Computing the *k*-binomial complexity of the Thue–Morse word





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January 17, 2019 Marie Lejeune (FNRS grantee)

Plan

Preliminary definitions

- Morphisms and the Thue–Morse word
- Complexity functions
- k-binomial complexity

Why to compute $\mathbf{b}_t^{(k)}$?

Computing the function b_t^(k) Binomial coefficients of (iterated) images Factorizations of order k Types of order k

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Definition

A morphism on the alphabet A is an application

$$\sigma: A^* \to A^*$$

such that, for every word $u = u_1 \cdots u_n \in A^*$,

$$\sigma(u) = \sigma(u_1) \cdots \sigma(u_n).$$

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If there exists a letter $a \in A$ such that $\sigma(a)$ begins by a, and if $\lim_{n\to+\infty} |\sigma^n(a)| = +\infty$, then one can define

$$\sigma^{\omega}(a) = \lim_{n \to +\infty} \sigma^n(a).$$

This word is called a *fixed point* of the morphism σ .

Example (Thue–Morse)

Let us define the Thue-Morse morphism

$$\varphi: \{0,1\}^* \to \{0,1\}^*: \begin{cases} 0 \mapsto 01 = 0\overline{0};\\ 1 \mapsto 10 = 1\overline{1}. \end{cases}$$

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Example (Thue–Morse)

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We have

$$arphi(0) = 01, \ arphi^2(0) = 0110, \ arphi^3(0) = 01101001, \ arphi^3(0) = 0110010, \ arphi^3(0) = 01000, \ arphi^3(0) = 0100, \ arphi^3(0) = 000, \ arphi^3(0) = 00, \ arphi^3(0$$

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We can thus define the *Thue–Morse word* as one of the fixed points of the morphism φ :

. . .

 $\mathbf{t}:=\varphi^\omega(\mathbf{0})=\mathtt{0}\mathtt{1}\mathtt{1}\mathtt{0}\mathtt{1}\mathtt{0}\mathtt{1}\mathtt{0}\mathtt{1}\mathtt{1}\mathtt{0}\mathtt{1}\mathtt{1}\mathtt{0}\cdots$

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Remark

Since t is a fixed point of φ , we have

$$\mathbf{t} = \varphi(\mathbf{t}) = \varphi^2(\mathbf{t}) = \varphi^3(\mathbf{t}) = \cdots$$
.

Hence, every factor of t can be written as

 $p\varphi^k(z)s,$

where $k \ge 1$, p (resp., s) is a proper suffix (resp., prefix) of one of the words in $\{\varphi^k(0), \varphi^k(1)\}$, and z is also a factor of t.

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Definition

Let $u = u_1 \cdots u_m \in A^m$ be a word $(m \in \mathbb{N}^+ \cup \{\infty\})$. A *(scattered) subword of u* is a finite subsequence of the sequence $(u_j)_{j=1}^m$. A *factor of u* is a subword made with consecutive letters. Otherwise stated, every (non empty) factor of *u* is of the form $u_i u_{i+1} \cdots u_{i+\ell}$, with $1 \le i \le m$, $0 \le \ell \le m - i$.

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Example

Let u = 0102010. The word 021 is a subword of u, but it is not a factor of u.

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Example

Let u = 0102010. The word 021 is a subword of u, but it is not a factor of u. The word 0201 is a factor of u, thus also a subword of u.

Let $\binom{u}{x}$ denote the number of times x appears as a subword in u and $|u|_x$ the number of times it appears as a factor in u.

The simplest complexity function is the following. Here, $\mathbb{N} = \{0, 1, 2, \ldots\}$.

Definition

The factor complexity of the word w is the function

 $p_w: \mathbb{N} \to \mathbb{N}: n \mapsto \# \mathsf{Fac}_w(n).$

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The simplest complexity function is the following. Here, $\mathbb{N}=\{0,1,2,\ldots\}.$

Definition

The factor complexity of the word w is the function

$$p_w : \mathbb{N} \to \mathbb{N} : n \mapsto \#(\operatorname{Fac}_w(n) / \sim_=),$$

where $u \sim = v \Leftrightarrow u = v$.

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Let us compute the first values of the Thue-Morse's factor complexity. We have

 $t = 0110100110010110 \cdots$

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$$\frac{n \quad 0 \quad 1 \quad 2 \quad 3 \quad \cdots}{p_{\mathbf{t}}(n) \quad 1}$$

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 $t = 0110100110010110 \cdots$

and

$$\frac{n}{p_{\mathbf{t}}(n)} \begin{bmatrix} 0 & 1 & 2 & 3 & \cdots \\ 1 & 2 & 4 & 6 & \cdots \end{bmatrix}$$

Then, for every $n \geq 3$, it is known that

$$p_{\mathbf{t}}(n) = \begin{cases} 4n - 2 \cdot 2^m - 4, & \text{if } 2 \cdot 2^m < n \le 3 \cdot 2^m; \\ 2n + 4 \cdot 2^m - 2, & \text{if } 3 \cdot 2^m < n \le 4 \cdot 2^m. \end{cases}$$

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Different equivalence relations from $\sim_{=}$ can be considered :

• Abelian equivalence : $u \sim_{ab,1} v \Leftrightarrow |u|_a = |v|_a \ \forall a \in A$

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- Abelian equivalence : $u \sim_{ab,1} v \Leftrightarrow |u|_a = |v|_a \; \forall a \in A$
- k-abelian equivalence : $u \sim_{ab,k} v \Leftrightarrow |u|_x = |v|_x \ \forall x \in A^{\leq k}$

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- k-binomial equivalence : $u \sim_k v \Leftrightarrow {u \choose x} = {v \choose x} \ \forall x \in A^{\leq k}$

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We will most of the time deal with the last one.

