

1 **Simultaneous determination of 14 bioactive citrus flavonoids**  
2 **using thin-layer chromatography combined with surface**  
3 **enhanced Raman spectroscopy**

4 Yuzhi Li<sup>a,c</sup>, Chengying Zhao<sup>a</sup>, Chang Lu<sup>a</sup>, Shuaishuai Zhou<sup>a</sup>, Guifang Tian<sup>a</sup>, Lili He<sup>b</sup>,  
5 Yuming Bao<sup>a</sup>, Marie-Laure Fauconnier<sup>c</sup>, Hang Xiao<sup>b,\*</sup>, Jinkai Zheng<sup>a,\*</sup>

6 <sup>a</sup> Institute of Food Science and Technology, Chinese Academy of Agricultural Sciences,  
7 Beijing 100193, China

8 <sup>b</sup> Department of Food Science, University of Massachusetts, 100 Holdworth Way,  
9 Amherst, MA 01003, USA

10 <sup>c</sup> Laboratory of Chemistry of Natural Molecules, Gembloux Agro-Bio Tech, University  
11 of Liege, Gembloux 5030, Belgium

12 \* Corresponding author: Jinkai Zheng, Tel: (086)-010-62819501, Fax: (086)-010-  
13 62819501; Hang Xiao, Tel: (413) 545 2281, Fax: (413) 545 1262.

14 *E-mail address:* [zhengjinkai@caas.cn](mailto:zhengjinkai@caas.cn); [hangxiao@foodsci.umass.edu](mailto:hangxiao@foodsci.umass.edu).

15

16 **Abstract**

17 Citrus flavonoids consist of diverse analogs and possess various health-promoting  
18 effects dramatically depending on their chemical structures. Since different flavonoids  
19 usually co-exist in real samples, it's necessary to develop rapid and efficient methods  
20 for simultaneous determination of multiple flavonoids. Herein, thin layer  
21 chromatography combined with surface enhanced Raman spectroscopy (TLC-SERS)  
22 was established to simultaneously separate and detect 14 main citrus flavonoids for the  
23 first time. These target compounds could be characterized and discriminated when  
24 paired with SERS at 6-500 times greater the sensitivity than TLC alone. TLC-SERS  
25 exhibited high recovery rates (91.5-121.7%) with relative standard deviation (RSD)  
26 lower than 20.8%. Moreover, the established TLC-SERS method was successfully used  
27 to simultaneously detect multiple flavonoids in real samples, which exhibited  
28 comparable accuracy to high performance liquid chromatography (HPLC) with shorter  
29 analytical time (10 vs 45 min). All the results demonstrated that this could be a  
30 promising method for simultaneous, rapid, sensitive and accurate detection of  
31 flavonoids.

32 **Keywords:** citrus flavonoids, simultaneous determination, thin layer chromatography,  
33 surface-enhanced Raman spectroscopy, HPLC.

34 **Chemical compounds studied in this article**

35 Tangeretin (PubChem CID: 68077); 5-demethyltangeretin (PubChem CID: 96539);

36 nobiletin (PubChem CID: 72344); 5-demethylnobiletin (PubChem CID: 358832);

37 naringenin (PubChem CID: 932); hesperetin (PubChem CID: 72281); naringin

38 (PubChem CID: 442428); hesperidin (PubChem CID: 10621).

## 39 **1 Introduction**

40 Flavanones and polymethoxyflavones (PMFs) are the two major flavonoids in citrus  
41 fruits, especially in their peels. Citrus flavanones, a class of polyphenolic flavonoids,  
42 usually exists as glycoside forms including naringin and hesperidin, which are the most  
43 abundant in citrus fruit. They could be converted to their aglycones, namely naringenin  
44 and hesperetin (Chen et al., 2018). PMFs, existing exclusively in citrus fruits, are a  
45 unique class of flavonoids with two or more methoxyl functional groups (Li, Lo & Ho,  
46 2006). The diversity of PMFs could be contributed to the multiple substituents of the  
47 aromatic ring like hydrogen, hydroxyl and methoxyl groups. A number of studies have  
48 reported that citrus flavonoids possess various beneficial biological functions such as  
49 anticancer (Surichan, Arroo, Ruparelia, Tsatsakis & Androutsopoulos, 2018), anti-  
50 inflammatory (Liu, Han, Zhao, Zhao, Tian & Jia), antiatherosclerosis (Kenji, Natsumi,  
51 Tai-Ichi & Toshihiko, 2013), antioxidation (Sundaram, Shanthi & Sachdanandam,  
52 2015), anti-viral (Dai et al., 2019), neuroprotection (Chitturi, 2019), among others.  
53 Notably, the chemical structures dramatically determine the bioactivities, and different  
54 substituents could lead to significant bioactivity variation. For example, hydroxylated  
55 PMFs (OH-PMFs), which are formed with hydroxyl groups replacing methoxyl groups  
56 or hydrogen of PMFs, exhibit stronger bioactivities than their corresponding parent  
57 compounds depending on the structural requirements for optimal active sites (Duan et  
58 al., 2017; Li, Hong, Guo, Hui & Ho, 2014; Zheng et al., 2013). However, in most cases,  
59 citrus flavonoid analogs exist simultaneously in real samples. It is thus necessary to

60 develop quick and efficient methods for simultaneous differentiation of citrus  
61 flavonoids.

62 Many methods have been established successfully to analyze citrus flavonoids, such  
63 as HPLC-UV (Han, Kim & Lee, 2012; Sayuri, Suwa, Fukuzawa & Kawamitsu, 2011),  
64 HPLC-electrochemical detection (ECD) (Li, Pan, Lai, Lo, Slavik & Ho, 2007; Zheng  
65 et al., 2015), ultra-performance liquid chromatography (UPLC) (Fayek, 2019; Zhao,  
66 2017), LC-MS (Cho, Su, Sun, Mi & Hong, 2014; Lin, Li, Ho & Lo, 2012), and GC-MS  
67 (Stremple, 2015). HPLC-UV was the most widely used method, especially for  
68 quantitative analyses, and the limit of detection (LOD) was reported to be as low as  
69 0.02 µg/mL for naringenin (Lin, Hou, Tsai, Wang & Chao, 2014). In our previous study,  
70 HPLC-ECD was established as a sensitive and selective technique with lower LOD  
71 values of 0.8-3.7 ng/mL OH-PMFs (Zheng et al., 2015). UPLC benefits from a shorter  
72 run time than HPLC which can achieve the detection of 16 flavonoids with LODs less  
73 than 0.72 µg/mL within 9 min (Zhao, 2017). LC-MS is one of the most common  
74 analytical methods with the separation capabilities of HPLC and structural  
75 characterization power of mass spectrometry (MS) (Lin et al., 2012; Zheng et al., 2013).  
76 It has been used to separate and analyze citrus flavonoids from various matrix with  
77 LOD value of 0.02-0.23 µg/mL for six PMFs and six OH-PMFs simultaneously (Lin et  
78 al., 2012). Besides, GC-MS is another common analytical method and has been also  
79 used for citrus flavonoid analysis (Stremple, 2015). Although the above methods can  
80 analyze citrus flavonoids sensitively and effectively, they all have certain limitations.

81 For instance, HPLC methods are time-consuming, and require complex and rigorous  
82 pretreatment; ECD is only effective for compounds with oxidation-reduction property;  
83 UPLC is expensive due to the requisite instrument and agents, and difficult to realize  
84 on-site detection; MS is also expensive on account of the instrumentation and  
85 demanding due to the strict run conditions for the operator; while GC-MS requires a  
86 complex derivatization process for citrus flavonoids.

