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The Resilience Of Cuprous Oxide Under Oxidizing Thermal Treatments Via Magnesium Doping

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Abstract

This study reports the influence of the magnesium incorporation into cuprous oxide (Cu_2O) on its transformation into cupric oxide (CuO). Thermal treatments under oxidizing conditions are performed on undoped and magnesium-doped cuprous oxide thin films, Cu_2O and $\text{Cu}_2\text{O}:\text{Mg}$ respectively, deposited by aerosol-assisted metal organic chemical vapour deposition. The oxidation kinetics of these films shows a slower rate in the $\text{Cu}_2\text{O}:\text{Mg}$ system, since the complete oxidation into CuO occurs at a higher temperature when compared to undoped Cu_2O . The increased stability of $\text{Cu}_2\text{O}:\text{Mg}$ can be explained by the inhibition of the formation of split copper vacancies, the defect most frequently associated with the CuO nucleation. Annealing treatments performed on Cu_2O thin films provide new insights on the dopant influence on the mechanism to generate simple and split copper vacancies as well as the transformation of Cu_2O into CuO .

1. Introduction

Cuprous oxide, Cu_2O , is considered as a suitable oxide material for all-oxide photovoltaic applications^{1,2} due to its direct bandgap of 2.17 eV and intrinsic p-type semiconducting behaviour.³ The main mechanism for the p-type conduction of cuprous oxide is the generation of copper vacancies, V'_{Cu} ,⁴ considered as the preferential defect for the creation of holes when compared to oxygen interstitials, O_i'' . These copper vacancies can be formed by the oxidation of Cu_2O , which leads to a displacement of a Cu atom, leaving a negatively charged vacancy. As a consequence, a hole is introduced in the valence band, associated to an acceptor level between 0.2 and 0.6 eV above this band.^{5,6}

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3 However, the exact nature of this level is not yet completely understood. Indeed, two
4 types of copper vacancies can exist in Cu_2O : the simple copper vacancy, V'_{Cu} , related to the
5 removal of one Cu atom, resulting in two O atoms coordinated by three Cu neighbours, or the
6 split copper vacancy $V_{\text{Cu}}^{\text{split}}$, in which the Cu exit is followed by a displacement of a neighbouring
7 Cu atom towards the vacancy. In the latter case, the Cu atom moves into a tetrahedral site with
8 four neighbouring O atoms around. This four-fold Cu coordination is similar in CuO. This split
9 vacancy also promotes the generation of a highly localized hole, which can lead to a change of
10 the oxidation state of the shifted Cu atom, from Cu^+ to Cu^{2+} .⁷

11
12 The creation of these two copper vacancies in Cu_2O films is frequently reported in
13 literature after post-deposition annealing treatments under oxidizing conditions.⁸⁻¹⁰ At
14 temperatures below 300°C , Cu_2O thin films show a decrease of the resistivity down to values
15 as low as $100 \Omega\cdot\text{cm}$, due to an increase of the p-type charge carrier concentration which can
16 reach up to 10^{16} cm^{-3} .^{8,11} Nevertheless, the use of high temperature treatments in oxygen
17 atmosphere also promotes the transition from Cu_2O thin films into cupric oxide CuO,^{8-10,12}
18 which starts at temperatures ranging from 250 to 350°C . The cupric oxide phase presents a
19 bandgap of 1.35 eV at room temperature,¹³ which is related to a darkening of the films.¹⁰ The
20 conversion of Cu_2O into CuO is attributed to the diffusion of copper to the surface, which
21 consequently generates copper vacancies in the bulk material, where $V_{\text{Cu}}^{\text{split}}$ sites are suggested
22 as nucleation centres for CuO.⁶ Additionally, a direct oxidation of Cu^+ to Cu^{2+} at the surface of
23 the films can promote the formation of CuO, when exposed to moisture and under solar
24 limitation.¹⁴ Recent studies reported the generalization of the presence of CuO at the grain
25 boundaries of Cu_2O , when deposited by different techniques, leading to a reduction of the
26 electrical performances of cuprous oxide for solar cells applications.¹⁵⁻¹⁷ In a study of all-oxide
27 solar cells, both types of copper-based oxides were compared as photovoltaic p-type absorbing
28 layer, combined with ZnO as n-type counterpart.¹⁸ For the CuO case, a short-circuit current
29 density (J_{SC}) below $0.5 \text{ mA}\cdot\text{cm}^{-2}$ was obtained, due to a high series resistance, while in the Cu_2O
30 case, the J_{SC} reaches $3.5 \text{ mA}\cdot\text{cm}^{-2}$. Still, the maximum efficiency of a functional solar cell was
31 4.12% and a maximum short-circuit current density of $11.42 \text{ mA}\cdot\text{cm}^{-2}$ for an intrinsic
32 ZnO/ Cu_2O junction.^{19,20}

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34 The use of dopants was suggested as a way to obtain an n-type Cu_2O and create
35 homojunction solar cells with an intrinsic p-type counterpart. Fluorine-doped Cu_2O thin films
36 were integrated in a solar cell, with an efficiency still low, below 0.5% , due to a low open-
37 circuit voltage.²¹ Though, dopants are more commonly used to improve the p-type conductivity
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of Cu_2O , aiming for its application in high efficient all-oxide solar cells. The incorporation of sodium reduced drastically the resistivity of Cu_2O to $10^{-2} \Omega\cdot\text{cm}$,²² which consequently allowed an increase of 8.1% maximum efficiency in this Cu_2O -based solar cells, in a $\text{MgF}_2/\text{ZnO}:\text{Al}/\text{Zn}_{0.38}\text{Ge}_{0.62}\text{O}/\text{Cu}_2\text{O}:\text{Na}$ heterojunction.²³ This record of efficiency was achieved by integrating thermal oxidized Cu_2O , which is unsuitable with respect to industrial-level exploitation of low cost solar cells, since it requires temperatures above 1000 °C.²⁴ Other dopants as nitrogen^{25,26} and strontium^{27,28} reduced the resistivity values to 1 $\Omega\cdot\text{cm}$ and increased the hole density up to 10^{17} cm^{-3} in Cu_2O thin films grown by sputtering or chemical vapour deposition, respectively. The incorporation of magnesium in Cu_2O likewise showed a strong effect on the resistivity, reducing it to 7 $\Omega\cdot\text{cm}$ by increasing the charge carrier density up to $8.1 \times 10^{17} \text{ cm}^{-3}$.²⁹ Theoretical studies propose the use of magnesium as a Cu_2O dopant in order to change the direct band-gap and the vacancy formation in the material.^{6,30} Magnesium-doped Cu_2O was already used to create solar cells using TiO_2 as n-type semiconductor;³¹ in this case, the presence of the dopant increased the photo-conductivity of cuprous oxide by reducing the formation of split vacancies. However, the thermal stability of $\text{Cu}_2\text{O}:\text{Mg}$ films has not been so far evaluated after post-annealing treatments.

In this study, Cu_2O and Mg-doped Cu_2O thin films were thermally annealed in air at temperatures ranging from 250 °C to 500 °C. We analysed the effect of Mg incorporation on the stability of the Cu_2O phase and on the formation of the CuO parasitic phase via the analysis of the morphological and structural properties of the films.

2. Experimental

2.1. Film deposition

A butanol-based solution containing the precursor was used for the deposition by Aerosol-Assisted Metal Organic Chemical Vapour Deposition (AA-MOCVD or AACVD). The total concentration of the solution was fixed at 30 mM in all cases. The first solution was composed of pure copper acetylacetonate, $\text{Cu}(\text{acac})_2$ [Sigma Aldrich], while in the second one magnesium acetylacetonate, $\text{Mg}(\text{acac})_2$ [Sigma Aldrich], was added to the solution. The $\text{Mg}/(\text{Mg}+\text{Cu})$ concentration ratio in the later solution was fixed at 33% at to produce sufficiently low-resistivity materials as seen in our previous study.²⁹ Ethylendiamine [$\text{C}_2\text{H}_8\text{N}_2$, Sigma Aldrich] with a concentration of 40 mM was added to increase the solubility of the chemical precursor. The temperature of the substrates, Corning glass 1737 and p-type silicon wafer, was fixed at 350 °C. The deposition time was 3 hours and the solution consumption rate was 1.5

mL.min⁻¹ with an Argon flow of 6 L.min⁻¹ and O₂ flow of 2.5 L.min⁻¹, resulting in an O₂ content of 29%.

The study of the stability of the grown films under thermal annealing was performed on a hot-plate exposed to air. Three temperature (T_a) were chosen for the annealing treatment: 250 °C, 350 °C and 450 °C, all for 30 minutes, while the heating and cooling rates were kept constant at 10°C/min. One additional annealing treatment at 500 °C for 30 minutes was specially performed for the XANES and EXAFS film analysis.

