

# Insight about magnetron sputtering using Life Cycle Assessment (LCA)

Antoine Merlo, PhD student

Grégoire Léonard, professor

---

*Materials Science and Engineering  
Congress (MSE) 2020*

24/09/2020

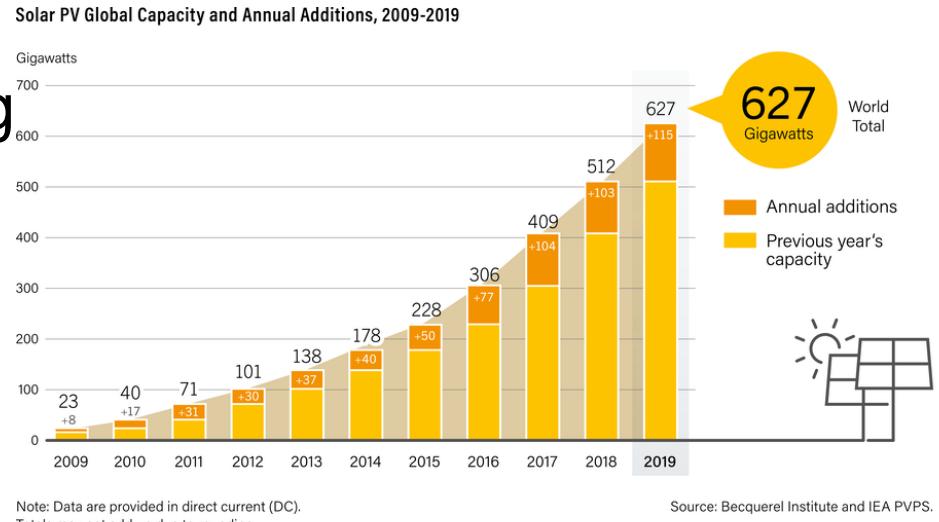
# Presentation structure

- Introduction
- LCA methodology
- Magnetron Sputtering and LCA
- Considerations on metal consumption
- Considerations on energy consumption
- Possible improvements
- Conclusions and perspectives

# Introduction

- Thin films are becoming more prevalent than ever

... and there is a need for environmental accountability!



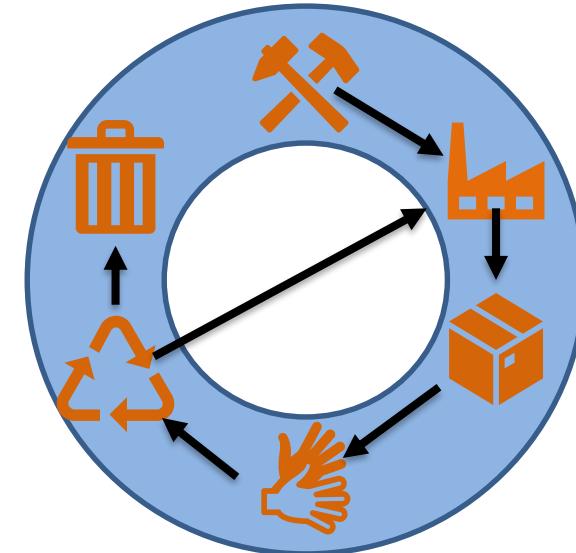
REN21 RENEWABLES 2020 GLOBAL STATUS REPORT