87 Surface-enhanced Raman spectroscopy (SERS) has been proven to be an efficient  
88 analytical tool due to its rapid analytical speed, high sensitivity, signal fingerprinting  
89 capabilities, and non-destructive properties (Wen & Lu, 2016). The Raman signals  
90 could be significantly enhanced due to an electromagnetic field induced by the surface  
91 plasmon resonance and chemical interactions between analyte and substrate (Reguera,  
92 Langer, Jimenez & Liz-Marzan, 2017). In the past few years, SERS has been widely  
93 used in different fields, such as materials science, various engineering disciplines,  
94 medical science, food science and so on (Zheng & He, 2014). Recent studies have also  
95 proven the capacity of SERS for the characterization of citrus flavonoids (Ma, Xiao &  
96 He, 2016; Zhang et al., 2018; Zheng, Fang, Cao, Xiao & He, 2013). However, it remains  
97 difficult to differentiate citrus flavonoid analogs in real samples by virtue of their  
98 similar chemical structures, as well as interference of other components in the complex  
99 matrix. Thin-layer chromatography (TLC) and high performance TLC (HPTLC) are  
100 common separation techniques. Although HPTLC is more stable and accurate than TLC,  
101 and has been reported as an ideal method for fingerprinting studies of plant samples

102 (Meier & Spriano, 2010; Mikropoulou, Petrakis, Argyropoulou, Mitakou, Halabalaki  
103 & Skaltsounis, 2019; Oellig, Schunck & Schwack, 2018), TLC is more commonly used  
104 with several notable advantages, such as low cost and simplicity. However, TLC is  
105 limited in its use for quantitative analysis due to relatively low accuracy. The  
106 combination of TLC and SERS allows separation and subsequent spectral detection of  
107 chemical species from complex matrices, and multiple successful examples of its use  
108 have been reported (Germinario, Garrappa, Dambrosio, Werf & Sabbatini, 2018; Zhu,  
109 Chen, Han, Yuan & Lu, 2017).

110 In this study, TLC-SERS was developed to achieve simultaneous, sensitive and  
111 accurate detection of 14 citrus flavonoids (**Fig. 1A**) for the first time. In order to obtain  
112 better separation and detection efficiency, two-dimensional (2D) TLC was carried out.  
113 The chromatographic elution profile of 14 citrus flavonoids on TLC and characteristic  
114 signatures of SERS spectra were also systematically studied. This study has the  
115 potential to further advance the rapid and efficient determination of different flavonoids  
116 in the citrus industry, as well as other applications for functional foods.

## 117 **2 Materials and methods**

### 118 *2.1 Reagents and chemicals*

119 Vanillin, concentrated sulfuric acid (18.4 M), acetic acid, ethanol, petroleum ether  
120 (PE), acetone (AT), dichloromethane (DCM), methanol (MT), ferric chloride (FeCl<sub>3</sub>),  
121 and hydrochloric acid (11.7 M) were of analytical grade and purchased from Sinopharm  
122 Chemical Reagent Co., Ltd (Beijing, China). Acetonitrile (ACN), tetrahydrofuran (THF)

123 and trifluoroacetic acid (TFA) were of HPLC grade bought from Fisher Scientific.  
124 Normal phase TLC plates (250  $\mu\text{m}$  layer) were bought from Merk kGaA (Darmstadt,  
125 Germany). Silver nitrate (99%) and zinc (99%) were bought from Eastern Chemical  
126 Works (Shanghai, China). Silver (Ag) dendrites were prepared through a displacement  
127 reaction involving zinc and silver nitrate according to our previously published method  
128 (He et al., 2013). Tangeretin (**1**) and nobiletin (**3**) were purchased from Quality  
129 Phytochemicals LLC (Edison, NJ, USA). 5-demethyltangeretin (**2**), 3'-  
130 demethylnobiletin (**4**), 4'-demethylnobiletin (**5**), 3',4'-didemethylnobiletin (**6**), 5-  
131 demethylnobiletin (**7**), 5,3'-didemethylnobiletin (**8**), 5,4'-didemethylnobiletin (**9**) and  
132 5,3',4'-tridemethylnobiletin (**10**), were obtained by multi-steps synthesis we have  
133 reported before (Lin et al., 2012; Zheng et al., 2015). Naringenin (**11**), hesperetin (**12**),  
134 naringin (**13**) and hesperidin (**14**) were purchased from ACROS Organics (New Jersey,  
135 USA). All their purities were up to 98% (HPLC), and their chemical structures have  
136 been elucidated by MS and NMR spectra (Zheng et al., 2013). Ultrapure water was  
137 further purified from deionized water using a Milli-Q system (Millipore, Bedford,  
138 USA).

### 139 *2.2 TLC separation of 14 citrus flavonoid analogs*

140 Compounds **1-12** were dissolved in methanol to 5 mM, and gradient-diluted to 2.5,  
141 1.0, 0.5, 0.1, and 0.05 mM were used for LOD determination on the TLC plate.  
142 Meanwhile, compounds **13** and **14** were prepared in a series of concentration at 1, 0.5,  
143 0.1, 0.05, and 0.01 mM. Two rapid in-situ visualization methods were applied here. The



144 first utilized UV fluorescence at excitation wavelengths of 254 nm and 365 nm. The  
145 second utilized two different TLC visualization reagents. The general visualization  
146 reagent contained 1% vanillin in ethanol with several drops of concentrated sulfuric  
147 acid. The special visualization reagent for compounds with a phenolic hydroxyl group  
148 was prepared with 3% FeCl<sub>3</sub> dissolved in 0.5 M hydrochloric acid solution. Various  
149 elution systems (DCM: MT= 10: 1, 15: 1, 20: 1, 30: 1 and 50: 1; PE: AT= 8: 2, 7: 3, 6:  
150 4, 5: 5, and 4: 6) were conducted. The elution systems of DCM: MT= 20: 1 and PE:  
151 AT= 6: 4 performed relatively high separation efficiency for 14 compounds in 1D TLC  
152 separation. In order to achieve efficient separation, 2D TLC analysis through two  
153 elution systems (DCM: MT at 20: 1 and PE: AT at 6: 4) was carried out. 1% acetic acid  
154 in solution was produced in the DCM: MT system to improve the diffused zone shape.  
155 2 μL of the mixture was loaded onto the bottom-right of thin liquid chromatography  
156 plates (8×8 cm<sup>2</sup>) and eluted with DCM: MT= 20: 1 containing 1% acetic acid, then  
157 rotated to the right and eluted with PE: AT= 6: 4. The time for 2D TLC separation was  
158 about 5 min. The retention factor ( $R_f$ ) value was calculated by measuring the location  
159 of each spot ( $d_c$ , distance from the origin of the plate to the center of the eluted spot)  
160 and the distance from the origin to the solvent front ( $d_s$ ). The  $R_f$  value was calculated  
161 from the  $d_c/d_s$  ratio. The color and LOD value for each sample were also recorded.

