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1. Introduction and overview

A total of 19 participants including clinicians, newborn screening specialists, economists, geneticists, patients and patient advocates, and industry representatives from 12 countries convened from the May 12–14, 2019 in Hoofdorp, The Netherlands, for the 244th ENMC International Workshop, on the topic newborn screening (NBS) for spinal muscular atrophy.

Following a welcome from Alexandra Breukel, ENMC representative, and the chairpersons of the workshop, Eduardo Tizzano and Laurent Servais, Professor Tizzano gave an overview of the topic.

Spinal muscular atrophy (SMA) is an autosomal recessive disorder caused by abnormally low levels of functional survival of Motor Neuron (SMN) protein [1], which leads to early death of motor neurons. SMN is coded by SMN1 gene, and 95% of patients present with homozygous absence of exon 7 of SMN1, whereas 5% present with the absence in one allele and a point mutation in the other allele [2]. Patients with SMA fall on a continuous spectrum of weakness: At one end are patients who present as neonates with rapid decrease in muscle strength that progresses to paralysis and to respiratory insufficiency; at the other are patients with adult onset SMA who have minor disabilities. The most severe form is called SMA type 0; it starts in utero, and patients are symptomatic at birth. SMA type 1 typically starts before the age of 6 months, and patients never acquire the ability to sit. SMA type 2 symptoms begin between 6 and 18 months, and these patients never gain the ability to walk. SMA type 3 starts after 18 months; patients walk initially but ambulation is lost in a high proportion of patients. In addition to this basic classification according to age and maximal ability achieved, groups may be further divided into many more subgroups, from 1.1 to 1.9, 2.1 to 2.9...adding more granularity in the classification and underlying the broad uninterrupted phenotypic spectrum from type 0 to type 4 [3]. All SMA types are associated with significant motor disability, a burden for caregivers, and substantial costs [4,5]. The main predictive factor for severity
is the number of copies of SMN2, a paralogous gene, from which a small amount of functional SMN protein is produced [6].

Recently, several therapeutic agents for treatment of SMA have been approved for clinical use or are in late-stage development. Spinraza (Nusinersen), an antisense oligonucleotide alters splicing of the SMN2 pre-mRNA to cause expression of the full-length SMN protein. Spinraza was approved by the Food and Drug Administration (FDA) in December 2016 and by the European Medicines Agency in June 2017 as the first tailored therapy for SMA [7]. The benefit of therapeutic intervention soon after onset of symptoms has been demonstrated in double-blind, placebo-controlled study as well as during the real-world data collection [8–10]. Anecdotal cases of success in treatment of pre-symptomatic patients have been presented or published [11], and it has been reported that outcomes for 25 pre-symptomatic patients treated during an open-label study were dramatically more positive than for patients treated post-symptomatically [12]. Other approaches, including gene therapy and oral splicing modifiers currently in the late phase of clinical trial development [13–15], have also shown promising results [16]. The gene replacement therapy, known as Zolgensma, was approved by the FDA in May 2019 for treatment of patients with SMA below the age of 2 years [17]. The benefit of early treatment with these agents in patients with SMA type 1 has also been suggested during ongoing studies.

Results from clinical trials indicate that early diagnosis should be facilitated. NBS for SMA meets the modified criteria proposed by Wilson and Jungner [18,19] which are widely used to determine whether screening for a disease should be included in an NBS panel. These criteria include 10 different items:

1. The condition sought should be an important health problem.
2. There should be an accepted treatment for patients with recognized disease.
3. Facilities for diagnosis and treatment should be available.
4. There should be a recognizable latent or early symptomatic stage.
5. There should be a suitable test or examination.
6. The test should be acceptable to the population.
7. The natural history of the condition, including development from latent to declared disease, should be adequately understood.
8. There should be an agreed policy on whom to treat as patients.
9. The cost of case-finding (including diagnosis and treatment of patients diagnosed) should be economically balanced in relation to possible expenditure on medical care as a whole.
10. Case-finding should be a continuing process and not a “once and for all” project.

The recent availability of new treatments for SMA has provided impetuous to include screening for SMA in NBS programs [20–22]. Indeed, in the USA a number of states have implemented NBS and others are rapidly implementing it. (https://www.babysfirsttest.org/newborn-screening/rusp-conditions#spinal-muscular-atrophy) The aim of this workshop was to synthesize the currently available information on technical, ethical, economic, and practical aspects of NBS and to identify gaps in our current knowledge of patient identification and communication of diagnosis and treatment following NBS.

