “TS 1f” and “TS 5f”, (b) substantially independent of ε for the remaining identification strategies
854 (also see the discussion in Sections 4.1 and 4.2). This distinction follows the motivation that a

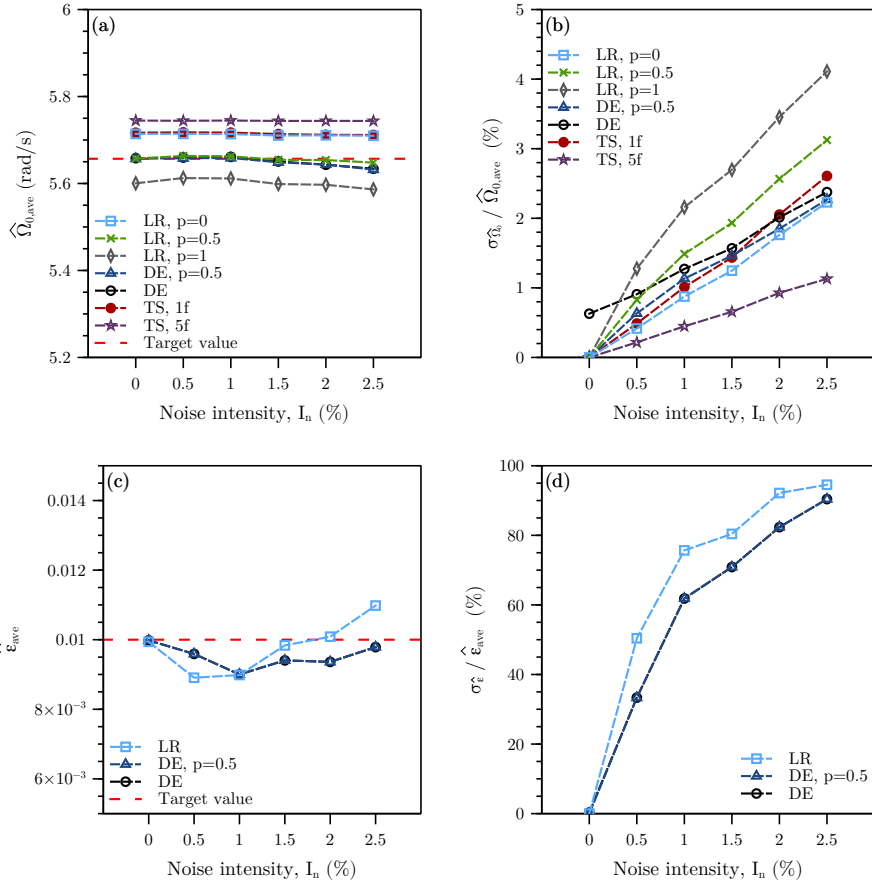


Figure 16: Comparison among different identification procedures. Target values are $\Omega_0 = 5.66$ rad/s, $\varepsilon = 0.01$, $p = 0.5$.

855 feature of a robust identification strategy should be such that a discrepancy on the estimation of ε
 856 shall not spoils the quality of the estimation of Ω_0 and, hence, T . Minimum and maximum slope
 857 values are respectively associated to the “*TS 5f*” and “*LR, p = 1*” models.

858 Figures 16-18 (c) easily allow one to appreciate that both DE algorithms (i.e. “*DE*” and “*DE,*
 859 *p = 0.5*”) give average values of $\hat{\varepsilon}$ very close to the ones of the more simple linear regression model,
 860 for any value of the noise intensity herein considered. On the other hand, DE algorithms estimates
 861 are characterized, in general, by smaller values of the coefficient of variation $\sigma_{\hat{\varepsilon}} / \hat{\varepsilon}$, as it can be
 862 observed from Figures 16-18 (d).

