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Stand-level wind damage can be assessed using diachronic photogrammetric canopy height models

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Abstract

• *Key message* Diachronic photogrammetric canopy height models can be used to quantify at a fine scale changes in dominant height and wood volume following storms. The regular renewal of aerial surveys makes this approach appealing for monitoring forest changes.

• *Context* The increasing availability of aerial photographs and the development of dense matching algorithms open up new possibilities to assess the effects of storm events on forest canopies.

• *Aims* The objective of this research is to assess the potential of diachronic canopy height models derived from photogrammetric point clouds (PCHM) to quantify changes in dominant height and wood volume of a broadleaved forest following a major storm.

• *Methods* PCHMs derived from aerial photographs acquired before and after a storm event were calibrated using 25 field

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Contribution of the co-authors Jean-Pierre Renaud designed the experiment, analyzed the results, and wrote the paper. Cedric Vega, Steen Magnussen, and Sylvie Durrieu contributed to the experimental design, analysis of the results, and writing of the paper. Jonathan Lisein contributed to the production of the photogrammetric models and proofreading of the manuscript. Philippe Lejeune and Mériem Fournier proofread the manuscript. Mériem Fournier coordinated the research project.

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plots to estimate dominant height and volume using various modeling approaches. The calibrated models were combined with a reference damage maps to estimate both the withinstand damage variability, and the amount of volume impacted. *Results* Dominant height was predicted with a root mean squared error (RMSE) of 4%, and volume with RMSEs ranging from 24 to 32% according to the type of model. The volume impacted by storm was in the range of 42–76%. Overall, the maps of dominant height changes provided more details about within-stand damage variability than conventional photointerpretation do.

• *Conclusion* The study suggests a promising potential for exploiting PCHM in pursuit of a rapid localization and quantification of wind-throw damages, given an adapted sampling design to calibrate models.

Keywords Forest inventory · Photogrammetry · Wind-throw estimates

1 Introduction

Storms are among the most important source of disturbance affecting European forests (Gardiner et al. 2011; Albrecht et al. 2012). After such events, forest managers and

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stakeholders are facing the difficult task of obtaining timely and reliable estimates of both the impacted areas, and the damage intensity. In 1999, two successive storms, "Lothar" on December 26th and "Martin" the following day, severely impacted many European forests. Lothar affected Northern France, Southern Germany, and Northern Switzerland, while Martin affected central France and South-Western Switzerland (Schelhaas et al. 2003; Birot et al. 2009). At that time, the sampling design of the French National Forest Inventory (NFI) called for a regionalized sampling each year with a national completion on a 10-year cycle. That is, sample data collected in a given year would not represent the whole territory. National estimates of forest damages were therefore difficult to obtain under this design. Estimates that were obtained were mainly based on photointerpretation of aerial photographs acquired specifically for this purpose after the storm events, and were given in the form of damage levels on an ordinal scale (Wencélius 2002). Such data sources can provide a precise estimate of impacted areas. However, estimates of the level of damage are prone to observer bias. In addition, rather broad class intervals are usually used to describe the level of damage (Stach and Deshayes 2009). This situation has been improved in many aspects with the transition of the French NFI to a new sampling scheme, covering the whole territory each year (Hervé et al. 2014).

More recently, the 2009 winter storm named "Klaus" damaged approximately 50 million m^3 of standing volume, in South-Western France (Bélouard et al. 2012). It crossed 13 departments and caused considerable damages to nearly 600,000 ha of forests in Aquitaine, 62,000 ha in Midi-Pyrénées, and 31,000 ha in Languedoc Roussillon. At that time, NFI data allowed an efficient estimation of damage at the departmental level within a few weeks after the storm (Bélouard et al. 2012). Beyond that scale, NFI field sampling density was not high enough to give precise estimates of the impacted volumes.

