

Separating extended disc features from the protoplanet in PDS 70 using VLT/SINFONI

V. Christiaens¹,²,³ S. Casassus,¹ O. Absil,² F. Cantalloube,⁴ C. Gomez Gonzalez,⁵ J. Girard,⁶ R. Ramírez,¹ B. Pairet,⁷ V. Salinas,⁸ D. J. Price¹,³, C. Pinte¹,³,⁵ S. P. Quanz,⁹ A. Jordán,¹⁰ D. Mawet^{11,12} and Z. Wahhaj¹³

¹Departamento de Astronomía, Universidad de Chile, Casilla 36-D, Santiago, Chile

²Space Sciences, Technologies & Astrophysics Research (STAR) Institute, Université de Liège, Allée du Six Août 19c, B-4000 Sart Tilman, Belgium

³Monash Centre for Astrophysics (MoCA) and School of Physics and Astronomy, Monash University, Clayton Vic 3800, Australia

⁴Max Planck Institute for Astronomy, Königstuhl 17, D-69117, Heidelberg, Germany

⁵Université Grenoble Alpes, IPAG, F-38000 Grenoble, France

⁶Space Telescope Science Institute, 3700 San Martin Dr. Baltimore, MD 21218, USA

⁷ISPGROUP, ELEN/ICTEAM, UCLouvain, Belgium

⁸Department of Physics and Astronomy, Graduate School of Science and Engineering, Kagoshima University, 1-21-35 Korimoto, 890-0065 Kagoshima, Japan

⁹Eidgenössische Technische Hochschule Zurich, Institute for Particle Physics and Astrophysics, Wolfgang-Pauli-Strasse 27, CH-8093, Zurich, Switzerland

¹⁰Instituto de Astrofísica, Pontificia Universidad Católica de Chile, Vicuña Mackenna 4860, 7820436 Macul, Santiago, Chile

¹¹Department of Astronomy, California Institute of Technology, 1200 E. California Blvd, Pasadena, CA 91125, USA

¹²Jet Propulsion Laboratory, 4800 Oak Grove Dr., Pasadena, CA 91109, USA

¹³European Southern Observatory, Alonso de Córdova 3107, Vitacura, Santiago, Chile

Accepted 2019 April 29. Received 2019 April 11; in original form 2019 February 20

ABSTRACT

Transition discs are prime targets to look for protoplanets and study planet–disc interactions. We present VLT/SINFONI observations of PDS 70, a transition disc with a recently claimed embedded protoplanet. We take advantage of the angular and spectral diversity present in our data for an optimal PSF modelling and subtraction using principal component analysis (PCA). We report the redetection of PDS 70 b, both the front and far side of the outer disc edge, and the detection of several extended features in the annular gap. We compare spectral differential imaging applied before (PCA-SADI), and after (PCA-ASDI) angular differential imaging. Our tests suggest that PCA-SADI better recovers extended features, while PCA-ASDI is more sensitive to point sources. We adapted the negative fake companion (NEGFC) technique to infer the astrometry of the companion, and derived $r = 193.5 \pm 4.9$ mas and $PA = 158.7^\circ \pm 3.0^\circ$. We used both NEGFC and ANDROMEDA to infer the K -band spectro-photometry of the protoplanet, and found results consistent with recent VLT/SPHERE observations, except for their 2018/02 epoch measurement in the $K2$ filter. Finally, we derived an upper limit of $\dot{M}_b < 1.26 \times 10^{-7} \left[\frac{5M_{\text{Jup}}}{M_b} \right] \left[\frac{R_b}{R_{\text{Jup}}} \right] M_{\text{Jup}} \text{ yr}^{-1}$ for the accretion rate of the companion based on an adaptation of PCA-SADI/PCA-ASDI around the Br γ line (assuming no extinction).

Key words: techniques: image processing – planet–disc interactions – protoplanetary discs – stars: individual: PDS 70.

1 INTRODUCTION

Transition discs (TDs) are protoplanetary discs showing evidence of inner clearing. These inner clearings were first inferred from the SED, showing a lack of near- to mid-IR excess (e.g. Strom et al. 1989), and recently confirmed by resolved sub-mm observations

(e.g. Andrews et al. 2011). Owen (2016) recently highlighted the distinction between TDs with large gaps/cavities ($\gtrsim 20$ au), which show both high accretion rates and bright sub-mm fluxes, and mm-faint TDs which harbour smaller gaps. TDs with large gaps/cavities appear incompatible with a photo-evaporated inner disc, with the cavity instead likely carved by embedded companions. Yet, finding direct evidence of forming planets has proved difficult in these discs despite multiple claims (Kraus & Ireland 2012; Quanz et al. 2013; Biller et al. 2014; Reggiani et al. 2014; Currie et al. 2015; Sallum et al. 2015; Reggiani et al. 2018). The problem is that aggressive

* E-mail: Valentin.Christiaens@monash.edu (VC); scasassus@u.uchile.cl (SC); olivier.absil@uliege.be (OA)

filtering on bright and extended disc emission can create point-like artefacts which could be confused with substellar companions, as seem to be the case in LkCa 15 (Thalmann et al. 2016; Currie 2019), HD 169142 (e.g. Ligi et al. 2018), and perhaps also in HD 100546 (Rameau et al. 2017; Follette et al. 2017).

While direct observational confirmation of giant planets in transition discs has turned out to be more difficult than expected, there is mounting indirect evidence of their presence (e.g. Casassus 2016; Huang et al. 2018). Large gaps of different sizes at near-IR and sub-mm wavelengths (e.g. Garufi et al. 2013), asymmetric dust distributions (e.g. Casassus et al. 2013; van der Marel et al. 2013), and spiral arms (e.g. Muto et al. 2012; Christiaens et al. 2014; Benisty et al. 2015) can be produced by a range of different mechanisms, but they are all potential by-products of companion–disc interactions. Price et al. (2018) recently showed that in the case of the transition disc around HD 142527, the characteristics of the disc including the gap size, the banana-shape mm-dust trap, and the spiral arms at the gap edge, could all be qualitatively accounted for by the low-mass binary companion (e.g. Biller et al. 2012; Lacour et al. 2016). Could massive companions be at the origin of similar features observed in other transition discs?

High-contrast imaging is one of the most suitable techniques to detect faint signals such as newborn planets or circumstellar disc features in the close vicinity of young stars (Absil & Mawet 2010; Bowler 2016). Differential imaging techniques allow to optimize the contrast reached at small angle. Here, we focus on the combination of angular (ADI; Marois et al. 2006) and spectral differential imaging (SDI;¹ Sparks & Ford 2002; Thatte et al. 2007) applied to medium-spectral resolution integral field spectrograph (IFS) data obtained with VLT/SINFONI on the transition disc of PDS 70.

PDS 70 is a T Tauri star surrounded by a disc with a remarkably large dust-depleted inner region. Previous literature has used a distance of ~ 140 pc, assuming membership of PDS 70 to the Upper Centaurus Lupus association (Riaud et al. 2006). However, the new Gaia DR2 distance places it at only 113 ± 1 pc (Gaia Collaboration 2018), which alter previous stellar parameter estimates. The near-IR JHK spectrum of the star is compatible with an M1V pre-main sequence star (Long et al. 2018), although UBVRI photometry suggests a K5V star (Gregorio-Hetem & Hetem 2002). Based on a Markov-Chain Monte Carlo method, Müller et al. (2018) provided new age and mass estimates of 5.4 ± 1.0 Myr and of $0.76 \pm 0.02 M_{\odot}$, resp., for the central star. Considering the new distance throughout this paper, the size of the μm -size and mm-size dust cavities are ~ 53 au and ~ 65 au (Hashimoto et al. 2012, 2015; Long et al. 2018). Near-IR polarimetric images suggest an outer disc inclination of $45\text{--}50^{\circ}$ and a PA of the semi-major axis of $\sim 159^{\circ}$ (Hashimoto et al. 2012). SED modelling hints at the presence of a small optically thick inner disc (Dong et al. 2012). The different gap sizes for μm -size and mm-size dust are suggestive of the presence of one or more companions inside the large annular gap (e.g. Pinilla, Benisty & Birnstiel 2012; de Juan Ovelar et al. 2013). A point source has been recently detected in the annular gap using VLT/SPHERE data, and interpreted as a forming planet (Keppler et al. 2018; Müller et al. 2018, hereafter K18 and M18, resp.). The point source was tentatively re-detected in the $H\alpha$ line, suggesting on-going accretion and further supporting the protoplanet hypothesis (Wagner et al. 2018). While this work was under review, Keppler et al. (2019) presented new ALMA

observations showing new substructures in the disc, some of which matched the NIR observations of M18.

In this paper, we combine spectral and angular differential imaging to reach high contrast in a VLT/SINFONI data set acquired on PDS 70. We first present our observations and data calibration procedure (Section 2). We then explain our post-processing algorithms and show the resulting final images (Section 3). We report the redetection of a point source at the location of the protoplanet, the redetection of both the front and far side of the outer disc edge, and the detection of several extended features in the annular gap, some of which present similarities to those claimed in M18. In order to better interpret our results, we injected both synthetic spiral arms and fake companions into our data set and re-processed it with each of our post-processing algorithms. Section 4 is devoted to the characterization of the protoplanet in terms of astrometry, broadband photometry, spectro-photometry, and $\text{Br}\gamma$ emission. Finally, we discuss the disc features identified in our images (Section 5). Our main conclusions are summarized in Section 6.

2 OBSERVATIONS AND DATA REDUCTION

We observed PDS 70 with the AO-fed IFS VLT/SINFONI (Eisenhauer et al. 2003; Bonnet et al. 2004) on May 10th 2014, as part of program 093.C-0526 (PI: S. Casassus). A total of 116 data cubes (NEXP) were obtained, consisting of single exposures (NDIT = 1) of 60s (DIT) each, hence amounting to 116 min integration. We took advantage of the pupil-tracking mode, which resulted in a total parallactic angle variation of 99.8° . Observations were acquired in clear conditions with an average seeing ($0''.7\text{--}0''.9$ at $\lambda = 500$ nm). The airmass spanned 1.06–1.20. We used the $H + K$ grating (spectral resolution ~ 1500) and the 12.5 mas plate scale, resulting in a $0''.8 \times 0''.8$ field of view in each data cube. However, in order to artificially increase our field of view and better sample the large annular gap in the disc, we followed a four-point dithering pattern throughout the observation, placing the star close to a different corner of the detector in consecutive exposures.

Data reduction followed the same procedure as described in Christiaens et al. (2018) for the HD 142527 data set obtained the same night with the same observing strategy. We refer to that work for details on SINFONI data calibration. The only difference is that we median-combined each set of four consecutive cubes of PDS 70 after placing the star at the centre of larger frames, such that the final calibrated cube has dimensions of $2000 \times 29 \times 101 \times 101$ (wavelength, time, and y and x axes, resp.). For the centring, the centroid of the star was found in each frame by fitting a 2D Moffat function implemented in the Vortex Imaging Pipeline² (VIP; Gomez Gonzalez et al. 2017, Gomez Gonzalez et al., in preparation).

3 POST-PROCESSING

This section focuses on the PCA-based algorithm we implemented to take advantage of both the spectral and angular diversity in our SINFONI data to produce high-contrast final images of the environment of PDS 70. We also used the ANDROMEDA algorithm, which leverages the angular diversity in each individual spectral channel. We defer the interested reader to Cantalloube et al. (2015) for a detailed description of ANDROMEDA.

¹It was first referred to as *spectral deconvolution* (SD) in those works to avoid confusion with dual-band (simultaneous) spectral differential imaging.

²Available at: <https://github.com/vortex-exoplanet/VIP>.

3.1 Algorithm description

Calibrated frames obtained with SINFONI are affected by more speckles in the stellar halo than images obtained with extreme-AO instruments. Nonetheless, the advantage of SINFONI is its large-wavelength coverage in the $H + K$ mode ($\sim 1.45\text{--}2.45\ \mu\text{m}$), where the stellar diffraction pattern (including speckles) moves radially by an amount proportional to the wavelength in different spectral channels. To determine the optimal post-processing method for our 4D cube, we tested several variations of the PCA algorithms implemented in VIP, which are themselves based on the original PCA-ADI (Amara & Quanz 2012; Soummer, Pueyo & Larkin 2012) and sPCA algorithms (Absil et al. 2013).

We first compared (1) PCA in a single step considering both the angular and spectral variation together, (2) PCA-SDI + PCA-ADI in two consecutive steps (hereafter *PCA-SADI*), and (3) PCA-ADI + PCA-SDI in two consecutive steps (hereafter *PCA-ASDI*). For both *PCA-SADI* and *PCA-ASDI*, the PCA-SDI part was performed in full frame, while the PCA-ADI part was performed in concentric annuli of 2-FWHM width where, for each annulus, the PCA library only includes frames where any putative companion would rotate by at least 1 FWHM. This frame selection enabled us to minimize self-subtraction of faint signals of interest (Absil et al. 2013). *PCA-SADI* and *PCA-ASDI* consistently recovered the well-characterized western (forward-scattered) edge of the outer disc of PDS 70, and for some reduction parameters (detailed in the next paragraph) redetected either the faint far side of the disc (*PCA-SADI*) or the protoplanet candidate claimed in K18 and M18 (*PCA-ASDI*). By contrast, PCA in a single step did not ambiguously detect the bright forward-scattered edge of the disc. We thus discarded PCA in a single step for the rest of the analysis.

Next, we tested different minor variations for the *PCA-SADI* and *PCA-ASDI* algorithms. We specifically tested:

(i) using K -band spectral channels only, which benefit from a significantly better AO correction than the H -band channels, instead of using all $H + K$ channels;

(ii) normalizing spectral channels based on the stellar flux measured in a 1FWHM-aperture prior to the PCA-SDI part of either *PCA-SADI* or *PCA-ASDI*;

(iii) using a minimum radial motion for the PCA-SDI part to only include spectral channels that would limit self-subtraction (see also Thatte et al. 2007);

(iv) using a maximum threshold in azimuthal motion when building the PCA-ADI library in order to limit the inclusion of temporally decorrelated speckles;

(v) collapsing residual frames (obtained after PCA modelling and subtraction) using either a simple median or a variance-based weighted average (Bottom et al. 2017), for both the PCA-ADI and PCA-SDI parts. For this purpose, we implemented the SDI equivalent of the algorithm presented for ADI residuals in Bottom et al. (2017).

We determined the optimal combination of reduction parameters based on the recovery of known key features of the disc in our post-processed image: the bright forward-scattered edge of the outer disc (West), the dim back-scattered edge of the outer disc (East), and a bright blob $\sim 0''.19$ to the SE of the star (Hashimoto et al. 2012, K18, M18). The conclusions from our tests are as follows:

(i) No *PCA-SADI* and *PCA-ASDI* reduction using all $H + K$ channels managed to recover the faint back-scattered edge of the outer disc, in contrast to *PCA-SADI* reductions using only K -band

channels. This suggests that the speckle subtraction during *PCA-SDI* is optimal when the high spectral correlation of the better AO-corrected K -band channels is not diluted in a larger PCA library. The effect of a better speckle subtraction appears to dominate over both the enhanced self-subtraction (due to less radial motion in SDI) and the lower number of accumulated photons (due to the use of only half of all spectral channels).

(ii) Normalizing spectral channels in flux led to a better redetection of the far side of the disc in the case of *PCA-SADI*, but did not improve the redetection of the blob in *PCA-ASDI* images. Since we will infer the spectro-photometry of the companion in Section 4.1.3 using an adaptation of *PCA-ASDI*, we only normalize spectral channels in the case of *PCA-SADI*.

