

1 **Pancreatic neuroendocrine neoplasm associated with a familial *MAX* deletion**

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**Abstract**

Most pancreatic neuroendocrine neoplasms (pNEN) occur sporadically but they can also occur as part of multiple endocrine neoplasia type 1 (MEN1). MAX was originally described as an inherited pheochromocytoma-paraganglioma risk gene, but has recently been implicated in pituitary tumorigenesis also. Here we describe the first case of a pNEN associated with an inherited MAX gene deletion in a family with endocrine tumors.

The patient was a male carrier of an intragenic exon 3 deletion inherited from his father who had recurrent pheochromocytomas and a macroprolactinoma. The patient underwent screening and hormonal studies but no pheochromocytoma-paraganglioma, pituitary or renal tumors were identified. However, abdominal magnetic resonance imaging (MRI) identified a 1cm lesion in body of the pancreas. The lesion was hyperintense on T2-weighted signal, and there was hyperfixation of the tumor on 68Ga-DOTANOC PET-CT images. No biochemical evidence of pancreatic hormone excess was identified. Following a guided biopsy, a pathological diagnosis of a low grade pNEN was made and immunohistochemistry showed loss of MAX nuclear staining. Genetic analysis of the tumor tissue indicated copy number neutral loss of heterozygosity consistent with uniparental disomy. This is the first reported case of a MAX deletion associated pNEN and strengthens the argument that MAX may represent an inheritable multiple endocrine neoplasia risk gene. Further analysis of germline and somatic MAX mutations/deletions in large cohorts of unexplained NEN cases could help clarify the potential role of MAX in NEN etiology.

**Keywords:** pancreatic neuroendocrine neoplasm; MAX, familial; pituitary; pheochromocytoma

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56 **Introduction**

57 Pancreatic neuroendocrine neoplasms (pNEN) have an incidence of 0.48 cases per 100000, and the  
58 frequency is rising [1]. While they are usually sporadic, pNENs can occur in the setting of multiple  
59 endocrine neoplasia type 1 (MEN1) and hence they are the subject of active surveillance in that setting  
60 [2]. Other genetic syndromes that are rarely associated with pNENs include von Hippel-Lindau  
61 disease, neurofibromatosis type 1 (NF1), MEN4, Lynch and Cowden syndrome [3-9].

62 In 2011 Comino Mendez *et al* identified *MAX* as a risk gene for the development of hereditary  
63 pheochromocytoma [10]. Germline mutations in *MAX* lead to the development of sporadic and  
64 familial pheochromocytoma-paragangliomas and *MAX* acts as a tumor suppressor gene in the  
65 MYC/*MAX*/*MXD1* pathway [11]. While germline *MAX* genetic changes account for a small  
66 proportion of all known genetic forms of pheochromocytoma-paragangliomas, they appear to have an  
67 aggressive phenotype. Burnichon *et al* reported that pheochromocytoma-paragangliomas patients with  
68 *MAX* mutations had an earlier age at onset as compared with non-mutated cases and *MAX* associated  
69 tumors are much more frequently bilateral or have multiple tumors occurring within the same gland  
70 [11]. Until recently the tumoural phenotypes associated with germline *MAX* mutations and  
71 rearrangements were limited to pheochromocytoma, paraganglioma and kidney neoplasms [12,13]. In  
72 primary tumors and cell cultures derived from small cell lung cancer, a neuroendocrine tumor, somatic  
73 *MAX* mutations and deletions with concurrent loss of heterozygosity (LOH) were found to occur in  
74 6% of cases [14]. Furthermore, two patients with gastrointestinal intestinal stromal tumors (GIST)  
75 that were negative for *KIT/PDGFR $\alpha$ /BRAF/SDHx* abnormalities (quadruple wild-type) were reported  
76 as having somatic truncating mutations in *MAX* [15].