2.2. Characterization techniques

Grazing incidence X-ray diffraction (GI-XRD) patterns were collected using a Bruker D8 Advance diffractometer and the Cu K_{α1} radiation with an incidence angle of 0.5°. This configuration in grazing incidence was used for higher sensitivity with respect to phases present in lower proportions, compared with the more standard Bragg-Brentano configuration. Raman spectroscopy was carried out at room temperature with a Jobin Yvon/Horiba LabRam spectrometer equipped with a liquid nitrogen-cooled charge-coupled device detector. The spectra were collected in the 50 to 1800 cm⁻¹ frequency range. The 488 nm blue line of an Ar⁺ laser was used as the exciting line with a power of 0.4 mW at the sample surface. The laser was focused to a spot size close to 1 μm² by using a 100x objective. Scanning Electron Microscopy (SEM) and Energy-dispersive X-ray spectroscopy (EDS) were conducted in a FEI Quanta 250 FE-ESEM tool, with an energy beam of 5000 eV to quantify the different elements in the film. The Cu L_α and Mg K_α lines occur at 930 eV and 1253 eV in the EDS spectra, respectively. Cross-section images were used to measure the film thickness. X-ray photoelectron spectroscopy (XPS) was performed using a K-alpha spectrometer, from Thermo Scientific, with an Al K_{α1,2} (1486.6 eV) X-ray source, analysing Cu 2p peak between 925 eV to 965 eV. The X-ray Absorption Spectroscopy (XAS) was conducted at the French CRG “FAME” beamline, BM30B at the European Synchrotron Radiation Facility (ESRF), obtaining the spectra at the Cu K-edge (89789 eV). *Athena* software enabled the treatment of the raw data for both X-ray Absorption Near Edge Structure (XANES) and Extended X-ray Absorption Fine Structure (EXAFS) results.³²

3. Results

The as-deposited films were routinely characterized in order to quantify the Mg content, thickness and resistivity of the films (Table 1). Both Cu₂O and Cu₂O:Mg as-deposited films presented a yellow colour under ambient light (see Figure S1 in Supporting Information).

Table 1 General properties of as-deposited Cu_2O and $\text{Cu}_2\text{O}:\text{Mg}$ films for the stability study

Sample	Mg/(Mg+Cu) in solution (% at)	Mg/Mg+Cu in film (% at)	Thickness (nm)	Resistivity ($\Omega\cdot\text{cm}$)
Cu_2O	0	0	244±10	178±20
$\text{Cu}_2\text{O}:\text{Mg}$	33	18±1	183±20	80±15

The films were then cut in four different specimen and three different specimens of each film were annealed at 250 °C, 350 °C and 450 °C for 30 minutes in air. The temperature evolution of the Cu_2O and $\text{Cu}_2\text{O}:\text{Mg}$ diffraction patterns obtained by GI-XRD is presented in Figure 1. Only the diffraction peaks corresponding to the Cu_2O phase are detected in the as-deposited film and in the film annealed at 250°C for both undoped and Mg-doped films. When the annealing temperature increases to 350°C, two additional diffraction peaks are clearly detected at 35.5 ° and 38.7° for the undoped thin film. They can be attributed to the (11-1) and (111) reflections of the CuO monoclinic phase, respectively. At the same temperature, only a small diffraction peak at 38.7° attributed to the (111) CuO phase is detected in the Mg-doped film. After annealing at 450°C, the undoped film pattern is mainly composed of ten diffraction peaks associated to the CuO phase and weak residual peaks of Cu_2O at 36.4°, 42.3° and 61.3° corresponding to the (111), (200) and (220) reflections. As for the $\text{Cu}_2\text{O}:\text{Mg}$ film, only three CuO diffraction peaks are detected although the (111) Cu_2O reflection remains the most intense one. It is worth noting that this reflection has been shifted from its original and theoretical position at 36.42° to 36.84°. This result gives evidence of a modification of the lattice parameter from 4.270 Å to 4.226 Å. No significant shift of the (111) Cu_2O reflection was observed for other samples annealed at lower temperatures.

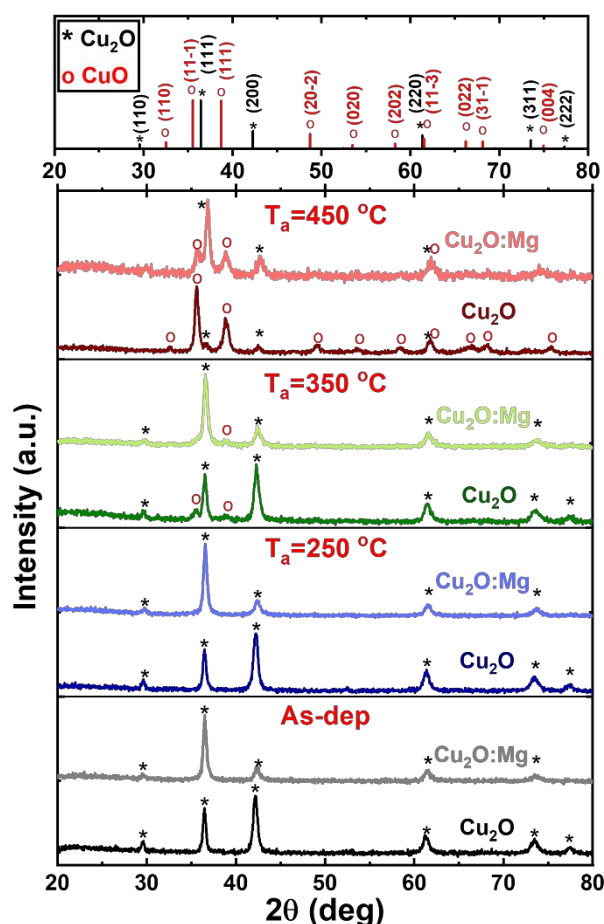


Figure 1 GI-XRD patterns of undoped and Mg-doped Cu_2O thin films deposited on glass corresponding to the as-deposited and annealed samples (250, 350 and 450°C). The reference patterns of Cu_2O (cubic, space group $Pn-3m$, JCPDS n° 04-007-9767) and CuO (monoclinic, space group $C2/c$, ICDD n° 00-048-1548) are shown at the top. Cu_2O and CuO reflections are marked with * and $^{\circ}$, respectively.

Raman spectroscopy was used to clarify the early stage of the phase transformation in these sets of samples. This technique is complementary to XRD analysis as it allows a local analysis. Raman spectra of as-deposited and annealed Cu_2O and $\text{Cu}_2\text{O}:\text{Mg}$ thin films are shown in Figure 2. In agreement with XRD results and independently of the doping, Raman spectra of as-deposited and 250°C annealed films are only composed of lines characteristic of the Cu_2O phase, at 109, 149, 190, 217, 298, 417, 496, 646 and 798 cm^{-1} .²⁷ A decrease of the lines intensity is observed for the doped film in comparison with the undoped one. After annealing at 350°C, the Raman spectrum of the $\text{Cu}_2\text{O}:\text{Mg}$ film appears unchanged whereas one additional line is barely detected at 348 cm^{-1} for the undoped film. It can be assigned to the B_g^1 mode of CuO . The CuO Raman spectrum is composed of three modes at 296 cm^{-1} (A_g), 350 cm^{-1} (B_g^1) and 632 cm^{-1} (B_g^2)^{33,34} and the peak at 350 cm^{-1} is the only one which can be used to differentiate CuO from Cu_2O , since the two other ones have positions very close to Cu_2O modes. After annealing at 450°C, the spectrum of the undoped film only contains the three CuO modes whereas in the case of $\text{Cu}_2\text{O}:\text{Mg}$, the B_g^1 CuO mode occurs in addition to the Cu_2O lines, which are still present

and form the majority of the detected Raman modes. It must be noted that a wide fluorescence band is systematically observed in spectra of the Mg-doped films whatever the as-deposited or annealed state. It can be related to electronic defects in the films rather than to organic residues coming from the precursors, which would be decomposed at such annealing temperatures.

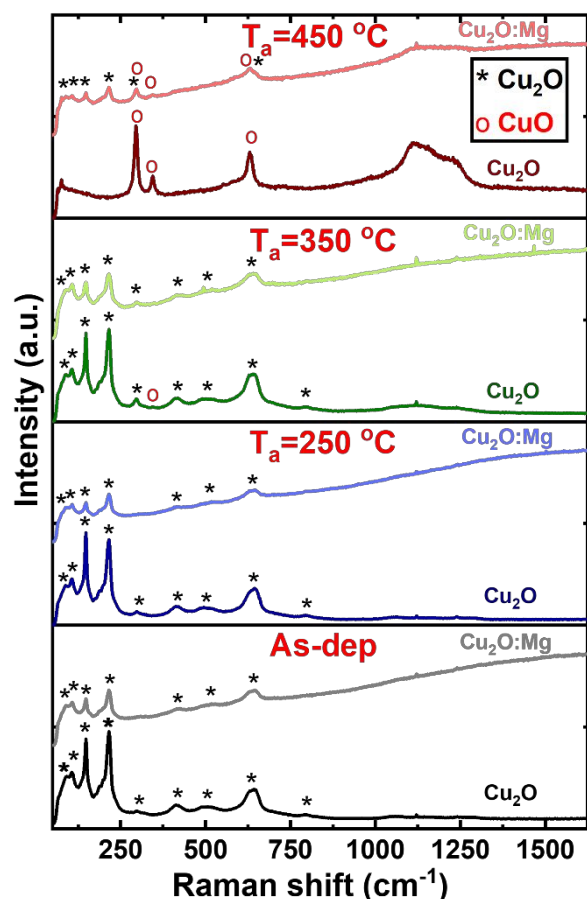


Figure 2 Raman spectra of the undoped and Mg-doped Cu_2O thin films deposited on glass corresponding to the as-deposited and annealed samples (250, 350 and 450°C). Cu_2O and CuO peaks are marked with * and °, respectively.

In order to evaluate the doping effect on the film morphology, SEM observations of the undoped and doped as-deposited and annealed films were carried out. The SEM images corresponding to the undoped Cu_2O films are shown in Figure 3 a) to d). The cross-section of the as-deposited film in the inset of Figure 3 a) shows a homogeneous layer with an average thickness of 244 ± 10 nm. This film observed in top-view, Figure 3 a), shows a porous surface with hardly visible grains, which remains unchanged after annealing at 250°C (Figure 3 b)). The increase of the annealing temperature to 350°C led to film changes: small grains are now visible and the porosity seems to be reduced (Figure 3c)). The film annealed at 450°C shows larger grains with an average size in the 100 nm range, forming a rougher structure when

compared to previous samples (Figure 3d)). The observation of the formation and growth of new grains is consistent with the formation of the CuO phase on top of the Cu₂O thin films.

