SUPPLEMENTARY MATERIAL: Magnetic instabilities in doped Fe_2YZ full-Heusler thermoelectric compounds

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Motivations

The main purpose of the *n*-type doped $\text{Fe}_2 Y Z_{1-x} A_x$ (Fe₂YZ_A) compounds is to have the A impurities acting as shallow donors so that they do not perturb the states close to the band gap keeping thus other properties of the pristine compounds unaffected. The shift of the chemical potential on the Fe e_g band is done by substituting part of the Z atoms with A atoms so that the atomic number goes from $Z_A = Z_Z + 1$. Hence, the substitutional atom is similar to the Z atom in term of size, mass and electronic structure just with one additional electron. In these conditions, we observe wether the Fermi level (E_F) is shifted at the maximum of the spectral power factor [1].

Technical details

DFT calculations were performed with the CRYSTAL code [2, 3]. The B1 Wu-Cohen [4] (B1-WC) hybrid functional has been used for all calculations. Doping was modelled by the means of cubic and tetragonal supercells, giving a range of doping from 3.8×10^{20} cm⁻³ to 1.5×10^{21} cm⁻³, falling into the range of doping needed to maximize the power factor as identified in Ref. 1. The following compounds are studied: Fe₂TiSn_{Sb}, Fe₂TiSi_P, Fe₂VAl_{Si}, Fe₂TaGa_{Ge} and Fe₂NbGa_{Ge}. In all cases, the compositions x = 0, 1/32 and 1/16 have been considered. For the specific case of Fe₂TiSn_{Sb}, we also investigated the doping value x = 1/48 with a $2 \times 2 \times 3$ supercell.

The basis set used are taken from Ref. 5 for Fe, Ref. 6 for Ti, Ref. 7 for Sn, Sb, Nb, Al and Ta, Ref. 8 for V and Ge, Ref. 9 for Ga, and Ref. 10 for Si. Spin-polarization is considered: an initial magnetic moment of 1 μ_B /u.c. is imposed to the unit cell during the first 3 steps of the self-consistent cycle. Different Monkhorst-Pack [11] meshes of k-points were used: i) a 9 × 9 × 9 mesh was used for the structural relaxation of the undoped unit cells; ii) a 5 × 5 × 5 mesh was used for the structural relaxation of the doped supercells; iii) a 10 × 10 × 10 mesh was used for the computation of the electronic properties; and iv) a 32 × 32 × 32 mesh was used for the computation of the thermoelectric properties after interpolation. The energy convergence criterion was fixed to 10⁻⁹ Ha. For



FIG. 1. Structure of $\text{Fe}_2 YZ$ compounds with, from left to right, no impurity, one impurity and two impurities (green) in the $2 \times 2 \times 2$ supercell.

relaxation, we fixed a threshold of 3×10^{-4} Ha/Bohr on the root-mean square values of energy gradients and of 1.2×10^{-3} Bohr on the root-mean square values of atomic displacements. A temperature smearing of the Fermi surface was set to 3.2×10^{-4} Ha. For transport properties, the BoltzTraP [12] code was used, which performs calculation within the constant relaxation time approximation (CRTA). The constant relaxation time $\tau = 3.4 \times 10^{-14}$ s is taken from Ref. 1. Further information on its estimation is given in the Supplementary Materials of Ref. 1.

The DFT+U simulations using the ABINIT code [13] were performed within the PBE [14] flavour of the generalized gradient approximation (GGA). We make use of the U correction in order to treat the electronic correlations on the transition metal atoms d orbitals [15] and we self-consistently determined the Hubbard-like U correction using the linear response formalism [16], with 5.0×10^{-2} eV degree of convergence on the U values, corresponding to a the lattice parameter optimization tolerance of the order of 10^{-3} Å. We used projected augmented-wave (PAW) pseudopotentials [17] taken from the JTH table [18] and in order to achieve a satisfactory degree of convergence ($\sim 0.01 \text{ meV}$ energy differences) the plane wave expansion has been truncated at a cutoff energy of 653 eV and the integrations over the Brillouin Zone were performed considering $20 \times 20 \times 20$ uniform Monkhorst and Pack grid [11].