### 162 2.3 SERS detection after TLC separation

163 After 2D TLC separation, each spot of a citrus flavonoid from the final TLC plate  
164 was stripped and put into microcentrifuge tubes with 100 μL methanol. After

165 centrifugation (3000 rpm, 2 min), the supernatant was evaporated under vacuum and  
166 dissolved in 10  $\mu\text{L}$  methanol in preparation for detection. The time for these procedures  
167 was about 3 min. Meanwhile, the substrate method for SERS analysis reported in our  
168 previous study was used here (Ma et al., 2016). In brief, 5  $\mu\text{L}$  of Ag dendrites were  
169 deposited onto a glass slide first and air-dried. Then, 2  $\mu\text{L}$  of test sample solution was  
170 deposited on the dried Ag for Raman measurement after drying. SERS detection was  
171 performed on a DXR Raman microscope (HORIBA), facilitated with a 514 nm  
172 excitation laser and a 50 $\times$  objective confocal microscope (2  $\mu\text{m}$  spot diameter and 5  $\text{cm}^{-1}$   
173  $^1$  spectral resolution). The measured condition for each sample was as follows: 3 mW  
174 of laser power, 50  $\mu\text{m}$  slit width for 10 s integration time. Five spots were chosen  
175 randomly for each sample. SERS spectra were collected and analyzed through LabSpec  
176 Application software and TQ Analyst software (v8.0, Thermo Fisher Scientific),  
177 respectively. Data pre-processing algorithms through second-derivative transformation  
178 and smoothing were employed to remove the baseline shift, reduce spectral noise, and  
179 separate overlapping bands. Discriminant analysis of the SERS spectra was determined  
180 by principal component analysis (PCA), obtained according to Ward's algorithm within  
181 1100-1800  $\text{cm}^{-1}$ . Partial least-squares (PLS) analysis was used to quantitative analysis  
182 to predict the sample amount.

#### 183 *2.4 Validation of the TLC-SERS method*

184 The PLS model was evaluated by correlation coefficient (R), root-mean-square error  
185 of calibration (RMSEC), and the root-mean-square error of prediction (RMSEP). The

186 linear ranges were determined when R was above 0.9544, with different samples of  
187 various concentrations distributed between 30–350  $\mu\text{M}$ . The limit of quantitation (LOQ)  
188 value was determined as the lowest concentration among the linear range, with the ratio  
189 of 10: 3 to limit of detection (LOD) value. Recovery rates of the extraction and detection  
190 method were obtained by analyzing known amounts of standard flavonoids (50 and 100  
191  $\mu\text{M}$ , respectively). Precision of detection was determined from the three batches at 50  
192 and 100  $\mu\text{M}$  flavonoid concentration, and expressed as RSD (% , relative standard  
193 deviations).

#### 194 *2.5 HPLC-UV analysis of 14 citrus flavonoids*

195 All the 14 compounds were dissolved in methanol at a final concentration of 1 mM  
196 for the following HPLC analysis. The Ultimate 3000 Series HPLC system (Thermo  
197 Scientific, USA) consisted of a double ternary gradient pump (DGP-3600), and an auto-  
198 sampler (WPS-3000 SL/TSL). Instrument control and data processing were performed  
199 with Chromeleon® 7. Ascentis RP-Amide reversed-phase HPLC column (15 cm $\times$ 4.6  
200 mm id, 3  $\mu\text{m}$ ) (Sigma-Aldrich, MO, USA) using gradient elution with the mobile phase  
201 A: 75% water, 20% ACN and 5% THF; the mobile phase B: 50% water, 40% ACN and  
202 10% THF (pH values of both mobile phases were adjusted to 3.00 using TFA) (Zheng  
203 et al., 2015). The optimal elution gradient program was as follows: 0-5.0 min, 10-50%  
204 B; 5.0-35.0 min, 50% B; 35.0-40.0 min, 100% B; and 40.0-45.0 min, 10% B followed  
205 by a 5 min equilibrium time using the initial gradient between individual runs with 1  
206 mL/min flow rate and 10  $\mu\text{L}$  injection volume (Zheng et al., 2015). An equimolar

207 mixture of all 14 compounds at 50  $\mu$ M was used for HPLC analysis. The UV-vis  
208 scanning for these compounds were set from 190-500 nm using DAD detector. The  
209 wavelength range was divided artificially into two parts, including band I (300-400 nm)  
210 and band II (220-280 nm), caused by the cross-conjugate system with cinnamoyl group  
211 and benzoyl group, respectively. Indeed, 280 nm and 330 nm are characteristic  
212 absorbance wavelength for flavonoids. Here, calibration curves were constructed with  
213 serial dilutions (0.1, 0.5, 1, 5, 10, 20, 40, 80 and 160  $\mu$ M) for each component in the  
214 test solutions under 280 nm for compounds **1-14**.

#### 215 *2.6 Real sample preparation and detection using TLC-SERS and HPLC-UV*

216 Three real samples (orange juice, fresh orange peel, and mice fecal sample fed with  
217 compound **7**) were prepared for TLC-SERS and HPLC-UV analysis. For the fresh  
218 orange juice sample (sample 1), 1 mL of Gannan navel orange juice was taken for later  
219 flavonoids extraction. An equivalent volume of methanol was added to dissolve and  
220 extract flavonoids under ultrasonic bath (80 Hz, 10 min) for three times and combined.  
221 After centrifugation (3000 rpm, 5 min), the supernatant was dried under vacuum, and  
222 finally dissolved in 100  $\mu$ L of methanol for further analysis. Using the same extraction  
223 process, 1 g of orange peel (sample 2) and fecal sample from CF-1 male mice fed with  
224 nobiletin supplementation (500 ppm) for 1 week (sample 3) were also used for  
225 flavonoids extraction, which were finally dissolved in 100  $\mu$ L methanol for further  
226 analysis. For TLC-SERS analysis, the components of flavonoids contained in each  
227 sample were analyzed by  $R_f$  value and SERS characteristic peaks, and the content was

228 calculated according to the standard curve in PLS analysis. For HPLC-UV analysis, the  
229 qualitative and quantitative analysis were carried out based on the retention time, UV-  
230 vis spectroscopy, and standard curve. The detection ability of TLC-SERS for  
231 flavonoids in real samples was evaluated by comparing accuracy, standard variance,  
232 and detection time with HPLC-UV.

### 233 *2.7 Data analysis*

234 All analyses for SERS and HPLC were performed in triplicate at least, and the results  
235 were presented as means  $\pm$  standard deviation of three independent experiments.

## 236 **3 Results and discussion**

### 237 *3.1 TLC separation and analysis of 14 citrus flavonoids*

#### 238 *3.1.1 Separation of citrus flavonoids on normal-phase TLC plate*

239 Were simultaneously separated 14 citrus flavonoids (**Fig. 1A**) on normal-phase TLC  
240 plates, various elution systems were conducted initially as a screen. As a result, the  
241 systems of DCM: MT at 20: 1 and PE: AT at 6: 4 were chosen as the optimal conditions  
242 with relatively high separation efficiency. 1% acetic acid was produced in the DCM/MT  
243 system to eliminate a slight observed tailing effect. Under this condition, the  $R_f$  values  
244 of these citrus flavonoids were from 0 to 0.97 with the sequence as following: **2** (0.97)  
245  $\approx$  **7** (0.95)  $>$  **1** (0.77)  $\approx$  **9** (0.77)  $>$  **8** (0.74)  $\approx$  **3** (0.73)  $>$  **12** (0.70)  $\approx$  **4** (0.69)  $\approx$  **5**  
246 (0.68)  $>$  **11** (0.48)  $>$  **10** (0.45)  $>$  **6** (0.38)  $>$  **13** (0)  $\approx$  **14** (0) (**Table 1**). The  $R_f$  values  
247 could reflect the polarity of the compounds to a large extent, from which it could be  
248 speculated that more hydroxyl functional groups present on the B ring, the more polar