SEM micrographs of Mg-doped Cu₂O films are presented in Figure 3 e) to h). The cross-section of the as-deposited film in the inset of Figure 3e) shows a rougher and more irregular layer in comparison with the undoped film, with an average thickness of 183±20nm. This uneven morphology was also confirmed in the top view image, where a rough surface with larger grains is visible (Figure 3 e)) and is retained after annealing at 250°C (Figure 3 f)). This effect of the dopant has been already observed for Sr-doped and Mg-doped Cu₂O thin films.^{27,29} When the thermal treatment is performed at 350°C (Figure 3 g)), small grains with sizes smaller than 100 nm start to appear on the top of the original morphology, covering 26% of the film surface. The image quantification was obtained by using *ImageJ* software (see Figure S2 in Supporting Information). The film annealed at 450°C shows its surface completely covered with grains of an approximate size below 100nm (Figure 3 h)), smaller than in the undoped Cu₂O films. The uniform distribution of the small grains on the film surface maintains a roughness similar to that obtained for the Cu₂O:Mg as-deposited thin film.

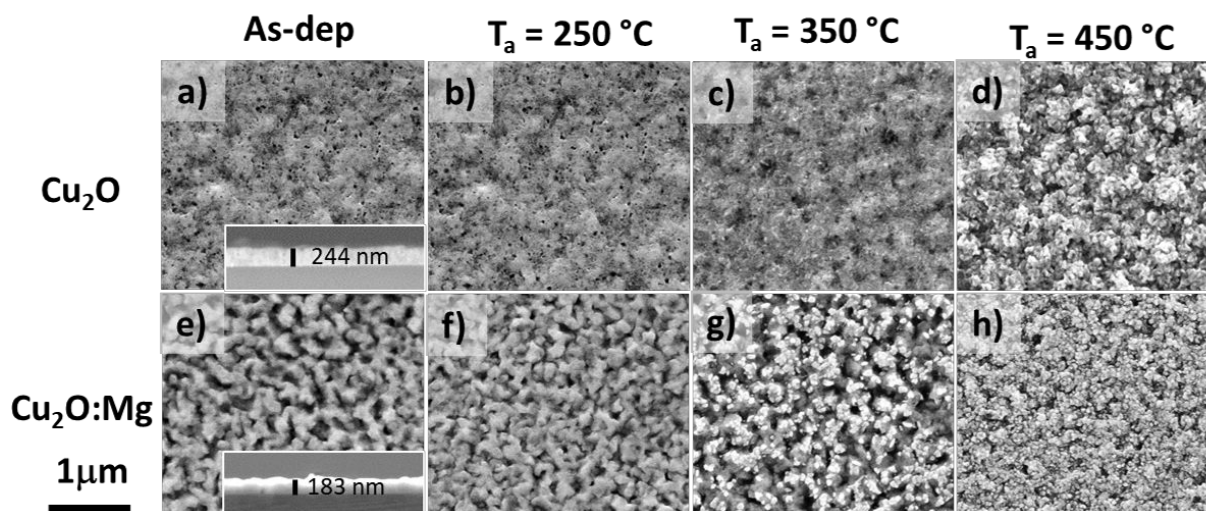
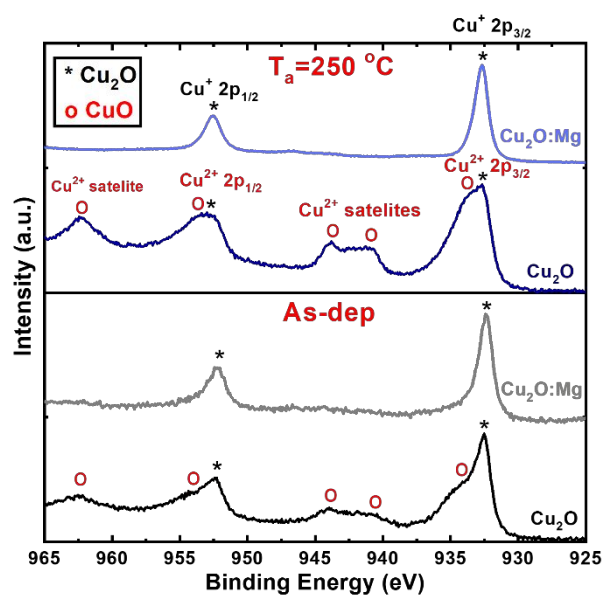


Figure 3 SEM micrographs of Cu₂O and Cu₂O:Mg thin films. Top views of as-deposited film with cross-section in inset: Cu₂O in a) and Cu₂O:Mg in e). Annealed films at 250°C, 350°C and 450°C: Cu₂O in b), c), and d) and Cu₂O:Mg in f), g) and h), respectively.

XPS was used to detect the chemical changes and determine the Cu oxidation state in the upper 10 nm of the films. As-deposited and 250 °C annealed samples were selected for this analysis in order to give an insight into the beginning of the transformation of Cu₂O into CuO. The Cu oxidation state was analysed by scanning the Cu 2p spectra without etching the film surface. Spectra of the as-deposited and 250°C annealed films are presented in Figure 4. In the case of undoped films, the Cu doublet assigned to the Cu 2p_{3/2} and Cu 2p_{1/2} states is

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3 accompanied by satellites at 940-945 eV, clearly indicating the presence of Cu^{2+} at the film
4 surface,³⁵ even in the as-deposited thin films. The Cu 2p doublet is composed by two
5 contributions corresponding to Cu^+ and Cu^{2+} . After thermal treatment at 250°C, the intensity of
6 all the Cu^{2+} peaks increases, indicating an increase of CuO at the film surface. The spectra
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8 obtained at the surface of the $\text{Cu}_2\text{O}:\text{Mg}$ films before and after annealing are similar and contain
9 only the doublet peaks characteristic of Cu^+ , i.e. two narrow peaks at 932 eV and at 952 eV
10 without any satellite.³⁵ This result confirms that the CuO phase is not present at the $\text{Cu}_2\text{O}:\text{Mg}$
11 film surface even after thermal treatment at 250°C.



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Figure 4 Cu 2p XPS spectra obtained for the undoped and Mg-doped Cu_2O thin films deposited on glass, both as-deposited and annealed at 250°C.

X-ray absorption spectroscopy (XAS) has been used to complete XPS results as it probes the full thickness of the films and provide an element-selective information on the atomic local environment of the atoms. XAS spectra of undoped and Mg-doped Cu_2O films, as-deposited and annealed at several temperatures, were analysed at the Cu-K edge (8978.9 eV). Two extra samples of Cu_2O and $\text{Cu}_2\text{O}:\text{Mg}$ were annealed at 500 °C for 30 minutes. This temperature allows to obtain the complete oxidation of the layers and thus, CuO reference films for both sets of samples.

The X-ray Absorption Near Edge Structure (XANES) spectra of the films are presented in Figure 5 a). The edge energy position (E_0) is taken as the first maximum in the first derivative spectrum (see Figure S3 in Supporting Information). A shift of E_0 from 8980.8 eV to 8984.1 eV is observed with increasing annealing temperature for both groups of samples. This E_0 shift arises from the modification of the Cu linear coordination in Cu_2O , similar to that reported from