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Example If u = aababa, $\begin{pmatrix} u \\ ab \end{pmatrix} = ?$

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Let u and x be two words. The binomial coefficient $\binom{u}{x}$ is the number of times that x appears as a subword in u.

Example If u = aababa, $\begin{pmatrix} u \\ ab \end{pmatrix} = 1$.

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Let u and x be two words. The *binomial coefficient* $\binom{u}{x}$ is the number of times that x appears as a subword in u.

Example If u = aababa, $\begin{pmatrix} u \\ ab \end{pmatrix} = 2$.

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Let u and x be two words. The binomial coefficient $\binom{u}{x}$ is the number of times that x appears as a subword in u.

Example If u = aababa, $\begin{pmatrix} u \\ ab \end{pmatrix} = 3$.

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Let u and x be two words. The binomial coefficient $\binom{u}{x}$ is the number of times that x appears as a subword in u.

Example If u = aababa, $\begin{pmatrix} u \\ ab \end{pmatrix} = 4$.

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If u = aababa,

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$$\binom{u}{x} = \binom{v}{x} \quad \forall x \in A^{\leq k}.$$

Example

The words u = bbaabb and v = babbab are 2-binomially equivalent. Indeed,

$$\begin{pmatrix} u \\ a \end{pmatrix} = \mathbf{1} = \begin{pmatrix} v \\ a \end{pmatrix}$$

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$$\begin{pmatrix} u \\ bb \end{pmatrix} = 6 = \begin{pmatrix} v \\ bb \end{pmatrix}, \begin{pmatrix} u \\ ab \end{pmatrix} = 1 = \begin{pmatrix} v \\ ab \end{pmatrix}.$$

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$$\begin{pmatrix} u \\ a \end{pmatrix} = 2 = \begin{pmatrix} v \\ a \end{pmatrix}, \begin{pmatrix} u \\ b \end{pmatrix} = 4 = \begin{pmatrix} v \\ b \end{pmatrix}, \begin{pmatrix} u \\ aa \end{pmatrix} = 1 = \begin{pmatrix} v \\ aa \end{pmatrix},$$
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Let u and v be two finite words. They are k-binomially equivalent if

$$\binom{u}{x} = \binom{v}{x} \ \forall x \in A^{\leq k}.$$

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Proposition

For all words u, v and for every nonnegative integer k,

 $u \sim_{k+1} v \Rightarrow u \sim_k v.$

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Proposition

For all words u, v,

 $u \sim_1 v \Leftrightarrow u \sim_{ab.1} v$.

Definition (Reminder)

The words u and v are 1-abelian equivalent if

$$\begin{pmatrix} u \\ a \end{pmatrix} = |u|_a = |v|_a = \begin{pmatrix} v \\ a \end{pmatrix} \quad \forall a \in A.$$

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Definition

If w is an infinite word, we can define the function

$$\mathbf{b}^{(k)}_w:\mathbb{N} o\mathbb{N}:n\mapsto \#(\mathsf{Fac}_w(n)/\!\sim_k),$$

which is called the k-binomial complexity of w.

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Example

For the Thue-Morse word t, we have $\mathbf{b}_{\mathbf{t}}^{(1)}(0) = 1$ and, for every $n \geq 1$,

$$\mathbf{b}_{\mathbf{t}}^{(1)}(n) = \begin{cases} 3, & \text{if } n \equiv 0 \pmod{2}; \\ 2, & \text{otherwise.} \end{cases}$$

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If n = 2ℓ, every factor of t is of the form φ(z) (with z ∈ Fac_t(ℓ)) or of one of the following forms, where z' ∈ Fac_t(ℓ − 1) :

 $0\varphi(z')0, \ 0\varphi(z')1, \ 1\varphi(z')0, \ 1\varphi(z')1.$

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We have

$$\begin{pmatrix} \varphi(z) \\ 0 \end{pmatrix} = \begin{pmatrix} 0\varphi(z')1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1\varphi(z')0 \\ 0 \end{pmatrix} = \ell, \\ \begin{pmatrix} 0\varphi(z')0 \\ 0 \end{pmatrix} = \ell + 1 \text{ and } \begin{pmatrix} 1\varphi(z')1 \\ 0 \end{pmatrix} = \ell - 1,$$

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hence
$$\mathbf{b}_{\mathbf{t}}^{(1)}(n) = 2$$
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Plan

Preliminary definitions

- Morphisms and the Thue–Morse word
- Complexity functions
- k-binomial complexity

2 Why to compute $\mathbf{b}_t^{(k)}$?

Computing the function b_t^(k) Binomial coefficients of (iterated) images Factorizations of order k Types of order k

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We have an order relation between the different complexity functions.

Proposition

$$ho_w^{ab}(n) \leq \mathbf{b}_w^{(k)}(n) \leq \mathbf{b}_w^{(k+1)}(n) \leq p_w(n) \quad \forall n \in \mathbb{N}, k \in \mathbb{N}^+$$

where ρ_w^{ab} is the abelian complexity function of the word w.

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where ρ_w^{ab} is the abelian complexity function of the word w.

Moreover, a lot of properties about the factor complexity are known.