2. Biomarkers and predictors

**Arthur Burghes** gave an overview of the current SMA predictors. The first key modifier of the SMA phenotype is SMN2 copy number. The second is the type of SMN2 present; specific variants such as c.859G>C in exon 7 and the intron 6 variant A-44G both alter the amount of full-length SMN produced from SMN2 [23–26]. In general, there is strong correlation between the number of SMN2 copies and SMA phenotype [6]. However, there are exceptions and only some of these are explained by variants in SMN2 [25,26]. Other modifiers of SMA have been suggested, but there are no validated genetic markers yet that can be readily used in follow-up analysis to obtain a more accurate genotype versus phenotype correlation. In addition, it has been reported by several experts that the precise quantification of SMN2 number varies from one lab to another, especially for the highest number of copies. Indeed Schorling et al. [27] reported that on re-testing SMA patient samples, 9 out of 20 samples had a discrepant copy number determination. In experience of other expert labs, the observed discrepant copy number on retesting are usually consistent with phenotype. Issues concerning DNA quality and methodologies may underlie these discrepancies [6,27]. One particular concern is the rare occurrence of type 1 SMA cases with 4 or more copies of SMN2. Samples from these patients should be further analyzed to determine whether these diagnoses result from inaccurate copy number tests. In large collections of patients in laboratories with experience in SMN2 determination, 4 copies of SMN2 in type 1 has not been seen so it must be rare finding albeit its exact frequency is not known [6]. It would seem important to re-test these discordant samples to determine whether any patient with high SMN2 copy number have severe illness as this is critical in risk assessment. It can be assumed that historical SMN2 copy number data and phenotype gives a reasonable indication that the genotype versus phenotype correlation can be used to calculate practical risk estimates [6]. Information on natural history and clinical evolution is crucial to facilitate decisions on benefits of early treatment. The main question after a newborn screen that is positive for SMN1 deletion and a given copy number of SMN2 is the probability of developing a particular form of SMA or of being asymptomatic for a long period of time [19]. The
calculations for this risk use a multinomial logistic regression model. In this model both the SMN2 copy number and the type of SMA can be included and additional modifiers or factors such as SMN2 variants (i.e., c.859G>C and A-44G) and gender can be included. Depending on the nature of the studies and the specificities of the dataset, a Bayesian calculation to determine posterior probability may be required, [6,28] which gives a more accurate probability of developing a specific phenotype. The known SMN2 variants account for some cases where phenotype is milder than would be expected based strictly on SMN2 copy number [6,25,26]; however, there are a large number of cases that are milder than expected without these variants, and these are under active investigation to identify additional modifiers at the DNA level. It is likely that a number of these modifiers lie outside the SMN gene region. The identification of additional modifiers will improve prediction of phenotype by genotype and can be incorporated in the model.

Wildon Farwell discussed recent findings regarding neurofilaments in the context of NBS. Neurofilaments are intermediate filaments uniquely expressed in neuronal cells that, together with microtubules and microfilaments, make up the neuronal cytoskeleton [29,30]. Neurofilaments are composed of three proteins differentiated by molecular weight — light, medium, and heavy — that are released into the extracellular fluid during axonal degeneration [29,30]. Neurofilaments heavy and neurofilaments light have been proposed as biomarkers of disorders characterized by axonal injury and degeneration, and their clinical utility in diseases such as multiple sclerosis and amyotrophic lateral sclerosis is being explored [30]. Axonal neurofilaments are predominately phosphorylated, conferring resistance to protein degradation [29].

The natural disease course of SMA can now be significantly altered with treatment [7,31,32], and therefore strategies to assess disease activity and monitor treatment response are needed. Biogen has investigated plasma levels of phosphorylated neurofilaments heavy (pNF-H) in children with and without SMA using blood samples collected at baseline from participants in Spinraza clinical trials (with pre-symptomatic, infantile-onset or later-onset SMA [\(n = 302\)]) and from children without SMA (\(n = 34\), as well as change in pNF-H with treatment. The levels of pNF-H were measured using the ProteinSimple® enzyme-linked lectin assay [33]. In children without SMA, pNF-H levels were highest in the youngest infants and appeared to decline with age: median (range) in those aged \(< 1\) year was 1510 pg/mL (579–7030; \(n = 6\)) compared with 124.5 pg/mL (below the limit of quantification–395; \(n = 28\)) in those aged 1 to 18 years (p = 0.0002) [34]. In children with SMA, baseline plasma pNF-H levels were higher than levels in children without SMA, and were highest in the youngest affected individuals; these individuals were pre-symptomatic and generally had two SMN2 copies [35]. In individuals with pre-symptomatic or infantile-onset SMA who received Spinraza treatment, pNF-H levels declined during the loading period of Spinraza and then remained relatively stable over the course of the study [34,35]. In contrast, participants who received sham treatment experienced a steady, gradual decline in pNF-H over the course of the study [34]. Results from additional analyses demonstrated the potential of pNF-H levels after initiation of treatment with Spinraza to predict future motor function improvement. Taken together, the results suggest that pNF-H may be a useful biomarker for predicting motor function outcomes in SMA. The utility of additional markers in cerebrospinal fluid of treated SMA patients is also matter of active research [36,37].