(iii) Using a minimum radial motion for the creation of the *PCA-SDI* library on $H + K$ channels implies the inclusion of comparatively more H -band spectral channels in the PCA library of K -band channels, and vice versa. This leads to a worse speckle subtraction due to worse AO performance in H band than in K band – and hence corresponding decorrelation of speckles. Limiting our analysis to K -band channels, we built our *PCA-SDI* library using only the shortest wavelength channels of that band which kept a high speckle correlation with all other K -band channels and did not suffer from significant telluric absorption (spectral channels from 1.93 to 1.95 μm). The choice of these channels is further justified in Section 4.1.1.

(iv) Using a maximum threshold for azimuthal motion to build the *PCA-ADI* library generally yields a better speckle subtraction (e.g. Wagner et al. 2018). However, with only 29 temporal cubes spanning $\sim 100^\circ$ rotation, adding this constraint to the minimum azimuthal threshold significantly decreases the number of principal components that can be used for ADI (e.g. $n_{\text{pc}}^{\text{ADI}} \lesssim 3$ for a 1–3 FWHM azimuthal motion range). We noticed that using 3–10 principal components without a maximum rotation constraint led to a more conspicuous redetection of the companion using *PCA-ASDI* (Section 3.2).

(v) We did not obtain a significant improvement in the quality of post-processed images using a variance-based weighted average of residuals compared to the median. However, we later noticed that the variance-based weighted average led to a more accurate recovery of spectra of injected fake companions than the median, using the technique presented in Section 4.1.1.

Our final choice of post-processing parameters for *PCA-SADI* and *PCA-ASDI* is thus the following: (i) we only consider K -band spectral channels, (ii) we normalize spectral channels in flux before *PCA-SADI* but not for *PCA-ASDI*, (iii) we set manually the PCA reference library to spectral channels between 1.93 and 1.95 μm for the SDI part, (iv) we do not set a maximum azimuthal motion to select frames for the ADI part (but do set a minimum threshold equivalent to a 1-FWHM linear motion), and (v) we consider the variance-based weighted average of residuals (for both the ADI and SDI parts) of channels longward of 2.0 μm ,

Finally, in addition to *PCA-SADI* and *PCA-ASDI*, we also tested *PCA-ADI* in concentric annuli on the two cubes obtained after collapsing the spectral channels of the H and K bands separately. No significant signal was found in the *PCA-ADI* H -band image, likely due to the poorer AO correction. However, this reduction still enabled us to set an independent upper limit on the H band flux of the companion (Section 4.1.1). In contrast, *PCA-ADI* on the collapsed K band yielded a tentative redetection of both the forward-scattering edge of the outer disc and the companion.

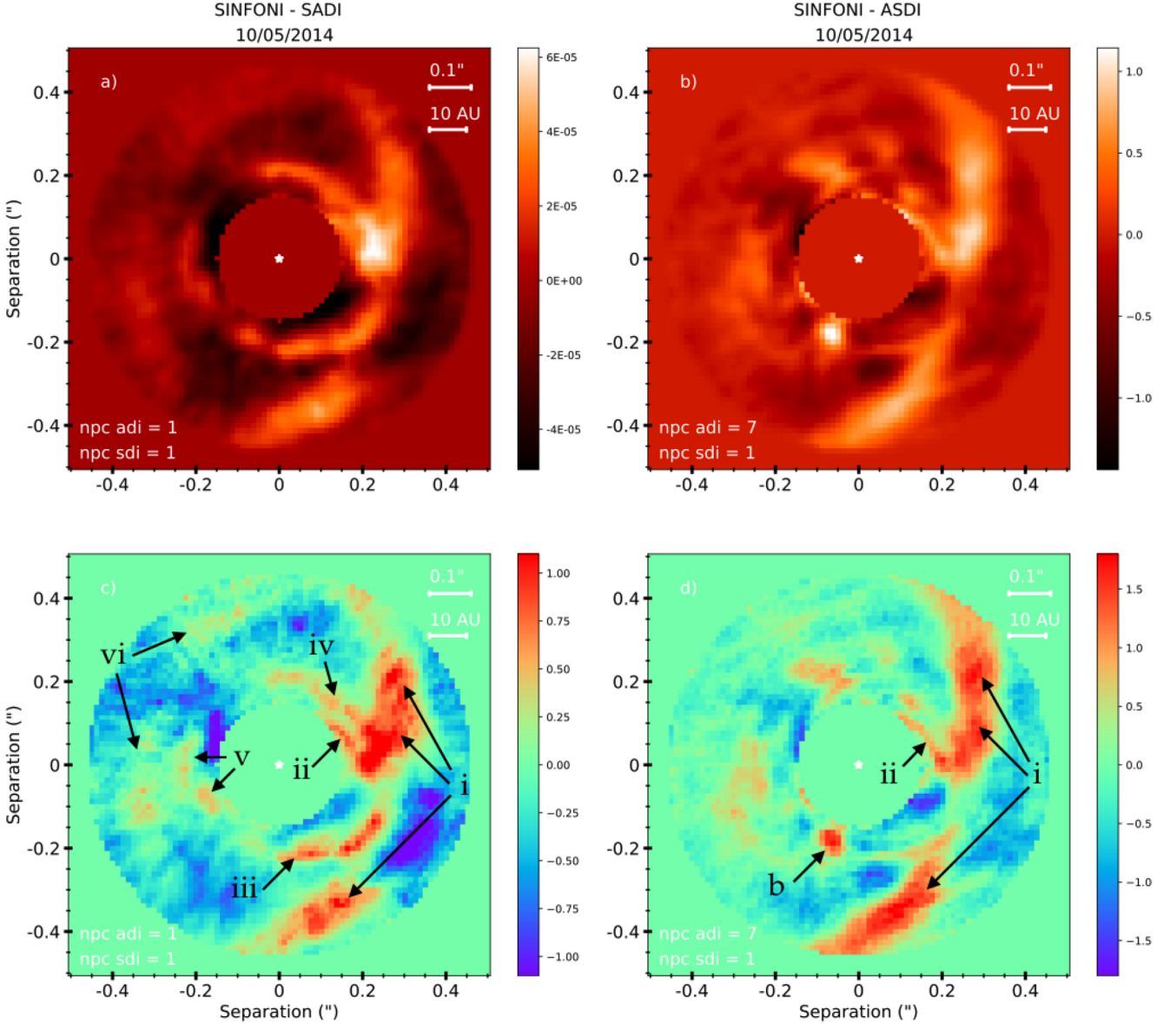


Figure 1. Final images obtained with (a) PCA-SADI and the minimum number of principal components for both the ADI and SDI parts ($n_{\text{pc}}^{\text{ADI}} = n_{\text{pc}}^{\text{SDI}} = 1$), and (b) PCA-ASDI with $n_{\text{pc}}^{\text{ADI}} = 7$ and $n_{\text{pc}}^{\text{SDI}} = 1$. See Section 3 for details on the algorithms used. (c) and (d): STIM maps of (a) and (b) resp., enabling to highlight significant features in our final images (Pairet et al. 2018a). A numerical mask of $0''.16$ is used in all panels. The colour scale of all panels is linear, between minimum and maximum values. Values are smaller in panel a because spectral channels are normalized before PCA-SADI (Section 3.1). For the STIM maps, the maximum value of the colour scale is set to the maximum value obtained in the respective inverse STIM maps (Fig. A2): 1.1 and 1.8 for PCA-SADI and PCA-ASDI, respectively. This choice enables to highlight significant (*i*, *ii*, *iii*, and *b*) and tentative features (*iv*, *v*, *vi*) in our images.

3.2 Final images

Figs 1(a) and (b) show the images obtained with our final choice of post-processing parameters for PCA-SADI and PCA-ASDI (Section 3.1), respectively. In order to assess the significance of features in our images, we show standardized trajectory intensity mean (STIM) maps (Pairet et al. 2018a) in the lower two panels of Fig. 1, preferring these over classical SNR_t maps (Mawet et al. 2014). This choice is detailed in Appendix A. STIM maps are 2D detection maps defined at each location (i, j) as $\mu(x_{i,j})/\sigma(x_{i,j})$, where $\mu(x_{i,j})$ and $\sigma(x_{i,j})$ are the mean and standard deviation of trajectory $x_{i,j}$ throughout the derotated cube of residual images (i.e. the cube

of images obtained after PCA modelling and subtraction, and subsequent alignment with North up and East left), respectively. Using STIM maps overcomes the difficulties of SNR_t maps to identify significant signals at short separation from the star and in presence of bright disc features spanning a range of radii (as they bias the noise level estimation at each radius).

The STIM maps corresponding to the final PCA-SADI and PCA-ASDI reductions are shown in Figs 1(c) and (d), respectively. The maximum value of the STIM map colour scales corresponds to the maximum pixel value obtained in the respective inverse STIM maps (Fig. A2). The inverse STIM map is the detection

map obtained by derotating the residual images using opposite parallactic angles. Since this procedure does not sum constructively authentic circumstellar signal while preserving the time dependence of residual speckles, it enables us to estimate the maximum pixel value that can be obtained in the detection map purely as a result of combining residual speckle noise (Marois et al. 2008; Wahhaj et al. 2013). In other words, pixels with values above that threshold in our (correctly derotated) STIM maps are unlikely to trace spurious signals. The threshold values are 1.1 and 1.8 in the PCA-SADI and PCA-ASDI STIM maps, respectively. In Figs 1(c) and (d), we identify Features *i*, *ii*, and *iii* and *b* as significant, i.e. containing pixels above that threshold. We identify additional tentative Features *iv*, *v*, and *vi*, (i.e. containing pixels slightly below that threshold) based on the presence of similar features in the long-integration *K*-band image obtained with SPHERE/IRDIS (M18).

Feature *b* coincides with the protoplanet claimed in K18, M18 and Wagner et al. (2018). Feature *i* corresponds to the bright forward-scattered edge of the outer disc, which has been identified in previous observations of the disc (e.g. Hashimoto et al. 2012; Long et al. 2018, K18). Feature *ii* has been identified as a possible gap-crossing bridge in M18 (a blend of Features (2) and (3) in their fig. B1). Feature *iii* appears to connect the outer disc and the protoplanet, but has no correspondence in the images of M18. Features *iv* and *v* appear to match signals in the vicinity of Features (3) and (5) in fig. B1 of M18. Finally, Feature *vi* is consistent with the dim back-scattered light from the far side of the outer disc, also reported in M18. Feature *b* is analysed in detail in Section 4, while extended features are further discussed in Section 5.

In Fig. 1, the choice of the number of principal components (n_{pc}) is such that the detection of the faint back-scattered edge of the outer disc and the redetection of the protoplanet candidate are optimal in the PCA-SADI and PCA-ASDI images, respectively. For comparison, images obtained with other values of n_{pc}^{ADI} are shown in Fig. 2. All the features identified in Fig. 1(c) and (d) are also qualitatively recovered for all values of n_{pc}^{ADI} from 1 to 10, albeit with increasing self-subtraction affecting extended features for increasing n_{pc}^{ADI} . In particular, the faint far side of the disc can only be seen for the lowest n_{pc}^{ADI} values for PCA-SADI, but is hardly seen in all PCA-ASDI reductions. PCA-ASDI images with low n_{pc}^{ADI} values also appear to leave stronger residuals at the edge of the $0''.16$ numerical mask. Only PCA-ASDI reductions with sufficiently large values of n_{pc}^{ADI} (≥ 3) enable to redetect the protoplanet candidate claimed in K18, M18, and Wagner et al. (2018). Nonetheless, these images also show some extended structures at $\sim 0''.2$ to the NNE and at $\sim 0''.3$ to the SE of the image, none of which being recovered in the PCA-SADI images.

Although not shown here, the results obtained with $n_{pc}^{SDI} = 2$ are very similar to those obtained with $n_{pc}^{SDI} = 1$. Increasing n_{pc}^{SDI} to larger values leads to increasing self-subtraction.

Altogether, Figs 1 and 2 suggest that PCA-SADI with minimal number of principal components yields the best subtraction of speckles while minimizing self-subtraction of authentic signals, as it recovers better the faint far side of the disc. Nonetheless, the fact that only PCA-ASDI with large n_{pc}^{ADI} recovers a bright blob at the location of the previously claimed protoplanet candidate is puzzling. Could Feature *b* trace (at least partially) a co-located extended disc feature, possibly filtered into a point-like source by ADI processing? To help answer this question, we performed two sets of tests; post-processing our data after injection of either extended or point-like features in our pre-processed data cube. The results of these tests are presented in the next two sections.

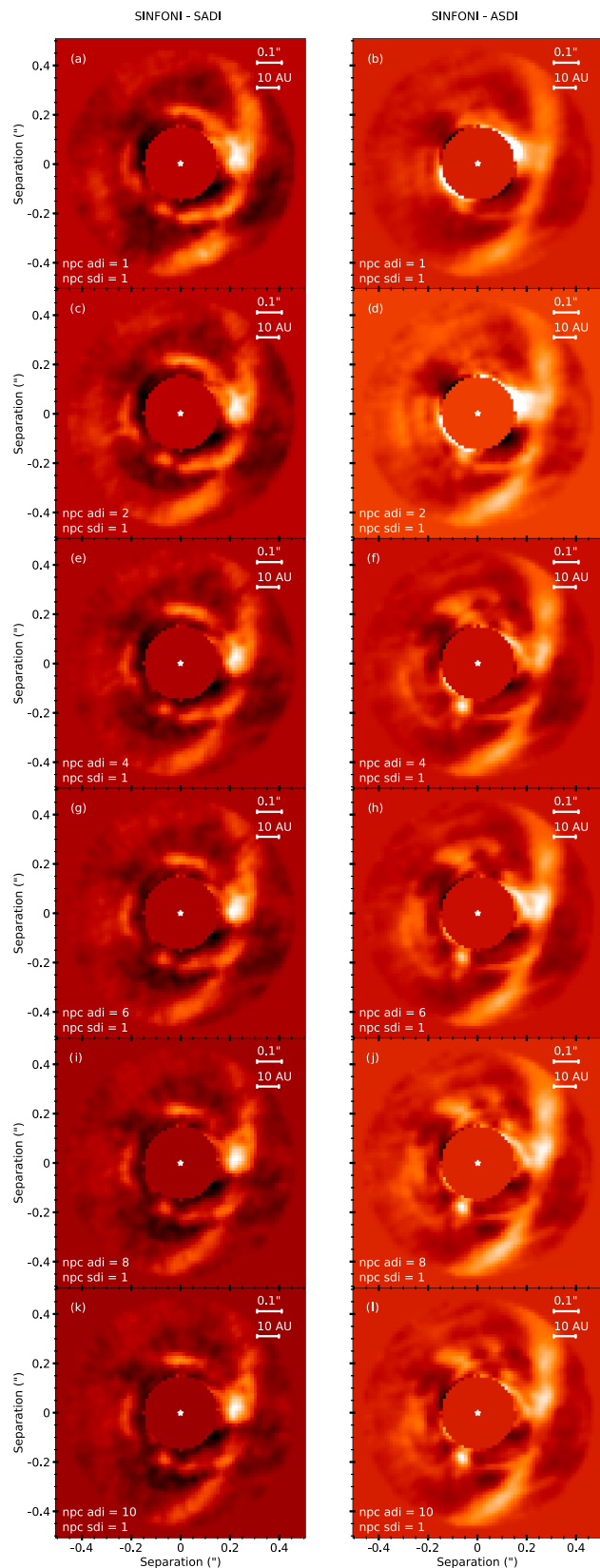


Figure 2. PCA-SADI (left-hand) and PCA-ASDI (right-hand) images obtained with different number of principal components for the PCA-ADI part (n_{pc}^{ADI}). All extended disc features are recovered by PCA-SADI for all values of $n_{pc}^{ADI} \in [1, 10]$. The protoplanet is recovered by PCA-ASDI when $n_{pc}^{ADI} \geq 3$.