→ Applying assessment techniques to deposition processes

- Life cycle assessment (LCA) on magnetron sputtering

# Life Cycle Assessment

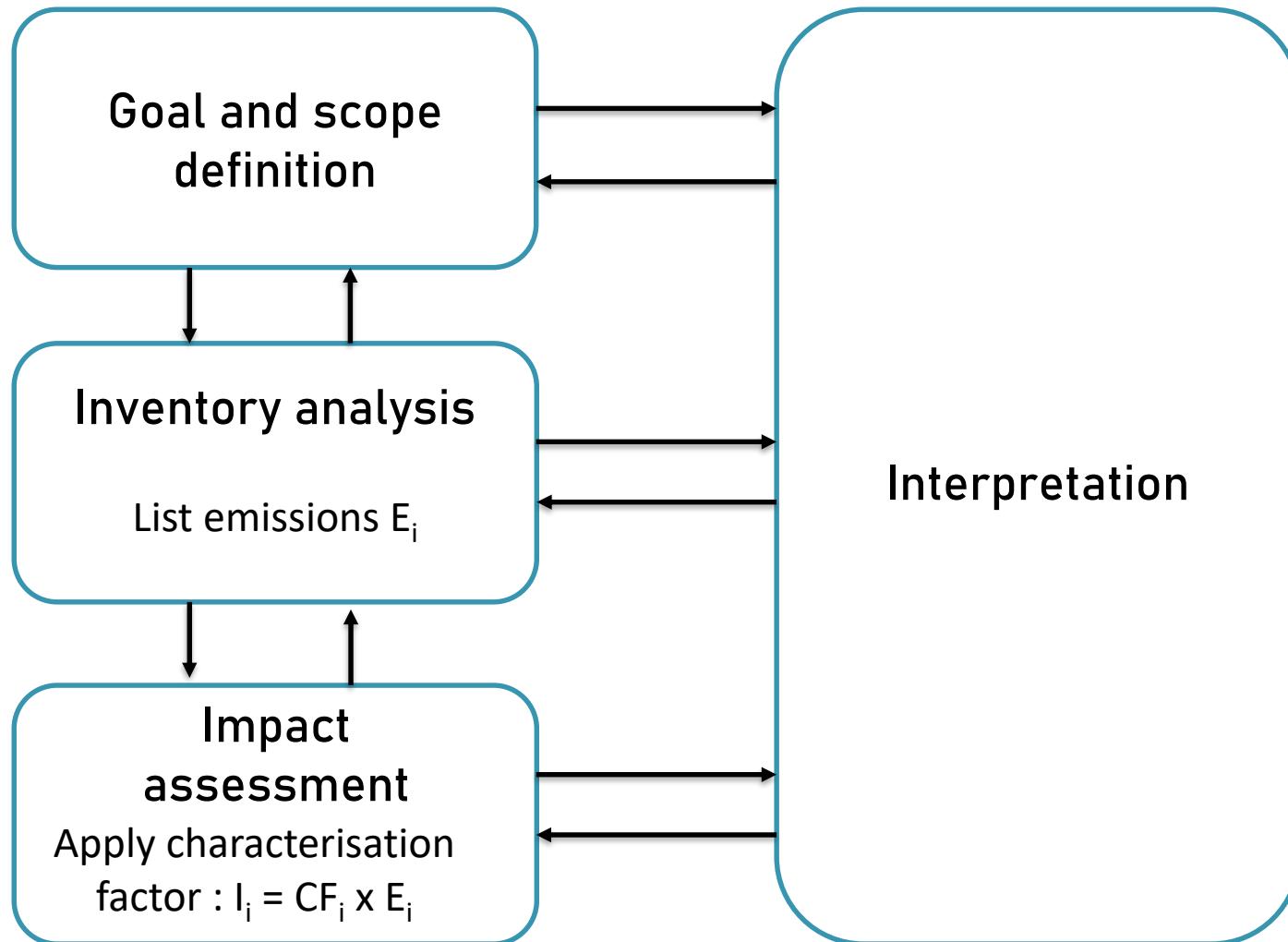
- Takes into account emissions over the whole life cycle
- From extraction to end-of-life