249 it is relatively (**6 vs 4 vs 3**, **10 vs 8 vs 7**, **5 vs 3**, and **9 vs 7**) (Wojtanowski & Mroczek,  
250 2018; Hvattum & Ekeberg, 2003). Interestingly, the demethylation of the 5' position  
251 methoxyl made the compound more hydrophobic which might be due to the formation  
252 of an intra-molecular hydrogen bond between the hydroxyl and the adjacent 4-ketone  
253 carbonyl groups (**2 vs 1**, **7 vs 3**, **8 vs 4**, **9 vs 5**, and **10 vs 6**) (Wojtanowski & Mroczek,  
254 2018). Although some could be separated significantly, compounds **2** and **7**, **3** and **8**, **1**  
255 and **9**, as well as compounds **4**, **5**, and **12** could not be separated from each other  
256 efficiently (**Fig. 1B**). Since the  $R_f$  value may vary in different elution systems, another  
257 system composed of mixed aprotic solvents was also tested to achieve better separation.  
258 Improved separation of compounds **2** (0.62) and **7** (0.57), **1** (0.53) and **9** (0.51), **3** (0.46)  
259 and **8** (0.48), and **4** (0.36), **5** (0.38), and **12** (0.42) was achieved with the elution using  
260 PE: AT= 6: 4 (**Fig. 1C**). However, it was still not efficient enough. Therefore, 2D-TLC  
261 with the two elution systems above was further carried out. As a result, all compounds,  
262 except compounds **13** and **14** could be separated efficiently and differentiated,  
263 demonstrating the high efficiency of the 2D-TLC separation for simultaneous  
264 separation of multiple citrus flavonoids (**Fig. 1D**).

### 265 *3.1.2 Visualization and LOD values of citrus flavonoids on TLC plate*

266 UV fluorescence (254 nm and 365 nm) and visual staining (vanillin- $H_2SO_4$  and  
267  $FeCl_3-HCl$ ) were used here. All showed similar UV fluorescence response under 254  
268 and 365 nm due to their shared flavonoid skeletal structure. As shown in **Table 1**, all  
269 of the compounds exhibited the same inactivity under excitation at 254 nm, shown as a

270 dark spot. Under 365 nm excitation, flavonoids with C5-OMe on the A ring  
271 (compounds **1**, **3**, **4**, **5**, and **6**) and flavanone aglycones (compounds **13** and **14**) reacted  
272 as a bright spot, while the other flavonoids (compounds **2**, **7**, **8**, **9**, **10**, **11** and **12**)  
273 exhibited no activity at the emissions screened as a dark spot. The LOD of the 14  
274 compounds under 254 nm fluorescence ranged from 0.5 to 2.5 mM, while 0.1 to 2.5  
275 mM under 365 nm. For visual staining, all the PMFs and OH-PMFs exhibited yellow  
276 color, while flavanones cannot be detected by vanillin-H<sub>2</sub>SO<sub>4</sub> stain. These results  
277 demonstrated the necessity of CH=CH at C2 for differentiation. As shown in **Table 1**,  
278 only flavonoids with hydroxyl groups could be detected by FeCl<sub>3</sub> visual staining, and  
279 the color was in proportion to the number and position of hydroxyl groups in the  
280 polyphenol. Flavones with C5-OH exhibited darker color (compounds **2**, **7-10** vs **4-6**),  
281 which indicated that the hydroxyl group at C5 site plays a major role in FeCl<sub>3</sub> visual  
282 staining. It might be attributed to the stronger reducing ability of hydroxyl group at C5  
283 site. Compounds **13** and **14** had a lower LOD value (1 mM) under FeCl<sub>3</sub> visual staining  
284 compared to other compounds (5 mM), which might be due to the presence of more  
285 hydroxyl groups. All the features could be used to identify and differentiate different  
286 citrus flavonoids.

### 287 *3.2 SERS qualitative and quantitative detection after TLC separation*

#### 288 *3.2.1 Qualitative detection of citrus flavonoids by TLC-SERS*

289 Second-derivative transformation was applied to average raw SERS spectra (N= 5)  
290 to separate overlapping bands and remove baseline shifts, which makes characteristic

291 peaks in SERS spectra easily recognizable (**Fig. 2A**) (Ma et al., 2016; Zhang et al.,  
292 2018; Zheng et al., 2013). In general, most of the citrus flavonoids showed similar  
293 spectra below  $1000\text{ cm}^{-1}$  owing to the similar skeletal structure. The characteristic peaks  
294 were mainly at  $1550\text{-}1650\text{ cm}^{-1}$  (assigned to C=O stretch) and  $1100\text{-}1500\text{ cm}^{-1}$   
295 (assigned to different O-H bend) (**Table S1**) (Huang & Chen, 2018; Sanchez-Cortes &  
296 Garcia-Ramos, 2000; Zaffino, Bedini, Mazzola, Guglielmi & Bruni, 2016). In  
297 accordance with chemical structures, the 14 flavonoids could be divided into four  
298 categories as tangeretin analogs (compounds **1** and **2**), nobiletin analogs (compounds  
299 **3-6**), 5-demethylnobiletin analogs (compounds **7-10**), and flavanone analogs  
300 (compounds **11-14**) through SERS spectra. The bands at  $1650\text{-}1700\text{ cm}^{-1}$ , contributed  
301 from C(H)-C(H), could significantly distinguish the flavanones from the other three  
302 analogs. The flavonoids with two substituent groups on their B ring possessed marked  
303 bands at  $1330\text{-}1430\text{ cm}^{-1}$ , which belonged to OH bend (ip), C3'-OH and C4'-OH bend  
304 (ip), and could differentiate nobiletin and 5-demethylnobiletin analogs from tangeretin  
305 analogs. For tangeretin analogs, the  $1542\text{ cm}^{-1}$  peak was mainly from the C=O stretch  
306 in combination with ring quinoidal stretches of compound **1**, with a  $1577\text{ cm}^{-1}$  peak for  
307 compound **2**. The peaks of C-H bend could also be used to distinguish compounds **1**  
308 ( $1458\text{ cm}^{-1}$ ) and **2** ( $1443$  and  $1537\text{ cm}^{-1}$ ). Nobiletin analogs with a methoxyl group on  
309 C5 had SERS bands at  $1330\text{-}1350\text{ cm}^{-1}$  corresponded to the C-H bend (ip), and it could  
310 be obviously distinguished from 5-demethylnobiletin analogs through bands at  $1350\text{-}$   
311  $1375\text{ cm}^{-1}$  of OH bend (ip) C5 hydroxyl, as well as  $1130\text{-}1150\text{ cm}^{-1}$  of 5-OH bend. The



312 appearance of bands at 1400-1450  $\text{cm}^{-1}$  of C3'-OH and C4'-OH bend (ip) could be  
313 considered as the characteristic peaks for each compound. For flavanone analogs, the  
314 band at 1221  $\text{cm}^{-1}$  belonged to  $\nu(\text{C-H})$  of  $\text{CH}_3$  could be used to distinguish hesperetin  
315 analogs (compounds **12** and **14**) from naringenin analogs (compounds **11** and **13**).  
316 However, using SERS alone, it is difficult to differentiate glucosides and the  
317 corresponding aglycones such as compounds **11/13** and **12/14**, respectively. Due to the  
318 working separation of TLC, an efficient detection was possible for all compounds  
319 except **11/13** and **12/14** with the combination of TLC and SERS. PCA was further used  
320 to verify the discrimination ability of SERS. The four kinds of analogs (tangeretin,  
321 nobiletin, 5-demethylnobiletin, and flavanone analogs) clustered together (**Fig. 2B**).  
322 The PCA results demonstrated that the potential capacity of SERS to distinguish  
323 different citrus flavonoids even those of similar chemical structure.

