

Diagnosis and treatment in patients with disorders of consciousness



Géraldine Martens, physical therapist, PhD candidate
Coma Science Group – GIGA Consciousness, University of Liège, Belgium
Neurorehabilitation Lab, Harvard Medical School, USA

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Overview

- Disorders of Consciousness
 - Clinical entities
 - Consciousness and the brain
 - Brain processing in DOC
- Diagnosis
 - Consciousness
 - Nociception and pain

Break



Overview

- Paraclinical diagnosis
 - Active paradigms
 - Passive paradigms
 - Case reports
- Treatments
 - Pharmacological
 - Brain stimulation
- Locked-in Syndrome
- Near-death experiences

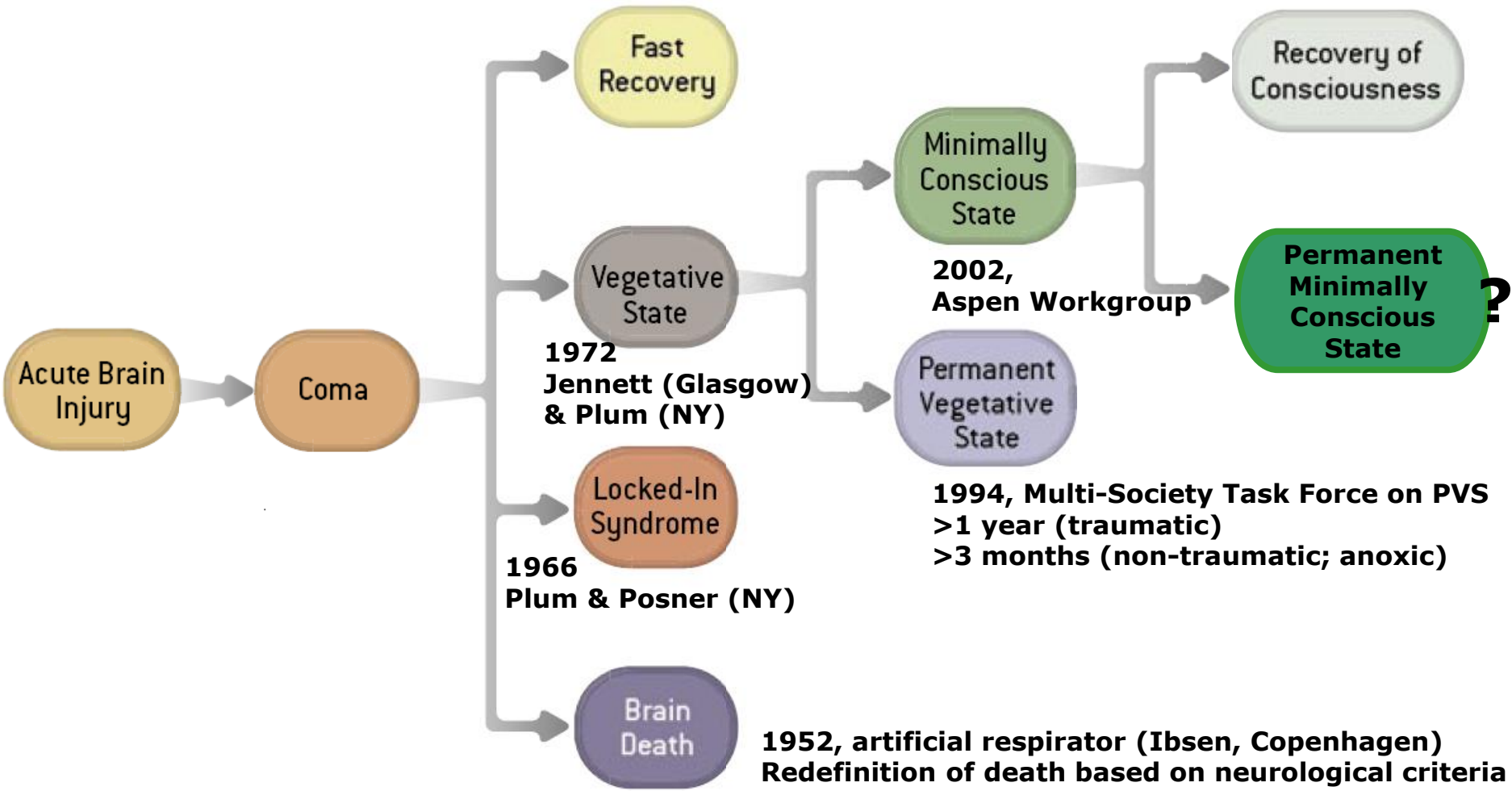
Disorders of consciousness

Clinical entities





Clinical entities



Laureys, Scientific American, 2007



Clinical entities

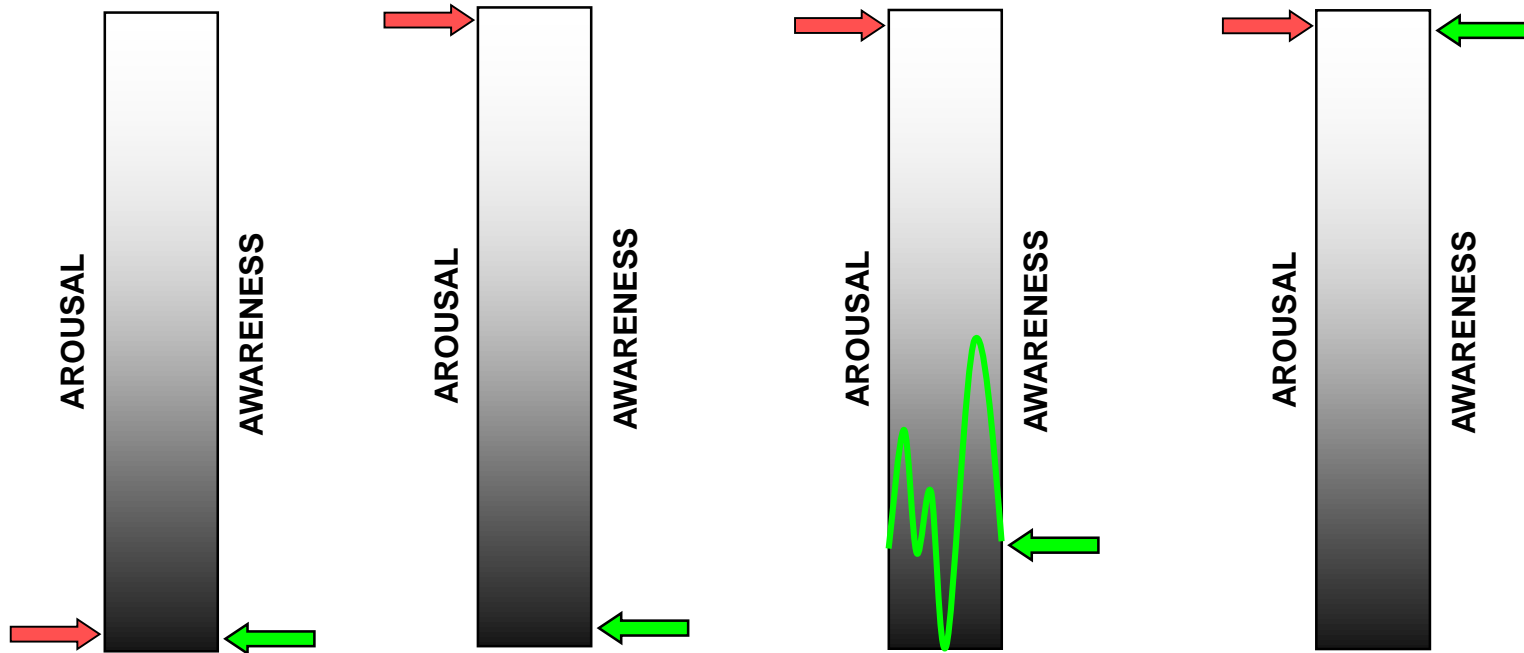


COMA

**VEGETATIVE
STATE**

**MINIMALLY
CONSCIOUS
STATE**

**NORMAL
CONSCIOUSNESS**





Coma

- No eyes opening
- No sign of consciousness
- Lasting min 1 hour





Vegetative/unresponsive wakefulness syndrome

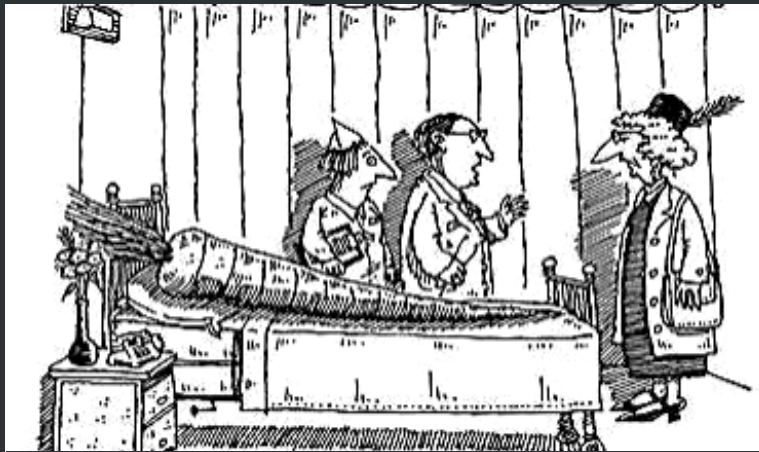
- No sign of consciousness
- No environment interaction
- No voluntary behavior in response to visual, auditory, tactile and painful stimuli
- No language comprehension – no language expression
- Wake-sleep cycle



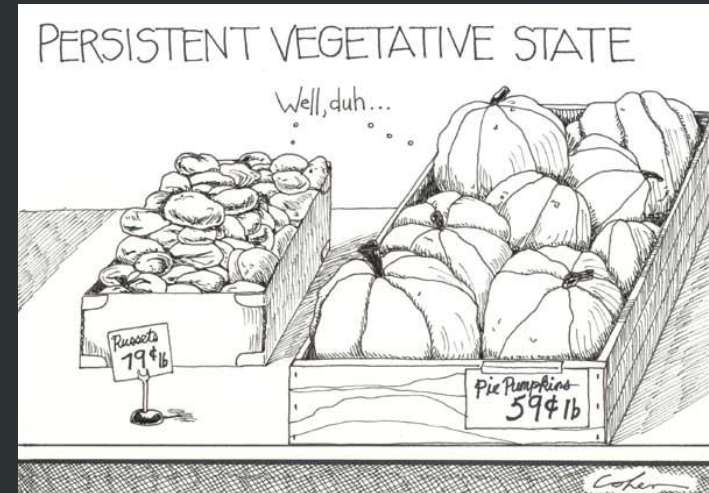
Vegetative/unresponsive wakefulness syndrome

Unresponsive wakefulness syndrome: a new name for the vegetative state or apallic syndrome

Steven Laureys¹ ✉, Gastone G Celesia² ✉, Francois Cohadon³ ✉, Jan Lavrijsen⁴ ✉, José León-Carrión⁵ ✉, Walter G Sannita^{6,7} ✉, Leon Szabon⁸ ✉, Erich Schmutzhard⁹ ✉, Klaus R von Wild^{10,11} ✉, Adam Zeman¹² ✉ and Giuliano Dolce¹³ ✉ for the European Task Force on Disorders of Consciousness¹ ✉



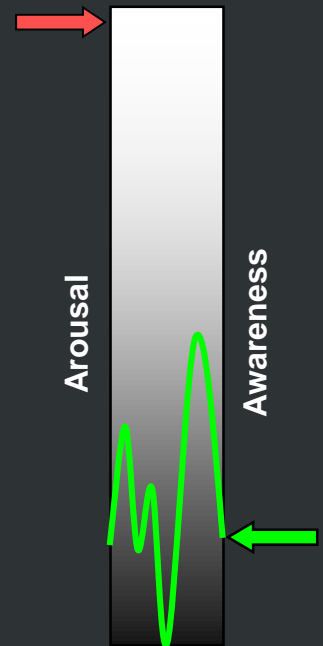
"There's nothing we can do... he'll always be a vegetable."





Minimally conscious state

- Limited but clearly discernible evidence of self or environmental awareness - one or more of the following behaviors:
 - Following simple commands
 - Gestural or verbal yes/no responses (regardless of accuracy)
 - Intelligible verbalization
 - Purposeful behavior, including movements or affective behaviors that occur in contingent relation to relevant environmental stimuli:
 - appropriate smiling/vocalizations or gestures
 - reaching for objects
 - touching or holding objects
 - visual pursuit or fixation





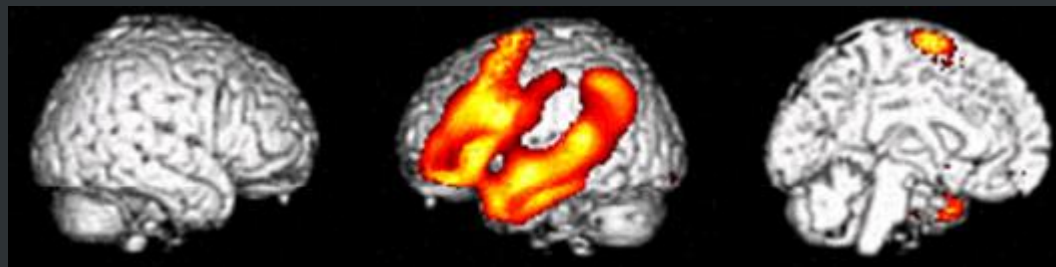
MCS: new terminology

Minimally Conscious state

MCS +

Following simple command

MCS+ > MCS-



MCS -

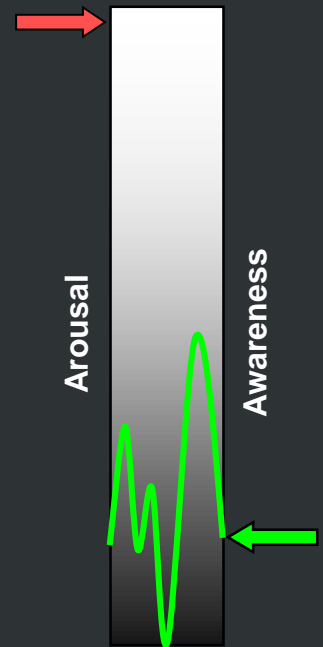
Pain localisation
Visual pursuit
Accurate smiling or crying



Minimally conscious state

- MCS plus
 - reproducible command following
 - intelligible verbalizations
 - intentional communication

- MCS minus
 - Purposeful behavior, including movements or affective behaviors that occur in contingent relation to relevant environmental stimuli:
 - appropriate smiling/vocalizations or gestures
 - reaching for objects
 - touching or holding objects
 - visual pursuit or fixation





Minimally conscious state

Emergence from MCS:

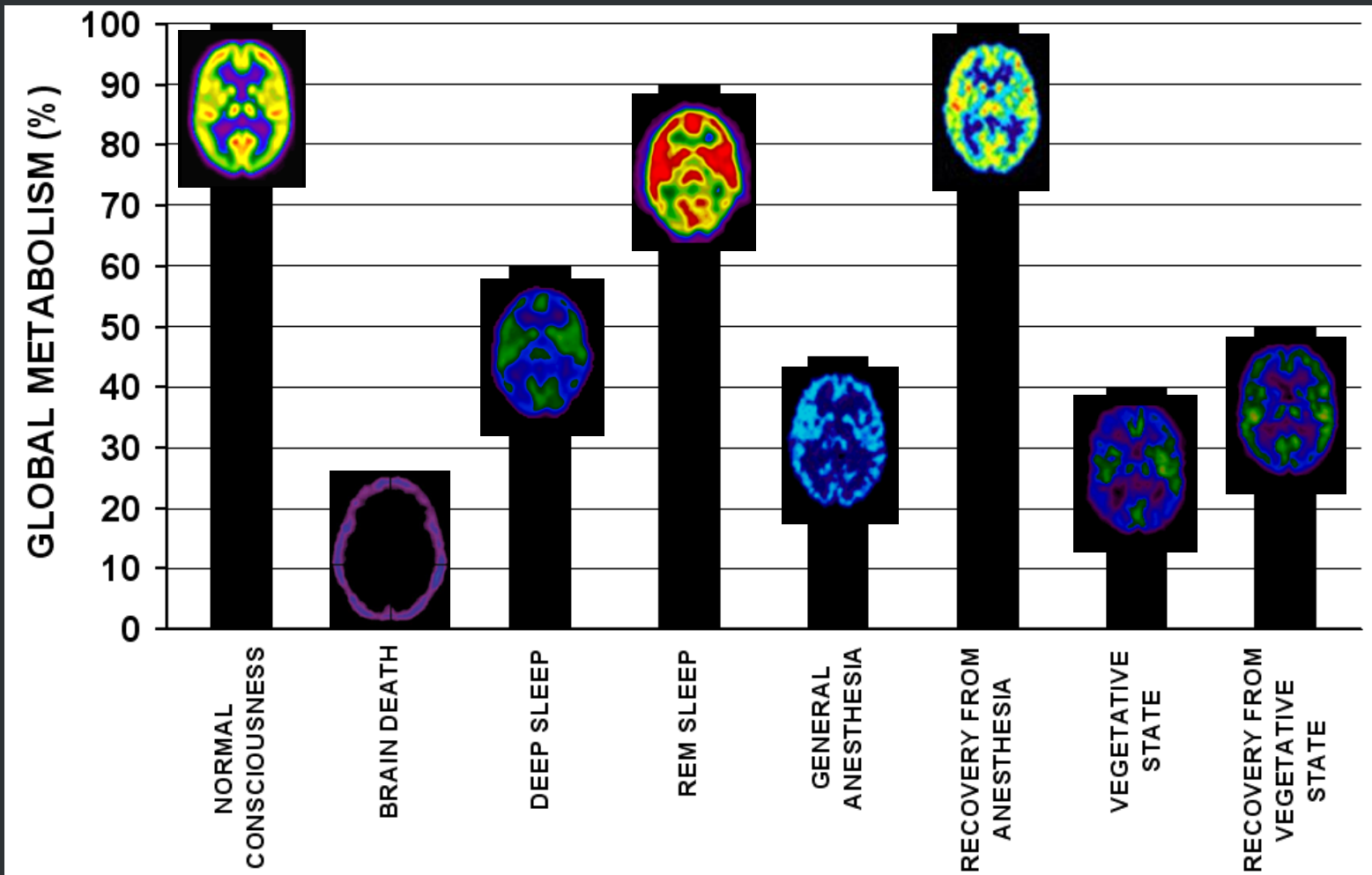
- Functional interactive communication
- Functional use of two different objects

Disorders of consciousness

Consciousness and the brain



Consciousness # whole brain

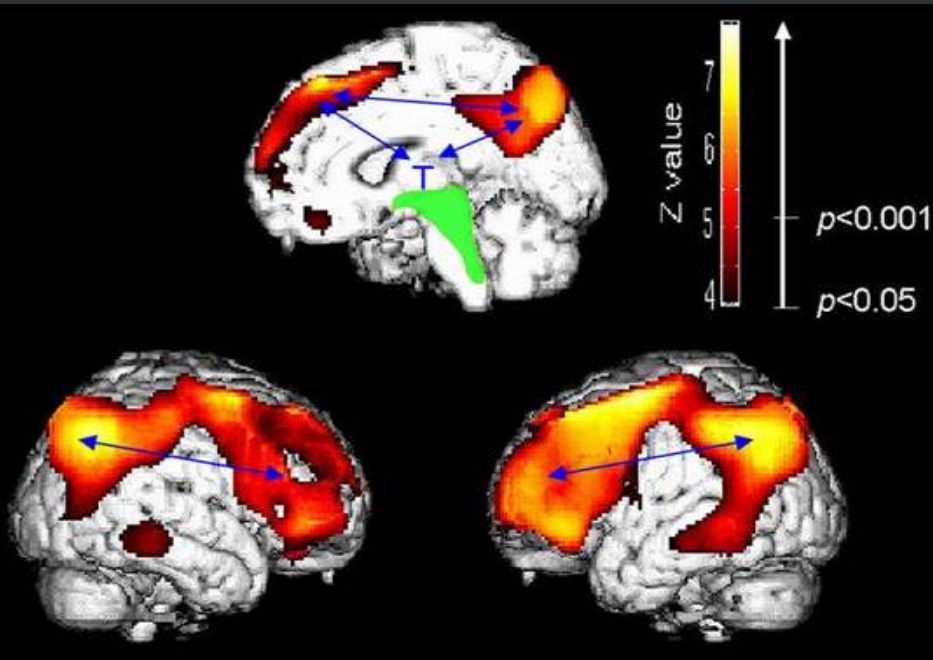




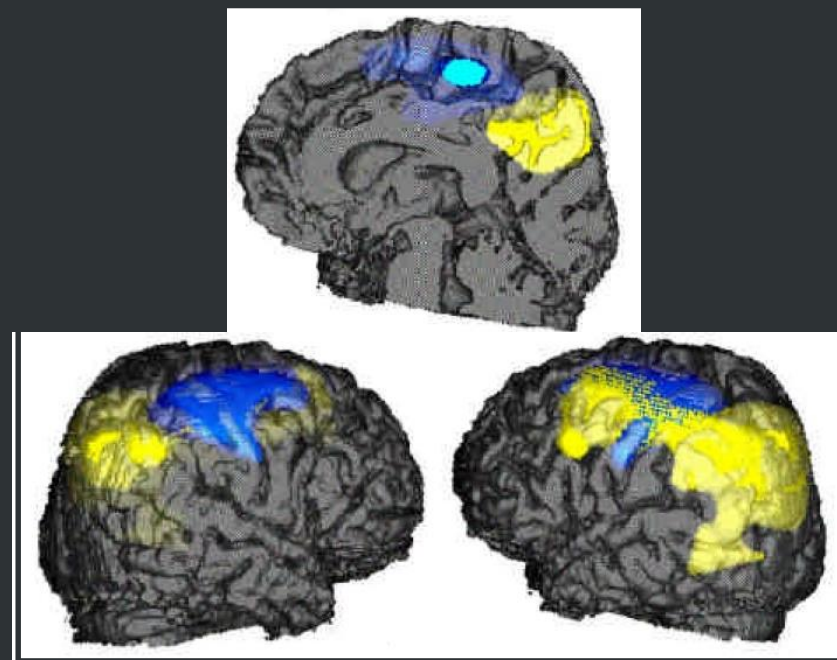
Consciousness \approx frontoparietal

areas that are systematically dysfunctional in the vegetative state

areas that recover metabolism after recovery from the vegetative state

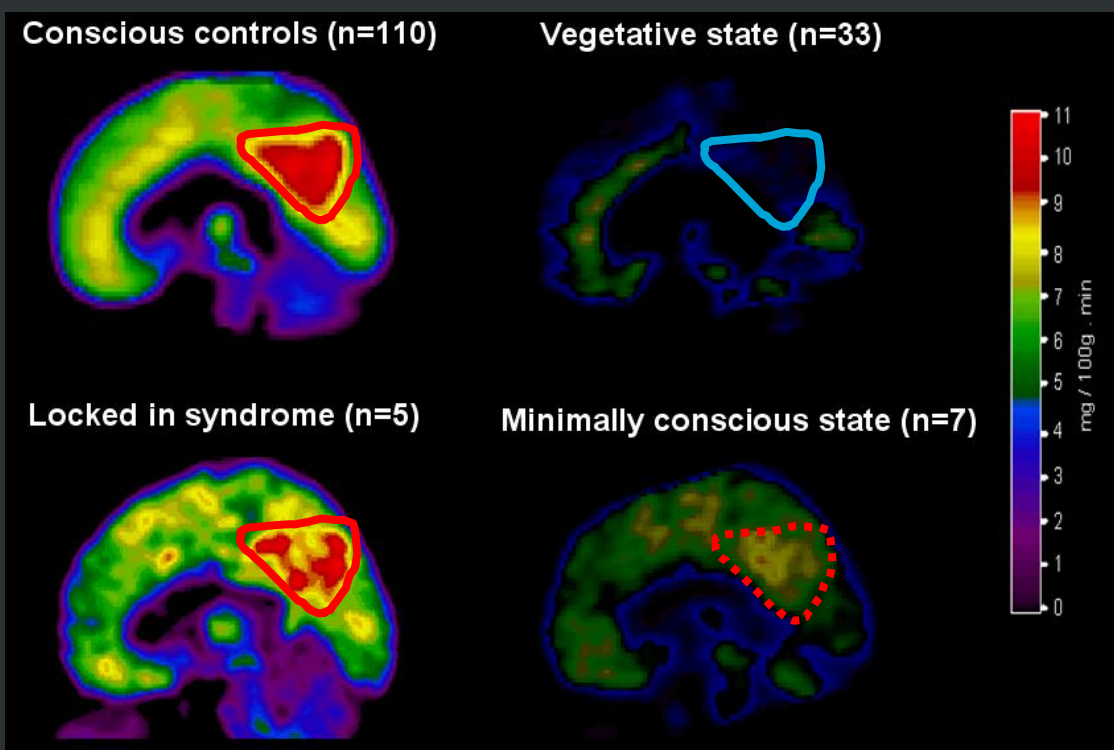


Laureys et al, Neuroimage 1999



Laureys et al, J Neurol Neurosurg Psychiatry, 1999

Precuneus \approx hub in the network



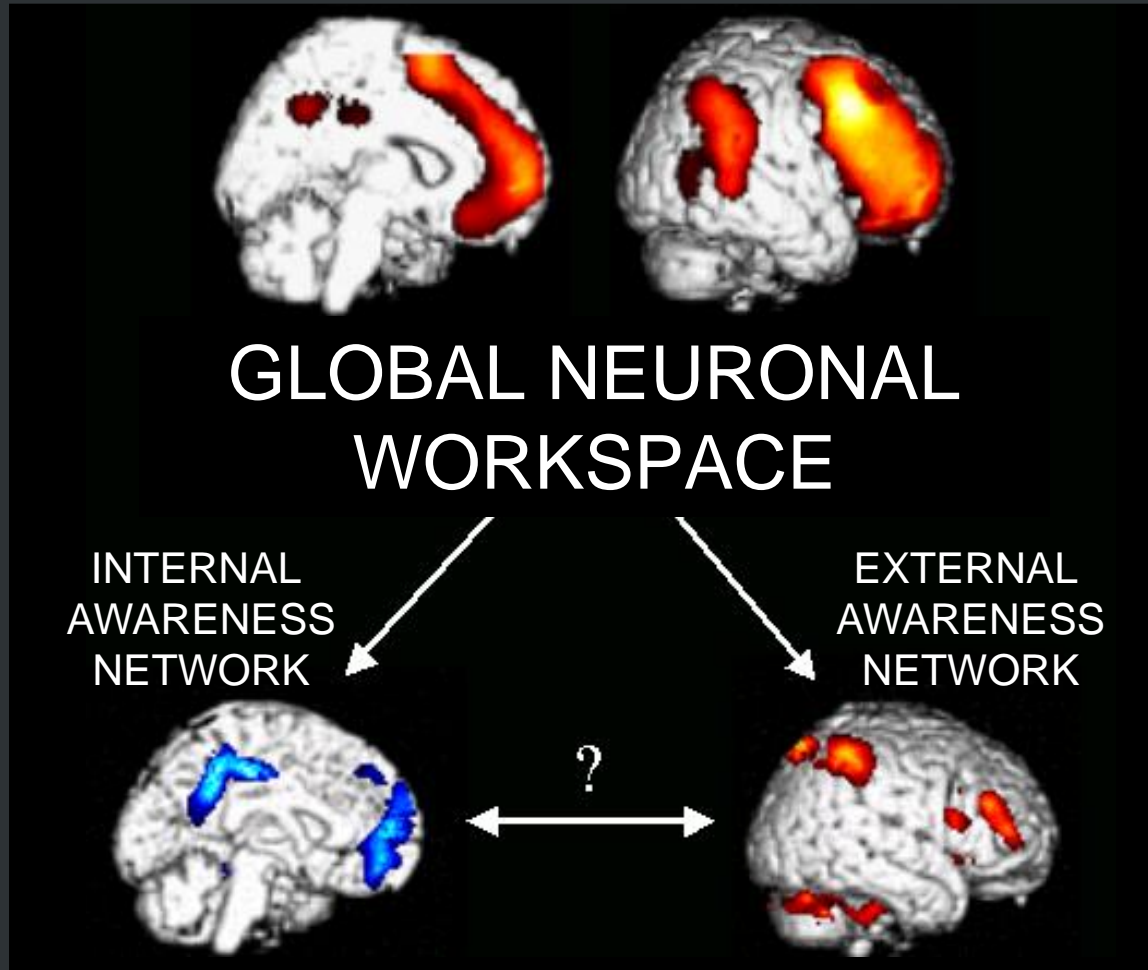
Laureys et al, *Lancet Neurology*, 2004

Axonal re-growth in Terry Wallis



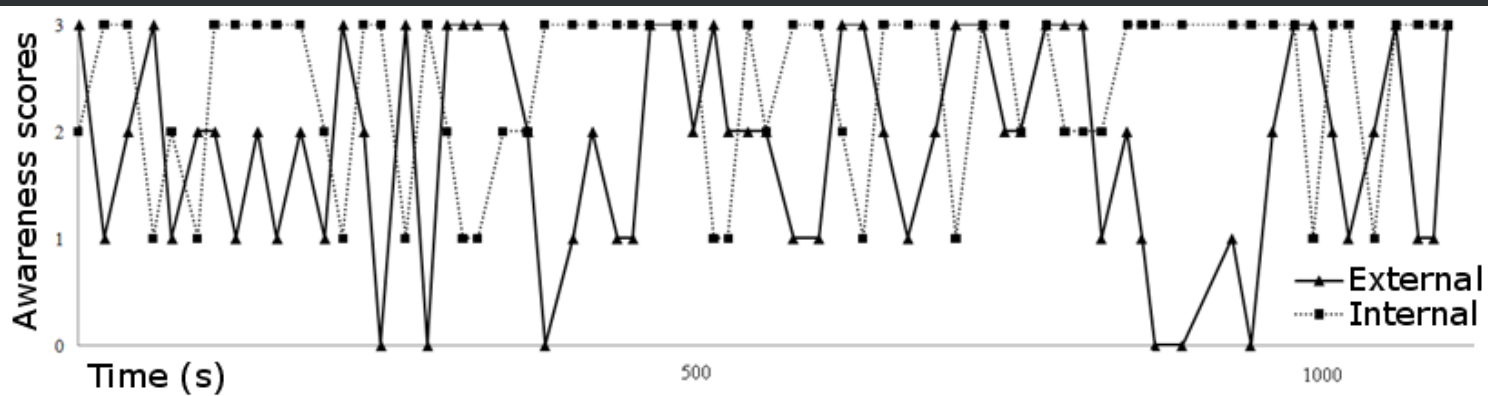
Voss et al, *J Clin Invest*, 2006

Two awareness networks





External vs internal awareness

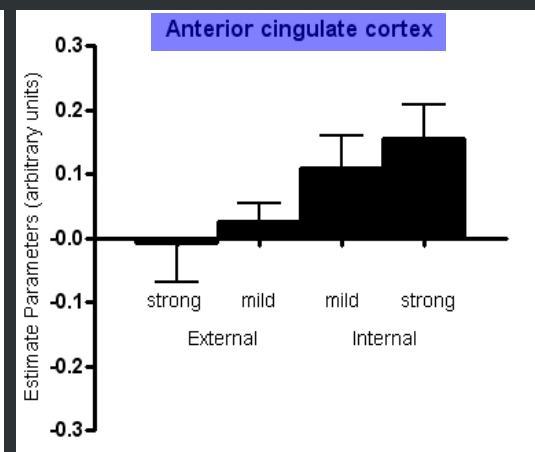
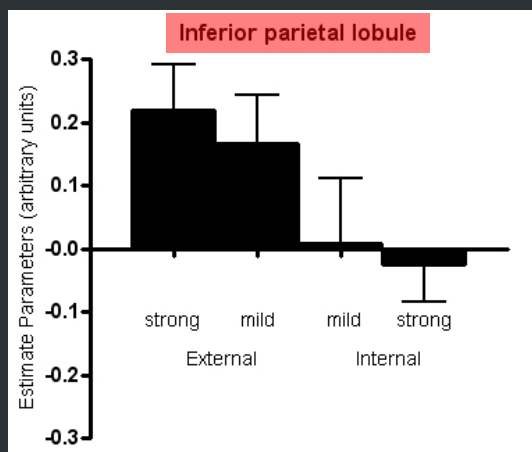
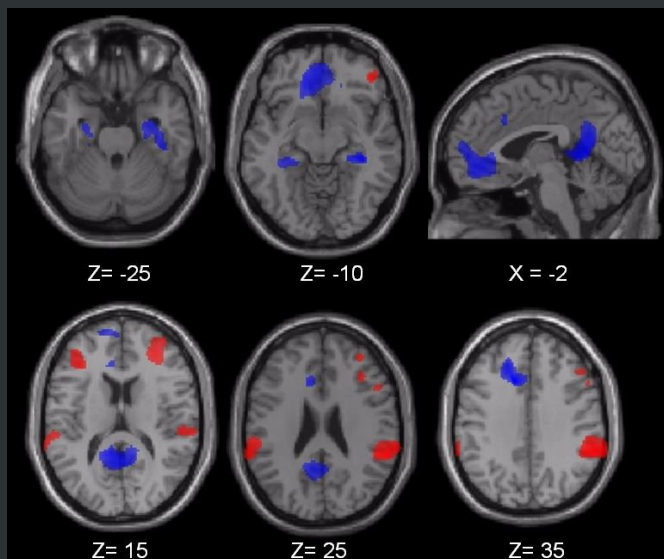


Subjects' ratings

Anti-correlated

Switching 0.05 Hz
(range 0.01-0.1Hz)

/20 s
(range 10-100 s)

