

Rationale and design of the EACVI AFib Echo Europe Registry for assessing relationships of echocardiographic parameters with clinical thrombo-embolic and bleeding risk profile in non-valvular atrial fibrillation

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Received 14 November 2017; editorial decision 15 November 2017; accepted 24 November 2017; online publish-ahead-of-print 18 December 2017

The European Society of Cardiology (ESC) guidelines for management of atrial fibrillation (AF) recommend the use of CHA₂DS₂VASc risk score for assessment of thromboembolic (TE) risk, whereas the stratification of bleeding risk should be obtained by HAS-Bleed to balance the most appropriate anticoagulation (OAC) therapy. However, men with CHA₂DS₂VASc score = 1 and women with CHA₂DS₂VASc = 2, who are at intermediate TE risk, represent a grey zone where guidelines do not provide a definite OAC indication. Accordingly, implementation of risk stratification with echocardiography could be extremely useful. Both prospective and cross-sectional studies on transthoracic echocardiography (TTE) prediction of TE events and studies utilizing transoesophageal echocardiographic parameters as surrogate markers of TE events makes sustainable the hypothesis that echocardiography could improve TE prediction in non-valvular AF. Moreover, considering the close association of AF and stroke, all echo-Doppler parameters that have shown to predict AF onset and recurrence could be useful also to predict TE events in this clinical setting. Accordingly, EACVI AFib Echo Europe Registry has been designed as an observational, cross-sectional study, with the aim of evaluating: (i) left atrial (LA) size and function together with left ventricular geometry, systolic and diastolic functions in paroxysmal, persistent, and permanent AF; (ii) relationships of structural/functional parameters with clinical TE and bleeding risk profile. By the AFib Echo Europe Registry, we expect to collect data on echocardiographic phenotype of patients with AF. The large data set accumulated will be useful to test the level of agreement of different echocardiographic measurements with the available risk scores.

Keywords non-valvular atrial fibrillation • thromboembolic events • bleeding • echocardiography • left atrium

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Introduction

Atrial fibrillation (AF) is associated with a five-fold increased risk of developing ischaemic stroke, and 20–30% of all ischaemic strokes are directly related to AF.^{1,2} Oral anticoagulation (OAC) is able to reduce mortality due to ischaemic stroke in AF,³ but the protective effect against thromboembolic (TE) events needs to be balanced with the bleeding risk induced by these drugs. The most recent guidelines recommend the use of CHA₂DS₂VASc risk score for assessment of TE risk (both cerebral and peripheral) and establishing the OAC need in non-valvular AF. Stratification of bleeding risk should also be obtained, with the aim of identifying high-risk patients and eliminate modifiable risk factors.⁴ However, a grey zone exists for men with CHA₂DS₂VASc score = 1 and women with CHA₂DS₂VASc = 2, who are at intermediate TE risk. Guidelines do not provide a strong indication for OAC in these two categories, suggesting that the choice should be individualized and based on patient's preference. Nevertheless, TE risk is not negligible in this grey zone, with an event rate per year estimated to be up to 2.8% according to case series.^{5–7} This setting of patients represents an even more delicate population if they also present a high bleeding risk. In the absence of strong guideline indications, the use of OAC can expose these patients to haemorrhagic complications while its denial induces avoidable TE events.

The implementation of clinical risk stratification with other tools could be therefore extremely useful. Despite considered as an extremely valuable technique for AF in a recent EACVI Expert Consensus document,⁸ no definite indication exists in the current guidelines about the use of echocardiographic parameters for estimating TE risk in AF patients.

Studies on transthoracic echocardiography prediction of TE events

- (1) Prospective studies. The most relevant studies testing the predictive value of echocardiography in terms of incidence of TE events, independent on clinical risk profile, are summarized in *Table 1*. Several factors such as differences in inclusion criteria, choice of parameters to be tested, and clinical risk factors considered as covariates could have contributed to the heterogeneity of results obtained. Left atrial (LA) dilatation emerged as an independent predictor of TE events in non-valvular AF patients not undergoing OAC/antiplatelets drugs⁹ and after surgical maze procedure for sinus rhythm restoration.¹⁰ LA dilatation was an independent predictor of stroke recurrence in AF patients admitted to the hospital for acute ischaemic stroke.¹¹ Left ventricular (LV) systolic dysfunction was also a predictor of thromboembolism in non-valvular AF case series.^{9,12} However, two prospective studies were not able to demonstrate an independent predictive value against TE events in any of the analysed echo parameters in non-valvular AF.^{13,14} Worthy of note, none of these prospective studies focused patients at intermediate TE risk derived by clinical risk scores.
- (2) Cross-sectional studies. A series of cross-sectional studies sought to identify which echocardiographic parameter could be associated with history of TE events in AF patients (*Table 2*). *E/e'* ratio of mitral

annulus had an independent association with history of stroke both in paroxysmal¹⁵ and permanent/persistent non-valvular AF.¹⁶ LA peak systolic longitudinal strain was independently associated with history of stroke in two series of non-valvular AF patients.^{17,18}

