

# 1 Fluvial archives, a valuable record of 2 vertical crustal deformation

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4  
5 **Abstract.** The study of drainage network response to uplift is important not only for understanding  
6 river system dynamics and associated channel properties and fluvial landforms, but also for  
7 identifying the nature of crustal deformation and its history. In recent decades, geomorphic analysis  
8 of rivers has proved powerful in elucidating the tectonic evolution of actively uplifting and eroding  
9 orogens. Here, we review the main recent developments that have improved and expanded  
10 qualitative and quantitative information about vertical tectonic motions (the effects of horizontal  
11 deformation are not addressed). Channel long profiles have received considerable attention in the  
12 literature, and we briefly introduce basic aspects of the behaviour of bedrock rivers from field and  
13 numerical modelling perspectives, before describing the various metrics that have been proposed to  
14 identify the information on crustal deformation contained within their steady state characteristics.  
15 Then, we review the literature dealing with the transient response of rivers to tectonic perturbation,  
16 through the production of knickpoints propagating through the drainage network. Inverse modelling  
17 of river profiles for uplift in time and space is also shown to be very effective in reconstructing  
18 regional tectonic histories. Finally, we present a synthetic morphometric approach for deducing the  
19 tectonic record of fluvial landscapes.

20 As well as the erosional imprint of tectonic forcing, sedimentary deposits, such as fluvial terrace  
21 staircases, are also considered as a classical component of tectonic geomorphology. We show that  
22 these studies have recently benefited from rapid advances in dating techniques, allowing more  
23 reliable reconstruction of incision histories and estimation of incision rates. The combination of  
24 progress in the understanding of transient river profiles and larger, more rigorous data sets of terrace  
25 ages has led to improved understanding of river erosion and the implications for terrace profile  
26 correlation, i.e., extrapolation of local data to entire profiles. Finally, planform changes in fluvial  
27 systems are considered at the channel scale in alluvial rivers and regional level in terms of drainage  
28 reorganisation. Examples are given of how numerical modelling can efficiently combine with  
29 topographic data to shed new light on the (dis)equilibrium state of drainage systems across regional  
30 drainage divides.

31 **Keywords.** Drainage system; fluvial archives; mountain uplift; active tectonics; river profile; fluvial  
32 erosion modelling

## 33 **1 Introduction**

34 Alongside climate, uplift, and associated crustal deformation, exerts a strong control on the  
35 behaviour and evolution of fluvial systems. This is mainly through its impact on local or regional  
36 relative base-level changes and slope variations. Whilst it has long been acknowledged that fluvial  
37 landscapes hold a detailed record of past crustal deformation (e.g., Davis, 1899), isolating the  
38 causative component within this record is often complicated because of the interplay with many  
39 other controls (for example climate, lithology) and feedback mechanisms (e.g., isostatic rebound of  
40 erosional origin). Therefore, although understanding and modelling of multiple controls on fluvial  
41 evolution have rapidly improved in recent years (e.g., Roe et al., 2002; Lague et al., 2005; Stark, 2006;  
42 Turowski et al., 2007, 2008; Lague, 2010), inferences about tectonic forcing often rely on an  
43 extensive set of simplifications regarding boundary conditions (uniform rainfall depth and bedrock  
44 erodibility, constant and uniform uplift rate, sediment load) and free variables (such as channel  
45 geometry). Typical hydraulic scaling of channel width is for instance implicitly accepted in the wide

46 use of the simplest form of the stream power incision model (e.g., Berlin and Anderson, 2007;  
47 Beckers et al., 2015). The fact that inferences about crustal deformation are nevertheless generally  
48 consistent with independent information underlines its significance in shaping fluvial landscapes and  
49 demonstrates how powerful the geomorphological approach can be (e.g., Kirby and Whipple, 2001,  
50 2012; Anthony and Granger, 2007; Cook et al., 2009).