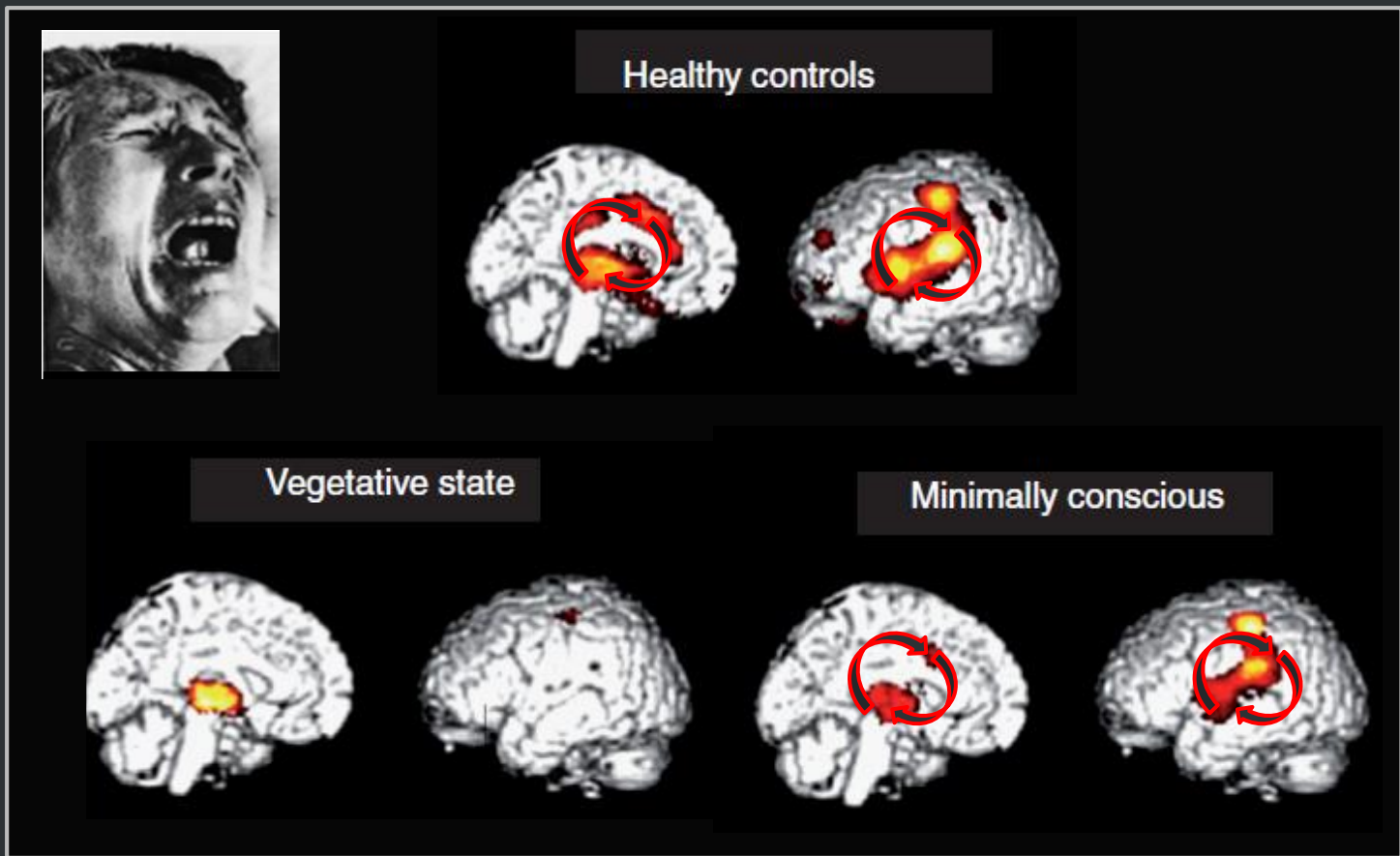


Disorders of consciousness

Brain processing in DOC

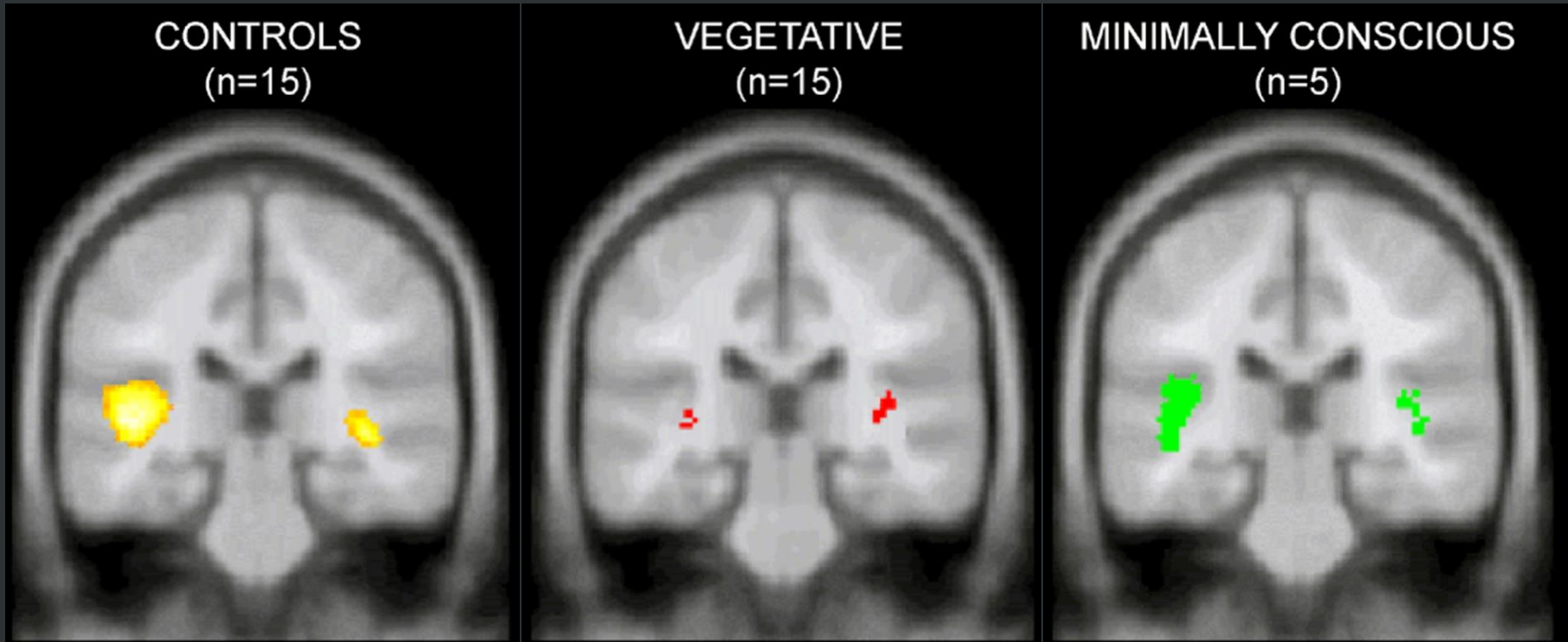


Can they feel pain?

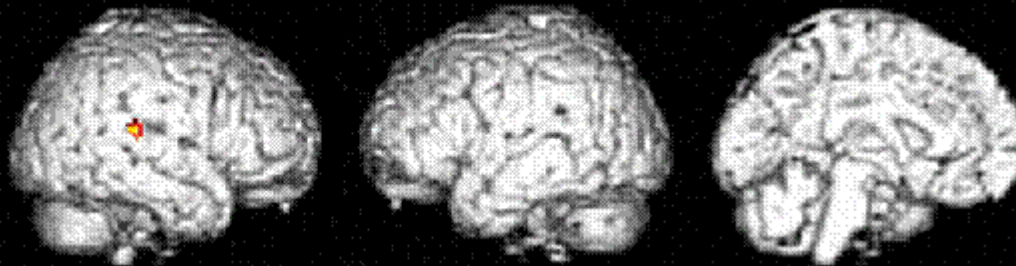




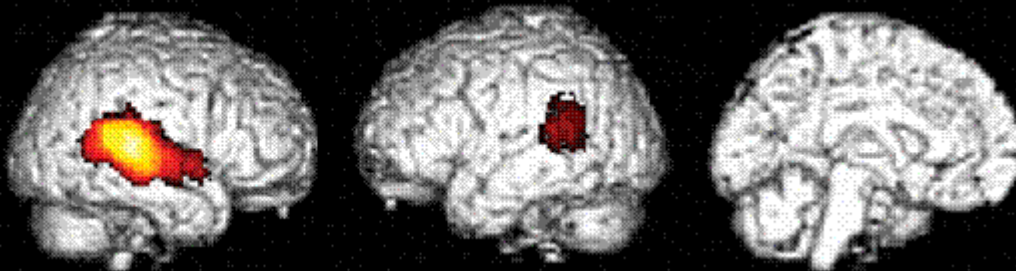
Can they hear us?



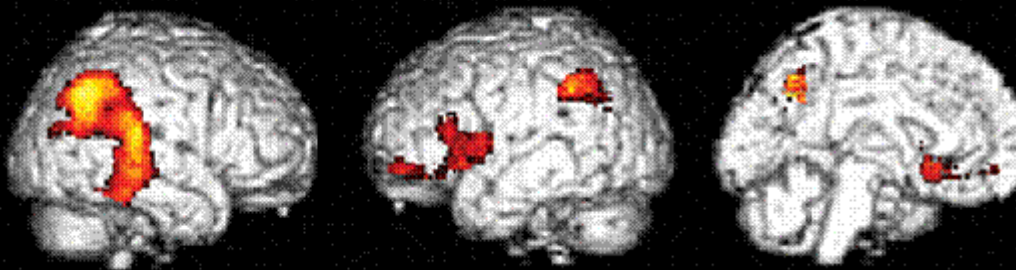
**Meaningless
Noise**



**Acoustically
Matched Cries**



**Patient's
Own Name**





Conclusion

- DOC: different clinical entities associated with various level of consciousness : coma, VS/UWS, MCS (plus and minus)
- Neural correlates of conscious awareness
 - \approx emergent property of widespread fronto-parietal connectivity
- Non communicative patients with DOC may be able to perceive external world
 - Audition
 - Pain/emotion

Diagnosis

Consciousness



LIÈGE université
GIGA institute



Clinical entities

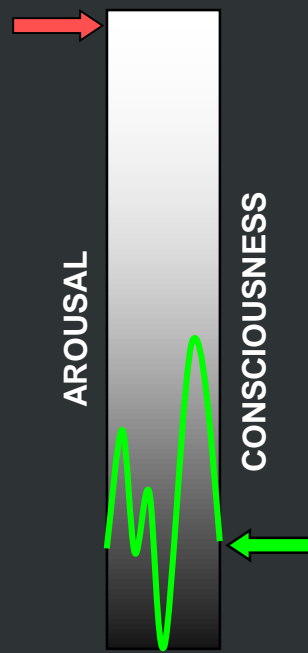
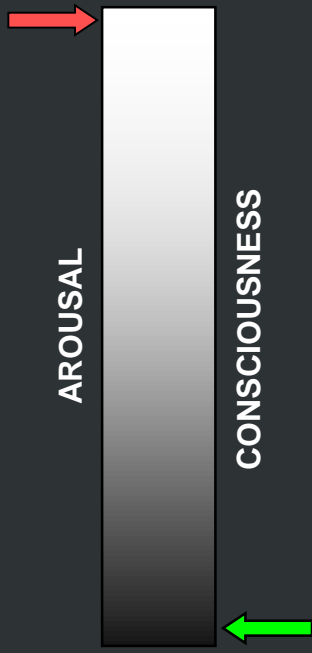
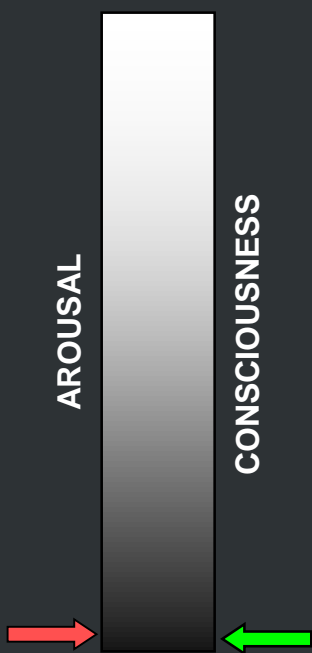


COMA

**VEGETATIVE
UNRESPONSIVE
WAKEFULNESS**

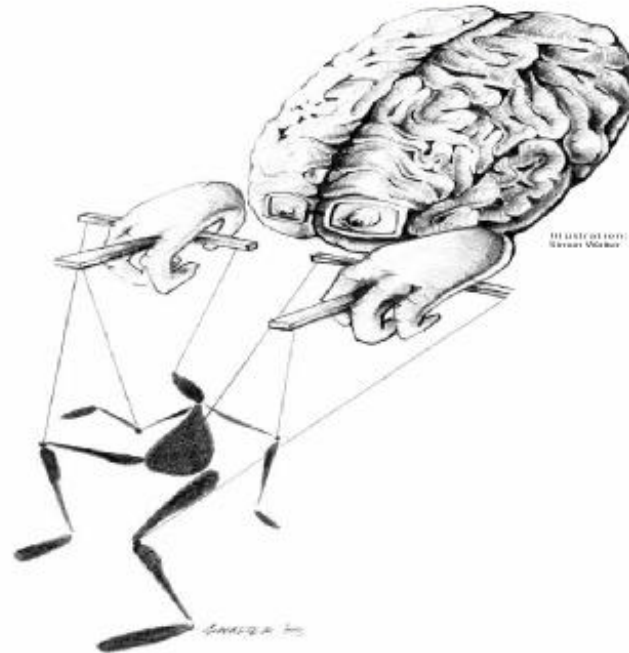
**MINIMALLY
CONSCIOUS
STATE**

**NORMAL
CONSCIOUSNESS**



“Reflex” versus “Voluntary”

“VOLUNTARY” / “WILLED”



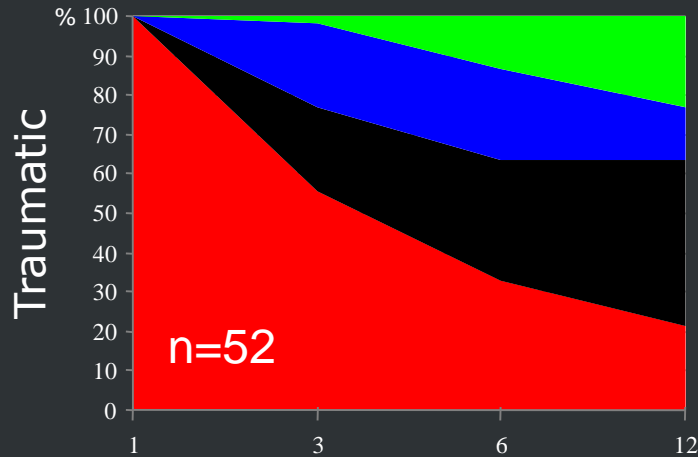
“REFLEX” / “AUTOMATIC”



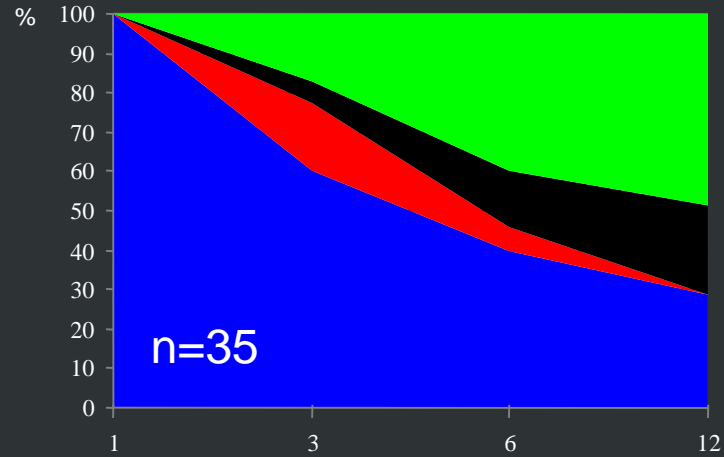
Prognosis



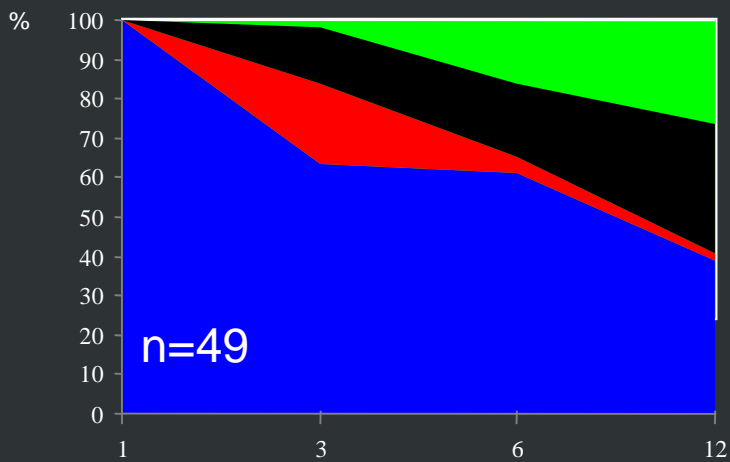
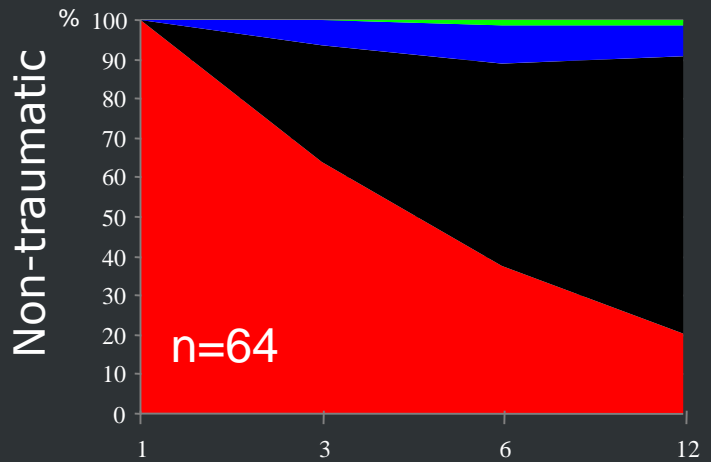
VS/UWS(n=116)



MCS(n=84)

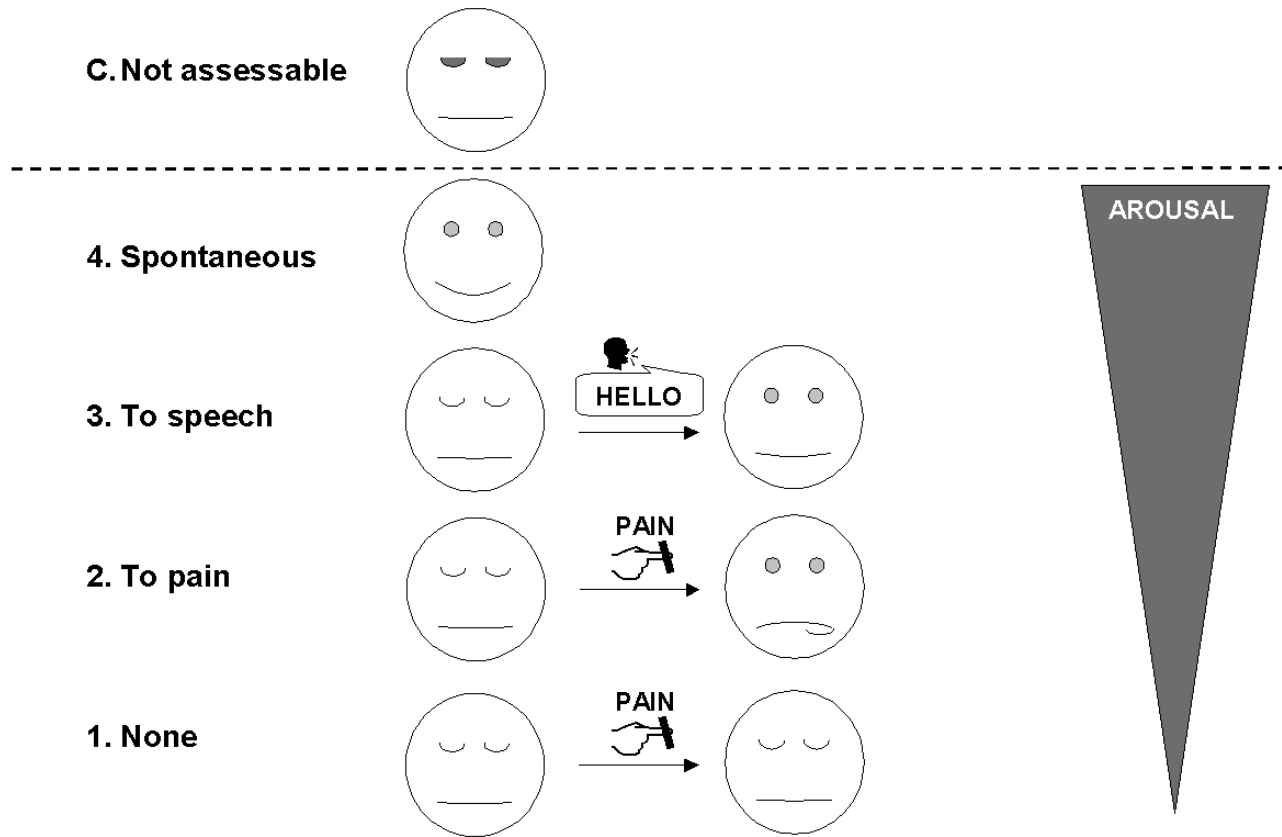


- EMERGENCE
- MCS
- Dead
- VS/UWS



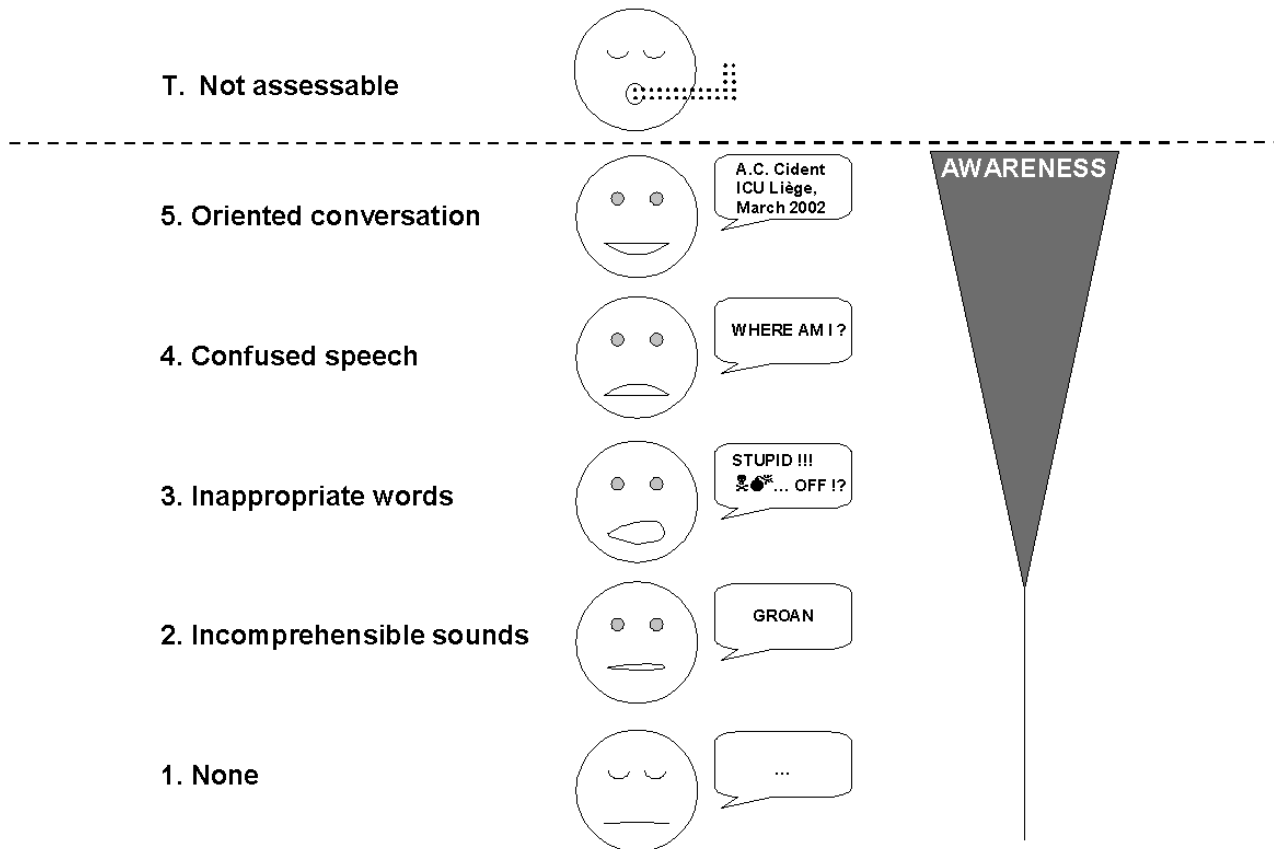


E - eye opening



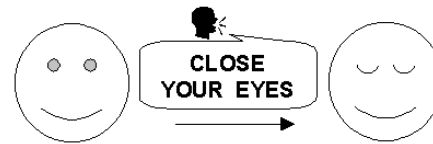


V - verbal response

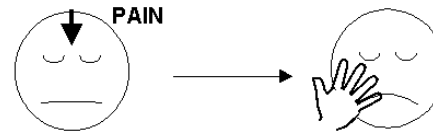


M - motor response

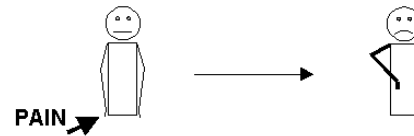
6. Obeys simple commands



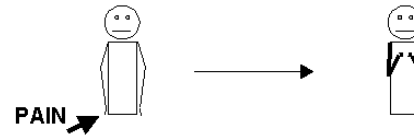
5. Localizes pain



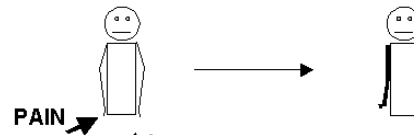
4. Withdraws (normal flexion)



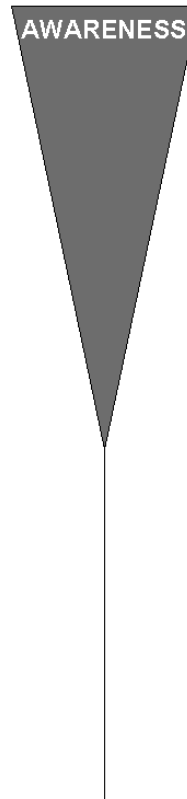
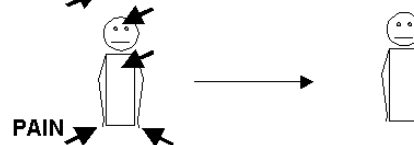
3. Stereotyped flexion



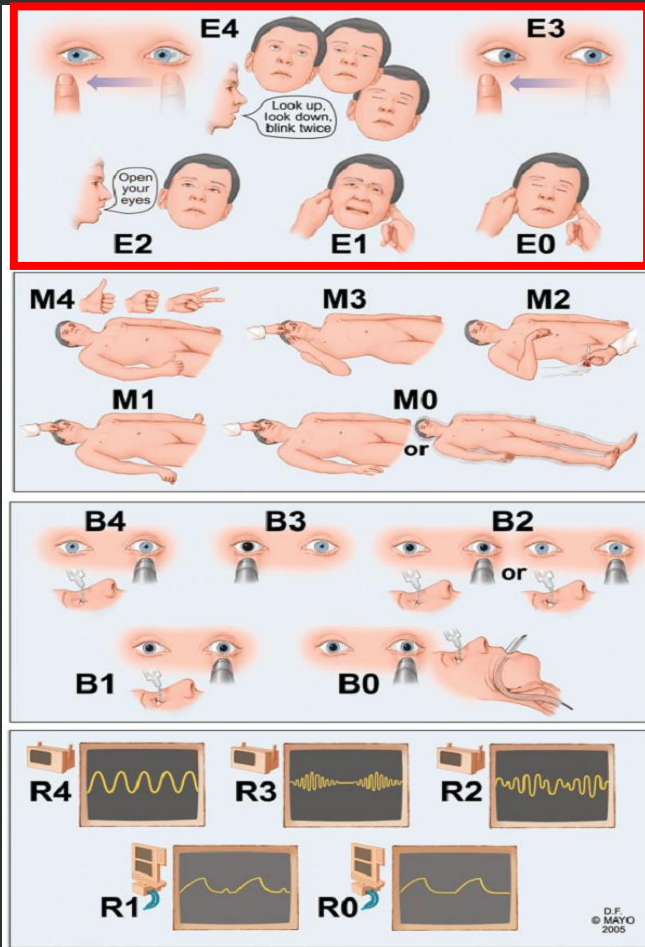
2. Stereotyped extension



1. None



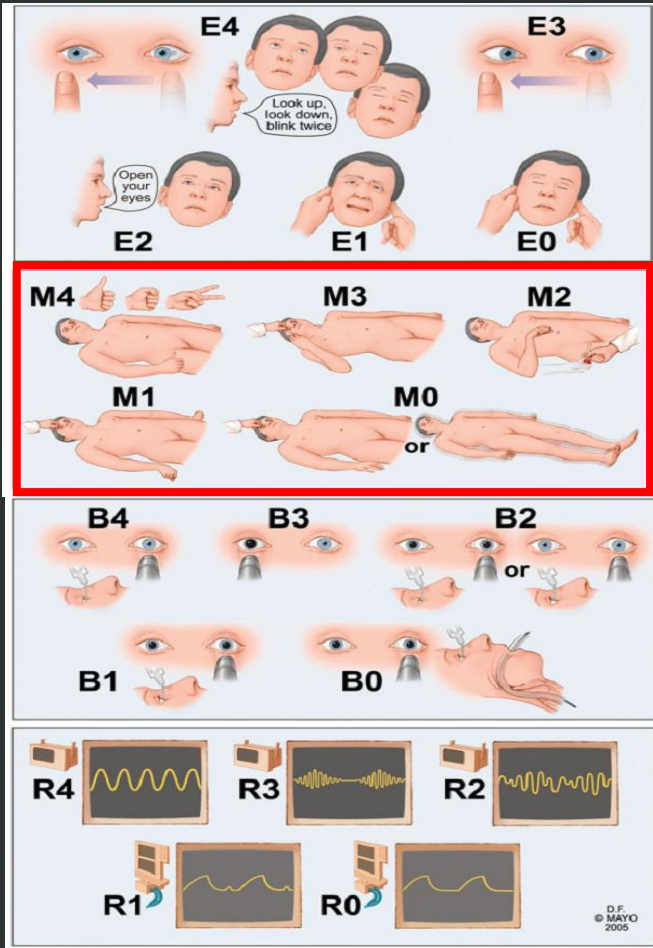
Full Outline of Unresponsiveness



Grade the best possible response after at least 3 trials in an attempt to elicit the best level of alertness. A score of **E4** indicates at least 3 voluntary excursions. If eyes are closed, the examiner should open them and examine tracking of a finger or object. Tracking with the opening of 1 eyelid will suffice in cases of eyelid edema or facial trauma. If tracking is absent horizontally, examine vertical tracking. Alternatively, 2 blinks on command should be documented. This will recognize a locked-in syndrome (patient is fully aware). A score of **E3** indicates the absence of voluntary tracking with open eyes. A score of **E2** indicates eyelids opening to loud voice. A score of **E1** indicates eyelids open to pain stimulus. A score of **E0** indicates no eyelids opening to pain.

- 4** Eyelids open or opened, tracking or blinking to command
- 3** Eyelids open but not tracking
- 2** Eyelids closed but opens to loud voice
- 1** Eyelids closed but opens to pain
- 0** Eyelids remain closed with pain

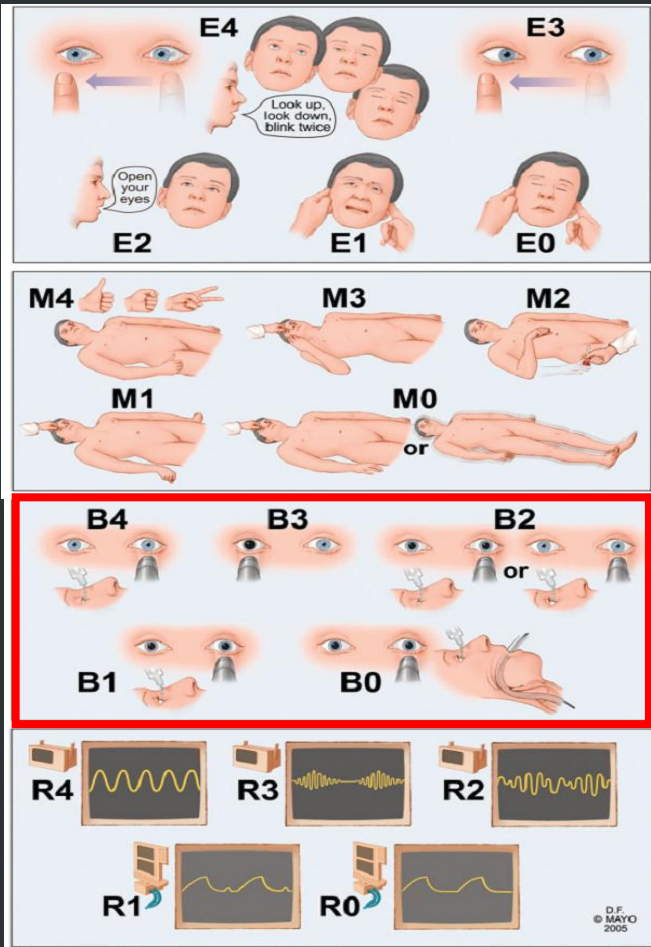
Full Outline of Unresponsiveness



Grade the best possible response of the arms. A score of **M4** indicates that the patient demonstrated at least 1 of 3 hand positions (thumbs-up, fist, or peace sign) with either hand. A score of **M3** indicates that the patient touched the examiner's hand after a painful stimulus compressing the temporomandibular joint or supraorbital nerve (localization). A score of **M2** indicates any flexion movement of the upper limbs. A score of **M1** indicates extensor posturing. A score of **M0** indicates no motor response or myoclonus status epilepticus.