3. Techniques of NBS

François Boemer presented the validation of an in-house technique for NBS designed to specifically recognize homozygous deletions of exon 7 in the SMN1 gene [22,38]. To ensure the specificity for SMN1 and to avoid any SMN2 gene detection, qPCR screening assay was designed with a specific locked nucleic acid probe. The newborn screening center in Liege, Belgium, initiated a 3-year pilot study to screen all newborns in its area on March 5, 2018. Thereafter, several improvements allowed shortening of the turnaround time. The technical refinements, the acquisition a new qPCR instrument, the hiring of a dedicated lab technician, and the extension of the project to all Southern Belgium allowed the delay between birth and results availability from 14.2 days when screening was initiated to the current 8.5 days.

Mikael Hjort presented PerkinElmer’s objectives for NBS. Panel expansion to include screening for additional diseases, like SMA, and introduction of new technologies will present challenges to NBS laboratories. Use of liquid handlers, automation, and dedicated software solutions will become routine in NBS workflows.

SMA NBS assays detect SMN1 exon 7 deletion in a qualitative manner and carrier detection should be avoided. To ensure specificity of the SMA assay, modified nucleotides such as locked nucleic acid probes can be used to ensure specificity for SMN1. SMN2 copy number determination can be part of the NBS workflow as a second-tier testing utilizing droplet digital Polymerase Chain Reaction (PCR) technology; however, this should not be considered as a critical part of the NBS program as it can be also done outside the NBS laboratory during the confirmation stage.

The SMA NBS assay can be multiplexed together with the severe combined immunodeficiency screening assay. Furthermore, multiplexing of T-cell receptor excision circles, kappa-deleting recombination excision circles, and SMN1 can be done in one assay utilizing real-time PCR technology without increasing daily hands-on workload and complexity. The combination of simple DNA extraction, multiplexing, and automation allows maximum efficiency in the workflow.

During discussion, it was noted that none of the methods currently employed in NBS for SMA allows detection of point mutations in SMN1. Thus, about 5% of cases will remain undiagnosed until manifestations of the disease occur and specific genetic tests are performed.
4. NBS programs

Programs from the USA and several European countries were discussed, with the aims of comparing costs, organization, attitude towards informed consent, and techniques (Table 1).

Arthur Burghes gave a general overview of the status of SMA NBS in the USA. A number of states have started NBS for SMA, for instance Minnesota, Indiana, Utah and other states have legislation mandating this screening and some states such as Ohio are implementing it in pilot studies. A number of these states are using the real-time PCR assay developed by the Centers for Disease and Control to detect the loss of SMN1 exon 7. This assay was developed to be used in combination with the assay for detection of severe combined immunodeficiency (the TREC assay), which is also a DNA-based test. This allows rapid and inexpensive implementation of the assay as all states are performing severe combined immunodeficiency screening. The assay described above that was developed by PerkinElmer is very similar but the exact differences between the two cannot be determined due to lack of information concerning the primers and probes in the PerkinElmer assay. In the case of New York [21], the lack of SMN1 is first determined and then the copy number of SMN2 is determined concurrently with confirmatory tests. The aim is rapid reporting of the results to the physician to allow rapid treatment. To date, there are no data available on the number of babies screened or the number of patients identified.

Francesco Danilo Tizzano described the status of the Italian NBS for SMA. The pilot project that is ongoing in Italy was designed as an epidemiologic study with the goal of establishing the incidence of SMA in two regions: Lazio and Tuscany. Informed consent is required. Due to the duration of the study (two years) and the limited estimated number of patients (about 20), no formal analysis of treatment efficacy is planned. The pilot project is aligned to the extended metabolic NBS that is mandatory by law, and exploits the same infrastructure for the management of samples. In contrast with the US and Belgian organization, a dedicated dried blood spot (DBS) is used for SMA. The diagnostic testing is centralized in a single center, the Catholic University in Roma. The genetic test is performed using an in-house assay based on real time PCR. The assay has been validated for carrier testing and SMN2 copy number assessment, has been adapted for an automatic analytic pipeline in small reaction volumes, and co-amplifies SMN1 and SMN2 exon 7, which are differentiated by different Taqman MGB probes. SMN2 is amplified as a PCR positive control. Positive samples are confirmed by a second DNA extraction from the same DBS. Subsequently, the family is invited for genetic counselling to explain the condition, prognosis, and therapeutic opportunities. On that occasion, a fresh blood sample is collected from the child, and an appointment is made for the neonate at a tertiary neuromuscular center, which will be kept assuming that confirmatory testing is positive. An official report, which includes the SMN2 copy number, is provided to the family in order to obtain the reimbursement of the treatment by the National Health System. The pilot project started in the single center for the exploratory phase on March 15, 2019: So far, over 7000 newborns have been screened, and no patients have been reported. The screening was extended to all newborn centers in July.