In this framework, we obtain (i) lattice parameters in perfect agreement with available experiments ($\Delta a < 5$ γ_{oo} , see Tab. I); (ii) similar static and dynamic charges on each atomic site with respect to B1-WC calculations (not shown) and (iii) band structures reasonably similar to B1-WC (similar band gaps, and states at the valence

$\mathrm{Fe}_2 YZ$	$U_{\rm Fe}~({\rm eV})$	U_Y (eV)	E_g (eV)	a (Å)	$a_{\rm EXP}$ (Å)	Ref.
${\rm Fe_2TiSn}$	5.09	2.62	1.29	6.069	6.074	[19]
${\rm Fe_2TiSi}$	5.02	2.47	1.41	5.714	5.720	[20]
$\mathrm{Fe}_{2}\mathrm{VAl}$	5.02	4.86	1.09	5.733	5.761	[21]
${\rm Fe_2TaGa}$	5.02	1.28	1.35	5.929	-	-
${\rm Fe_2NbGa}$	5.03	1.55	1.02	5.934	-	-
$X_2 Y Z$	$U_{\rm X}~({\rm eV})$	U_Y (eV)	E_g (eV)	a (Å)	$a_{\rm EXP}$ (Å)	Ref.
$\mathrm{Ru}_{2}\mathrm{Zr}\mathrm{Sn}$	2.90	1.09	0.17	6.479	-	-
+ SOC	2.88	1.03	0.15	6.479	-	-
$\mathrm{Os}_{2}\mathrm{HfSn}$	2.67	0.99	0.59	6.484	-	-
+ SOC	2.82	0.25	0.14	6.483	-	-

TABLE I. GGA+U study: self-consistently determined U for the transition metal atoms (Fe and Y sites) in the overall compounds; obtained energy gap (E_g) and related optimized and experimentally available lattice parameters a.

and conduction edges, see Fig. 2). It is worth noticing however that, just for Fe₂TiSn and similarly for Fe₂TiSi (not shown), using the self-consistent U_{Ti} results in a Ti e_g (dispersive) band, too low in energy, with a minimum touching the Fe e_g (flat) band. As illustrated in Fig. 3, using a larger $U_{\text{Ti}} = 5.60$ eV permits to recover a better agreement in the bottom of the conduction band, yielding thermoelectric properties similar to those determined from the B1-WC band structure.

In addition, the effect of the spin-orbit coupling (SOC) has been checked on the 4d and 5d transition metals computing again the self-consistent U values, the equilibrium lattice parameters and the electronic properties. The results are reported on Tab. I and the band structure differences are shown in Fig. 4, 5, 6, 7, 8, 9 and 10.

Non-magnetic shallow donor levels

As we have seen in the main text (Fig. 1(b)), the mechanism driving the doping consequences in the nonmagnetic constrained phase is shallow donor-like. A further proof for this behaviour can be given exploiting the Effective Mass Theory [22–24]. In this approach, the binding energy of the donor level E_D^b with respect to the conduction band minimum (CBM) and the spatial extension a_D of the related wave function can be expressed as:

$$E_D^b = \frac{m^*}{m} \frac{hc \ R_\infty}{\varepsilon_\infty^2} \tag{1}$$

$$a_D = \frac{m}{m^*} \varepsilon_\infty \ a_B \tag{2}$$

where m (m^*) is the mass (effective mass) of the additional electron, $\varepsilon_{\infty} = 25.55$ is the crystal dielectric constant (estimated within GGA+U), R_{∞} is the Rydberg constant with $hc R_{\infty} = 13.61$ eV and $a_B = 0.53$ Å, the Bohr radius. In the case of Fe₂TiSn, the effective masses



FIG. 2. Band structures of Fe₂TiSn, Fe₂NbGa and Fe₂VAl as calculated from B1-WC and GGA+U with self-consistent U's.