### 324 *3.2.2 Quantitative analysis of citrus flavonoids by TLC-SERS*

325 During the quantitative analysis, the SERS signal intensity was observed to increase  
326 along with the concentration from 30 to 350  $\mu\text{M}$ . The peaks at 1604, 1636, 1553, 1559,  
327 1555, 1546, 1631, 1620, 1629, 1609, 1126, 1550, 1131 and 1546  $\text{cm}^{-1}$  were chosen for  
328 LOQ and PLS analysis of compounds **1-14**, respectively. As shown in **Table 2**, the  
329 LOQ values for compounds **1-4** and **8** were 50  $\mu\text{M}$ , which were slightly higher than the  
330 other compounds (30  $\mu\text{M}$ ). Based on these, the LOD could be determined as about 16.7  
331  $\mu\text{M}$  for compounds **1-4** and **8**, and 10  $\mu\text{M}$  for the others, which were 6-500 times lower  
332 than those determined by TLC visualization. The quantification ability of the method

333 was further investigated by PLS. The relationship between the predicted concentration  
334 and actual concentration with R, RMSEC and RMSEP was found to be 0.9544-0.9962,  
335 5.5-32.8 and 12.9-39.6, respectively. The relatively low value for RMSEC and RMSEP,  
336 and high value for R (close to 1), demonstrated the reliability of SERS for quantitative  
337 analysis using the PLS calibration curve based on the characteristic peaks (**Fig. 2C**). In  
338 short, TLC-SERS detection had lower LOD values for flavonoids than other normal  
339 TLC visualization methods. At the same time, fingerprinting was used prior to TLC for  
340 qualitative analysis. With the combination of TLC and SERS, simultaneous separation  
341 and detection of all the 14 citrus flavonoid analogs could be achieved. For TLC-SERS  
342 method, recovery rates of the extraction and detection method ranged from 91.5 to  
343 121.7 with RSD  $\leq$  20.8 for all 14 flavonoids at 50 and 100  $\mu$ M (**Table 2**), indicating  
344 that influences from extraction to quantitation was unneglectable, but still acceptable.  
345 The precision was expressed as RSD between 1.5% and 11.8%, which demonstrated  
346 the good reproducibility of the method established in this study. Additionally, TLC-  
347 SERS showed the potential to be a rapid and efficient method for analysis of citrus  
348 flavone analogs from complex matrices based on the efficient separation of TLC and  
349 the high sensitivity of SERS.

### 350 *3.3 HPLC-UV analysis of 14 citrus flavonoids*

#### 351 *3.3.1 HPLC separation of citrus flavonoids*

352 HPLC has been considered as the golden standard analytical method for a wide array  
353 of chemical compounds. In order to evaluate the established TLC-SERS method, 14

354 citrus flavonoids were ran simultaneously on HPLC. As a result, all the compounds  
355 could be separated under the tested elution gradient profile except for compounds **1** and  
356 **11 (Fig. 3A)**. Similar to  $R_f$  value, the retention time could also be used to speculate the  
357 polarity of the 14 compounds. As one would expect, substituent groups including the  
358 group type (hydroxyl or methoxyl group) and position (5-, 3'-, and/or 4'-position) had  
359 an observed effect on the retention time. In general, demethylation increased the  
360 polarity, and 3'-demethylation was more effective than 4'-demethylation (compounds  
361 **4/5** and **8/9**). In addition, compounds became more polar after C3'-H substitution by -  
362 OMe (compounds **1/3**). However, demethylation at C5 caused an obvious decrease of  
363 the polarity, which might be due to the formation of an intra-molecular hydrogen bond  
364 between hydroxyl and the adjacent 4-ketone carbonyl (**2 vs 1**, **7 vs 3**, **8 vs 4**, **9 vs 5**, and  
365 **10 vs 6**). The results were roughly consistent with TLC analysis, despite that the polarity  
366 sequence of a few compounds were not coincident with each other. This might due to  
367 the different absorption capacity between compounds and chromatographic matrix  
368 (Eric, 2008). Quantitative analysis was also carried out via absorption at 280 nm. As  
369 shown in **Table S2**, all the 14 citrus flavonoids had good linear relationships in the  
370 range of 5-160  $\mu\text{M}$  with R values higher than 0.9995. The LOD values were 1.5  $\mu\text{M}$  for  
371 compounds **7** and **10**, and 0.3  $\mu\text{M}$  for others, indicating higher sensitivity of HPLC for  
372 the 14 flavonoids in contrast with TLC-SERS. Although various elution systems were  
373 attempted, compounds **1** and **11** still could not be separated simultaneously.

### 374 *3.3.2 UV adsorption of 14 citrus flavonoids*

375 The UV adsorption spectra from 190 nm to 500 nm were also investigated to  
376 differentiate 14 citrus flavonoids (**Fig. 3E**). They could be divided artificially into two  
377 parts: band I (300-400 nm) and band II (220-280 nm), which were caused by the cross-  
378 conjugate system with the cinnamoyl group and benzoyl group, respectively. Generally,  
379 both band I and II were exhibited in PMFs and OH-PMFs UV spectra, while only band  
380 II was present for flavanones due to the lack of conjugation of cinnamoyl. In detail, the  
381 higher the degree of oxygen substitution on ring B, the higher the red shift of band I (**1**,  
382 **2 vs 3-10**). Substituents of -OH/-OMe made the band I red-shift which might due to the  
383 p- $\pi$  conjugation between the substituents and benzoyl. Furthermore, the electron-  
384 donating effect of -OH was stronger than -OMe, as a result, red shift was also caused  
385 by demethylation (**4 vs 5 vs 6**, and **7 vs 8 vs 9**). However, the effects of demethylation  
386 positions on the red-shift phenomena were different. Demethylation at C5 made band I  
387 red shift the most, followed by 4'-demethylation, and then 3'-demethylation. As for  
388 band II, 5-demethylation led to red shift while demethylation at 3'- and 4'- position led  
389 to blue shift. Moreover, the band II changed from a single peak to cross peak if there  
390 were two or more oxygen substitutions on ring B (**1, 2 vs 3-10**). For flavanone analogs,  
391 band I disappeared due to the lack of cinnamoyl conjugation system. Thus, band II was  
392 used as characteristic absorption band for PMFs UV analysis. Although the UV  
393 absorption features differs from each other depending on the chemical structures, it is  
394 difficult to be used for the identification of different compounds without standards. In

395 this respect, TLC-SERS might be preferred for the simultaneous analysis of flavonoids  
396 in real samples.