51 The rapidly growing body of data of increasing quality and resolution published from Quaternary  
52 fluvial archives, in which the interdisciplinary group FLAG has played a significant role (see Cordier et  
53 al. this volume), combined with worldwide research on modern analogues (e.g., Lane et al., 2003;  
54 Wohl, 2010, 2014; Church et al., 2012) has enhanced our understanding of how fluvial systems  
55 respond to environmental perturbations. Combined with recent developments in numerical  
56 modelling of river evolution (e.g., Veldkamp et al., this volume), it has also shed much light on the  
57 sedimentary, hydrologic, and geomorphic responses of fluvial systems to crustal deformation (e.g.,  
58 Schumm et al., 2000; Whipple, 2004; Crosby et al., 2007; Kirby and Whipple, 2012; Whittaker and  
59 Boulton, 2012). Meanwhile, the inverse use of the response characteristics recorded in these  
60 archives has enabled the tracing back of deformation events in a variety of active settings (e.g.,  
61 Westaway et al., 2002; Westaway, 2007; Cook et al., 2009; Schildgen et al., 2009; Roberts and White,  
62 2010; Kirby and Ouimet, 2011). Fluvial archives can basically be considered as being made of two  
63 main types, namely the morphology of fluvial landforms and landscapes and the sedimentary  
64 deposits produced in response to a tectonic or climatic driver. Uplift information is both embedded  
65 within erosional fluvial features such as river profiles or reconstructed terrace staircases, and  
66 recorded in the characteristics of fluvial depositional sequences (e.g., texture, thickness, architecture,  
67 provenance). However, as mountainous landscapes typically associated with tectonically active  
68 regions are often dominated by incision, most geomorphic studies of active tectonics have been  
69 overwhelmingly focussed on erosional topography and the forms sculpted by the incising rivers.  
70 Importantly, however, these studies are not limited to active mountain belts and the study of river  
71 incision and terrace sequences is also powerful in unravelling the history and modalities of more  
72 moderate tectonic activity (e.g., Krzyszkowski et al., 2000; Abou Romieh et al., 2009) and epeirogenic  
73 deformation driven by far-field stresses (e.g., Cloetingh et al., 2002, 2005; Bourgeois et al., 2007),  
74 deep crustal processes (e.g., Ritter et al., 2001) or isostasy (e.g., Westaway, 2001) in intraplate areas.

75 Investigating the relationships between crustal deformation and drainage system evolution may be  
76 envisioned as a means to gain understanding of the river processes in response to the deformation  
77 (e.g., Ouchi, 1985; Holbrook and Schumm, 1999; Bianchi et al., 2015 in alluvial systems; Whipple and  
78 Tucker, 1999; Whittaker et al., 2007a; Finnegan, 2013; Cook et al. 2013, 2014 for bedrock rivers).  
79 However, since the pioneering work of Hack (1957, 1973), a large proportion of studies have  
80 conversely focussed on using fluvial archives and landscapes (catchment morphometry, terrace  
81 staircases, river profiles, knickpoints) as tools to gain insight into the spatial and temporal variations  
82 of uplift and crustal deformation patterns (e.g., Snyder et al., 2000; Berlin and Anderson, 2007;  
83 Bridgland and Westaway, 2008, 2014; Pérez-Peña et al., 2009, 2010; Roberts et al., 2012; Demoulin  
84 et al., 2013, 2015; Boulton et al., 2014; Goren et al., 2014; Viveen et al., 2014; Geach et al., 2015a).  
85 These studies cover a wide range of time scales, from very short term ( $10^0$ - $10^1$  years) coseismic  
86 knickpoint propagation along modern river profiles (Yanites et al., 2010; Cook et al., 2013; Huang et  
87 al., 2013) to very long term ( $10^7$  years) mantle upwelling and dynamic uplift effects (Roberts and  
88 White, 2010; Barnett-Moore et al., 2014; Czarnota et al., 2014). There is also a corresponding variety  
89 of spatial scale (from individual faults to continental-scale river or terrace profile inversion) and  
90 contrasting structural settings, from rapidly uplifting mountain ranges (e.g., Lavé and Avouac, 2001;  
91 Fuchs et al., 2014) through moderately active intraplate areas (e.g., Demoulin and Hallot, 2009;  
92 Larue, 2011) to cratonic areas with long histories of extremely low deformation rates (e.g., Westaway  
93 et al., 2003; Roberts and White, 2010).

94 This renewed interest in the use of fluvial archives and river morphometry in tectonic studies has  
95 been strongly fostered by major recent advances in geochronological techniques, including

96 continuous improvements in established dating methods such as luminescence dating and  
97 exponential developments in the exploitation of terrestrial cosmogenic nuclides (Brocard et al., 2003;  
98 Cordier et al., 2010, 2014; Rixhon et al., 2011, 2016). Age estimates have added much value to the  
99 huge quantity of field data carefully collected in river valleys over the last century, enabling the  
100 calibration and validation of models that simulate the drainage system response to crustal  
101 deformation. This revival was invigorated by the availability of digital elevation models (DEM) of  
102 ever-increasing resolution and accuracy and the parallel explosion in computing power and  
103 capabilities of spatial analysis softwares. Our goal in this paper is to embrace the interdisciplinary  
104 nature of FLAG by bringing together research on fluvial archives spanning the Quaternary fluvial  
105 terrace literature together with sedimentological and river profile studies to provide an overview of  
106 the wide spectrum of mainly post-2000 advances in fluvial geomorphology that shed light over  
107 Quaternary histories of vertical crustal deformation. We will use these examples to highlight the  
108 main challenges ahead for the fluvial archives community with a focus mainly on the evolution of  
109 drainage systems in erosional terrains responding to vertical crustal deformation.