- 4** Thumbs up, fist, or peace sign to command
- 3** Localizing to pain
- 2** Flexion response to pain
- 1** Extensor posturing
- 0** No response to pain or generalized myoclonus status epilepticus

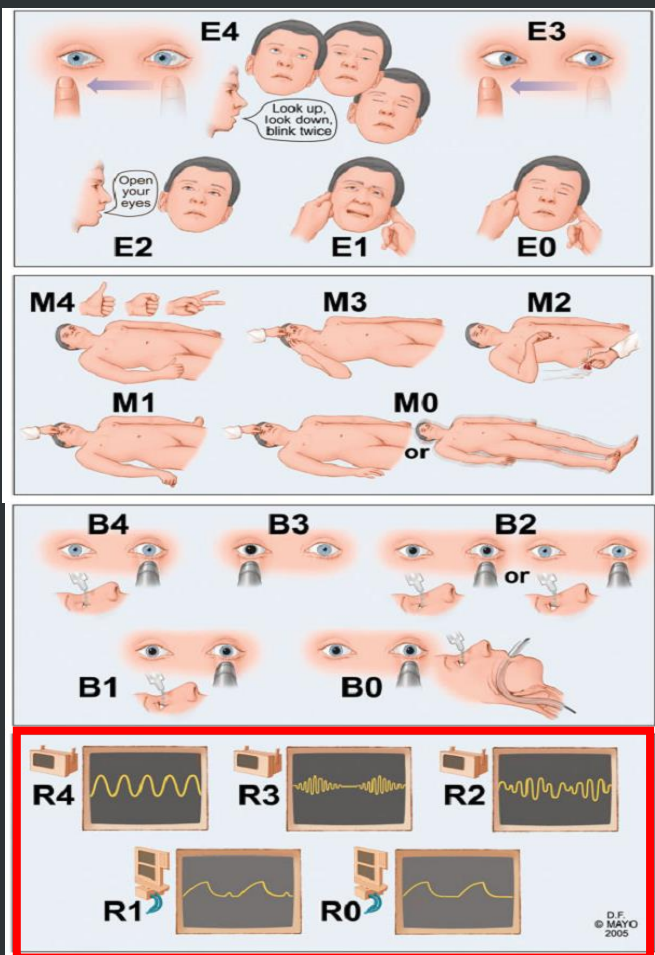
Full Outline of Unresponsiveness



Grade the best possible response. Examine pupillary and corneal reflexes. Preferably, corneal reflexes are tested by instilling 2-3 drops of sterile saline on the cornea from a distance of 4-6 inches (this minimizes corneal trauma from repeated examinations). Cotton swabs can also be used. The cough reflex to tracheal suctioning is tested only when both of these reflexes are absent. A score of **B4** indicates pupil and cornea reflexes are present. A score of **B3** indicates one pupil wide and fixed. A score of **B2** indicates either pupil or cornea reflexes are absent, **B1** indicates both pupil and cornea reflexes are absent and a score of **B0** indicates pupil, cornea and cough reflex (using tracheal suctioning) are absent.

- 4** Pupil and corneal reflexes present
- 3** One pupil wide and fixed
- 2** Pupil *or* corneal reflexes absent
- 1** Pupil *and* corneal reflexes absent
- 0** Absent pupil, corneal, and cough reflex

Full Outline of Unresponsiveness



Determine spontaneous breathing pattern in a nonintubated patient, and grade simply as regular **R4**, irregular **R2**, or Cheyne-Stokes **R3** breathing. In mechanically ventilated patients, assess the pressure waveform of spontaneous respiratory pattern or the patient triggering of the ventilator **R1**. The ventilator monitor displaying respiratory patterns is used to identify the patient generated breaths on the ventilator. No adjustments are made to the ventilator while the patient is graded, but grading is done preferably with PaCO₂ within normal limits. A standard apnea (oxygen-diffusion) test may be needed when patient breathes at ventilator rate **R0**.

- 4** Not intubated, regular breathing pattern
- 3** Not intubated, Cheyne-Stokes breathing pattern
- 2** Not intubated, irregular breathing pattern
- 1** Breathes above ventilator rate
- 0** Breathes at ventilator rate or apnea



GCS or FOUR?



	GCS	FOUR
VS/UWS	71	63
MCS	75	83

n= 146



Coma Recovery Scale-Revised (CRS-R)

AUDITORY FUNCTION SCALE

- 4 - Consistent Movement to Command *
- 3 - Reproducible Movement to Command *
- 2 - Localization to Sound
- 1 - Auditory Startle
- 0 - None

VISUAL FUNCTION SCALE

- 5 - Object Recognition *
- 4 - Object Localization: Reaching *
- 3 - Visual Pursuit *
- 2 - Fixation *
- 1 - Visual Startle
- 0 - None

MOTOR FUNCTION SCALE

- 6 - Functional Object Use †
- 5 - Automatic Motor Response *
- 4 - Object Manipulation *
- 3 - Localization to Noxious Stimulation *
- 2 - Flexion Withdrawal
- 1 - Abnormal Posturing
- 0 - None/Flaccid

OROMOTOR/VERBAL FUNCTION SCALE

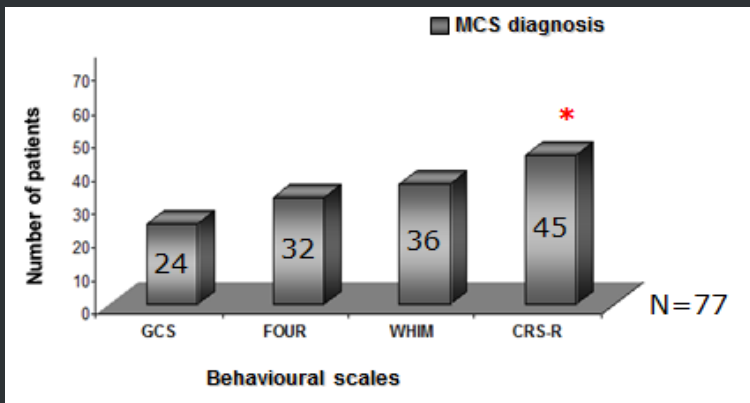
- 3 - Intelligible Verbalization *
- 2 - Vocalization/Oral Movement
- 1 - Oral Reflexive Movement
- 0 - None

COMMUNICATION SCALE

- 2 - Functional: Accurate †
- 1 - Non-Functional: Intentional *
- 0 - None

AROUSAL SCALE

- 3 - Attention
- 2 - Eye Opening w/o Stimulation
- 1 - Eye Opening with Stimulation
- 0 - Unarousable





Misdiagnosis

n=103 post-comatose patients

- 44 clinical consensus diagnosis 'vegetative state'
 - 18 signs of awareness
 - (Coma Recovery Scale-Revised)

↪ 41% potential misdiagnosis

- 41 clinical consensus diagnosis 'minimally conscious state'
 - 4 (10%) had emerged from the MCS

Diagnosis

Nociception and pain





Pain and nociception

Pain

“Unpleasant sensory and emotional experience associated with real or potential tissue damage”

“The inability to communicate verbally does not negate the possibility that an individual is experiencing pain and is in need of appropriate pain-relieving treatment.”



Pain and nociception

Pain

“Unpleasant sensory and emotional experience associated with real or potential tissue damage”

“The inability to communicate verbally does not negate the possibility that an individual is experiencing pain and is in need of appropriate pain-relieving treatment.”

Nociception

*“The neural process of encoding noxious stimuli”
(transduced and encoded by nociceptors).*

“Pain sensation is not necessarily implied”.



Pain and nociception

Pain

“Unpleasant sensory and emotional experience associated with real or potential tissue damage”

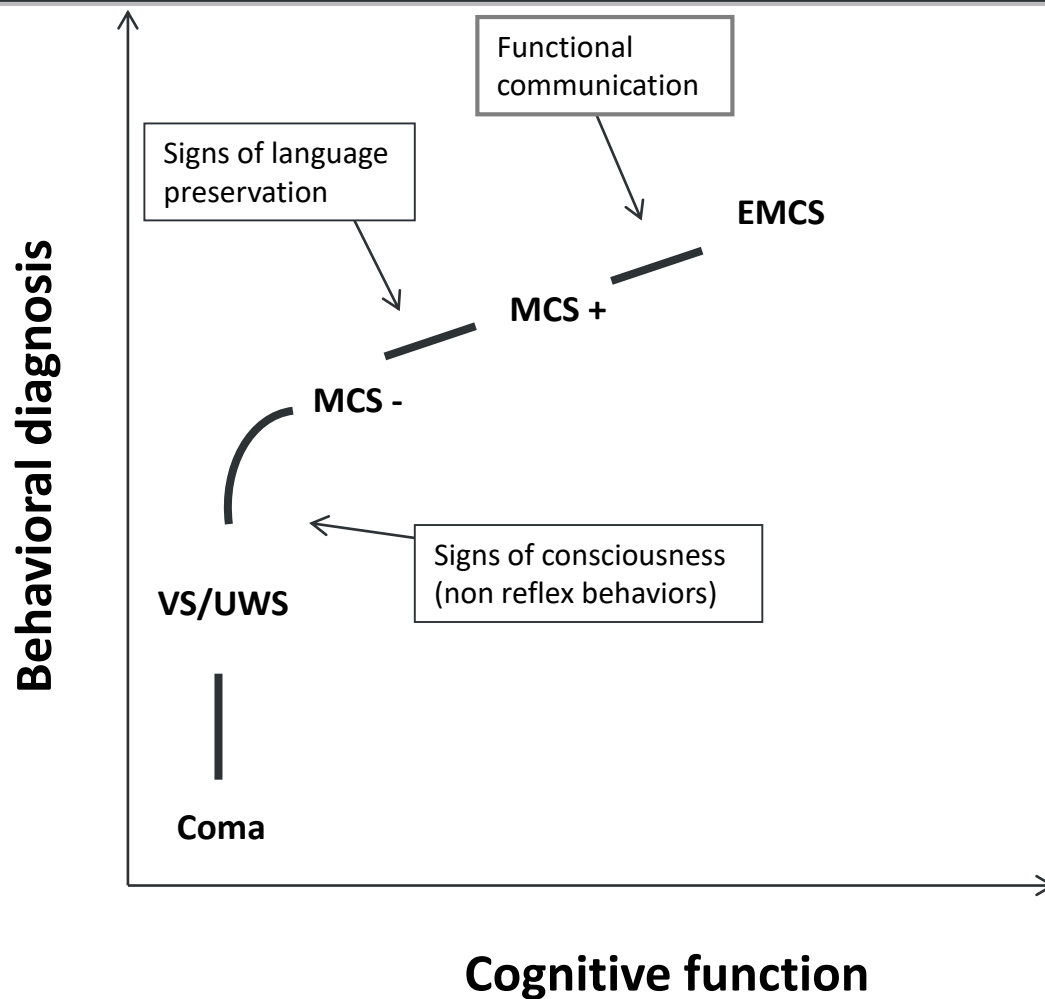
“The inability to communicate verbally does not negate the possibility that an individual is experiencing pain and is in need of appropriate pain-relieving treatment.”

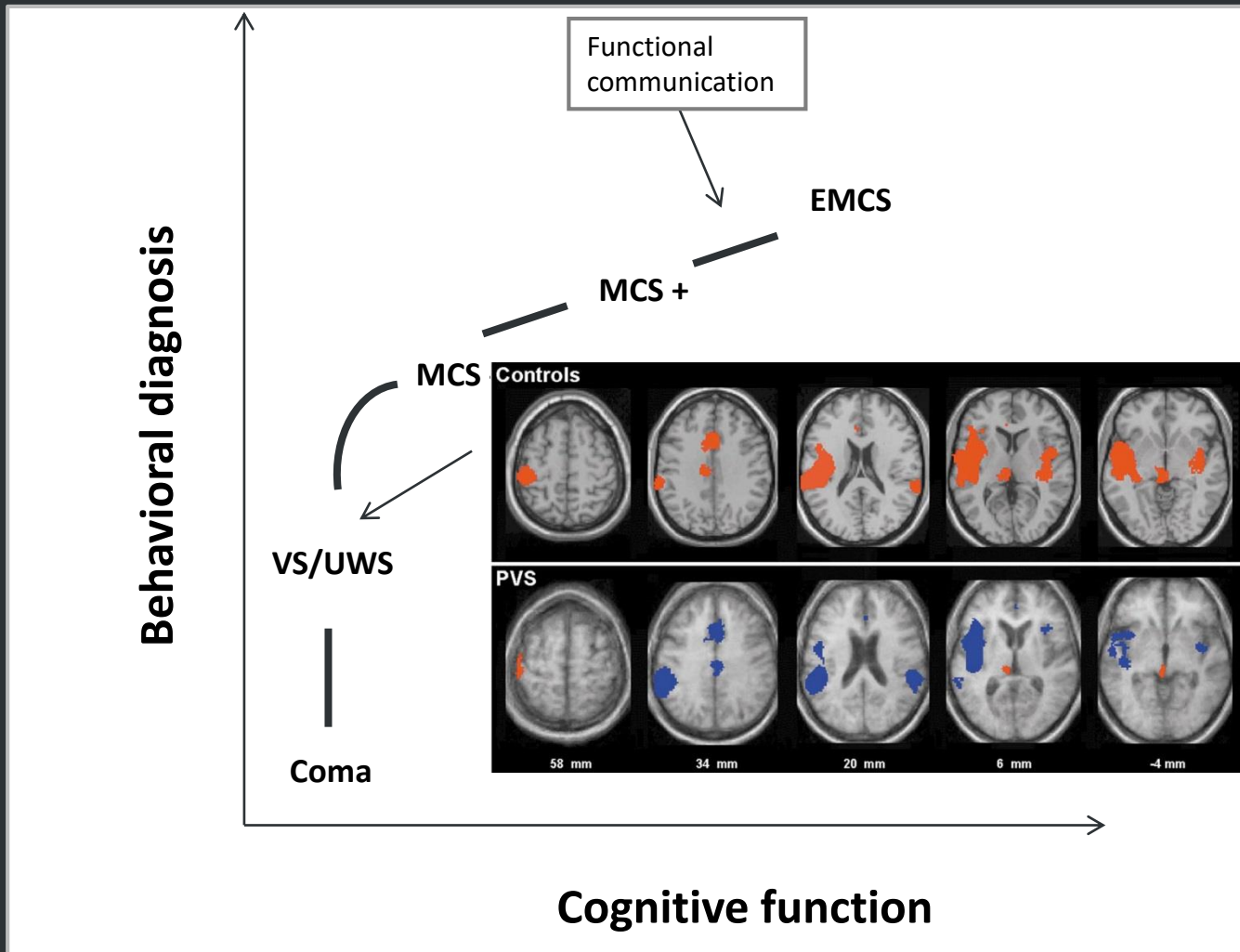
Nociception

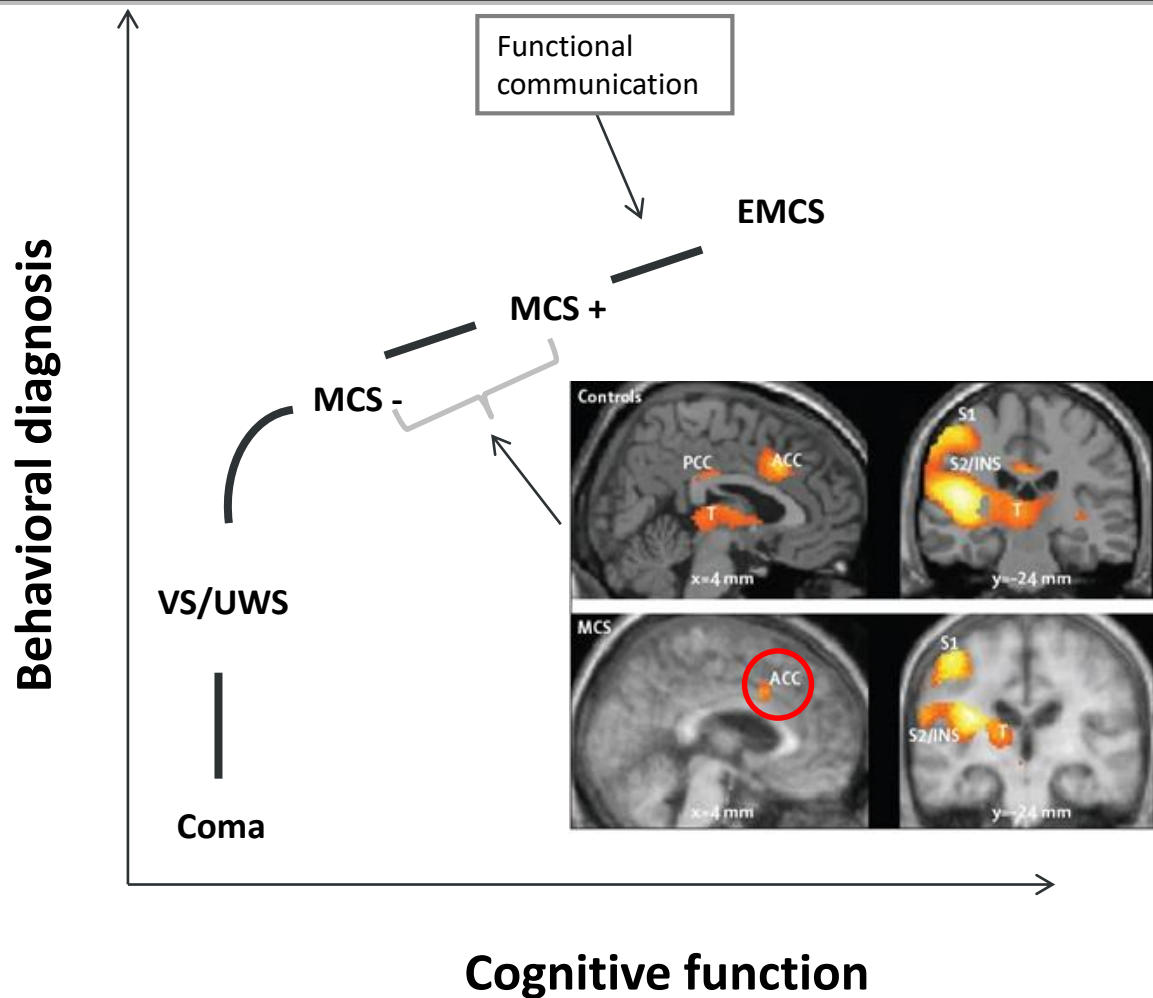
*“The neural process of encoding noxious stimuli”
(transduced and encoded by nociceptors).*

“Pain sensation is not necessarily implied”.

→ « Pain is always subjective »









Behavioral scales



Population	Pain scales	Facial expression	Vocalization/ Verbalization	Body movements	Consolability	Arousal	Physiological parameters	Activity pattern
Demented elderly	DOLOPLUS2 (77)	✓	✓	✓				✓
	PACSLAC (Pain Assessment Checklist for Seniors with Limited Ability to Communicate) (78)	✓		✓				✓
	ECPA (L'Echelle Comportementale pour Personne Agées) (79)	✓	✓	✓				✓
	PAINAD (Pain Assessment in Advanced Dementia) (80)	✓	✓	✓	✓		✓	
	NOPPAIN The Non-Communicative Patient's Pain Assessment Instrument) (81)	✓	✓	✓				
	CNPI (Checklist of Nonverbal Pain Indicators) (82)	✓	✓	✓				
	Abbey Pain Scale (83)	✓	✓	✓			✓	✓
Newborns/preverbal children	PIPP (Premature Infant Pain Profile) (84)	✓					✓	
	NIPS (Neonatal Infant Pain Scale) (85)	✓	✓	✓		✓	✓	
	CHEOPS (Children's Hospital of Eastern Ontario Pain Scale) (86)	✓	✓	✓				
	FLACC(Face, Legs, Arms, Cry, Consolability) (87)	✓	✓	✓	✓			
	PPPM (Parents' Postoperative Pain Measure) (88)		✓	✓				✓
Sedated/intubated patients	BPS (Behavioral Pain Scale) (89)	✓		✓				
	COMFORT Scale (90)	✓		✓		✓	✓	



Nociception Coma Scale

VERBAL RESPONSE

- 3 – Verbalisation intelligible
- 2 – Vocalisation
- 1 – Groaning
- 0 – None

MOTOR RESPONSE

- 3 – Localization to noxious stimulation
- 2 – Flexion withdrawal
- 1 – Abnormal posturing
- 0 – None/Flaccid

VISUAL RESPONSE

- 3 – Fixation
- 2 – Eyes movements
- 1 – Startle
- 0 – None

FACIAL EXPRESSION

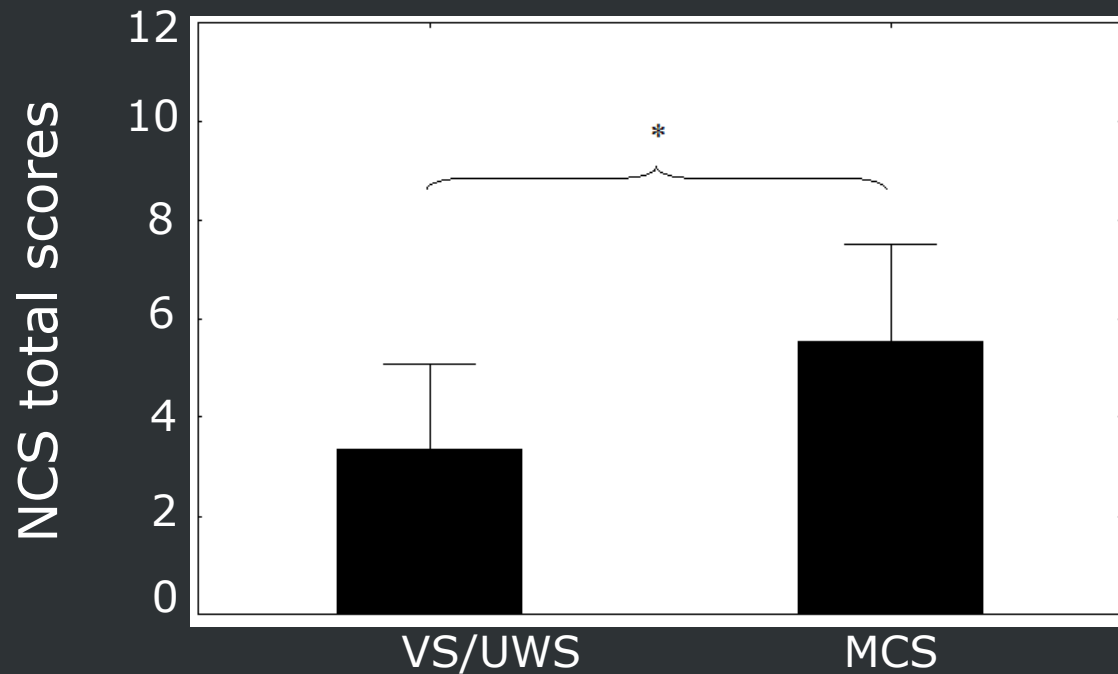
- 3 – Cry
- 2 – Grimace
- 1 – Oral reflexive movement/Startle response
- 0 – None

Total score : 12

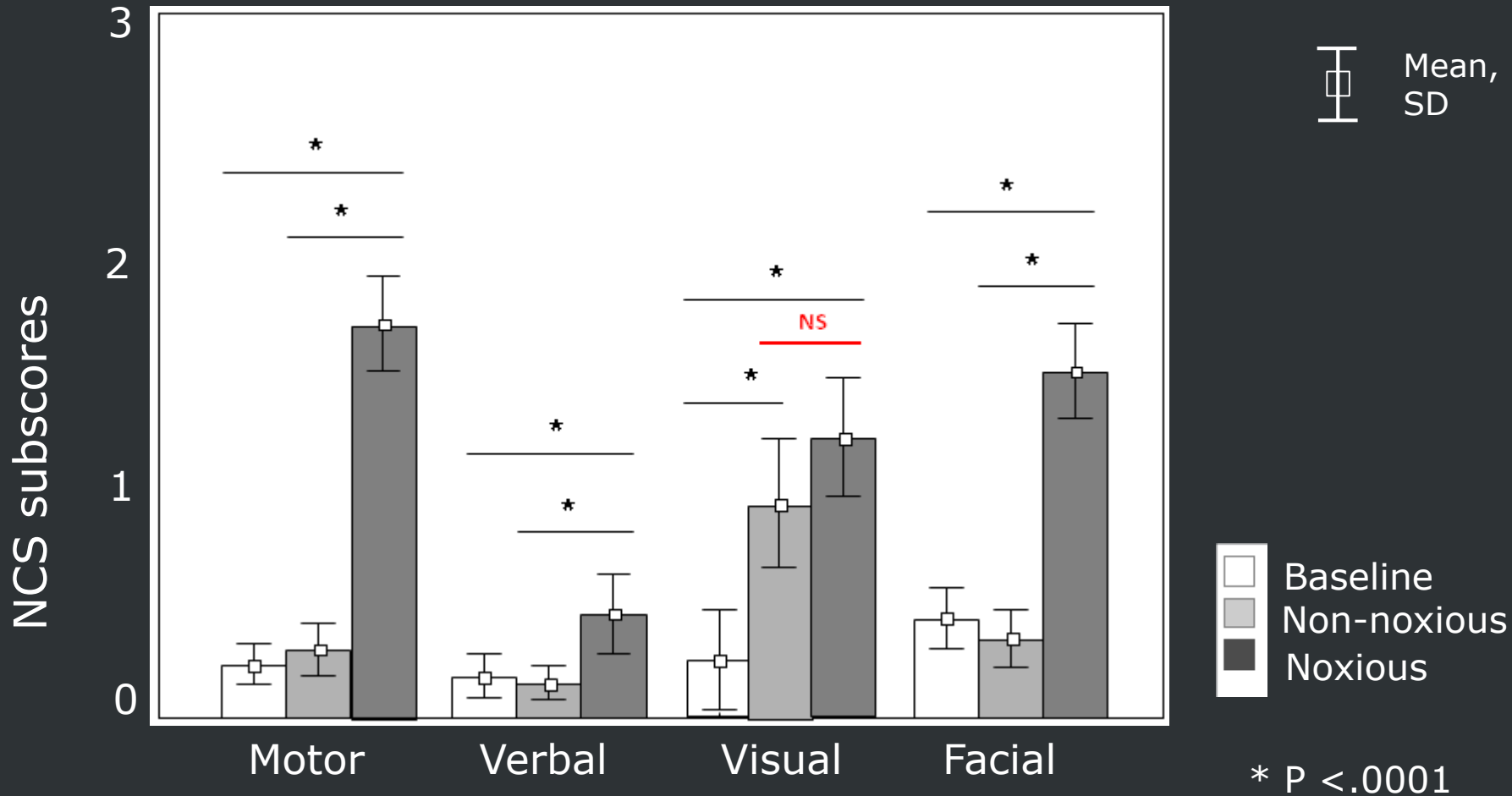


Nociception Coma Scale

- Concurrent validity: good
- Interrater reliability: good to excellent
- Effect of clinical diagnosis: yes

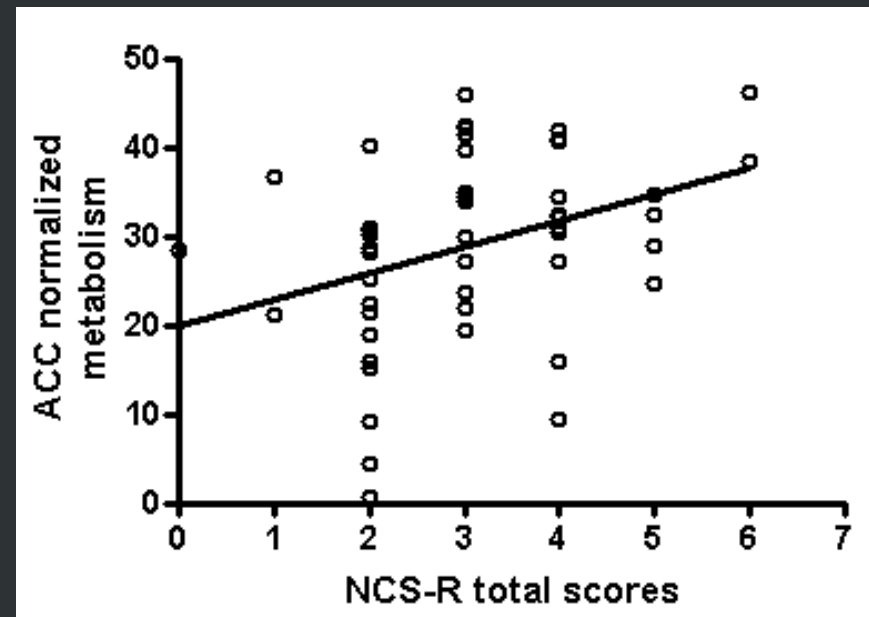
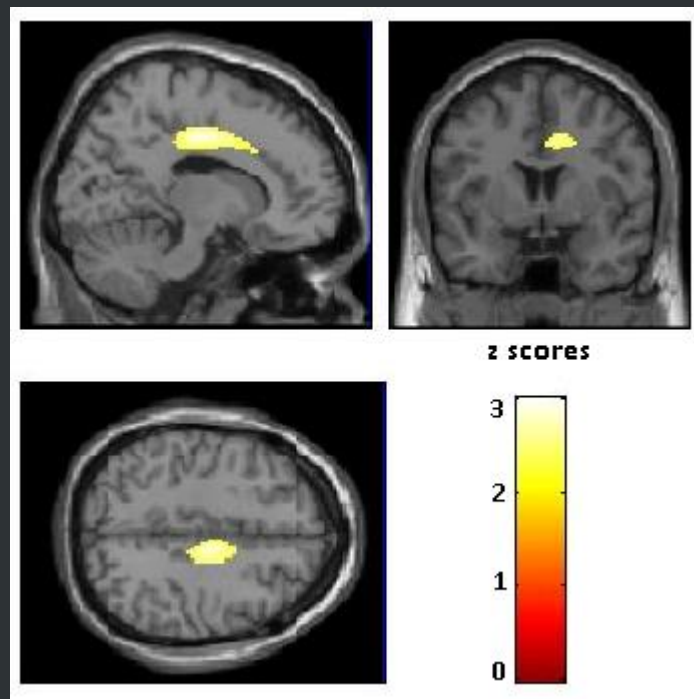


Nociception Coma Scale revised



NCS-R total scores correlate with posterior part of the anterior cingulate cortex

→ **cognitive-affective dimension** of pain (Rainville, 1997)

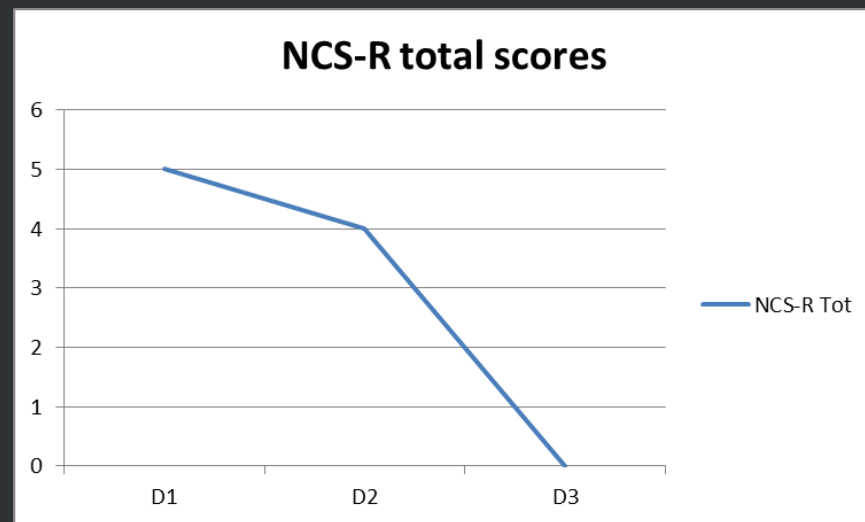
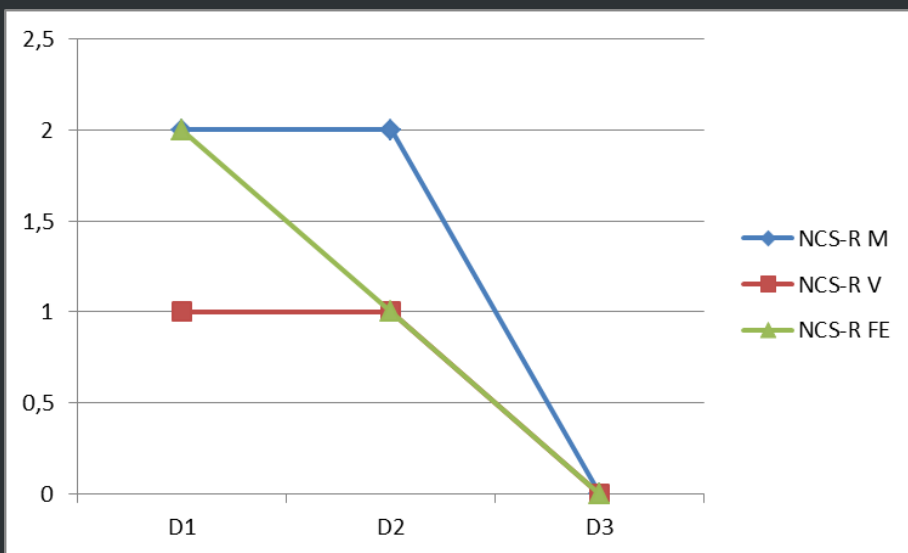


n = 42



NCS-R and clinic

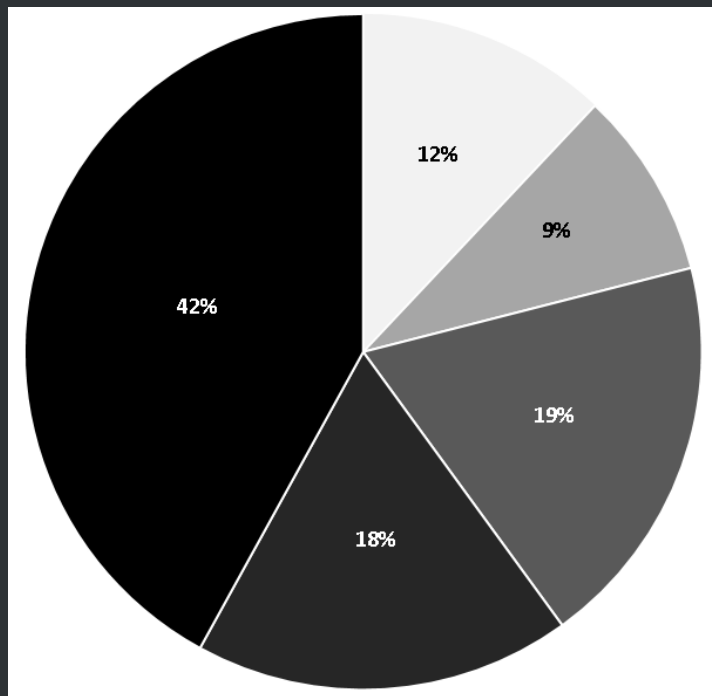
21 yo, MCS, Polytrauma 8 days post injury
Treatment: 1mg perfuzalgan before cares (mobilisation)
Revised