International  
Organization for  
Standardization

- Use standardised by ISO (ISO 14040 & ISO 14044)
- 4 phases of study

# Life Cycle Assessment

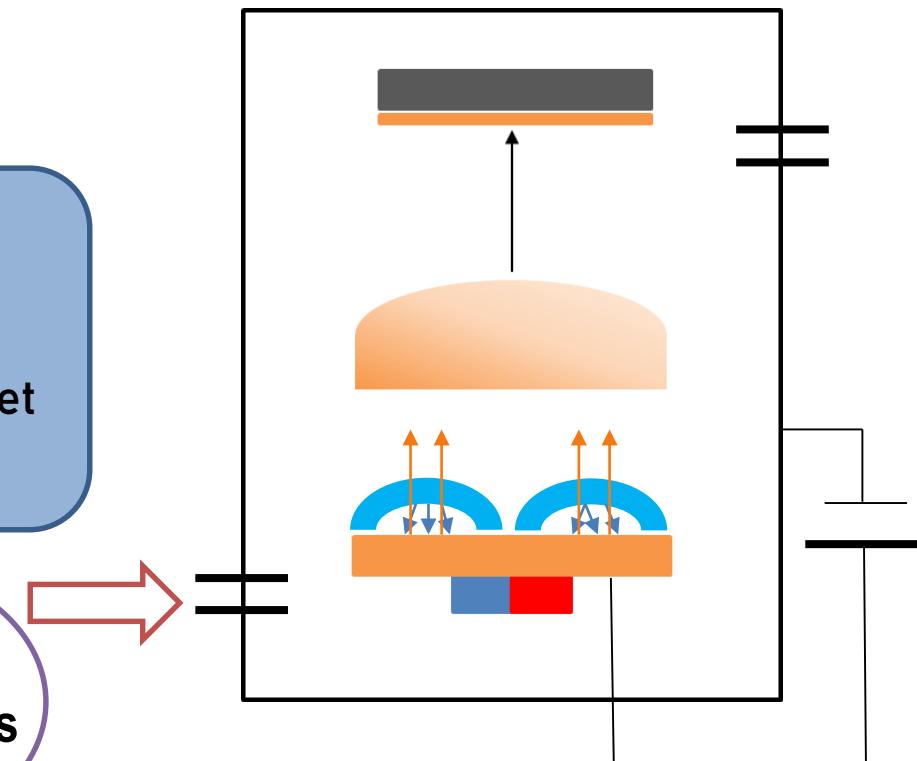


# Magnetron sputtering and LCA

## Magnetron sputtering

- Deposition technique
- Voltage applied to target in vacuum chamber
- Plasma bombardment on target
- Film growth on substrate

Ar  
Reactive gases



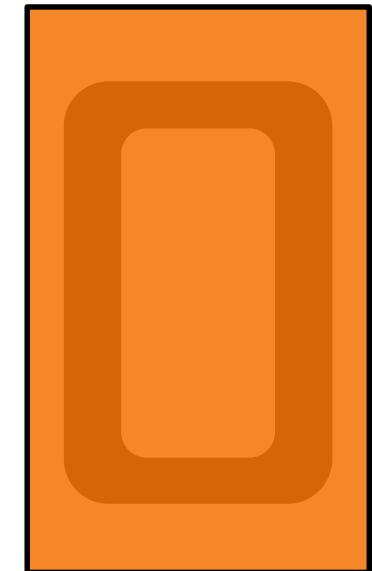
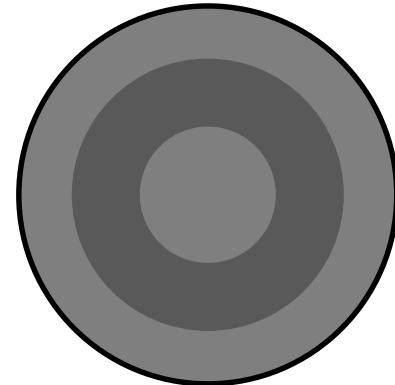
During process, almost no emissions, but ...

# Magnetron sputtering and LCA

- Target production can have a huge impact
  - Metal extraction and refining can be energy intensive and have a lot of emissions
  - Target manufacture can be an impactful process
- Very high energy consumption per g deposited !
  - Impact depends a lot on electricity production mix
- Inert and reactive gases production usually have a negligible impact