863 5. Conclusions

864 Starting from the mechanical model of a cable with a small bending stiffness and flexible
 865 anchorages in both translation and rotation, two different identification strategies have been de-
 866 veloped. These identification methods provide estimates of the cable axial force T and of the
 867 (small) dimensionless bending stiffness ε while considering the end restraints as unknown param-
 868 eter. They rely on the asymptotic expansions of the natural frequencies of such a cable for small
 869 bending stiffness, which is typical of stay cables and structural elements with similar aspect ratios.

870 Indeed, as a prelude to the derivation of the identification procedure, we have shown that, up
 871 to the third order in ε , the natural frequencies of the cable are only affected by three parameters,
 872 Ω_0 , ε and p . The first two are related to the axial force in the cable (which is the sole parameter
 873 affecting the natural frequencies at leading order) and its bending stiffness while the latter is a

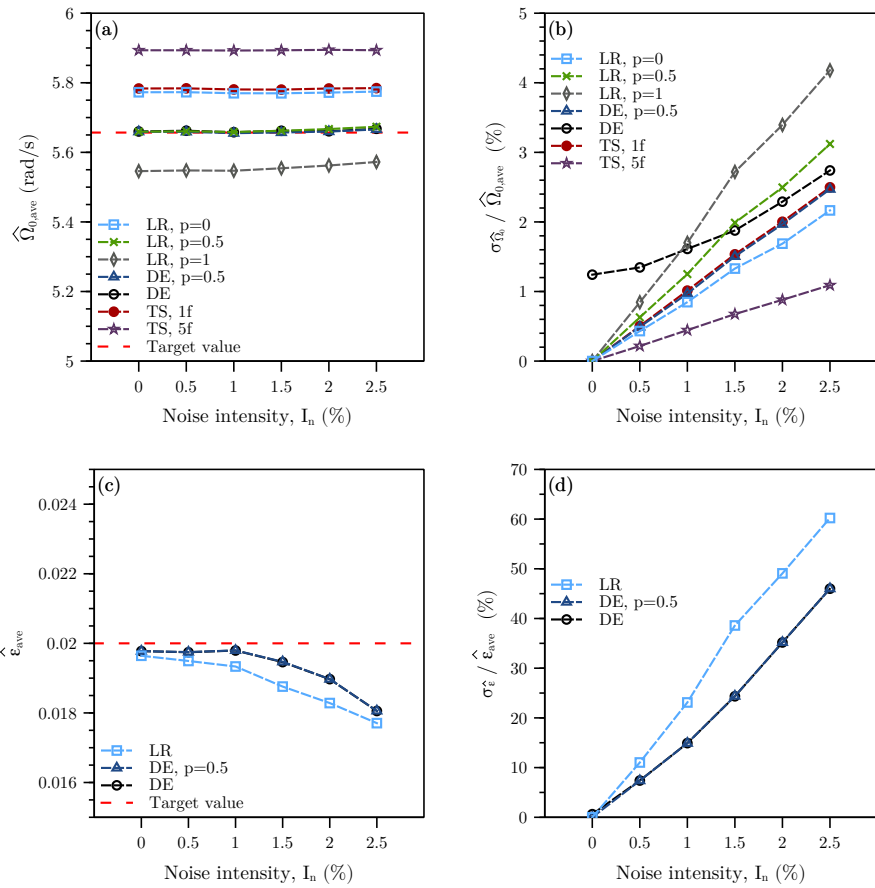


Figure 17: Comparison among different identification procedures. Target values are $\Omega_0 = 5.66$ rad/s, $\epsilon = 0.02$, $p = 0.5$.