1mg/h morphine (continuous)



Prevalence: 88% (n=59) suffered from spasticity (MAS \geq 1) and 60% (n=39) suffered from severe spasticity (MAS \geq 3)



- 0 : no increase in muscle tone
- 1 : slight increase in muscle tone, manifested by a catch or by minimal resistance at the end of the range of motion (ROM) when the affected part(s) is (are) moved in flexion or extension
- 1+ : slight increase in muscle tone, manifested by a catch, followed by minimal resistance throughout the remainder (less than half) of the ROM
- 2 : more marked increase in muscle tone through most of the ROM, but affected part(s) easily moved
- 3 : considerable increase in muscle tone, passive movement difficult
- 4 : Affected part(s) rigid in flexion or extension

Moderate spasticity

Severe spasticity

n=65



Conclusion: guidelines on clinical management

- High rate of misdiagnosis if non sensitive scales are used
 - Acute stage/ICU: FOUR
 - Chronic stage : CRS-R
- Useful for monitoring recovery/medical complications
- Caveats
 - Language dependent
 - Relying strongly on motor abilities



Conclusion: guidelines on clinical management

- Need to improve management of potential pain: 76% documented potential pain, 59% not treated with analgesics
- NCS-R: useful tool for clinical management of nociception/pain:
 - Sudden increase in NCS-R scores can alert clinicians of a potential pain/medical complications, further investigation is needed
- Caveats
 - Motor/verbal dependent

BREAK (~15 min)



Paraclinical diagnosis

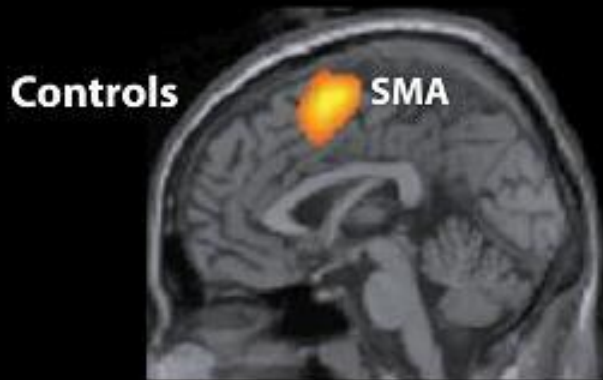
Active paradigms



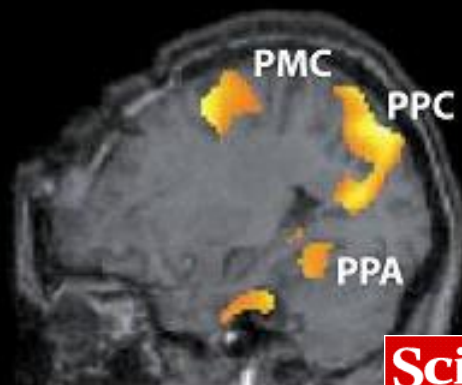
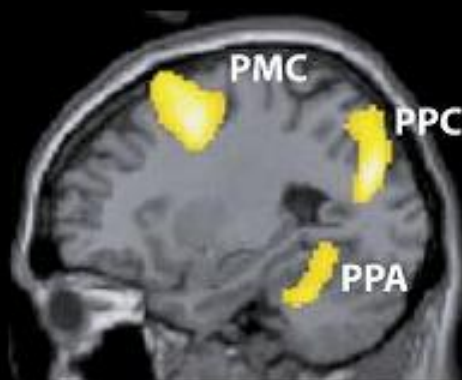
LIÈGE université
GIGA institute

Active paradigm – fMRI

Tennis Imagery



Spatial Navigation Imagery



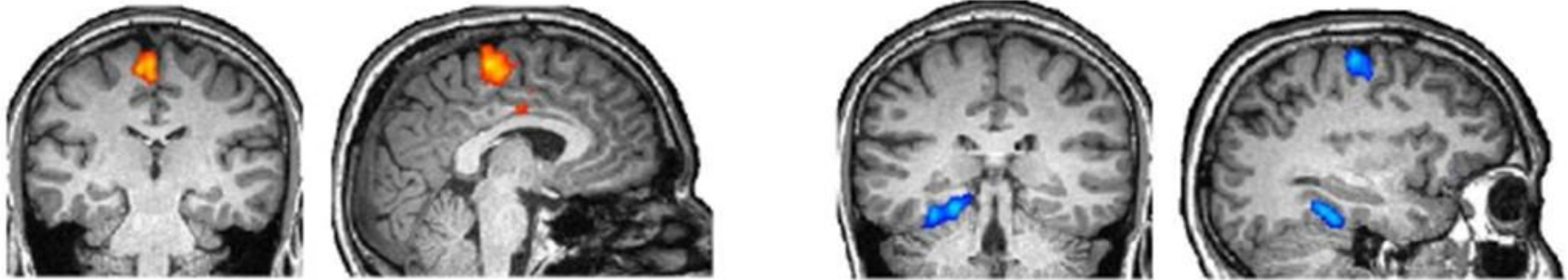
"He's not in coma...
he's playing tennis!"



Science



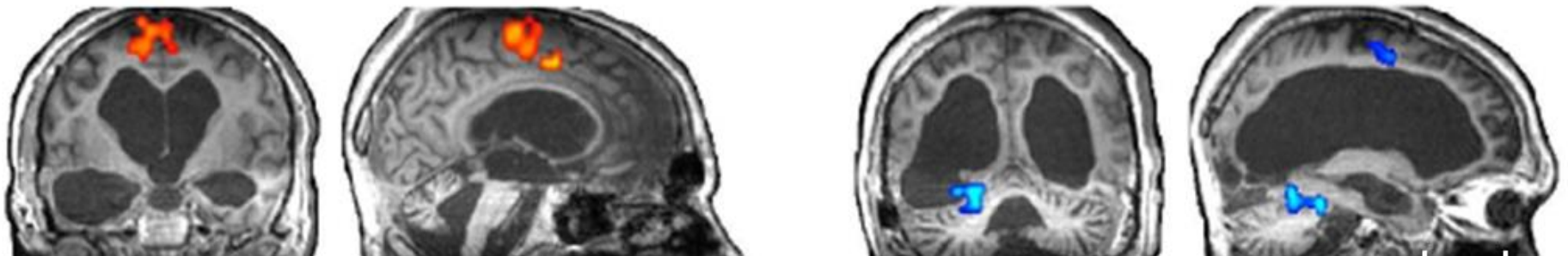
HEALTHY SUBJECT



Answers « YES »

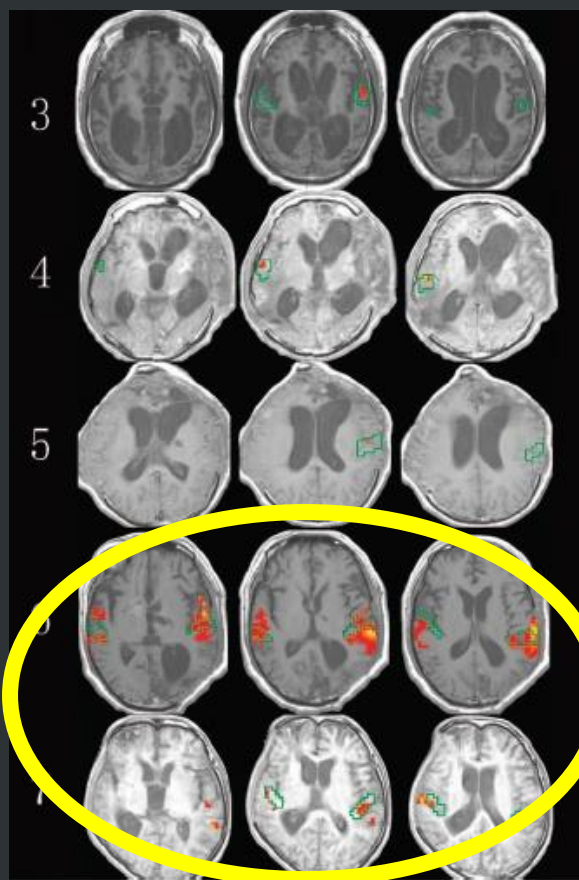
Answers « NO »

« VEGETATIVE STATE »

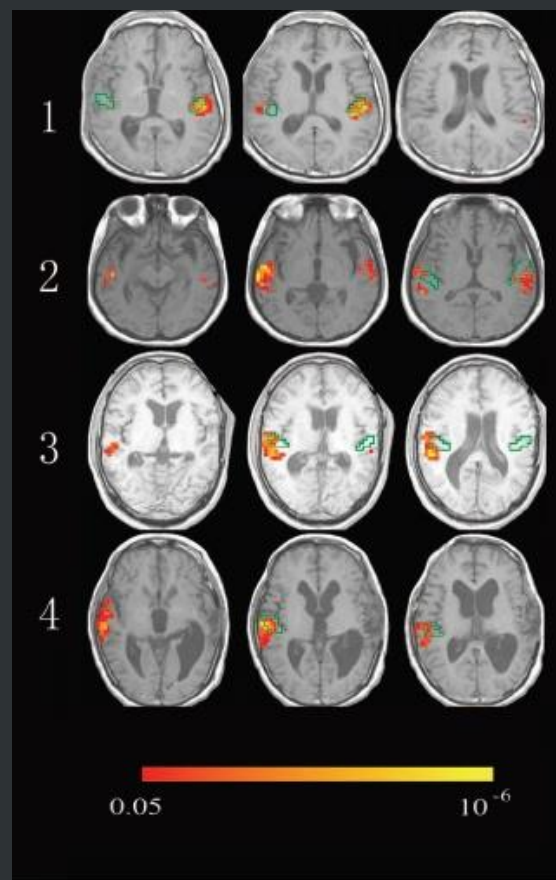




VS/UWS



MCS





Activation studies predict outcome

n=48 patients

6 fMRI studies (n=17) and 8 PET (n=32)

32 non-traumatic

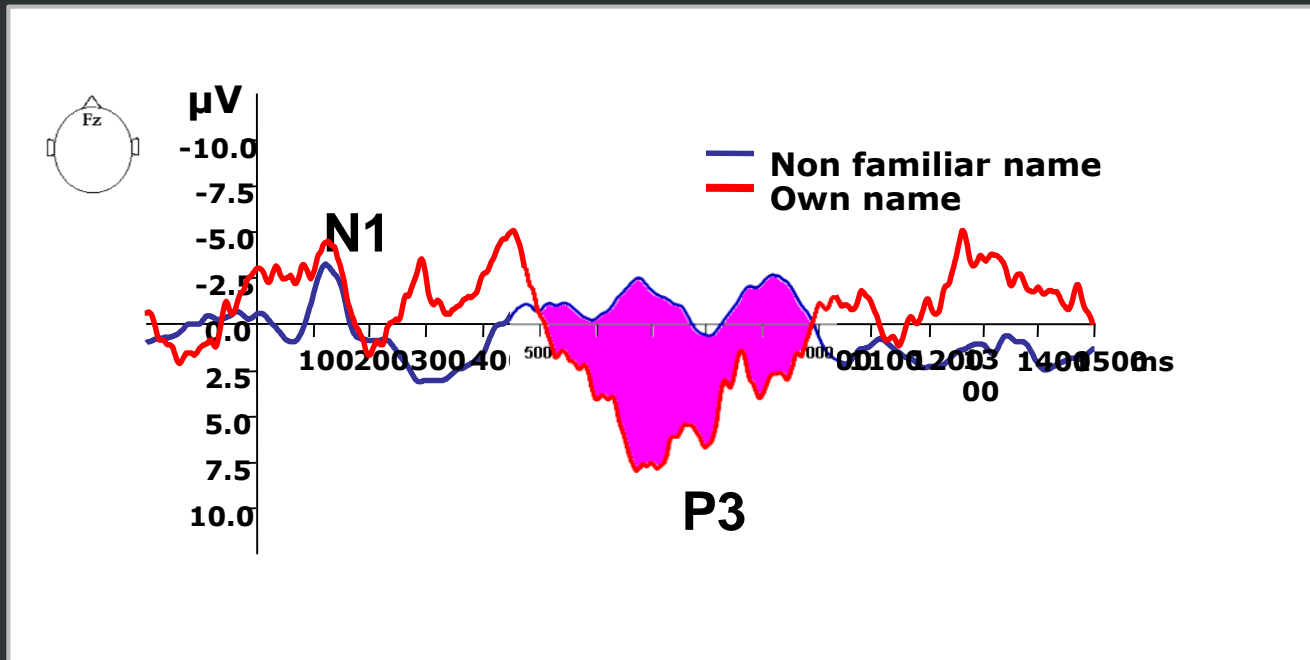
38% “high level” activation (n=18)

- 7 traumatic
- 82% (9/11) recovered consciousness (6 traumatic)

62% absent or primary “low level” cortical activation (n=30)

- typical activation pattern (n=25; 52%; 8 traumatic)
 - 84% (21/25) failed to recover (7 traumatic)
- no cortical activation (n=5; 10%; 1 traumatic)
 - 100% (4/4) failed to recover (1 traumatic)

Active paradigm – EEG





Active paradigm – EEG



Coma or total locked-in syndrome?

21-y old woman
basilar artery thrombosis - day 49



Other names PASSIVE
Count TARGET (other name)
Own name PASSIVE
Count TARGET (own name)



“MOVE YOUR FOOT”

“MOVE YOUR HAND”



HEALTHY
CONTROL
SUBJECT



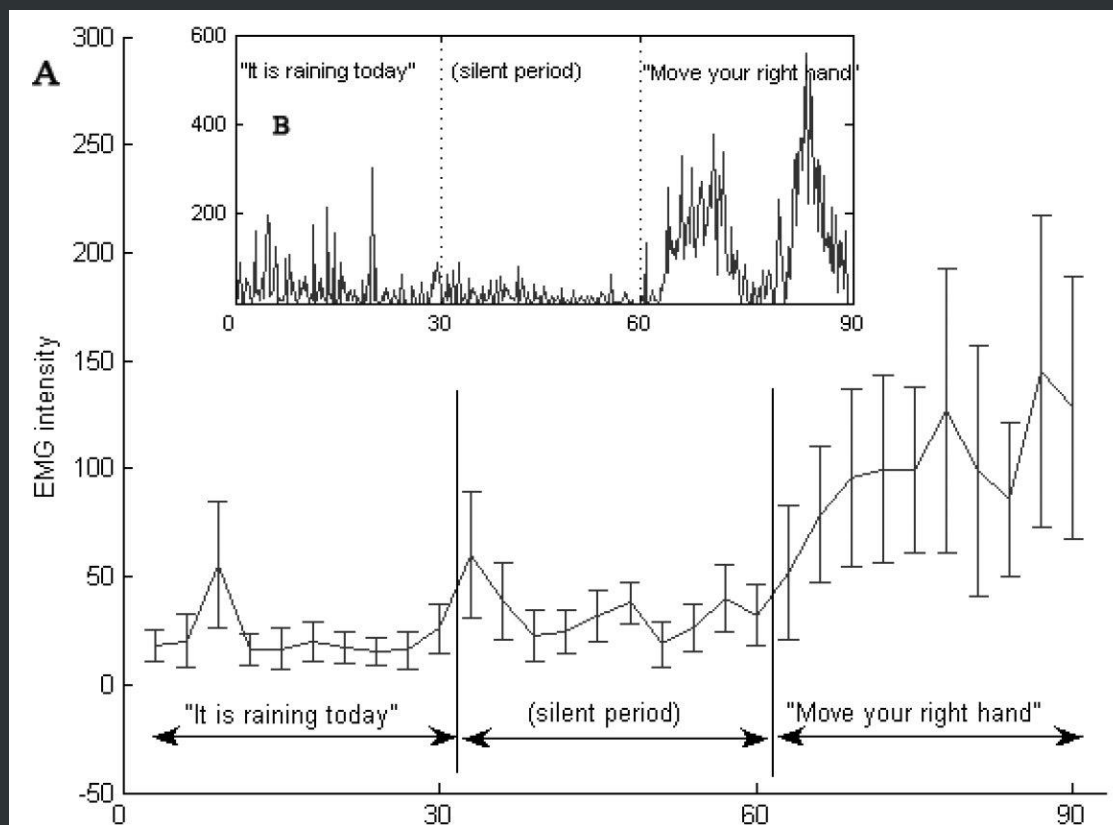
“VEGETATIVE”
UNRESPONSIVE
PATIENT



3/16 UWS
patients
successfully
completed task

www.thelancet.com

« Move your right hand » - 1/8 UWS & 2/2 MCS increased EMG

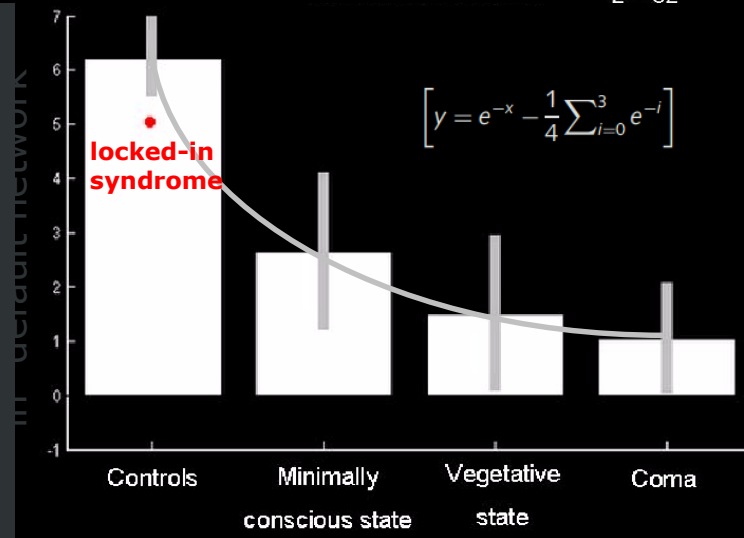
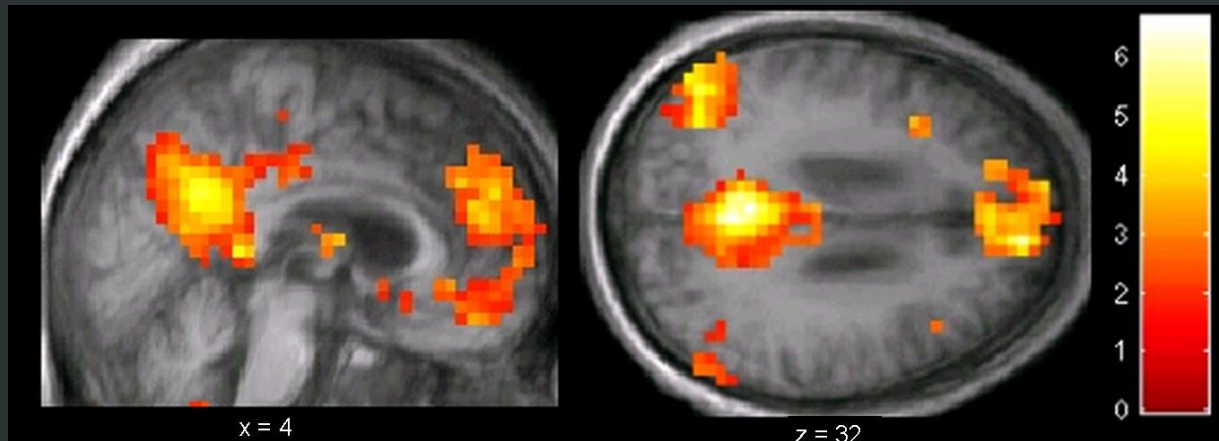


Paraclinical diagnosis

Passive paradigms



Default mode network



Connectivity in DMN correlated with LOC

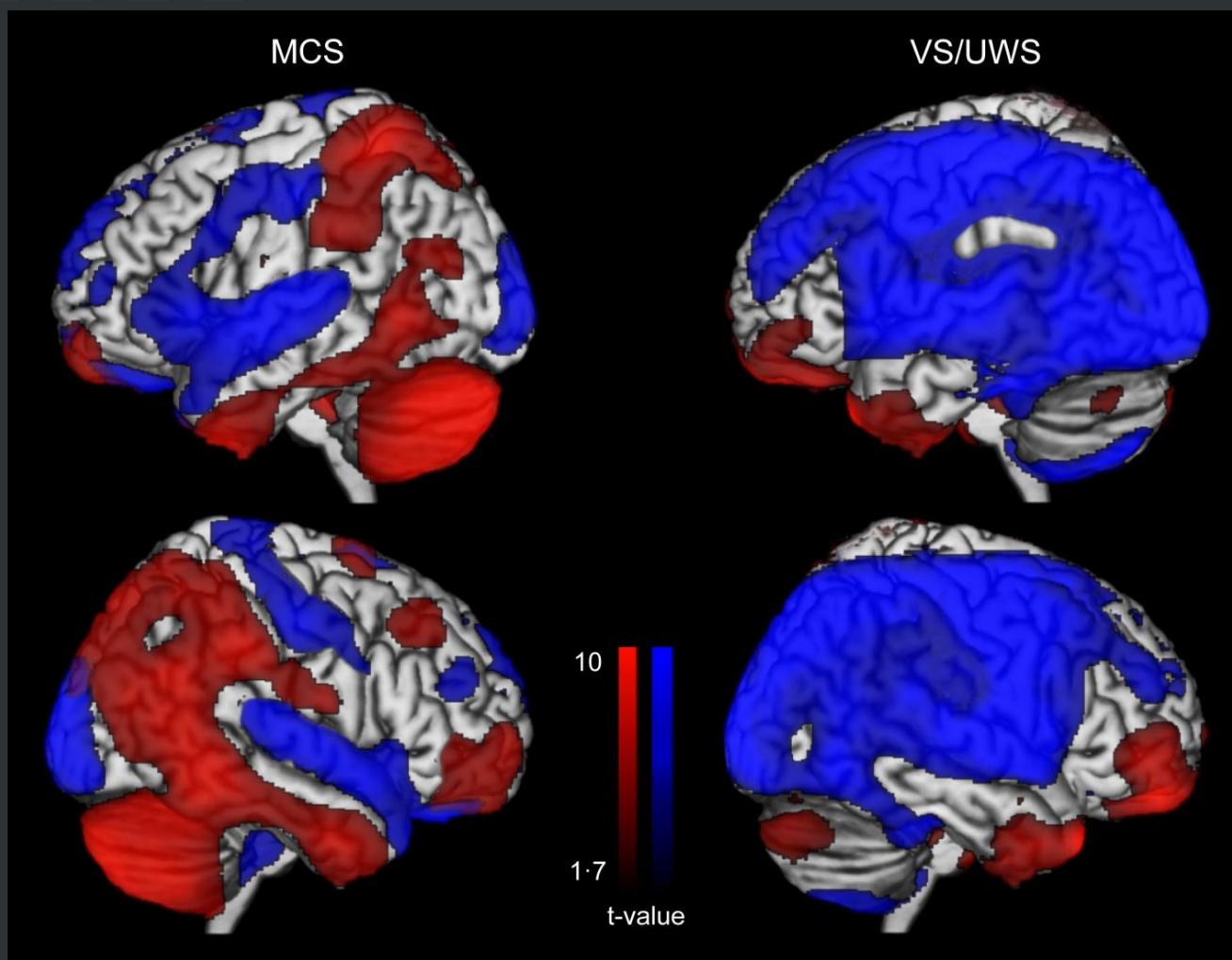


PET scan

- 126 patients (81 MCS, 41 VS/UWS, 4 locked-in syndrome)
- Traumatic (n=48) and non-traumatic (n=78) etiology
- Chronic (>1 month, n=110) and subacute (n=16) setting
- Coma Recovery Scale – Revised
- Fluorodeoxyglucose positron emission tomography (FDG-PET)
- Functional magnetic resonance imaging during mental activation tasks (fMRI)



PET scan





PET scan



	Clinical consensus diagnosis	FDG-PET	Mental imagery fMRI
Completed examinations (out of 122)	122 (100%)	112 (91%)	72 (59%)
Number of interpretable examinations (out of all completed)	89 (73%)	112 (100%)	70 (97%)
Overall congruence with CRS-R (95% CI)	78%	85%	63%
Congruence with CRS-R diagnoses of VS/UWS	95%	67%	89%
Sensitivity to MCS	67%	93%	45%
Overall outcome prediction	-	74%	56%
Positive outcome prediction	-	67%	63%
Negative outcome prediction	-	92%	52%



PET scan



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PET scan



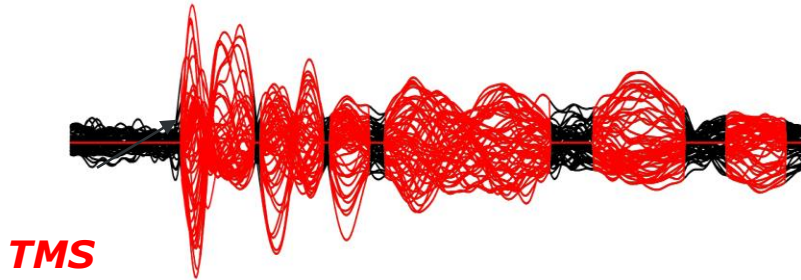
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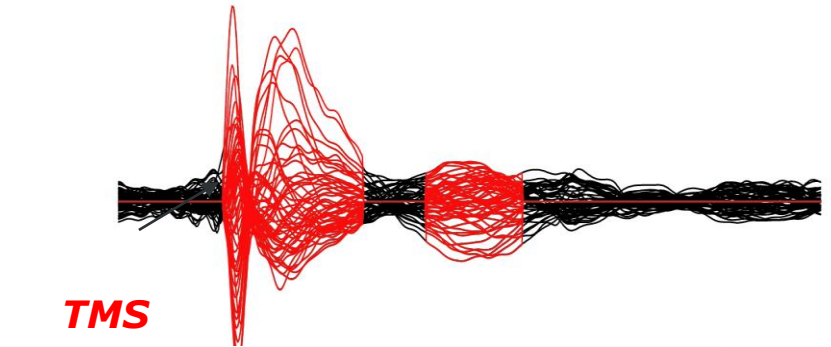
Transcranial Magnetic Stimulation/Electroencephalography (TMS/EEG)



Wakefulness

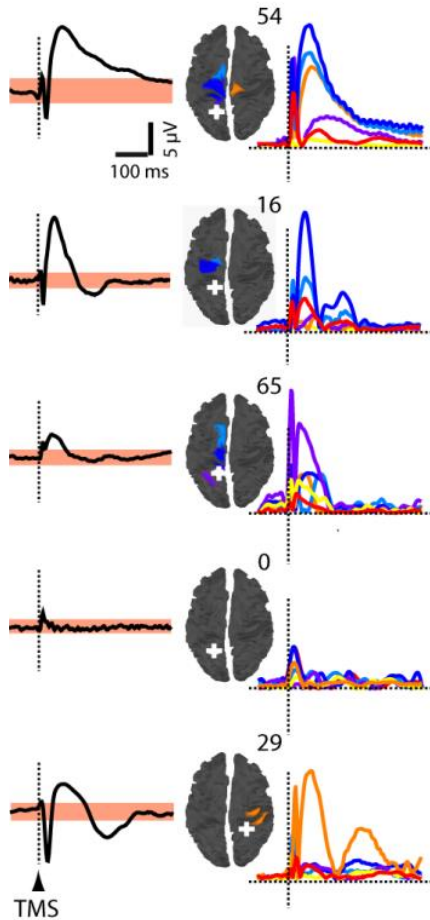


Deep sleep

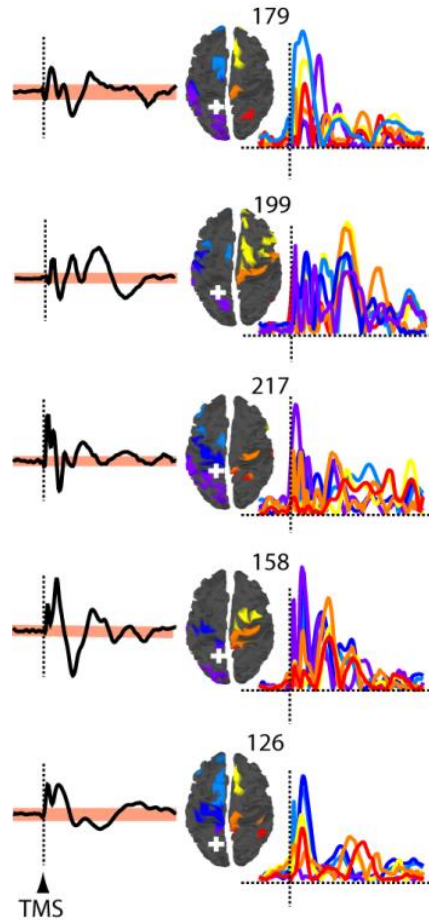


Passive paradigm – TMS/EEG

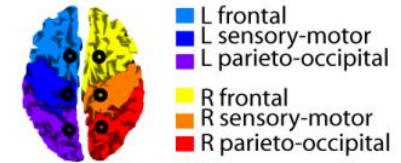
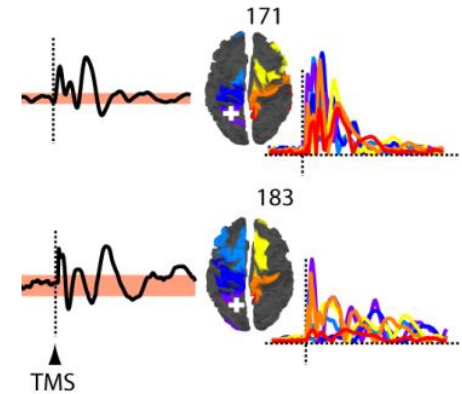
VS



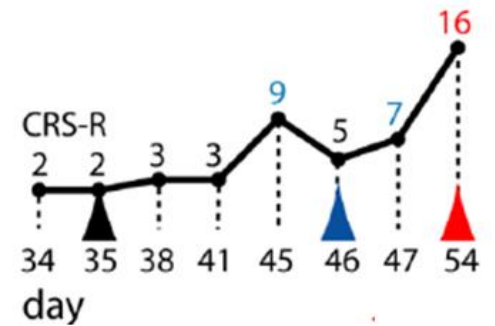
MCS



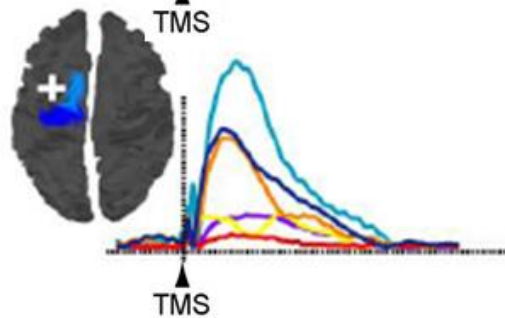
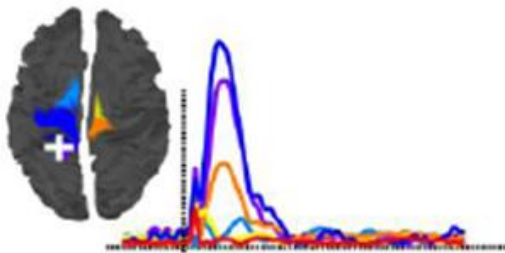
LIS