- (3) Transthoracic echocardiography and surrogate markers of TE events. Some studies highlighted the predictive value of transoesophageal echocardiography (TOE) derived LA cavity and appendage (LAA) abnormalities—such as evidence of thrombi, dense spontaneous echo contrast (SEC), and low-flow velocities (LAA-LF)—in relation with TE events.^{20–22} Accordingly, TOE parameters have been utilized as surrogate markers of TE events (*Table 3*). In particular, LA dilatation predicted LAA thrombi, SEC and LF, independent of CHADS₂ and CHA₂DS₂VASc.^{23,24} In a study on 376 non-valvular AF patients, the addition of LA area (1 point if 24–32.5 cm², 2 points if > 32.5 cm²) and LV systolic function [1 point if LV ejection fraction (EF) 45–54%, 2 if < 45%] to both CHADS₂ and CHA₂DS₂VASc improved their accuracy in predicting LAA thrombi, LF, and SEC.²⁵ Also LV mass index was found to predict independently LA thrombi formation.²⁶

Rationale for an echo laboratory registry

A lack of definitive data on the power of transthoracic echocardiography in predicting TE events of AF patients remains evident mainly because of the small sample size of the populations assessed. Inhomogeneity of inclusion criteria and covariates, as well as unclear definition of endpoints, limits also the possibility of performing meta-analyses on the existing data. However, some measurements emerge by the above-mentioned studies, in particular taking into account their pathophysiologic meaning in relation with AF development and maintenance. By considering the close association of AF and stroke, all echo-Doppler parameters that have shown to predict AF onset and recurrence could be useful also to predict TE events in this clinical setting.

LA size represents *per se* a main determinant of AF new onset^{27–30} and recurrence.^{31–33} In 881 hypertensive patients with electrocardiogram (ECG)-derived LV hypertrophy (LVH) of the LIFE Study, a greater LA diameter reduction during interventional follow-up was associated with the absence of new-onset AF.³⁴ In the Framingham Heart Study, for every 10 mm increase in LA diameter, the relative risk of stroke was 2.4 in men [95% confidence interval (CI) 1.6–3.7] and 1.4 in women (95% CI 0.9–2.1), even after adjusting for ECG-derived LV mass index.³⁵ Some authors have recently proposed to incorporate LA size in the stroke risk scoring, for a better risk assessment and management of non-valvular AF.³⁶ Additional information could be gained by LA strain, which was found to predict new-onset AF in different clinical settings.^{37–39}

LV diastolic dysfunction and chronic increase of LV filling pressure (LVFP) represent triggers for AF onset, recurrence and permanence. *E/e'* ratio predicted the development of AF independent on LA dilation among elderly patients⁴⁰ and was higher in patients with early onset of AF following cardiac valve surgery.⁴¹ The rate of paroxysmal AF increased exponentially with severity of LV diastolic dysfunction after cardiac surgery.⁴² In 1169 patients with acute myocardial

Table 1 Prospective studies assessing the predictive value of echocardiographic parameters vs. ischaemic stroke/systemic thromboembolism