#### 397 *3.4 Determination of citrus flavonoids in real samples*

398 Three real samples which might contain multiple citrus flavonoids were used here to  
399 further evaluate the efficiency of the established TLC-SERS method. For orange juice  
400 sample, the extracts were analyzed with 2D TLC according to the above conditions,  
401 and three main spots were screened on TLC plates with similar  $R_f$  values to compounds  
402 **1**, **3**, **13/14** respectively. Then, the separated compounds were subjected to further  
403 qualitative and quantitative analyses based on the SERS characteristic peaks and PLS  
404 calibration curves. They were confirmed to be compounds **1**, **3**, **13** and **14** with the  
405 contents of 3.9, 46.0, 87.8 and 169.4  $\mu\text{g/mL}$ , respectively (**Table 3**), which were  
406 consistent with a previous report for dried citrus peel extraction research (Zhang et al.,  
407 2019). Similarly, compounds **1**, **3**, **13** and **14** were also detected in the orange peel  
408 sample (sample 2) with the contents of 43.2, 212.5, 467.1 and 986.5  $\mu\text{g/g}$ , respectively.  
409 For sample 3, depending on the  $R_f$  value, four compounds were recognized and  
410 determined to be compounds **7-10**—the *in vivo* metabolites of 5-demethylnobiletin  
411 (Zheng et al., 2013). The “fingerprint” information from SERS spectra further  
412 confirmed the four components with the concentrations of 34.6, 3.7, 11.1, and 29.3  $\text{ng/g}$ ,  
413 respectively. The citrus flavonoids contained in the three samples were also analyzed  
414 through HPLC-UV. As shown in **Table 3**, the results were consistent with TLC-SERS  
415 with a deviation within 2.4% and 25.9%, which indicated that the detection efficiency

416 of the established TLC-SERS method for citrus flavonoids was comparable to a “gold-  
417 standard” analytical method, HPLC-UV. Considering that the total analytical time for  
418 TLC-SERS was only about 10 minutes (5 min for TLC separation, 3 min for sample  
419 recovery after TLC separation, and 2 min for SERS detection), but up to 45 minutes for  
420 HPLC analysis, the TLC-SERS method established here could be a preferred method  
421 for rapid, sensitive, and efficient simultaneous detection of citrus flavonoids or other  
422 functional components from complex samples. This method could be applied for the  
423 rapid, sensitive and efficient simultaneous detection of citrus flavonoids even other  
424 components from real samples, for example functional components from fruits and  
425 vegetables, the content and yield of functional components during extraction or  
426 processing, and metabolites and health markers in biological experiments and so on.

#### 427 **4 Conclusion**

428 In summary, TLC-SERS was established for simultaneous detection of 14 citrus  
429 flavonoids for the first time. It was proven that 2D TLC eluted with DCM: MT at 20: 1  
430 and PE: AT at 6: 4, could achieve efficient separation for most, if not all, of the target  
431 compounds. SERS with “fingerprint” properties was further used to differentiate and  
432 identify each compound after TLC separation. As a result, TLC-SERS was successfully  
433 established to characterize and distinguish all the 14 citrus flavonoids with similar  
434 chemical structures and physicochemical properties, which exhibited significantly  
435 higher sensitivity (LOD values 10.0-16.7  $\mu\text{M}$ ) than TLC analysis (LOD values 0.1-5.0  
436 mM). More importantly, the detection efficiency for citrus flavonoids from real samples

437 was comparable to HPLC with low deviation (2.4-25.9%). Along with short analytical  
438 time, the TLC-SERS method established here could be a promising method to achieve  
439 simultaneous, sensitive and accurate detection of flavonoids in real samples. It would  
440 further advance the rapid and efficient determination of different flavonoids in citrus  
441 industry, as well as other applications in functional foods.

#### 442 **Conflicts of interest**

443 The authors declare no competing financial interest.

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#### 449 **Supporting information**

450 HPLC-UV quantitative results of 14 citrus flavonoids and their corresponding modes  
451 of 14 citrus flavonoids on SERS spectra.

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602 **Figure captions**

603 **Fig. 1** (A) Chemical structures of 14 major citrus flavonoids; (B) TLC separation eluted  
604 with DCM: MT= 20: 1 containing 1% acetic acid; (C) TLC separation eluted  
605 with PE: AT= 6: 4; (D) 2D separation eluted with DCM: MT= 20: 1 containing  
606 1% acetic acid and PE: AT= 6: 4 subsequently ( $R_f$ , retardation factor value;  
607 DCM, dichloride methylene; MT, methanol; PE, petroleum ether; AT, acetone;  
608 M, mixtures of compounds **1-14**).

609 **Fig. 2** SERS analysis ( $1100-1800\text{ cm}^{-1}$ ) after TLC separation of 14 citrus flavonoids.  
610 (A) The second-derivative SERS spectra; (B) PCA discrimination; (C) PLS  
611 analysis (compound **3** as an example).

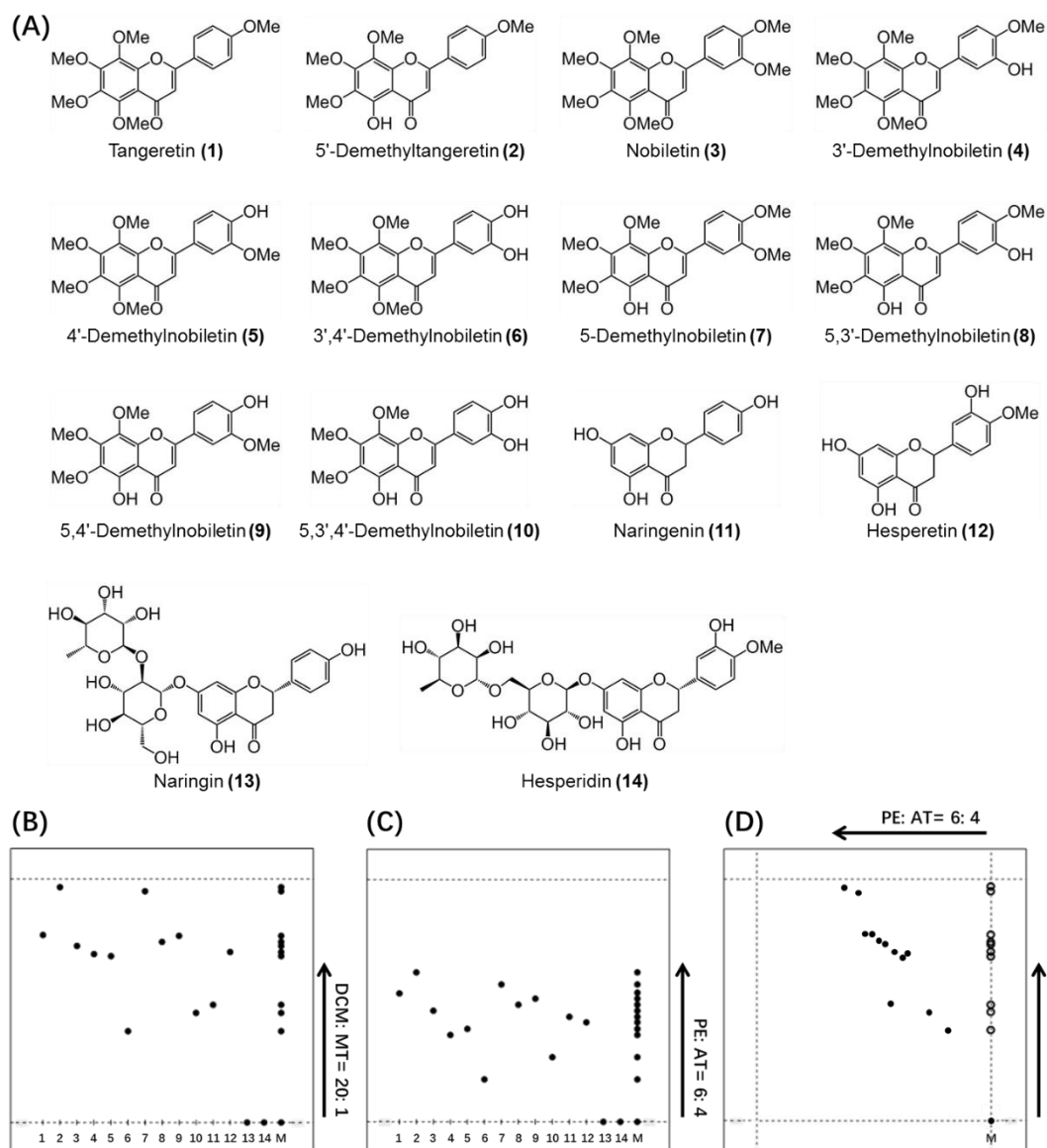
612 **Fig. 3** HPLC profiles (UV detector, 280 nm) of fresh orange juice sample (A), fresh  
613 orange peel sample (B), mice fecal sample fed with compound **7** (C) and mixture  
614 of 14 citrus flavonoid standards (D), and UV absorbance (190-500 nm) of 14  
615 citrus flavonoids after HPLC separation (E).