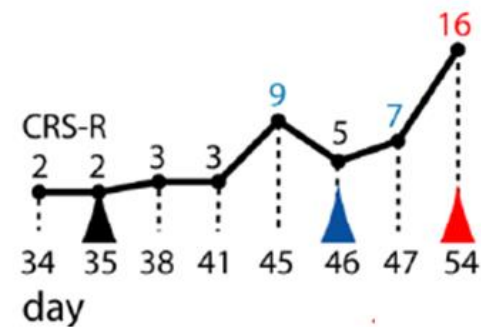
Passive paradigm – TMS/EEG



VS/UWS



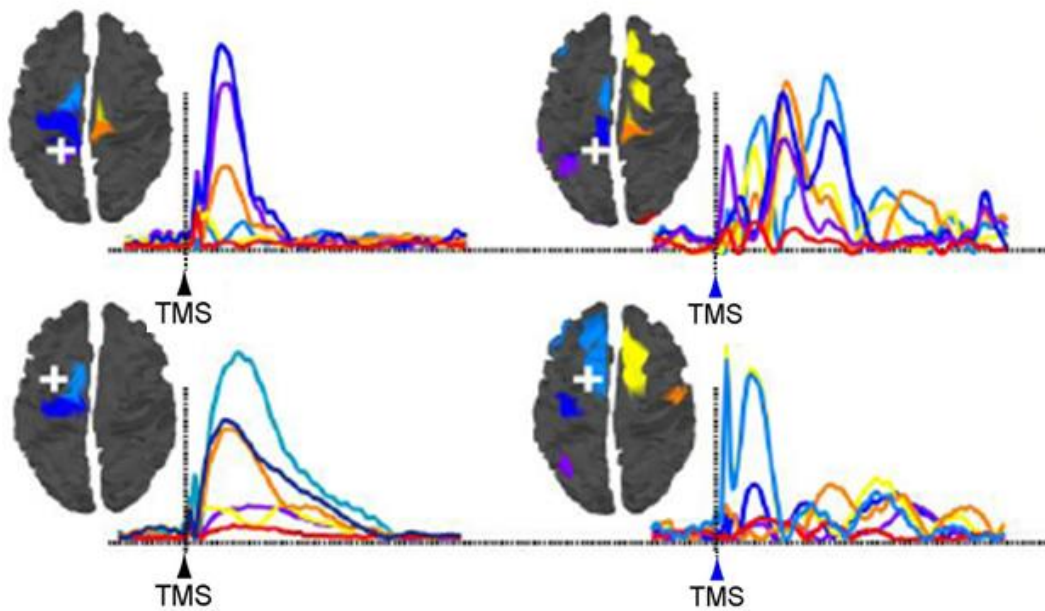
Passive paradigm – TMS/EEG



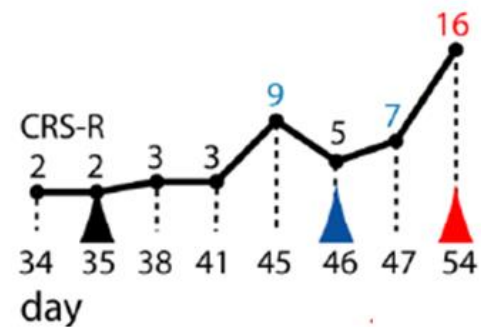
VS/UWS



MCS



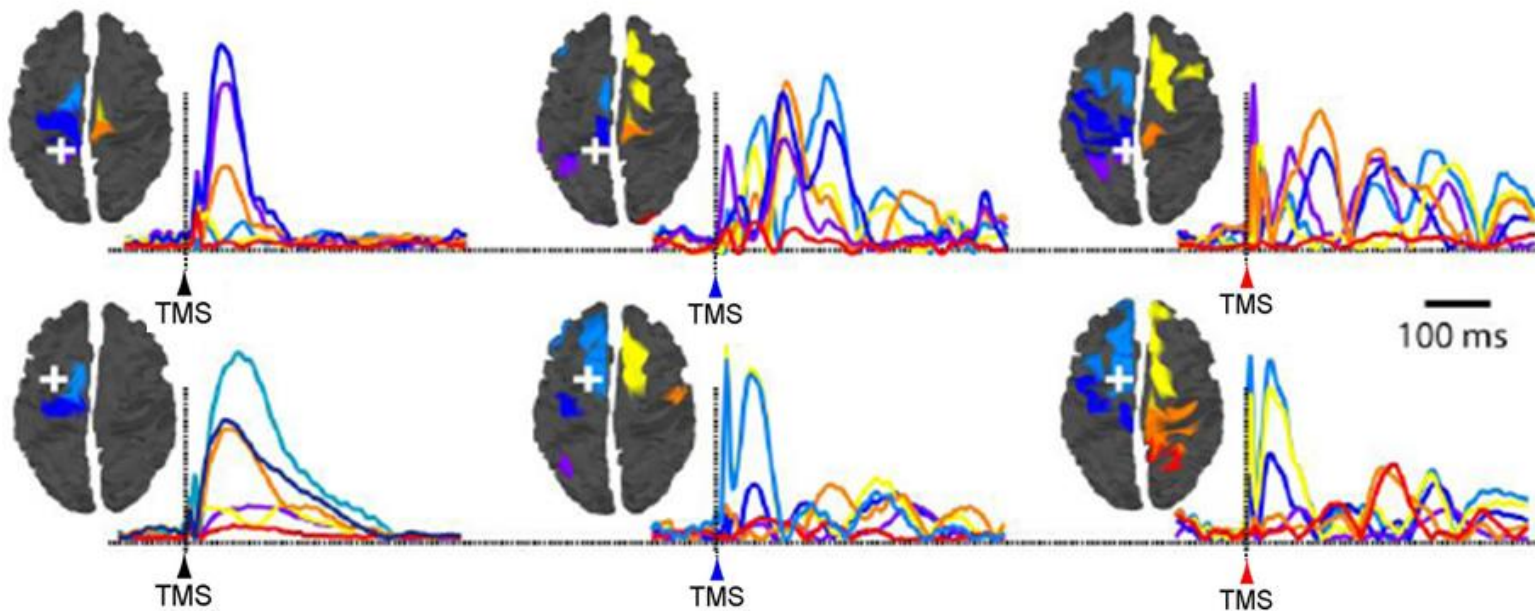
Passive paradigm – TMS/EEG



VS/UWS

MCS

EMCS

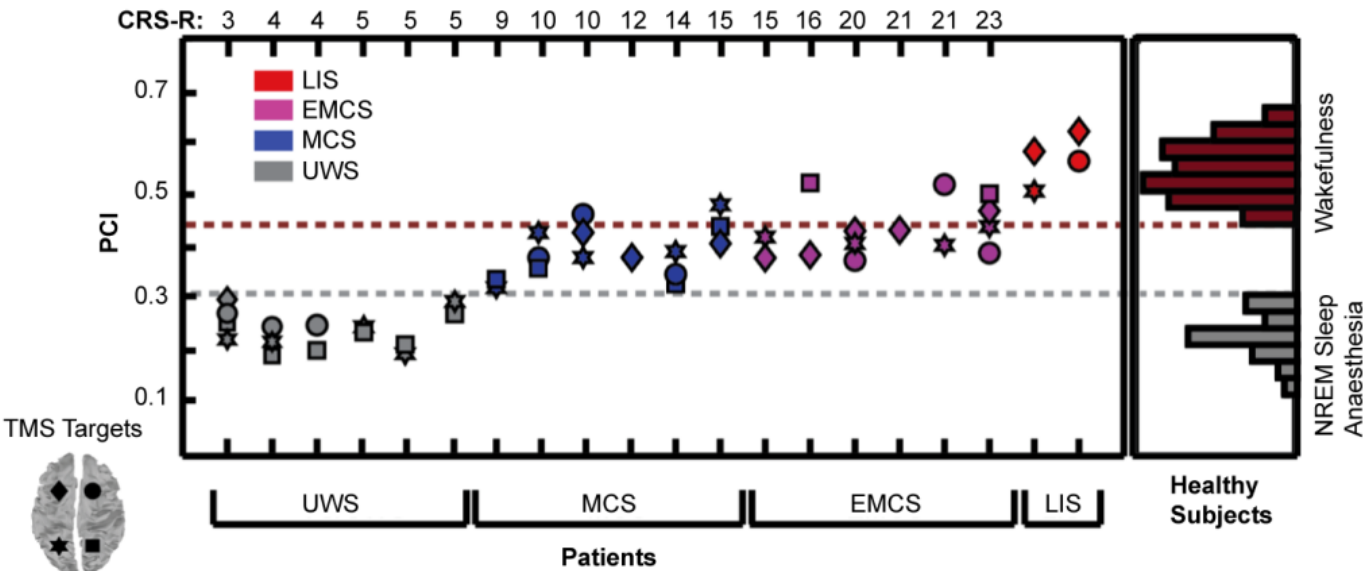




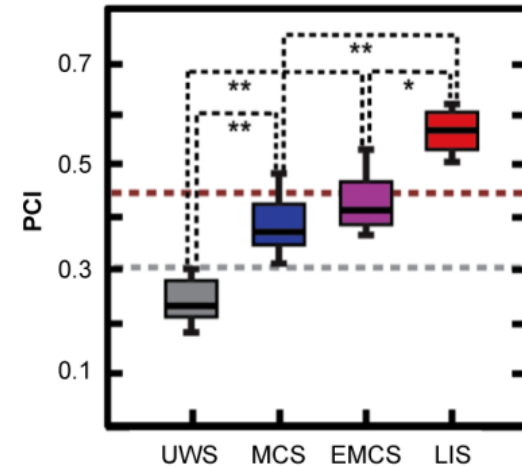
Perturbational complexity index (PCI)



A



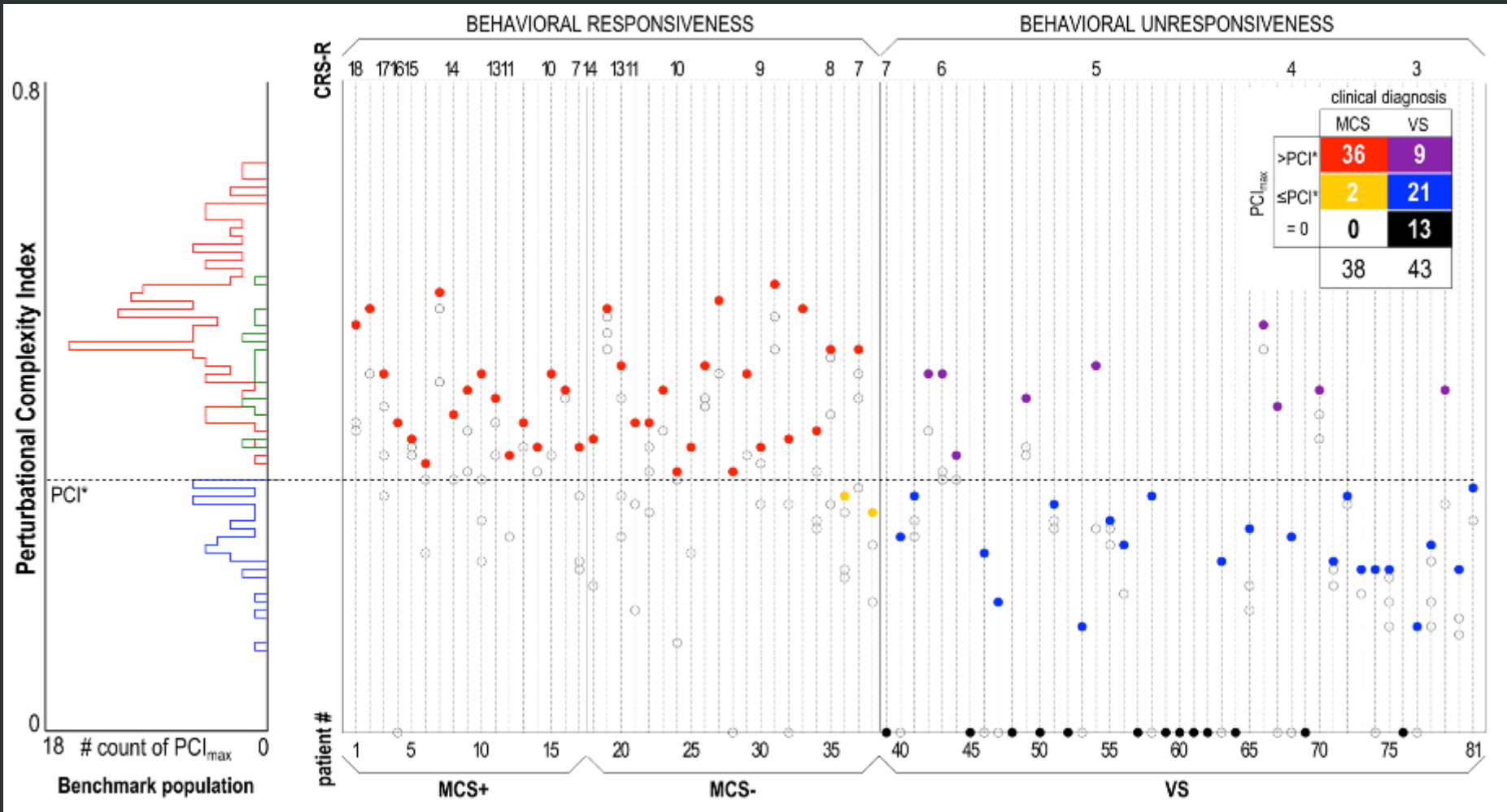
B



Perturbational complexity index in comatose patients

COMA

SCIENCE GROUP



Paraclinical diagnosis

Case reports



LIÈGE université
GIGA institute

Behavioral assessment

ECHELLE DE RÉCUPÉRATION DU COMA
VERSION REVUE FRANÇAISE ©2004
Formulaire de rapport

Patient : _____ Date atteinte cérébrale : _____
 Etiologie : _____ Date admission : _____
 Diagnostic initial : _____ Date : _____
 Examineur : _____

FUNCTION AUDITIVE	FUNCTION MOTRICE		
4 – Mouvement systématique sur demande*	6 – Utilisation fonctionnelle des objets*		
3 – Mouvement reproductible sur demande*	5 – Réaction motrice automatique*		
2 – Localisation de sons	4 – Manipulation d'objets*		
1 – Réflexe de sursaut au bruit	3 – Localisation des stimulations nociceptives*		
0 – Néant	2 – Fixation en regard		
FUNCTION VISUELLE	1 – Posture anormale stéréotypée		
5 – Reconnaissance des objets*	0 – Néant/ Flaccidité		
4 – Localisation des objets : atteinte*	FUNCTION OROMOTRICE/VERBALE		
3 – Poursuite visuelle*	3 – Production verbale intelligible*		
2 – Fixation*	2 – Production vocale / Mouvements oraux		
1 – Réflexe de clignement à la menace	1 – Réflexes oraux		
0 – Néant	0 – Néant		
	COMMUNICATION		
	2 – Fonctionnelle : exacte*		
	1 – Non fonctionnelle : intentionnelle*		
	0 – Néant		
	EVEIL		
	3 – Attention		
	2 – Ouverture des yeux sans stimulation		
	1 – Mutation		
	0 – Mutation		

NOCICEPTION COMA SCALE – NCS ©2005
Record Form

Spontaneous behaviour observation (80sec.) is required before nociceptive stimulation

Patient: _____ Date: _____

MOTOR RESPONSE	
3 – Localization to noxious stimulation*	
2 – Flexion withdrawal	
1 – Abnormal posturing	
0 – None/Flaccid	
VERBAL RESPONSE	
3 – Intelligible verbalization*	
2 – Vocalization	
1 – Groaning	
0 – None	
VISUAL RESPONSE	
3 – Fixation*	
2 – Eyes movements	
1 – Startle	
0 – None	
FACIAL EXPRESSION	
3 – Cry*	
2 – Grimace	
1 – Oral reflexive movement/Startle response	
0 – None	
TOTAL SCORE	

TMS-EEG



MRI



PET scan



BCI



EEG





Case reports

- 41 years old

- 4 years et 9 months post
anoxia

- Diagnosis :
vegetative/unresponsive
state

- 35 years old

- 6 years and 10 months
post ischemic stroke

- Diagnosis :
vegetative/unresponsive
state



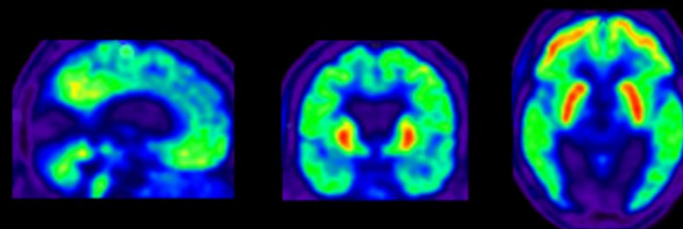
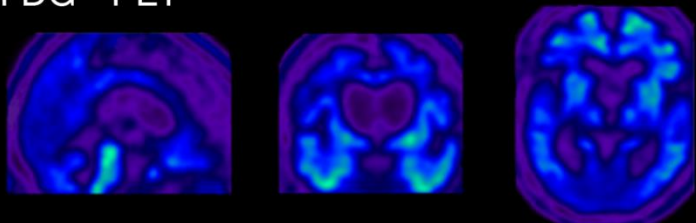
Case reports

CRS-R						
FONCTION AUDITIVE						
4–Mouvement systématique sur demande*						
3–Mouvement reproductible sur demande*						
2 – Localisation de sons						
1 – Réflexe de sursaut au bruit	X	X	X	X	X	X
0 – Néant						
FONCTION VISUELLE						
5 – Reconnaissance des objets*						
4 – Localisation des objets : atteinte*						
3 – Poursuite visuelle*						
2 – Fixation*						
1 – Réflexe de clignement à la menace						
0 – Néant	X	X	X	X	X	X
FONCTION MOTRICE						
6 – Utilisation fonctionnelle des objets*						
5 – Réaction motrice automatique*						
4 – Manipulation d'objets*						
3–Localisation des stimulations nociceptives*						
2 – Flexion en retrait						
1 – Posture anormale stéréotypée	X	X	X	X	X	X
0 – Néant / Flaccidité						
FONCTION OROMOTRICE/VERBALE						
3 – Production verbale intelligible*						
2 – Production vocale / Mouvements oraux						
1 – Réflexes oraux	X	X	X	X	X	X
0 – Néant						
COMMUNICATION						
2 – Fonctionnelle : exacte*						
1 – Non fonctionnelle : intentionnelle*						
0 – Néant	X	X	X	X	X	X
ÉVEIL						
3 – Attention						
2 – Ouverture des yeux sans stimulation			X	X		
1 – Ouverture des yeux avec stimulation	X	X			X	
0 – Aucun éveil						X
Score total	4	4	5	5	4	3

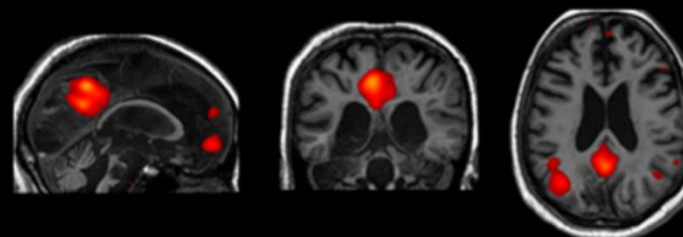
CRS-R					
FONCTION AUDITIVE					
4–Mouvement systématique sur demande*					
3–Mouvement reproductible sur demande*					
2 – Localisation de sons					
1 – Réflexe de sursaut au bruit					
0 – Néant	X	X	X	X	X
FONCTION VISUELLE					
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2 – Fixation*					
1 – Réflexe de clignement à la menace					
0 – Néant	X	X	X	X	X
FONCTION MOTRICE					
6 – Utilisation fonctionnelle des objets*					
5 – Réaction motrice automatique*					
4 – Manipulation d'objets*					
3–Localisation des stimulations nociceptives*					
2 – Flexion en retrait	X		X	X	X
1 – Posture anormale stéréotypée		X			
0 – Néant / Flaccidité					
FONCTION OROMOTRICE/VERBALE					
3 – Production verbale intelligible*					
2 – Production vocale / Mouvements oraux					
1 – Réflexes oraux	X	X	X	X	X
0 – Néant					
COMMUNICATION					
2 – Fonctionnelle : exacte*					
1 – Non fonctionnelle : intentionnelle*					
0 – Néant	X	X	X	X	X
ÉVEIL					
3 – Attention					
2 – Ouverture des yeux sans stimulation					
1 – Ouverture des yeux avec stimulation	X	X	X	X	X
0 – Aucun éveil					
Score total	4	3	4	4	4

Case reports

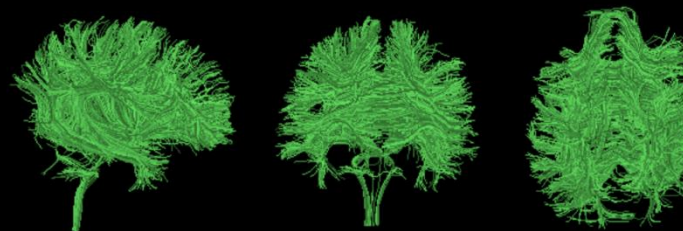
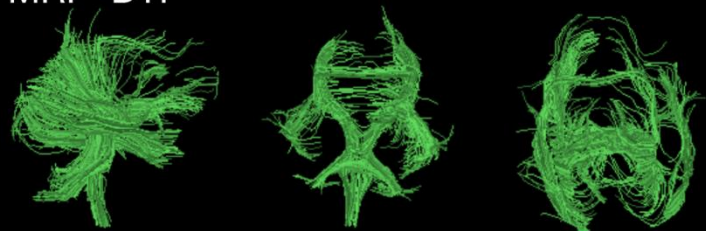
FDG - PET



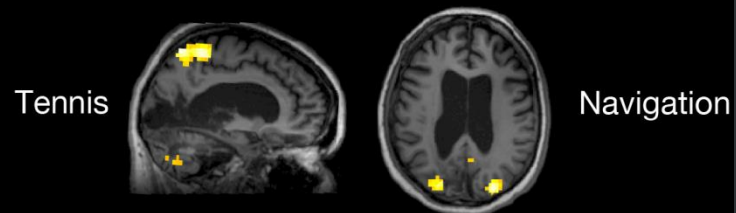
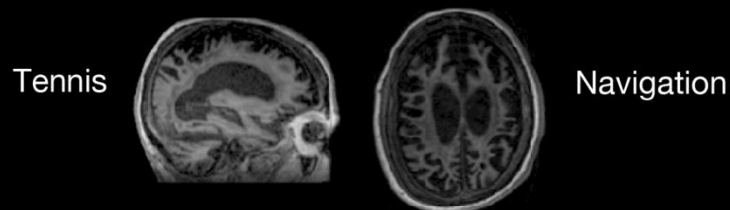
fMRI - resting state



MRI - DTI



fMRI - mental imagery task





Conclusion

- Behavioral assessment \approx 40% misdiagnosis
- FDG-PET complement beside examinations and can predict long-term recovery of patients in chronic VS/UWS
- Active fMRI/EEG/EMG paradigms are less suited for differential diagnosis, but may provide a strong complementary tool
- TMS-EEG may provide for the first time a passive measure of consciousness at the single subject level
- Encourage to use **multimodal assessment** of the level of consciousness!

Treatment

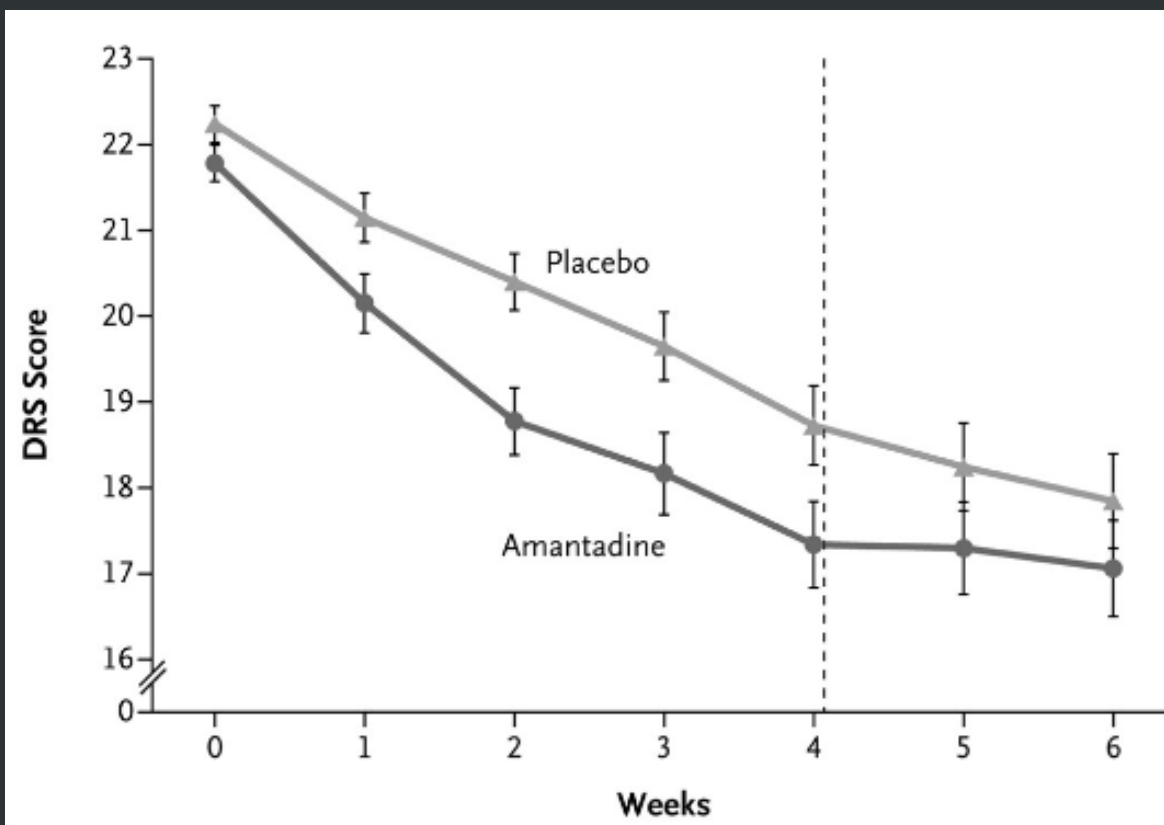
Pharmacological





Amantadine

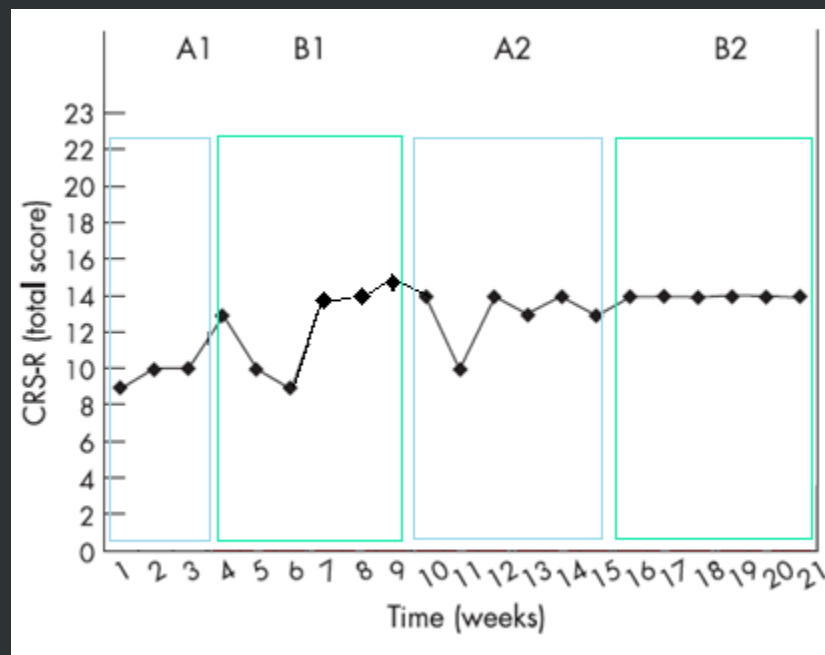
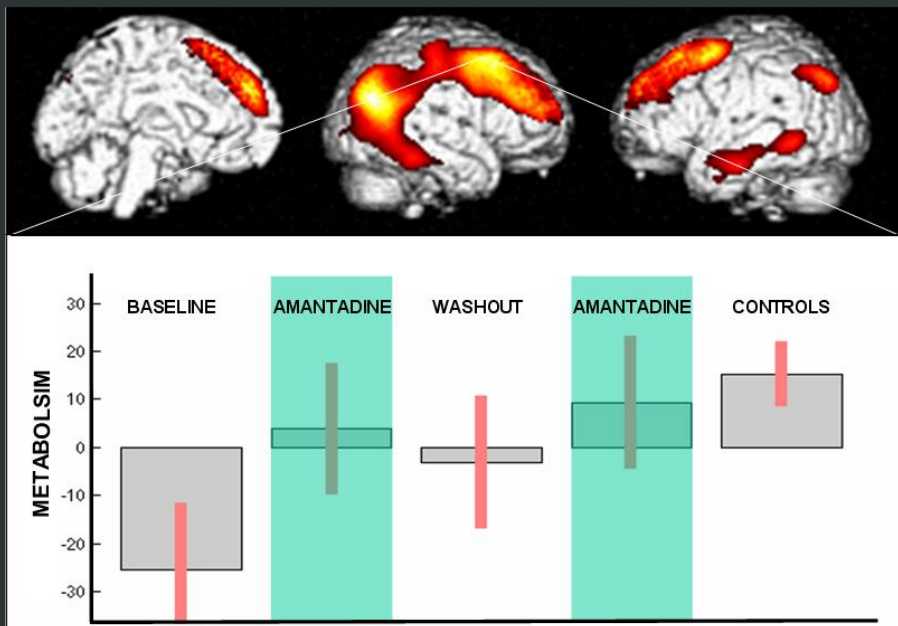
Dopaminergic agent (Parkinson)



n=184



Dopaminergic agent (Parkinson)





Zolpidem

short-acting nonbenzodiazepine GABA-A agonist hypnotic

1/15 responders = 6.7%

Whyte and Meyers, Am J Phys Med Rehabil, 2009

4/84 responders = 5%

Whyte et al, Am J Phys Med Rehabil, 2014

4/60 responders

= 6.7%

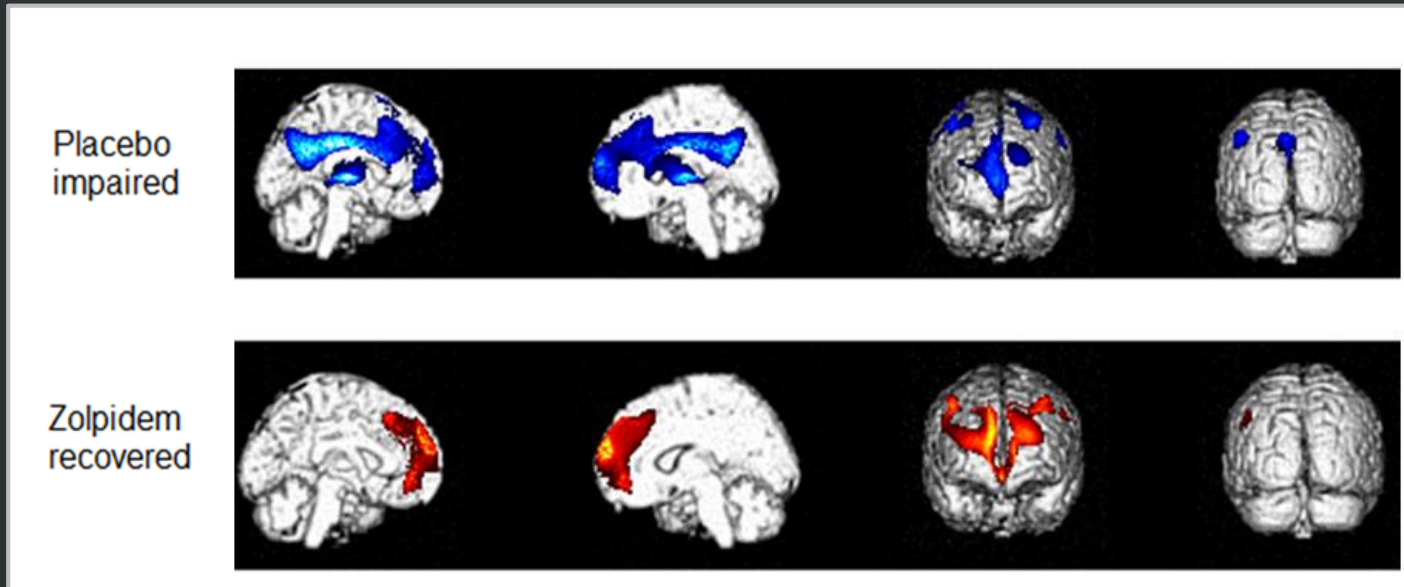
Without change of diagnosis

Thonnard and Gosseries et al, Funct Neurol 2014



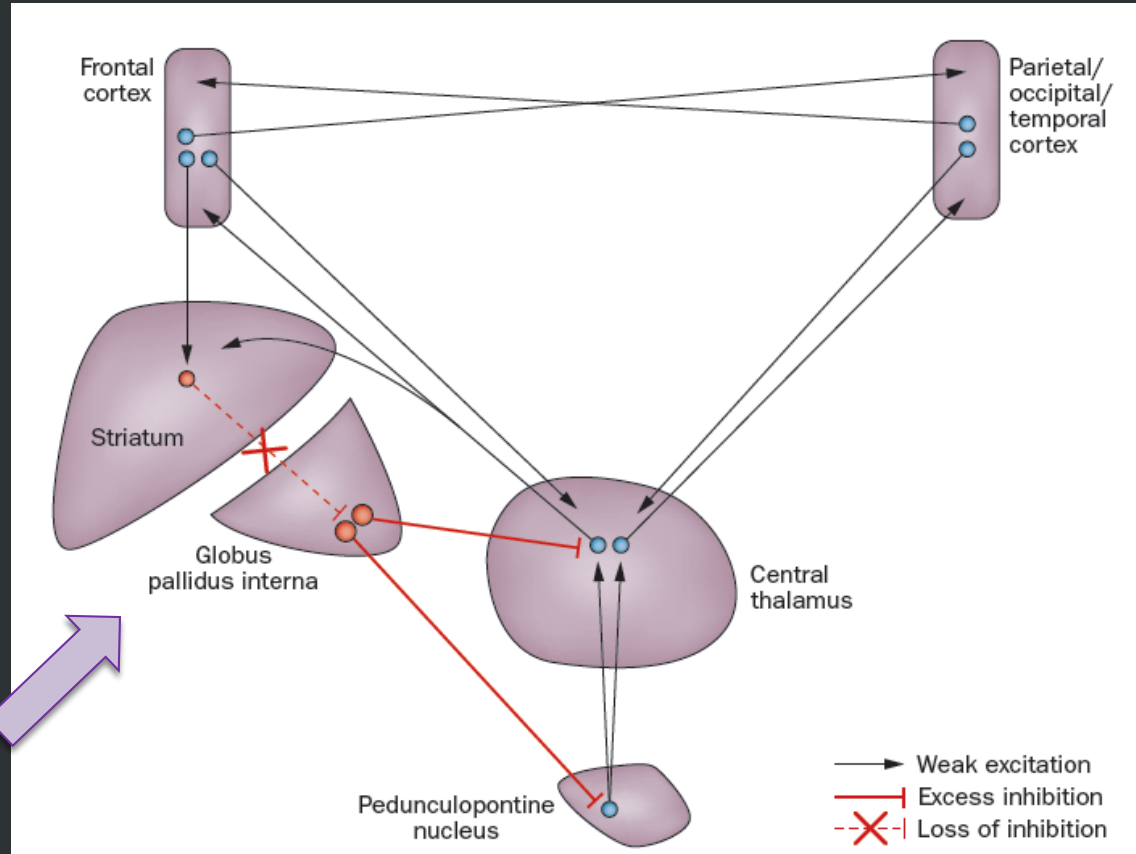
Zolpidem

short-acting nonbenzodiazepine GABA-A agonist hypnotic



Chatelle et al, Front. Hum. Neurosci., 2014

n=3 MCS responders



Zolpidem inhibits
GPI

Treatment

Brain stimulation





Recent RCTs on NIBS in DOC

NIBS	N	TSO	Procedure	Results	Effect sizes
tDCS Thibaut et al, 2014	55 25 TBI, 30 non-TBI 30 MCS, 25 UWS	1 week to 19 years	Single session (20 minutes) of active and sham stimulation over the left DLPFC with CRS-R before and after tDCS	13/30 patients in MCS and 2/25 patients in UWS clinically improved (recovery of visual pursuit or command following). At the group level, clinical improvement (2 points on the CRS-R) for MCS patients. No side-effects observed.	For MCS (n=30): d=0.38
tDCS Thibaut et al, 2017	16 11 TBI, 5 non-TBI 16 MCS	> 3months	5 sessions of active and sham tDCS (20 minutes a day) over the DLPFC. CRS-R performed before, after 5 days of tDCS and at 1-week follow-up	9/16 responders. Clinical improvement maintained up to one week after the end of the stimulation. No side-effects observed.	After tDCS: d=0.43 ; at 1week follow-up: d=0.57
tDCS Estraneo et al, 2017	13 1 TBI, 12 non-TBI 7 UWS, 6 MCS	> 3months	5 days of active and sham tDCS over the DLPFC (20 min/day). EEG and CRS-R at baseline, after 5 days and 3-month follow-up	Behavioral (CRS-R total score) and EEG changes in 5/13 patients (3 in MCS and 2 in UWS). At the group level, no statistical difference between the two groups.	/