## 110 **2 Decoding river long profiles**

111 We first describe the shape a river longitudinal profile evolves towards with time under constant  
112 boundary conditions and briefly review how this shape has been expressed mathematically and how  
113 it can similarly be derived from the relation between river incision and stream power. Then, we  
114 examine various metrics used to characterize river profiles and discuss the indications they provide  
115 about the crustal deformation underlying the drainage system evolution.

### 116 **2.1 The graded profile: observation and theory**

117 Topography and river long profiles in particular are a potentially rich archive of the time-variable  
118 factors that governed their evolution. In a general way, rivers adjusting to constant controls tend to  
119 establish a graded profile that, according to Mackin (1948), corresponds to a dynamic equilibrium  
120 between channel slope and geometry, discharge, and sediment load (Fig. 1). As underlined by  
121 Mackin, this shouldn't be misunderstood as a situation in which, transport capacity and sediment  
122 load being equal, the river has no energy left for incision. Rather, this subtle equilibrium considers  
123 the energy used for channel bottom erosion as part of that energy expended in the transport of  
124 sediments acting as a tool for erosion. In the case of uplift, for instance, increased slopes will  
125 essentially be equilibrated by increased sediment transport, which is itself allowed in the first  
126 instance by channel erosion. Therefore, the steady state to which the graded profile refers may be  
127 viewed as a topographic steady state *sensu* Willett and Brandon (2002) in which removal of material  
128 by river erosion balances influx of rock by uplift, so that the topography of the valley network does  
129 not change with time. Conversely, as long as the response of the fluvial system to a perturbation  
130 resulting (in this article) from crustal deformation is ongoing, the river is said to be in a transient  
131 state.

132 Several authors have proposed a variety of empirical mathematical formulations to describe the  
133 concave upward graded profile of a river. Hack's (1957) seminal paper contributed to the spread of  
134 the idea that the graded profile is best expressed by elevation  $z$  decreasing logarithmically with  
135 distance  $x$  from the source

$$136 \quad z = C - k_l \ln(x) \quad (1)$$

137 (with  $k_l = \text{constant}$ ), a function that he derived from the observed linear relationship between  
138 channel slope and distance. However, as also argued recently by Goldrick and Bishop (2007), Hack  
139 noted that the graded profile could also follow a power law in the form

$$140 \quad z = C - k_p x^\alpha \quad (2)$$

141 with  $0 < \alpha < 1$  and  $k_p = \text{constant}$ . Considering the usual values of the involved exponents (Whipple and  
142 Tucker, 1999, their dimensionless equation 21a), the widely used equilibrium long profile formulation  
143 derived from the stream power model of river incision (see below)

$$144 \quad z = z_{\text{out}} + \mathcal{K}(1 - x^\alpha) \quad (3)$$

145 (with  $z_{\text{out}}$  = catchment outlet elevation and  $\mathcal{K}$  = constant) implicitly acknowledges this power law  
146 relationship. Anecdotally, exponential (Snow and Slingerland, 1987; Morris and Williams, 1997; Rice  
147 and Church, 2001) and quadratic expressions of the graded profile (Rice and Church, 2001) have also  
148 been mentioned in specific circumstances such as simple alluvial systems without significant water or  
149 sediment inputs by tributaries, in which the effect of downstream comminution of bed load particles  
150 dominates (Rice and Church, 2001).

151 Another way to describe how rivers achieve their graded profile is numerical modelling of river  
152 incision. A review of the huge literature that deals with this field of research is beyond the scope of  
153 this paper. We therefore summarize briefly the basics of such models in order to highlight the  
154 relationships they allow us to explore between the respective characteristics of river long profiles  
155 and tectonic history. Models are differently expressed in detachment-limited conditions, where  
156 channel erosion is first controlled by the river's ability to detach particles from its bed, and transport-  
157 limited conditions, where the channel's evolution depends primarily on the transport capacity of the  
158 river. While alluvial rivers are obviously transport-limited, detachment-limited conditions are typical  
159 of bedrock rivers in steeper areas. Noting that stream power can be thought of as energy dissipation  
160 per unit channel area, many variants among the widely acclaimed family of stream power incision  
161 models (SPIM) postulate that channel incision ( $E$ ) of bedrock rivers is a function of unit stream power  
162 ( $\omega$ ), yielding the fundamental equation