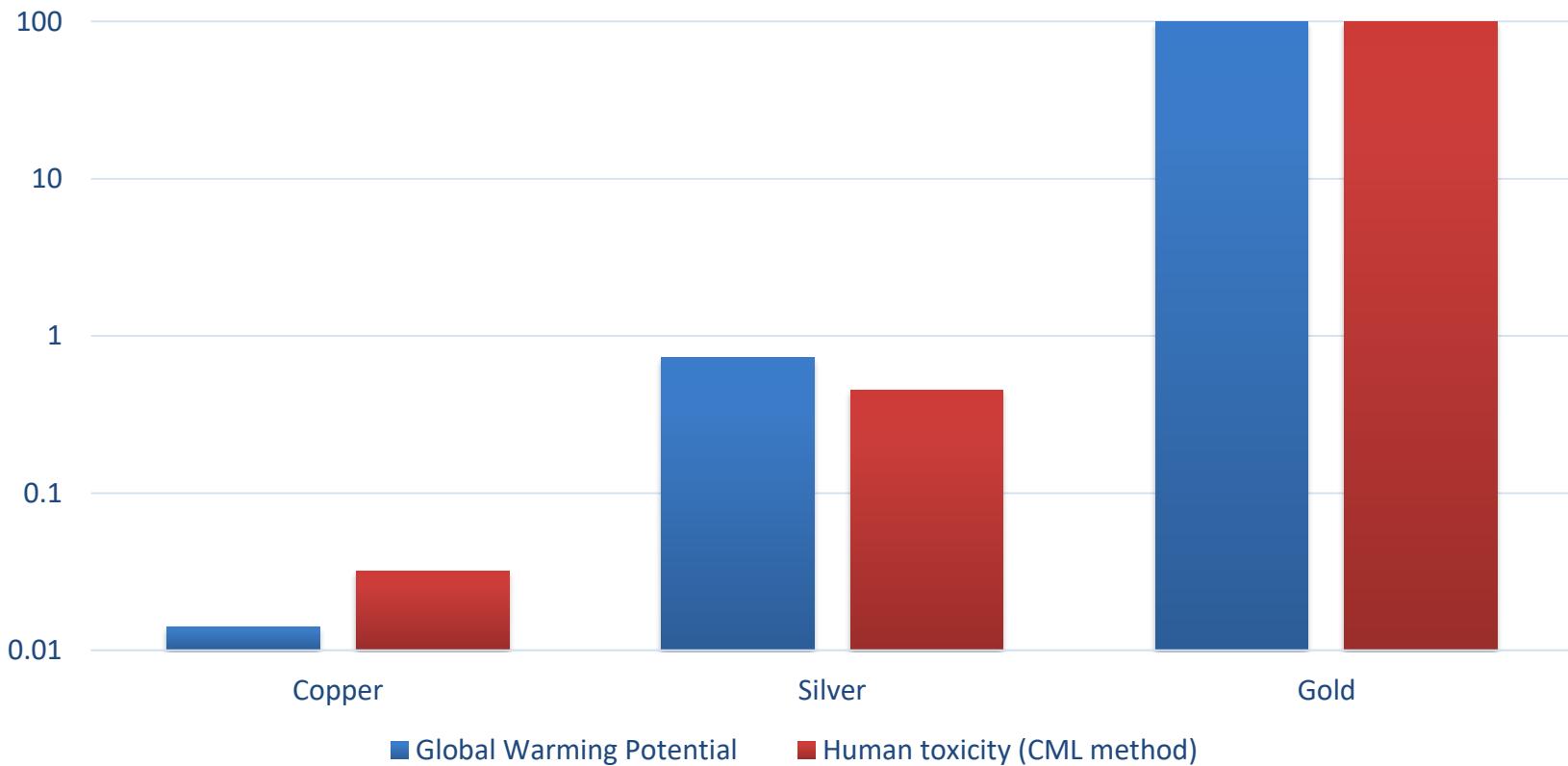
# Considerations on metal production

- Rarer metals → Higher impact!
- Toxicity linked to metal production
- Inefficient in MS : only part of the target is used (race tracks)
- Waste on vacuum chamber walls



# Example : rare metals production

## Relative GWP and Human Toxicity of Cu, Ag and Au <sup>1,2</sup>



Element abundance in Earth's crust<sup>3</sup> :