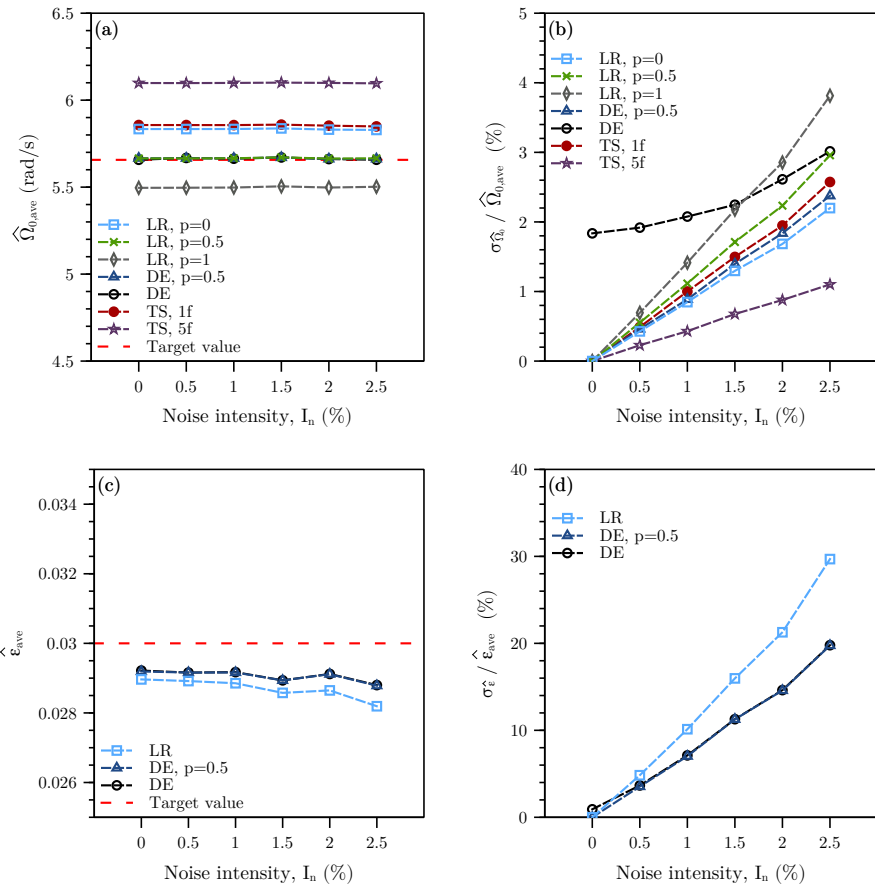


Figure 18: Comparison among different identification procedures. Target values are $\Omega_0 = 5.66$ rad/s, $\epsilon = 0.03$, $p = 0.5$.

874 dimensionless group translating the influence of boundary restraints. Since they all act through this
875 unique parameter, it is therefore impossible to separate, on the sole basis of natural frequencies, the
876 influence of translational and rotational flexibilities of anchorages, for vanishingly small bending
877 stiffness.

878 A first identification procedure naturally relies upon a constrained least-square optimization
879 problem, minimizing a norm of the difference between the measured natural frequencies of a cable
880 and those predicted by the asymptotic model. This optimization problem is solved with the
881 Differential Evolution algorithm which is based on the evolution of a swarm of best candidates,
882 non gradient-based and capable of dealing with several local minima. It has been implemented
883 in two versions, a three-dimensional one aiming at identifying Ω_0 , ε and p and a two-dimensional
884 one aiming at identifying Ω_0 and ε , while p is imposed.

885 The second identification procedure is based on a linear regression in a transformed coordinate
886 system. The proposed identification method is as simple as the common method based on the taut
887 string model. It is also a straightforward generalization of the methods based on the fitting of a
888 two-parameter model (including the equivalent of Ω_0 and ε), with the major difference that the
889 management of boundary conditions are herein explicitly taken into consideration. In the unlikely
890 case of known rotational and translational anchorage rigidities, parameter p can be imposed and
891 this yields the best performances in the proposed method. Otherwise, it is suggested to set p to its
892 median value $p = 0.5$ in order to limit the undesired influence of unknown boundary conditions.
893 Any other user-defined choice is also possible, including an interval analysis.