Theorem (Morse-Hedlund)

Let w be an infinite word on an ℓ -letter alphabet. The three following assertions are equivalent.

- The word w is ultimately periodic : there exist finite words u and v such that w = u · v^{\u03c0}.
- 2 There exists $n \in \mathbb{N}$ such that $p_w(n) < n + \ell 1$.
- The function p_w is bounded by a constant.

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One natural application of the previous theorem is to define aperiodic words with the minimal factor complexity.

Definition

A Sturmian word is an infinite word having, as factor complexity, p(n) = n + 1 for all $n \in \mathbb{N}$.

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Definition

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Let w be a Sturmian word. We have, for every $n \ge 2$,

 $n < p_w(n) < p_t(n).$

However, results are quite different when regarding the k-binomial complexity function.

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Theorem (M. Rigo, P. Salimov)

Let w be a Sturmian word. We have $\mathbf{b}_w^{(2)}(n) = p_w(n) = n+1$.

Thus, since $\mathbf{b}_w^{(k)}(n) \leq \mathbf{b}_w^{(k+1)}(n) \leq p_w(n)$, we obtain $\mathbf{b}_w^{(k)}(n) = p_w(n)$

for every $k \geq 2$ and for every $n \in \mathbb{N}$.

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$$\mathbf{b}_w^{(k)}(n) = p_w(n)$$

for every $k \geq 2$ and for every $n \in \mathbb{N}$.

This is not the case for the Thue-Morse word.

Theorem (M. Rigo, P. Salimov)

For every $k \geq 1$, there exists a constant $C_k > 0$ such that, for every $n \in \mathbb{N}$,

$$\mathbf{b}_{\mathbf{t}}^{(k)}(n) \leq C_k.$$

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This result holds for every infinite word which is a fixed point of a Parikh-constant morphism.

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This result holds for every infinite word which is a fixed point of a Parikh-constant morphism.

Definition

A morphism $\sigma : A^* \to A^*$ is *Parikh-constant* if, for all $a, b, c \in A$, $|\sigma(a)|_c = |\sigma(b)|_c$. Otherwise stated, images of the different letters have to be equal up to a permutation.

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Example

The morphism

$$\sigma: \{0, 1, 2\}^* \to \{0, 1, 2\}^*: \begin{cases} 0 & \mapsto & 0112; \\ 1 & \mapsto & 1201; \\ 2 & \mapsto & 1120; \end{cases}$$

is Parikh-constant.

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Theorem (M. L., J. Leroy, M. Rigo)

Let k be a positive integer. For every $n \leq 2^k - 1$, we have

$$\mathbf{b}_{\mathbf{t}}^{(k)}(n) = p_{\mathbf{t}}(n),$$

while for every $n \geq 2^k$,

$$\mathbf{b}_{\mathbf{t}}^{(k)}(n) = \begin{cases} 3 \cdot 2^k - 3, & \text{if } n \equiv 0 \pmod{2^k}; \\ 3 \cdot 2^k - 4, & \text{otherwise.} \end{cases}$$

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Cases where k = 1 or k = 2 can be computed by hand. We will thus assume that $k \ge 3$.

Plan

Preliminary definitions

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Why to compute $\mathbf{b}_t^{(k)}$?

3 Computing the function $\mathbf{b}_{t}^{(k)}$

Binomial coefficients of (iterated) images

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- Factorizations of order k
- Types of order k

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All our reasonings need to compute certain binomial coefficients explicitely. We thus need some tools.

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Proposition

Let u, v be some finite words over A and let a, b be letters of A. We have

$$\begin{pmatrix} ua\\vb \end{pmatrix} = \begin{pmatrix} u\\vb \end{pmatrix} + \delta_{a,b} \begin{pmatrix} u\\v \end{pmatrix},$$

where $\delta_{a,b}$ equals 1 if a = b, 0 otherwise.

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where $\delta_{a,b}$ equals 1 if a = b, 0 otherwise.

Proposition

Let u, u' be some finite words over A, and let $v = v_1 \cdots v_m$ be a word in A^* . We have

$$\binom{uu'}{v} = \sum_{j=0}^{m} \binom{u}{v_1 \cdots v_j} \binom{u'}{v_{j+1} \cdots v_m}.$$

Let us first illustrate the computation of a coefficient $\binom{p\varphi^k(z)s}{v}$ on an example.

$$\begin{pmatrix} 0 \varphi^3(011)1\\ 01 \end{pmatrix} =$$

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Let us first illustrate the computation of a coefficient $\binom{p\varphi^k(z)s}{v}$ on an example.

$$\binom{\mathbf{0}\varphi^{\mathbf{3}}(\mathbf{0}\mathbf{1}\mathbf{1})\mathbf{1}}{\mathbf{0}\mathbf{1}} = 1$$

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How could we compute coefficients of the form $\binom{\varphi(u)}{v}$ and, more generally, $\binom{\varphi^{\ell}(u)}{v}$?

Each time a factor 01 or 10 occurs in v, either we can see it appearing in $\varphi(u)$ as the image of a unique letter of u, or we can see it appearing as a subword of the image of two different letters of u. We will thus study the different factorizations of v.

