

Transitive structures with generic/indefinite O-arguments in English: An emergent antipassive construction?

Céline Lambert, Coraline Plumat & An Van linden
University of Liège

AFLiCo, 3rd June 2017, University of Liège

1. Introduction

- Transitive structures with generic/indefinite O-arguments in Present-day English:
 - 1) Iyad Allawi is strong and tough with a hard edge. He'll make things better. (USnews) [plural count O]
 - 2) The fact that he cut such a handsome figure tended to make folks forget that he didn't know it all. He looked and acted like a man destined for great things, and most people tend to be swayed by a man's opinion of himself. (USbooks) [plural count O]
 - 3) "I love demolishing stuff," said Matthew Markham, an 11-year-old attendee who had just taken apart a cell phone and was struggling to piece it back together. (USmag) [uncount O]
- To what extent do these show reduced transitivity and backgrounded discourse status in the sense of Hopper &Thompson (1980)?

1. Introduction

• Hopper &Thompson (1980: 252): transitivity as a scalar notion; global property of the entire clause

		High Transitivity	Low Transitivity
Α	Participants	Two or more participants	One participant
В	Kinesis	Action	Non-action
C	Aspect	Telic (completed)	Atelic (in progress)
D	Punctuality	Punctual	Non-punctual
Ε	Volitionality	Volitional	Non-volitional
F	Affirmation	Affirmative	Negative
G	Mode	Realis	Irrealis
Н	Agency	Agent high in potency	Agent low in potency
1	Affectedness of the object	Object totally affected	Object not affected
J	Individuation of the object	Object highly individuated	Object non-individuated

• Focus on one parameter: Individuation of O → What happens in transitive structures with low-individuation objects?

1. Introduction

Main findings: Transitive structures with generic/indefinite O-arguments in PDE

- do not clearly show reduced transitivity across other parameters
- but they tend to occur in backgrounded discourse and show 'demotion' of O
 → functionally (not formally) antipassive constructions
 - 1) Iyad Allawi is strong and tough with a hard edge. He'll make things better. (USnews) [plural count O]
 - 2) "I love demolishing stuff," said Matthew Markham, an 11-year-old attendee who had just taken apart a cell phone and was struggling to piece it back together. (USmag) [uncount O]
- form fruitful ground for idioms and partially filled constructions (strong V-O bond), e.g. periphrastic causative cxns with folks, cf. (3)
 - 3) The fact that he cut such a handsome figure tended to make folks forget that he didn't know it all. He looked and acted like a man destined for great things, and most people tend to be swayed by a man's opinion of himself. (USbooks) [plural count O]

Outline for today

- 1. Introduction
- 2. Data and methods
- 3. Antipassive construction?
 - 3.1 Functional approach to antipassives
 - 3.2 Transitivity parameters
 - 3.3 Discourse status
- 4. Emergence of idioms and partially filled constructions
- 5. Conclusions

2. Data and methods

- Queries run on **WordBanks Online** subcorpora (57 million word corpus of Present-day English)
- US English subcorpora, containing spoken and written data
- Queries targeted any verb form followed by folks/things/stuff/shit(s), allowing for up to two intervening words between V and O
- After basic sorting, random samples of 250 relevant hits were analysed per object NP for a number of analytical parameters, including:
 - Degree of transitivity, subsuming H&T 1980 parameters
 - Discourse status
 - Decategorialization reflexes of object NP

3. Antipassive construction?

3.1 Functional approach to antipassives

Traditionally, antipassive constructions are defined as a valency-decreasing device (Dixon 1994: 13):

- mirror image of passive constructions, typically found in ergative languages
- showing 4 properties:
 - 1) Applies to an underlying transitive clause and forms an intransitive one
 - 2) Underlying Agent becomes S of the intransitive
 - 3) Underlying Object is demoted → goes into peripheral function, being marked by a non-core case, preposition, etc
 - 4) Presence of overt formal marking on the verb

Examples from Dyirbal (Pama-Nyungan):

(a) transitive (Dixon 1994: 10, ex. 8)

yabu

numa-ngu

bura-n

mother + ABS father-ERG see-NONFUT

father(A) saw mother(O)

(b) intransitive; antipassive (Dixon 1994: 10, ex. 12)

numa bural-na-nyu yabu-gu

father + ABS see-ANTIPASS-NONFUT mother-DAT

father(S) saw mother

3. Antipassive construction?

3.1 Functional approach to antipassives

From a functional perspective, antipassive constructions (Herslund 1997):

- not restricted to ergative languages
- Agent perspective and enhanced intentional value
- demotion of O/Patient: Patient is unimportant (not fully integrated in the event) because it is non-specific or unidentifiable, or it is obvious

Examples from French (Herslund 1997: 80, ex. 9): note difference in perfective auxiliary

(a) transitive

Le ministre a tu ce problème.