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3 other studies in the Cu-O system.^{36,37} The change in the oxidation state of the copper atom, from
4 Cu⁺ into Cu²⁺, leads to the observed 3.3eV shift of the edge energy position, as confirmed by
5 experimental³⁸ and theoretical studies.³⁹
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9 The Extended X-ray absorption Fine Structure (EXAFS) spectra – extracted via the
10 standard background removal procedure - are shown in Figure 5 b). The XANES and EXAFS
11 spectra versus the annealing temperature are identical for both Cu₂O and Cu₂O:Mg thin films,
12 except the 450 °C annealing step. In particular, a little deviation from Cu₂O is observed in
13 comparison with the as-deposited up to 350 °C annealed spectra while a full conversion to CuO
14 occurs at 500 °C for both types of films. The 450 °C annealing step is different for Cu₂O and
15 Cu₂O:Mg thin films. At 450 °C, Cu₂O thin films show a full conversion to CuO, while the Mg-
16 doped thin films show a mixed character. This is visible in Figure 5 c), where the amplitude of
17 the Fourier Transform of the EXAFS data (FT) in the range 3.5 to 13 Å⁻¹ is shown for the 450
18 °C annealing step, compared to the as-deposited and the 500 °C one. The FT may be associated
19 to an average radial distribution function around the absorbing atoms (Cu), with the caveat that
20 the distance scale is not correct, due to the absence of the phase correction. The first peak in the
21 FT, corresponding to a neighbour distance between 1 and 2 Å, is identified as the oxygen first
22 neighbour (Cu-O 1st) for Cu₂O and CuO. There is an increase of the peak intensity combined
23 with a small shift to higher distances, related to the structural changes associated with the phase
24 transformation: a higher number of O neighbouring atoms between the Cu₂O and CuO,
25 changing from 2 to 4, as well as an increase of the Cu-O distance, from 1.85Å in Cu₂O to 1.95Å
26 in CuO. The second peak, corresponding to a neighbour distance between 2 and 4 Å, is
27 attributed to copper neighbour atoms (Cu-Cu 1st). When Cu₂O transforms into CuO, the peak
28 splits into two parts, attributed to copper neighbours of higher order (1st, 2nd and 3rd), as well as
29 3rd order O neighbours. In the case of Mg-doped Cu₂O film annealed at 450 °C, there is an
30 intermediate state for both peaks, Cu-O 1st and Cu-Cu 1st, represented in Figure 5 c), which
31 were undetected in any spectrum of the undoped Cu₂O samples. Nevertheless, this is an over-
32 simplified qualitative evaluation in order to give a simple visual interpretation of the evolution
33 of the spectra from as-deposited Cu₂O to the 500 °C annealed CuO. By this simple approach is
34 possible to visually compare the case at 450 °C, showing differences with the Mg doping: Cu-
35 O group less intense and Cu-Cu group less “split” peaks, which is a signature of the less amount
36 of CuO. The peaks shown in the Fourier Transform amplitude, Figure 5 c), are generated by the
37 sum of the photoelectron scattering paths (single and multiple) from the absorbing Cu atoms,
38 corresponding to an average ensemble of distances.
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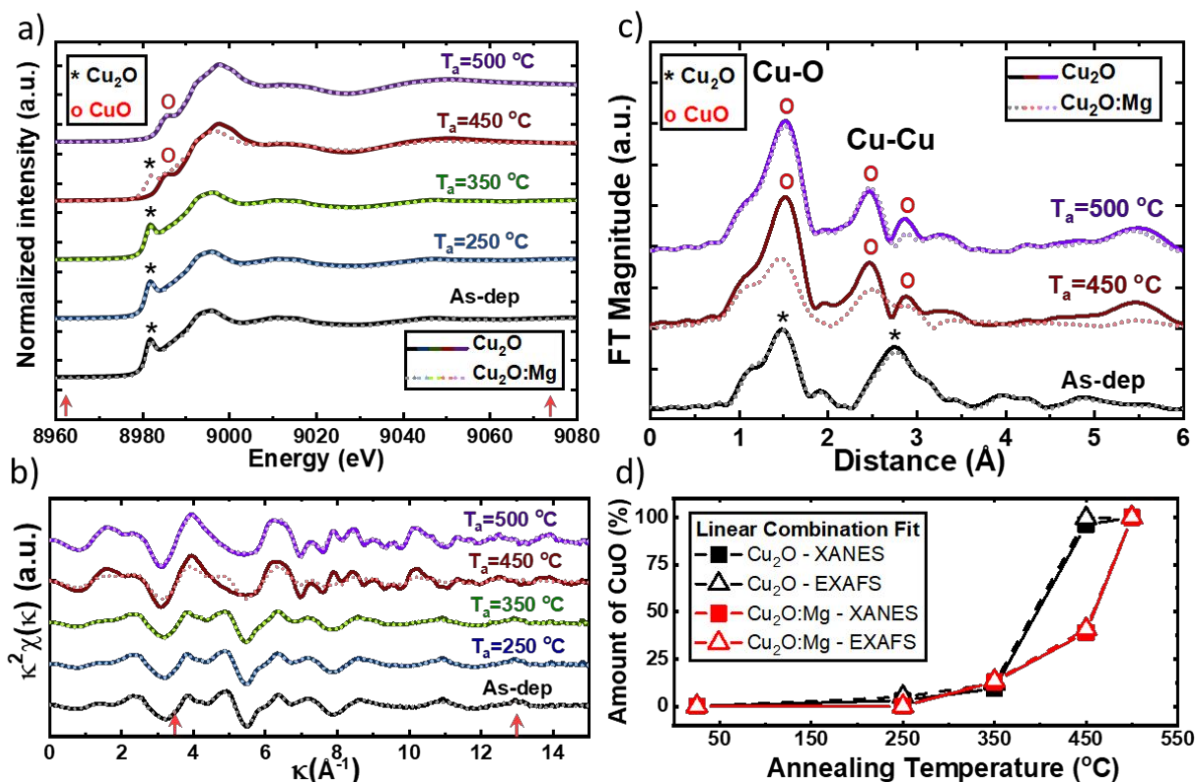


Figure 5 Cu K-edge XAS data and linear combination fits for the undoped (solid lines) and Mg-doped Cu_2O (dotted lines) thin films corresponding to the as-deposited and annealed samples (250, 350, 450 and 500 °C). a) XANES region, b) k^2 -weighted EXAFS spectra and c) amplitude – not phase corrected – of the Fourier transform (selected subset of data) in the k -range 3.5–13 \AA^{-1} . Red arrows represent the energy range and k -range employed for the linear combination fits. d) Results of the linear combination fits of the Cu_2O and CuO experimental reference spectra (cf. main text).

To quantify the amount of CuO formed in the films during the thermal annealing, a linear combination fit (LCF) for both XANES and EXAFS spectra was performed, using as basis set the Cu_2O and CuO reference spectra. For the Cu_2O case, the undoped Cu_2O as-deposited spectrum was employed as reference; while we selected the spectrum of undoped Cu_2O annealed at 500 °C for CuO reference, due to its complete transformation into CuO . The $k^2\chi$ and Fourier Transform of the Cu_2O and CuO reference spectra show a good agreement with the respective Cu_2O and CuO simulated spectra from each crystal structure (see Figure S4 in the Supporting Information). The fit range was -30 to +80 eV with respect to E_0 for the normalized XANES, and 3.5 to 13 \AA^{-1} for the k^2 -weighted EXAFS, i.e. $k^2\chi(k)$. The fits were performed using the *Athena* software⁴⁰. The LCF method takes into account, for each Cu_2O and CuO phase, the full multiple scattering configuration; for this reason and in this particular case, this method is superior to a simplified scattering path expansion fit. The results obtained from the XANES and EXAFS LCF are shown in Figure 5 d), and the single plots of the fits with the corresponding residuals are plotted in the Supplementary Information (Figures S5 and

S6). The combined error bars are below 1% (smaller than the symbol size in Figure 5d). For annealing temperature up to 350 °C, both films present similar quantities of CuO, linearly increasing up to 12(1)%. We can observe a substantial difference in the CuO amount between the two films annealed at 450 °C, where the undoped sample presents almost full conversion from Cu₂O to the CuO phase, that is, 98(1)%. In the Mg-doped Cu₂O film, we observe a drastically lower amount of CuO, that is, 40(1)%. This result agrees with the position of the edge energy in the XANES spectra.

4. Discussion

A detailed analysis of the results obtained in this study allowed us to provide a comprehensive model for the magnesium incorporation and the related effects on the stability of the Cu₂O phase under different annealing treatments.

The direct comparison of the annealing effects on both sets of samples gives evidence of some differences in terms of growth of the CuO phase. The morphological analysis obtained by SEM images combined with the structural results provided by XRD, Raman, XANES and EXAFS suggests that the formation of CuO is associated to the formation of smaller and brighter grains at the film surface. The formation of these grains takes place differently in the two samples annealed at 350 °C. In the undoped film, the new grains seem to grow on the whole surface of the film while in the Mg-doped films, the CuO grains are only formed in places representing around 26% of the visible surface. For the Cu₂O:Mg films, an annealing temperature of 450 °C is needed to obtain a morphology similar to that of the undoped sample annealed at 350 °C, consisting in small grains covering completely the film surface. Moreover, the undoped Cu₂O films annealed at 450°C show a different aspect and exhibit larger CuO grains, which is consistent with a higher degree of phase transformation as shown in the GI-XRD and Raman results. We can generalize the formation of CuO for temperatures below 350°C in both undoped and Mg-doped thin films, but with different kinetics.

The different behaviours in both sets of samples led us to identify two distinct mechanisms for the CuO formation. On the one hand, the undoped thin films transform into CuO by oxidation both at the film surface and in the bulk. At the film surface, the Cu⁺ cations are easily oxidized into Cu²⁺ in contact with oxygen forming the CuO phase. This was confirmed by the XPS analysis, which reveals the presence of Cu²⁺ even in the as-deposited samples. The presence of magnesium seems to affect the surface of the Cu₂O thin film even before any thermal annealing, as the Cu²⁺ signature in XPS spectrum is absent for the Cu₂O:Mg

as-deposited thin film. Apart from the surface, the formation of split copper vacancies is required to induce the formation of Cu^{2+} centres and then create CuO nucleation centres inside the grains. The split copper vacancies, represented in Figure 6, lead to an inefficient generation of free holes due to the highly localized character of this type of defect,^{5,6} which later contributes to the formation of the parasitic CuO phase throughout the film. In the presence of Mg , the transformation seems to start only at the film surface, at 350°C , while simple copper vacancies (Figure 6) are the major defects created inside the film thanks to the presence of the dopant.

As suggested by Isseroff and Carter, Mg doping can prevent the formation of split vacancies for a single cation vacancy, since the divalent cation would be placed in a site similar to a split copper vacancy in the crystal structure.⁶ This fact seems to be confirmed by the resilience of Cu_2O to preserve its cubic structure. The inhibition to create split vacancies would thus reduce the CuO parasitic centres inside the film, this being the main mechanism for the phase transformation during the annealing stages. Additionally, in the $\text{Cu}_2\text{O}:\text{Mg}$ thin film annealed at 450°C , a change in lattice parameters was observed by XRD. This suggests structural modifications in the Cu_2O crystal structure resulting in the formation of a new type of defect in the film. One possible defect is a clustering of a second simple copper vacancy with the Mg atom in a tetrahedral position, $[\text{Mg}_i - 2\cdot\text{V}_{\text{Cu}}]^-$, which has been proposed by Isseroff and Carter.⁶ Still, the nature of copper vacancies level is yet to be fully understood. A representation of both Cu_2O defects is shown in Figure 6, as well as the suggested $[\text{Mg}_i - 2\cdot\text{V}_{\text{Cu}}]^-$ complex.