Remote sensing could efficiently supply field measurements to detect disturbances (Coppin et al. 2004) such as those generated by storms. Various change detection techniques were proposed based on either optical or radar satellite data to assess forest disturbances and related dendrometric parameters such as volume (Mitchell et al. 2017, Jonikavičius and Mozgeris 2013, Wang and Xu 2010). That said, change detection from 2D remote sensing data can be challenging due to possible spectral and textural confusion between trees and other vegetation (Tian et al. 2013; 2017). The ongoing development of remote sensing methods based on active (i.e., LiDAR) or passive (i.e., photogrammetry) sensors allow to generate a direct information on stand height, which is a key parameter in forest inventory and management. Owing to their large availability and fast renewal in many countries (Ginzler and Hobi 2015), aerial photographs can be considered as an efficient data source to monitor the consequences of storms



through the analysis of changes in canopy height. The processing of aerial photograph benefits currently of the rapid development of image-matching algorithms, which establish the spatial correspondences between two or more overlapping images to retrieve 3D information (Remondino et al. 2014). Leberl et al. (2010) reported that current state-of-the-art photogrammetric workflows provide a similar geometrical precision than the one achieved by aerial LiDAR systems. A major limit of a photogrammetric approach in forest environments is its incapacity to retrieve the ground information under a dense canopy. Indeed, the photogrammetric point clouds can only be used to generate a photogrammetric digital surface model (PDSM) describing the Earth surface elevation and needs to be combined with a digital terrain model (DTM) to estimate a photogrammetric canopy height model (PCHM = PDSM - DTM). The ongoing development of nationwide LiDAR DTM coverages will progressively solve this issue (Ginzler and Hobi 2015).

With respect to storm damage assessment, Miller et al. (2000) suggested that the height information contained in multi-date aerial photographs could provide accurate estimates of changes in canopy height and possibly give a fine spatial resolution of such damage. In coniferous and mixed forests of Finland, Honkavaara et al. (2013) followed this idea and proposed an automatic storm damage detection method based on large changes in digital surface models (DSMs) derived from photogrammetric imagery and airborne LiDAR data. The sensitivity of their method allowed a detection of damage when at least 10 trees per hectare were fallen.

In addition, a photogrammetric DSM can also be used to estimate stand parameters. For example, Stepper et al. (2015) used UltraCamXp stereo images to generate a DSM and model growing stocks in a highly structured broadleaf-dominated forest in Southern Germany. Several others (St-Onge et al. 2008; Vega and St-Onge 2008, 2009; Bohlin et al. 2012; Nurminen et al. 2013; Straub et al. 2013; Magnussen et al. 2016) also produced stand level estimates of canopy height, wood volume, or aboveground biomass from photogrammetric products.

Those results highlight the potential of photogrammetry to both map the outline of damaged areas, and to quantify the intensity of damage at a low cost. Compared to the conventional method based on photointerpretation and damage classes, times-series of photogrammetric measurements could provide fast, objective, and fine-scale information about wind-throw damage that could be used to estimate impacts of a storm on major dendrometric parameters. Previous researches focused on delineating damaged area based on height changes and were mainly conducted in coniferous-dominated forests. To the best of our knowledge, this study is the first to present height and volume models issued from diachronic PCHMs that are used to assess wind damages in a broadleaved forest. The objective of this research is to demonstrate how such an approach can provide continuous and detailed maps of damages and to estimate the amount of impacted volume. Our study benefits from the rapid renewal of aerial photographs in France (i.e., 3 to 5 years), providing a regular flow of information on canopy structures at a very high spatial resolution (i.e., < 1 m) suited for a forest disturbance monitoring system.

2 Materials and methods

2.1 Study site

The experimental forest is the Have's state forest, that cover 112 km² in North-Eastern France (48° 41' 39" N, 6° 04' 20" E). It is mainly a common beech (Fagus sylvatica L.) forest with hornbeam (Carpinus betulus L.), common ash (Fraxinus excelsior L.), and Norway maple (Acer platanoides L.), with some minor coniferous parts. This site has been heavily impacted by the Lothar storm in 1999. A map of the damages has been produced by the French NFI. Over this site, a digital terrain model (DTM) at a 1-m resolution was produced from LiDAR data acquired in 2007 and 25 calibration field plots were established in 2009 in the framework of a previous project (ANR-Foresee: http://foresee.fcba.fr), and for which height and diameter measurements and growing stock estimates were available (Martins 2009; St-André et al. 2013). Finally, historical aerial photographs were available from the French National Institute of Geographic and Forest Information (IGN, www.geoportail.gouv.fr) before and after the 1999 storm, as well as in 2009.