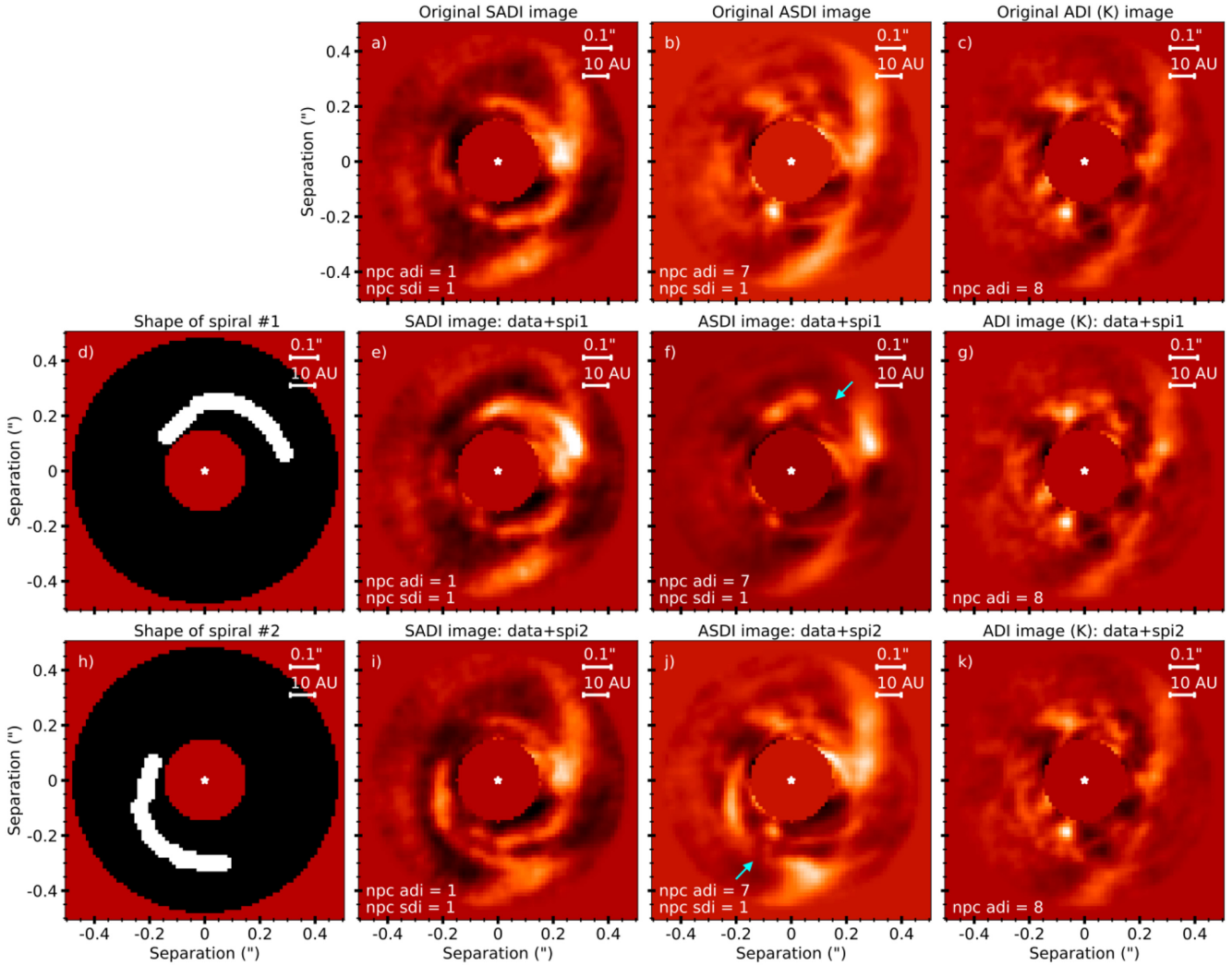


Figure 3. Tests of synthetic spiral arm injection. (*Top row*) Final post-processed images obtained without the injection of any synthetic spiral, for the different algorithms tested in this paper: PCA-SADI, PCA-ASDI, and PCA-ADI (left to right). (*Middle and bottom row*) Images obtained after using the same algorithms, but after injection of a synthetic spiral arm in the original 4D cube, with the shape given in the leftmost column (d and h). The *cyan arrows* show the locations where PCA-ASDI has significantly filtered out part of the injected spiral arm. The PCA-SADI reductions (e and i) recover better the full trace of the injected spiral.

3.3 Effect of post-processing on spiral arms

3.3.1 Geometric biases

To evaluate how our PCA algorithms filter out extended signals, we injected fake spiral arms in copies of our calibrated 4D cube and re-processed these cubes with PCA-SADI, PCA-ASDI, and PCA-ADI (on the collapsed K -band spectral channels). Results are shown in Fig. 3. We base the shape of the injected synthetic spirals on the best-fit of the trace of Feature *iii* (Fig. 1c) to the linear spiral density wave equation, obtained when forcing the perturber location to be that of protoplanet candidate PDS 70 b (e.g. Rafikov 2002; Muto et al. 2012). The exact shape of the two injected synthetic spiral arms correspond to that spiral model flipped with respect to the x -axis (spiral #1; Fig. 3d), and rotated by 45° clockwise (spiral #2; Fig. 3h), respectively. The synthetic spirals are injected at roughly the same contrast level as Feature *iii*. We considered a flat contrast at all wavelengths (i.e. the spectrum of the injected spirals are a scaled-down version of the stellar spectrum). For comparison, the

first row of Fig. 3 corresponds to the images obtained without fake spiral injection.

The PCA-SADI images with $n_{\text{pc}}^{\text{ADI}} = 1$ and $n_{\text{pc}}^{\text{SDI}} = 1$ recover visually the full trace of the two injected synthetic spirals (Figs 3 e and i). By contrast, PCA-ASDI filters out parts of the injected spirals. These are indicated by *cyan arrows* in Figs 3(f) and (j). In particular, for both spirals, the cyan arrows appear roughly in the middle of the portion of the spiral where the pitch angle is roughly zero (i.e. where the arm is most similar to a circle). We also tested other values of $n_{\text{pc}}^{\text{ADI}}$ than shown in Fig. 3 and noticed that PCA-ASDI filters out spiral #1 at the location of the cyan arrow in Fig. 3(f) for all values of $n_{\text{pc}}^{\text{ADI}}$ from 1 to 10, while spiral #2 is filtered out at the location of the cyan arrow in 3(j) for $n_{\text{pc}}^{\text{ADI}} \geq 3$. By contrast, for all values of $n_{\text{pc}}^{\text{ADI}}$ from 1 to 10, PCA-SADI filters out neither spiral #1 nor spiral #2. The only effect of increasing $n_{\text{pc}}^{\text{ADI}}$ in PCA-SADI is to enhance self-subtraction relatively homogeneously along the spiral trace, so that the full shape is preserved. Compared to PCA-SADI and PCA-ASDI, PCA-ADI alone does not appear to

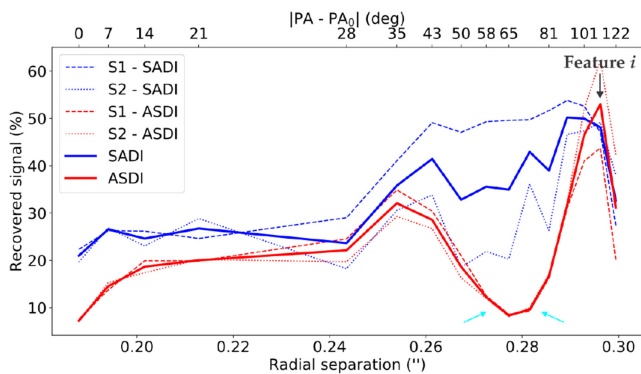


Figure 4. Measured throughput along the two injected spirals with PCA-SADI (blue curve) and PCA-ASDI (red curve). Solid curves correspond to the mean of the individual curves for S1 and S2 (dashed and dotted, resp.). We note that the spirals are recovered at higher throughput with PCA-SADI, and that the PCA-ASDI profiles present a significant dip likely due to the negative ADI side lobe associated to Feature *i* (shown with cyan arrows as in Figs 3 (f) and (j)).

optimally subtract speckles, as only parts of the synthetic spirals coincident with a bright speckle or the forward-scattered disc edge produce enhanced blobs in the final image (see Fig. 3g).

The results of this test are in agreement with the survival of extended features identified in our final PCA-SADI image (Figs 1 a and c) when increasing n_{pc}^{ADI} , while not being recovered in the PCA-ASDI images (Fig. 2). We also noticed that PCA-SADI with $n_{pc}^{ADI} = 1$, $n_{pc}^{SDI} = 1$ does not appear to introduce significant geometric biases, as the shape of the retrieved spirals is very similar to that of the injected ones. This suggests the extended features identified in our final PCA-SADI image are real.

3.3.2 Surface brightness and throughput

Next, we quantify the amount of signal that is preserved by our algorithms (i.e. the *throughput*) along each injected spiral. The latter are injected at a constant surface brightness of $9.84 \text{ mag arcsec}^{-2}$ ($77.3 \text{ mJy arcsec}^{-2}$ at *K* band) throughout their full trace (Figs 3 d and h). Fig. 4 shows the throughput profile measured in the final PCA-SADI and PCA-ASDI images (blue and red curves, resp.) along the spirals. Since the injected spirals overlap with authentic extended disc features, we subtracted the flux measured along the spiral traces in the images obtained without spiral injection (i.e. Figs 3 a and b for PCA-SADI and PCA-ASDI resp.) to that measured in the images obtained after spiral injection (Figs 3e, f, i, and j) before computing the throughput.

We notice that both algorithms lead to low throughput. Values $\lesssim 50$ percent are obtained at all radial separations smaller than $\sim 0''.3$, with a decreasing trend from large to small radii. This is not surprising: our wavelength range choice ($1.95\text{--}2.45\mu\text{m}$) leads to insufficient radial motion during the PCA-SDI part of the processing such that partial self-subtraction is unavoidable. Nonetheless, PCA-SADI appears to consistently lead to a higher throughput at all radial separations than PCA-ASDI. Furthermore, the PCA-ASDI profiles show a significant dip at $\sim 0''.28$ radius, which is consistent with the dips that were visually identified in Figs 3(f) and (j) (cyan arrows).

The spiral injection test also allows us to infer the sensitivity achieved by PCA-SADI towards extended structures in our data set.

The injected spirals are indeed rotated/mirror versions of Feature *iii*, which is identified in Fig. 1(c) at roughly the limit corresponding to significant signals. We reach thus a sensitivity of $\sim 77 \text{ mJy arcsec}^{-2}$ in *K*-band total intensity, at $\sim 0''.25$ separation. In comparison, Hashimoto et al. (2012) report a sensitivity of $\sim 0.2 \text{ mJy arcsec}^{-2}$ in *H*-band polarized intensity beyond $\sim 0''.25$, and a polarization fraction of ~ 0.5 per cent. The sensitivity of PCA-SADI towards extended features in our SINFONI data is thus only slightly lower to what is obtained with polarimetric differential imaging (PDI; e.g. Kuhn, Potter & Parise 2001; Quanz et al. 2011), although PDI observations at the same wavelength are required for a better comparison.

Finally, we note that at the tip of spiral #1, where the latter intersects the edge of the outer disc, the surface brightness measured in Fig. 3(e) is twice the value measured in Fig. 3(a). This suggests that Feature *i* has a similar surface brightness of $\sim 77 \text{ mJy arcsec}^{-2}$ as the injected spirals. Assuming that Rayleigh scattering is dominant for very small grains, the cross-section is $\propto \lambda^{-4}$ and hence our *K*-band measurement would lead to a prediction of $\sim (1.67/2.18)^{-4} \times 77 \approx 224 \text{ mJy arcsec}^{-2}$ in *H*-band total intensity. This agrees with the *H*-band polarized intensity of $\sim 1 \pm 0.2 \text{ mJy arcsec}^{-2}$ and polarization fraction of ~ 0.5 per cent measured in Hashimoto et al. (2012).

3.4 Effect of post-processing on point sources

While the results of the spiral injection tests suggest that PCA-SADI reaches a higher sensitivity for faint extended structures, the fact that only the PCA-ASDI images recover a point-like source at the location of claimed protoplanet candidate PDS 70 b raises the question of whether it corresponds to a filtered extended structure, or whether PCA-ASDI is simply better at recovering point-like sources than PCA-SADI. In order to answer this question, we injected two pairs of fake companions in copies of our original 4D cube (Figs 5(d) and h), and post-processed them with PCA-SADI, PCA-ASDI, and PCA-ADI (on the collapsed *K*-band channels). For both tests, companions were (retrospectively) injected based on the contrast as a function of wavelength inferred for the protoplanet candidate (Section 4.1.3). In Test#1, the contrast of the injected companions was rigorously identical to that inferred for PDS 70 b ($\sim 7.6 \text{ mag}$ contrast across the *K* band), while in Test#2 the fake companions were injected at a twice fainter flux in all channels ($\sim 8.4 \text{ mag}$ contrast across the *K* band).

PCA-ASDI recovered all injected fake companions for all n_{pc}^{ADI} values in Test #1, and for all $n_{pc}^{ADI} \geq 3$ in Test #2 (similar to the n_{pc}^{ADI} values required to recover the protoplanet candidate PDS 70 b). By contrast, PCA-SADI with $n_{pc}^{ADI} = 1$ and $n_{pc}^{SDI} = 1$ is only able to marginally recover both fake companions of Test #1 (Fig. 5e). For increasing values of n_{pc}^{ADI} , the detections become more significant, although the achieved SNR values are always lower than obtained with PCA-ASDI. For test #2, PCA-SADI only marginally recovers the NE fake companion, but does not recover the other fake companion, for all values of n_{pc}^{ADI} . One of the two brighter fake companions (Test #1) is also recovered by PCA-ADI (Fig. 5g), while the other fake companions appear at a similar level as the speckle noise.

ADI is known to produce characteristic negative side lobes alongside point-source detections. A hint of these signatures can be seen in Fig. 5(g). PCA-ASDI also produces negative side lobes (Figs 5 f and j), confirming the importance of the ADI part in that algorithm. The low significance of the fake companions in the PCA-SADI images does not enable identification of such features.