Definition : φ -factorization

Let v be a finite word over $A = \{0, 1\}$. If v contains at least one factor in $\{01, 10\}$, it can be factorized as follows :

$$egin{aligned} \mathsf{v} &= \mathsf{w}_0 \, \mathsf{a}_1 \, \overline{\mathsf{a}_1} \, \mathsf{w}_1 \cdots \mathsf{w}_{\ell-1} \, \mathsf{a}_\ell \, \overline{\mathsf{a}_\ell} \, \mathsf{w}_\ell \ &= \mathsf{w}_0 \, arphi(\mathsf{a}_1) \, \mathsf{w}_1 \cdots \mathsf{w}_{\ell-1} \, arphi(\mathsf{a}_\ell) \, \mathsf{w}_\ell \end{aligned}$$

where $\ell \geq 1$, $a_1, \ldots, a_\ell \in A$ and $w_0, \ldots w_\ell \in A^*$.

Definition : φ -factorization

Let v be a finite word over $A = \{0, 1\}$. If v contains at least one factor in $\{01, 10\}$, it can be factorized as follows :

$$egin{aligned} \mathsf{v} &= \mathsf{w}_0 \, \mathsf{a}_1 \, \overline{\mathsf{a}_1} \, \mathsf{w}_1 \cdots \mathsf{w}_{\ell-1} \, \mathsf{a}_\ell \, \overline{\mathsf{a}_\ell} \, \mathsf{w}_\ell \ &= \mathsf{w}_0 \, arphi(\mathsf{a}_1) \, \mathsf{w}_1 \cdots \mathsf{w}_{\ell-1} \, arphi(\mathsf{a}_\ell) \, \mathsf{w}_\ell \end{aligned}$$

where $\ell \geq 1$, $a_1, \ldots, a_\ell \in A$ and $w_0, \ldots, w_\ell \in A^*$. This factorization is called a φ -factorization of v and is coded by the tuple

$$\kappa = (|w_0|, |w_0 \varphi(a_1)w_1|, \dots, |w_0 \varphi(a_1)w_1 \dots \varphi(a_{\ell-1})w_{\ell-1}|).$$

The set of all tuples coding φ -factorizations of v is denoted by φ -Fac(v).

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Let v = 01101. The tree of all φ -factorizations of v is the following.

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Image: A matrix and a matrix

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Let v = 01101. The tree of all φ -factorizations of v is the following.



Image: A match a ma

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$$\binom{\varphi(01101001)}{01101} = \binom{|u|}{5}$$

• The 5 letters of v come from 5 different letters of u. This case could correspond to the trivial factorization $\kappa = ()$.

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$$\binom{\varphi(01101001)}{(01)101} = \binom{|u|}{5} + \sum_{z \in A^3} \binom{u}{0z}$$

- The 5 letters of ν come from 5 different letters of u. This case could correspond to the trivial factorization κ = ().
- The two first letters of v come from the image (by φ) of a letter 0 in u, while the three last ones come from three different letters of u. This case corresponds to $\kappa = (0)$.

$$\begin{pmatrix} \varphi(01101001)\\ 01(10)1 \end{pmatrix} = \begin{pmatrix} |u|\\ 5 \end{pmatrix} + \sum_{z \in A^3} \begin{pmatrix} u\\ 0z \end{pmatrix} + \sum_{z \in A^2, z' \in A} \begin{pmatrix} u\\ z1z' \end{pmatrix}$$

- The 5 letters of v come from 5 different letters of u. This case could correspond to the trivial factorization $\kappa = ()$.
- The two first letters of v come from the image (by φ) of a letter 0 in u, while the three last ones come from three different letters of u. This case corresponds to $\kappa = (0)$.
- Letters v₃ and v₄ come from a block φ(1) while the three other ones come from different letters of u. The associated factorization is κ = (2).

$$\begin{pmatrix} \varphi(01101001)\\011(01) \end{pmatrix} = \begin{pmatrix} |u|\\5 \end{pmatrix} + \sum_{z \in A^3} \begin{pmatrix} u\\0z \end{pmatrix} + \sum_{z \in A^2, z' \in A} \begin{pmatrix} u\\z1z' \end{pmatrix} + \sum_{z \in A^3} \begin{pmatrix} u\\z0 \end{pmatrix}$$

• Letters v_4 and v_5 come from a block $\varphi(0)$ in u, which corresponds to the factorization $\kappa = (3)$.

$$\begin{pmatrix} \varphi(01101001)\\ (01)(10)1 \end{pmatrix} = \begin{pmatrix} |u|\\ 5 \end{pmatrix} + \sum_{z \in A^3} \begin{pmatrix} u\\0z \end{pmatrix} + \sum_{z \in A^2, z' \in A} \begin{pmatrix} u\\z1z' \end{pmatrix}$$
$$+ \sum_{z \in A^3} \begin{pmatrix} u\\z0 \end{pmatrix} + \sum_{z \in A} \begin{pmatrix} u\\01z \end{pmatrix}$$

- Letters v_4 and v_5 come from a block $\varphi(0)$ in u, which corresponds to the factorization $\kappa = (3)$.
- Letters v_1 and v_2 come from a block $\varphi(0)$ while v_3 and v_4 come from $\varphi(1)$. The associated factorization is $\kappa = (0, 2)$.