FIG. 3. Band structures of Fe₂TiSn as calculated from B1-WC and GGA+U ($U_{\rm Fe} = 5.09 \text{ eV}$, $U_{\rm Ti} = 5.60 \text{ eV}$). The spectral power factors as calculated from both band structures, in the rigid band approximation, are shown on the right. Both methods reproduces the peak arising from the Fe e_g band at the CBM.

related to the dispersive (m_l) and flat (m_h) bands, were estimated by Bilc and coworkers [1] to be $m_l = 0.3 m$ and $m_h = 26 m$. The two separated contributions give:

$$E_D^b(m_l) \approx 4.6 \text{ meV} \text{ and } a_D(m_l) \approx 45.0 \text{ Å};$$
 (3)

$$E_D^b(m_h) \approx 540.0 \text{ meV} \text{ and } a_D(m_h) \approx 0.5 \text{ Å}$$
. (4)

It is clear at this point that the results in Eq. (3) are in agreement with the picture given for Fig. 1(b) where the



FIG. 4. Ru_2ZrSn band structures accounting for or not the SOC interaction, as calculated from GGA+U.



FIG. 5. Ru_2ZrSn orbital-weighted band structures including SOC interaction, as calculated from GGA+U.

shallow donor mechanism induces a doping level practically incorporated to the CBM and causing a rigid-bandlike shift of the chemical potential. On the contrary, in case of flat bands the doping level would be completely isolated and far from the CBM, as quantified by Eq. (4). However, as explained in the main text, the electronic localization is driven by the exchange interaction and not



FIG. 6. Os_2HfSn band structures accounting for or not the SOC interaction, as calculated from GGA+U.



FIG. 7. Os_2HfSn orbital-weighted band structures without SOC interaction, as calculated from GGA+U.

by the (shallow donor) nature of doping.

Magnetic phases in doped compounds

The magnetization energies ΔE of the doped Fe₂YZ_A phases (difference between NM and FM total energies)



FIG. 8. Os_2HfSn orbital-weighted band structures including SOC interaction, as calculated from GGA+U.

are given in Table II. From here, it is evident the stability of the FM phase for Fe_2TiSn_{Sb} , Fe_2TiSi_P and Fe_2TaGa_{Ge} . Concerning the Fe_2VAl_{Si} , no magnetic phase can be stabilized while for Fe_2NbGa_{Ge} the NM phase is the groundstate.

In addition to the FM phase, different antiferromagnetic (AFM) configurations have been explored with both B1-WC and GGA+U methods. However, for each one of these the charge density cannot be converged, hence no related results can be shown at present. This behaviour, nevertheless, suggests that the FM phase is robust, as expected from the large exchange-splittings found in the band structures. We also stress that the density of dopants (fixed by the choice of the supercell sizes) guaranties that the carriers density satisfies the Stoner criterion for itinerant-electron magnetism.

Charge localization in doped systems

The strong donor charge density surrounding the defect that typically accompanies the ferromagnetic (FM) phase transition can be seen from the charge density projected on the occupied conduction states (Fig. 11). This behaviour is the consequence of the highly localized nature of the Fe e_g orbitals accommodating the additional electrons. In the case of Fe₂TiSn_{Sb} (similar to Fe₂TiSi_P due to the similar band structure, see main text), the shape of the projected electron density corresponds exactly to the magnetization density, with the whole form of e_g orbital near the Sb impurity. In the case

$\rm Fe_2YZ_A$	x	$\Delta \mathbf{E} \ (\mathbf{meV})$
$\rm Fe_2 Ti Sn_{Sb}$	1/32	75
	1/16	166
$\rm Fe_2 Ti Si_P$	1/32	51
	1/16	105
$\rm Fe_2VAl_{Si}$	1/32	0
	1/16	0
$\rm Fe_2TaGa_{Ge}$	1/32	37
	1/16	98
$\rm Fe_2NbGa_{Ge}$	1/32	-34
	1/16	-7

TABLE II. Magnetization energies ($\Delta E = E_{\rm NM} - E_{\rm FM}$) of the 128-atoms supercells of the doped Fe₂YZ_A at different compositions, as calculated from B1-WC: a positive value indicates that the FM phase is more stable than the NM phase.

of Fe₂NbGa_{Ge}, the additional charge is fully delocalized over the Fe and Nb atoms with a smaller filling. In the case of Fe₂VAl_{Si}, the charge delocalizes all over the V atoms as expected from the band structure (see Fig. 3 in the main text). Fe₂TaGa_{Ge} is in an intermediate case between Fe₂TiSn_{Sb} and Fe₂NbGa_{Ge} (not shown). Interestingly, the localization effect and the magnetic phase transitions also appear upon injection of additional electrons in the pristine structures with a compensating positively charged background: this suggests a strictly electronic origin of these phenomena.