77 An association between *MAX* and the development of pituitary adenomas (acromegaly or  
78 prolactinoma) has been described recently [16,17]. We described three cases of intragenic germline  
79 deletions in *MAX* that were not identified on Sanger sequencing but were established with multiplex  
80 ligation-dependent probe amplification (MLPA). Those cases had aggressive features with early  
81 onset, recurrence, bilateral pheochromocytomas or metastatic disease, in keeping with established  
82 *MAX* related characteristics [11, 17]. In one kindred the deletion was inherited by the patient's son  
83 from his father [17]. Subsequent screening of this 31-year old male, who had no medical history, was  
84 undertaken to identify tumors in known sites related to *MAX* mutations. Unexpectedly, abdominal  
85 imaging studies revealed a pancreatic mass, which was further investigated and characterized.

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88 **Methods and Results**

89 As we reported previously, the patient's father had a history of recurrent pheochromocytoma and a  
90 prolactinoma in the setting of a germline intragenic exon 3 deletion in *MAX* [15]. The  
91 pheochromocytoma tissue had been shown to have LOH at the *MAX* locus that differed between the  
92 initial tumor and the recurrence (18 years later), indicating separate somatic "second hit" events  
93 affecting the wild-type *MAX* allele [15]. Family genetic studies including MLPA had identified the  
94 son as a carrier of the identical germline exon 3 *MAX* deletion as his father (Figure 1A). Screening  
95 studies were performed and included biochemical and hormonal analyses of adrenal and pituitary  
96 function, hematological, renal and liver function tests. All were normal. Abdomino-thoracic and  
97 pituitary magnetic resonance imaging (MRI) were performed and no evidence of  
98 pheochromocytoma/paraganglioma, pituitary adenoma, or kidney tumors was identified. On  
99 the abdominal MRI a 1 cm lesion in body of the pancreas was identified, which was hyperintense on  
100 T2 weighted signal (Figure 1B). An <sup>18</sup>F-fluorodeoxyglucose-positron emission tomography-CT  
101 (<sup>18</sup>FDG-PET-CT) scan showed no enhanced uptake. There was hyperfixation of the tumor on <sup>68</sup>Ga-  
102 DOTANOC PET-CT images, indicating strong SST<sub>2</sub> expression (Figure 1C). Neither biochemical  
103 evidence nor signs/symptoms of pancreatic hormone excess were identified. The patient provided  
104 informed consent and the study was approved by the Ethics Committee of the CHU de Liège.

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106 To further investigate the lesion, a percutaneous ultrasound-guided fine-needle aspiration (FNA)  
107 biopsy was performed. Hematoxylin and eosin staining showed aggregations of cells with eccentric  
108 nuclei, salt and pepper chromatin pattern and a granular, eosinophilic cytoplasm (Figure 2A). The  
109 tissue was positive for anti-CD56, Chromogranin A and Synaptophysin and no mitoses were seen. A  
110 pathological diagnosis of a low grade pancreatic neuroendocrine tumor was made (G1 grade; Ki-67: 1-  
111 2%, mitotic index: 0). Immunohistochemistry of the FNA material for *MAX* was performed as  
112 previously described [12]; this showed neuroendocrine cells that exhibited loss of *MAX* nuclear  
113 staining in the setting of other normally-stained cells (Figure 2B). Genetic analyses were also  
114 performed on the pNEN FNA tissue DNA; MLPA showed LOH and an apparent homozygous deletion  
115 of the exon 3 of *MAX* gene (Figure 2C). The MLPA results and the paternal inheritance pattern  
116 strongly point copy neutral LOH involving the *MAX* locus due to paternal uniparental disomy (UPD)  
117 at chromosome 14q as has been demonstrated in familial cases of *MAX*-related pheochromocytoma  
118 and renal oncocytoma [11, 12]

119 The patient remains under close clinical follow-up and is currently asymptomatic. On abdominal MRI  
120 at six months post-diagnosis the tumor remains stable and in light of the low grade, size <2 cm, low  
121 Ki-67 score, non-functional status and patient wishes, the patient is being managed with active  
122 surveillance [18].