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$$\begin{pmatrix} \varphi(01101001)\\ (01)1(01) \end{pmatrix} = \begin{pmatrix} |u|\\ 5 \end{pmatrix} + \sum_{z \in A^3} \begin{pmatrix} u\\ 0z \end{pmatrix} + \sum_{z \in A^2, z' \in A} \begin{pmatrix} u\\ z1z' \end{pmatrix}$$
$$+ \sum_{z \in A^3} \begin{pmatrix} u\\ z0 \end{pmatrix} + \sum_{z \in A} \begin{pmatrix} u\\ 01z \end{pmatrix} + \sum_{z \in A} \begin{pmatrix} u\\ 0z0 \end{pmatrix}$$

- Letters v_4 and v_5 come from a block $\varphi(0)$ in u, which corresponds to the factorization $\kappa = (3)$.
- Letters v_1 and v_2 come from a block $\varphi(0)$ while v_3 and v_4 come from $\varphi(1)$. The associated factorization is $\kappa = (0, 2)$.
- Letters v_1 and v_2 come from a block $\varphi(0)$, exactly like v_4 and v_5 . The associated factorization is $\kappa = (0, 3)$.

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We will associate to every φ -factorization $\kappa \in \varphi$ -Fac(ν) of the form

$$w_0\varphi(a_1)w_1\cdots w_{\ell-1}\varphi(a_\ell)w_\ell,$$

the language

$$\mathcal{L}(\boldsymbol{v},\kappa) := \mathcal{A}^{|w_0|} \boldsymbol{a}_1 \mathcal{A}^{|w_1|} \cdots \mathcal{A}^{|w_{\ell-1}|} \boldsymbol{a}_{\ell} \mathcal{A}^{|w_{\ell}|},$$

in such a way that $v = w_0 \varphi(a_1) w_1 \cdots w_{\ell-1} \varphi(a_\ell) w_\ell$ (factorized in this way) can be seen in any $\varphi(z)$, where $z \in \mathcal{L}(v, \kappa)$.

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We then define

$$f(v) = \biguplus_{\kappa \in \varphi \text{-}\mathsf{Fac}(v)} \mathcal{L}(v, \kappa)$$

if φ -Fac(v) contains at least one (non trivial) factorization. Otherwise, $f(v) = \emptyset$. The union \biguplus has to be considered as a multiset union, where the multiplicities of an element are summed up.

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Example (continuing) Let v = 01101; we had

$$\varphi$$
-Fac $(v) = \{(0), (2), (3), (0, 2), (0, 3)\}$

and we thus obtain

 $f(01101) = \mathcal{L}(v,(0)) \uplus \mathcal{L}(v,(2)) \uplus \mathcal{L}(v,(3)) \uplus \mathcal{L}(v,(0,2)) \uplus \mathcal{L}(v,(0,3)).$

Reminder

To every φ -factorization of the form $w_0 \varphi(a_1) w_1 \cdots w_{\ell-1} \varphi(a_\ell) w_\ell$ coded by $\kappa = (|w_0|, |w_0 \varphi(a_1) w_1|, \ldots)$, we associate the language

$$\mathcal{L}(\mathsf{v},\kappa) := \mathsf{A}^{|w_0|} \mathsf{a}_1 \mathsf{A}^{|w_1|} \cdots \mathsf{A}^{|w_{\ell-1}|} \mathsf{a}_\ell \mathsf{A}^{|w_\ell|}.$$

Example (continuing)

Let v = 01101; we had

$$\varphi$$
-Fac $(v) = \{(0), (2), (3), (0, 2), (0, 3)\}$

and we thus obtain

$$f((01)101) = \mathcal{L}(v, (0)) \uplus \mathcal{L}(v, (2)) \uplus \mathcal{L}(v, (3)) \uplus \mathcal{L}(v, (0, 2)) \uplus \mathcal{L}(v, (0, 3))$$
$$= 0A^{3}$$

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Example (continuing)

Let v = 01101; we had

 φ -Fac(v) = {(0), (2), (3), (0, 2), (0, 3)}

and we thus obtain

$$\begin{split} f(01(10)1) &= \mathcal{L}(v,(0)) \uplus \mathcal{L}(v,(2)) \uplus \mathcal{L}(v,(3)) \uplus \mathcal{L}(v,(0,2)) \uplus \mathcal{L}(v,(0,3)) \\ &= 0A^3 \uplus A^2 1A \end{split}$$

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Reminder

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Example (continuing)

Let v = 01101; we had

$$\varphi$$
-Fac $(v) = \{(0), (2), (3), (0, 2), (0, 3)\}$

and we thus obtain

$$\begin{split} f(011(01)) &= \mathcal{L}(v,(0)) \uplus \mathcal{L}(v,(2)) \uplus \mathcal{L}(v,(3)) \uplus \mathcal{L}(v,(0,2)) \uplus \mathcal{L}(v,(0,3)) \\ &= 0A^3 \uplus A^2 1A \uplus A^3 0 \end{split}$$

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Example (continuing)

Let v = 01101; we had

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and we thus obtain

$$\begin{split} f((01)(10)1) &= \mathcal{L}(v,(0)) \uplus \mathcal{L}(v,(2)) \uplus \mathcal{L}(v,(3)) \uplus \mathcal{L}(v,(0,2)) \uplus \mathcal{L}(v,(0,3)) \\ &= 0A^3 \uplus A^2 1A \uplus A^3 0 \uplus 01A \end{split}$$

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To every φ -factorization of the form $w_0 \varphi(a_1) w_1 \cdots w_{\ell-1} \varphi(a_\ell) w_\ell$ coded by $\kappa = (|w_0|, |w_0 \varphi(a_1) w_1|, \ldots)$, we associate the language

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Example (continuing)

Let v = 01101; we had

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-Fac $(v) = \{(0), (2), (3), (0, 2), (0, 3)\}$

and we thus obtain

$$f((01)1(01)) = \mathcal{L}(v, (0)) \uplus \mathcal{L}(v, (2)) \uplus \mathcal{L}(v, (3)) \uplus \mathcal{L}(v, (0, 2)) \uplus \mathcal{L}(v, (0, 3))$$