$$163 \quad E = k_a \rho_w g Q S / W \quad (4)$$

164 where  $k_a$  = constant,  $\rho_w$  = water density,  $g$  = gravitational acceleration,  $Q$  = water discharge,  $S$  and  $W$   
165 = channel slope and width, respectively. Empirical static relationships expressing  $Q$  and  $W$  as powers  
166 of drainage area ( $A$ ) and  $Q$ , respectively, allow the rewriting of equation (4) as (Whipple and Tucker,  
167 1999)

$$168 \quad E = K A^m S^n \quad (5)$$

169 ( $K$  = erosivity coefficient), i.e., in a form easily accessed with the use of digital elevation models. If we  
170 allow the dependence of  $E$  on  $\omega$  to be linear, the slope exponent  $n = 1$  in equation (5). Moreover,  
171 based on the observed values of 0.8-1 and 0.4-0.5 for the exponents of the  $Q = f(A)$  and  $W = f(Q)$   
172 power law functions (e.g., Bravard and Petit, 1997), we obtain  $m \approx 0.5$ . Relating  $E$  to stream power  $\Omega$   
173 or to bed shear stress  $\tau$  instead of  $\omega$  simply changes the values of  $m$  and  $n$  in the operational  
174 equation (5). In the first case,  $m = n = 1$ , in the second,  $m \approx 1/3$  and  $n \approx 2/3$ . It should however be  
175 noted that these equations include no direct consideration of the actual erosion processes at the  
176 channel bottom. Whipple et al. (2000) provided field and theoretical evidence showing for example  
177 that, while  $n$  conforms with the above values if plucking is the dominant process, it rises to values  
178 around 5/3 when abrasion prevails. Although field studies have shown that observed bedrock river  
179 erosion is broadly consistent with  $n \sim 1$  in many cases (e.g., Berlin and Anderson, 2007; Whittaker et  
180 al., 2007a; Whittaker and Boulton, 2012), this assumption has been challenged by theoretical and  
181 field work emphasizing a non-linear relation between  $E$  and  $S$ , implying  $n > 1$  when other controls on  
182 erosion are included in the modelling, such as an erosion threshold and a stochastic distribution of  
183 erosive flood events (Snyder et al., 2003; Lague et al., 2005; DiBiase et al., 2010; Lague, 2014), the  
184 scaling of channel width as a function of slope (Finnegan et al., 2005), or temporal variations in  
185 precipitations (Braun et al., 2015).

186 The decision on the most appropriate  $n$  value illustrates how many controls on incision are difficult to  
187 apprehend in the most basic SPIM expression provided by equation (5). One further major control

188 hidden in the erosivity coefficient  $K$  is that of sediment load. Much theoretical and experimental  
 189 work has been devoted to it, highlighting the role of sediment flux, grain size, relative rock strength  
 190 of load particles and channel bottom and grain protrusion (Sklar and Dietrich, 1998, 2001; Stark et  
 191 al., 2009; Yager et al., 2012), and underlining how the sediment load control results from the balance  
 192 between the antagonistic tool and cover effects of the sediments (Sklar and Dietrich, 2004, 2006;  
 193 Turowski et al., 2007; Lague, 2010). Other important controls embedded in  $K$  include rock resistance,  
 194 climate, erosion process, hydraulic geometry and the return period of the effective discharge  
 195 (Anderson and Anderson, 2010). Although the assumption of constant  $K$  is often made in case  
 196 studies, the interpretation of river profiles focused on surface deformation should thus always keep  
 197 in mind not to underestimate the potential role of these hidden controls.

198 The principle of conservation of mass implies that, at any point, profile elevation change with time  
 199 must be the result of a difference between uplift rate  $U$  and river incision rate  $E$ . As steady state is  
 200 attained when erosion and uplift balance, unchanging elevations of the equilibrium profile are  
 201 expressed by

$$202 \quad U = KA^m S^n \quad (6)$$

203 from which we derive the equation of profile equilibrium slope

$$204 \quad S = (U/K)^{1/n} A^{-m/n} \quad (7)$$

205 Using Hack's (1957) law, which states that  $A$  is a power of  $x$ , to substitute drainage area  $A$  with along-  
 206 stream distance  $x$ , equation (7) may in turn be integrated to yield equation (3) as the mathematical  
 207 expression of the graded profile, where

$$208 \quad \alpha = 1 - hm/n \quad (8)$$

209  $h$  being the exponent on  $x$  in Hack's law and taking values in the order of 5/3.