| | No. of patients | Patients enrolled | Endpoint | Echo parameters tested | Median follow-up | No. of events | Results |
|---------------------------------------|-----------------|--|---|--|---|---------------|--|
| Gupta <i>et al.</i> ¹⁴ | 971 | Non-valvular AF, CHADS ₂ ≥2, anticoagulation with edoxaban or warfarin | Thromboembolic events, death for any cause | LV EF, LVMI, LV EDVi, LAD, LAVi, LA EF, E/e' | 2.5 years | 48 | No echo parameter significantly predicted the endpoint |
| Buber <i>et al.</i> ¹⁰ | 150 | AF patients undergoing maze surgical procedure. Anticoagulation suspended 3 months after procedure | Thromboembolic stroke | LAVi, absence of A wave at trans-mitral PW Doppler | 17 months if A wave present, 19 months if A velocity absent | 15 | Absence of A wave predicts the endpoint in the entire population (HR 4.76; 95% CI 1.19–19.0, <i>P</i> = 0.02) and in patients not on anticoagulant (HR 4.18; 95% CI 1.07–16.3, <i>P</i> = 0.04), LAVi predicts the endpoint in the entire population (HR = 3.23; 95% CI 1.10–9.48, <i>P</i> = 0.03) but not in patients not on anticoagulation |
| Ezekowitz <i>et al.</i> ¹² | 1066 | Non-valvular AF patients | Ischaemic stroke | LAD, LV septal and posterior wall thickness, LVMI, LV dysfunction, MR, MV prolapse | 1.6 years | 78 | Only LV moderate–severe systolic dysfunction is significantly associated with the endpoint at multivariate analysis (RR 2.5; 95% CI 1.5–4.4, <i>P</i> < 0.010) |
| Olshansky <i>et al.</i> ¹³ | 2474 | Non-valvular AF patients after restoration of sinus rhythm | Ischaemic stroke | LAD, reduced LV EF, MR at least moderate | >5 years | 86 | No echo parameters significantly associated with the endpoint at multivariate analysis |
| Paciaroni <i>et al.</i> ¹¹ | 854 | AF patients admitted for acute ischaemic stroke | Recurrence of stroke/TIA, systemic embolism | LA enlargement (LAD or LAVi) ^a | 90 days | 63 | Severe atrial enlargement predicts the endpoint at multivariate analysis (OR 2.05; 95% CI 1.08–2.87, <i>P</i> = 0.027) |
| Asinger <i>et al.</i> ⁹ | 568 | Non-valvular AF patients not on anticoagulant or antiplatelet | Ischaemic stroke/systemic embolism | LAD index, LVMI, LV EDD and ESD, FS, LV systolic dysfunction, severe MR | 1.3 years | 46 | LV dysfunction (RR = 2.0; 95% CI 1.0–4.0, <i>P</i> < 0.05) and LAD (RR = 1.6; 95% CI 1.0–2.5, <i>P</i> = 0.04) are independent predictors of the endpoint |

AF, atrial fibrillation; EDD, end-diastolic diameter; EDVi, end-diastolic volume index; EF, ejection fraction; ESD, end-systolic diameter; FS, fractional shortening; LA, left atrium; LAD, left atrial anteroposterior diameter; LAVi, left atrial volume index; LV, left ventricular; LVMI, LV mass index; MR, mitral regurgitation; MV, mitral valve.

^aFor LAD: mild dilatation = 39–42 mm in female/41–46 mm in male; moderate dilatation = 43–46 mm in female/47–52 mm in male; severe dilatation >46 in female/>52 in male. For LAVi: mild dilatation = 29–33 mL/m²; moderate dilatation = 34–39 mL/m²; severe dilatation <39 mL/m².

Table 2 Cross-sectional studies assessing the association of echocardiographic parameters with prevalence of ischaemic stroke/systemic thromboembolism

| | No. of patients | Patients enrolled | Event assessed | Echo parameters tested | No. of events | Results |
|------------------------------|-----------------|--|-----------------|---|---------------|---|
| Kim et al. ¹⁵ | 1098 | Paroxysmal non valvular AF patients undergoing catheter ablation | Stroke/TIA | LAD, LVMI, LV EF, transmitral E velocity, e' velocity, s' velocity, E/e' | 114 | LAD, e' velocity, s' velocity and E/e' associated with stroke/TIA at univariate analyses Only E/e' associated with stroke/TIA at multivariate analysis after controlling for clinical risk factors |
| Lee et al. ¹⁶ | 330 | Permanent/persistent AF, LV EF > 40%, absence of severe valve disease, congenital heart disease or hypertrophic cardiomyopathy | Stroke | LAVi, E velocity, e' velocity, E/e' | 50 | E velocity, e' velocity and E/e' associated with stroke at univariate analysis. At multivariate regression analysis only E/e' (odds ratio = 1.21, P = 0.002) associated with stroke |
| Obokata et al. ¹⁷ | 285 | Non valvular AF patients admitted for acute stroke/embolism (N = 82) and AF control patients without stroke (n = 203) | Stroke/embolism | LAVi (max), LAVi (min), LA emptying fraction, LA strain | 82 | LA strain independently associated with stroke/embolism at multivariate analysis |
| Sakabe et al. ¹⁹ | 76 | Paroxysmal non-valvular AF with no risk factors or only mild hypertension | Stroke | E/A ratio, atrial reverse velocity, LAV | 26 | E/A ratio (≥ 1.00), AR velocity (≤ 25 cm/s), and LA volume (≥ 107 mL) are significantly associated with stroke |
| Shih et al. ¹⁸ | 79 | Permanent non valvular AF patients | Stroke | LA peak strain and LA strain rate during reservoir and con-duct, e' velocity, E/e'. | 20 | LA peak strain and strain rate during reservoir are independently associated with stroke at multivariate analysis |