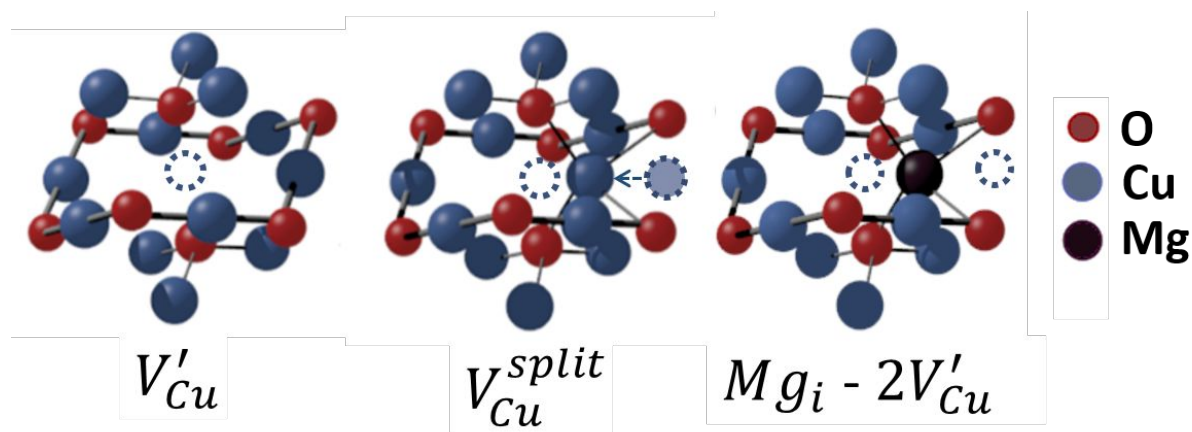


Figure 6 Representation of a single copper vacancy (V'_{Cu}), a split copper vacancy ($V_{\text{Cu}}^{\text{split}}$) and a magnesium incorporation in a tetrahedral site $[\text{Mg}_i - 2\cdot\text{V}_{\text{Cu}}]^-$. Copper atoms are represented by blue circles, oxygen as red ones and magnesium as black ones.

5. Conclusion

Post-deposition annealing treatments in oxidizing conditions are commonly performed on Cu_2O thin films to enhance electrical and optical properties; nevertheless, a parasitic CuO

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2
3 phase usually starts to be formed in the films at 300 °C. The presence of the Mg dopant in the
4 films has a substantial impact on the stability of the Cu₂O phase under these oxidizing
5 conditions, as revealed by this study. The formation of the CuO phase is indeed delayed in the
6
7 Cu₂O:Mg thin films when submitted to similar annealing conditions in comparison with the
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9 undoped films. This is due to distinct formation rates of the CuO phase in the undoped and
10
11 doped films. As suggested by Isseroff and Carter, divalent cation doping such as Mg²⁺, can
12
13 prevent the formation of split copper vacancies, since the cation would be placed in a position
14
15 similar to a split copper vacancy in the crystal structure. As a consequence, the reduction of
16
17 split copper vacancies leads to a lower concentration of CuO nucleation centres, which inhibit
18
19 the formation of the parasitic phase in the bulk of the film.

20 21 **6. Supporting Information.**

22
23 Optical appearance of undoped and Mg-doped Cu₂O thin films (Figure S1). SEM
24
25 micrographs of Mg-doped Cu₂O thin films on glass annealed at 350°C (Figure S2).
26
27 Determination of E₀ in all spectra of undoped and Mg-doped Cu₂O thin films on glass (Figure
28
29 S3). Fitting of EXAFS reference spectra: Cu₂O for as-deposited intrinsic thin film and CuO
30
31 obtained by oxidation of Cu₂O at 500°C during 30 minutes (Figure S4). List of EXAFS
32
33 parameters obtained from fitting of reference spectra: Cu₂O for as-deposited intrinsic thin film
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35 and CuO obtained by oxidation of Cu₂O at 500°C during 30 minutes (Table S1). Linear
36
37 combination fits and correspondent residuals XAS spectra of Cu₂O and Cu₂O:Mg thin films
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39 (Figure S5 and S6)

40 41 **7. Acknowledgements**

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63 measurements.

8. References

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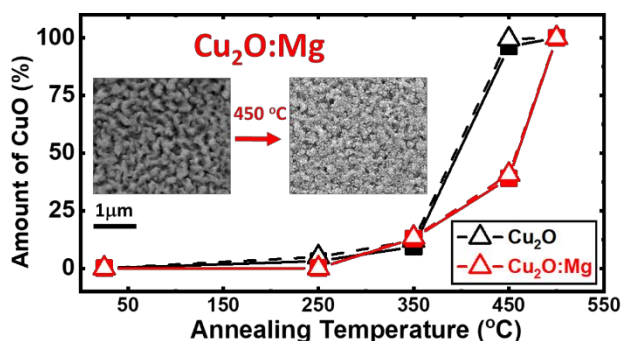
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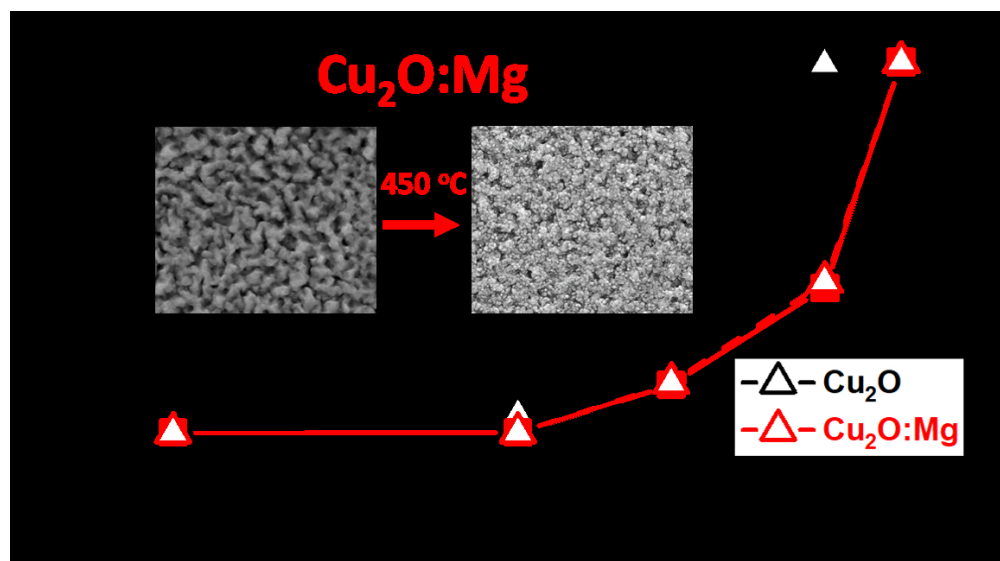
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TOC Graphic





TOC

599x331mm (49 x 49 DPI)

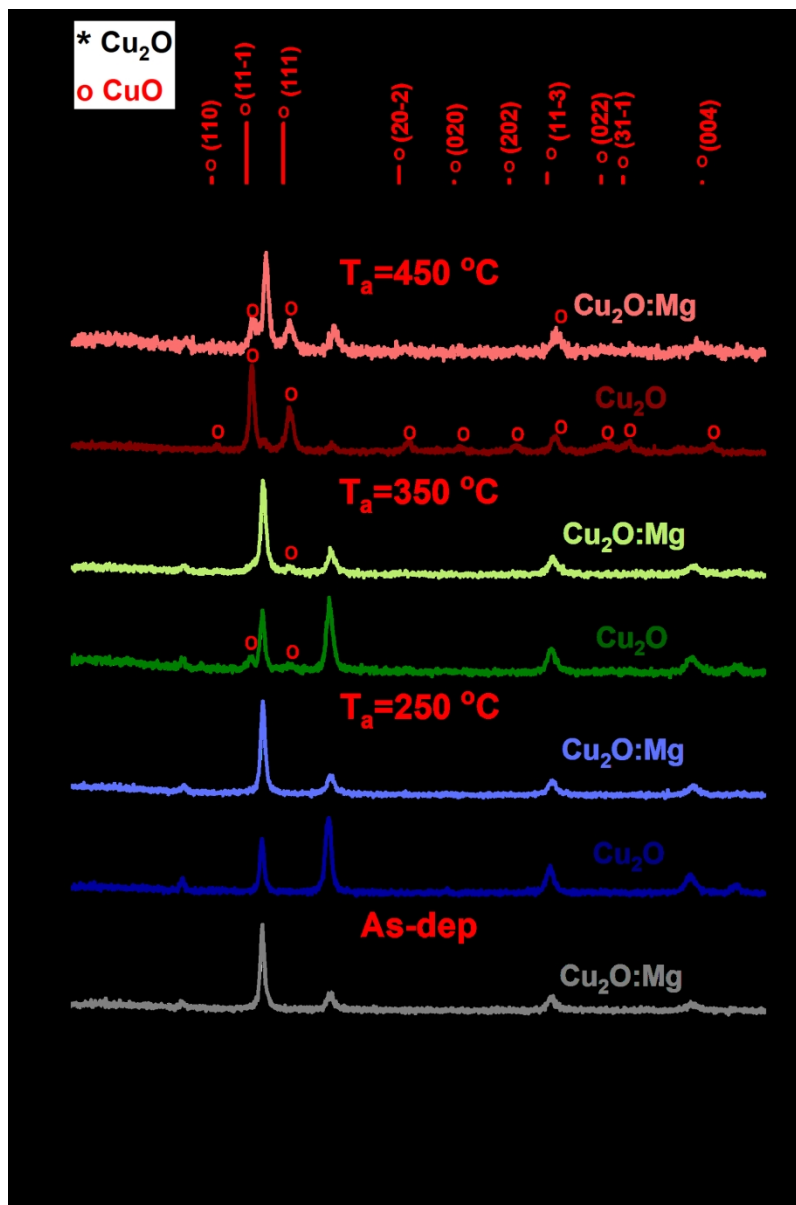


Figure 1 GI-XRD patterns of undoped and Mg-doped Cu₂O thin films deposited on glass corresponding to the as-deposited and annealed samples (250, 350 and 450°C). The reference patterns of Cu₂O (cubic, space group Pn-3m, JCPDS n° 04-007-9767) and CuO (monoclinic, space group C2/c, ICDD n° 00-048-1548) are shown at the top. Cu₂O and CuO reflections are marked with * and o, respectively.