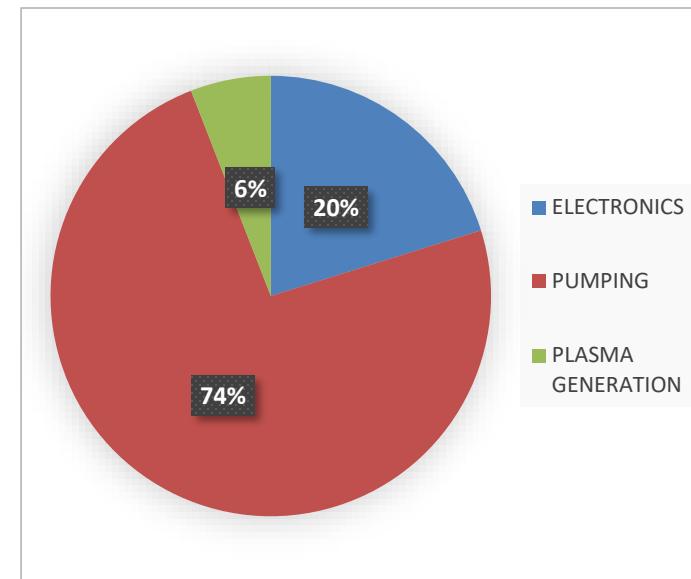
Cu : 60 ppm

Ag : 0.075 ppm

Au : 0.004 ppm

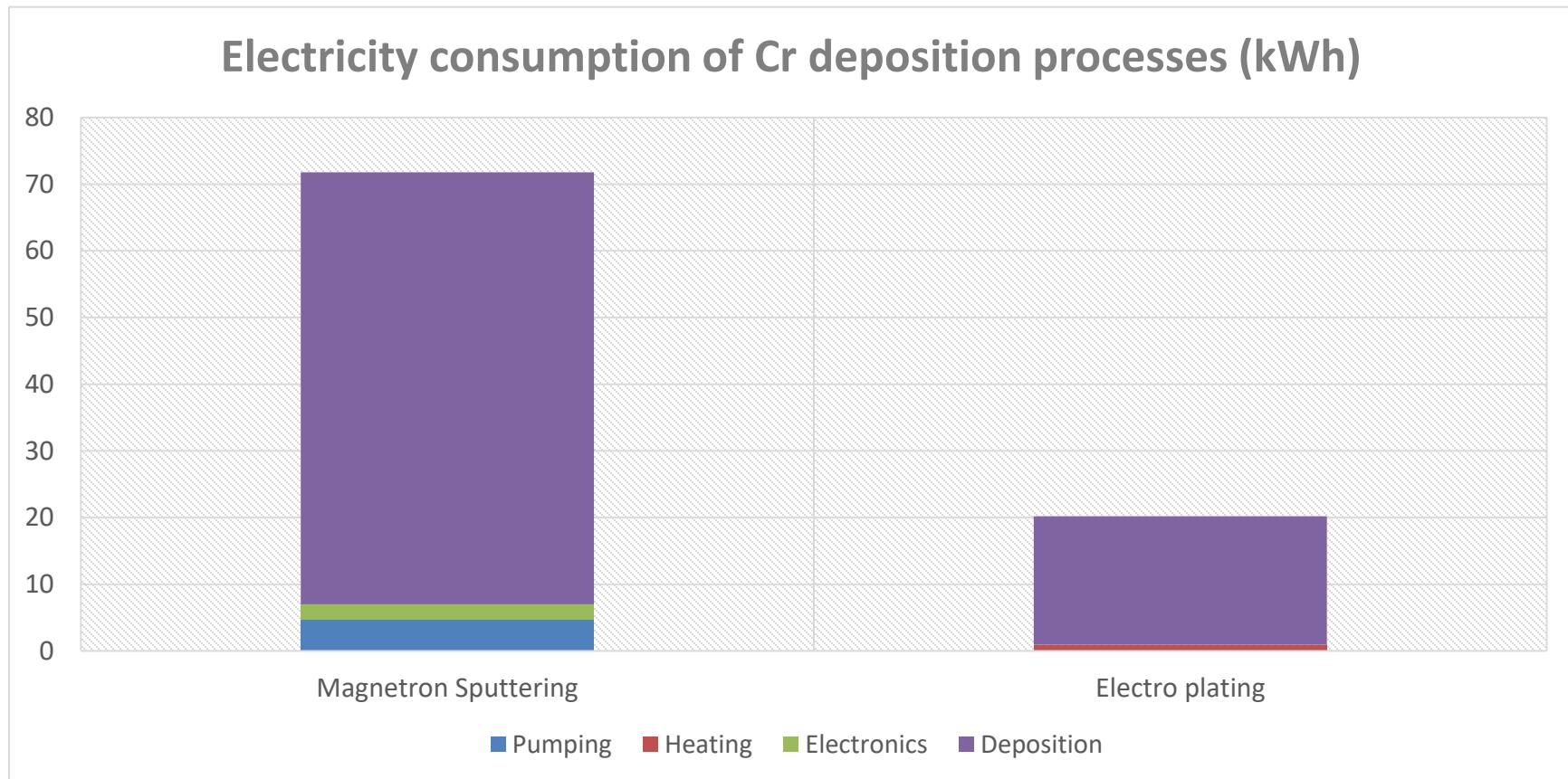
# Considerations on energy consumption

- Pumping is not a negligible part of energy consumption
- High energy needed to maintain plasma and sputtering
- Depending on the country's electricity mix, impacts can vary



*Example of energy repartition in a lab/experimental apparel  
(Process Not Optimised)*