2.2 Aerial photographs

Three series of photogrammetric images (leaf-on) were used. They were acquired the year of the storm (1999), 3 years later (2002), and the year during which field plots were established for the Foresee project (2009) (Table 1). Images were downloaded from the historical time series of the IGN geoportail (http://remonterletemps.ign.fr). It must be noticed that for 2002, images were only available for the eastern part or the forest, thus restricting the area on which change estimation procedure can be performed. Images were from two sources, i.e., from analogic argentic photographs that were scanned (1999, 2002), and from a digital camera in 2009. Ground resolution of images was highest for the 2002 images (28 cm) and lowest for the 1999 images (55 cm) (Table 1).

2.3 Photogrammetric workflow

The photogrammetric workflow was executed in two steps.

First, the orientation of the image blocks, including the camera calibration and the bundle block adjustment, was done

with the Agisoft PhotoScan 1.6 software (www.agisoft.com). A maximum of 2500 tie-points per image were determined on the basis of a maximum of 15,000 feature points per image. The relative orientation was transformed into a georeferenced orientation with 43 ground control points (GCPs). The ground control points set consists of characteristic points, mainly roof borders and chimnies, that were precisely located by photointerpretation on the 2007 LiDAR DSM. In order to insure a good co-orientation of the 1999 and 2002 image blocks, the orientation of these two blocks was determined simultaneously in PhotoScan. Thus, tie-points have been generated automatically in order to link images from the 1999 survey with images from 2002. For these blocks, 34 GCPs were identified. Images from the 2009 survey cover a larger area and were oriented independently with the whole set of 43 GCPs. Selfcalibration of the cameras was performed on the basis of these GCPs through the "optimize" tool of PhotoScan. Residuals of these GCPs (planimetric and altitudinal) from the aerial triangulation process are presented in Table 1.

In the second step of our approach, the resulting orientations were used for dense-image-matching purposes under the open-source photogrammetric suite MicMac (http://micmac. ensg.eu) (Rupnik et al. 2017). MicMac is based on a multiresolution-optimisation approach. Image pyramids are used in a coarse to fine matching approach to both improve the processing time and control the similarity of matches between levels. For a given pyramid level, a multi-image matching is performed using energy minimization based on either graph cut or a modified dynamic programming/semi-globalmatching approach (Deseilligny and Paparoditis, 2006). MicMac allows the user to control a range of parameters. Here, dense image matching was performed using the Matching Light Tool (MALT) (Lisein et al. 2013; Pierrot-Deseilligny 2014) and a matching strategy optimized for ortho-photographs. The matching strategy required at least two images. A correlation threshold of 0 was used to densify the 3D point cloud, and the regularization term (taking into account the surface regularity) was set to 0.05. The resulting 3D point clouds were then converted into a DSM at twice the resolution of the raw images, i.e., 1.1 m for 1999, 0.55 m for 2002, and 0.65 m for 2009. These DSMs were then resampled at a 1-m resolution by selecting the highest pixel value in each cell in order to preserve heights. The DSMs were further converted into canopy height models (PCHM) using a LiDAR DTM at the same spatial resolution (Fig. 1).

2.4 Processing of photogrammetric products

Height percentile distributions were computed for all PCHM subsets obtained using an extraction radius of 15 m, centered on the coordinates of the calibration plots. Only points higher than 1.3 m were considered. These metrics were used to model Ho and growing stocks at plot level. A simple linear regression



Month/Year	Photograph type		Flying altitude (m)	Forward and	Ground sampling	Block adjustment	Number ground
	and number			side overlaps (%)	distance (cm)	RMSE (xy-z) (cm)	control points
09/1999	Film 1200 dpi	18	3750	60–20	55	238-170	34
06/2002	Film 1200 dpi	74	1900	80–30	28	29–29	34
09/2009	Digital	29	5400	60–20	33	17–38	43

 Table 1
 Image block parameters and root mean square errors (RMSE) of the block adjustment

was used to estimate Ho from height percentiles. On grounds of parsimony, only the best univariate model presenting the lower residual error (RMSE) was retained to estimate Ho.