210 To summarize, observation and numerical modelling agree on the power law representation of the  
 211 graded profile of most bedrock rivers that incise uplifting areas and equation (7) implies that uplift  
 212 rate  $U$  contributes to determining this profile. Profile characteristics thus record vertical crustal  
 213 deformation in some way. However, limitations arise because of the excessive use of the steady state  
 214 assumption and its easily manageable profile equations, although steady state is probably much less  
 215 often achieved than generally thought (Willett et al., 2001; Phillips, 2011). However, the above  
 216 equations are strongly affected by transient conditions. To take only one example, the static  
 217 relationship between channel width and discharge becomes invalid under such conditions and should  
 218 be substituted with a dynamic expression of width entailing a slope-width dependence (Whittaker et  
 219 al., 2007b; Attal et al., 2008; Turowski et al., 2009) that effectively makes  $n > 1$ , highlighting the  
 220 transient strongly non-linear dependence of erosion rate on channel gradient.

## 221 **2.2 River profile analysis**

### 222 *2.2.1 Characterizing a profile*

223 The analysis of real long profiles, either graded or in transient state, requires the definition of metrics  
 224 that capture their tectonic content in an identifiable way. Several such metrics have been devised  
 225 over time with various purposes. One, the stream-gradient index  $SL$ , was first applied to profile  
 226 analysis by Hack (1957, 1973). By differentiating equation (1) as the best approximation of long  
 227 profile curves at the local scale (Hack, 1957), he obtained the channel slope equation

$$228 \quad |S| = k_1 x^{-1} \text{ or } k_1 = |S|x \quad (9)$$

229 and renamed the  $k_1$  coefficient stream-gradient index  $SL$ . This index may be calculated for any point  
 230 or reach of the profile as the product of local gradient and distance from the source to the reach's  
 231 midpoint and, as such, should be constant over the length of a perfectly graded (logarithmic) profile  
 232 (**Fig. 2**). Basically, Hack (1973) was more interested in tracking along-stream variations of  $SL$   
 233 indicative of local perturbations of any origin (tectonic, but also lithologic or hydrologic) than

234 comparing river average index values, and all studies dealing with the still much used SL index  
 235 continue to follow Hack's logic, measuring SL for a specified reach length over entire drainage  
 236 systems and analysing its along-stream changes (e.g., Seeber and Gornitz, 1983; Brookfield, 1998;  
 237 Mather and Hartley, 2006) or interpolating SL maps (e.g., Keller, 1986; Troiani and Della Seta, 2008;  
 238 Troiani et al., 2014). Recent advances in this domain revolved around purpose-oriented scales of  
 239 reach length over which SL is optimally calculated (Pérez-Peña et al., 2009; Troiani et al., 2014) and  
 240 normalization of SL in an SL<sub>g</sub> index weighted by the SL<sub>g</sub> value calculated as

$$241 \quad SL_g = (z_{source} - z_{outlet}) / \ln(x_{tot}) \quad (10)$$

242 over the entire length  $x_{tot}$  of the stream under consideration (Seeber and Gornitz, 1983; Chen et al.,  
 243 2003; Pérez-Peña et al., 2004, 2009; Azañón et al., 2012). Moreover, Goldrick and Bishop (2007)  
 244 proposed a generalized form  $SL_{equiv}$  of the stream gradient index by extracting it from the power law  
 245 expression of long profiles in equation (2), thus getting

$$246 \quad |S| = \alpha k_p x^{\alpha-1} \text{ or } \alpha k_p = SL_{equiv} = |S| x^{1-\alpha} \quad (11)$$

247 Interestingly, beyond this new metric for stream gradient, Goldrick and Bishop (2007) also introduce  
 248 the notion of profile concavity (in the geometric sense, based on the distance-elevation relation),  
 249 corresponding to the exponent  $\alpha$  (which they note as  $\lambda$  in their paper). Instead of this mathematical  
 250 expression of concavity, Demoulin (1998) used a pragmatic and more readable (especially in the case  
 251 of disequilibrium profiles) way to measure profile concavity through two complementary metrics  $E_r$   
 252 and  $E_q$  measured on normalized long profiles (Fig. 3).

253 The concept of profile concavity brings us to the second major family of profile metrics which are  
 254 closely related to stream gradient index and concavity  $\alpha$ . Arguing that channel gradient is related to  
 255 discharge more readily through drainage area than distance from the source, this second approach  
 256 also takes advantage of the widespread availability of DEMs for spatial analysis to exploit the slope-  
 257 drainage area relation that emerges from the stream power equations (e.g., Wobus et al., 2006). This  
 258 relation, first stated by Flint (1974) and given by equation (7) for a river profile at steady state is  
 259 more simply written as

$$260 \quad S = k_s A^{-\theta} \quad (12)$$

261 where  $\theta = m/n$  is the concavity index and the coefficient  $k_s = (U/K)^{1/n}$  is called the profile steepness.  
 262 The log-log representation of the slope-drainage area relation is known as a S-A plot, where a graded  
 263 profile plots as a straight line whose slope is  $-\theta$  and y intercept (A being expressed in  $m^2$ ) is  $\log_{10}(k_s)$   
 264 (Fig. 1). While the similarity between concavity measures  $\alpha$  and  $\theta$  is obvious, the similar affinity  
 265 between stream gradient SL and steepness  $k_s$  has received much less notice. Essentially, however,  
 266 the only difference between these related metrics lies in the relation of S with either x or A and is in  
 267 fact easily erased by Hack's law (Fig. 4).