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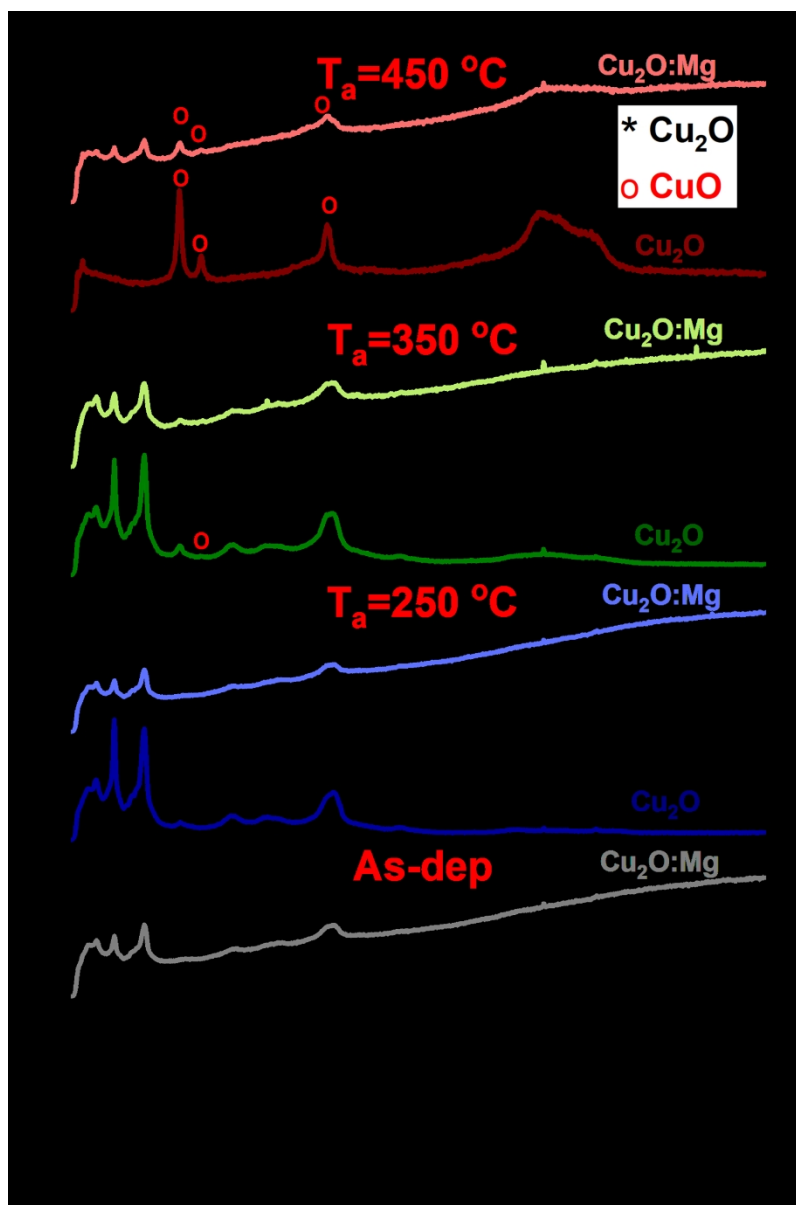


Figure 2 Raman spectra of the undoped and Mg-doped Cu₂O thin films deposited on glass corresponding to the as-deposited and annealed samples (250, 350 and 450°C). Cu₂O and CuO peaks are marked with * and o, respectively.

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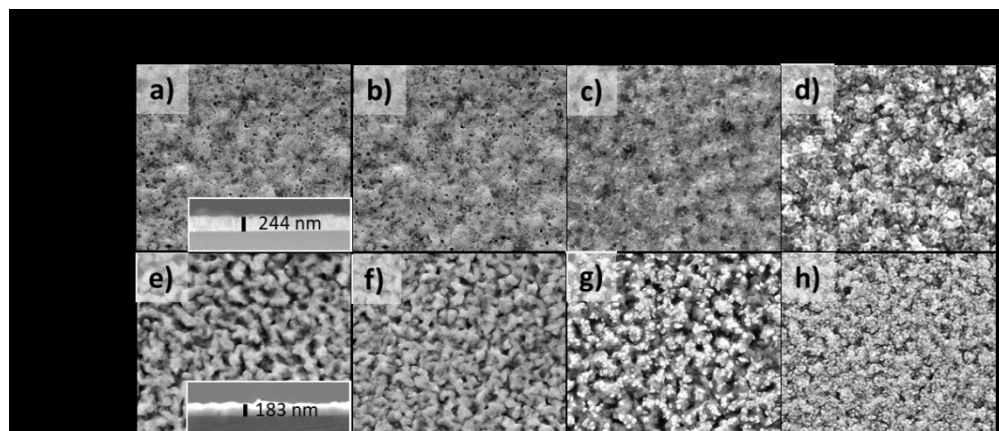


Figure 3 SEM micrographs of Cu₂O and Cu₂O:Mg thin films. Top views of as-deposited film with cross-section in inset: Cu₂O in a) and Cu₂O:Mg in e). Annealed films at 250°C, 350°C and 450°C: Cu₂O in b), c), and d) and Cu₂O:Mg in f), g) and h), respectively.

204x87mm (150 x 150 DPI)

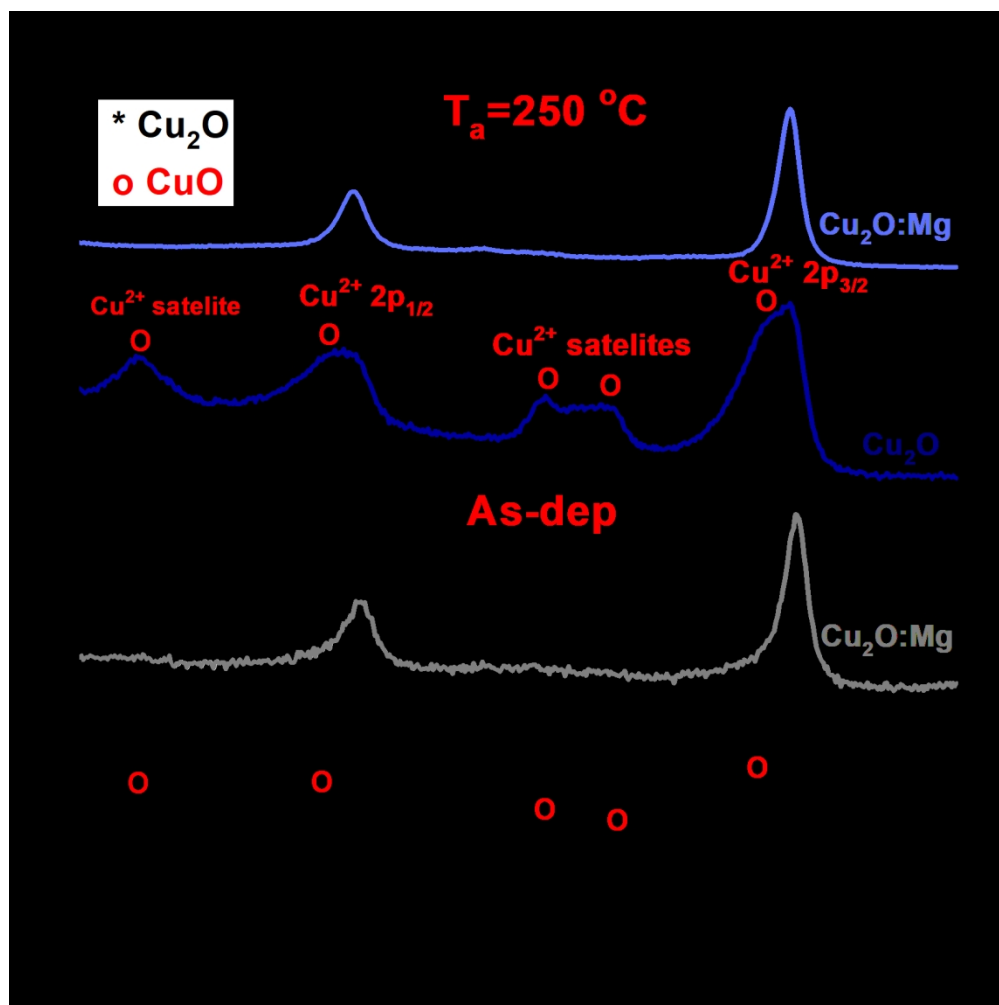


Figure 4 Cu 2p XPS spectra obtained for the undoped and Mg-doped Cu_2O thin films deposited on glass, both as-deposited and annealed at 250°C .