616 **Table 1**  $R_f$  value, visualization color and limit of detection of 14 citrus flavonoids on  
617 TLC plate ( $R_f$ , retardation factor value; DCM, dichloride methylene; MT,  
618 methanol; PE, petroleum ether; AT, acetone).

619 **Table 2** Limit of quantitation, linearity, recovery rate and detection accuracy of TLC-  
620 SERS analysis for 14 citrus flavonoids.

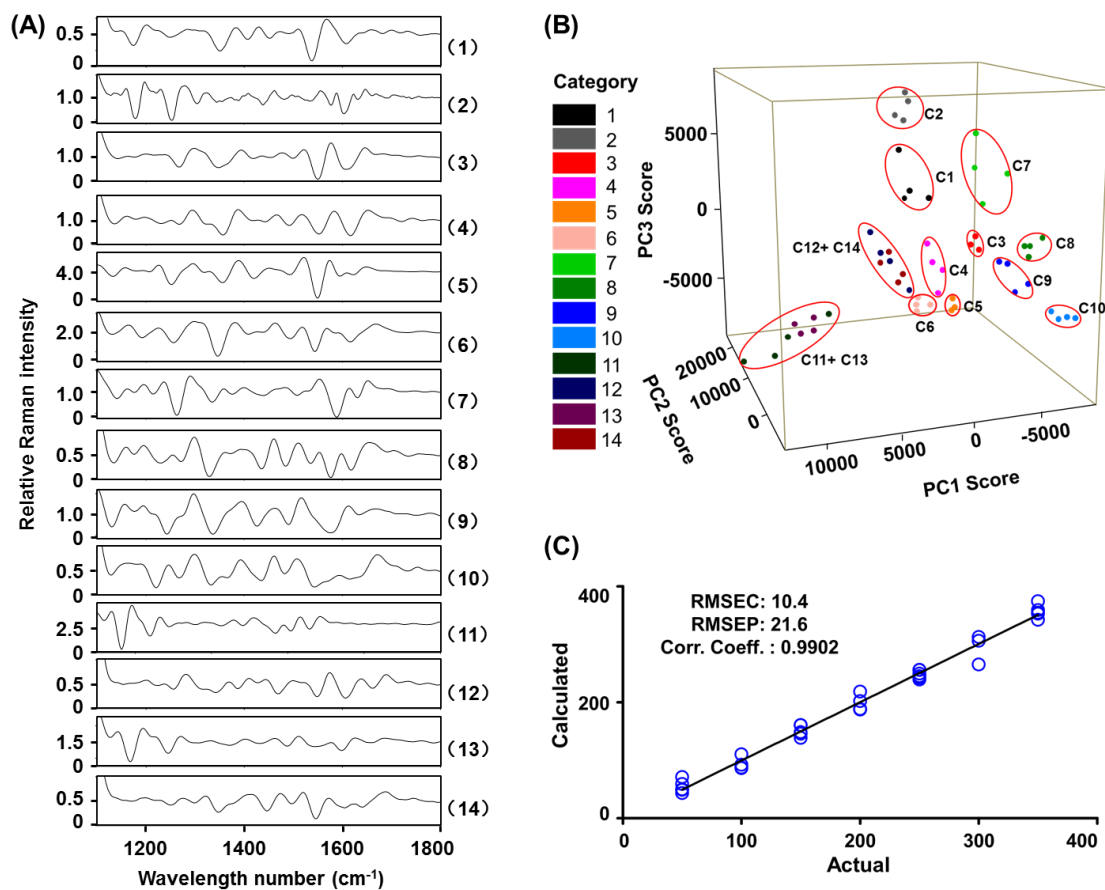
621 **Table 3** Determination of citrus flavonoids in three real samples using TLC-SERS and  
622 HPLC-UV methods (fresh orange juice sample, fresh orange peel sample, and  
623 mice fecal sample fed with compound **7**, respectively).





624

625 **Fig. 1** (A) Chemical structures of 14 major citrus flavonoids; (B) TLC separation eluted  
 626 with DCM: MT= 20: 1 containing 1% acetic acid; (C) TLC separation eluted  
 627 with PE: AT= 6: 4; (D) 2D separation eluted with DCM: MT= 20: 1 containing  
 628 1% acetic acid and PE: AT= 6: 4 subsequently ( $R_f$ , retardation factor value;  
 629 DCM, dichloride methylene; MT, methanol; PE, petroleum ether; AT, acetone;  
 630 M, mixtures of compounds **1-14**).