To estimate growing stocks, three approaches were used: (i) a linear model with the 99th height percentile as predictor; (ii) a non-linear model previously proposed for LiDAR point clouds (Magnussen et al. 2012) with estimates obtained with the nls2 library of the R software (R Core Team 2016). Retained predictors were the median height percentile and the median absolute deviation (MAD) of the PCHM. These parameters were preferred to mean and standard deviation based on their robustness to outliers (Höhle and Höhle 2009); and (iii) a non-parametric approach (RF) using the randomForest library of the R software (R Core Team 2016). Five commonly used metrics were extracted from the calibration plots and used for the RF model. They were the minimum and maximum heights of the PCHM, as well as the 25th, 50th, and 75th height percentiles. The number of "trees" grown (ntree) was set to 5000. The RMSE was computed from the out-of-bag sample.

2.5 Photo-interpreted storm damage maps from NFI

A map of damage classes was produced by the NFI following the 1999 storm (Wencélius 2002). The damage classes were photo-interpreted according to the proportion of impacted forest cover using a map scale of 1:25,000, with a representation threshold of 1 ha, and a geometric precision of 50 m. An extract of this map, covering an area of interest (AOI) in the Haye forest is in Fig. 2a. Damage classes were as follows: class 1, 0–10%; class 2, between 10 and 50%; class 3, between 50 and 90%; and class 4, between 90 and 100% of the forest cover impacted by the storm.

2.6 Field calibration plots

For the ANR-Foresee project, 25 field calibration plots were established in the Haye forest in March 2009. They were 15 m in radius, and all tree diameters larger or equal to 17.5 cm at a height of 1.3 m were measured using a tape, following the Office National des Forêts (ONF) protocol (Duplat and Perrotte 1981). The height of the six trees with the largest diameter was also measured with a Haglöf Vertex, and their mean was considered as the plot dominant height (Ho) (Pardé and Bouchon 1988). The height of the remaining trees was estimated according to allometric relationships presented in St-André et al. (2013), and wood volume was calculated based on tree height and diameter (Deleuze et al. 2014). Total wood volumes resulted from the sum of all tree volume per plot. Plot



Fig. 1 Illustration of photogrammetric canopy height models for 1999, 2002, and 2009. Gray levels (from dark to white) represent increasing height in meters. In 1999, the area of interest is presented as a red rectangle



Fig. 2 Photo-interpreted map of storm damage in the area of interest (a). The map was produced by the French National Forest Inventory after the 1999 storm. The 4 damage classes are: 1) 0-10%, 2) 10-50%, 3) 50-90%, and 4) 90–100%, b The differences between the 1999 and 2002 dominant height in the area of interest. Lighter gray-tones suggest areas with heavier damage. Photo-interpreted polygons are super-imposed onto the dominant height difference map





locations were determined using a differentially corrected Trimble GPS (GeoExplorer XT 6000) with a precision of approximately 1 m.

In the calibration plots, common beech (48%) and hornbeam (30%) constitute 78% of the total number of measured trees with common ash ranked third (9%). From 7 to 42 trees were measured per plot (an average of 23). There were one to six species per plot, with an average of three. Dendrometric characteristics of the plots are in Table 2.

2.7 Damage assessments

2.7.1 Damage extent, comparison with the NFI map

An empty raster with a pixel size of 26 m (that corresponds approximately to the area of the calibration plots used in the modeling phase) was created over an AOI of 15 km^2 established within the forest (Fig. 1). This AOI was selected with the sole aim of limiting computing time of our standard desktop computer when the Monte Carlo approach was used to obtain confidence intervals of wood volume estimates. Ho maps were then produced for 1999 and 2002, by applying to each pixel the previously obtained linear model (Durrieu et al. 2015). A raster

subtraction was then performed (Ho map of 1999–Ho map of 2002) to highlight areas where Ho changes occurred during this period. The resulting raster layer (Δ Ho) was then compared to the polygons with damage classes assigned by NFI photo-interpreters. For each polygon, a distribution of Δ Ho was extracted and summarized by descriptive statistics.