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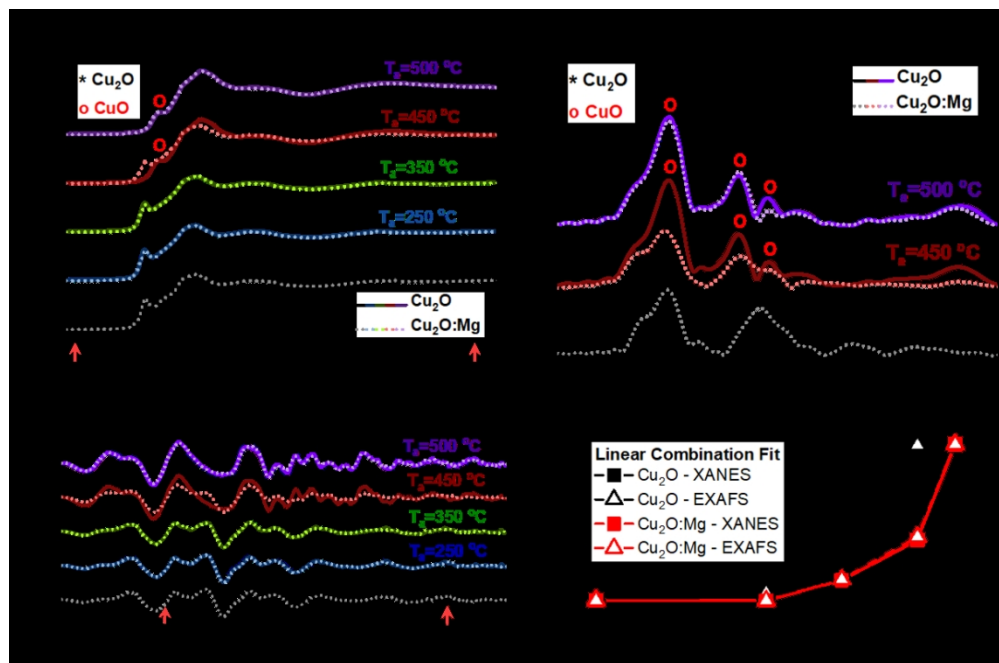


Figure 5 Cu K-edge XAS data and linear combination fits for the undoped (solid lines) and Mg-doped Cu₂O (dotted lines) thin films corresponding to the as-deposited and annealed samples (250, 350, 450 and 500 °C). a) XANES region, b) k²X weighted EXAFS spectra and c) amplitude – not phase corrected – of the Fourier transform (selected subset of data) in the k-range 3.5-13 Å⁻¹. Red arrows represent the energy range and k-range employed for the linear combination fits. d) Results of the linear combination fits of the Cu₂O and CuO experimental reference spectra (cf. main text).

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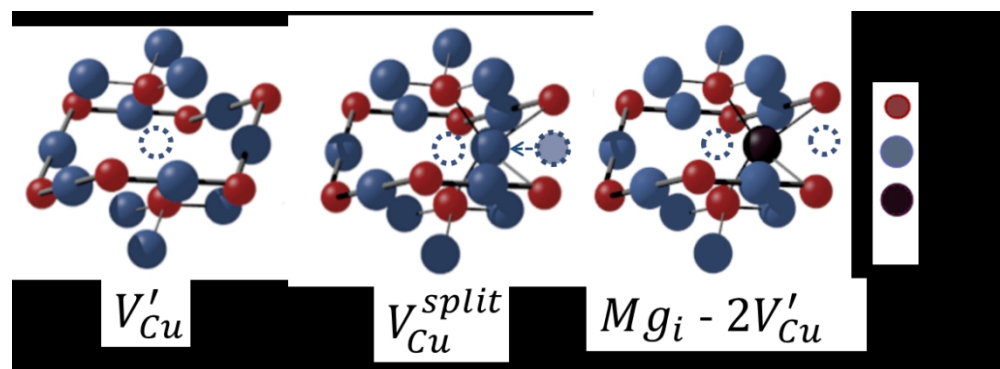


Figure 6 Representation of a single copper vacancy, a split copper vacancy and a magnesium incorporation in a tetrahedral site. Copper atoms are represented by blue circles, oxygen as red ones and magnesium as black ones.

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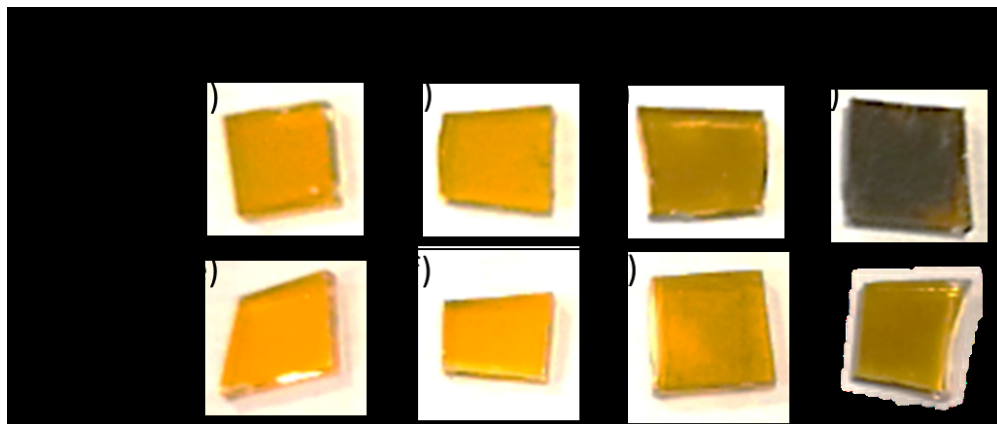


Figure S1 Optical appearance of undoped and Mg-doped Cu₂O thin films on glass in the as-deposited and annealed states (250, 350 and 450°C).

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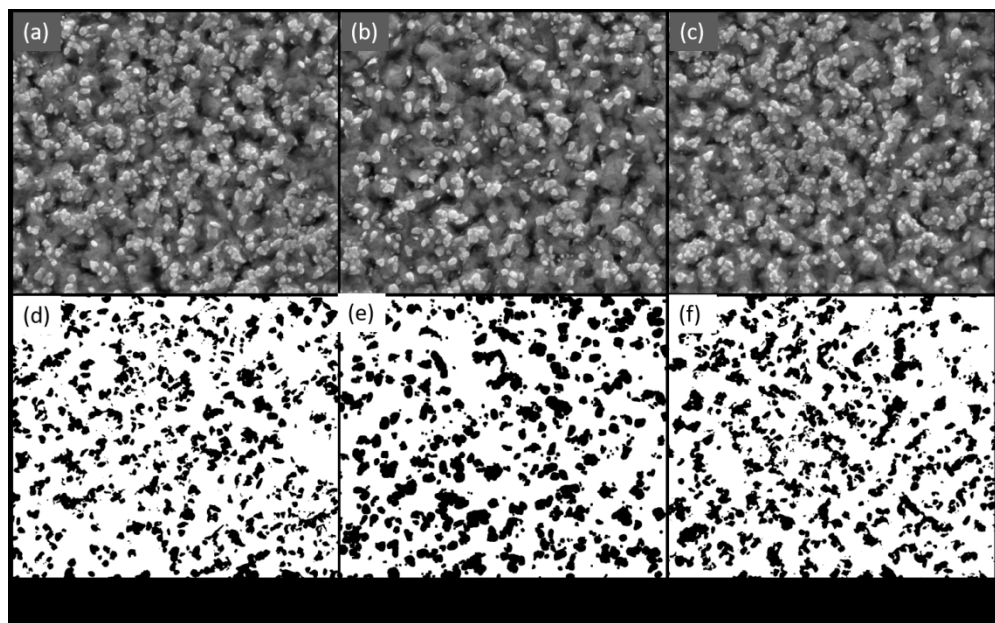
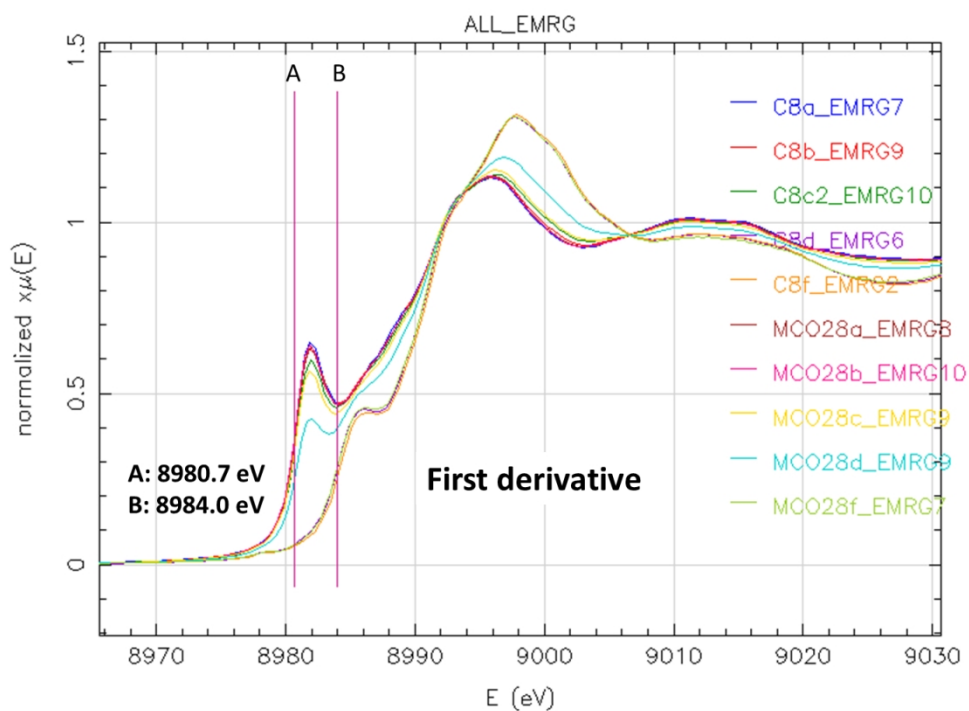


Figure S2 a-c) SEM micrographs of Mg-doped Cu₂O thin films on glass annealed at 350°C d-f) Determination of CuO coverage area by ImageJ.