268 The S-A plots of figure 1 evidence the high degree of correlation between steepness and concavity,  
 269 which has led to the need for a normalized form of  $k_s$ . Based on the observation that  $\theta$  varies within a  
 270 narrow range centred on 0.5, the normalization method most widely used defines a reference  
 271 concavity  $\theta_{ref}$  (often taken as the regional average concavity or 0.5, although other fixed values are  
 272 acceptable) to calculate normalized steepness  $k_{sn}$  through

$$273 \quad S = k_{sn} A^{-\theta_{ref}} \text{ or } k_{sn} = k_s A_c^{-(\theta-\theta_{ref})} \quad (13)$$

274 with  $A_c$  being the geometric mean of the drainage area values at both ends of an investigated reach  
 275 (Wobus et al., 2006). Another approach was suggested by Sklar and Dietrich (1998), who normalized  
 276 steepness through drainage area normalization, thus describing the relative steepness by the  
 277 gradient  $S_r$  associated to the reference drainage area  $A_r$

$$278 \quad S_r = k_s A_r^{-\theta} \quad (14)$$

279 Finally, a third way to dispose of the dependence of steepness on concavity, proposed by Demoulin  
 280 et al. (2013), simply consists of taking the residuals of the regression of steepness on concavity as an  
 281 expression of relative steepness. This approach has proved to be slightly more efficient than others in  
 282 separating areas of distinct steepness (Demoulin et al., 2013).

283 Two additional comments on the use of S-A plots should be made. First, although they are related to  
 284 the stream power equations of detachment-limited settings and their use should thus be restricted  
 285 to bedrock rivers (Snyder et al., 2000), the steady state equations derived for transport-limited  
 286 conditions yield a similar power dependence of channel slope on drainage area (Whipple and Tucker,  
 287 2002). Therefore, as far as equilibrium profiles are concerned, no change in steady state profile form  
 288 is expected at the transition from the bedrock to the alluvial part of a river and S-A analysis may be  
 289 safely performed over entire rivers, at least as far as other controls (uplift rate, rock type) are  
 290 uniform over their whole length. Second, while the original use of S-A plots was more dedicated to  
 291 the analysis of whole profile steepness (Snyder et al., 2000; Wobus et al., 2006), the calculation of  
 292 local  $k_{sn}$  values per reaches of specified length or separated by prominent profile discontinuities  
 293 allows the production of  $k_{sn}$  maps (Harkins et al., 2007; Ouimet et al., 2009; DiBiase et al 2010) very  
 294 similar to SL(k) maps (Fig. 4). In such maps, differences in  $k_{sn}$  reflect deviations from what a SPIM  
 295 would predict for a river with concavity  $\theta_{ref}$ , uniform bedrock lithology, and under uniform uplift rate  
 296 conditions. These maps should be interpreted with due care in terms of rock type variations,  
 297 differential uplift, abrupt changes in sediment load, e.g., at confluences, or, only in the case in which  
 298 steady state cannot be safely assumed, transient indicators of temporal change in U.

299 The quality of concavity and steepness estimates from S-A plots also suffers from the significant  
 300 noise affecting slope data obtained by differentiation of DEM elevation data, and the question of  
 301 how to bin effectively slope data in drainage area space. Moreover, beyond the resulting vertical  
 302 scatter of data points in the plot, the statistical meaning of the regression may also be perturbed by  
 303 their horizontal clustering due to large jumps in A at tributary confluences. To overcome this  
 304 limitation, Perron and Royden (2013) developed a new approach allowing estimation of the profile  
 305 metrics based on elevation rather than slope data. They simply integrate equation (7) rewritten as

$$306 \quad dz = (U/K)^{1/n} A^{-m/n} dx \quad (15)$$

307 to obtain, under assumption of constant U and K,

$$308 \quad z(x) = z(x_0) + (U/(KA_{ref}^m))^{1/n} \chi \quad (16)$$

309 where  $x_0$  = river outlet,  $A_{ref}$  = reference drainage area, introduced to give a dimension of length to  $\chi$ ,  
 310 and

$$311 \quad \chi = \int_{x_0}^x \left( \frac{A_{ref}}{A(x)} \right)^{\frac{m}{n}} dx \quad (17)$$

312 The new variable  $\chi$ , after which the new profile graph is called a chi plot (Fig. 5A), is such that  
 313 elevation depends linearly on it and a perfectly graded profile appears as a straight line. Profile  
 314 concavity, corresponding to the exponent of the integrand in equation (17), will now be obtained as  
 315 the  $m/n$  value that yields either the best linear fit of a single  $z = f(\chi)$  profile or the best collinearity  
 316 between profiles of a main stem and its tributaries. Once the best  $m/n$  and thus the  $\chi$  scale have  
 317 been determined, steepness, which appears as the coefficient of  $\chi$  in equation (16), simply  
 318 corresponds to the slope of the linear fit.