# Energy consumption example: Cr film



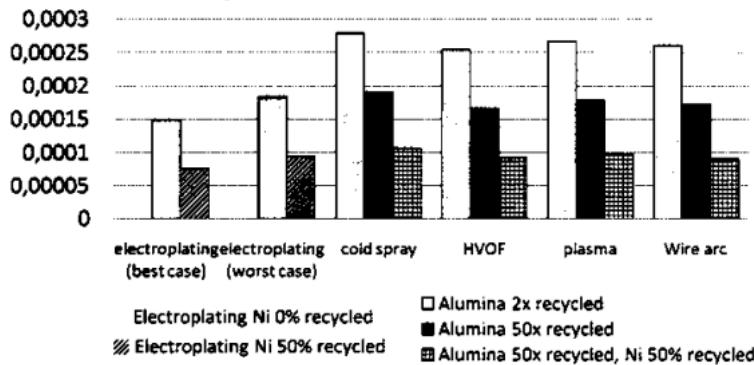
Functional unit : 20µm Cr film on 1 m<sup>2</sup>

# Possible improvements

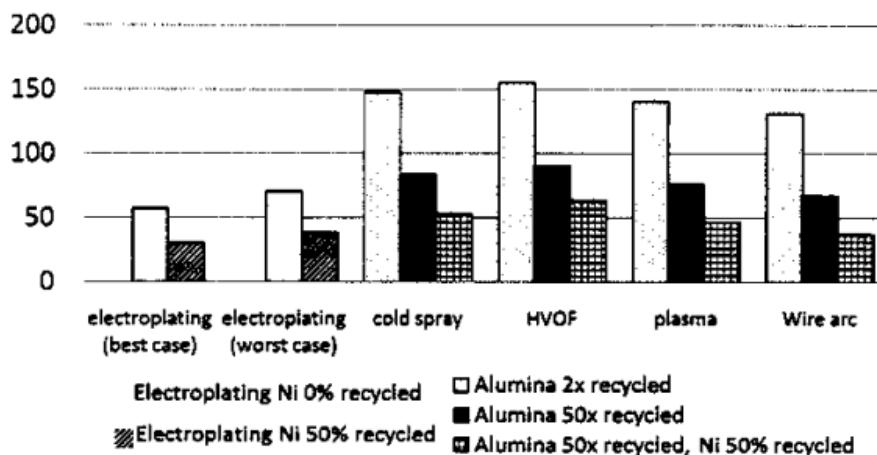
- Reduce metal/energy consumption and impact
  - Careful chamber design : adapted pumping system, magnetic field to increase flow from target to substrate, ...
  - Use of recycled materials or more abundant elements for the targets to limit impact
  - Improvements to deposition rate (target heating,...)
- Enhance film properties to improve efficiency in the use phase (HiPIMS, structured materials, ... )

# Impact mitigation with recycled metal feed

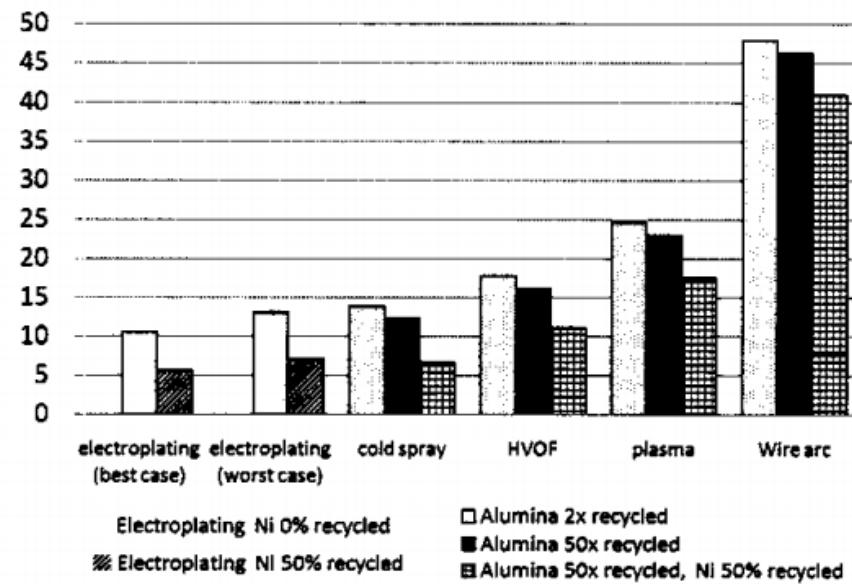
Damages to Human health (DALYs)



Damages to Resources (MJ surplus)