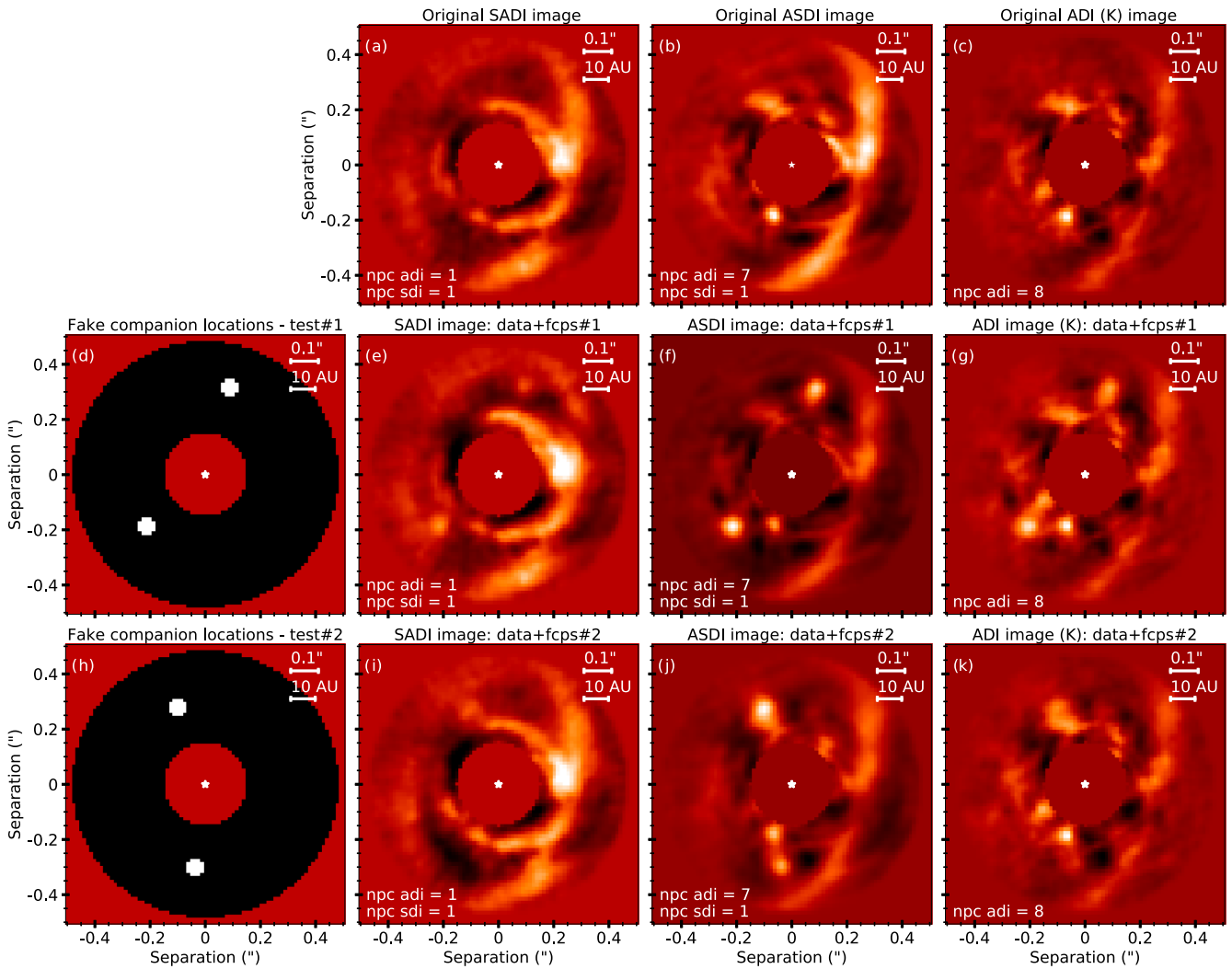


Figure 5. Fake companion injection tests. (*Top row*) Final post-processed images obtained without the injection of any fake companion, for the different algorithms tested in this paper: PCA-SADI, PCA-ASDI and PCA-ADI (K). (*Middle and bottom row*) Images obtained after using the same algorithms, but after injection of fake companions in the original 4D cube, at the locations given in the leftmost column (d and h). In test#1 (resp. #2), the contrast ratio of the injected companions is ~ 7.7 mag (resp. ~ 8.4 mag) in *K* band, following the spectrum inferred for protoplanet candidate PDS 70 b (Section 4.1.3). In contrast to PCA-SADI, the PCA-ASDI images recover conspicuously all the injected fake companions, suggesting it is more sensitive to point-like sources.

3.5 Discussion

We have explored different post-processing techniques and reduction parameters in order to make best use of the angular and spectral diversity present in our SINFONI data. The application of either SDI or ADI had been previously investigated individually on SINFONI data (Thatte et al. 2007; Meshkat et al. 2015, resp.). Our work is the first attempt to use both. We interpret the poorer results obtained with PCA in a single step compared to our two-step algorithm (PCA-SADI and PCA-ASDI) as speckles being significantly more correlated spectrally than temporally. Using two steps takes better advantage of the different speckle correlation levels, while a single larger PCA library dilutes the high spectral cross-correlation which enables an efficient speckle subtraction in the PCA-SDI step. PCA-SADI and PCA-ASDI appear thus to be the most appropriate methods for SINFONI data sets. This conclusion might not apply for extreme-AO fed IFS such as those of SPHERE/IFS, GPI or SCExAO/CHARIS because (1) the better AO system provides more consistent high Strehl ratio images as a function of time, and (2)

the IFS configuration is different (lenslet-based versus image-slicer based for SINFONI) so that the spectral correlation of speckles might be different (e.g. Claudi et al. 2008; Wolff et al. 2014; Brandt et al. 2017). Applying our two-step PCA algorithms led to the recovery of features identified in recent observations of the system using extreme-AO instrument SPHERE (Fig. 1, K18, M18).

Using PCA-SDI in the first step is expected to better subtract speckles than PCA-ADI because of the stronger spectral correlation of speckles (acquired simultaneously in all different spectral channels) than the temporal correlation throughout the observing sequence. The latter is subject to both slowly varying quasi-static speckles and variations in observing conditions (see also Rameau et al. 2015). This interpretation is consistent with the fact that only one principal component for both the SDI and ADI parts ($n_{pc}^{SDI} = n_{pc}^{ADI} = 1$) is enough for PCA-SADI to identify all extended structures in the disc, while increasing values of either n_{pc}^{SDI} or n_{pc}^{ADI} progressively self-subtract them while not revealing any new feature. By construction, PCA-SDI preserves azimuthally

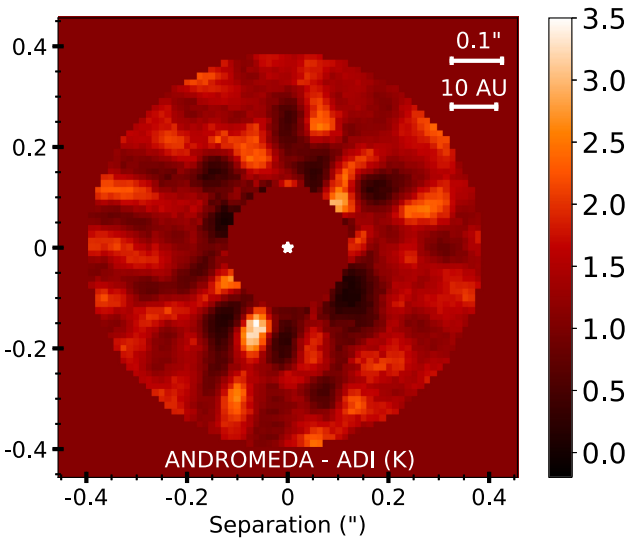


Figure 6. Detection map obtained with ANDROMEDA. PDS 70 b is the only feature found at an SNR > 3 .

extended structures, while being potentially more harmful for radially extended structures. However, our final reductions only use the K -band spectral channels (instead of the whole $H + K$ range), hence the correspondingly lower amount of radial motion of authentic circumstellar signal (disc or planet) during the SDI part might still induce some (radial) self-subtraction.

Performing PCA-ADI first whitens the noise, hence PCA-SDI in the second step is expected to be less efficient. Whitening is not perfect though, in particular for small values of $n_{\text{pc}}^{\text{ADI}}$, as residual speckles still show significant correlation between different spectral channels even after PCA-ADI (e.g. Fig. 7). As the temporal correlation is not as high as the spectral correlation, a larger $n_{\text{pc}}^{\text{ADI}}$ is required for PCA-ASDI than for PCA-SADI to build an optimal model of the speckle pattern.

Post-processing of disc images with ADI is known to introduce geometric biases, filtering out parts of the disc (e.g. Milli et al. 2012; Rameau et al. 2017). PCA-ADI with a large $n_{\text{pc}}^{\text{ADI}}$ makes this effect worse because it includes more signal from the disc in the principal components (e.g. Pairet, Cantalloube & Jacques 2018b). Similar to the results obtained with ADI, our synthetic spiral injection tests show that PCA-ASDI can also filter out significant parts of the spirals (Figs 3 (f) and (j)). This is consistent with the fact that ADI has a more dominant role in PCA-ASDI than in PCA-SADI. Nonetheless, while ADI can filter out azimuthally extended features, it can also enhance — for the same reason — the detection of azimuthal asymmetries or point sources. This is likely the main reason why only our PCA-ASDI images detect conspicuously PDS 70 b. Our fake companion injections indeed show that the PCA-ASDI algorithm is more sensitive to faint companions than PCA-SADI (Fig. 5) — at the expense of subtracting azimuthally extended disc structures.

Rameau et al. (2015) investigated the sensitivity of an algorithm similar to SADI to detect faint companions and found that it strongly depended on the spectral features of the companion. Therefore in our fake companion injection tests, we considered only artificial companions with the same contrast as a function of wavelength as the protoplanet PDS 70 b (as inferred in Section 4.1.3). It is beyond the scope of this paper to test the recovery of companions with other spectra. However, our conclusions are expected to hold, at

least qualitatively, for companions emitting significantly more flux at the long-wavelength end of the K band than at the short end.

Our final PCA-ASDI and PCA-SADI images, and the tests carried out in Sections 3.3 and 3.4 alone cannot rule out the possibility that Feature b is the result of filtering of an extended disc feature. To confirm the point-source nature of Feature b , we also applied the ANDROMEDA algorithm (Cantalloube et al. 2015, Cantalloube et al., in preparation). ANDROMEDA is a maximum likelihood matched-filter algorithm that searches for the expected signature of point-like sources. By construction, it is sensitive to authentic point sources, and not to extended disc features filtered by ADI. Fig. 6 shows the detection map obtained after combination of the ANDROMEDA detection maps obtained for all individual spectral channels at wavelength $> 2\mu\text{m}$, based on a weighted average proportional to the square of the SNR at each pixel (Thiébaud et al. 2016). Feature b is the only signal detected above 3σ in our image, providing an independent confirmation of the point-source nature of Feature b .

4 CHARACTERIZATION OF THE PROTOPLANET

In addition to ANDROMEDA’s detection map, several other lines of evidence presented in recent studies argue in favour of Feature b being a protoplanet (see more details in Section 4.4). Therefore, we attempted to extract both the exact astrometry and the spectrum of Feature b . We followed two different methods: the negative fake companion technique (NEGFC) combined to an adaptation of our PCA-ASDI algorithm (Section 4.1), and ANDROMEDA (Section 4.2).

4.1 Spectro-astrometry inferred using NEGFC

4.1.1 Negative fake companion technique

NEGFC is a specific form of *forward modelling* used to characterize faint point sources, and first proposed in the context of ADI data sets (e.g. Lagrange et al. 2010; Marois, Macintosh & Véran 2010; Soummer et al. 2012). Our adaptation of the NEGFC algorithm is summarized in Fig. 7.

We first attempted to extract the exact position and contrast as a function of wavelength of Feature b using NEGFC coupled with PCA-ADI applied to each spectral channel, as performed for HD 142527 B in Christiaens et al. (2018). Taking advantage of the whitening of the noise by ADI, we binned (40 by 40) adjacent spectral channels after PCA-ADI processing (Figs 7 a to d), and over the whole K -band wavelength range (Fig. 7e). However, we noticed that (1) the pixel values of surrounding bright speckles corresponded to about half of the value of pixels at the location of the companion, and that (2) the contrast estimated by NEGFC (PCA-ADI) corresponded to a roughly twice brighter flux than estimated in the $K1$ and $K2$ filters using SPHERE (M18). This suggested that a significant contribution from underlying speckles was indeed biasing the flux estimates.

In order to better subtract the residual speckle in the image, PCA-ADI was followed by PCA-SDI, similar to the PCA-ASDI algorithm described in Section 3.1. PCA-ASDI images (right column of Fig. 2 or Fig. 7j) are obtained after PCA-SDI model PSFs are subtracted to each ADI-processed spectral images, and all residual spectral frames of the K band ($2.0\text{--}2.45\mu\text{m}$) are combined using a variance-weighted average. In comparison, images labelled PCA-ASDI _{λ} (Figs 7 f to i) are obtained by combining residual adjacent spectral

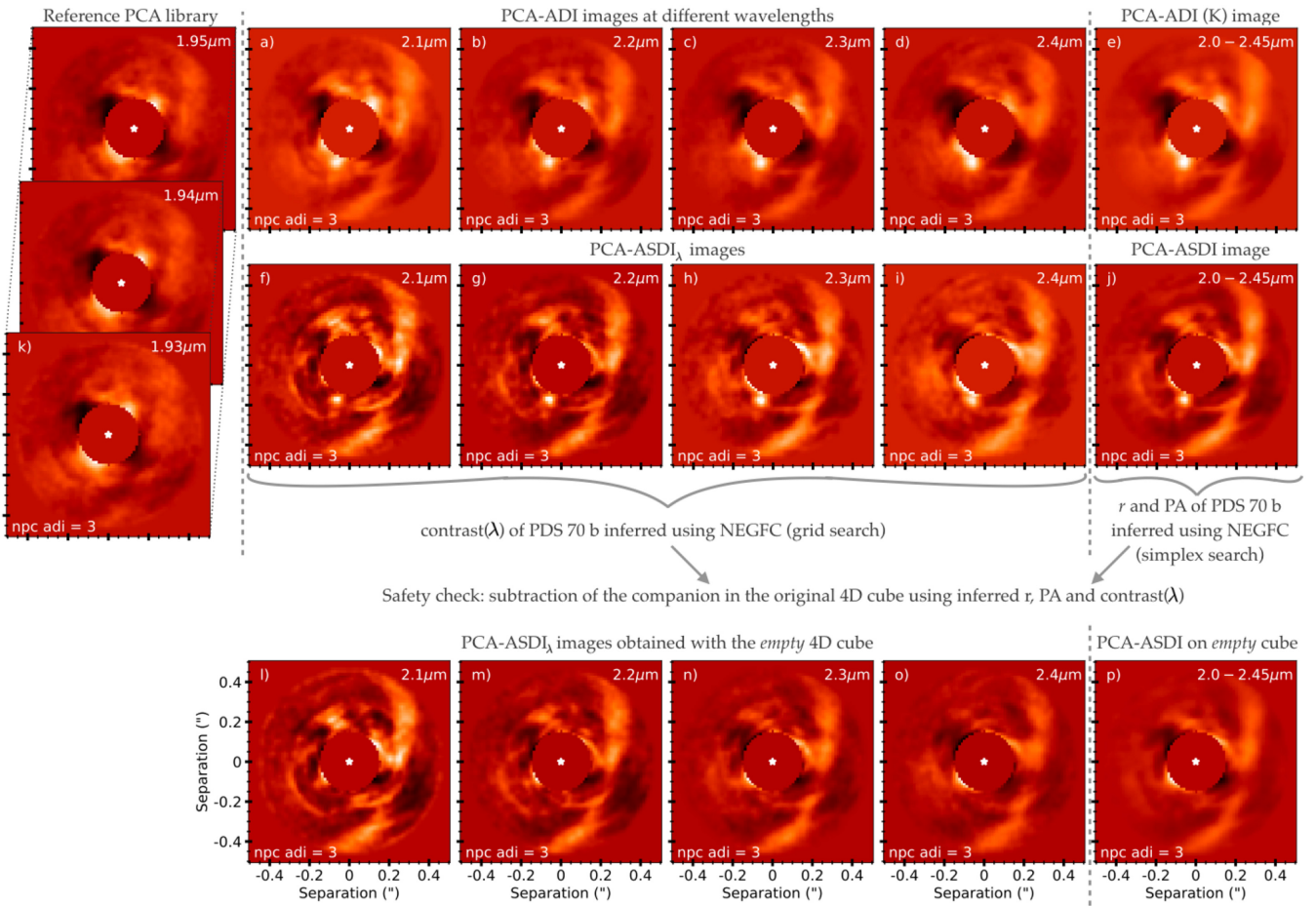


Figure 7. Illustration of the different steps used to infer the contrast as a function of wavelength, radial separation (r), and PA of PDS 70 b. (a)–(d) Median-combined PCA-ADI images for 40 adjacent spectral channels around the considered wavelengths (given in the top right corner of each panel). (e) Median-combined PCA-ADI image of all spectral channels of the K band. (f) to (i) PCA-ASDI $_{\lambda}$ images and (j) PCA-ASDI image, obtained using spectral channels between 1.93 and 1.95 μm as reference library (k) for the PCA-SDI part of the processing. No signal departing from speckle noise can be seen at the location of the companion at those wavelengths. (l) to (o) PCA-ASDI $_{\lambda}$ and (p) PCA-ASDI images obtained using a copy of our original cube where the companion was subtracted in each spectral channel using $\text{contrast}(\lambda)$, r and PA inferred by the NEGFC algorithm. See Section 4.1.1.

channels 40 by 40. We notice in Figs 7(f) to (j) that the residual speckle noise has been attenuated compared to images obtained with PCA-ADI alone, so that the signal from the companion appears now to stand out more conspicuously.