262x161mm (150 x 150 DPI)



31 Figure S3 Normalized XANES spectra (left) and first derivative (right) with the determination of E0 in all
32 spectra of undoped and Mg-doped Cu2O thin films on glass in the as-deposited and annealed states (250,
33 350, 450°C and 500°C).

34 242x181mm (150 x 150 DPI)

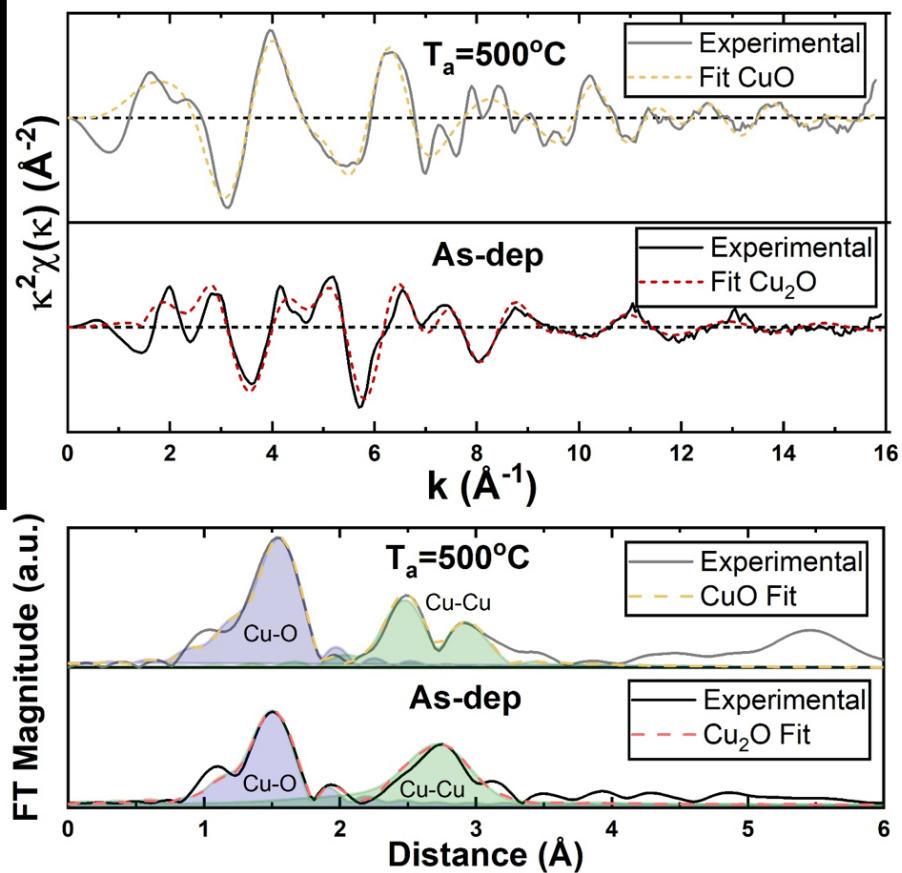


Figure S4 Fitting of reference spectra: Cu₂O for as-deposited intrinsic thin film and CuO obtained by oxidation of Cu₂O at 500°C during 30 minutes. a) $k^2\chi$ with k window used between 1 and 14 Å⁻¹ and b) Fourier Transform magnitude in r between 0 and 6 Å.

177x157mm (150 x 150 DPI)

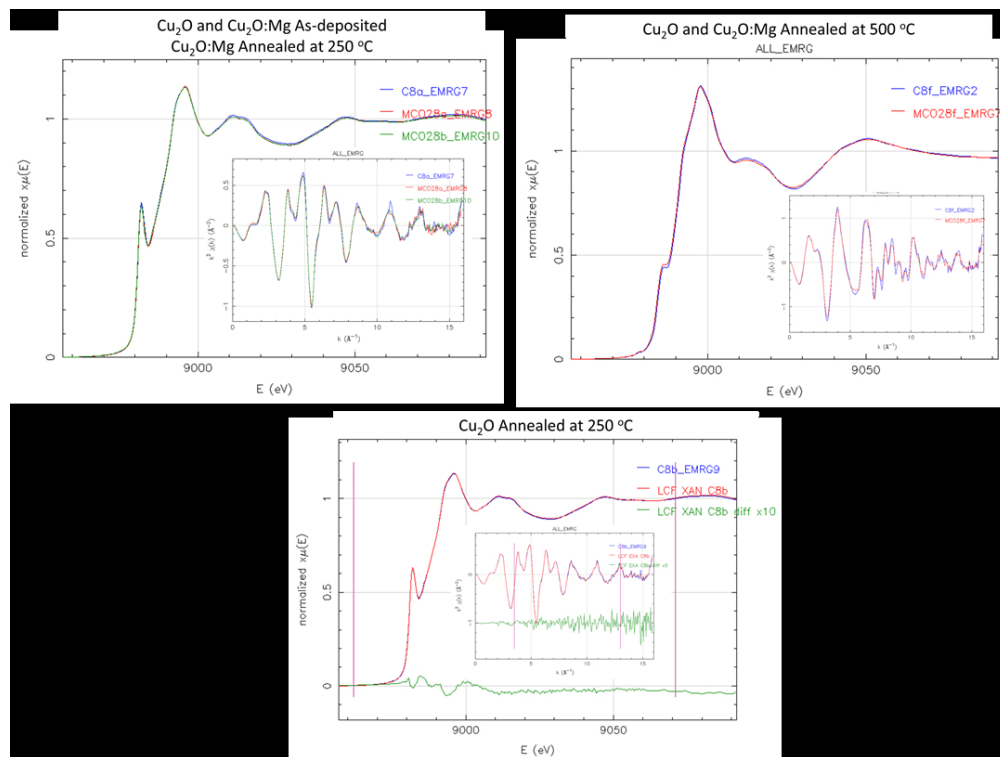


Figure S5 XAS spectra of Cu₂O and Cu₂O:Mg as-deposited used as Cu₂O reference and Cu₂O and Cu₂O:Mg annealed at 500 °C used as CuO reference. Linear combination fit and corresponding residuals of Cu₂O annealed at 250 °C .

182x137mm (150 x 150 DPI)

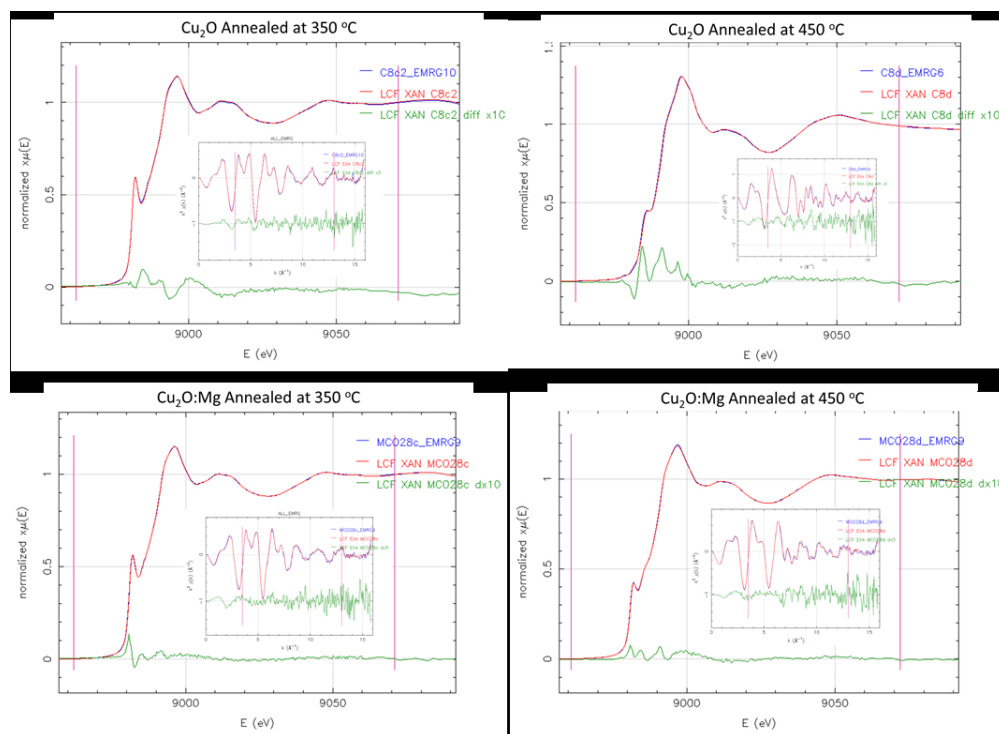


Figure S6 Linear combination fit and corresponding residuals of Cu_2O and $\text{Cu}_2\text{O}:\text{Mg}$ annealed at 350 and 450 °C.

180x131mm (150 x 150 DPI)