= $0A^3 \uplus A^2 1A \uplus A^3 0 \uplus 01A \uplus 0A0.$

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Example (continuing)

Let v = 01101; we had

 φ -Fac $(v) = \{(0), (2), (3), (0, 2), (0, 3)\}$

and we thus obtain

 $f(01101) = \mathcal{L}(v, (0)) \uplus \mathcal{L}(v, (2)) \uplus \mathcal{L}(v, (3)) \uplus \mathcal{L}(v, (0, 2)) \uplus \mathcal{L}(v, (0, 3))$ = $0A^3 \uplus A^2 1A \uplus A^3 0 \uplus 01A \uplus 0A0$ = $\{0000_2, 0001_1, 0010_3, 0011_2, 0100_2, 0101_1, 0110_3, 0111_2, 1010_2, 1011_1, 1110_2, 1111_1, 1000_2, 1100_2, 010_2, 011_1, 000_1\}.$

Example (continuing)

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-Fac $(v) = \{(0), (2), (3), (0, 2), (0, 3)\}$

and we thus obtain

$$f(01101) = \mathcal{L}(v, (0)) \uplus \mathcal{L}(v, (2)) \uplus \mathcal{L}(v, (3)) \uplus \mathcal{L}(v, (0, 2)) \uplus \mathcal{L}(v, (0, 3))$$

= $0A^3 \uplus A^2 1A \uplus A^3 0 \uplus 01A \uplus 0A0$
= $\{0000_2, 0001_1, 0010_3, 0011_2, 0100_2, 0101_1, 0110_3, 0111_2, 1010_2, 1011_1, 1110_2, 1111_1, 1000_2, 1100_2, 010_2, 011_1, 000_1\}.$

We can now state the formal proposition.

For all finite words u and v, we have

$$\binom{\varphi(u)}{v} = \binom{|u|}{|v|} + \sum_{\kappa \in \varphi \operatorname{-Fac}(v)} \sum_{y \in \mathcal{L}(v,\kappa)} \binom{u}{y}.$$

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Example (continuing)

We computed

$$\begin{pmatrix} \varphi(01101001)\\01101 \end{pmatrix} = \begin{pmatrix} |u|\\5 \end{pmatrix} + \sum_{z \in A^3} \begin{pmatrix} u\\0z \end{pmatrix} + \sum_{z \in A^2, z' \in A} \begin{pmatrix} u\\z1z' \end{pmatrix}$$
$$+ \sum_{z \in A^3} \begin{pmatrix} u\\z0 \end{pmatrix} + \sum_{z \in A} \begin{pmatrix} u\\01z \end{pmatrix} + \sum_{z \in A} \begin{pmatrix} u\\0z0 \end{pmatrix}$$

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Example (continuing)

$$\begin{pmatrix} \varphi(01101001)\\01101 \end{pmatrix} = \begin{pmatrix} |u|\\5 \end{pmatrix} + \sum_{y \in \mathcal{L}(v,(0))} \begin{pmatrix} u\\y \end{pmatrix} + \sum_{y \in \mathcal{L}(v,(2))} \begin{pmatrix} u\\y \end{pmatrix} + \sum_{z \in A^3} \begin{pmatrix} u\\z0 \end{pmatrix} + \sum_{z \in A} \begin{pmatrix} u\\01z \end{pmatrix} + \sum_{z \in A} \begin{pmatrix} u\\0z0 \end{pmatrix}.$$

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Applying several times the previous proposition, we can obtain a formula allowing us to compute coefficients of the form $\binom{\varphi^{\ell}(u)}{v}$.

Proposition

For all finite words u, v and for all $\ell \geq 1$, we have

$$\binom{\varphi^{\ell}(u)}{v} = \sum_{i=0}^{\ell-1} \sum_{y \in f^{i}(v)} m_{f^{i}(v)}(y) \binom{|\varphi^{\ell-i-1}(u)|}{|v|} + \sum_{y \in f^{\ell}(v)} m_{f^{\ell}(v)}(y) \binom{u}{y}.$$

Applying several times the previous proposition, we can obtain a formula allowing us to compute coefficients of the form $\binom{\varphi^{\ell}(u)}{v}$.

Proposition

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Corollary

If u and u' are two finite words of the same length, then, for every finite word v, we have

$$\binom{\varphi^{\ell}(u)}{v} - \binom{\varphi^{\ell}(u')}{v} = \sum_{y \in f^{\ell}(v)} m_{f^{\ell}(v)}(y) \left[\binom{u}{y} - \binom{u'}{y} \right]$$

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Plan

Preliminary definitions

- Morphisms and the Thue–Morse word
- Complexity functions
- k-binomial complexity

Why to compute $\mathbf{b}_t^{(k)}$?





How could we compute $\mathbf{b}_{\mathbf{t}}^{(k)}(n)$? We have to look, for each pair of words $u, v \in \operatorname{Fac}_n(\mathbf{t})$, if $u \sim_k v$ or not. Recall that every factor u of \mathbf{t} can be written as

 $p\varphi^k(z)s.$

Image: A matrix

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 $p\varphi^k(z)s.$

Definition : factorization of order k

Let $u \in Fac(t)$. If there exist $(p, s) \in A^{<2^k} \times A^{<2^k}$, $a, b \in A$ and $z \in Fac(t)$ such that

•
$$u=parphi^k(z)s$$
 ;

- p is a proper suffix of $\varphi^k(a)$;
- s is a proper prefix of $\varphi^k(b)$;

then (p, s) is called a *factorization of order k* of *u* while the triple (a, z, b) is called a *desubstitution of order k* of *u*.