894 The quality of the results obtained with the two proposed identification methods is assessed
895 by means of the statistics of the bias and dispersion of the estimators $\hat{\Omega}_0$ and $\hat{\varepsilon}$. These are
896 compared to those obtained with the standard taut-string model. In short, it is shown that the
897 linear regression model performs as good as the first identification procedure which is based on
898 the Differential Evolution solver and much more computationally demanding. Compared to the
899 taut string model, the proposed linear regression approach provides a very similar estimate of
900 the cable axial force but, additionally and with almost no extra cost, an estimate of the cable
901 bending stiffness. Furthermore, the influence of the stiffness of anchorages is fully controlled
902 through parameter p . The simplicity of the proposed formulation also made it possible to derive
903 analytical expressions for the uncertainty propagation analysis which gives a clear picture of the
904 way parameters influence the quality of the identification procedure.

905 Finally, since it generalizes many particular cases, the proposed method can be used as such
906 in the various contexts today where cable tension and bending stiffness need to be identified with
907 the sole knowledge of measured natural frequencies.

908 **Appendix A: Components of the boundary condition matrix \mathbf{B}**

909 The components of the boundary condition matrix \mathbf{B} (see Eq. (21) for definition) will be
 910 denoted in the following as $B_{i,j}$ ($i, j = 1, \dots, 4$), with subscripts i and j identifying, respectively,
 911 the i^{th} row and the j^{th} column of the matrix. Components $B_{i,j}$ are, in general, functions of the
 912 nondimensional frequency ω and of the parameters: $\mathcal{P} = \{\varepsilon, \rho_{T0}, \rho_{T1}, \rho_{R0}, \rho_{R1}\}$. In order to avoid
 913 cumbersome expressions, however, Eqs. (A.1)-(A.16) are written by exploiting the definition of the
 914 variables $z_j = z_j(\omega)$ ($j = 1, 2$) introduced in Eq. (20) and without making explicit the functional
 915 dependence on ω .

$$B_{1,1} = -(1 - \rho_{T0}) (1 + \varepsilon^2 z_1^2) \varepsilon z_1 \quad (\text{A.1})$$

$$B_{1,2} = \rho_{T0} \quad (\text{A.2})$$

$$B_{1,3} = \rho_{T0} + (1 - \rho_{T0}) (1 - \varepsilon^2 z_2^2) \varepsilon z_2 \quad (\text{A.3})$$

$$B_{1,4} = (\rho_{T0} - (1 - \rho_{T0}) (1 - \varepsilon^2 z_2^2) \varepsilon z_2) \exp(-z_2) \quad (\text{A.4})$$

$$B_{2,1} = -\rho_{T1} \sin(z_1) - (1 - \rho_{T1}) \cos(z_1) (1 + \varepsilon^2 z_1^2) \varepsilon z_1 \quad (\text{A.5})$$

$$B_{2,2} = -\rho_{T1} \cos(z_1) + (1 - \rho_{T1}) \sin(z_1) (1 + \varepsilon^2 z_1^2) \varepsilon z_1 \quad (\text{A.6})$$

$$B_{2,3} = (-\rho_{T1} + (1 - \rho_{T1}) (1 - \varepsilon^2 z_2^2) \varepsilon z_2) \exp(-z_2) \quad (\text{A.7})$$

$$B_{2,4} = -\rho_{T1} - (1 - \rho_{T1}) (1 - \varepsilon^2 z_2^2) \varepsilon z_2 \quad (\text{A.8})$$

$$B_{3,1} = -\varepsilon z_1 \rho_{R0} \quad (\text{A.9})$$

$$B_{3,2} = -(1 - \rho_{R0}) \varepsilon^2 z_1^2 \quad (\text{A.10})$$

$$B_{3,3} = (\rho_{R0} + (1 - \rho_{R0}) \varepsilon z_2) \varepsilon z_2 \quad (\text{A.11})$$

$$B_{3,4} = (-\rho_{R0} + (1 - \rho_{R0}) \varepsilon z_2) \varepsilon z_2 \exp(-z_2) \quad (\text{A.12})$$