Since the spectrum inferred by NEGFC (PCA-ASDI) can be biased if the companion is also present in the PCA library used for the PCA-SDI part (Maire et al. 2014; Rameau et al. 2015; Galicher et al. 2018), we carefully selected the spectral channels to be included in the PCA-SDI library as those with: (1) no signal departing from speckle noise at the location of the companion, and (2) showing the most correlated residual speckle noise with spectral channels where the emission of the companion is detected. The first condition is met for all spectral channels shortward of $\sim 2.0 \mu\text{m}$, while the second condition is only met for K -band spectral channels, since the AO correction was significantly poorer in the H -band channels. In order to minimize the risk of self-subtraction, we selected the shortest wavelength spectral channels of the K band that were not significantly affected by telluric line absorption, and hence limited the PCA library to include all spectral channels between 1.93 and 1.95 μm . As can be seen in Fig. 7(k), the residual speckle noise after PCA-ADI in the 1.93–1.95 μm spectral channels correlates with the residual speckle pattern in spectral channels longward of

2.0 μm (Figs 7 a–d), but no significant signal from the companion is detected. This is consistent with the drop in flux shortward of $\sim 2.1 \mu\text{m}$ in both the spectrum of the companion and corresponding best-fit models presented in M18. Our strategy is to first use PCA-ASDI to infer the most accurate astrometry of the companion, taking advantage of the enhanced SNR, and then to use PCA-ASDI $_{\lambda}$ to extract the contrast as a function of wavelength of the companion, at the exact location inferred with PCA-ASDI. For this purpose, we adapted the version of NEGFC that is implemented in VIP for PCA-ADI (Wertz et al. 2017; Gomez Gonzalez et al. 2017) to work with our PCA-ASDI $_{\lambda}$ and PCA-ASDI implementations.

4.1.2 Broad-band astrometry

In order to obtain the best estimate of the radial separation (r) and PA of the companion, we used NEGFC in combination with PCA-ASDI, as it combines all residual spectral frames. The NEGFC optimization was performed using a Nelder-Mead simplex-based algorithm (see more details in Wertz et al. 2017).

Instead of considering all spectral channels of the K band for PCA-ASDI, we only consider channels in the [2.13–2.29] μm wavelength range, as they show less residual speckle noise in

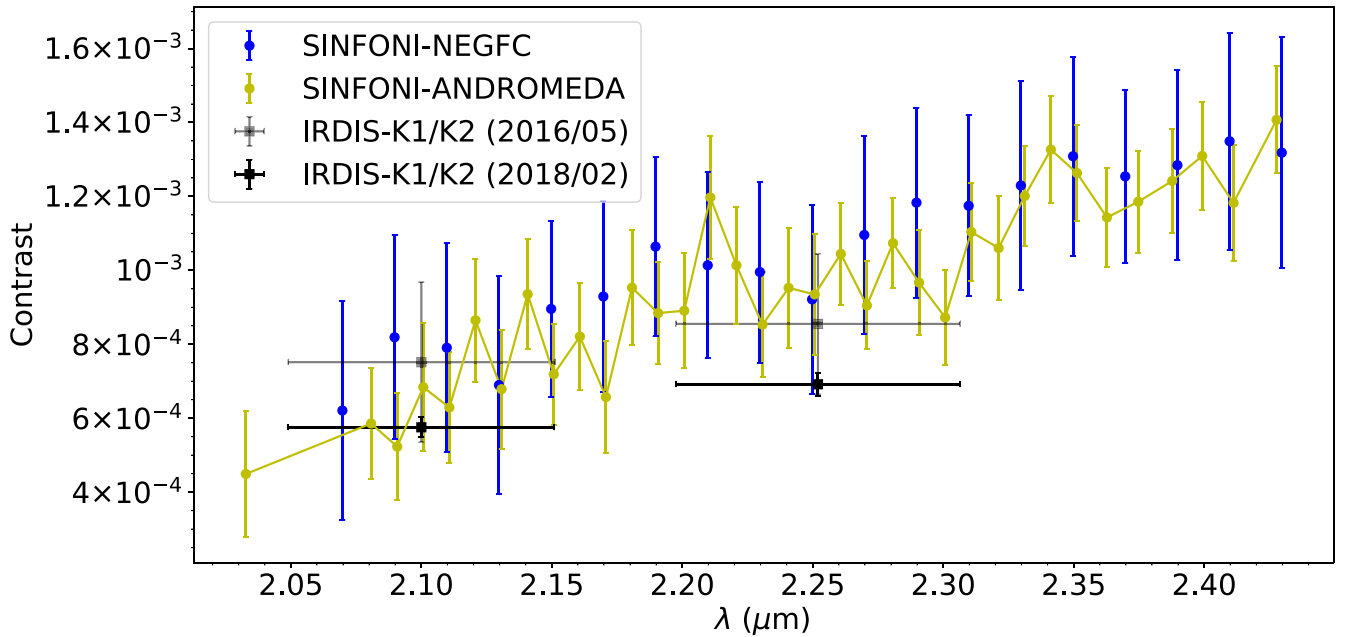


Figure 8. Contrast of the protoplanet with respect to the star as a function of wavelength, as inferred using NEGFC (PCA-ASDI $_{\lambda}$) and ANDROMEDA on our SINFONI data (blue and yellow error bars, resp.). Both retrieved spectra are consistent with each other, and agree with the broadband measurements obtained with SPHERE/IRDIS in the K1 filter on 2016/05 (grey points) and 2018/02 (black points), and in the K2 filter for the first epoch. We note a slight discrepancy with the K2 measurement at the second epoch. Horizontal error bars show the FWHM of the K1 and K2 filters.

the vicinity of the protoplanet. We noticed indeed that at shorter wavelength than $\sim 2.13\mu\text{m}$, the signal of the companion is too faint, while at longer wavelength than $\sim 2.29\mu\text{m}$, a bright extended feature radially inward of the companion appears to slightly bias the centroid estimate, shifting the estimate to about 1 pixel shorter radius. This bright extended feature is roughly shaped as an inner spiral arm stemming from the location of the companion, and is best seen in Figs 7(h) and (i).

Using NEGFC (PCA-ASDI), we find the radial separation and PA of the companion to be: 193.5 ± 4.9 mas and $158.7^\circ \pm 3.0^\circ$. Given the PA of the outer disc (PA $\sim 159^\circ$), both the projected and deprojected physical separations are 20.9 ± 0.6 au. The quoted astrometric uncertainties reflect (1) the error associated with stellar centring; (2) instrumental systematic uncertainties (plate scale, true North and pupil offset); and (3) residual speckle noise. Comparison between centring with 2D Gaussian and 2D Moffat functions are consistent within ~ 0.05 pixel, and we hence expect the error associated to stellar centring to not be significantly larger than that. We conservatively consider the same systematic uncertainties as estimated in Meshkat et al. (2015) for SINFONI data obtained at a similar epoch, but for a companion at larger separation from its star than PDS 70 b: 0.4 mas and 0.5° . The error associated to residual speckle noise dominate the budget: 4.8 mas and 2.9° , for r and PA respectively. The procedure used to estimate them is similar to that presented in Wertz et al. (2017) and is detailed in Appendix B.

4.1.3 Spectro-photometry

We applied NEGFC (PCA-ASDI $_{\lambda}$) to determine the contrast of the companion as a function of wavelength. The position of the companion was fixed to that inferred in Section 4.1.2 using NEGFC (PCA-ASDI), leaving only a single free parameter to explore. A grid search was performed to infer the optimal contrast of the companion in each binned spectral channel. Given that the star was not saturated

in our data cube, the centring of the frames based on fitting the stellar centroid with a 2D Moffat function is expected to be very accurate. Therefore, the position of the companion is not expected to vary significantly in our post-processed spectral channels.

We did not use the Nelder–Mead simplex-based algorithm on the three parameters together in each (binned) spectral channel for the same reasons we limited NEGFC (PCA-ASDI) to spectral channels between $2.13\text{--}2.29\mu\text{m}$ for the astrometric estimate in Section 4.1.2. We noticed that for some of the spectral channels outside of that wavelength range, the Nelder–Mead algorithm converged at erroneous locations, hence biasing the inferred spectro-photometry of the companion. The grid search is also faster, which facilitated the estimation of both the residual speckle uncertainties and the optimal $n_{\text{pc}}^{\text{ADI}}$ used for contrast estimation in each binned spectral channel (Appendix B). The final inferred contrast(λ) is shown with blue error bars in Fig. 8.

4.2 Spectro-photometry inferred using ANDROMEDA

Based on individual ANDROMEDA detection maps, we selected the spectral channels where the significance of the protoplanetary signal was larger than 3σ , and built a spectrum based on the flux estimated by ANDROMEDA. These spectral channels were all located in the K band. As we noticed the presence of some potential outliers, we median-combined the fluxes inferred by ANDROMEDA in adjacent channels 20 by 20. The resulting spectrum is shown with yellow error bars in Fig. 8. It is consistent with both the spectrum inferred using NEGFC (Section 4.1.3), and the SPHERE/IRDIS measurements acquired in the K1 filter ($\sim 2.10\mu\text{m}$) on 2016/05/14 and 2018/02/24, but only the K2 measurement ($\sim 2.25\mu\text{m}$) acquired at the first epoch (K18, M18). Most fluxes estimated by either NEGFC or ANDROMEDA between 2.19 and $2.31\mu\text{m}$ (all but two points) are slightly larger than the 2018/02 SPHERE measurement. A detailed spectral analysis of the protoplanet is beyond the scope

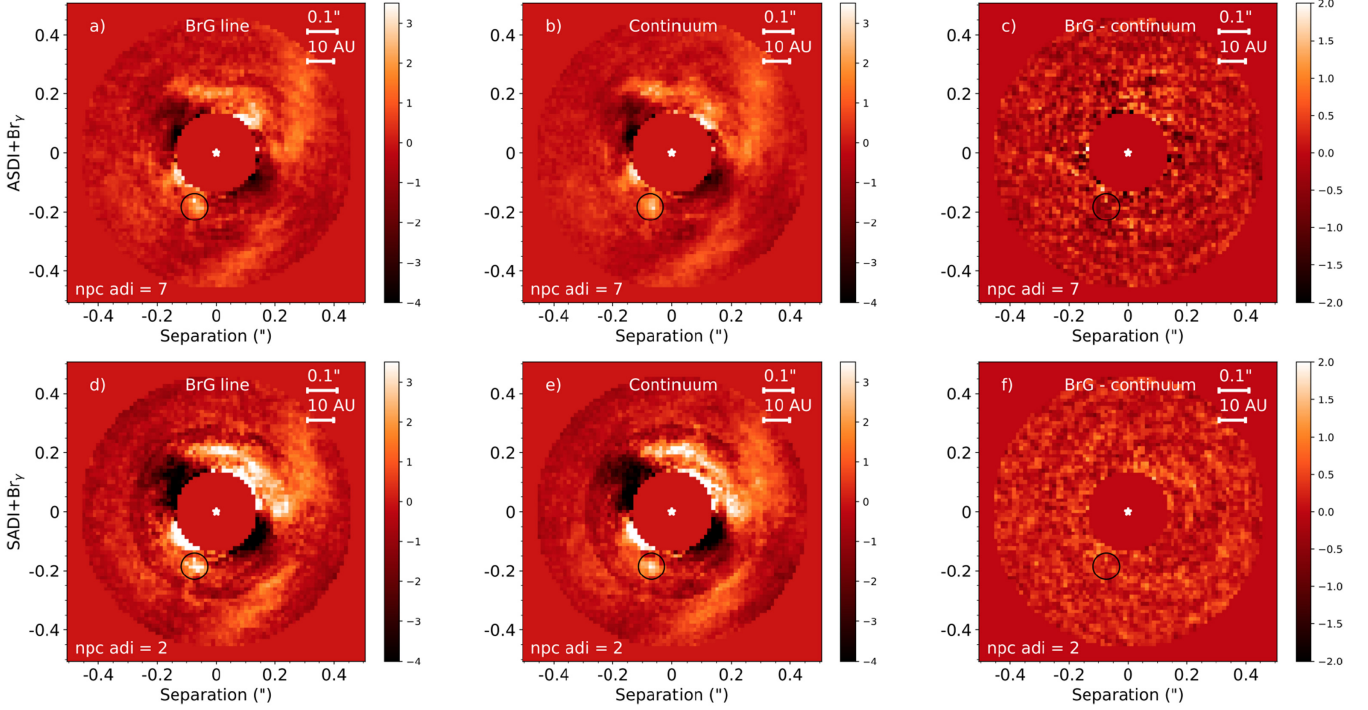


Figure 9. Results of $ASDI + Br\gamma$ (first row) and $SADI + Br\gamma$ (second row). a) and d): weighted average of the PCA-ADI images obtained with the $Br\gamma$ line channels, b) and e): median of the PCA-ADI images obtained with the continuum line channels, c) and f) final $ASDI + Br\gamma$ and $SADI + Br\gamma$ images, obtained with the respective optimal number of principal components (resp. $n_{pc}^{ADI} = 7$ and $n_{pc}^{ADI} = 2$). See Appendix C for details on each algorithm. No significant signal is left at the location of the companion (indicated by a black circle in all panels), nor elsewhere in the field, in the $ASDI + Br\gamma$ and $SADI + Br\gamma$ images.

of this paper and is deferred to a forthcoming paper (Christiaens et al. 2019).

We note that ANDROMEDA performs better than NEGFC + PCA-ASDI for the extraction of the spectrum of the companion. It leads to smaller uncertainties and preserves a finer spectral resolution. This is because ANDROMEDA is not sensitive to extended disc features, while PCA-ASDI does not entirely filter out extended signals from the disc, which complicates the estimation of the flux stemming from the point source only.