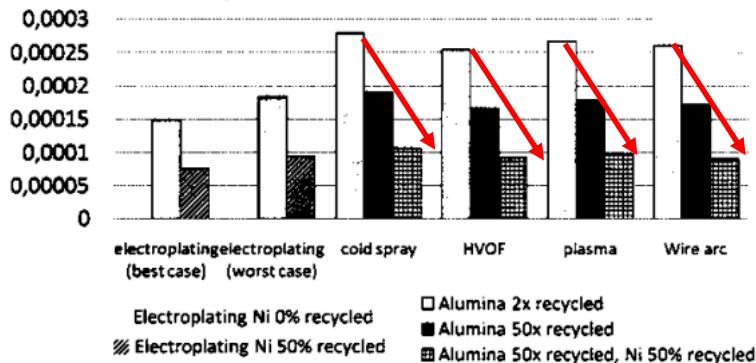


Damages to ecosystems (PDF\*m<sup>2</sup>yr)

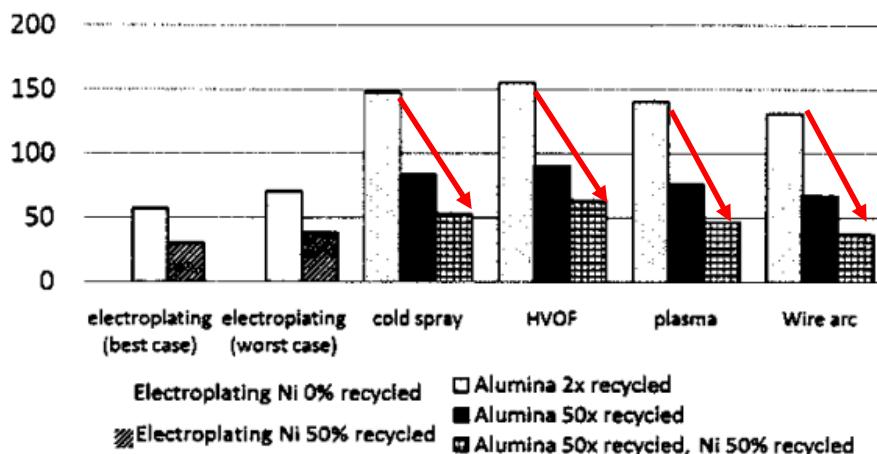


# Impact mitigation with recycled metal feed

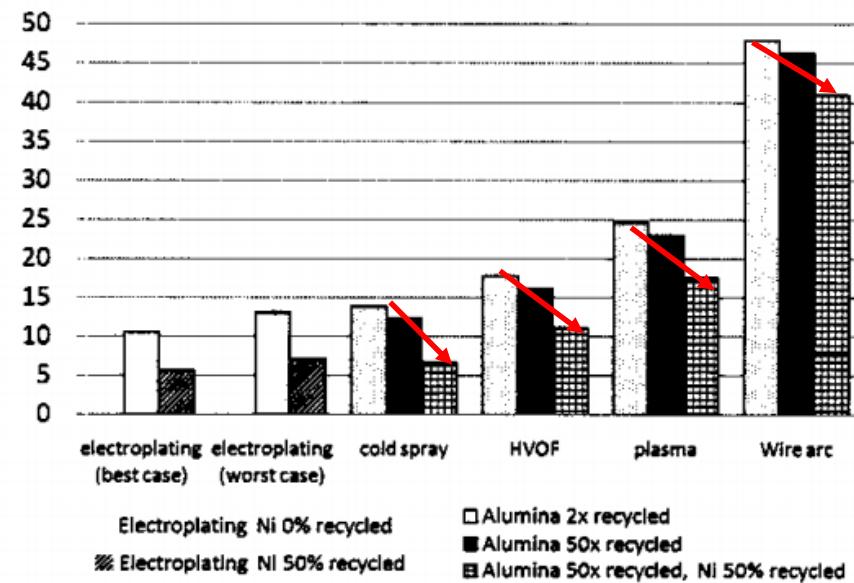
Damages to Human health (DALYs)



Damages to Resources (MJ surplus)