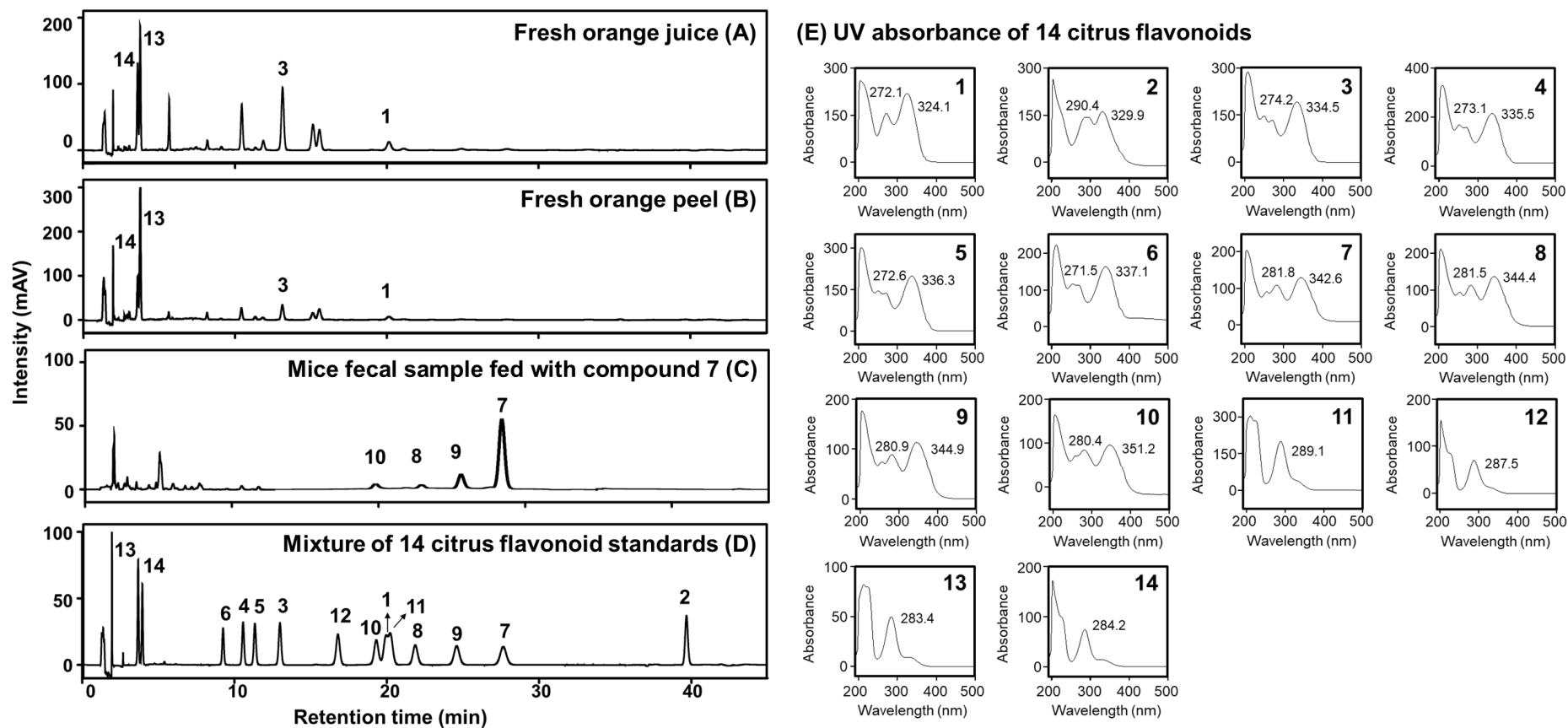


631

632 **Fig. 2** SERS analysis (1100-1800 cm<sup>-1</sup>) after TLC separation of 14 citrus flavonoids.

633 (A) The second-derivative SERS spectra; (B) PCA discrimination; (C) PLS

634 analysis (compound **3** as an example).



635 **Fig. 3** HPLC profiles (UV detector, 280 nm) of fresh orange juice sample (A), fresh orange peel sample (B), mice fecal sample fed with compound  
 636 7 (C) and mixture of 14 citrus flavonoid standards (D), and UV absorbance (190-500 nm) of 14 citrus flavonoids after HPLC separation  
 637 (E).

638 **Table 1** R<sub>f</sub> value, visualization color and limit of detection of 14 citrus flavonoids on TLC plate (R<sub>f</sub>, retardation factor value; DCM, dichloride  
 639 methylene; MT, methanol; PE, petroleum ether; AT, acetone).

Compounds	R <sub>f</sub> value		Visualization				Limit of detection (mM)			
	DCM: MT = 20: 1	PE: AT = 6: 4	UV fluorescence		Staining		UV fluorescence		Staining	
			254 nm	365 nm	Vanillin- H <sub>2</sub> SO <sub>4</sub>	FeCl <sub>3</sub>	254 nm	365 nm	Vanillin- H <sub>2</sub> SO <sub>4</sub>	FeCl <sub>3</sub>
<b>1</b>	0.77	0.53	Dark	Yellow	Yellow	-	0.5	0.5	0.5	-
<b>2</b>	0.97	0.62	Dark	Yellow	Yellow	Gray	1	1	5	5
<b>3</b>	0.73	0.46	Dark	Blue	Yellow	-	0.5	0.1	0.5	-
<b>4</b>	0.69	0.36	Dark	Blue	Yellow	Yellow	0.5	0.1	0.5	5
<b>5</b>	0.68	0.38	Dark	Blue	Yellow	Yellow	0.5	0.1	0.5	5
<b>6</b>	0.38	0.18	Dark	Blue	Yellow	Yellow	0.5	0.5	0.5	5
<b>7</b>	0.95	0.57	Dark	Yellow	Yellow	Gray	1	1	5	5
<b>8</b>	0.74	0.48	Dark	Yellow	Yellow	Gray	0.5	0.5	5	5
<b>9</b>	0.77	0.51	Dark	Yellow	Yellow	Gray	0.5	0.5	5	5
<b>10</b>	0.45	0.27	Dark	Yellow	Yellow	Gray	0.5	0.5	5	5
<b>11</b>	0.48	0.43	Dark	Dark	-	Brown	2.5	2.5	-	5
<b>12</b>	0.7	0.42	Dark	Dark	-	Brown	2.5	2.5	-	5
<b>13</b>	0	0	Dark	Blue	-	Brown	0.5	1	-	1
<b>14</b>	0	0	Dark	Blue	-	Brown	0.5	1	-	1

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**Table 2** Limit of quantitation, linearity, recovery rate and detection accuracy of TLC-SERS analysis for 14 citrus flavonoids.

Comp.	LOD ( $\mu\text{M}$ )	Linearity				Recovery rate				Precision	
		Conc. range ( $\mu\text{M}$ )	RMSEC	RMSEP	Correlation coefficient	50 $\mu\text{M}$		100 $\mu\text{M}$		50 $\mu\text{M}$	100 $\mu\text{M}$
						Recovery rate	RSD (%)	Recovery rate	RSD (%)	RSD (%)	RSD (%)
1	16.7	50–200	14.3	31.2	0.9690	113.4	12.7	100.4	2.5	11.8	6.4
2	16.7	50–350	20.0	39.6	0.9859	107.2	15.4	112.5	7.9	7.6	8.9
3	16.7	50–350	10.4	21.6	0.9902	121.7	12.6	104.8	3.2	6.9	7.0
4	16.7	50–350	32.8	34.0	0.9546	107.7	3.9	96.5	5.7	6.6	7.2
5	10	30–200	5.5	19.6	0.9960	110.9	14.7	105.3	15.8	1.5	6.8
6	10	30–300	19.9	17.9	0.9821	106.1	20.8	113.2	1.8	6.0	4.3
7	10	30–350	19.4	25.8	0.9877	102.9	19.9	97.4	15.0	5.7	9.2
8	16.7	50–200	17.4	36.6	0.9545	91.5	8.0	98.5	4.3	6.5	9.6
9	10	30–150	11.6	27.6	0.9544	114.8	1.4	94.2	13.6	4.6	6.6
10	10	30–300	16.6	26.6	0.9879	111.0	17.6	117.3	8.3	8.9	5.0
11	10	30–300	9.3	12.9	0.9962	110.5	18.9	95.6	7.3	2.5	5.5
12	10	30–250	9.8	18.8	0.9940	118.7	12.1	99.2	15.4	9.2	5.8
13	10	30–300	17.6	18.8	0.9862	102.0	9.6	102.8	5.2	6.7	6.5
14	10	30–300	17.7	13.1	0.9851	116.6	18.3	104.8	7.9	8.6	9.0

643 **Table 3** Determination of citrus flavonoids in three real samples using TLC-SERS and HPLC-UV methods (fresh orange juice sample, fresh orange peel sample,  
 644 and mice fecal sample fed with compound **7**, respectively).

Comp.	Sample 1 (µg/mL)				Sample 2 (µg/g)				Sample 3 (ng/g)				Time (min)		
	1	3	13	14	1	3	13	14	7	8	9	10	Separation	Detection	Total
<b>TLC-SERS</b>	3.9 ± 0.1	46.0 ± 2.7	87.8 ± 7.7	164.9 ± 12.2	43.2 ± 3.1	212.5 ± 6.4	467.1 ± 27.3	986.5 ± 94.8	34.6 ± 0.6	3.7 ± 0.3	11.1 ± 0.5	29.3 ± 0.7	8	2	10
<b>HPLC-UV</b>	3.6 ± 0.1	43.7 ± 1.3	85.7 ± 3.1	222.7 ± 5.6	40.0 ± 2.6	259.6 ± 4.1	427.9 ± 18.1	1217.9 ± 61.4	44.8 ± 1.4	3.0 ± 0.1	10.4 ± 0.1	36.4 ± 0.2	45	0	45
<b>Deviation (%)</b>	7.1	5.3	2.4	25.9	8.2	18.1	9.2	19.0	22.8	20.0	6.0	19.7	Reduced 77.8%		

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