Nature of the donors

We investigated the role of the dopants species by computing the band structure of Fe₂TiSn doped with As instead of Sb, shown in Fig. 12. An exchange splitting of 0.25 eV is witnessed between the minority and majority spin population near the conduction band minimum, similar to what is observed for Fe₂TiSn_{Sb}. Hence, we do not expect the nature of the donor to play a significant role in the observed magnetic properties. This is further justified in the following Sections.

Artificial doping within B1-WC

To disentangle the atomic size effect (due to the different size of the dopant with respect to the substituted atom in the pristine phase) from the electron doping itself, the localization of additional carriers is also witnessed in a $2 \times 2 \times 2$ supercell of Fe₂YZ, by adding a single electron and a compensating charged background at fixed cubic geometry (in a Jellium-like picture), in order to mimic the effect of the x = 1/32 substitution. The corresponding band structures for (a) Fe₂TiSn, (b)



FIG. 9. Fermi Surfaces without SOC interaction, as calculated from GGA+U.



FIG. 10. Os_2HfSn Fermi Surfaces including SOC interaction at: (a) major PF peak; (b) 90% of major PF peak; (c) PF flat region; (d) 90% of PF flat region, as calculated from GGA+U.

Fe₂NbGa and (c) Fe₂VAl are shown in Fig. 13. In the case of Fe₂TiSn, E_F is shifted toward the conduction band, and the Fe e_g states evidence an exchange splitting (200 meV/cell), similarly to the explicitly doped case. On the contrary, no exchange splitting occurs for Fe₂NbGa and Fe₂VAl again in agreement with the explicitly doped procedure. The role of the Y e_g orbitals is fundamental in this phenomenon: the population belonging to the flat region (Fe e_g , with high effective mass and low mobility) is expected to localize in real space and its strong electronic exchange interaction favours the spin-splitting, whereas the one on the highly dispersive band (Y e_g , with low effective mass and high mobility), delocalizes and disadvantages the magnetic phase.

The interplay between magnetization and localization can be studied through the specific case of Fe₂TiSn as illustrated in Fig. 14, where the band structures are plotted for the $2 \times 2 \times 2$ supercell doped with (a) one electron in its FM ground-state (same Figure as Fig. 13(a)), (b) for the non-magnetic (NM) constrained phase and (c) the pristine phase. The band profile in Fig. 14(b) and Fig. 14(c) cases mostly only differs by the position of the E_F . This shows that the impurity atom Sb is not even needed for the exchange-splitting to manifest (with a gain of energy of 44 meV with respect to the NM phase), suggesting an electronic origin.

The associated electron densities for the added carriers are shown in Fig. 15(a) for the FM ground state and Fig. 15(b) for the NM constrained phase. In the first case, localization occurs: the pattern of electron density is the same as in the explicitly doped case (Fig. 15(c), while in the second case, the charge is completely delocalized over the Fe, as expected from the rigid band approximation. As a consequence of these results, the localization of carriers strictly shows an electronic origin and is associated with a magnetic instability.

We verified that the electronic nature of the magnetic instability appearing in Fe₂TiSn, Fe₂TiSi and Fe₂TaGa is Stoner-type [25, 26]. For this purpose, the Stoner criterion is evaluated for all the doped compounds, accounting for the exchange splitting ΔE_{ex} and the nonmagnetic phase DOS at E_F , DOS^(NM)(E_F). According to the Stoner model of the ferromagnetism, a magnetic instability occurs when:

$$St = DOS^{(NM)}(E_F) \cdot \Delta E_{ex} > 1$$
 (5)

The results obtained are listed in Tab. III and, very interestingly, each compound showing a FM phase fulfils the criterion. For Fe₂VAl and Fe₂NbGa, St < 1, as expected from the DFT results. These results suggest that



FIG. 11. Electron density of the additional electrons as calculated from B1-WC, at x = 1/32, computed on the (001) planes at different distances from the origin, corresponding respectively to Fe, X/Y and Fe atomic planes. The later is the closest Fe (grey spots) plane to the impurity A (green spot). At the bottom, half-cut of the cell is shown with the atoms indicated in the bottom-left legend highlighting with colors (red, blue and green) the planes where the charge density has been projected.