319 Chi plots not only reduce uncertainties on concavity and steepness but they also facilitate the  
 320 separation of successive profile segments with distinct parameters. For instance, Mudd et al. (2014)  
 321 developed a method to identify the statistically most meaningful partition of a chi profile into  
 322 segments of different steepness but same concavity. Demoulin et al. (2015) relied on visual  
 323 inspection of entire chi profiles to identify their segmentation and recalculate individual concavity

324 and steepness values (even though normalized steepness still refers to a single reference concavity).  
325 They noted that producing a single plot of the successive segments of a river profile with their  
326 different concavities, and thus also different  $\chi$  scales, makes z offsets appear between successive  
327 segments, which provide valuable information about the type and the magnitude of the profile  
328 discontinuities (Fig. 5B). The versatility of chi plots is further demonstrated by Willett et al. (2014)  
329 who used them to highlight zones of disequilibrium between competing river basins and analyse the  
330 dynamic reorganization of drainage systems. In this example they mapped  $\chi$  along the actual river  
331 courses and examined contrasts in its values across divides.

### 332 2.2.2 Meaning of the metrics

333 The above review of the various metrics available to analyse river profiles underlines their  
334 relatedness, with two emerging profile characteristics, namely concavity ( $\alpha$ ,  $\theta$ , chi plot best fit m/n)  
335 and steepness (SL, SLk,  $E_r-E_q$ ,  $k_s$ ,  $k_{sn}$ , chi plot slope). We now examine how much these metrics  
336 respond to perturbations from crustal deformation, which properties of the deformation they may  
337 record, and how much other controls interfere to determine their variations.

338 Identification of concavity with the m/n exponent on drainage area in the SPIM-derived slope  
339 equation (7) provides clues about factors affecting its variations. At steady state and for uniform  
340 uplift rate U, it has been repeatedly stated and verified that it is independent of direct tectonic  
341 control (e.g., Whipple and Tucker, 1999; Snyder et al., 2000; Duvall et al., 2004; Wobus et al., 2006;  
342 DiBiase et al., 2010; Lague, 2014), whereas lithology (Duvall et al., 2004; Boulton et al., 2014) or the  
343 transition from bedrock to alluvial channel may occasionally be responsible for concavity changes.  
344 However, this no longer holds as soon as U systematically varies downstream, and as Kirby and  
345 Whipple (2001) showed, a power law dependence of U on along-stream distance results in concavity  
346 varying with the river orientation with respect to tilt direction. Moreover, variations of a normalized  
347 index of channel width compiled by Lague (2014) from several studies suggest a possible dependence  
348 of m (through the b exponent of the Q – W relation), and thus of concavity, on incision rate E. One  
349 should nevertheless remain cautious not to over-interpret regional variations in concavity in terms of  
350 uplift gradient because similar variations may also be caused by systematic downstream change in  
351 any parameter included in K, such as lithology or sediment load (Sklar and Dietrich, 1998, 2004), or  
352 altering m, such as the orographic effect on rainfall depths and runoff (Roe et al., 2002). The steady  
353 state assumption should also be considered with general suspicion when analysing real profiles. An  
354 easy test of this assumption, which gives at the same time a qualitative hint of the relative youth of  
355 the tectonic perturbation, is provided by regressing concavity values against catchment size (or river  
356 order) (Demoulin et al., 2013). In the same vein, it is sometimes meaningful to search for tectonic  
357 memory especially in the lowest-order streams of a system, which are the most sensitive to external  
358 change. In the Mendocino triple junction area of northern California, while 2<sup>nd</sup>- and 3<sup>rd</sup>-order stream  
359 concavity shows no correlation with drainage area (as estimated from data in Snyder et al., 2000),  
360 suggesting quasi steady state profiles that are confirmed by their smoothed shape and the strongly  
361 damped control of uplift rate on their mean channel gradient (Merritt and Vincent, 1989), the mean  
362 gradient of 1<sup>st</sup>-order streams still faithfully follows the uplift rate variations, but with an estimated  
363 time lag in the order of 10<sup>5</sup> years (Merritt and Vincent, 1989). Finally, as noted by Whipple (2004),  
364 concavity may vary between successive segments of a single transient river profile. Demoulin et al.  
365 (2015) proposed that the decrease in profile concavity observed downstream of tectonic knickpoints  
366 in rivers of the northern Peloponnese might be partly related to the incompleteness of profile  
367 regrading.