2.7.2 Impacted volume and confidence interval estimation

To obtain estimates of growing stock changes in the AOI, the sum of model-based predictions anno 1999 and 2002 of the expected (model-based) value of V in all pixels was computed. The summation was repeated for each of the three models. The change in growing stocks was considered to be heavily dominated by the impact of the windthrow. The confidence intervals (CI) for the resulting model-based predictions are based on a total of 5000 Monte Carlo simulations. For each simulation run, predictions for each pixel in an AOI were obtained using the Cholesky decomposition method (Rubinstein and Kroese 2008). For RF, CIs were estimated from the predictions of the 5000 individual trees of the RF object.

Table 2Main dendrometric characteristics of the field plots (N = 25) surveyed in 2009

	Minimum	1st Quartile	Median	Mean	3rd Quartile	Maximum
Density (stems/ha)	99	156	198	234	311	439
Dominant height (Ho, m)	18.1	22.4	27.6	26.8	31.0	36.2
Volume (m ³ /ha)	122.2	244.1	335.9	371.5	479.6	728.2





Fig. 3 Histograms of the 1999 (a) and 2002 (b) dominant height distribution in the area of interest

3 Results

3.1 PCHM for each photogrammetric blocks

Figure 1 illustrates the PCHM obtained for each year. Even though the altitudinal precision of the 1999 DSM is low (170 cm) compared to 2002 and 2009 (29–38 cm) (Table 1), contrasted heights can be clearly identified in the different management units. PCHMs appear to be in a realistic range and consistent with field measurements. As shown in Fig. 3, a large majority of the Ho calculated over the AOI is in the interval 20–35 m in 1999, with a drastic shift to lower heights in 2002. However, some negative change values are also observed. They are probably due to co-registration problems between the PCHMs and the DTM used for normalization,

and/or due to errors in the correlations used within the image matching process.

3.2 Damage comparison

In Fig. 2b, the raster of Ho differences between 1999 and 2002 clearly shows zonal differences that are closely linked to the storm damage classes as per the NFI photointerpretation. A summary of the Ho differences by damage class is shown in Fig. 4 and Table 3. A clear increase in Ho differences is observed with increasing damage level. However, for the lower damage classes (1 and 2), the Δ Ho distribution tends toward a mean of 0, suggesting that these classes were only slightly affected by the storm. For areas apparently unaffected by the storm, (damage class 1), the median Δ Ho is -1.3 m,







Table 3Dominant heightdifference statistics (1999–2002)by damage class as estimated byphotointerpretation (source: NFI)(the damage levels, associated tothe damage classes, are given inparenthesis)

Dan	nage classes	Minimum	1st Quartile	Median	Mean	3rd Quartile	Maximum
1	(0–10%)	- 12.6	- 3.2	- 1.3	1.2	1.9	34.4
2	(10–50%)	- 13.1	- 0.2	2.1	6.2	12.6	37.9
3	(50–90%)	- 15.9	1.2	10.9	11.7	21.7	38.3
4	(90–100%)	- 8.6	25.7	29.1	28.1	31.7	39.4

suggesting an annual height increment of ca. 0.4 m; a realistic figure for mature beech forests within this study area (Charru et al. 2010). Interestingly, Fig. 4 also shows that for damage classes 2 and 3, a bimodal distribution is present, illustrating the "discrete" nature of the storm damage, where some trees are remaining and even growing among area where others have been blown down or removed.

3.3 Dendrometric models and changes in growing stocks

From the calibration plots of 2009, models estimating Ho and growing stocks were established (Table 4, Fig. 5). The close relationship between Ho and the 99th height percentile of plot PCHM is shown by the small deviations from a 1:1 line in Fig. 5a. The model obtained for Ho had an adjusted coefficient of determination (R^2) of 0.96 and a RMSE of 1.1 m (Table 4). Therefore, a good estimation of Ho from the PCHM could be achieved with a simple model.

Wood volumes also showed a good relationship with the PCHM metrics. For the non-linear model, the relative RMSE (24%) was slightly lower than for the other models with relative RMSEs of 25 and 32% for the linear regression and the RF, respectively (Table 4). An illustration with the linear model with the 99th height percentile as a predictor is in Fig. 5b.