$$B_{4,1} = (\rho_{R1} \cos(z_1) - (1 - \rho_{R1}) \sin(z_1) \varepsilon z_1) \varepsilon z_1 \quad (\text{A.13})$$

$$B_{4,2} = (-\rho_{R1} \sin(z_1) - (1 - \rho_{R1}) \cos(z_1) \varepsilon z_1) \varepsilon z_1 \quad (\text{A.14})$$

$$B_{4,3} = (-\rho_{R1} + (1 - \rho_{R1}) \varepsilon z_2) \varepsilon z_2 \exp(-z_2) \quad (\text{A.15})$$

$$B_{4,4} = (\rho_{R1} + (1 - \rho_{R1}) \varepsilon z_2) \varepsilon z_2 \quad (\text{A.16})$$

916 **Appendix B: Components of matrices $\mathbf{B}_{(0)}$, $\mathbf{B}_{(1)}$ and $\mathbf{B}_{(2)}$**

917 The components of matrices $\mathbf{B}_{(0)}$, $\mathbf{B}_{(1)}$ and $\mathbf{B}_{(2)}$ (see Eq. (34) for definition) will be respectively
 918 denoted as $B_{(0)i,j}$, $B_{(1)i,j}$ and $B_{(2)i,j}$ ($i, j = 1, \dots, 4$), with the same notation adopted in Appendix
 919 A.

920 All non-zero components of the matrix $\mathbf{B}_{(0)}$ are reported in the following Eqs. (B.1)-(B.7):

$$B_{(0)1,2} = \rho_{T0} \quad (\text{B.1})$$

$$B_{(0)1,3} = \rho_{T0} \quad (\text{B.2})$$

$$B_{(0)2,1} = -\rho_{T1} \sin(\omega_{(0)}) \quad (\text{B.3})$$

$$B_{(0)2,2} = -\rho_{T1} \cos(\omega_{(0)}) \quad (\text{B.4})$$

$$B_{(0)2,4} = -\rho_{T1} \quad (\text{B.5})$$

$$B_{(0)3,3} = 1 \quad (\text{B.6})$$

$$B_{(0)4,4} = 1 \quad (\text{B.7})$$

921 All non-zero components of the matrix $\mathbf{B}_{(1)}$ are reported in the following Eqs. (B.8)-(B.13):

$$B_{(1)1,1} = -\omega_{(0)} (1 - \rho_{T0}) \quad (\text{B.8})$$

$$B_{(1)2,1} = -\omega_{(0)} \cos(\omega_{(0)}) (1 - \rho_{T1}) - \omega_{(1)} \cos(\omega_{(0)}) \rho_{T1} \quad (\text{B.9})$$

$$B_{(1)2,2} = \omega_{(0)} \sin(\omega_{(0)}) (1 - \rho_{T1}) + \omega_{(1)} \sin(\omega_{(0)}) \rho_{T1} \quad (\text{B.10})$$

$$B_{(1)3,1} = -\omega_{(0)} \rho_{R0} \quad (\text{B.11})$$

$$B_{(1)4,1} = \omega_{(0)} \cos(\omega_{(0)}) \rho_{R1} \quad (\text{B.12})$$

$$B_{(1)4,2} = -\omega_{(0)} \sin(\omega_{(0)}) \rho_{R1} \quad (\text{B.13})$$

922 All non-zero components of the matrix $\mathbf{B}_{(2)}$ are reported in the following Eqs. (B.14)-(B.24):

$$B_{(2)1,1} = -\omega_{(1)} (1 - \rho_{T0}) \quad (\text{B.14})$$

$$B_{(2)1,3} = -\omega_{(0)}^2 (1 - \rho_{T0}) \quad (\text{B.15})$$

$$B_{(2)2,1} = \frac{1}{2} \omega_{(0)}^3 \cos(\omega_{(0)}) \rho_{T1} + \frac{1}{2} \omega_{(0)}^2 \sin(\omega_{(0)}) \rho_{T1} \\ + \omega_{(1)} \omega_{(0)} \sin(\omega_{(0)}) (1 - \rho_{T1}) \quad (\text{B.16})$$