'The minister kept secret this problem.'

(b) intransitive; antipassive reflexive

Le ministre s'est tu sur ce problème.

'The minister REFL was silent PREP this problem'

3. Antipassive construction? 3.2 Transitivity parameters

- Computation of transitivity index per hit, based on values for Hopper & Thompson's (1980: 252) transitivity parameters
- distinction between:
 - bare NPs (lacking determiners, premodifiers, postmodifiers)
 For example: A child is born to parents who are of average intelligence. One parent is quick to feel things to be angry, to be irritated [...] (USbooks)
 - non-bare NPs

"For the moment, our focus is on all of the police and response personnel necessary not being diverted from a visitor and really focusing on the recovery itself," Kerry said. "Teresa and I are really thinking about those folks and our prayers and our thoughts are with them in the next hours," he said. (USnews)

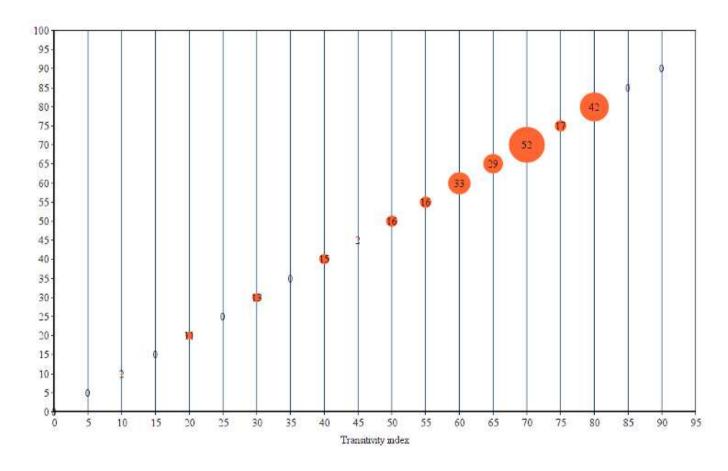
BARE NPs	<i>Folks</i> [n=98]	<i>Things</i> [n=96]	<i>Stuff</i> [n=68]	<i>Shit(s)</i> [n=66]
Kinesis	9.39 - 920	9.68 — 920	9.26 - 630	5.38 - 350
Aspect	7.91 – 775	7.44 – 735	7.57 – 515	6.46 – 420
Punctuality	7.55 — 740	3.05 – 290	6.32 – 430	2.92 - 190
Volitionality	7.96 – 780	9.26 - 880	8.97 - 610	4.77 – 310
Affirmation	9.69 - 950	9.47 - 900	8.97 - 610	4 – 260
Mode	6.33 - 620	4.21 - 400	6.62 - 450	2.31 - 150
Agency	6.43 - 630	7.15 - 680	9.26 - 630	2.92 – 190
Affectedness of O	9.29 - 910	7.68 – 730	7.5 – 510	3.85 - 250
Individuation of O	0.20 - 20	0.10 - 10	0	0
Mean Index (0-90)	64.74	56.10	64.49	57.47
Standard Deviation	9.50	10.85	8.75	12.62

NON-BARE NPs	Folks [n=152]	<i>Things</i> [n=154]	Stuff [n=182]	<i>Shit(s)</i> [n=184]
Kinesis	8.88 – 1350	8.82 – 1350	7.64 – 1390	8.63 – 1580
Aspect	8.65 – 1315	7.84 – 1200	7.14 – 1300	8.20 – 1500
Punctuality	5.92 – 900	3.07 – 470	5.33 – 970	7.12 – 1090
Volitionality	7.70 – 1170	7.90 – 1210	7.42 – 1350	8.69 – 1590
Affirmation	9.61 – 1460	9.28 – 1420	9.12 - 1660	6.56 – 1200
Mode	6.45 – 980	5.29 - 810	6.65 – 1210	3.22 – 590
Agency	6.94 – 1055	7.94 – 1215	8.96 – 1630	1.20 – 220
Affectedness of O	7.83 – 1190	6.11 – 935	7.5 – 1365	9.01 – 1380
Individuation of O	0.86 – 130	0.20 – 30	0	0
Mean Index	62.83	57.76	59.75	36.74
Standard Deviation	11.24	9.77	14.33	20.06
MEAN Index BARE NPs	64.74	56.10	64.49	57.47