NIBS	N	Time since injury	Procedure	Results	Effect sizes
tDCS Zang et al, 2017	26 12 TBI, 14 non-TBI 11 UWS, 15 MCS	1 to 18 months	20 sessions of DLPFC for 20 days		
tDCS Martens et al, 2018	27 12 TBI, 15 non-TBI 27 MCS	10 months to 14 years	20 sessions of 10 minutes per day by 8 weeks. tDCS (20 sessions)		
tDCS Huang et al, 2017	33 20 TBI, 13 non-TBI 33 MCS	> 3months	5 sessions of 5 minutes a day after 5 days follow-up		
tRNS Mancuso et al, 2017	9 1 TBI, 9 non-TBI 9 UWS	30 days to 4 months	5 sessions of 20 minutes at baseline, 1 at 3-day follow-up		
rTMS Cincotta et al, 2015	11 2 TBI, 9 non-TBI 11 UWS	9 to 85 months	5 sessions of active or sham 20 Hz rTMS for 10 minutes (1000 pulses in 5 trains) over left M1. EEG and CRS-R before and after rTMS	No behavioral or EEG improvements. No side-effects observed.	/
rTMS Liu et al, 2016	10 4 TBI, 6 non-TBI 5 UWS, 5 MCS	1 to 28 months	One session of active or sham 20-Hz rTMS over M1 for 10 minutes (1000 pulses in 20 trains). CRS-R and CBF velocity of the MCA before and after rTMS	No behavioral (CRS-R) changes Temporary increase in peak systolic velocity and mean flow velocity of the left MCA for MCS. No effects in UWS or in sham group. No side-effects observed.	/
rTMS He et al, 2018	6 4 TBI, 2 non-TBI 3 UWS, 2 MCS, 1 EMCS	1 to 28 months	5 sessions of active or sham 20-Hz rTMS over M1 (1000 pulses in 20 trains). CRS-R and EEG before, after rTMS and 1-week follow-up	No treatment effect on the CRS-R nor on the EEG. Clinical improvement in 1 patient (up to 1-week follow-up - UWS became MCS- paralleled by EEG power spectra improvement. No information on side-effects.	/

Past 5 years:

tDCS → 6 RCTs (170 pts) – 5 DLPFC & 1 M1 - 1 to 20 sessions – ES: 0.38 – 2.22

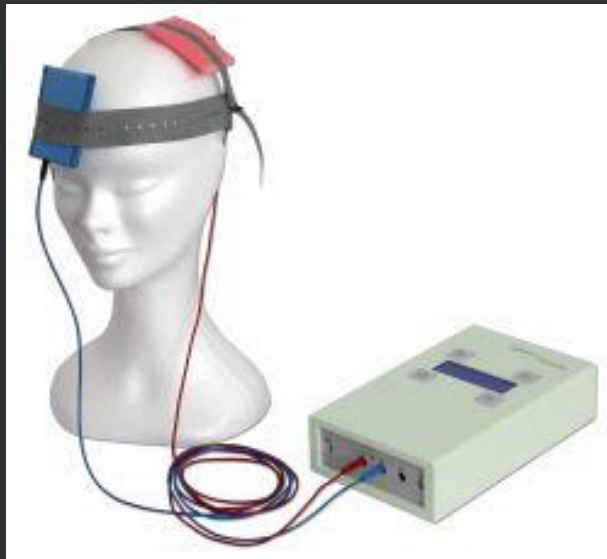
tRNS → 1 RCT (9 pts) – DLPFC – no clinical/neurophysiological effects

rTMS → 3 RCTs (27 pts) – M1 – 20 Hz – no clinical/neurophysiological effects

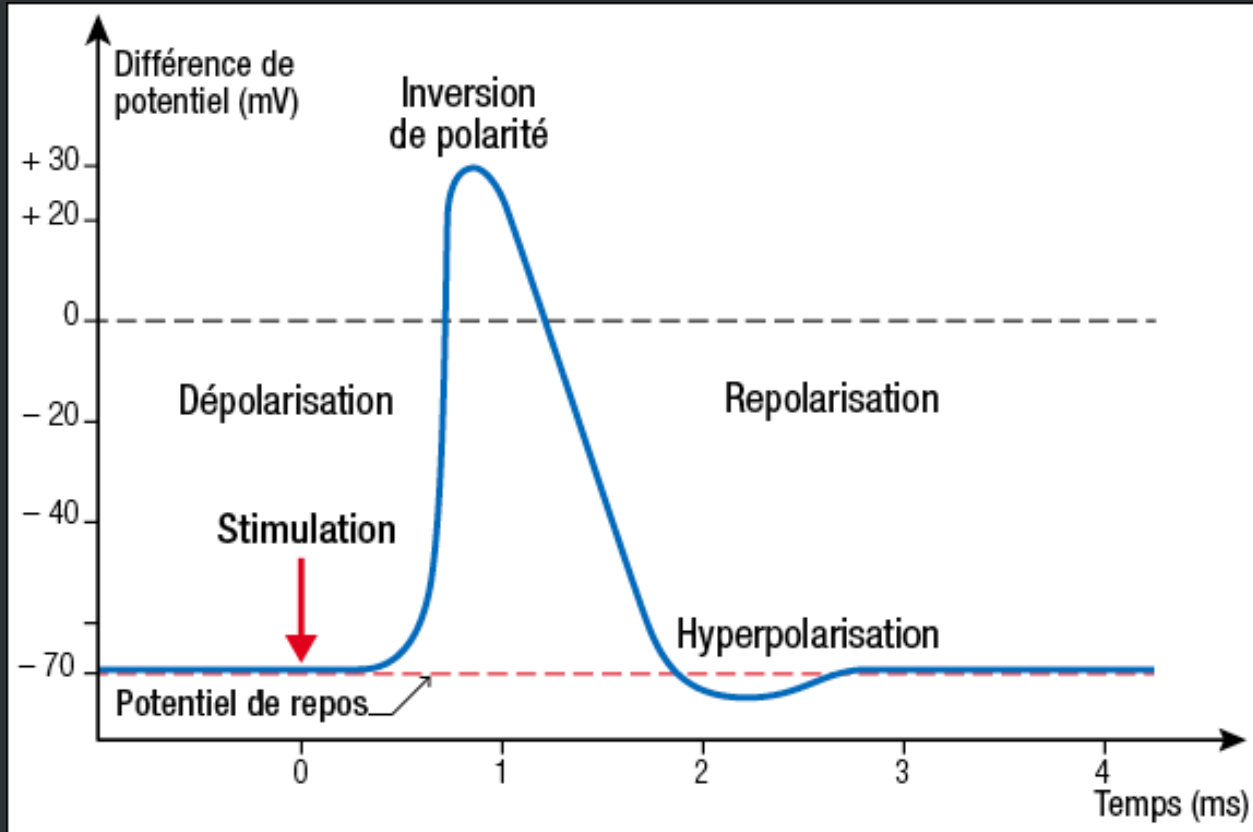
Thibaut et al, *Lancet Neurol*

Transcranial direct current stimulation

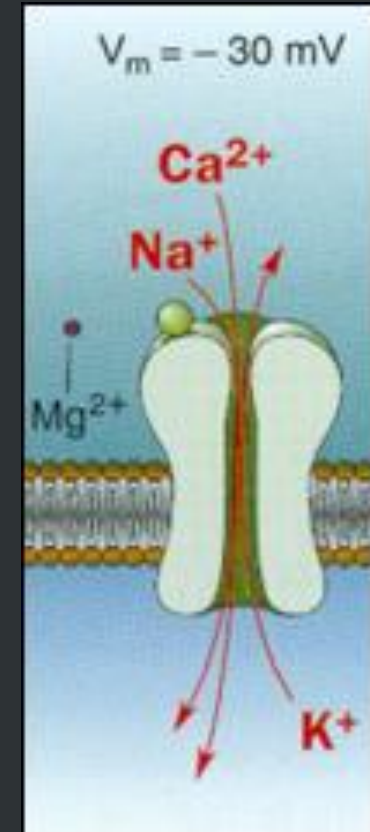
- Transcranial direct current stimulation = tDCS
- Constant, weak direct current through electrodes
- The current induces intracerebral current flow that either increases or decreases the neuronal excitability in the specific area being stimulated



Short term effects



Long term



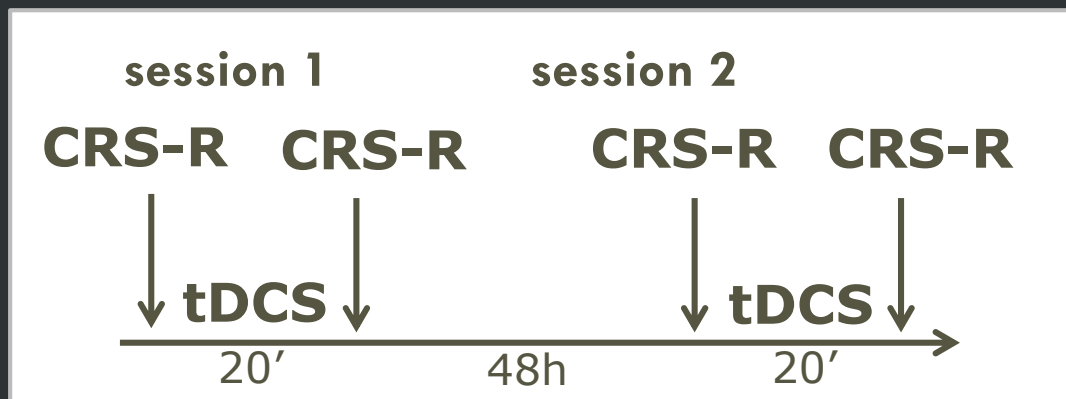
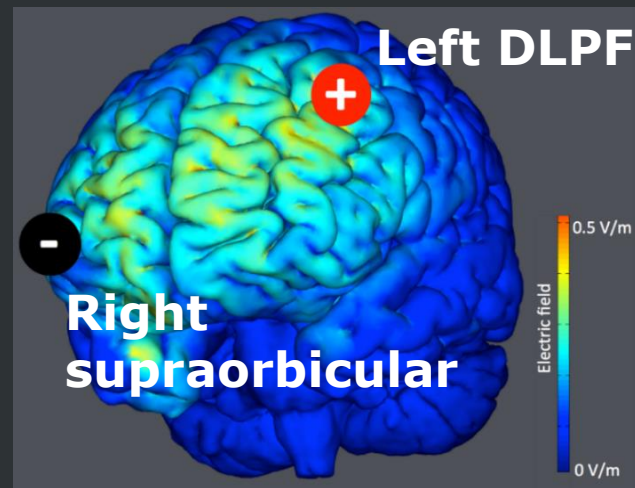


Transcranial direct current stimulation

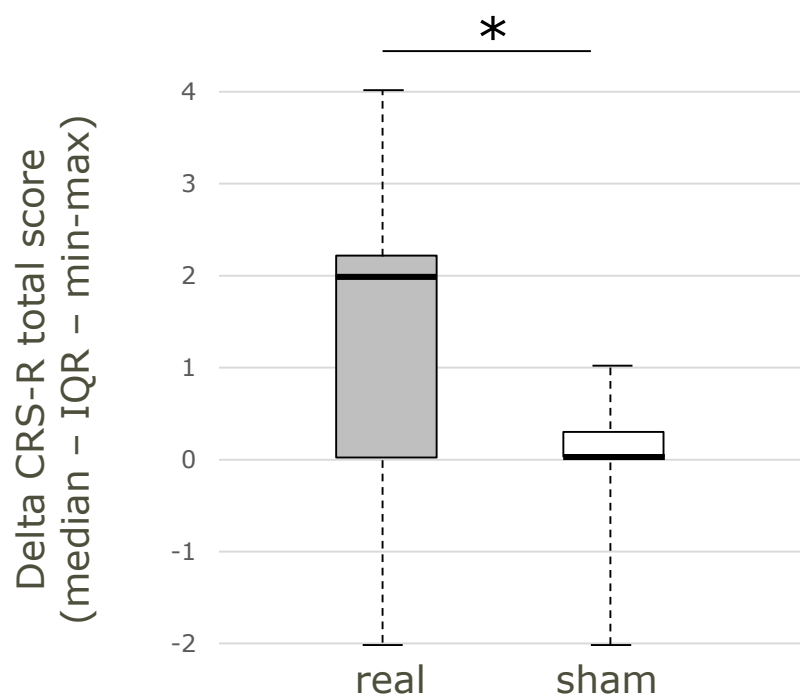


Stimulation	Population	Effects	Authors
Motor cortex	Healthy subjects	Dexterity	Boggio et al. Neurosci Lett, 2006
	Hemiplegic patients	Dexterity and strength	Hummel et al. Lancet, 2006
	Spastic patients	Spasticity & ADL (activity of daily life)	Wu et al., Arch Phys Med Rehabil 2012
Prefrontal cortex	Healthy subjects	Memory	Marshall et al. J Neurosci, 2004
	Alzheimer's patients	Memory	Ferrucci et al. Neurology, 2008
	Stroke patients	Attention	Jo et al. Am J Phys Med Rehabil, 2009
	Aphasic patients	Language	Baker et al. Stroke, 2010

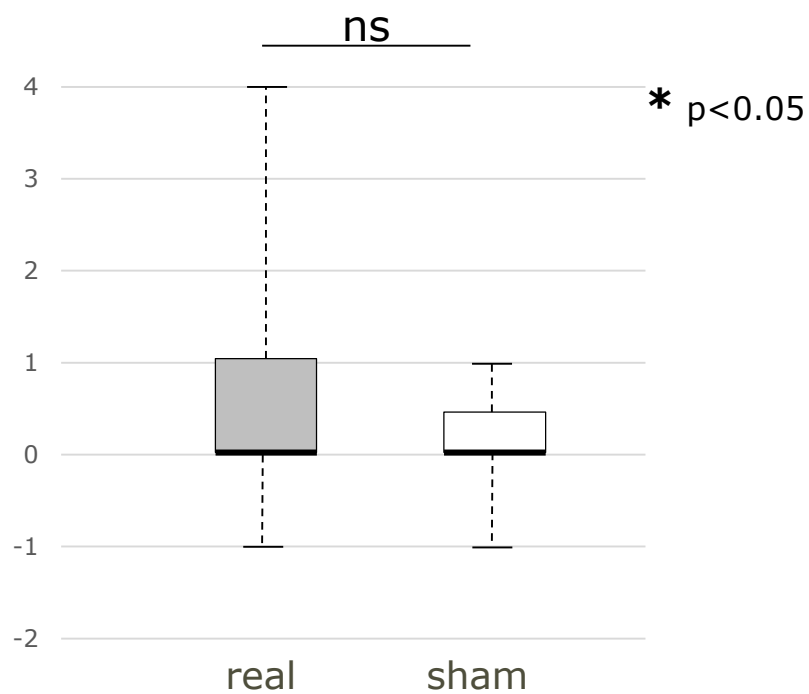
- Randomized, double blind, sham controlled, cross-over study
- Direct current: 2 mA; 20 min
- 55 patients included
VS/UWS; 30 MCS;
TBI; $43 \pm 18y$)



Treatment effect: delta CRS-R total scores



MCS (n=30)



VS/UWS (n=25)



tDCS – single session

15/55 responders

Patient who showed new signs of consciousness after tDCS and not before tDCS or before and after sham

- 2 VS/UWS; subacute (<3m)
- 13 MCS (6 >1y post insult)

Diagnostic change

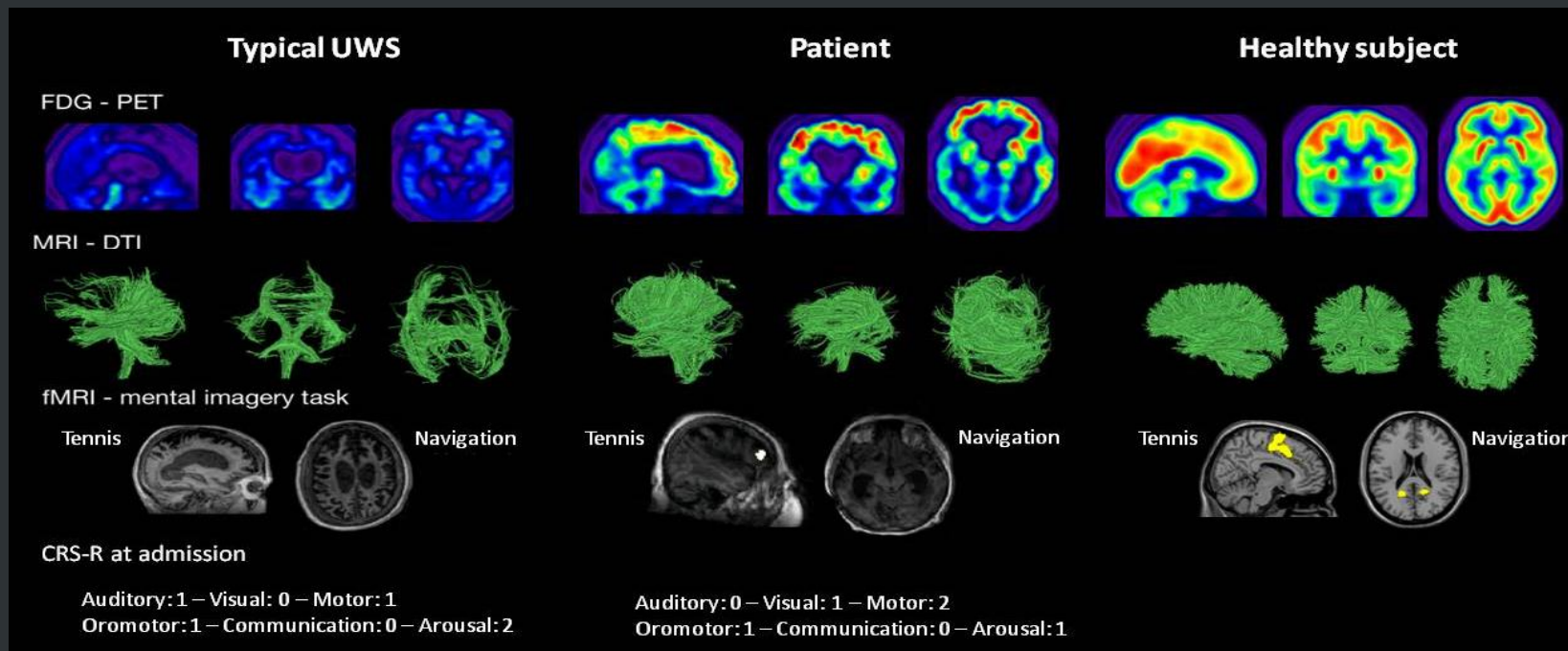
- 2 VS/UWS → MCS
- 2 MCS → EXIT (subacute)



tDCS – single session

SUBSCALES	RECOVERY	NUMBER OF PATIENTS
AUDITORY	Consistent command following	1
	Reproducible command following	4
	Localization to sounds	1
VISUAL	Object recognition	2
	Object localization	1
	Visual pursuit	5
MOTOR	Functional use of object	1
	Automatic motor reaction	2
	Object manipulation	3
OROMOTOR	Vocalisation	3
COMMUNICATION	Functional communication	2
AROUSAL	Without stimulation	2

- 67yo woman in UWS for 4y after subarachnoid hemorrhage
- Out of 7 CRS-R, 1 localization to pain
- Consistent response to command only after tDCS
- Neuroimaging consistent with MCS*



→ tDCS may facilitate motor execution of command when cognitive functions are preserved



tDCS – repeated sessions

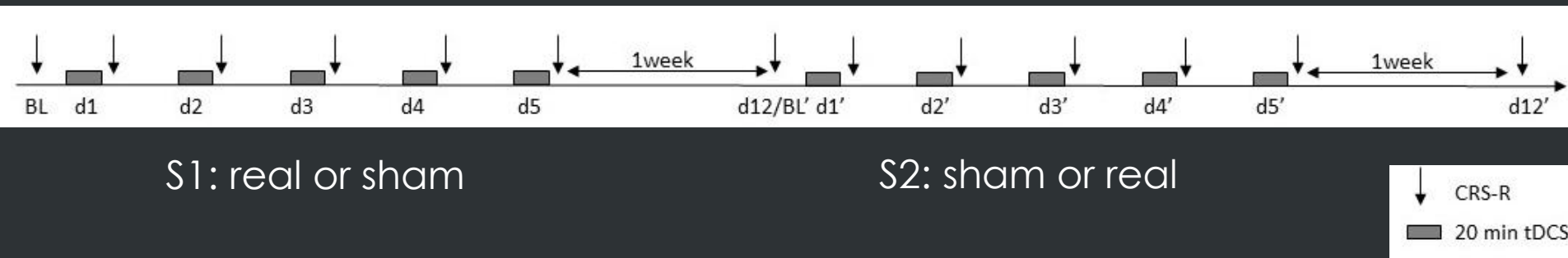
Single stimulation: effects \pm 60 min¹

→ short-lasting improvements, back to initial state

1. Increase the duration of the effects

2. Increase the number of responders

Randomized sham controlled double blind cross-over



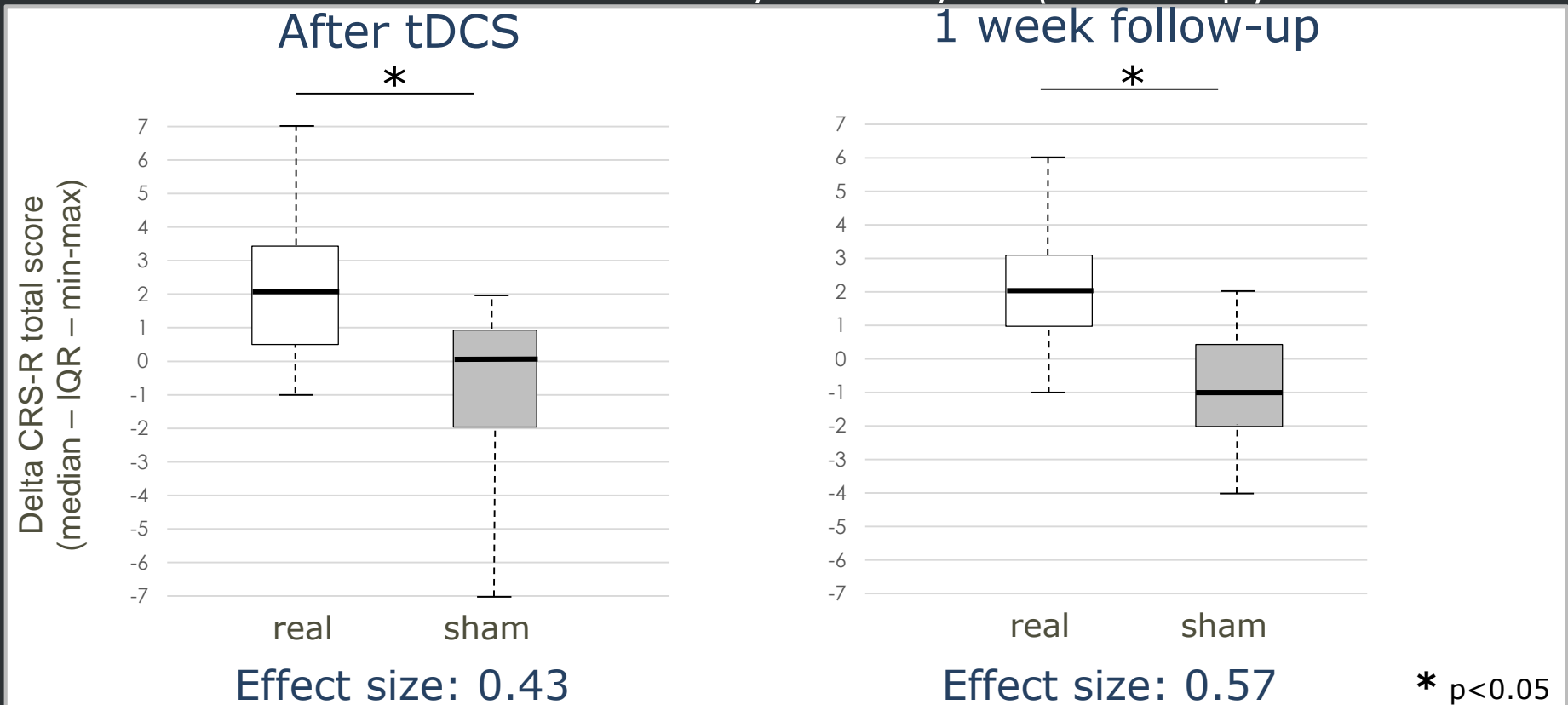
¹Nitsche et al., 2001; Thibaut et al., 2017



tDCS – repeated sessions

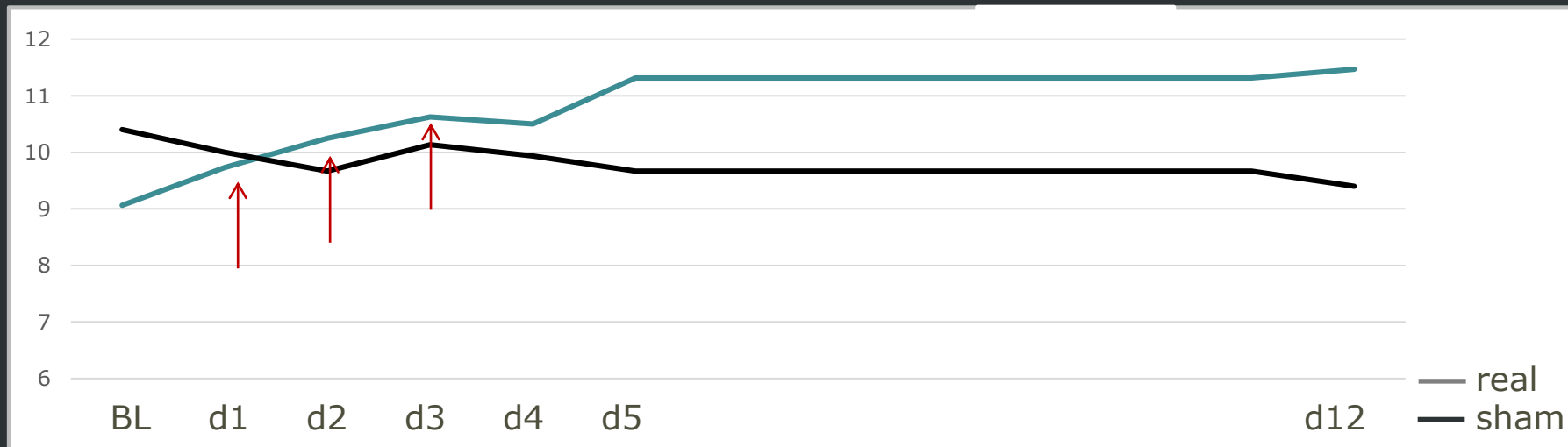
16 patients in MCS (> 3months; 12 TBI; 47 ± 16 y)

Treatment effect: delta CRS-R day 5 & day 12 (follow-up)



Longitudinal analysis:

- Real session: significant + time evolution ($p < 0.001$)
- Sham session: no evolution across time ($p = 0.64$)



Some patients responded after 1, 2 or 3 days of tDCS

↑ responders (9/16 – 56%)

Single stim: 43% responders – effect size : 0.38 (versus 0.57)



Predicting clinical response

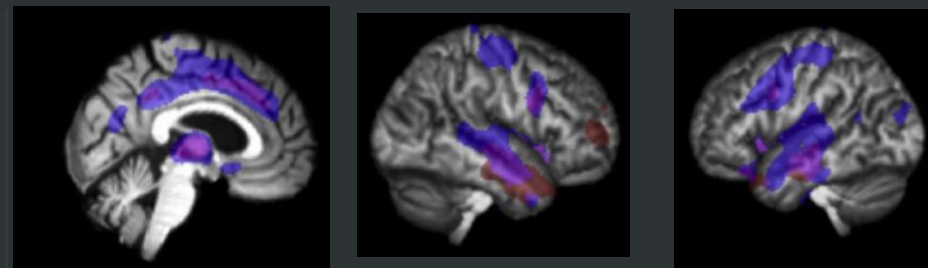
tDCS responders \neq non-responders

Brain metabolism (PET-scan)
 \neq responders & non-responders ●

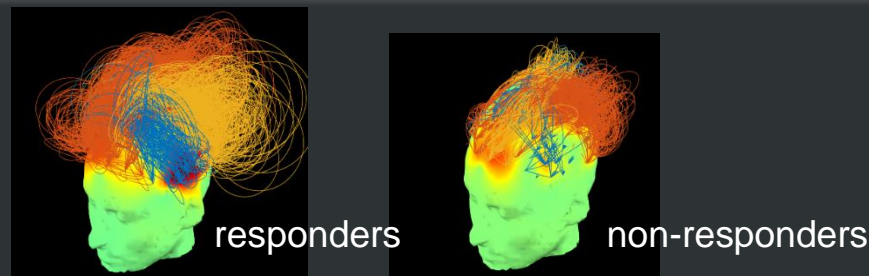


Grey matter atrophy (MRI)

Grey matter atrophy
in responders ●
in non-responders ●

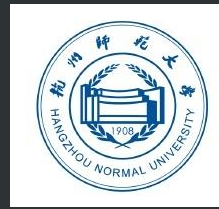


Brain connectivity (hd-EEG)
theta centrality

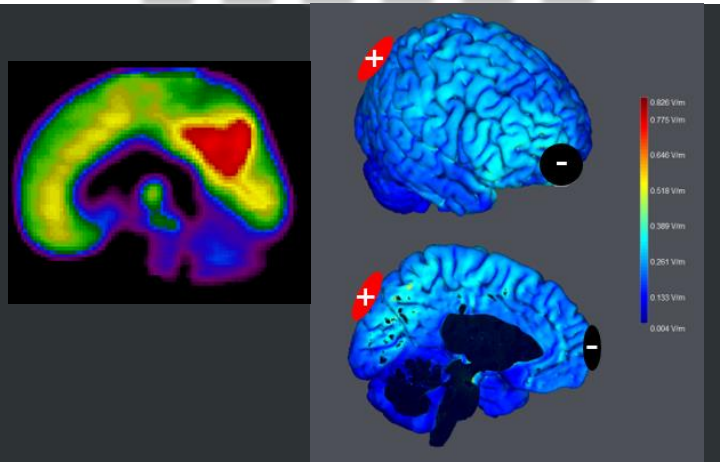




tDCS – Precuneus



COMA
SCIENCE GROUP



Contents lists available at ScienceDirect

Brain Stimulation

journal homepage: <http://www.journals.elsevier.com/brain-stimulation>



Repeated stimulation of the posterior parietal cortex in patients in minimally conscious state: A sham-controlled randomized clinical trial

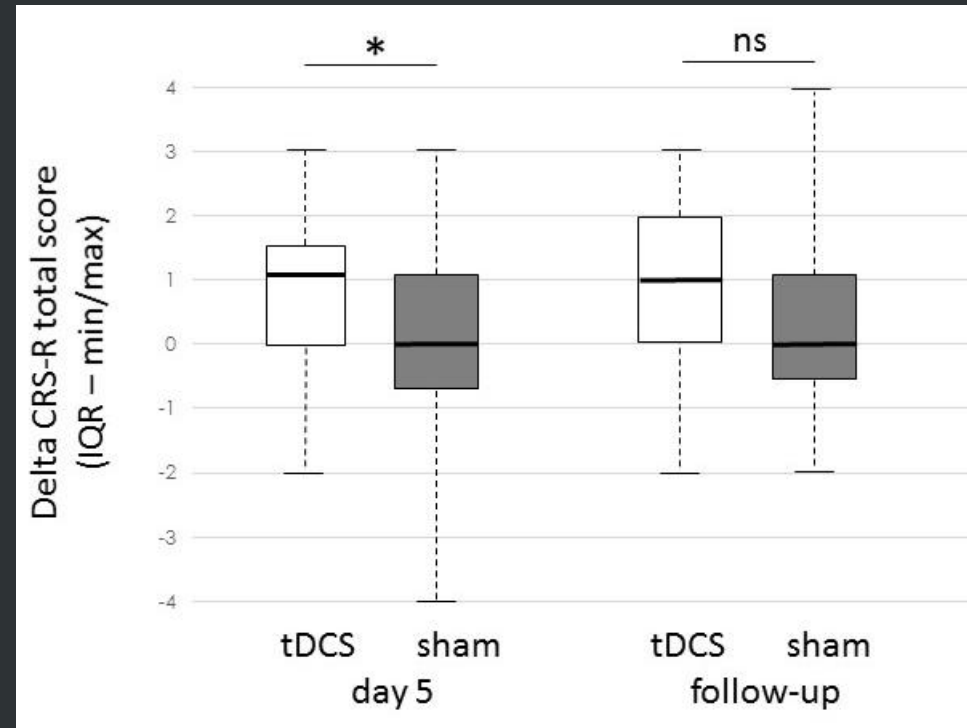
RCT crossover – 5 sessions

33 MCS >3 months post-insult
(57 ± 11y; 20 TBI)

9 responders (27%)
Sub-acute > chronic

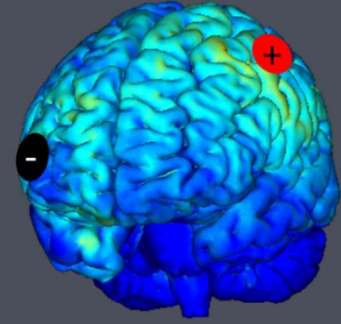
No effect at follow-up

Effect size : 0.31





tDCS – motor cortex



Motor cortex: common & efficient tDCS target

For patients with DOC?