François Boemer described the implementation of the Belgian NBS. A 3-year pilot study was initiated on March 5, 2018 in a Belgian neonatal screening laboratory to cover 17,000 newborns per year [22]. The extension of the program’s coverage to the whole of southern Belgium (French-speaking Belgium: Wallonia-Brussels federation), extending the population’s coverage to 55,000 babies per year, was carried out 9 months after the launch of the pilot study. The SMA NBS follows the general NBS process, using the same DBS. Similarly, to any screening in Southern Belgium,
parents are informed of the existence of a screening for several diseases and have the option to opt out; parents rarely choose not to have the screening. Formal signed consent is not required. After more than one year of screening, 42,000 newborns have been tested for SMA. Six cases of SMA were identified: two children with two copies of SMN2, two children with three copies, and two with four copies. This corresponds to an incidence of 1 in 7000. All identified patients have received treatment with Spinraza or have been included in a gene or oral therapy trial and are being monitored by clinical and physical therapy tests including the CHOP-intend and the Bayley test (motor and cognition). A medical-economic analysis of the project is being carried out, with questionnaires on quality of life and cost caused by the disease. Over the course of the project, public engagement through mainstream media and Facebook (http://facebook/sunmayaraniseomsa) are ensuring visibility.

Wolfgang Müller-Felber reported on the German pilot project based on PCR of DBS samples [39]. Starting in January 2018 the project, which is still going on in three centers in the southern and western part of Germany (Munich, Essen, and Münster) and which was started as a collaboration project with the Cystinosis Foundation, had screened 178,000 newborns through by the end of March 2019. Twenty-five children with homozygous mutations in the SMN1 gene were identified for an incidence of 1 in 7120. Sixteen children (64%) had two or three copies of SMN2, and the remaining four and more copies. Treatment was offered to all children with two or three SMN2 copies. In 12 children treatment with Spinraza was started immediately after confirmation of the diagnosis. All children are undergoing regular clinical and physiotherapeutic tests. HINE and CHOP-Intend scores show near normal development in all children who began treatment prior to onset of symptoms. In one child with four copies of SMN2 symptoms appeared at 10 months of age. NBS was well accepted by the parents. The project will be continued until NBS is part of the general NBS program in Germany.

Eduardo Tizzano presented a proposal for a NBS project in Catalonia. Among the different programs of NBS in Spain there is a large heterogeneity in the 17 autonomous regions that are responsible for new program implementation, with screening for 7 to up to 30 diseases depending on the region. Catalonia has approximately 7,500,000 inhabitants and between 60,000 and 70,000 births per year. NBS screening in Catalonia started in 1968 and includes 24 diseases. Catalonia was the first region in Europe to include severe combined immunodeficiency by T-cell receptor excision circles determination, to which the SMA NBS could be coupled. Initial guidance to run a pilot project is under review by health authorities responsible for the regional NBS program. The proposal is to initially conduct SMA screening through the overall process of NBS, and the analysis will be centrally performed. A flyer about the purpose of this pilot study will be included in the initial information that is given to the families during the collection of blood, and families may choose not to participate. Informed consent will be collected at the stage of diagnosis confirmation, which will be performed in the reference center. Communication of diagnosis is considered to be a crucial issue in the project and is expected to be provided by an expert team of genetic counselors and pediatric neurologists with psychological support for decision making.

Kacper Rucinski described the ongoing efforts to initiate pilot projects of SMA NBS in Central and Eastern Europe. Pilot projects in Hungary and Poland were outlined. The Polish project aims to screen 120,000 newborns over two years and is in a more advanced stage of planning than is the Hungarian program.