4.3 Constraints on Br γ emission

Wagner et al. (2018) recently reported the tentative detection of H α emission originating from the location of the protoplanet candidate. In this section, we investigate the presence of $Br\gamma$ line emission ($2.16612 \mu\text{m}$) at the location of the companion. We applied two slightly different algorithms for detection of $Br\gamma$ emission, referred to as $SADI + Br\gamma$ and $ASDI + Br\gamma$, and that we adapted from our PCA-SADI and PCA-ASDI algorithms respectively. The two techniques are described in details in Appendix C. Neither the final $ASDI + Br\gamma$ image nor the final $SADI + Br\gamma$ image (Figs 9 c and f, resp.) reveal any significant $Br\gamma$ signal, neither at the location of the companion nor elsewhere in the image. We note that the throughput-corrected residual noise is slightly lower in the final $I_{SADI + Br\gamma}$ image than in the $I_{ASDI + Br\gamma}$ image. We hence focus on the former one in the rest of this section to estimate an upper limit on the $Br\gamma$ -line flux of protoplanet candidate PDS 70 b. The hypothetical flux that would be measured in $I_{SADI + Br\gamma}$ at the location of the protoplanet candidate can be expressed as follows:

$$F_{\text{meas}} = [F_{Br\gamma,p} + F_{\text{cont},p} + \epsilon_{Br\gamma,\star}] - \left[\frac{F_{Br\gamma,\star}}{F_{\text{cont},\star}} F_{\text{cont},p} + \epsilon_{\text{cont},\star} \right] \quad (1)$$

where $F_{Br\gamma,p}$ and $F_{\text{cont},p}$ are the $Br\gamma$ line and continuum fluxes of the planet, $F_{Br\gamma,\star}$ and $F_{\text{cont},\star}$ are the $Br\gamma$ line and continuum fluxes of the star, and $\epsilon_{Br\gamma,\star}$ and $\epsilon_{\text{cont},\star}$ are the contribution of residual speckle signal at the location of the planet in $Br\gamma$ line and continuum channels, respectively. Fraction $F_{Br\gamma,\star}/F_{\text{cont},\star}$ stems from the rescaling of continuum channels performed at the beginning of the $SADI + Br\gamma$ procedure.

The residual speckle noise in $I_{Br\gamma}$ and I_{cont} appears well correlated (Figs 9 d and e), which is also confirmed by the absence of residual signal at the spatial frequency expected for speckles (size \approx FWHM) in $I_{SADI + Br\gamma}$ (Fig. 9f). Therefore we can consider $\epsilon_{Br\gamma,\star}$ and $\epsilon_{\text{cont},\star}$ in equation (1) to efficiently cancel out. Rewriting equation (1) in terms of $Br\gamma$ line flux of the protoplanet candidate yields:

$$F_{Br\gamma,p} = F_{\text{meas}} - \left(1 - \frac{F_{Br\gamma,\star}}{F_{\text{cont},\star}} \right) F_{\text{cont},p} \quad (2)$$

Given the absence of significant signal at the location of the companion in Fig. 9(f), we replace F_{meas} by an upper limit corresponding to five times the noise level at the radial separation of the companion. This noise level is estimated from the standard deviation of the fluxes measured in independent apertures at that radial separation, corrected by a factor accounting for small sample statistics (Mawet et al. 2014). Given the efficient speckle removal and whitening of the noise, we consider that 5 times this noise level is a conservative estimate of the 5σ contrast reached at the separation of the companion. It corresponds to $\sim 6.3 \times 10^{-4}$ (~ 8.0 mag) contrast. An estimation for $F_{\text{cont},p}$ can be obtained from the photometric point directly longward to the $Br\gamma$ line in Fig. 8: ~ 7.6 mag contrast. Since $F_{Br\gamma,\star}/F_{\text{cont},\star} \approx 0.99$, the second term of equation (2) is thus almost two orders of magnitude smaller than our upper limit on F_{meas} , and can be neglected.

Our final upper limit on $F_{\text{Br}\gamma, p}$ is $\sim 8.3 \times 10^{-20} \text{ W m}^{-2}$. It is obtained conservatively considering the 5σ contrast achieved in our final $I_{\text{SADI} + \text{Br}\gamma}$ image, the calibrated spectrum of the star reported in Long et al. (2018) and a 7.5\AA -FWHM linewidth. Considering a distance of 113 pc, this translates into a $\text{Br}\gamma$ luminosity $\log(L(\text{Br}\gamma)/L_{\odot}) < -7.48$. Calvet et al. (2004) inferred the following empirical relationship to convert $L(\text{Br}\gamma)$ into an accretion luminosity:

$$\log(L_{\text{acc}}/L_{\odot}) = 0.9 \times (\log L(\text{Br}\gamma)/L_{\odot} + 4) - 0.7. \quad (3)$$

Although this expression was inferred for T-Tauri stars, we apply it to PDS 70 b due to the lack of similar relationship for lower mass objects. We find $\log(L_{\text{acc}}/L_{\odot}) < -3.83$. We convert this accretion luminosity to a mass accretion rate using $\dot{M}_b = 1.25 L_{\text{acc}} R_b / G M_b$ (Gullbring et al. 1998), and find: $\dot{M}_b < 1.26 \times 10^{-7} \left[\frac{5M_{\text{Jup}}}{M_b} \right] \left[\frac{R_b}{R_{\text{Jup}}} \right] M_{\text{Jup}} \text{ yr}^{-1}$.

4.4 Discussion

Previous claims of protoplanet PDS 70 b detections all made use of ADI (K18, M18; Wagner et al. 2018). Considering our PCA-SADI image and our fake spiral injection tests alone, it is not possible to discard the possibility that Feature *b* is tracing an extended disc feature that is filtered by ADI. Given the past investigations on the effect of ADI on discs (e.g. Esposito et al. 2014; Follette et al. 2017), including the blobs created along the semi-major axis of thin inclined rings (Milli et al. 2012), our results would actually suggest extreme caution regarding the blob located along the semi-major axis of the transition disc of PDS 70. The location of Feature *b* at the tip of a spiral-like feature (Feature *iii*) seen in the PCA-SADI image is also reminiscent of HD 100546, for which Rameau et al. (2017) showed that a spiral seen in RDI images could produce a point source similar to protoplanet candidate HD 100546 b after ADI filtering (Quanz et al. 2013).

However, in contrast to other protoplanet candidates, several lines of evidence argue in favour of the protoplanet hypothesis in the case of PDS 70 b, apart from the point source detection:

- (i) the proper motion of the protoplanet is consistent with an object on a Keplerian orbit (M18);
- (ii) the inferred spectrum of the protoplanet is compatible with synthetic spectral models of young substellar objects (M18);
- (iii) $\text{H}\alpha$ emission is tentatively detected at the protoplanet location (Wagner et al. 2018); and

These arguments motivated us to extract the exact astrometry and spectro-photometry of Feature *b* (Section 4.1.1). We found the position of the companion ($r = 193.5 \pm 4.9 \text{ mas}$ and $\text{PA} = 158.7^\circ \pm 3.0^\circ$) to be consistent with detections at other epochs (K18, M18). The contrast as a function of wavelength is qualitatively consistent with the two photometric points inferred with SPHERE/IRDIS in the K1 and K2 filters. Our analysis thus further strengthens points (i) and (ii) listed above. A detailed spectral analysis of the companion using both our SINFONI spectrum and the rest of the SED presented in M18 is beyond the scope of this paper, and will be presented in a forthcoming paper.

An alternative method to spectrally characterize the companion is to cross-correlate spectral templates (e.g. Konopacky et al. 2013; Hoeijmakers et al. 2018). The application of this promising technique is beyond the scope of this work, but could provide further spectral information on the protoplanet.

Based on the non-detection of $\text{Br}\gamma$ emission at the location of the companion, we constrained its accretion rate to be $\dot{M}_b < 1.26 \times$

$10^{-7} \left[\frac{5M_{\text{Jup}}}{M_b} \right] \left[\frac{R_b}{R_{\text{Jup}}} \right] M_{\text{Jup}} \text{ yr}^{-1}$. A major uncertainty in this value is whether the empirical relationship to convert $\text{Br}\gamma$ luminosity to accretion luminosity inferred for T-Tauri stars is still valid in the planetary mass regime (Calvet et al. 2004). Regardless, our upper limit is compatible with the mass accretion rate inferred from the tentative detection of $\text{H}\alpha$ emission and using a similar T-Tauri-based conversion relationship: $10^{-8 \pm 1} M_{\text{Jup}} \text{ yr}^{-1}$ for a 5–9 M_{Jup} planet (Wagner et al. 2018).

The uncertainty on the accretion rate measured in Wagner et al. (2018) stems partially from the unknown value of the extinction in the line of sight of the companion. For $A_V \sim 3 \text{ mag}$, the measured $\text{H}\alpha$ flux of the protoplanet candidate would imply $\dot{M}_b \sim 10^{-7.0} M_{\text{Jup}} \text{ yr}^{-1}$ (for a 5–9 M_{Jup}). Therefore, our upper limit on the accretion rate based on the $\text{Br}\gamma$ line – significantly less affected by extinction – suggests that the line of sight towards the protoplanet candidate has a visual extinction $A_V < 3 \text{ mag}$.

5 CHARACTERIZATION OF DISC FEATURES

Based on the STIM maps of our PCA-SADI and PCA-ASDI images (bottom row of Fig. 1), we identified similar features as in the image obtained from a long integration of PDS 70 using extreme-AO instrument VLT/SPHERE (M18): the bright forward-scattered edge of the disc (Feature *i*), several azimuthally extended features (Features *ii*, *iv* and *v*), the faint back-scattered light from the far side of the disc (Feature *vi*), and a bright blob (Feature *b*), interpreted as a protoplanet. The root of features *ii* and *iv* is consistent with the location of the *spur* recently observed in dust continuum and ^{12}CO emission (Keppler et al. 2019). The spur could be tracing the tip of a gap-crossing stream. Such stream would physically require the presence of an additional companion, which could account for spiral-shaped features *ii/iv* seen in our images.

Feature *iii* is the only feature with no clear counterpart in the M18 image. It is best seen in our final PCA-SADI image, although a hint of its presence can also be seen in the PCA-ASDI images. It appears to stem from the location of the protoplanet and could either trace an outer spiral or a gap-crossing stream connected to the outer disc. We estimate the deprojected pitch angle of Feature *iii* to be $27 \pm 4^\circ$ based on the best-fit logarithmic spiral, if it lies in the disc plane. If authentic, why was it not seen in the long-integration SPHERE/IRDIS observation (M18)? The M18 image was obtained using ADI, which is known to create negative azimuthal side lobes around bright features. In our synthetic spiral injection tests (Fig. 3), we noticed that a spiral with the same shape as Feature *iii* was cancelled by PCA-ASDI in the vicinity of the bright disc edge, where the spiral’s pitch angle was also the lowest (close to an arc circle). This could be due to either self-subtraction and/or hiding by the negative lobe of the bright disc edge. As pointed out in Section 3.5, ADI has a dominant role in our PCA-ASDI algorithm. It is thus possible that the feature was cancelled out in the M18 image for the same reason.

Apart from the forward-scattered edge of the outer disc, neither the extended features identified in our SINFONI images, nor those identified in the median-ADI image of M18, have a clear counterpart in polarized light images (K18). Polarimetric differential imaging (PDI; e.g. Kuhn et al. 2001; Quanz et al. 2011) is often considered the best method to image extended disc features. The absence of extended features identified with ADI or SADI in PDI images of PDS 70 suggests caution. Alternatively, non-optimal observing conditions (mediocre seeing), a shorter integration time and the fact that polarized intensity contains only a fraction of the total intensity,

might account for the lack of a conspicuous counterpart in polarized light to the features identified in our images (K18).

Based on previous hydrodynamical and radiative transfer simulations, an embedded giant planet of several Jupiter masses is expected to launch significant spiral density waves in the disc, with a potentially observable arm outward from the planet location (e.g. Zhu et al. 2015; Dong et al. 2015). In particular, simulations of Zhu et al. (2015) show that for discs with large inclinations the spiral is expected to be significantly brighter in full intensity than polarized intensity (see their Fig. 17), which would be consistent with the absence of counterpart to Feature *iii* in polarized light. Alternatively, Feature *iii* might be tracing thermal emission from shocks in the spiral rather than scattered light (e.g. Lyra et al. 2016), which would also be consistent with the apparently large observed pitch angle ($\sim 27 \pm 4^\circ$ after disc deprojection). Yet another possibility is that Feature *iii* is a gap-crossing stream, which can be produced under certain companion mass ratio and orbital eccentricity conditions (e.g. Price et al. 2018). Either the spiral arm or the gap-crossing stream scenario would be compatible with an accreting protoplanet at the location of Feature *b* (Wagner et al. 2018). Finally, we note that Feature *iii* roughly follows the inner edge of CO J = 3-2 bulk emission in the South part of the disc, as seen with ALMA (Long et al. 2018). However, new ALMA observations at higher angular resolution are required to confirm the possible connections between features seen in NIR and sub-mm wavelength images.

Altogether we cannot exclude the possibility that the extended features seen in our PCA-SADI image are authentic. New observations of PDS 70 making use of reference star differential imaging (RDI; e.g. Lafrenière et al. 2009; Ruane et al. 2017; Rameau et al. 2017) might confirm and better constrain these extended signals. Whether a spiral arm or a gap-crossing stream is expected in the case of PDS 70 needs to be tested with dedicated hydrodynamical simulations, followed with radiative transfer predictions and post-processing.

6 SUMMARY

We presented the first application of combined spectral and angular differential imaging to VLT/SINFONI data. We detailed the techniques we used to leverage both the spectral and angular diversity for an optimal stellar halo modelling and speckle subtraction using principal component analysis (PCA) in the case of a SINFONI data set on PDS 70. We then compared the results obtained when applying spectral differential imaging before angular differential imaging (PCA-SADI), or vice-versa (PCA-ASDI). STIM maps (Pairet et al. 2018a) were used to identify significant features in our final images. Our PCA-ASDI image reveals a point source at roughly the same location as the recently claimed protoplanet, while our PCA-SADI image shows both azimuthally extended features at a similar radius as the companion, and the faint back-scattered light from the far side of the disc. Most features have counterparts in the SPHERE image, but a possible spiral arm or gap-crossing stream which appears connected to the location of the companion.

In order to better interpret the observed features and disentangle possible geometric biases inherent to the PCA-SADI and PCA-ASDI algorithms, we carried out a series of tests consisting in the post-processing of copies of our original data cube in which we injected either synthetic spirals (Section 3.3) or fake companions (Section 3.4). We concluded that PCA-SADI recovers better azimuthally extended features, while PCA-ASDI is more sensitive to the detection of faint companions (or at least of companions

with a similar spectrum as PDS 70 b), hence accounting for the differences in the PCA-SADI and PCA-ASDI images. Therefore, we recommend the use of both PCA-SADI and PCA-ASDI for the post-processing of similar IFS data sets in the future. We also encourage the systematic use of dedicated tests (such as injection of synthetic extended features) when ADI is used.