Abbreviations as in Table 1.

infarction, the risk of new-onset AF was higher in patients with restrictive filling pattern.⁴³

LV hypertrophy (LVH) is a recognized predictor of AF because it induces diastolic dysfunction, LVFP increase, and subsequent LA dilation. In the large population of ARAPACIS Study, patients with non-valvular AF and clear-cut LVH showed higher TE risk.⁴⁴ In a recent meta-analysis, patients with LVH had three- to four-fold greater odds of developing supraventricular arrhythmias including AF.⁴⁵ In a retrospective analysis of 171 patients with cryptogenic ischaemic attacks, echocardiographic LVH independently predicted AF detected by Holter ECG.⁴⁶ In the elderly cohort of the Framingham Study, the hazard ratio (HR) for cerebrovascular events comparing highest to lowest quartile of LV mass index was 2.72 (95% CI 1.39–5.36), after adjusting for several confounders.⁴⁷

The association of LV systolic dysfunction with AF and TE events is so obvious that LV EF < 40% (as alternative of symptoms/signs of heart failure) is one of the components of CHA₂DS₂VASc risk score. A vicious circle exists between AF and heart failure and AF predicted new-onset heart failure with preserved EF but not with reduced EF in

the Framingham Study.⁴⁸ Early impairment of LV global longitudinal strain was associated with an increased risk of incident AF in diabetic patients⁴⁹ and after acute myocardial infarction.⁵⁰

According to these findings, it is conceivable that the integration of one or more echo Doppler parameter with the available clinical scores could substantially improve TE risk prediction in patients at intermediate risk according to CHA₂DS₂VASc (CHA₂DS₂VASc classes 1 if males and 2 if females). Also patients undergoing catheter ablation could benefit from this approach. In this setting of patients, guidelines recommend prosecution of OAC if at high risk, regardless of the success of the procedure, without any definite evidence. Indeed, the German Ablation Registry identified hypertensive heart disease (LVH, LV diastolic or systolic dysfunction) as an independent predictor of periprocedural ischaemic stroke.⁵¹

Figure 1 illustrates the key parameters that could be incorporated in TE predicting scores. Among these parameters, left atrial volume index (LAVi)⁵² and E/e' ratio⁵³ have the advantage of being more reproducible than LV mass and LV EF^{54,55} and could be therefore particularly promoted.

Table 3 Studies assessing the association of echocardiographic parameters with surrogate markers of ischaemic stroke/systemic thromboembolic risk

| | No. of patients | Patients enrolled | Endpoint | Echo parameters tested | Results |
|---|-----------------|---|---|---|--|
| Doukky <i>et al.</i> ²⁴ | 297 | Non valvular AF undergoing TOE and TTE (within 1 year) | LAA thrombus at TOE | LAVi, e' velocity, E/e' LV EF | LAVi, e' velocity and E/e' are independently associated with the endpoint at multivariate analysis |
| Faustino <i>et al.</i> ²³ | 500 | AF patients undergoing TTE and TOE before cardioversion | LAA thrombus, low LAA velocity, LAA spontaneous echo contrast (SEC) | LAD, LAVi, LA area four-chamber index | LAVi and LA area four-chamber index are independently associated with the endpoints. |
| Boyd <i>et al.</i> ²⁶ | 165 | AF patients undergoing TTE and TOE before cardioversion | LAA thrombus | LVMi, e' velocity (TTE), LAA emptying velocity, LA and RA SEC (TOE) | LVMi independent predictor of the endpoint at multivariate analysis |
| Providência <i>et al.</i> ²⁵ | 376 | Non-valvular AF patients undergoing TOE and TTE | LAA thrombus, low LAA velocity, LAASEC | LAD, LA area, LV EF | Adding LA area and LV global systolic function to CHADS ₂ and CHA ₂ DS ₂ -VASc scores resulted in an improvement of AUC for the prediction of the different endpoints |

Abbreviations as in Table 1.