FIG. 12. Spin resolved band structure of $\text{Fe}_2\text{TiSn}_{\text{As}}$ (x = 1/32).

the magnetic phase transition as a function of the doping is due to a Stoner instability in Fe_2TiSn , Fe_2TiSi and Fe_2TaGa .

Artificial doping within GGA+U, origin of magnetization

In Fig. 16, we show the spin-polarized DOS at E_F and the cell magnetization as a function of the electron



FIG. 13. Ground state band structure of $\text{Fe}_2 YZ$ computed on $2 \times 2 \times 2$ supercells (at fixed geometry) injecting one additional electron, as calculated using B1-WC. Dashed line: E_F ; black-(red-) line: spin-up (spin-down) channel.



FIG. 14. Band structure of Fe₂TiSn computed on $2 \times 2 \times 2$ supercell (at fixed geometry), as calculated using B1-WC: (a) doped with one additional electron in its FM ground-state; (b) doped with one additional electron in the NM constrained phase; (c) undoped. Dashed line: E_F ; black- (red-) line: spinup (spin-down) channel.



FIG. 15. Isosurfaces of electron density $(0.004 \text{ Bohr}^{-1})$ of the additional carriers in the $2 \times 2 \times 2$ supercell, , as calculated using B1-WC, for: (a) doped with one additional electron in its FM ground state; (b) doped with one additional electron in the NM phase and (c) explicitly doped Fe₂TiSn_{Sb} at x = 1/32, the Sb atom is displayed in green.

doping concentration, injected in pristine hosts (without atomic substitution), for the whole series of compounds. As in the main text, we start from the doped Fe₂VAl ((*c*) panel) which shows a large distance between the two e_g bands at the X point (larger than the case shown in Fig. 4(*a*), main text). Here, the additional electrons populate the V e_g levels at the CBM and, consequently, no spin-splitting is induced up to 3.0×10^{21} cm⁻³, perfectly in agreement with B1-WC explicit doping, and as expected



FIG. 16. GGA+U results: spin-projected DOS at E_F and cell magnetization versus doping for the five compounds: black (red) open circles refer to up (down) spin channels (left axis), open squares (blue) to the cell magnetization (blue right axis). Cyan double-dot-dashed line: critical carrier concentration needed to begin to populate the Fe e_g state; orange dashed line: critical doping for which the Stoner criterion is verified (St > 1).

$\mathrm{Fe}_2 YZ$	ΔE_{ex} (eV)	$g^{\rm NM}(E_{\rm F})({\rm st./eV})$	St
${\rm Fe_2TiSn}$	0.200	35.31	7.1
${\rm Fe_2TiSi}$	0.154	37.84	5.8
$\mathrm{Fe}_{2}\mathrm{VAl}$	0.000	8.07	0.0
${\rm Fe_2TaGa}$	0.097	25.14	2.4
${\rm Fe_2NbGa}$	0.060	13.76	0.8

TABLE III. Evaluation of the Stoner criterion using Eq. (5): exchange splitting ΔE_{ex} and the value of the NM phase DOS at E_F g^{NM}(E_F) calculated using B1-WC.