Based on our PCA-SADI/PCA-ASDI images and spiral injection tests alone, we cannot rule out the possibility that part of the signal from the location of the companion stems from a filtered extended structure. However, based on the independent ANDROMEDA detection at the location of PDS 70 b, we confirm that at least part of the signal comes from a point source. Furthermore, both the spectrum and multi-epoch astrometry presented in M18 argue in favour of an authentic protoplanet. We hence adapted both the negative fake companion technique and our PCA-ASDI algorithm to infer reliable estimates of the astrometry, and found the protoplanet to be at $r = 193.5 \pm 4.9\text{mas}$ (21.9 ± 0.6 au) and $\text{PA} = 158.7^\circ \pm 3.0^\circ$ in our images, consistent with the astrometry reported in K18 and M18 at other epochs. We then extracted the spectro-photometry of the companion using two independent techniques: our negative fake companion algorithm and ANDROMEDA. Both methods led to estimates of the contrast of the companion (with respect to the star) as a function of wavelength that are consistent with each other. However, the ANDROMEDA spectrum boasts both a higher spectral resolution and smaller uncertainties than the spectrum inferred by NEGFC (PCA-ASDI _{λ}), which we attribute to the ability of ANDROMEDA to ignore any bias from signals that are not point-like in nature. The NEGFC and ANDROMEDA spectra both agree with the SPHERE K1 photometric estimates obtained on 2016/05 and 2018/02, and the first epoch K2 measurement (K18, M18). However, the second epoch K2 measurement is slightly fainter than our estimates. A detailed spectral analysis will be presented in a forthcoming paper (Christiaens et al. 2019).

Finally, we also adapted our PCA-SADI and PCA-ASDI algorithm to set constraints on the Br γ line emission. Assuming a similar Br γ luminosity to accretion luminosity relationship as T-Tauri stars, our non-detection of Br γ emission sets a limit on the mass accretion rate of the companion: $\dot{M}_b < 1.26 \times 10^{-7} \left[\frac{5M_{\text{Jup}}}{M_b} \right] \left[\frac{R_b}{R_{\text{Jup}}} \right] M_{\text{Jup}} \text{yr}^{-1}$. Considering both our non-detection of Br γ and the tentative detection of H α emission, we infer that the visual extinction towards the companion should be smaller than ~ 3.0 mag.

From a technical perspective, we used the full potential of an image-slicer based IFS not originally conceived to reach extremely high contrasts, and obtained final images of similar quality as more dedicated extreme AO-fed instruments such as VLT/SPHERE. Our results suggest that future IFS with a similar design but fed with extreme adaptive optics systems or in space (e.g. JWST/NIRSPEC) may reach even higher contrasts.

ACKNOWLEDGEMENTS

VC is thankful to Sebastian Perez, Miriam Keppler, and Roy van Boekel for constructive discussions. VC also acknowledges Zachary Long and Mike Sitko for sharing their SpeX spectrum of PDS 70, and Alexander Heger for the use of Oxygen. VC and SC acknowledge support from the Millennium Science Initiative (Chilean Ministry of Economy) through grant RC130007. VC and OA acknowledge funding from the European Research Council under the European Union's Seventh Framework Programme (ERC Grant Agreement No. 337569). We also acknowledge funding from the Australian Research Council via DP180104235. This work was

supported by the Multi-modal Australian ScienceS Imaging and Visualisation Environment (MASSIVE) (www.massive.org.au).

REFERENCES

- Absil O., Mawet D., 2010, *A&A Rev.*, 18, 317
 Absil O. et al., 2013, *A&A*, 559, L12
 Amara A., Quanz S. P., 2012, *MNRAS*, 427, 948
 Andrews S. M., Wilner D. J., Espaillat C., Hughes A. M., Dullemond C. P., McClure M. K., Qi C., Brown J. M., 2011, *ApJ*, 732, 42
 Benisty M. et al., 2015, *A&A*, 578, L6
 Biller B. A. et al., 2014, *ApJ*, 792, L22
 Biller B. et al., 2012, *ApJ*, 753, L38
 Bonnet H. et al., 2004, in Bonaccini Calia D., Ellerbroek B. L., Ragazzoni R., eds, Proc. SPIE, Vol. 5490, *Advancements in Adaptive Optics*. p. 130
 Bottom M., Wallace J. K., Bartos R. D., Shelton J. C., Serabyn E., 2017, *MNRAS*, 464, 2937
 Bowler B. P., 2016, *PASP*, 128, 102001
 Brandt T. D. et al., 2017, *J. Astron. Telesc. Instrum. Syst.*, 3, 048002
 Calvet N., Muzerolle J., Briceño C., Hernández J., Hartmann L., Saucedo J. L., Gordon K. D., 2004, *AJ*, 128, 1294
 Cantalloube F. et al., 2015, *A&A*, 582, A89
 Casassus S., 2016, *PASA*, 33, e013
 Casassus S. et al., 2013, *Nature*, 493, 191
 Christiaens V., Casassus S., Perez S., van der Plas G., Ménard F., 2014, *ApJ*,
 Christiaens V. et al., 2018, *A&A*, 617, A37
 Christiaens V. et al., 2019, *ApJL*, in press
 Claudi R. U. et al., 2008, Proc. SPIE, 7014, 70143E
 Close L. M. et al., 2014, *ApJ*, 781, L30
 Currie T., 2019, *ApJL*, in press
 Currie T., Cloutier R., Brittain S., Grady C., Burrows A., Muto T., Kenyon S. J., Kuchner M. J., 2015, *ApJ*, 814, L27
 de Juan Ovelar M., Min M., Dominik C., Thalmann C., Pinilla P., Benisty M., Birnstiel T., 2013, *A&A*, 560, A111
 Dong R., Zhu Z., Rafikov R. R., Stone J. M., 2015, *ApJ*, 809, L5
 Dong R. et al., 2012, *ApJ*, 760, 111
 Eisenhauer F. et al., 2003, in Iye M., Moorwood A. F. M., eds, Proc. SPIE Vol. 4841, *Instrument Design and Performance for Optical/Infrared Ground-based Telescopes*. p. 1548
 Esposito T. M., Fitzgerald M. P., Graham J. R., Kalas P., 2014, *ApJ*, 780, 25
 Follette K. B. et al., 2017, *AJ*, 153, 264
 Gaia Collaboration, 2018, *A&A*, 616, A1
 Galicher R. et al., 2018, *A&A*, 615, A92
 Garufi A. et al., 2013, *A&A*, 560, A105
 Gomez Gonzalez C. A. et al., 2017, *AJ*, 154, 7
 Gregorio-Hetem J., Hetem A., 2002, *MNRAS*, 336, 197
 Gullbring E., Hartmann L., Briceño C., Calvet N., 1998, *ApJ*, 492, 323
 Hashimoto J. et al., 2012, *ApJ*, 758, L19
 Hashimoto J. et al., 2015, *ApJ*, 799, 43
 Hoesl H. J., Schwarz H., Snellen I. A. G., de Kok R. J., Bonnefoy M., Chauvin G., Lagrange A. M., Girard J. H., 2018, *A&A*, 617, A144
 Huang J. et al., 2018, *ApJ*, 869, L42
 Jensen-Clem R. et al., 2018, *AJ*, 155, 19
 Keppler M. et al., 2018, *A&A*, 617, A44 (K18)
 Keppler M. et al., 2019, *A&A*, preprint ([arXiv:1902.07639](https://arxiv.org/abs/1902.07639))
 Konopacky Q. M., Barman T. S., Macintosh B. A., Marois C., 2013, *Science*, 339, 1398
 Kraus A. L., Ireland M. J., 2012, *ApJ*, 745, 5
 Kuhn J. R., Potter D., Parise B., 2001, *ApJ*, 553, L189
 Lacour S. et al., 2016, *A&A*, 590, A90
 Lafrenière D., Marois C., Doyon R., Barman T., 2009, *ApJ*, 694, L148
 Lagrange A.-M. et al., 2010, *Science*, 329, 57
 Ligi R., 2018, *MNRAS*, 473, 1773
 Long Z. C. et al., 2018, *ApJ*, 858, 112
 Lyra W., Richert A. J. W., Boley A., Turner N., Mac Low M.-M., Okuzumi S., Flock M., 2016, *ApJ*, 817, 102
 Maire A.-L. et al., 2014, *A&A*, 566, A126
 Marois C., Macintosh B., Véran J.-P., 2010, in Ellerbroek B. L., Hart M., Hubin N., Wizinowich P. L., eds, Proc. SPIE Conf. Ser., 7736, *Adaptive Optics Systems II*. SPIE, Bellingham, p. 77361J
 Marois C., Lafrenière D., Doyon R., Macintosh B., Nadeau D., 2006, *ApJ*, 641, 556
 Marois C., Lafrenière D., Macintosh B., Doyon R., 2008, *ApJ*, 673, 647
 Mawet D. et al., 2014, *ApJ*, 792, 97
 Meshkat T. et al., 2015, *MNRAS*, 453, 2378
 Milli J., Mouillet D., Lagrange A.-M., Boccaletti A., Mawet D., Chauvin G., Bonnefoy M., 2012, *A&A*, 545, A111
 Muto T. et al., 2012, *ApJ*, 748, L22
 Müller A. et al., 2018, *A&A*, 617, L2 (M18)
 Owen J. E., 2016, *PASA*, 33, e005
 Pairet B., Cantalloube F., Gomez Gonzalez C. A., Absil O., Jacques L., 2018a, preprint ([arXiv:1810.06895](https://arxiv.org/abs/1810.06895))
 Pairet B., Cantalloube F., Jacques L., 2018b, preprint ([arXiv:1812.01333](https://arxiv.org/abs/1812.01333))
 Pinilla P., Benisty M., Birnstiel T., 2012, *A&A*, 545, A81
 Price D. J. et al., 2018, *MNRAS*, 477, 1270
 Quanz S. P., Amara A., Meyer M. R., Kenworthy M. A., Kasper M., Girard J. H., 2013, *ApJ*, 766, L1
 Quanz S. P., Schmid H. M., Geissler K., Meyer M. R., Henning T., Brandner W., Wolf S., 2011, *ApJ*, 738, 23
 Rafikov R. R., 2002, *ApJ*, 569, 997
 Rameau J., Chauvin G., Lagrange A.-M., Maire A.-L., Boccaletti A., Bonnefoy M., 2015, *A&A*, 581, A80
 Rameau J. et al., 2017, *AJ*, 153, 244
 Reggiani M. et al., 2014, *ApJ*, 792, L23
 Reggiani M. et al., 2018, *A&A*, 611, A74
 Riaud P., Mawet D., Absil O., Boccaletti A., Baudoz P., Herwats E., Surdej J., 2006, *A&A*, 458, 317
 Ruane G. et al., 2017, *AJ*, 154, 73
 Sallum S. et al., 2015, *Nature*, 527, 342
 Soummer R., Pueyo L., Larkin J., 2012, *ApJ*, 755, L28
 Sparks W., Ford H., 2002, *ApJ*, 578, 543
 Strom K. M., Strom S. E., Edwards S., Cabrit S., Skrutskie M. F., 1989, *AJ*, 97, 1451
 Thalmann C. et al., 2016, *ApJ*, 828, L17
 Thatte N., Abuter R., Tecza M., Nielsen E. L., Clarke F. J., Close L. M., 2007, *MNRAS*, 378, 1229
 Thiébaud É., Devaney N., Langlois M., Hanley K., 2016, Proc. SPIE, 9909, 99091R
 van der Marel N. et al., 2013, *Science*, 340, 1199
 Wagner K. et al., 2018, *ApJ*, 863, L8
 Wahhaj Z. et al., 2013, *ApJ*, 779, 80
 Wertz O., Absil O., Gómez González C. A., Milli J., Girard J. H., Mawet D., Pueyo L., 2017, *A&A*, 598, A83
 Wolff S. G., Perrin M. D., Maire J., Ingraham P. J., Rantakyö F. T., Hibon P., 2014, Proc. SPIE, 9147, 91477H
 Zhu Z., Dong R., Stone J. M., Rafikov R. R., 2015, *ApJ*, 813, 88

APPENDIX A: SNR MAPS VERSUS STIM MAPS

Despite a widespread use of advanced differential imaging techniques in the HCI community, assessing the significance of signals found in post-processed images remains challenging and depends on assumptions regarding the statistics of residual speckle intensities (e.g. Marois et al. 2008; Mawet et al. 2014; Jensen-Clem et al. 2018). Mawet et al. (2014) suggested to compute the signal-to-noise ratio (SNR) at each pixel as the ratio between the flux measured in a FWHM-wide aperture at the pixel location and the standard deviation of the fluxes measured in independent FWHM-wide apertures at the same radial separation, corrected by a radius-dependent factor reflecting small sample statistics (Student t statistics). SNR_{*t*} maps computed in such a way are now routinely

used. However, they assume the presence of at most one point source at each radial separation from the star. In the case of PDS 70, the bright, well-characterized, edge of the outer disc extends over a continuous range of projected radii from the NW to the S of the star (Hashimoto et al. 2012, K18, M18). Furthermore, some additional extended features might also stem from within the large annular gap (M18). These bright extended features affect the flux measurements in the independent apertures, and hence artificially increase the noise level estimated at each radius. As a consequence, according to the classical SNR_t maps corresponding to Figs 1(a) and (b) (Figs A1 a and b, resp.), no significant signal ($\text{SNR} > 5$) is present in our images, despite the presence of visually conspicuous signals coinciding with features previously identified in different data sets.

Therefore, we adapted the classical SNR_t definition in order to estimate a more appropriate SNR map in the presence of extended disc features in the image. Instead of estimating the noise at each radius using independent apertures in the final image, we consider the same apertures but in the image obtained using the *opposite* values of parallactic angles for derotation of the residual frames. The images produced in such a way preserve the time dependence of residual speckle, while not constructively adding authentic circumstellar signal (point-like or extended), and are hence good proxies of the residual speckle noise level in the image (e.g. Marois et al. 2008; Wahhaj et al. 2013). Contrary to the classical SNR_t maps, these $\text{SNR}_{t, \text{opp}}$ maps now suggest that parts of the forward-scattered edge of the outer disc are significant (Figs A1 c and d). Nonetheless, this procedure still suffers from the small number of independent apertures at short radial separation.

An alternative way to assess the significance of signals in HCI post-processed images is to use standardized trajectory intensity mean (STIM) maps (Pairet et al. 2018a). STIM maps leverage the temporal variation of pixel intensities resulting from the trajectory of speckles in the derotated cube of residual images (i.e. the cube of images obtained after PCA modelling and subtraction, and subsequent alignment with North up and East left). These 2D detection maps are defined as $\mu(x_{i,j})/\sigma(x_{i,j})$, where $\mu(x_{i,j})$ and $\sigma(x_{i,j})$ are the mean and standard deviation of trajectory $x_{i,j}$ (i.e. the

transversal slice at location (i, j)) throughout the derotated cube of residual images, respectively. Pixels containing authentic circumstellar signals (disc or planet) present a significantly higher value of $\mu(x_{i,j})/\sigma(x_{i,j})$ than pixels with no circumstellar flux contribution, due to (1) the relatively more constant (and higher) intensity of pixels containing circumstellar signal, and (2) the relatively higher standard deviation for pixels devoid of circumstellar signal due to the trajectory of speckles crossing these pixels in the derotated cube. By construction, STIM maps address the two main drawbacks of applying SNR_t maps to our PDS 70 images: the presence of bright circumstellar signals spanning a range of radii and small number of independent apertures at short separation. The original definition of STIM maps was made in the context of 3D ADI data cubes (Pairet et al. 2018a). However, it is conceptually similar to apply it to SDI data; the trajectories are only radial instead of azimuthal. Our STIM maps for PCA-SADI and PCA-ASDI are thus computed on the (3D) cube of residual images obtained after the second PCA (ADI or SDI, resp.), for which the only change compared to the original STIM map definition is that it benefitted from a better whitening of the noise than using only a single PCA-ADI or PCA-SDI. They are shown in Figs 1(c) and (d), and A1(e) and (f).