$$B_{(2)2,2} = -\frac{1}{2} \omega_{(0)}^3 \sin(\omega_{(0)}) \rho_{T1} + \frac{1}{2} \omega_{(1)}^2 \cos(\omega_{(0)}) \rho_{T1} \\ + \omega_{(1)} \omega_{(0)} \cos(\omega_{(0)}) (1 - \rho_{T1}) \\ + \omega_{(1)} \sin(\omega_{(0)}) (1 - \rho_{T1}) + \omega_{(2)} \sin(\omega_{(0)}) \rho_{T1} \quad (\text{B.17})$$

$$B_{(2)2,4} = \omega_{(0)}^2 (1 - \rho_{T1}) \quad (\text{B.18})$$

$$B_{(2)3,1} = -\omega_{(1)} \rho_{R0} \quad (\text{B.19})$$

$$B_{(2)3,2} = -\omega_{(0)}^2 (1 - \rho_{R0}) \quad (\text{B.20})$$

$$B_{(2)3,3} = \omega_{(0)}^2 \left(1 - \frac{1}{2} \rho_{R0} \right) \quad (\text{B.21})$$

$$\begin{aligned} B_{(2)4,1} &= -\omega_{(0)}^2 \sin(\omega_{(0)}) (1 - \rho_{R1}) \\ -\omega_{(1)} \omega_{(0)} \sin(\omega_{(0)}) \rho_{R1} &+ \omega_{(1)} \cos(\omega_{(0)}) \rho_{R1} \end{aligned} \quad (\text{B.22})$$

$$\begin{aligned} B_{(2)4,2} &= -\omega_{(0)}^2 \cos(\omega_{(0)}) (1 - \rho_{R1}) \\ -\omega_{(1)} \omega_{(0)} \cos(\omega_{(0)}) \rho_{R1} &- \omega_{(1)} \sin(\omega_{(0)}) \rho_{R1} \end{aligned} \quad (\text{B.23})$$

$$B_{(2)4,4} = \omega_{(0)}^2 \left(1 - \frac{1}{2} \rho_{R1} \right) \quad (\text{B.24})$$

923 Appendix C: Solution of the system of equations (36)

924 In this Appendix, the system of Eqs. (36) is fully solved for the coefficients $\omega_{(i)}$ and $\alpha_{(i)}$
 925 ($i = 0, 1, 2$) of the second-order accurate asymptotic expansions of the eigenvalues ω (Eq. (32))
 926 and eigenvectors α (Eq. (33)) of problem (21). The solution is sought through a cascaded
 927 approach, starting from the leading order problem (i.e. $ord(\varepsilon^0)$) in (36) and moving towards the
 928 higher order ones.

929 *Leading order solution*

930 The solution of the leading order problem (i.e. $ord(\varepsilon^0)$) in (36) amounts to find the the
 931 eigenvalues and eigenvectors of the matrix $\mathbf{B}_{(0)}$, whose components are defined in the Appendix
 932 B (see Eqs. (B.1)-(B.7)).

933 It can be easily verified that the eigenvalues of $\mathbf{B}_{(0)}$ coincide, as expected, with the non-
 934 dimensional natural frequencies of the taut string model, i.e. (cf. Eq. (18)):

$$\omega_{(0)k} = k\pi, \quad k \in \mathbb{N}^+ \quad (\text{C.1})$$

935 The right $(\alpha_{(0)k})$ and left $(\beta_{(0)k}^T)$ eigenvectors of $\mathbf{B}_{(0)}$ can be expressed as:

$$\alpha_{(0)k} = \alpha_0 = (1, 0, 0, 0)^T, \quad \forall k \in \mathbb{N}^+ \quad (\text{C.2})$$

$$\beta_{(0)k}^T = \left(1, (-1)^k \frac{\rho_{T0}}{\rho_{T1}}, -\rho_{T0}, (-1)^k \rho_{T0} \right), \quad k \in \mathbb{N}^+ \quad (\text{C.3})$$