All models were used to estimate changes in growing stocks over the AOI. Results are in Table 5 and in Fig. 6. In 1999, the estimation of growing stocks range from ca. 411 to 440 thousand cubic meters. A rather small difference (less than 7%) was observed in the 1999 estimates from the three models. However, after the storm (in 2002), a strong divergence in model estimates of growing stock was observed. A difference of more than 50% exists between the estimation resulting from the RF model as compared to the one obtained with the linear model (Table 5). The estimated changes

between 1999 and 2002 are in the range 65–76% for the parametric models and 42% for RF. The 2002 growing stock estimates from RF are far larger than the ones obtained with the parametric models.

4 Discussion

As previously shown by Honkavaara et al. (2013), stereo images could be successfully used to delineate storm damages, and either improve or replace the traditional assessment through photointerpretation, which is an error-prone and labor-intensive process. The regular acquisition of aerial photographs by mapping agencies in several countries further put them at the forefront of storm damage detection.

Our results demonstrated that changes in dominant height can be used to investigate the impact of forest damages on plot structure. Figure 4 is particularly relevant, highlighting how the discrete patterns generated by wind-throw are modifying the height distribution. That said, the assessment of volume losses was limited by the number and representativeness of the calibration plots. This limitation has certainly contributed to the very large CIs observed in Fig. 6 and the greater estimates of the 2002 growing stock from the RF model as compared to estimations from the parametric models. This result is not surprising, as our calibration plots were absent from the disturbed areas. This is a known limitation of the model-based approach used in this study (Magnussen 2015; Gregoire et al. 2016). Furthermore, the non-parametric approach of RF is data-driven and known to be unable to predict outside its calibration range (Breiman et al. 1984; Breiman 2001). Therefore, in 2002, estimates from the RF model are probably biased toward over-estimating the growing stocks.

Table 4 Photogrammetric-based models of dominant height (Ho) and wood volume (V) and corresponding root mean squared error (RMSE).Modeling framework included linear (lm), non-linear (nls), and random forest (rf). Predictors included are distribution percentiles (pxx), and themedian absolute deviation of the height models (MAD)

Variable	Model type	Model predictors	RMSE	RMSE (%)
Ho (m)	lm	1.05 p99–1.62	1.9	4
$V(m^3.ha^{-1})$	lm	29.4 p99 - 414.6	93	25
	nls	$p50^{1.84} + MAD^{7.29}$	89	24
	rf	(p0, p25, p50 p75, p100)	120	32







Fig. 5 Prediction of a dominant height (dash line represents 1:1 line), and b wood volumes (regression line in red) using the 99th height percentile of the photogrammetric canopy height models as predictor

Using LiDAR, Shin et al. (2016) have shown that in Douglas fir forests, 200-250 training plots were an optimal sample size to predict total forest attributes over an AOI. Even though this may depend upon forest structures, it further emphasize that sampling strategy is of prime importance in order to obtain robust estimators (Grafström et al. 2014). Therefore, in an operational design, one would have to rely on a larger number of training plots, representative of all damage classes to be considered. In this way, NFI plots could be legitimate candidates to contribute to such a modeling approach. Vega and St-Onge (2008) used virtual plots from manual stereoscopic measurements to compensate for the lack of field validation data, and to account for the effect of image properties (image type, orientation, base-height ratios, among others) on the photogrammetric reconstruction. Their approach can mitigate the lack of height measurements in some forest structures, but it is, however, insufficient for the provision of volume estimates. Despite this drawback, uncalibrated PCHM are considered as sufficient for estimating large storm damages (Waser et al. 2008, Vastaranta et al. 2013), and for the long-term monitoring of forest canopies (Fujita et al. 2003).