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Is this writing unique?

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 $\mathbf{t} = \mathbf{01} \cdot \mathbf{10} \cdot \mathbf{10} \cdot \mathbf{01} \cdot \mathbf{10} \cdot \mathbf{01} \cdot \mathbf{01} \cdot \mathbf{10} \cdots$

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\mathbf{t} = \mathbf{01} \cdot \mathbf{10} \cdot \mathbf{10} \cdot \mathbf{01} \cdot \mathbf{10} \cdot \mathbf{01} \cdot \mathbf{01} \cdot \mathbf{10} \cdots
```

Proposition

Let u be a factor of t of length at least $2^{k} - 1$. The word u has exactly two different factorizations of order k if and only if it is a factor of $\varphi^{k-1}(010)$ or $\varphi^{k-1}(101)$.

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Because we will use this result, we will only consider words of length at least $2^k - 1$.

Exemple

Let us consider the factor u = 01001011.

 $\mathbf{t} = \varphi^3(\mathbf{t}) = 01101001 \cdot 10010110 \cdot 10010110 \cdot 01101001 \cdot 10010110 \cdot 01101001 \cdot 01101001 \cdots$

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Hence, (0, 1001011) and (01001, 011) are the two factorizations of order 3 of u.

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Hence, (0, 1001011) and (01001, 011) are the two factorizations of order 3 of u. Their associated desubstitutions are $(1, \varepsilon, 1)$ and $(0, \varepsilon, 0)$. Observe that

$$(0,1001011)=(0,arphi^2(1)011)$$

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$$(0,1001011)=(0,arphi^2(1)011)$$

and

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How can we deal with factors having two factorizations? We will define an equivalence relation on factorizations, in such a way that if a word has two factorizations, these two are equivalent.

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Plan

Preliminary definitions

- Morphisms and the Thue–Morse word
- Complexity functions
- k-binomial complexity

Why to compute $\mathbf{b}_t^{(k)}$?

3 Computing the function $\mathbf{b}_{t}^{(k)}$

Binomial coefficients of (iterated) images

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- Factorizations of order k
- Types of order k

Definition : equivalence \equiv_k

Let (p_1, s_1) and (p_2, s_2) be couples of $A^{<2^k} \times A^{<2^k}$. These two are equivalent for \equiv_k if there exist $a \in A$, $x, y \in A^*$ such that one of these cases occurs :

$$\begin{array}{l} \bullet \quad |p_1| + |s_1| = |p_2| + |s_2| \text{ and} \\ \bullet \quad (p_1, s_1) = (p_2, s_2); \\ \bullet \quad (p_1, s_1) = (x\varphi^{k-1}(a), y) \text{ and } (p_2, s_2) = (x, \varphi^{k-1}(a)y); \\ \bullet \quad (p_1, s_1) = (x, \varphi^{k-1}(a)y) \text{ and } (p_2, s_2) = (x\varphi^{k-1}(a), y); \\ \bullet \quad (p_1, s_1) = (\varphi^{k-1}(a), \varphi^{k-1}(\overline{a})) \text{ and } (p_2, s_2) = (\varphi^{k-1}(\overline{a}), \varphi^{k-1}(a)); \\ \end{array}$$

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January 17, 2019 33/40

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Example (continuing)

The word u = 01001011 has the two factorizations $(0, \varphi^2(1)011)$ and $(0\varphi^2(1), 011)$. This corresponds to case (1.3), where x = 0, y = 011.

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Proposition

If a word $u \in A^{\geq 2^k - 1}$ has two factorizations (p_1, s_1) and (p_2, s_2) , then these two are equivalent for \equiv_k .

Let $u \in A^{\geq 2^{k}-1}$. We can thus define the *type of u of order k* as the equivalence class of its factorizations. We denote by (p_u, s_u) the type of order k of u, with $|p_u|$ minimal.

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Let $u \in A^{\geq 2^{k}-1}$. We can thus define the *type of u of order k* as the equivalence class of its factorizations. We denote by (p_u, s_u) the type of order k of u, with $|p_u|$ minimal.

We can also have two different words having equivalent factorizations. In this case, the two words they come from are k-binomially equivalent. This result is even stronger.

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Let *u* and *v* be two factors of t of length $n \ge 2^k - 1$. We have

$$u \sim_k v \Leftrightarrow (p_u, s_u) \equiv_k (p_v, s_v).$$

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$$u \sim_k v \Leftrightarrow (p_u, s_u) \equiv_k (p_v, s_v).$$