→ Immobilization, paresis...

→ Improve behavioral responsiveness

→ Covert consciousness

Group level (n=10): no significant improvement (p=0.55; ES=0.10)

Single-subject level: 2 responders

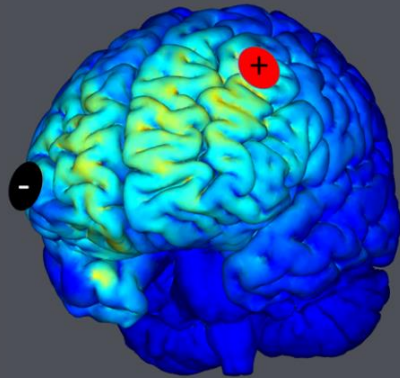
Single stimulation & small sample size

JFK COMA RECOVERY SCALE - REVISED ©2004		Record Form									
Patient:	Date:										
AUDITORY FUNCTION SCALE											
4 - Consistent Movement to Command *											
3 - Reproducible Movement to Command *											
2 - Localization to Sound											
1 - Auditory Startle											
0 - None											
VISUAL FUNCTION SCALE											
5 - Object Recognition *											
4 - Object Localization: Reaching *											
3 - Visual Pursuit *											
2 - Fixation *											
1 - Visual Startle											
0 - None											
MOTOR FUNCTION SCALE											
6 - Functional Object Use †											
5 - Automatic Motor Response *											
4 - Object Manipulation *											
3 - Localization to Noxious Stimulation *											
2 - Flexion Withdrawal											
1 - Abnormal Posturing											
0 - None/Flaccid											
OROMOTOR/VERBAL FUNCTION SCALE											
3 - Intelligible Verbalization *											
2 - Vocalization/Oral Movement											
1 - Oral Reflexive Movement											
0 - None											
COMMUNICATION SCALE											
2 - Functional: Accurate †											
1 - Non-Functional: Intentional *											
0 - None											
AROUSAL SCALE											
3 - Attention											
2 - Eye Opening w/o Stimulation											
1 - Eye Opening with Stimulation											
0 - Unarousable											
TOTAL SCORE											

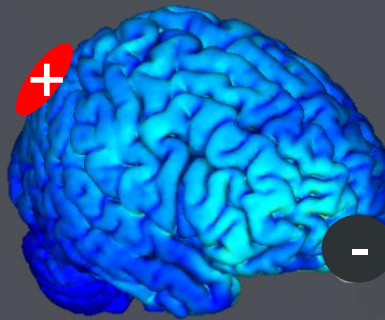
Denotes emergence from MCS †

Denotes MCS *

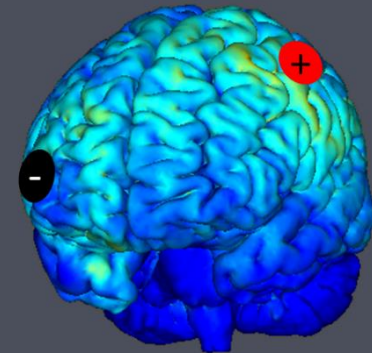
Prefrontal ↗



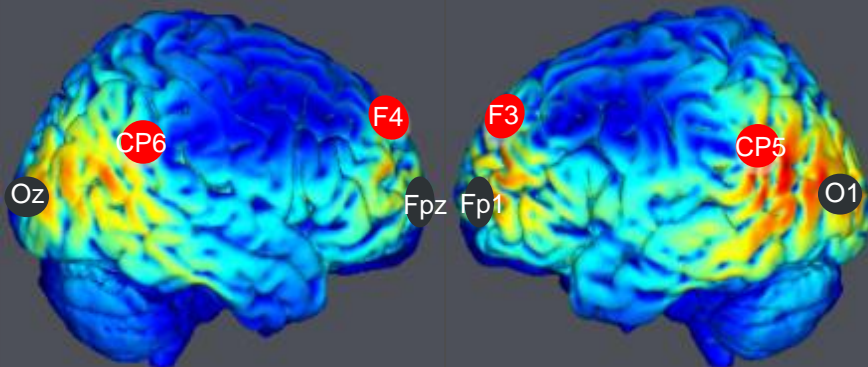
Precuneus →



Motor ≡



Multichannel frontoparietal ≡



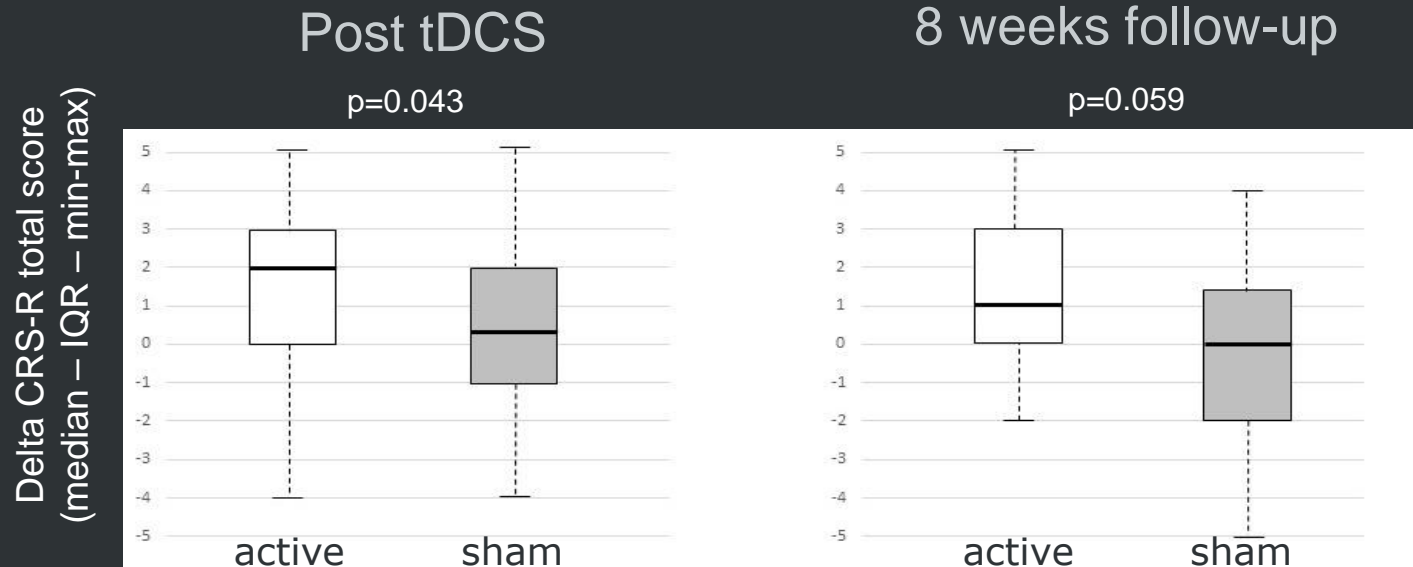
Group level: Prefrontal tDCS best area to target

Single-subject level: Patient's tailored montage



- **Feasibility of tDCS for daily use**

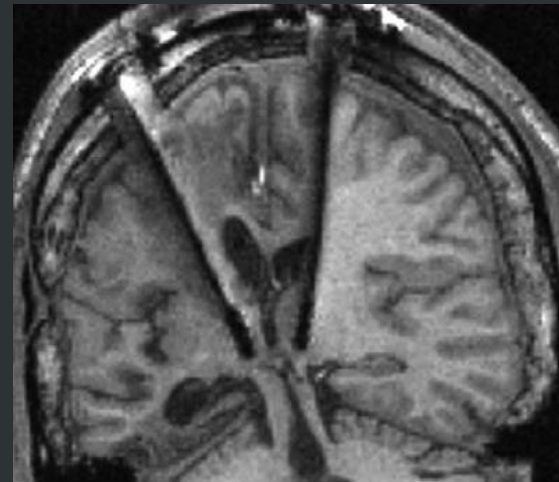
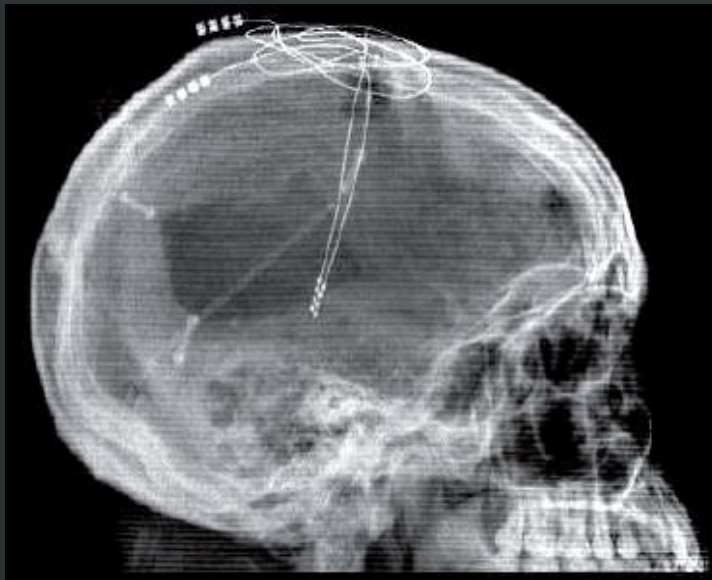
- By relatives/caregivers (20 sessions)
- 27 MCS patients – compliance: $93 \pm 14\%$
- No clinical effects
- 22 MCS patients received $\geq 80\%$ tDCS sessions
- Significant effects & trend at 8-week follow-up – no AE



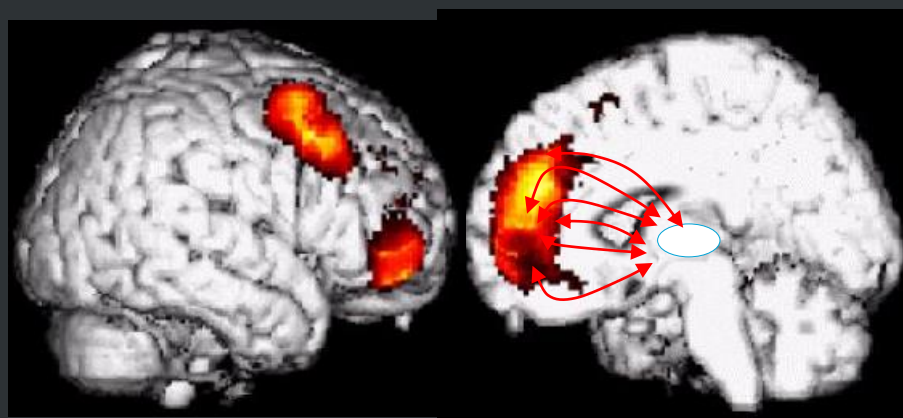


Deep Brain Stimulation

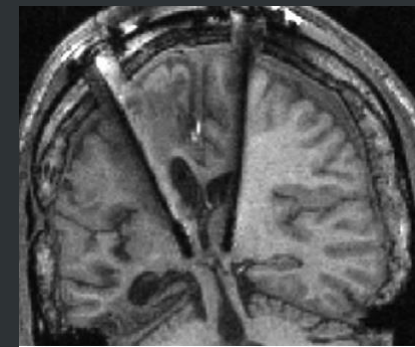
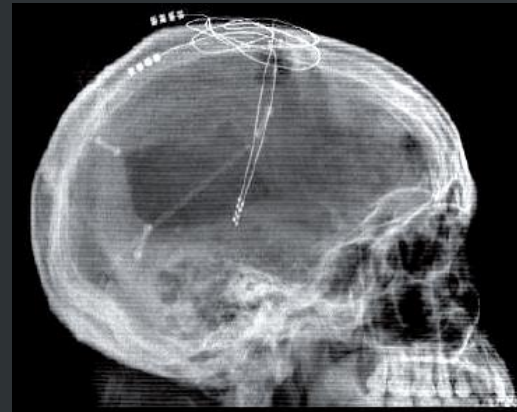
Intralaminar nuclei stimulation
induces “recovery” from
minimally responsive state



Intralaminar nuclei “reconnections” in spontaneous recovery from “vegetative” unresponsive state



Laureys et al, *Lancet* 2000



Schiff et al, *Nature* 2007

MCS → emerged – prolonged effects
sustained attention, intelligible words, functional objects use

No RCT & side-effects



Combined treatment: potential solution?

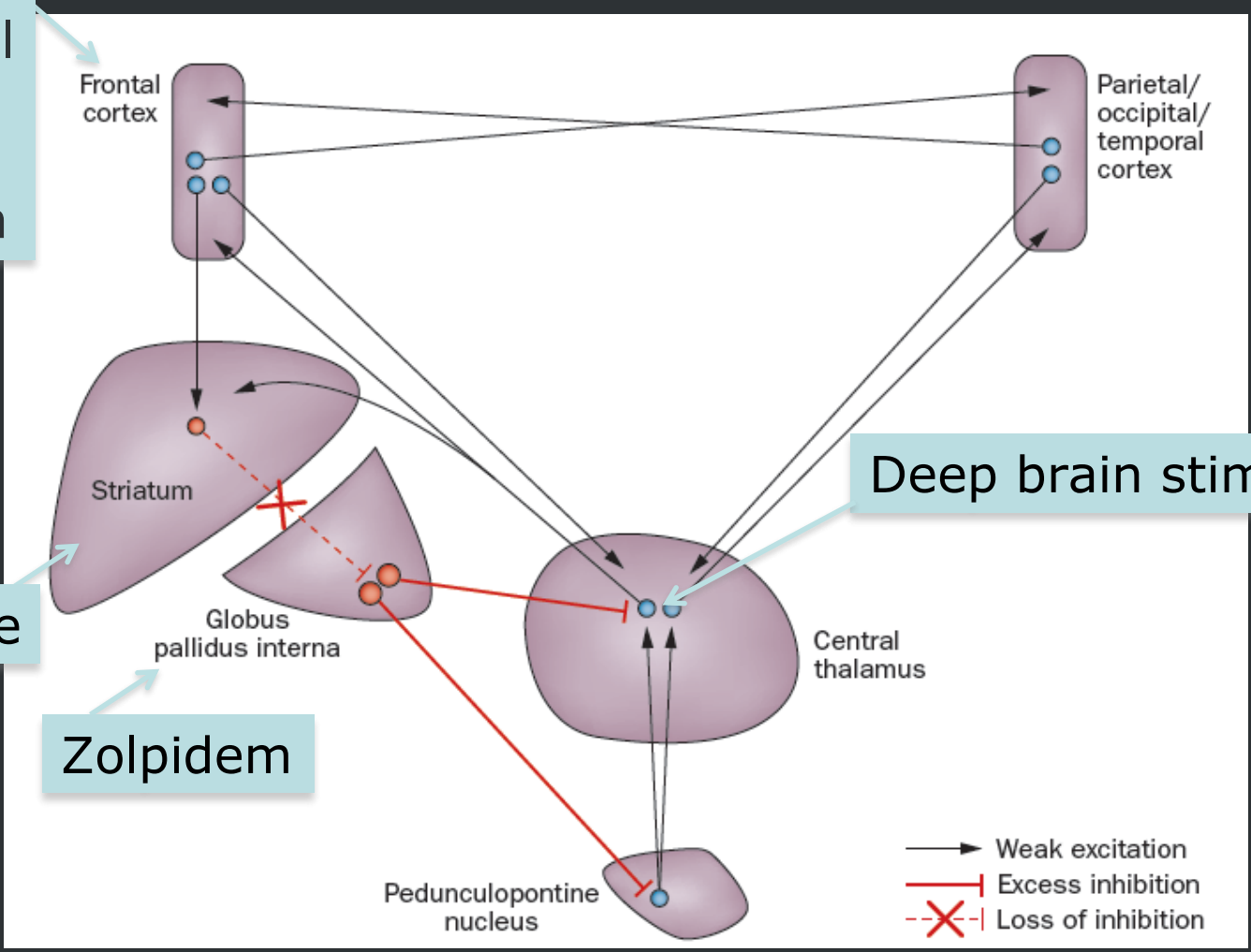


transcranial
Direct
Current
Stimulation

Amantadine

Zolpidem

Deep brain stimulation

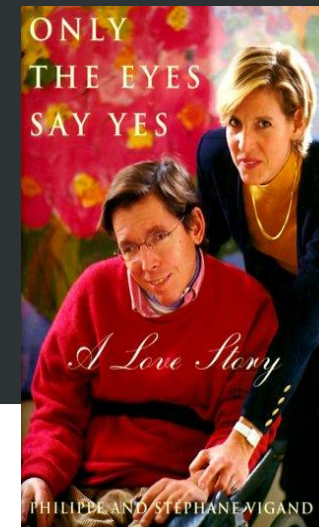
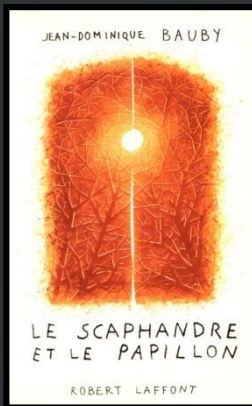
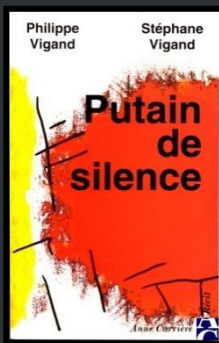
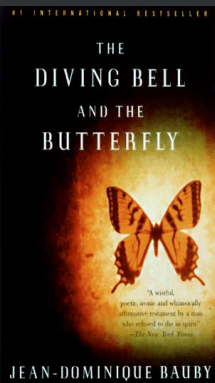




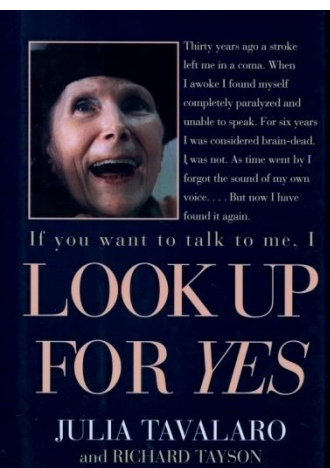
Conclusion

- Potential interest of pharmacological...
 - Zolpidem
 - Amantadine
- and non pharmacological treatments
 - tDCS
 - DBS

- More validation studies are needed
- Assessment of the daily use in clinical setting



Locked-In Syndrome

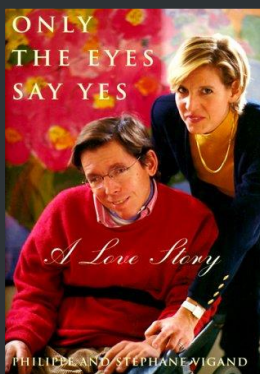
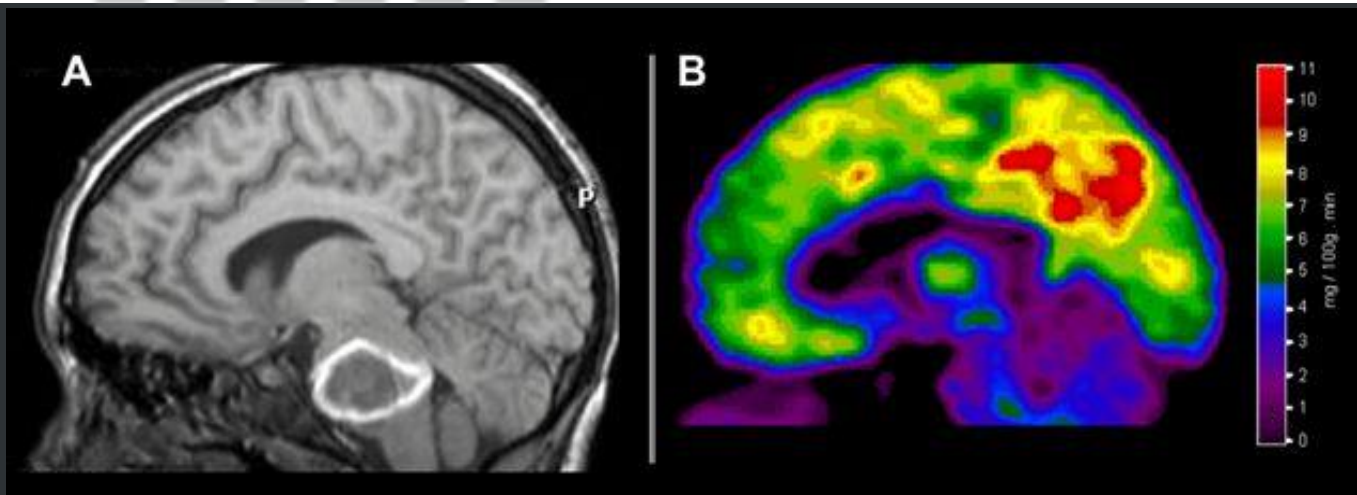




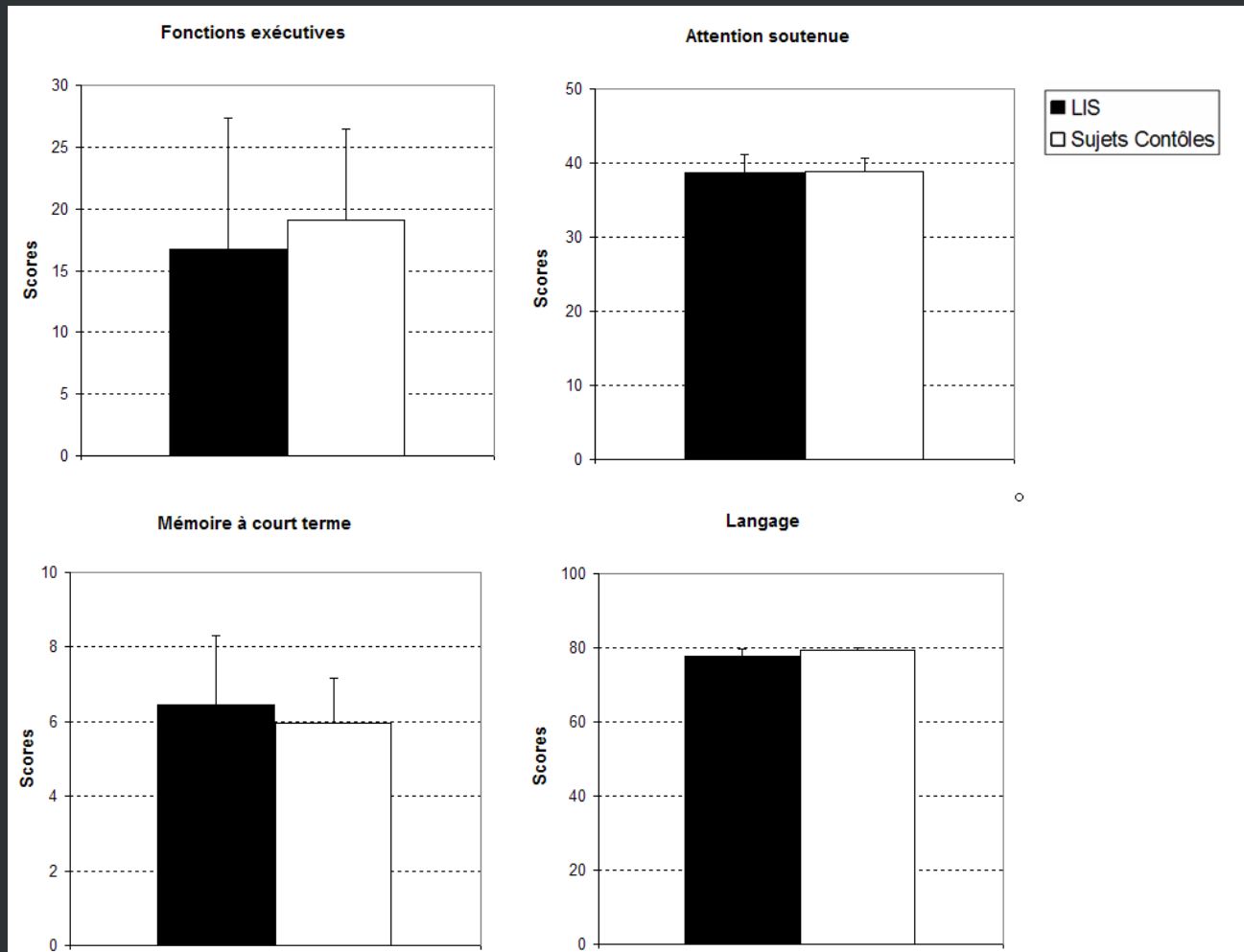
LIS - Definition

Bauer et al. (1989) :

- Classical LIS
 - Complete immobility except for vertical eye movements and blinks.
- Incomplete LIS
 - Some preserved voluntary motricity (head, superior or inferior limbs).
- Complete LIS
 - Total immobility including ocular motricity



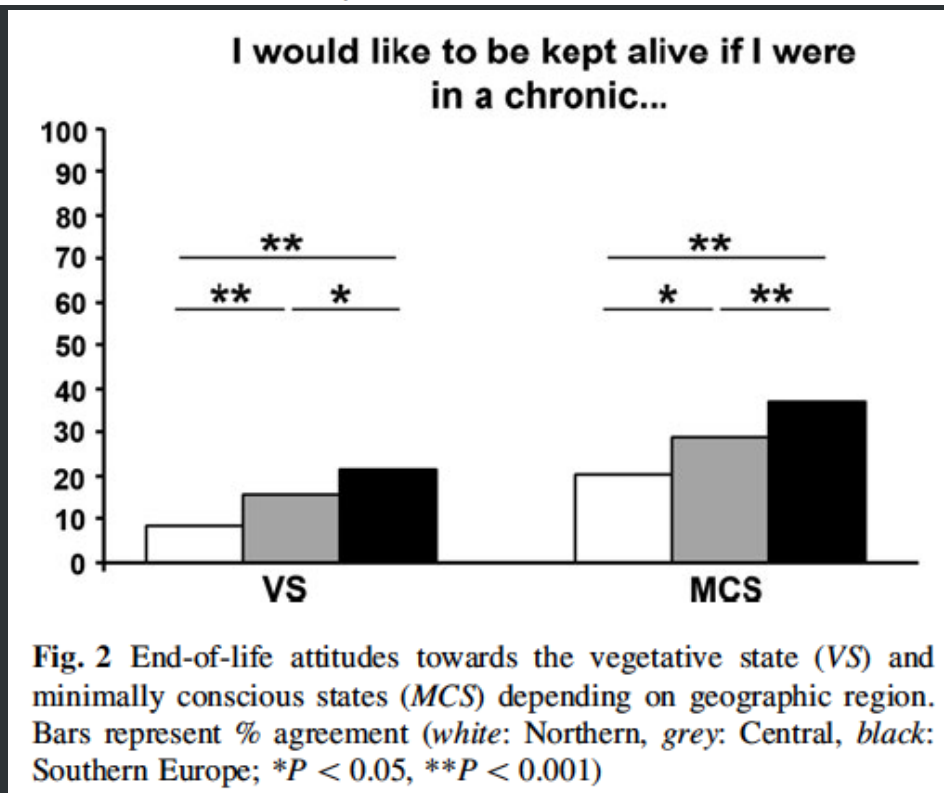
Person who gave the LIS diagnosis	Number of patients (n=84) (%)
Medical doctor	52 (62%)
Family member	28 (33%)
Other	4 (5%)



Attitudes towards end-of-life issues in disorders of consciousness: a European survey

A. Demertzi · D. Ledoux · M.-A. Bruno ·
 A. Vanhaudenhuyse · O. Gosseries · A. Soddu ·
 C. Schnakers · G. Moonen · S. Laureys

2,475 medical professionals





Ethical issues: what about LIS?

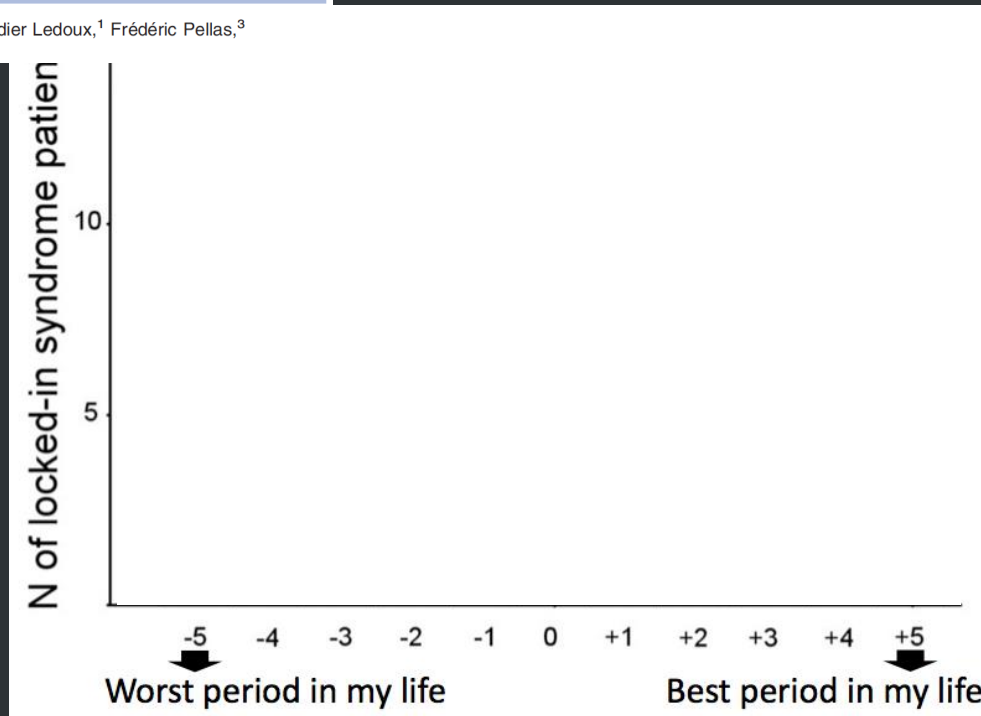
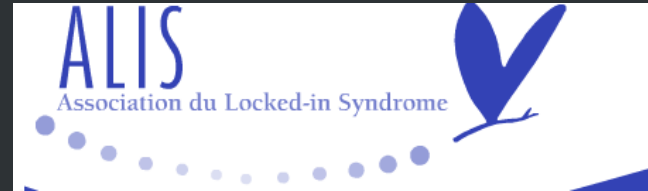


Open Access Research



A survey on self-assessed well-being in a cohort of chronic locked-in syndrome patients: happy majority, miserable minority

Marie-Aurélié Bruno,¹ Jan L Bernheim,² Didier Ledoux,¹ Frédéric Pellas,³ Athena Demertzi,¹ Steven Laureys¹





Ethical issues

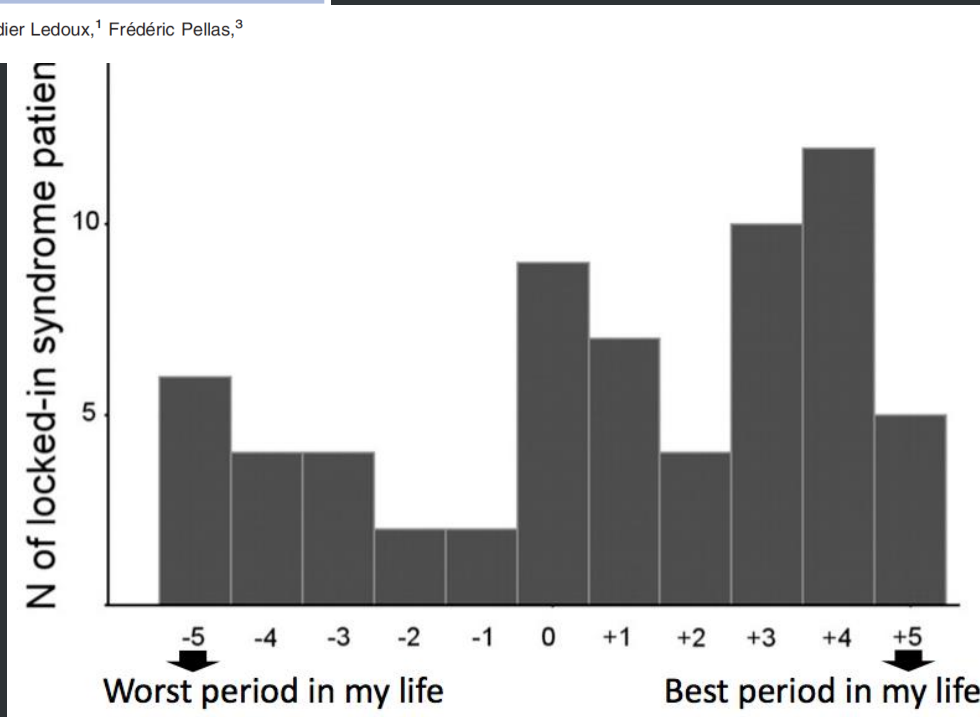
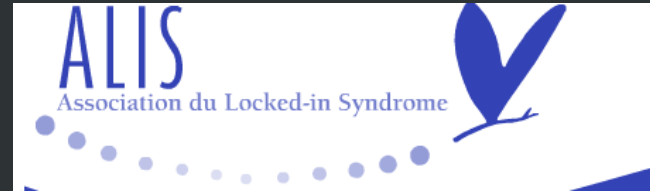


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Conclusion

- LIS # DOC in terms of brain lesions and level of consciousness
- Preserved cognitive abilities
- Happy majority?
 - Pain
 - Communication
 - Don't forget the minority!