5. Treatments

Enrico Bertini presented the data from the Biogen-sponsored NURTURE study (NCT02386553) of Spinraza efficacy. Spinraza was the first approved treatment for SMA. Interim results were presented as of May 2018. Treatment was initiated prior to symptom onset in infants with two or three SMN2 copies. Enrolled infants were aged ≤6 weeks at first dose, clinically pre-symptomatic, had a compound muscle action potential (CMAP) above 1 mV and were genetically diagnosed with SMA. The primary endpoint is time to death or respiratory intervention (≥6 h/day continuously for ≥7 days or tracheostomy). A total of 25 infants (2 copies SMN2, n = 15; 3 copies, n = 10) were enrolled with a median age at last visit of 26.0 months (range 14.0–34.3 months). As of May 2018, all infants were alive, and none required permanent ventilation. Four infants (all with 2 SMN2 copies) required respiratory intervention for ≥6 h/day continuously for ≥7 days during acute, reversible illness. All infants achieved the WHO motor milestone sitting without support, 22 of 25 (88%) achieved walking with assistance, and 17 of 22 (77%) were walking alone [12]. pNF-H levels rapidly declined during the Spinraza loading phase and then stabilized. At baseline, pNF-H were considerably elevated in individuals with two copies of SMN2. Adverse events occurred in all infants; 20 of 25 had adverse events that were mild or moderate in severity; nine had severe adverse events. No new safety concerns were identified. There was continued benefit to infants who initiated Spinraza before symptom onset, emphasizing the value of early treatment made possible by NBS. This open ongoing trial will also identify biomarkers to use in the pre-symptomatic treatment approach.

Imran Kausar presented data on the AveXis AAV9-based gene therapy Zolgensma (previously known as AVXS-101) pre-symptomatic clinical trial (SPRINT, NCT03505099) in SMA. The data are the most recent data cut from the ongoing SPRINT trial and were recently presented at the American Association of Neurology meeting in Philadelphia, PA, USA. SPRINT is a Phase 3, open-label, single-arm, multi-center trial designed to evaluate the safety and efficacy of a one-time intravenous infusion of Zolgensma in pre-symptomatic patients with SMA and two or three copies of SMN2 who began treatment at ≥6 weeks of age. The primary outcome measure for patients with two copies of SMN2 is independent sitting for ≥30 s by 18 months. The primary outcome measure
for patients with three copies of SMN2 is standing without support for at least three seconds by 24 months.

As of March 8, 2019, all patients (18/18) were alive and event-free. One patient was enrolled into SPR1NT with four copies of SMN2 and was assessed for safety but not efficacy as this patient did not meet the intent-to-treat criteria. The median duration of follow-up was 5.4 months, and the median age was 6.1 months. Among patients with two copies of SMN2 (n = 8), a mean 8.9-point improvement from baseline in CHOP-INTEND was achieved 1 month post dosing, and a mean score of 8.4 points in Bayley-III Gross Motor was achieved by 2 months after dosing. All patients achieved or maintained a CHOP-INTEND score of 50 points, with four patients achieving a score of 60 points and three patients achieving the maximum score of 64.

Patients with two copies of SMN2 reached age-appropriate motor milestones, including four patients who could sit without support for at least 30 s according to Bayley-III Gross Motor criteria, and one patient who could stand with assistance for ≥2 s. Untreated natural history indicates that most patients with two copies of SMN2 never sit without assistance.

Serious adverse events were cases of croup (n = 1), lethargy (n = 1), and hypercalcemia (n = 1), all of which resolved and were considered unrelated to treatment by investigators. Other observed adverse events included elevated transaminases, elevated blood creatine phosphokinase-muscle/brain, and elevated troponin.

Ksenija Gorni presented the study design and the inclusion/exclusion criteria of the RAINBOWFISH trial (NCT03779334) designed to evaluate efficacy, safety, pharmacokinetics, and pharmacodynamics of Risdiplam. Risdiplam is a small-molecule splicing modulator that will be given orally. Infants with one to four copies of SMN2 will be included in the study, and the primary analysis will be performed considering at least 10 babies with two SMN2 copies. Similar, to other studies in pre-symptomatic infants with SMA, the inclusion age will be ≤6 weeks at time of first dose. Enrollment into this study has just begun and no data are available.

The use of NBS modalities has been discussed for RAINBOWFISH, considering the global nature of the study, which involves geographies with differing standard of care. NBS that is being utilized will follow an ad hoc approach using existing NBS programs when present and supporting ongoing pilots and starting new efforts where NBS is not readily available.