936 *First order correction*

937 Once the leading order solution is known, the row corresponding to the $ord(\varepsilon^1)$ problem in (36)
 938 can be pre-multiplied by $\beta_{(0)k}^T$ yielding the scalar equation:

$$\beta_{(0)k}^T \mathbf{B}_{(1)}(\omega_{(1)k}, \omega_{(0)k}) \boldsymbol{\alpha}_0 = 0 \quad (\text{C.4})$$

939 Solutions of Eq. (18) for $\omega_{(1)k}$ read:

$$\omega_{(1)k} = 2p k \pi, \forall k \in \mathbb{N}^+ \quad (\text{C.5})$$

940 where p is the restraint parameter defined in Eq. (26). By substituting Eqs. (C.1), (C.2) and
 941 (C.5) in the second equation of (36), then, one can get the linear equation:

$$\mathbf{B}_{(0)}(\omega_{(0)k}) \boldsymbol{\alpha}_{(1)k} = -\mathbf{B}_{(1)}(\omega_{(1)k}, \omega_{(0)k}) \boldsymbol{\alpha}_0 \quad (\text{C.6})$$

942 whose solutions for $\boldsymbol{\alpha}_{(1)k}$ read:

$$\boldsymbol{\alpha}_{(1)k} = k\pi \left(0, -p_0, \rho_{R0}, (-1)^{k+1} \rho_{R1} \right)^T \quad (\text{C.7})$$

943 with the definition:

$$p_0 = 1 + \rho_{R0} - \frac{1}{\rho_{T0}}, \rho_{T0} > 0 \quad (\text{C.8})$$

944 *Second order correction*

945 Once both the leading order solution and the first order correction are known, the row cor-
 946 responding to the $ord(\varepsilon^2)$ problem in (36) can be pre-multiplied by $\beta_{(0)k}^T$ yielding the scalar
 947 equation:

$$\beta_{(0)k}^T \mathbf{B}_{(1)}(\omega_{(1)k}, \omega_{(0)k}) \boldsymbol{\alpha}_{(1)k} + \beta_{(0)k}^T \mathbf{B}_{(2)}(\omega_{(2)k}, \omega_{(1)k}, \omega_{(0)k}) \boldsymbol{\alpha}_0 = 0 \quad (\text{C.9})$$

948 Solutions of Eq. (C.9) for $\omega_{(2)k}$ read:

$$\omega_{(2)k} = \left(\frac{(k\pi)^2}{2} + 4p^2 \right), k\pi \forall k \in \mathbb{N}^+ \quad (\text{C.10})$$

949 where p is the restraint parameter defined in Eq. (26). By substituting Eqs. (C.1), (C.2), (C.5),
 950 (C.7) and (C.10) in the third equation of (36), then, one can get the linear equation:

$$\mathbf{B}_{(0)}(\omega_{(0)k}) \boldsymbol{\alpha}_{(2)k} = -\mathbf{B}_{(1)}(\omega_{(1)k}, \omega_{(0)k}) \boldsymbol{\alpha}_{(1)k} - \mathbf{B}_{(2)}(\omega_{(2)k}, \omega_{(1)k}, \omega_{(0)k}) \boldsymbol{\alpha}_0 \quad (\text{C.11})$$

951 whose solutions for $\boldsymbol{\alpha}_{(2)k}$ read:

$$\boldsymbol{\alpha}_{(1)k} = k\pi \left(0, -p_0 p, \rho_{R0} p, (-1)^{k+1} \rho_{R1} p \right)^T \quad (\text{C.12})$$

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