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## Discussion

This is, to our knowledge, the first case of a gastroenteropancreatic NEN associated with an inherited germline *MAX* mutation or deletion. Originally *MAX* mutations were described in association with pheochromocytoma, and subsequent research has further defined the clinical phenotype which can be bilateral and aggressive [10, 11, 19]. Since then *MAX* has been implicated in a growing number of sporadic and familial cancers, many which have a neuroendocrine origin. Emerging evidence suggests that inactivating *MAX* genetic abnormalities appears to lead to tumor risk at multiple endocrine and non-endocrine tissues, including pheochromocytoma, paraganglioma, renal tumors, pituitary adenomas, and GIST and SCLC [10-17, 19, 20]. Clustering of tumors within the same patient and/or kindred with *MAX* mutations includes pheochromocytoma-paraganglioma, pituitary adenoma, renal tumors [10, 11, 12, 16, 17].

The past decade has seen a large volume of fundamental research on the genetics and genomics of NEN in general and pNEN in particular. The study of inherited or familial disorders provided early and important insights into pNEN pathogenesis, including sporadic disease [21]. For example, comprehensive analyses have identified mutations in genes such *MEN1*, *VHL*, *TSC1*, *TSC2*, and *PTEN*, which cause individual syndromic diseases, as also playing an integral role in the development of sporadic pNET [21-23]. In addition, mutations in the *ATRX* and *DAXX* genes that are involved in telomere length regulation via histone 3.3 deposition are frequently found in pNEN [2]. Subsequent work has expanded the list of recurrent genetic alterations, chromosomal loss/gain patterns and epigenetic profiles and certain pathway groupings are now evident, including, *MEN1*-related alterations, telomeric changes (*ATRX/DAXX*), abnormal cell-cycle regulation (e.g. *CDKN1B*), PI3K-mTOR pathway disorders, and disordered chromatin remodeling or DNA and base repair dysregulation [1]. While these large-scale studies have not identified *MAX* mutations/deletions as a major contributor to sporadic pNEN pathogenesis, it remains to be seen if *MAX* intragenic copy number variations couple represent a contributory factor in a small subgroup of cases. Taking the findings of the current study into account, it seems reasonable to suggest that surveillance of previously identified *MAX* carriers could be expanded to include a wider range of potential target tumors. As sporadic pheochromocytoma-paraganglioma cases without known family history can have unsuspected germline mutations in *MAX*, similar tumor risk related to *MAX* might be present in sporadic cases of NEN, pituitary adenoma, among others [21]. Genetic analyses of large NEN and other tumor banks should assess for intragenic deletions and complex rearrangements of *MAX*, which can be missed by some sequencing driven approaches.

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**Legends**

**Figure 1.** Panel 1A shows the genealogical tree of the family. The father (II) had a pheochromocytoma at 32 years of age that recurred at the age of 50 and a prolactinoma that was diagnosed at the age of 49 years. His son (III) had a pancreatic neuroendocrine tumor discovered during screening at the age of 32. Both II and III were diagnosed with an intragenic deletion of exon 3 in *MAX*. Other family members were tested and had a wild-type *MAX* sequence and MLPA. Panel 1B shows the location of the pNEN (arrow) as a hyperintense lesion in the body of the pancreas on a T2-weighted MRI. Panel 1C shows intense uptake in the tumor (arrow) on <sup>68</sup>GA-DOTANOC PET-CT

**Figure 2.** Panel 2A shows an image of the hematoxylin and eosin stain of the tissue obtained following fine needle biopsy of the pancreatic lesion. The biopsy material shows groups of abnormal cells with generally eccentric nuclei and an eosinophilic cytoplasm. Inset is a high magnification image of a section of the tumor cells. Arrowed on Panel 2B are groups of tumoral cells that were negative for *MAX* staining (blue nuclei and negative cytoplasm) interspersed with groups of normal cells. Inset is an image of a positive control from a normal pancreas section demonstrating strong positive (brown) nuclear and moderately positive cytoplasmic staining. Panel 2C illustrates the MLPA finding on pNEN tumor DNA showing an apparent homozygous deletion in exon 3 in *MAX* gene. The upper histograms show each probe coverage in the kit controls (blue) and in the patient's sample (green).

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