The reasoning used in the proof can be adapted to show that for all factors $u, v \in Fac(t)$ of length at most $2^k - 1$, we have $u \not\sim_k v$. Hence, for all $n \leq 2^k - 1$, for all $k \geq 3$, we have $\mathbf{b}_t^{(k)}(n) = p_t(n)$.

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Corollary

Let $k \ge 3$ and $n \ge 2^k$. We have

$$\mathbf{b}_{\mathbf{t}}^{(k)}(n) = \#(\mathsf{Fac}_{n}(\mathbf{t})/\sim_{k}) = \#(\{(p_{u}, s_{u}) : u \in \mathsf{Fac}_{n}(\mathbf{t})\}/\equiv_{k})$$

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Corollary

Let $k \ge 3$ and $n \ge 2^k$. We have

$$D_{\mathbf{t}}^{(k)}(n) = \#(\mathsf{Fac}_n(\mathbf{t})/\sim_k) = \#(\{(p_u, s_u) : u \in \mathsf{Fac}_n(\mathbf{t})\}/\equiv_k)$$

The last part of the reasoning consists in computing this quantity. Fix $n \in \mathbb{N}_0$.

For all $\ell \in \{0, \ldots, 2^{k-1} - 1\}$, define

$$P_\ell = \{(p_u, s_u) : u \in \mathsf{Fac}_n(\mathbf{t}), |p_u| = \ell \text{ or } |p_u| = 2^{k-1} + \ell\}.$$

Hence,

$$\{(p_u, s_u) : u \in \operatorname{Fac}_n(\mathbf{t})\} = \bigcup_{\ell=0}^{2^{k-1}-1} P_\ell \text{ and } \mathbf{b}_{\mathbf{t}}^{(k)}(n) = \sum_{\ell=0}^{2^{k-1}-1} \#(P_\ell / \equiv_k).$$

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There exists ℓ_0 such that

$$P_{\ell_0} = \{(p_u, s_u) : u \in \mathsf{Fac}_n(\mathbf{t}), |s_u| = 0 \text{ or } |s_u| = 2^{k-1}\}.$$

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Denote by λ the quantity $n \mod 2^k$. We have

$$\#\{0,\ldots,2^{k-1}-1\}\setminus\{0,\ell_0\}=\left\{\begin{array}{ll}2^{k-1}-1, & \text{if } \lambda=0 \text{ or } \lambda=2^{k-1};\\ 2^{k-1}-2, & \text{otherwise.}\end{array}\right.$$

(a)

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Moreover, we can show that

$$\#((P_0 \cup P_{\ell_0}) / \equiv_k) = \begin{cases} 3, & \text{if } \lambda = 0; \\ 2, & \text{if } \lambda = 2^{k-1}; \\ 8, & \text{otherwise;} \end{cases}$$

Moreover, we can show that

$$\#((P_0 \cup P_{\ell_0})/\equiv_k) = \begin{cases} 3, & \text{if } \lambda = 0; \\ 2, & \text{if } \lambda = 2^{k-1}; \\ 8, & \text{otherwise;} \end{cases}$$

and that, for all $\ell \not\in \{0, \ell_0\}$,

$$\#(P_\ell/\equiv_k)=6.$$

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Hence, putting all the information together,

$$\# \left(\{ (p_u, s_u) : u \in \operatorname{Fac}_n(\mathbf{t}) \} / \equiv_k \right) = \# \bigcup_{\ell=0}^{2^{k-1}-1} P_\ell$$

$$= \begin{cases} 6 (2^{k-1}-1)+3, & \text{if } \lambda = 0; \\ 6 (2^{k-1}-1)+2, & \text{if } \lambda = 2^{k-1}; \\ 6 (2^{k-1}-2)+8, & \text{otherwise}, \end{cases}$$

$$= \begin{cases} 3 \cdot 2^k - 3, & \text{if } \lambda = 0; \\ 3 \cdot 2^k - 4, & \text{otherwise}, \end{cases}$$

which leads to the result that was announced in the beginning of the talk.

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Is there a possible generalisation of our results?

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Is there a possible generalisation of our results? The formula used to compute $\binom{\varphi(u)}{v}$ was generalized to an arbitrary non-erasing morphism.

Proposition

Let $\Psi: A^* \to B^*$ be a non-erasing morphism and $u \in A^+, v \in B^+$ be two words.

$$\binom{\Psi(u)}{v} = \sum_{k=1}^{|v|} \sum_{\substack{v_1,\ldots,v_k \in B^+ \\ v=v_1\cdots v_k}} \sum_{a_1,\ldots,a_k \in A} \binom{\Psi(a_1)}{v_1} \cdots \binom{\Psi(a_k)}{v_k} \binom{u}{a_1\cdots a_k}.$$

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Definition

Let \mathbf{t}_ℓ be the fixed point $arphi_\ell^\infty(0)$ on the alphabet $B:=\{0,1,\ldots,\ell-1\}$, where

$$arphi_\ell:B^* o B^*: \left\{egin{array}{ll} 0\mapsto 01\cdots(\ell-1);\ dots\ i\mapsto i(i+1)\cdots(\ell-1)01\cdots(i-1);\ dots\ \ell-1\mapsto (\ell-1)01\cdots(\ell-2). \end{array}
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is the generalized Thue–Morse morphism on an ℓ -letter alphabet.

Conjecture

Let $k \in \mathbb{N}_0$. We have, for all $n < 3^k$, $\mathbf{b}_{\mathbf{t}_3}^{(k)}(n) = p_{\mathbf{t}_3}(n)$ and, for all $n \ge 3^k$,

$$\mathbf{b}_{\mathbf{t}_{3}}^{(k)}(n) = \begin{cases} 7 \cdot 3^{k} - 14, & \text{if } n \equiv 0 \pmod{3^{k}}; \\ 7 \cdot 3^{k} - 15, & \text{if } n \equiv 3^{k-1} \text{ or } 2 \cdot 3^{k-1} \pmod{3^{k}}; \\ 7 \cdot 3^{k} - 19 & \text{otherwise.} \end{cases}$$

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