6. Alternatives to NBS

Eduardo Tizzano presented the carrier screening concept as an alternative to NBS. NBS is considered a reasonable initial approach to prevent and modify the trajectory of SMA, given the movement from treating patients with manifesting disease, which constitutes a tertiary prevention in patients with early symptoms, to a secondary prevention in asymptomatic patients. However, it is envisaged that in the future there will be consolidation of carrier screening programs in the population for primary prevention of SMA [19]. The final result will be a decrease of the incidence and prevalence of the disease with a potential positive impact on the health system considering the burden of the disease and the high cost of new treatments [40]. Carrier screening in SMA may be triggered by a family history of SMA or as part of a general screening programs. These programs could be SMA specific, as are the geography- or population-based screenings conducted for carriers of recessive conditions that are observed in limited locations or ethnic groups (e.g., Tay Sachs [41], cystic fibrosis [42,43], thalassemia [44]), or as part of expanded (commercially available) carrier screening next generation sequencing genetic panels that are offered for several autosomal recessive conditions. This type of screening is done in potential gamete donors in some fertility and reproduction clinics [45].

Parents of SMA patients are not always carriers since a small proportion of patients have pathogenic variants that occurred de novo or that resulted from germinal/somatic mosaicism [46]. Thus, even a universal carrier screening program will not make SMA disappear. SMA carrier detection should be a quantitative method that detects one copy of SMN1 in classical 1/0 carriers. A small proportion of carriers have two SMN1 copies in cis and none on the other allele (2/0 carriers). The current carrier diagnosis methods based on the SMN1 dosage do not allow discrimination between 1/1 non-carriers and 2/0 carriers when an individual shows two SMN1 copies. Although the presence of some polymorphisms in SMN1 can aid in categorization of a risk group of 2/0 carriers [47], its absence in a person with two SMN1 copies does not preclude 2/0 carrier status limiting the utility of this analysis to some populations [48]. Testing of parents to exclude the presence of a chromosome with more than one SMN1 copy is usually confirmatory to interpret the case under study as 1/1 non-carrier [46,48].

There was an overall agreement that NBS and carrier screening programs are two complementary approaches to SMA prevention. Carrier screening by next generation sequencing has the potential to detect SMN1 point mutations that are false negative in the NBS programs. By contrast a carrier screening program will not cover homogeneously and universally the whole population, the 2/0 carriers, de novo mutations as well as non-biological filiation will contribute with cases that would be detected by universal NBS.

7. Economic and psychological cost of NBS and parental views

Mickaël Hiligsmann provided the rationale and background for the economic evaluation of NBS for SMA. Considering the limited healthcare resources available, it is important for decision makers to efficiently allocate scarce healthcare resources [49]. As part of a health technology assessment, economic evaluations provide a framework to identify and compare the costs and effects of potential interventions. These evaluations inform efficient healthcare
allocation and play an increasing role in pricing and reimbursement decisions and are formally requested in many countries [50].

An economic evaluation can be defined as a comparative analysis between two or more interventions in terms of costs and outcomes [51]. The most common type of economic evaluation is a cost-utility analysis where the outcomes are expressed in quality-adjusted life years (QALYs) that correspond to the number of years in good health and allow capture of the effects of health interventions on both mortality and morbidity. The results of an economic evaluation are typically expressed in terms of an incremental cost-effectiveness ratio defined as the difference in terms of costs between two interventions divided by their difference in effectiveness. An incremental cost-effectiveness ratio represents the additional cost of an intervention per effectiveness unit (e.g., QALY gained) versus the comparator. To qualify an intervention as cost-effective, the incremental cost-effectiveness ratio is compared to a cost-effectiveness threshold representing the decision makers’ willingness to pay per effect unit. If the incremental cost-effectiveness ratio falls below the cost-effectiveness threshold, the intervention is considered as cost-effective. Cost-effective thresholds are estimated, for example, at $100,000 to $150,000 per QALY gained in the USA [52] and are commonly higher for orphan drugs, end of life diseases, and very severe diseases.

Few economic evaluations have been conducted in the field of SMA although there have been some reports about the cost-effectiveness of Spinraza for reimbursement decisions [53], a report of the Institute for Clinical and Economic Review in the USA [54], and one scientific article to assess the cost-effectiveness of Spinraza in Sweden [55]. These studies reported a high incremental cost-effectiveness ratio of an SMA drug (by example estimated at €551,300 and €311,800 for Spinraza for the infantile-onset model and later-onset model, respectively) [55] suggesting that, at current prices, SMA drugs are not cost-effective at common thresholds for cost-effectiveness. However, alongside economic considerations, other criteria are important for drug value assessment including the lack of alternative treatment, the societal impact, ethical considerations, and budget impact. The Institute for Clinical and Economic Review in the USA has recognized the high benefits of Spinraza and Zolgensma and the need to consider contextual issues and broader benefits for patients and families in the judgment of overall drug value [54]. A value framework developed specifically for SMA should take into account, in addition to QALY and net costs, factors such as equity, real option value, value of hope, and severity of disease [56].