3. Antipassive construction?

3.2 Transitivity parameters

• Clustering of Transitivity Indexes : e.g. stuff



3. Antipassive construction? 3.2 Transitivity parameters

- Apart from *shit(s)*, only a slight difference between bare and non-bare NPs
- In general, data show rather high degree of transitivity, including high degree of agency (cf. functional approach to antipassives)
- animacy variable (animate *folks* versus inanimate *things*, *stuff* and *shit(s)*), as well as a register variable (neutral *things* versus informal to slang *folks*, *stuff*, and *shit(s)*) do not bear on the tendencies observed

3. Antipassive construction? 3.3 Discourse status

- structures studied prefer backgrounded discourse status
- animacy of O or register variation do not seem to bear on discourse status preference

[TOTAL=250 hits per	Foregrounding		Backgrounding	
sample]	n	%	n	%
Folks	85	34.00	165	66.00
Things	81	32.40	169	67.60
Stuff	93	37.20	157	62.80
Shit(s)	75	30.00	175	70.00

3. Antipassive construction? 3.4 Interim conclusions

- → NPs studied merely function as filler elements, used to satisfy the argument-structure requirements imposed by the verb
- → As they realize non-individuated O-arguments in backgrounded stretches of discourse, we propose that the structures can be analysed as emergent (Hopper 1991) antipassive constructions
- → These won't necessarily develop into canonical antipassive constructions over time. Most probably they will never shed overt expression of the (original) O-argument.

4. Emergence of idioms and partially filled cxns

- Colloquial collocations
 - Shit as a negative polarity item → emphatic negation
 - (1) But he doesn't know it yet? He don't know shit, but he is learning. (USbooks) ('not anything at all')
- Partially-filled constructions (cf. Goldberg 1995)
 - Folks: indirect causation construction (MAKE + folks + INF)
 - (2) The smartest thing Satan ever done was making folks believe he ain't real. (USbooks)
 - (3) The fact that he cut such a handsome figure tended to <u>make</u> folks forget that he didn't know it all. (USbooks)
 - Things: resultative construction (MAKE + things + ADJ.COMP)
 - (4) He'll make things better. (USnews)
 - (5) I thank you for your intervention, sir, but I fear you have made things worse. (USbooks)

5. Conclusions

- Transitive structures with generic/indefinite O-arguments in Present-day English show a high degree of transitity in terms of Hopper &Thompson (1980)
- This begs the question whether individuation of O is a reliable indicator of conceptual transitivity (participant-related rather than event-related)
- Our findings are concurrent with Næss's (2004, 2007) view on prototypical transitivity, in which affectedness of O is a crucial parameter (event-related), while degree of individuation of O (animacy and definiteness) is shown to be merely epiphenomenal across languages
- A strong connection between V and O gives rise to idioms and partially filled constructions

References

- Dixon, R. M.W. 1994. *Ergativity*. Cambridge University Press.
- Goldberg, Adele. 1995. *Constructions: A construction grammar approach to argument structure*. Chicago: University of Chicago Press.
- Herslund, M. 1997. Passive and Antipassive in a Functional Description of French Reflexive Verbs. *Journal of linguistics* 19: 75-92.
- Hopper, P. 1991. On some principles of grammaticization. In Traugott & Heine (eds), *Approaches to Grammaticalization*, vol. 1: *Focus on Theoretical and Methodological Issues*, 17-35. Amsterdam: John Benjamins.
- Hopper, P. and Thompson, S. 1980. Transitivity in Grammar and Discourse. Language 56 (2): 251-299.
- Næss, Åshild. 2004. What Markedness Marks: The Markedness Problem with direct objects.
 Lingua 114: 1086-1212.
- Næss, Åshild. 2007. Prototypical Transitivity. Amsterdam: John Benjamins.