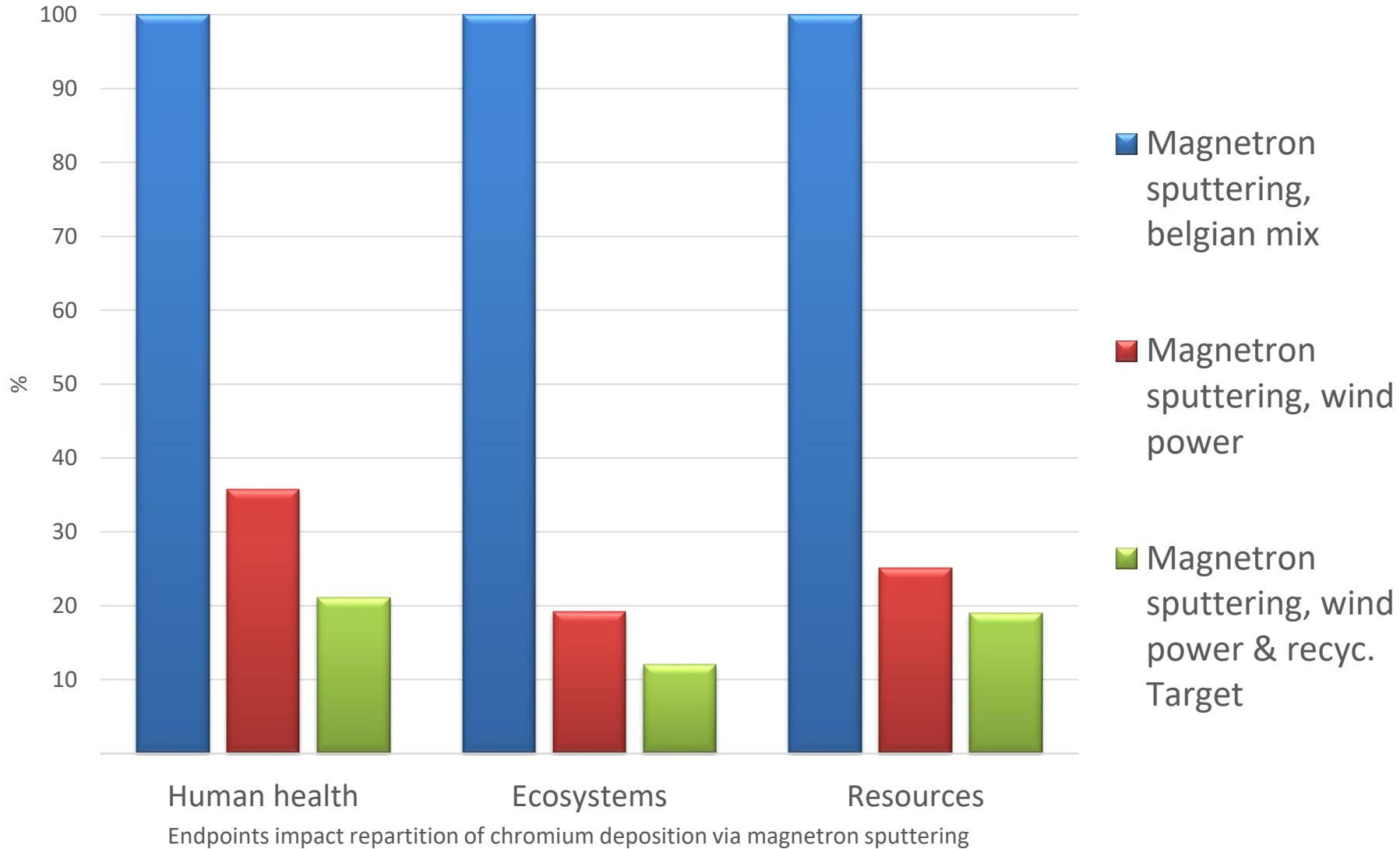
Damages to ecosystems (PDF\*m<sup>2</sup>yr)



# Example applied to chromium deposition (Assumptions)

- Going from belgian mix ( ~50% nuclear, ~25% natural gas, ~15% renewables, ~10% others ) to energy coming fully from wind power, as an extreme example
- Use of recycled metallic chromium (recycling is assumed to be ~0.55 times as impactful as production from ore)
- Inventory from assumptions, data from Ecoinvent 3, impacts from ReCiPe 2016 method (Endpoints, humanitarian)

# Potential mitigation: chromium deposition



# Conclusions

- LCA : powerful tool for environmental decision making
- For MS practitionners :
  - Prefer abundant, low-impact elements
  - Thinner films = lower impact
  - Optimise pumping, and chamber design
- Need for a metal recovery loop implementation
- Software for MS modelling could help make environmental decision by incorporation LCA directly

# Perspectives

- Combine LCA with other assessment techniques (Techno-Economic analysis and social assessment p.e.)
- Study the use phase to make a more nuanced study
- Assess metal production in a more accurate way, and include recycling
- Apply the assessment techniques to the materials and techniques studied by PULSATEC partners

# Thank you for your attention !

Any questions?