Near-death experiences

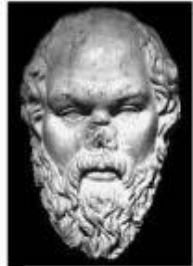
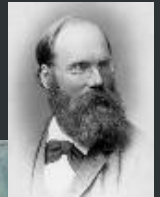
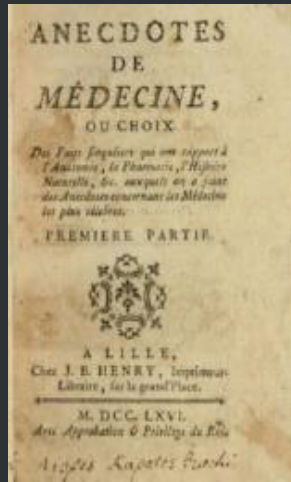
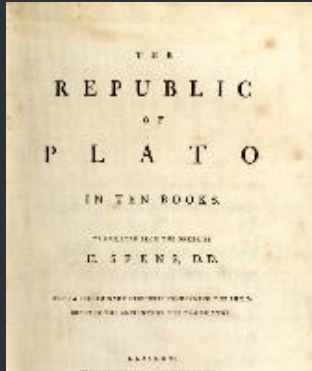




Near-death experiences: definition

- **Near-Death Experience (NDE):** *“Profound psychological events with transcendental & mystical elements typically occurring to individuals close to death or in situations of intense physical or emotional danger”.*
- a set of mental events with highly emotional, self-related, mystical & spiritual aspects
- recurrent “features” (e.g., feeling of peacefulness, out-of-body experiences, ...)
- classically occurring in an altered state of consciousness

Near-death experiences: historical background



Platon's Republic
315 B.C.

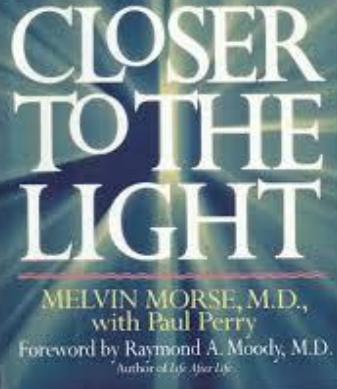
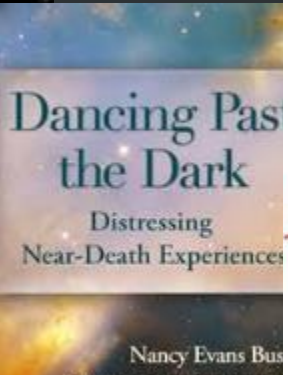
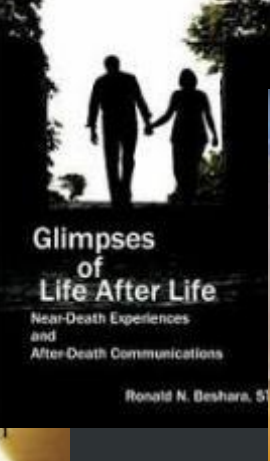
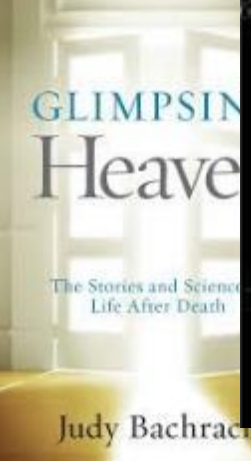
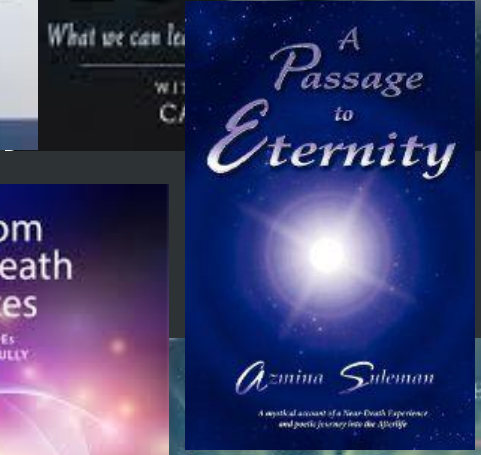
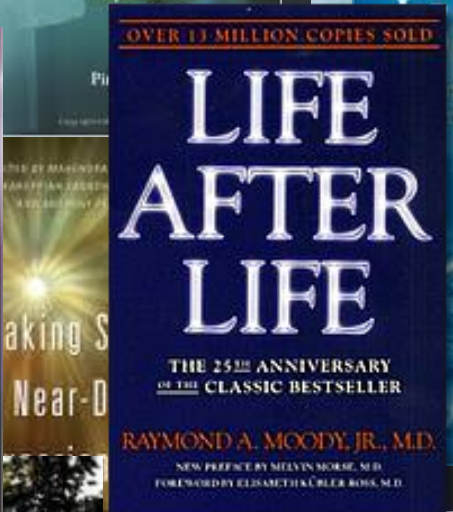
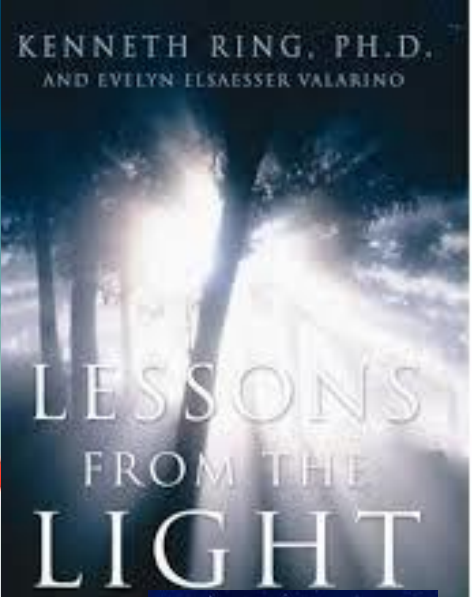
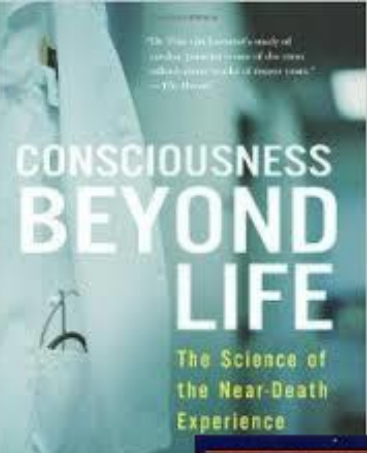
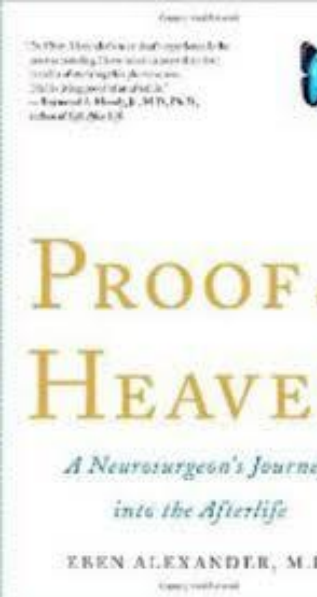
Jerome
Hieronymus
Bosch
1516

Pierre Jean du
Monchaux
1740

Amiral Beaufort
1795

Albert Heim
1892







Near-death experiences

□ Main explanatory models

- *Spiritual theories* ▶▶ “dualistic” approach toward the mind–brain relationship
- *Neurobiological theories* ▶▶ brain mechanisms might underlie NDEs
- *Psychological theories* ▶▶ psychological reaction to impending death





Near-death experiences: Identification

128

Greyson NDE scale: Scores ≥ 7 = NDE experienter

Cognitive

- (1) Did time seem to speed up or slow down?
0 = No
1 = Time seemed to go faster or slower than usual
2 = Everything seemed to be happening at once; or time stopped or lost all meaning
- (2) Were your thoughts speeded up?
0 = No
1 = Faster than usual
2 = Incredibly fast
- (3) Did scenes from your past come back to you?
0 = No
1 = I remembered many past events
2 = My past flashed before me, out of my control
- (4) Did you suddenly seem to understand everything?
0 = No
1 = Everything about myself or others
2 = Everything about the universe

Affective

- (5) Did you have a feeling of peace or pleasantness?
0 = No
1 = Relief or calmness
2 = Incredible peace or pleasantness
- (6) Did you have a feeling of joy?
0 = No
1 = Happiness
2 = Incredible joy
- (7) Did you feel a sense of harmony or unity with the universe?
0 = No
1 = I felt no longer in conflict with nature
2 = I felt united or one with the world
- (8) Did you see, or feel surrounded by, a brilliant light?
0 = No
1 = An unusually bright light
2 = A light clearly of mystical or other-worldly origin



Near-death experiences: Identification

129

Greyson NDE scale: Scores ≥ 7 = NDE experiencer

Paranormal

(9) Were your senses more vivid than usual?

0 = No

1 = More vivid than usual

2 = Incredibly more vivid

(10) Did you seem to be aware of things going on elsewhere, as if by ESP?

0 = No

1 = Yes, but the facts have not been checked out

2 = Yes, and the facts have been checked out

(11) Did scenes from the future come to you?

0 = No

1 = Scenes from my personal future

2 = Scenes from the world's future

(12) Did you feel separated from your body?

0 = No

1 = I lost awareness of my body

2 = I clearly left my body and existed outside i

Transcendental

(13) Did you seem to enter some other, unearthly world?

0 = No

1 = Some unfamiliar and strange place

2 = A clearly mystical or unearthly realm

(14) Did you seem to encounter a mystical being or presence, or hear an unidentifiable voice?

0 = No

1 = I heard a voice I could not identify

2 = I encountered a definite being, or a voice clearly of mystical or unearthly origin

(15) Did you see deceased or religious spirits?

0 = No

1 = I sensed their presence

2 = I actually saw them

(16) Did you come to a border or point of no return?

0 = No

1 = I came to a definite conscious decision to return to life

2 = I came to a barrier that I was not permitted to cross; or was sent back against my will



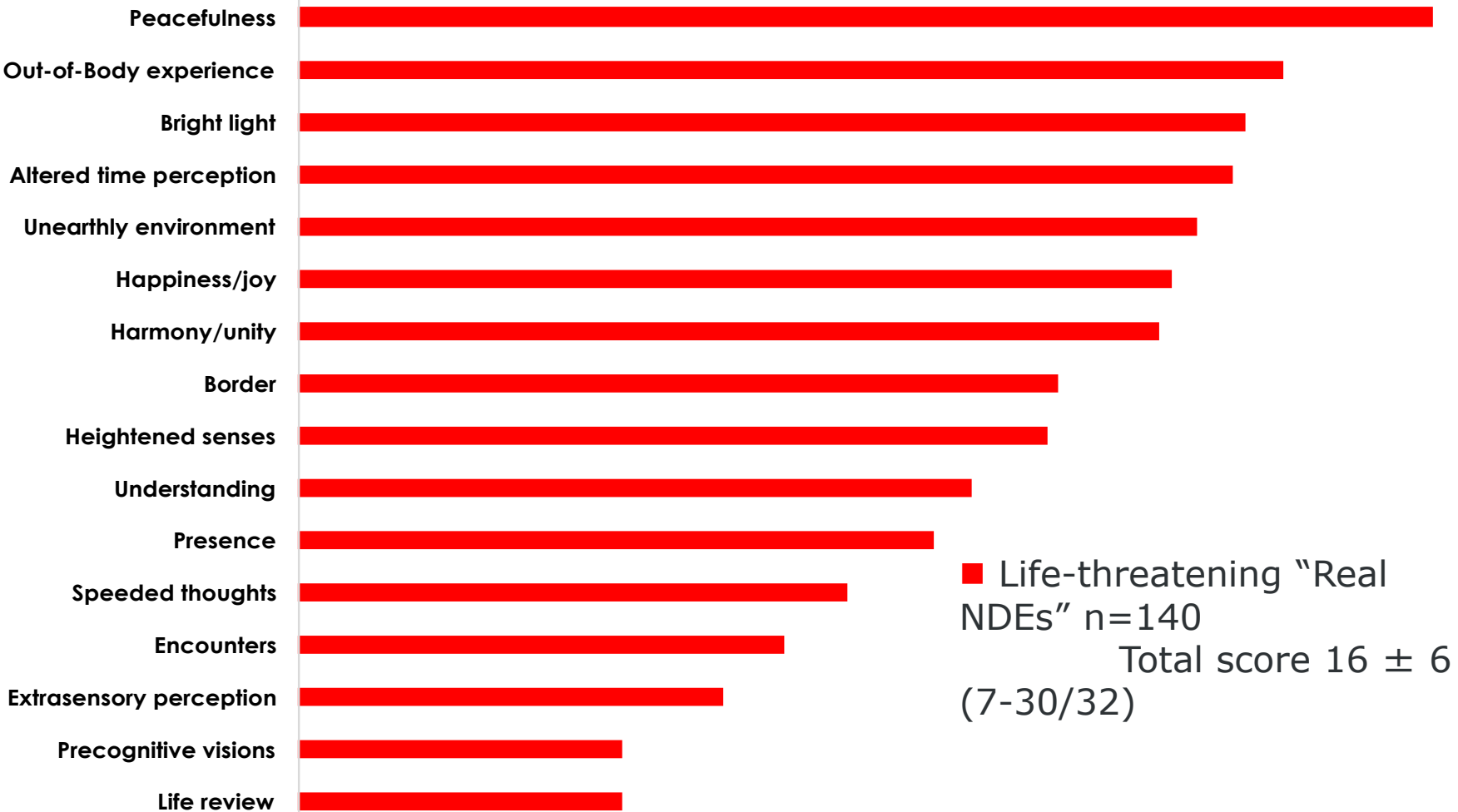
Near-death experiences: features

130



Greyson NDE scale features frequencies (%)

0 10 20 30 40 50 60 70 80 90 100



■ Life-threatening "Real NDEs" n=140
Total score 16 ± 6
(7-30/32)



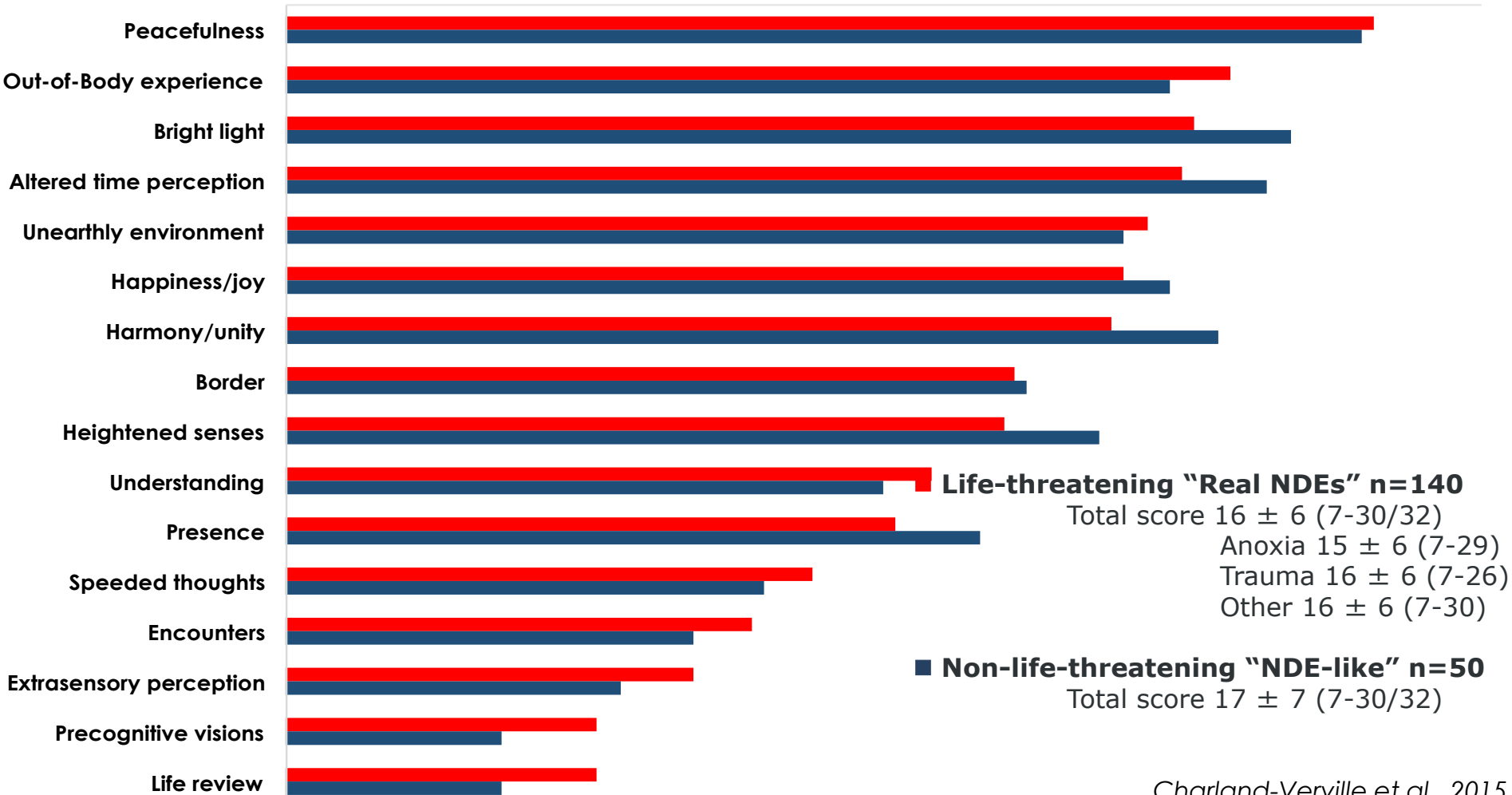
Near-death experiences: features

131

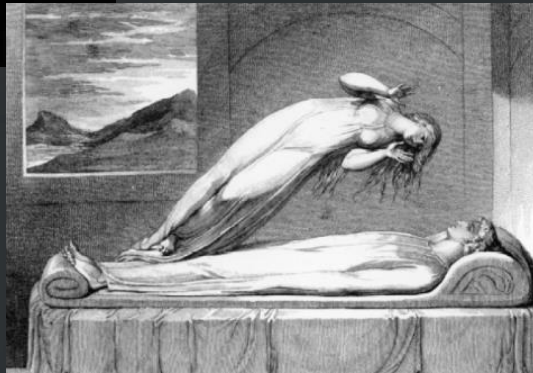
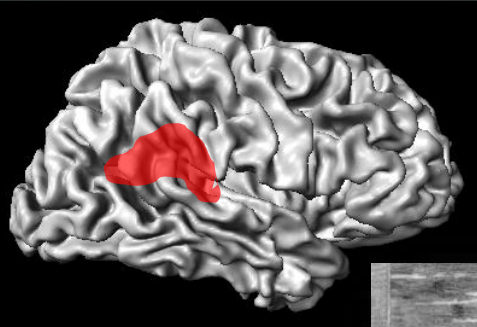


Greyson NDE scale features frequencies (%)

0 10 20 30 40 50 60 70 80 90 100



Right temporo-parietal stimulation



Hypercarbia (Meduna, 1950)

- Bright light
- Recollection of memories
- OBEs
- Mystical insights

Blanke et al Stimulating illusory own-body perceptions. *Nature*, 2002

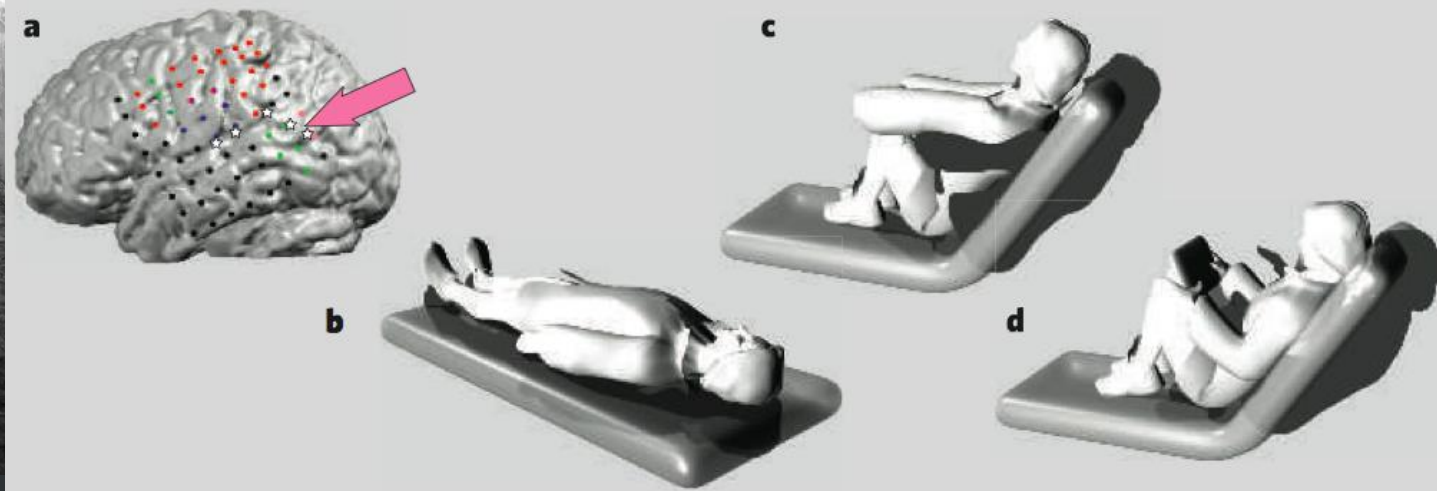
De Ridder et al Visualizing out-of-body experience in the brain. *N Engl J Med*, 2007



Near-death experiences: neural correlates



Presence ~ left temporoparietal



Arzy, S., et al. (2006) *Nature* 443:287
Induction of an illusory shadow person.



Aim: Reproduce NDEs in controlled laboratory setting

Hypothesis: Induced hypoxic loss of consciousness produces NDE like memories (Lempert, 1994)



Near-death experiences: laboratory setting

135

33 healthy volunteers aged 25 ± 5 y (range 20-46); 19 women (58%)

Induction of vasovagal syncope:

45 s hyperventilation while squatting, fast rising, 10 s Valsalva maneuver

Simultaneous high-density video-EEG recordings

Greyson NDE scale & semi-structured recorded audio interviews

Induced loss of consciousness : 26/33 (79%)

Duration of loss of consciousness : 24 ± 7 s (range 14-45)

NDE total scores: 6 ± 4 (range 0-17)

Identified NDErs: 9/26 (35%)

1 subject excluded because of bad quality EEG recording

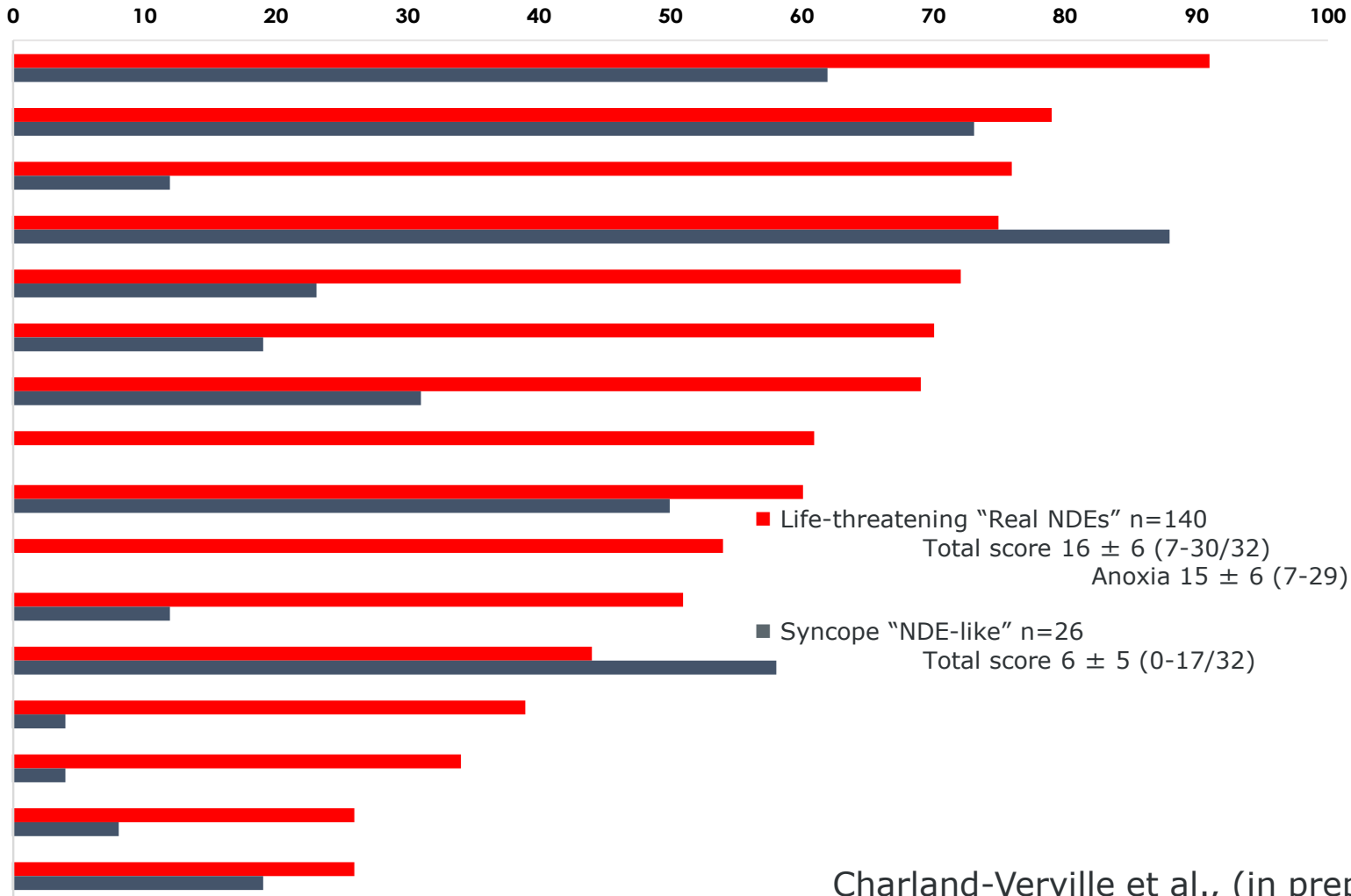


Near-death experiences: laboratory setting

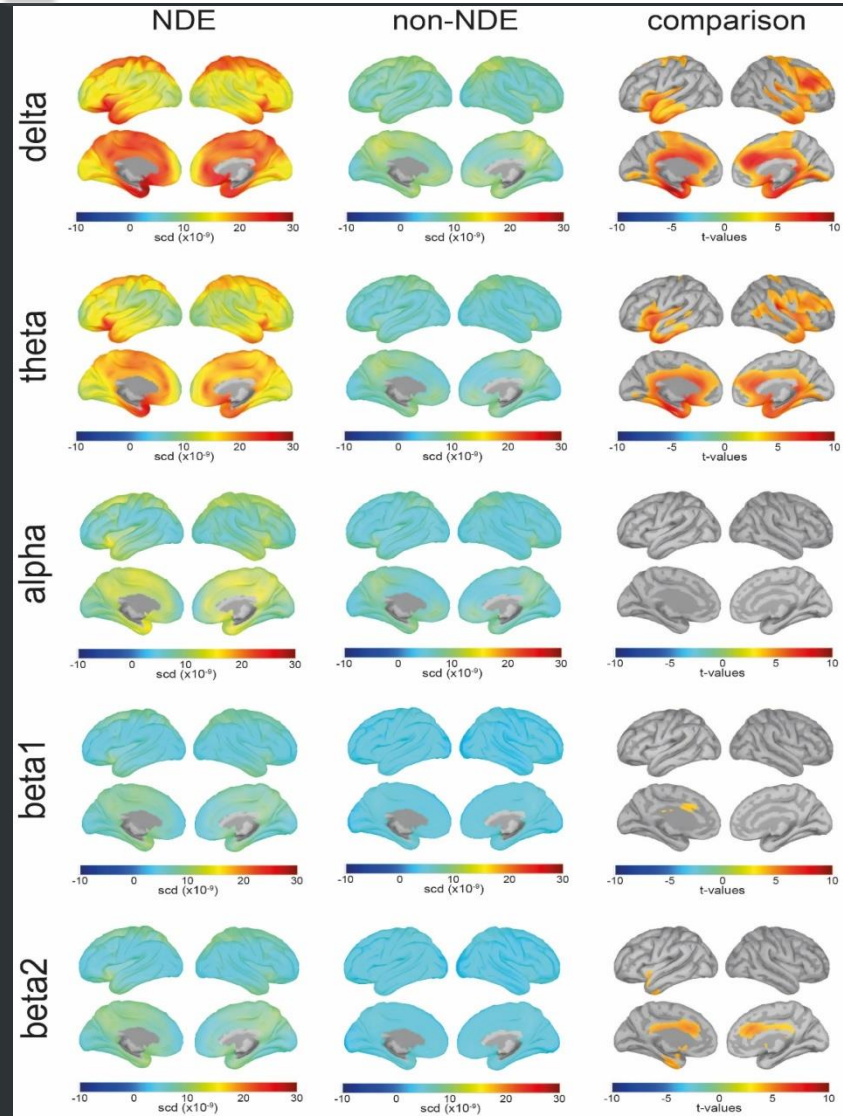
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Greyson NDE scale features frequencies (%)



Near-death experiences: laboratory setting



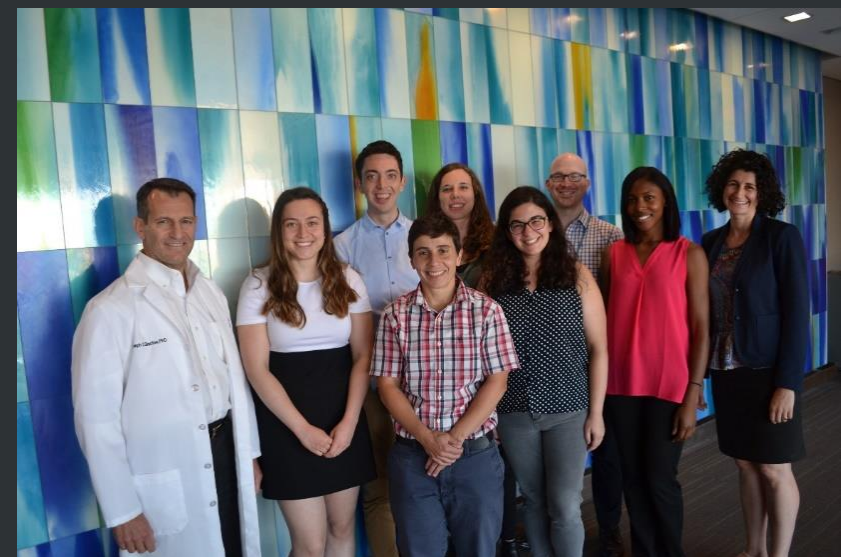
That's it folks!



LIÈGE université
GIGA institute



THANK YOU!



Geraldine.martens@uliege.be