The one economic evaluation of screening for SMA conducted to date suggested that screening for SMA is not cost-effective [57]. This study was, however, conducted several years ago when drug treatments were not yet available and thus needs to be updated. Given the increasing use of NBS for SMA worldwide and effective treatment options, alongside the importance of economic considerations, investigating the cost-effectiveness of NBS for SMA is needed. As pre-symptomatic treatment of SMA has been shown to be associated with improved treatment benefits compared to post-symptomatic treatment [11,12], we anticipate that an economic evaluation comparing NBS followed by pre-symptomatic treatment could represent a high economic value compared to no screening followed by post-symptomatic treatment. In summary, given the increasing importance of economic evaluations and increasing interest and use of NBS for SMA, it will be important to investigate the cost-effectiveness of NBS for SMA. This analysis will provide relevant information for policy makers.

Philip Young presented data on the views of the general population and SMA community on NBS in the UK. This project was coordinated by Dr. Felicity Boardman [58] (Warwick Medical School) as part of the Imagining Futures Project and was conducted before the availability of innovative medication. This mixed model study involved qualitative (interviews with the SMA community members) and quantitative (survey-based) analyses. Even in absence of disease-modifying treatment, the majority of participants supported NBS (70% for the SMA community; 84% for the general population). The most common reasons cited were (1) it would increase support for children and families; (2) it would facilitate enrolment in clinical trials; and (3) it would allow parents to make informed decisions about future pregnancies. Finally, the data highlighted that participants believed the diagnosis of SMA in newborns was important even if the SMA type could not be definitively determined and even in the absence of effective treatment.

Mencia de Lemus pointed out that NBS is a tool that can help provide the SMA community with the best available options to tackle their disease. There is increasing evidence that the earlier SMA patients are treated, the better the prognosis. SMA is a fatal or, at the very least, a highly debilitating disease that if untreated leads to inevitable and constant decline, and all possible efforts to change that road should be pursued. It was emphasized that NBS should not be viewed as a way to limit innovative medication to only pre-symptomatic patients. Data from NBS should not preclude access to therapy by patients who are symptomatic.

Lætitia Ouillade gave an overview of the situation in France, where Spinraza is now reimbursed for all patients. She underlined the importance of the medical communication during the diagnosis process, which is even more important in the context of a NBS, as the absence of clinical signs can make a diagnosis difficult to accept for parents. She insisted on the standardized follow up of patients with four copies of SMN2 if no treatment is offered. In all cases, the physician should present and explain the different approved and investigational treatments, clearly balancing expectations and unknown long-term effects, and give parents time to make a choice.

Olga Germanenko explained that families with children with SMA now have high expectations about treatment outcomes that sometimes overpass the actual treatment effect. It is therefore very important to communicate the diagnosis
and treatment options, possible outcomes, and limitations of treatments, as well as the importance of lifelong monitoring. Communication in the NBS screening process has a high impact and should be clear and transparent and reflect the current level of understanding of SMA and the expected effect of potential treatments.

Although NBS for SMA is not yet widely implemented, especially in countries with gaps in access to treatment, it is necessary to gather best practices on different aspects of NBS (e.g., technical, communication, implementation, clinical, legal, advocacy) into guidelines or recommendations that will be useful for countries where NBS is not yet implemented in order to speed up the worldwide process.

Kacper Rucinski stated that SMA patient organizations are supportive of universal NBS for SMA. However, they are also mindful of a range of issues related to early diagnostics and treatment. Experience shows that neuromuscular centers of excellence are usually able to provide a good standard of care but that there is insufficient expertise available in smaller hospitals (e.g., local neonatal and pediatric wards), and these facilities will play an important role in NBS and in decisions on the provision of care to newly diagnosed newborns. Future deliverables of the working group should include the development of universal recommendations to be issued as a Consensus Statement on standards for SMA NBS programs. Such a reference document, containing guidance on the topics ranging from diagnostic methods to timelines, parent communication, clinician training, and performance monitoring, would be of immense help in the design and introduction of SMA pilots and national screening programs worldwide.