In order to interpret the STIM maps and identify significant features, it is necessary to compute a STIM map using opposite parallactic angles (hereafter referred to as *inverse STIM map*). As explained for the $\text{SNR}_{t, \text{opp}}$ map, the inverse STIM map is expected to be a good proxy of the residual speckle noise in the (correctly derotated) STIM map. Fig. A2 shows the cumulative fraction of pixel values in the inverse STIM maps for PCA-SADI ($n_{\text{pc}}^{\text{SDI}} = 1$; $n_{\text{pc}}^{\text{ADI}} = 1$) and PCA-ASDI ($n_{\text{pc}}^{\text{ADI}} = 7$; $n_{\text{pc}}^{\text{SDI}} = 1$). Pairet et al. (2018a) suggested to use this kind of plot to identify the threshold pixel value above which signal is very unlikely to stem from the random combination of residual speckle in the (correctly derotated) STIM maps. In view of the cumulative fraction distributions, we consider threshold values of 1.1 and 1.8 for the PCA-SADI ($n_{\text{pc}}^{\text{SDI}} = 1$; $n_{\text{pc}}^{\text{ADI}} = 1$) and PCA-ASDI ($n_{\text{pc}}^{\text{ADI}} = 7$; $n_{\text{pc}}^{\text{SDI}} = 1$) STIM maps, resp. (Figs 1 c and d, resp.).

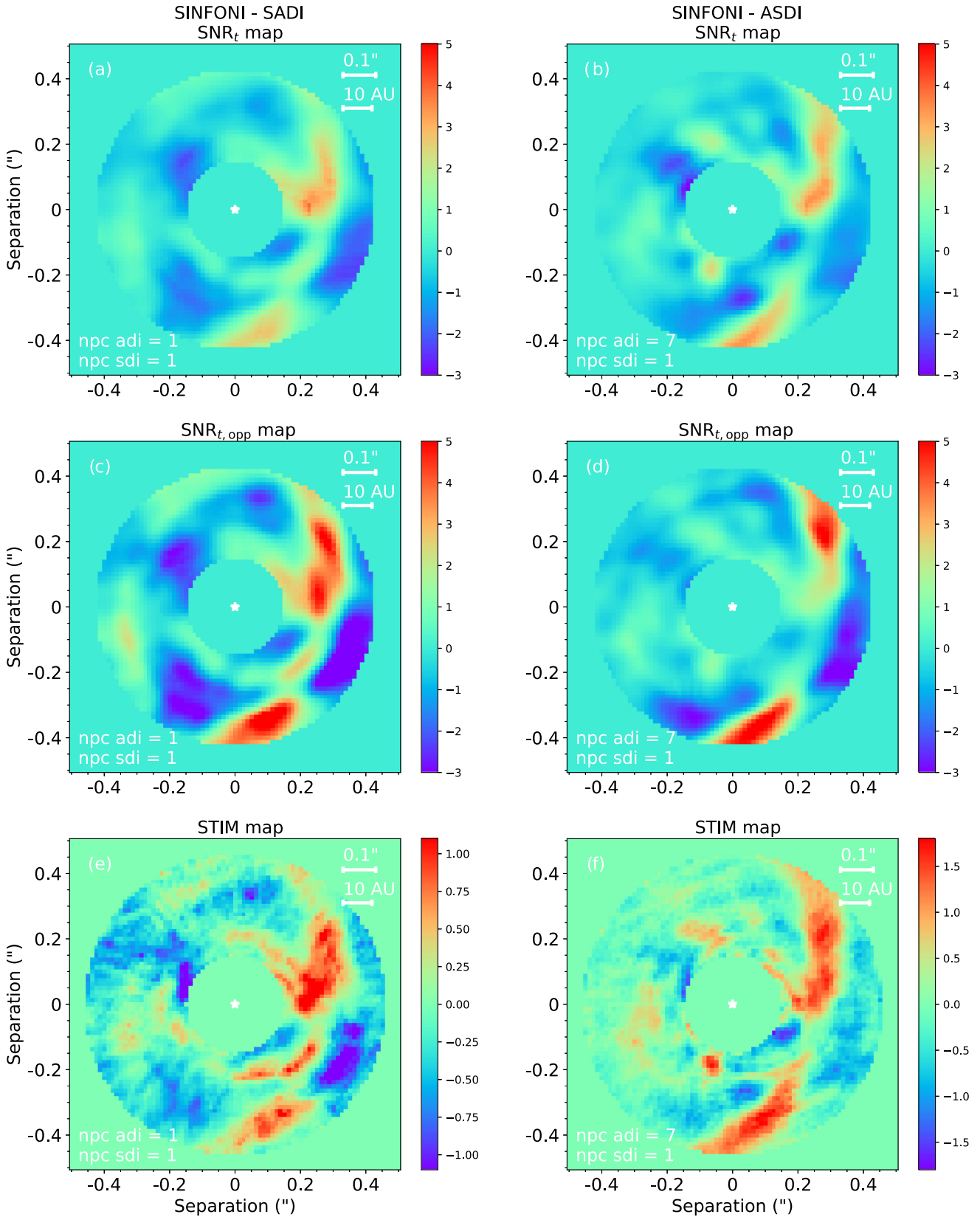


Figure A1. Comparison between the SNR_t maps (top row; Mawet et al. 2014), SNR_{t,opp} maps (middle row) and STIM maps (bottom row; Pairet et al. 2018a) for the best PCA-SADI and PCA-ASDI reductions. SNR_{t,opp} maps are similar to SNR_t maps, except that the independent apertures used to estimate the noise at each radial separation are considered in the image obtained using opposite derotation angles. The latter can be considered a proxy of the residual speckle noise since no authentic signal adds up constructively while the time dependence of residual speckle is preserved. In contrast to SNR maps, STIM maps are not limited by the lack of independent apertures at short separation for the estimation of the local residual speckle noise.

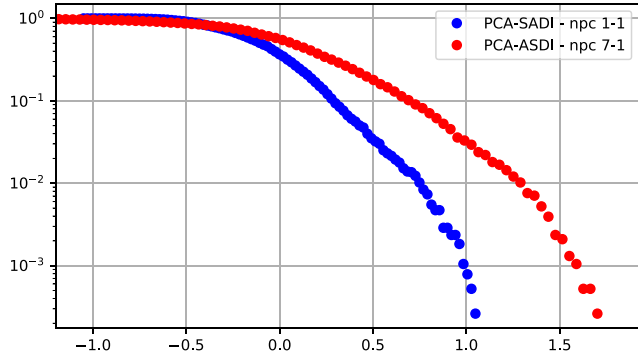


Figure A2. Cumulative fraction of pixel values in the inverse STIM maps obtained with PCA-SADI ($n_{\text{pc}}^{\text{ADI}} = 1$; $n_{\text{pc}}^{\text{SDI}} = 1$) and PCA-ASDI ($n_{\text{pc}}^{\text{ADI}} = 7$; $n_{\text{pc}}^{\text{SDI}} = 1$). The plot suggests that 1.1 and 1.8 are appropriate thresholds for the STIM map colour scales shown in Figs 1 c and d, respectively.

APPENDIX B: RELIABILITY OF SPECTRO-ASTROMETRY INFERRED BY NEGFC

Wertz et al. (2017) proposed to estimate the astrometric errors associated to residual speckle noise by injecting a statistical number of fake companions at the same radial separation and contrast as the companion but at different PAs, and measuring the deviations between parameters used for injection and NEGFC-inferred parameters. We followed the same method and injected 42 fake companions in separate copies of our original cube where the protoplanet was subtracted using the best estimates of r , PA and contrast(λ) inferred in Section 4.1.1. Given the bright forward scattered edge of the disc to the W and SW of the image, we only injected the fake companions between PA = -30° and PA = 170° , at 10° intervals, at the same radial separation as the protoplanet. We carried out the NEGFC optimization for eight values of $n_{\text{pc}}^{\text{ADI}}$ (from 3 to 10 included), for which the companion was visually conspicuous in the final image (Fig. 2). We then found the optimal $n_{\text{pc}}^{\text{ADI}}$ that minimized the mean deviation between the true (r , PA) used for the injection and the NEGFC estimates for the 42 fake companions. Then, we considered the standard deviation of these 42 deviations as the astrometric uncertainty associated to residual speckle noise. We found uncertainties of 4.8 mas and 2.9° , for r and PA respectively.

To ensure that the spectrum inferred for the companion is not distorted by the PCA-ASDI $_{\lambda}$ algorithm, we followed a similar procedure as above for the inferred contrast. Given the prior lack of knowledge of the optimal value of $n_{\text{pc}}^{\text{ADI}}$, we injected 42 fake companions at the median contrast as a function of wavelength inferred by NEGFC (PCA-ASDI $_{\lambda}$) for the authentic companion for values of $n_{\text{pc}}^{\text{ADI}}$ ranging in [3, 10]. Then, we applied NEGFC (PCA-ASDI $_{\lambda}$) to estimate the spectrum of each of the fake companions. We considered either a median collapse or a variance-weighted average of residual images, and noticed a slight decrease of deviations between recovered and injected spectra using the variance-weighted average. For each binned spectral channel, we found the optimal $n_{\text{pc}}^{\text{ADI}}$ that minimized the median deviation between the true injected flux and the NEGFC estimates of the flux for the 42 fake companions. This optimal $n_{\text{pc}}^{\text{ADI}}$ was then used to infer the final contrast as a function of wavelength of the companion (Fig. 8). The error bars in Fig. B1 are the standard deviation of the deviations between the injected and estimated contrast(λ) using the optimal

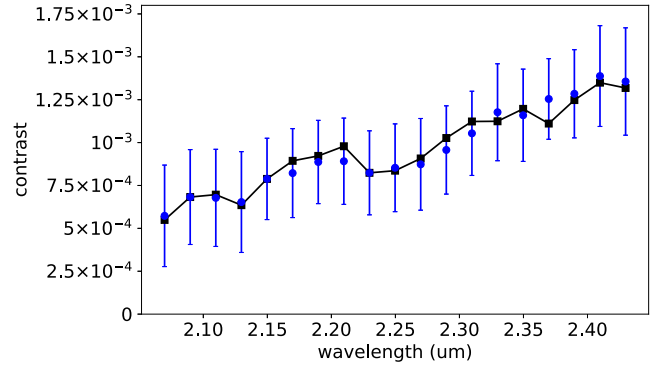


Figure B1. *Black squares:* spectrum used for the injection of fake companions (same as inferred for protoplanet PDS 70 b). *Blue circles:* Median flux inferred by NEGFC (PCA-ASDI $_{\lambda}$) over the 42 injected fake companions, for the number of principal components that minimize the deviations. Error bars represent the standard deviation of the deviations between the injected and estimated contrast(λ) with the optimal $n_{\text{pc}}^{\text{ADI}}$.

$n_{\text{pc}}^{\text{ADI}}$ for each channel, and are also used in Fig. 8. Fig. B1 shows that the spectrum of fake companions inferred by NEGFC (PCA-ASDI $_{\lambda}$) is consistent with the true injected spectrum, for all binned spectral channels.

APPENDIX C: THE ASDI+BR γ AND SADI + BR γ ALGORITHMS

ASDI + Br γ is similar to what is referred to as ASDI in Close et al. (2014) and SDI + in Wagner et al. (2018), with the difference that we correct for algorithmic flux losses in each channel by measuring the throughput with injected fake companions. Our detailed procedure is as follows:

(i) We first extracted a raw spectrum of the star using 1-FWHM apertures in the median $H + K$ spectral cube before ADI processing. No stellar emission line standing out from the continuum was found around the Br γ line, but we found an absorption line slightly shortward (at $\sim 2.161 \mu\text{m}$).

(ii) We defined our *line channels* as all spectral channels lying within four spectral FWHM from the centre of the Br γ line, where the assumed Gaussian spectral FWHM of the line was varied between 7.5, 15 and 30 \AA (i.e. we considered 6, 12 and 24 spectral channels). An identical number of *continuum channels* were considered directly longward from the last line channel (to avoid the stellar absorption line at shorter wavelength).

(iii) The continuum channels were rescaled both physically and in flux to match the size and flux of a weighted average of the line channels. The weights followed a Gaussian centred on the Br γ line, with the three tested values of line FWHM, and were normalized to 1.

(iv) We applied PCA-ADI in concentric annuli, on both the line and continuum channels, individually.

(v) In each channel, we estimated the throughput of the algorithm as a function of radial separation. This was obtained at each radial separation with the injection of seven fake companions at different azimuths, considering the throughput as the median throughput value out of those seven flux ratio measurements (the algorithmic throughput is the ratio of the retrieved flux to the injected flux).

(vi) We divided the PCA-ADI frames by the throughput map computed in the previous step.

(vii) The Br γ image, $I_{\text{Br}\gamma}$, was calculated as a weighted average of the PCA-ADI frames of the line channels (Fig. 9a). The weights were the same as in step (iii). The continuum image, I_{cont} , was computed as the median of the PCA-ADI frames of the continuum channels (Fig. 9b).

(viii) We obtained the $ASDI + Br\gamma$ image (Fig. 9c) by subtracting the continuum image from the Br γ image: $I_{\text{ASDI} + \text{Br}\gamma} = I_{\text{Br}\gamma} - I_{\text{cont}}$.

(ix) Steps (i) to (viii) were repeated varying the number of principal components ($n_{\text{pc}}^{\text{ADI}}$) between 1 and 10. A lower $n_{\text{pc}}^{\text{ADI}}$ led to more correlated residual speckle noise between spectral channels, but a larger $n_{\text{pc}}^{\text{ADI}}$ produced a better raw contrast in each PCA-ADI image. We determined the value of $n_{\text{pc}}^{\text{ADI}}$ that optimized sensitivity for Br γ line emission at the location of the companion to be seven, as this value minimized the throughput-corrected noise at the radial separation of the companion in $I_{\text{ASDI} + \text{Br}\gamma}$.

By contrast, $SADI + Br\gamma$ is similar to what is referred to as $ASDI$ in Rameau et al. (2015). Our detailed procedure was as follows:

(i) We applied steps (i) to (iii) defined for the $ASDI + Br\gamma$ algorithm.

(ii) We calculated the pre-ADI Br γ image as a weighted average of the line spectral channels. Similarly, the pre-ADI continuum

image is computed as the median of the continuum spectral channels.

(iii) For each spectral cube of our observing sequence, the pre-ADI continuum image was subtracted from the pre-ADI Br γ image. This led to a 3D (ADI) cube consisting of continuum subtracted Br γ images.

(iv) PCA-ADI was applied to that cube in concentric annuli. We also applied PCA-ADI on the continuum and (non-continuum subtracted) Br γ cubes.

(v) We estimated the throughput of PCA-ADI as in step v of the $ASDI + Br\gamma$ algorithm.

(vi) We divided the PCA-ADI frames by the throughput map computed in the previous step. This led to a final $SADI + Br\gamma$ image (Fig. 9f), and to both continuum and (non-continuum subtracted) Br γ PCA-ADI images (I_{cont} and $I_{\text{Br}\gamma}$, Figs 9 d and e, resp.), all of which were throughput-corrected.

(vii) We repeated the process (steps i to vi) for different $n_{\text{pc}}^{\text{ADI}}$ until we found the value of $n_{\text{pc}}^{\text{ADI}}$ that minimizes the throughput-corrected noise. The optimal $n_{\text{pc}}^{\text{ADI}}$ for $SADI + Br\gamma$ was 2.

This paper has been typeset from a T \LaTeX /L \LaTeX file prepared by the author.