Table 5Total volume (m^3) and volume change modeled with threeapproaches for the area of interest (15 km²) before and after the stormevent

Year	lm	nls	rf
1999	422,915	411,514	439,727
2002	102,038	145,091	252,869
Change (%)	76	65	42

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Another important aspect to consider is the quality of coregistration. Field training plots (in the calibration phase) and image time series have to match accurately. A slight coregistration error may impact the quality of models and affect the accuracy of change-detection (e.g., Waser et al. 2008, Frazer et al. 2011, Hyvönen et al. 2011, Tian et al. 2013; 2017). Therefore, in order to avoid (as much as possible) the effect of image mismatching, we decided to remain at a relatively large resolution to estimate forest changes following the 1999 storm (i.e., 26 m). Apparently, at this scale, the differences in image and DSM qualities (Table 1) did not impair our assessment of the level of storm damage. At least our results correspond well to the damage assessed by the NFI photointerprets (Fig. 2). This is an interesting result, since it is likely that images obtained before and after a storm might have different acquisition specifications.

For low-level damages, e.g., less than 10 fallen trees per hectare, the precision of NFI map was found to be rather low (Stach and Deshayes 2009), but automatic approaches such as the one proposed by Honkavaara et al. (2013) also appears to be inefficient in mapping such kind of complex situations. Ali-Sisto and Packalen (2017) obtained similar results, being able to classify accurately clear cuts (98.6%) using differences in PCHM, but less successful in detecting minor changes such as thinning (obtaining an accuracy of 24.1%) that is difficult to detect with current 3D models of canopy cover, in particular when the thinning results in the removal of smaller trees underneath the canopy of dominant trees. Detecting minor storm damages would therefore need further work and refinements. One way forward could come from a crown delineation of the PCHM as proposed by Vega et al. (2014) for LiDAR data.



Fig. 6 Volumes and 95% confidence intervals obtained with three regression models for the area of interest

However, the approach requires a very high quality DSM, which in turn depends upon the image resolution, image overlaps, sun elevation, as well as on the algorithm used for 3D reconstruction, just to name the important factors (Durrieu et al. 2015). It will also be a computer-intensive operation requiring substantial computing resources for processing larger areas. As stressed by Wang et al. (2015), such a work would also have to take into account artifacts generated by the image matching process. In this study, we limit ourselves to Ho difference maps with a 26-m resolution. Such a resolution matches well with the spatial extent of NFI plots and allow to filter out local height errors due to image-matching problems.

Aerial photographs are also considered by several authors as a tool to support updates of NFI data and to detect forest cover changes (Massey et al. 2014, Ginzler and Hobi 2015, Wang et al. 2015, Tian et al. 2013; 2017). In complex mixed forests, it has been shown that they could be an alternative to airborne LiDAR scanning (ALS) data for modeling key forest attributes such as growing stock, for example (Straub et al. 2013; White et al. 2013; Stepper et al. 2015). Previous studies, estimating growing stocks with PCHM obtained RMSE ranging from 13 to 40% (Järnstedt et al. 2012; Bohlin et al. 2012; Nurminen et al. 2013; Straub et al. 2013; Vastaranta et al. 2013; Stepper et al. 2015). Results in terms of RMSE reported in the cited studies are not dissimilar to ours (RMSE of 24– 32%).

This study demonstrated the potential of differences in PCHM to be applied operationally for delineation of damaged areas following a storm. It also allows an estimation of impacted stem volume (Fig. 6). Technical advances in the field of photogrammetry have eased the extraction of 3D information contained in aerial photographs (Durrieu et al. 2015). The regular acquisition of aerial photographs on large territories may contribute to the improvement of forest parameter estimation and mapping in a near future.

5 Conclusion

This study showed that it is possible to use differences in PCHM produced from images acquired before and after a storm event to estimate changes in growing stock attributed to the storm. We produced maps of Ho in 1999 and 2002, with a coarse resolution (i.e., 26 m). In terms of damage mapping, this method appears efficient. However, further work on segmentation algorithms should be pursued in order to facilitate the production of maps with similar characteristics as a photo-interpreted map. As image resolutions are sub-meter, PCHM comparison may also allow identification of minor damage, which is otherwise difficult to quantify from ortho-photos and textural metrics. These promising avenues, however, require further research and testing at practically relevant scales.

From the PCHM, we also produced model-based estimates of V that allowed us to estimate changes in Vover an AOI. The methodology and our results suggest a promising potential for exploiting PCHM in pursuit of a rapid quantification of windthrow damage.

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