Kristin Stephenson provided an overview of how NBS for neuromuscular disorders is moving forward in the USA, with Pompe disease and SMA both added to the US Recommended Uniform Screening Panel by the US Secretary of Health and Human Services and additional disorders such as Duchenne muscular dystrophy in process for nomination to the Recommended Uniform Screening Panel. Implementation of NBS in the USA is determined on a state-by-state basis. An overview of the mechanisms for adding disorders and policy considerations that impact the inclusion of additional disorders to the individual state testing panels was provided. The Muscular Dystrophy Association’s recent interest in NBS is in part due to the nationwide network of multidisciplinary medical clinics across the USA that the Muscular Dystrophy Association supports and that serve as the infrastructure to provide expert clinical care and support to babies with SMA and other neuromuscular diseases and their families who are identified and diagnosed through NBS. Ensuring that uniform screening is implemented across all of the USA and that families have access to the care and support available takes the coordinated activities of multiple stakeholders and collaboration across organizations and entities. In the USA, there has been a significant amount of collaboration among clinicians, researchers, state health laboratory personnel, and patient advocacy groups that is allowing NBS for neuromuscular disease to move forward.

8. Toward a collaborative effort for data-based decision making for treatment

There is today a growing data set from Spinraza and Zolgensma studies that demonstrate the benefits of early pre-symptomatic treatment in patients with two or with three copies of SMN2 in comparison with post-symptomatic treatments. The question of treating or not treating patients as a function of SMN2 copy number was initially assessed using a Delphi methodology, and the results led to strong consensus for treating patients with two copies, but results were not clear for patients with three or four copies [59]. Although the benefit of treating patients with more than three copies of SMN2 at birth is not established, and the overall feeling of the attendees was that these patients should be treated, the questions of whether or not patients with four copies of SMN2 should be treated at birth is highly debated [60].

It was unanimously agreed that a better standardization of copy number quantification is needed and that the natural history of the disease in patients with three or four copies of SMN2 must be understood before strongly recommending treatment of these patients. This is particularly true in the context of the not-yet-fully-understood short- and long-term safety profiles of the currently used medications. There was a strong agreement that recommendations should be evidence-based.

9. Workshop key deliverables

The attendees defined a working plan (WP) divided into seven work packages in order to provide the data for an evidence-based and data-grounded decision making. To take into consideration a strong request issued by the patients and patient advocates, a WP aimed at establishing best practice and training for NBS was added. Attendees volunteered to participate in the different WPs. The attendees agreed that participation of physicians and scientists outside the group of workshop attendees should be encouraged.

It is likely that data issued from these different WPs will not be available for four to five years and during that time patients with a single or with more than three copies will be detected by NBS. For these subjects, the recommendation of the working group is to carefully evaluate the safety of the available treatments and to individually assess the benefit to risk ratios in these patients taking into account familial background and clinical information. If available, the exploratory evaluation of CMAP, pNF-H and/or other potential biomarkers should be taken into account. The group emphasizes that decision making should be a shared process including clinicians and the patient or patient advocates.

WP1: Best practice for SMN2 copy number quantification including the minimal quality standard for DNA samples for reliable assessment (A. Burghes, E. Bertini, F. Boemer, E. Tizzano, F. D. Tiziano).
WP2: Identification and re-testing with up-to-date methods samples from patients who have reportedly with four copies of SMN2 and presented with a severe phenotype or with type 1 or 2 SMA or who are asymptomatic, and identification of potential SMN2-related or unrelated modifiers (E. Tizzano, E. Bertini, A. Burghes, F. D. Tiziano).

WP3: Identification in the published literature of patient series with two, three, and four copies of SMN2 matching the best practices as defined in WP1, in order to define the major milestones and timelines for different genotypes (E. Tizzano).

WP4: Establish patient milestones (date at first symptoms, date at diagnosis, and date at death) for patients with four copies using best practice as defined by WP1 and locally assessed (L. Servais).

WP5: Assess the prevalence of patients identified with SMN1 loss in the elder general population (A. Burghes, E. Bertini, E. Tizzano, F. D. Tiziano).

WP6: Develop a European Registry of patients identified by NBS (W. Mueller-Felber, E. Bertini, L. Servais).

WP7: Train physicians in best practices for NBS for SMA; the aim of this working plan is to welcome physicians and scientists committed to gathering strong data to support evidence-based recommendations to join different working groups (W. Mueller-Felber, E. Tizzano, M. de Lemus, L. Servais, F. D. Tiziano).

L. Servais will be responsible for overall coordination of the work plan.

10. Conclusions

Several studies have demonstrated the benefits of early treatment of patients with SMA and newborn screening for SMA has been initiated in a number of countries. The workshop provided an overview of the current state of the art of different aspects of NBS and SMA including but not limited to scientific, economic, psychological, and ethical perspectives. The attendees did not try to reach a consensus on recommendations for treatment or NBS best practice, which would reflect the opinion only of workshop attendees, but rather defined a working methodology to move forward on critical questions.

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Abbreviations

CMAP Compound Muscle Action Potential
DBS Dried Blood Spot

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