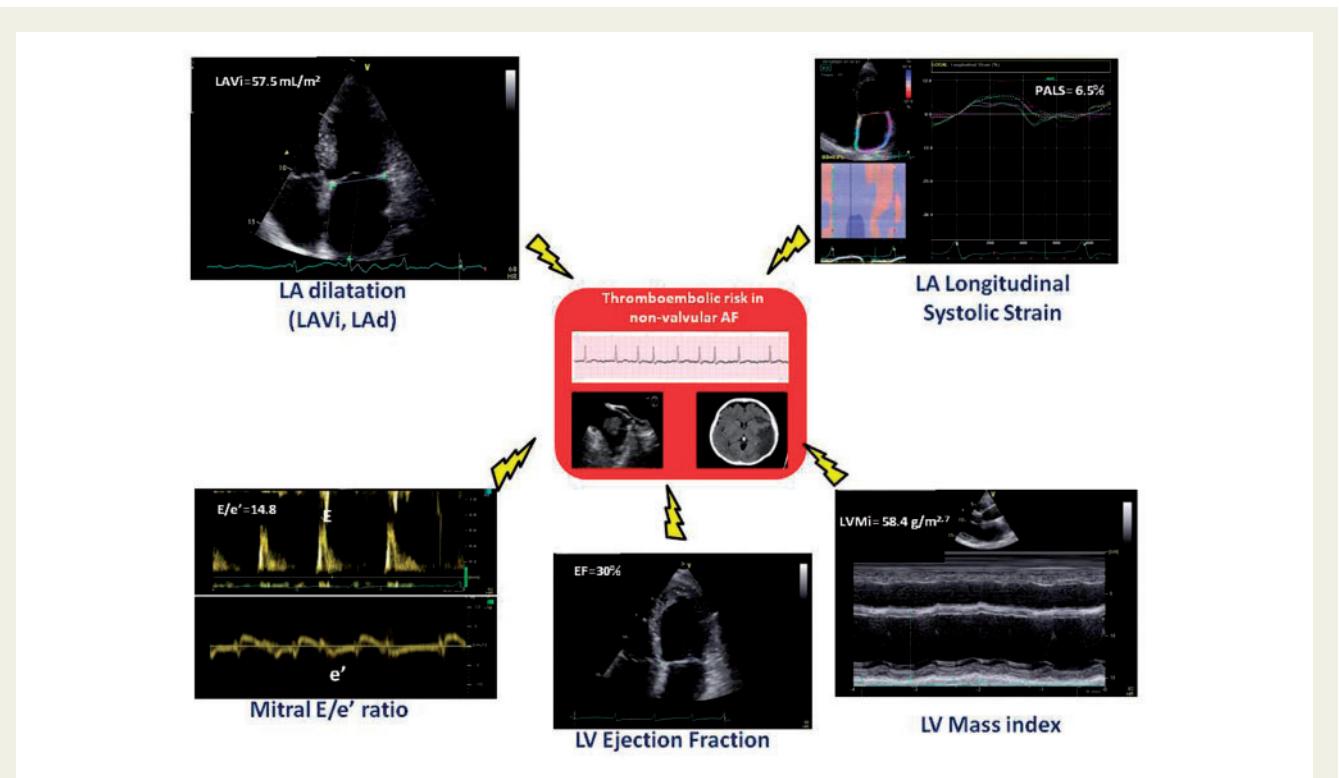


Figure 1 Key echo-Doppler parameters of potential additive value for thromboembolic risk prediction. LA, left atrial; LAd, left atrial diameter; LAVi, left atrial volume index; LV, left ventricular.

Design of the EACVI AFib Echo Europe Registry

EACVI AFib Echo Europe Registry has been designed as an observational, cross-sectional study, with the aim of evaluating the relationship of echocardiographic parameters of LA dimension and function, LV geometry, LV systolic and diastolic function with clinical TE and bleeding risk profile as assessed using CHA₂DS₂VASc and HASBLED scores.

Secondary objectives of the registry will be:

- (1) To evaluate the echocardiographic phenotype of patients with paroxysmal, persistent and permanent AF;
- (2) To evaluate the echocardiographic phenotype of patients at intermediate TE risk (CHA₂DS₂VASc = 1 in men or 2 if women).

The AFib Echo Europe Registry will be a multicentre registry involving 20 reference European echocardiographic laboratories, chosen among the accredited echo labs under the guidance of European National Societies and Working Groups, along a period of 6 months.