from fully delocalized states. Increasing the amount of doping more than 5.7×10^{21} cm⁻³ the system results unstable (large cell pressures) and its charge density is difficult to converge. In the Fe_2NbGa (Fig. 16(e)) no spinpolarization manifests while the Nb e_g band is populated and Fe one remains empty (analogously to Fig. 4(a) and (b), main text). The magnetic transition appears when electrons are allocated on the Fe e_a band with high local magnetic moments with interaction mediated by the itinerant electrons on the Nb site. Here, in fact, the validity of the Stoner condition (St > 1, see Eq. (5) and main)text) is reached at 2.1×10^{21} cm⁻³ (highlighted with orange dashed line). Fe_2TaGa (Fig. 16(d)), analogously to Fe_2 VAl and Fe_2 NbGa, shows the Ta e_q state at the CBM. However, differently to those cases, the distance between the two bands is lower resulting in a stronger hybridization (as Fig. 4(c) main text). This particular band relative position has very interesting consequences: the system goes across three main regimes induced by doping: at low concentrations, we start to populate the Ta e_q level and at about 1.7×10^{20} cm⁻³ (maroon dotdashed line) an exchange splitting is induced on Fe e_a states although the splitting on the occupied Ta orbitals remains negligible. This behaviour confirms the interplay between orbital hybridization and the exchange interaction: in this region (maroon background, Fig. 16(d)) the direct exchange dominates (St < 1): there are not enough delocalized states to favour the itinerant electron

with respect to the direct exchange. For this reason, when the Fe e_g start to be populated (at critical con-centration of about 1.9×10^{20} cm⁻³, starting point of the cyan background region in Fig. 16(d)), its strongly localized nature invalidates even more the itinerant picture (St < 1). Increasing the doping density at about 4.2×10^{20} cm⁻³ (orange dashed line), an sufficient number delocalized electrons makes the itinerant magnetism dominate and the Stoner condition St > 1 fulfilled. For the last two compounds, we tuned the $U_{\rm Ti}$ to obtain a similar arrangement of the band structure as obtained with B1-WC, keeping the $U_{\rm Fe}$ at the self-consistently estimated value. Fe_2TiSn and Fe_2TiSi (Fig. 16(a), same as Fig. 4(d) of main text, and (b)), having the Fe e_a band at the CBM, show an induced spin-polarization and a consequent magnetization immediately (similarly to Fig. 4(d), main text) and in both cases their DOS confirm the acquired half-metallic character. The highly localized nature of the Fe e_q orbitals and the strong exchange interaction among belonging carriers drive this behaviour. In the low doping region, moreover, the prevalent nature of the exchange interaction, still due to the localized nature of these states, is direct (St < 1). However, at about 3.8×10^{20} cm⁻³ for Fe₂TiSi and 3.6×10^{20} cm⁻³ for Fe₂TiSn, the itinerant exchange starts to dominate (St > 1) due to the presence of a sufficient number of free-like electrons.

Thermoelectric properties

In a rigid band picture, doping with donors (acceptors) only shift the chemical potential μ from its initial position the gap to bring it closer to the conduction (valence) band. In the case of Fe₂YZ compounds, given the nature of the band edge (Fe 3d), substitution at the Z site is expected to bring μ inside the conduction band, close to the band edge. This effect is highlighted in Fig. 17 for the specific case of Fe₂TiSn_{Sb} at 300 K: the Seebeck coefficient S, electrical conductivity σ , the corresponding power factor $S^2\sigma$ as well as the electronic DOS are compared among: the pristine eigen-energies (x = 0), the NM phase and the FM phase concentration 1/16. For the explicitly doped case, E_F lies inside the conduction band, as discussed in the previous section. The calculated values for the transport coefficients are very close to the rigid band predictions in the NM constrained phase; however, for the FM phase (ground-state), the in-gap states reduce the number of carriers (in the $k_B T$ interval around E_F contributing to S and σ , with μ near the conduction states, resulting in a decrement of the PF peak in the ntype region. In contrast, S and σ related a hypotetic μ lying in the *p*-type region, remain, in both cases, unaffected. We also show the spectral PF of the doped FM phase at 600 K with a corrected relaxation time τ to account for phonon scattering at high temperature [1], showing that the peak of power factor remains roughly around $\sim 3 - 4 \text{ mW/K}^2\text{m}$ at higher temperatures.

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FIG. 17. Room-temperature Seebeck coefficient, electrical conductivity, power factor with respect to chemical potential μ of Fe₂TiSn_{Sb} for x = 0 (brown background) and 1/16, NM (blue) and FM (black) magnetic phases. The associated electronic DOS are also given (with positive and negative value for spin-up and spin-down electron respectively. The zero energy is set as E_F of the pristine material, the vertical dashed lines are the Fermi level E_F for the doped phases. The transport properties have been computed using the B1-WC band structures.

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