Protocol of AFib Echo Europe Registry

All consecutive patients with non-valvular permanent, persistent or paroxysmal AF having a heart rate between 60 and 100 bpm undergoing a transthoracic echocardiographic examination will be recruited in the echo labs participating the Registry during the period of 6 months. Informed consent to the enrolment in the study will be obtained. Exclusion criteria will be previous catheter or surgical ablation, LAA occlusion, cardiac surgery or percutaneous non-coronary interventional procedures, moderate-to-severe mitral and aortic valve stenosis, severe mitral and aortic valve regurgitation, mitral and aortic prosthesis, sepsis and poor acoustic window.

Anthropometric parameters (age, gender, height, and weight), blood pressure, and heart rate will be recorded. A detailed medical history and physical examination will be obtained and data included. Components of CHA₂DS₂VASc and HAS-BLED scores will be evaluated and recorded. Data on cardiac therapy including also OAC will be also collected.

Scores

CHA₂DS₂VASc and HAS-BLED scores will be determined after collecting anamnestic and demographic data on individual patients, according to standardized procedures.^{56,57}

Doppler echo parameters

2D, colour, and Doppler echocardiography will include evaluation and recording of the following parameters:

- 'LV geometry and systolic function', according to the European Association of Cardiovascular Imaging (EACVI)/American Society of Echocardiography (ASE) recommendations for chamber quantification⁵⁸: LV end-diastolic and end-systolic diameters, LV mass index and relative wall thickness, LV end-diastolic, LV end-systolic volumes and EF (Simpson biplane), LV global longitudinal strain (GLS) using 2D speckle-tracking echocardiography.

- 'LV diastolic function', according to the ASE/EACVI recommendations⁵⁹: pulsed Doppler transmitral inflow pattern parameters: peak *E* velocity, *A* velocity, *E/A* ratio and *E* velocity deceleration time, pulsed tissue Doppler measurements of septal and lateral mitral annulus with measurement of average (between septal and lateral) *e'* velocity, *d'* velocity and *s'* velocity, and *E/e'* ratio. Pulmonary arterial systolic pressure will be estimated from maximal gradient of tricuspid regurgitation (if present) + estimation of right atrial pressure by quantitation of inferior vena cava size and respiratory collapse.
- 'LA evaluation'^{59,60}: LA anteroposterior diameter of LA, LA volume (biplane area-length method) index, LA emptying index (by subtracting LA end-diastolic volume from LA end-systolic volume), and LA ejection fraction [(LAEF = LA end-diastolic volume - LA end-systolic volume)/LA end-diastolic volume × 100], LA expansion index (LAFI = LAEF × LVOT-VTI/LAESVI, where LVOT-VTI is velocity time index of LV outflow tract and LAESVI is LA end-systolic volume index), LA peak longitudinal systolic strain (PALS) using speckle-tracking echocardiography, averaged between four-chamber and two-chamber apical views (optional parameter), will be determined.

All the reported echo-Doppler measurements will be averaged from three cardiac cycles in patients in sinus rhythm and from five cardiac cycles during AF.

In addition, evidence and degree of mitral, aortic, and tricuspid stenosis/regurgitation will be reported according to the most recent EACVI recommendation.^{61,62} Among the enrolled patients, those with a clinical indication for TOE will undergo evaluation of LAA appendage: evidence of LAA thrombi, dense spontaneous echo contrast, and LAA velocities measurement with pulsed-wave Doppler (average of inwards and outwards velocities from three cardiac cycles in patients in sinus rhythm and from five cardiac cycles during AF) will be recorded by this technique.

Quality control

Each peripheral echocardiography laboratory will follow the standard operational procedures recommended by the principal investigator (PI) and the steering committee.

A brief tutorial will be created to explain how to perform all the measures required for the registry in a standardized manner, in agreement with the most recent EACVI recommendations.^{58–62}

The PI will plan to ensure the quality control of echocardiograms performed in peripheral echocardiographic laboratories and optimize reading procedures to limit the inter- and intra-observer variability of measurements. The reproducibility among the laboratories involved will be checked (Bland and Altman test; interclass correlation coefficient, inter-rater agreement: Kappa) on a data set of 15 echocardiographic examinations provided by the PI and the steering committee. Continuous connections will be kept between both PI and steering committee during overall registry duration period.

Ethical committee

The AFib Echo Europe Registry will be developed according to the common rules for research in human subjects. Privacy protection with regard to processing of individual data will be ensured.

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