368 As shown by equation (7), steady state profile steepness should be directly related to uplift rate, of  
369 which it is in fact a main indicator. In the case of non steady state profiles, one readily sees from  
370 equation (5) that steepness can still be related to erosion rate E. The fact that spatial variations in U  
371 or E may also impact profile concavity (Kirby and Whipple, 2001) emphasizes the need for steepness  
372 normalization to a reference concavity in order to analyse the U -  $k_{sn}$  relation (e.g., Miller et al.,  
373 2007). Theoretically, accepting the usual assumption of n = 1, and all else equal (i.e., K constant), the



374 normalized steepness  $k_{sn}$  should increase linearly with  $U$ . Although field evidence seems to support  
375 such a relationship for tributary rivers in the Siwalik Hills (Nepal), in an area undergoing uplift rates in  
376 the range 6-15 mm/yr (Wobus et al., 2006), many case studies (Snyder et al., 2003; Gioia et al., 2014;  
377 Lague, 2014; Harel et al., 2016) point to a non-linear dependence, modelled by including in the SPIM  
378 an erosion threshold (critical bed shear stress) and stochastic effective discharges (Tucker and Bras,  
379 2000). In this case of  $k_{sn} \propto U^p$ , with  $0 < p < 1$ , i.e.,  $n > 1$ , steepness increases very rapidly for low uplift  
380 rates (<1 mm/yr) before the curve flattens for higher rates (Snyder et al., 2003) (Fig. 6). This would  
381 explain the lower than expected contrast in  $k_{sn}$  generally observed between regions of intermediate  
382 and high uplift rates (e.g., Snyder et al., 2000; Troiani and Della Seta, 2011; Molin et al., 2012;  
383 Demoulin et al., 2013; Cyr et al., 2014; see also compilations of worldwide data in Gioia et al., 2014  
384 and Lague, 2014). However, despite the limited influence of large  $K$  variations on steepness also  
385 suggested by the limited  $k_{sn}$  range, data compiled by Lague (2014) show considerable noise in the  $k_{sn}$   
386 –  $U$  relations, especially in the low uplift rate domain (<0.1 mm/yr), and still more significant  
387 differences between the relations calculated for different regions, possibly in part related to  
388 differences in  $K$ . Noteworthy is also the observation that, though more limited by the constraining  
389 reference to logarithmic long profiles, SL and SLk indices show barely larger variations than  $k_{sn}$  with  $U$   
390 (e.g., Giaconia et al., 2012).

391 The examination of steepness maps produced from local steepness measurements over river reaches  
392 generally a few 100 metres in length (e.g., DiBiase et al., 2010; Troiani et al., 2014) offers a quite  
393 different view on the incision-triggering tectonic activity. As noted by Wobus et al. (2006), these  
394 maps may suit the identification of tectonic boundaries such as a discrete break in uplift rate, e.g., at  
395 a fault, where higher steepness values will characterize the uplifting wall of the fault. However,  
396 similar spatial patterns often arise from the transient propagation of an erosion wave within the  
397 drainage system as a response to regional uplift. In this case, in which steepness essentially reflects  
398 erosion rate variations, a sharp change in  $k_{sn}$  does not necessarily identify a local tectonic feature or  
399 local uplift rate gradient but instead echoes the remote uplift gradient. In a general sense,  
400 interpretation of  $k_{sn}$  or SL(k) maps is not straightforward because regional patterns may be obscured  
401 by scattered patches of anomalously high index values that require a careful individual analysis,  
402 being alternatively indicative of permanent "lithologic" knickpoints, landslide dams (Troiani et al.,  
403 2014), places of hydrologic changes such as confluence of large tributaries, the migrating front of a  
404 wave of incision (DiBiase et al., 2010), or fixed tectonic structures such as faults and growing  
405 anticlines (Pérez-Peña et al., 2009) (Fig. 2B).

### 406 **2.3 Transience and knickpoints in river profiles**

407 The existence, timescales and expression of drainage system steady state are often intricate and  
408 unclear. While graded profiles may actually not be in a topographic steady state if  $E \neq U$ , e.g., in post-  
409 orogenic landscapes (continued relief decay despite zero uplift), river profiles that do not follow  
410 regular power law curves and display convexities, known as knickpoints or knickzones and easily  
411 identified on S-A plots (Fig. 1), may in fact be in equilibrium if local rates of rock uplift are balanced  
412 by fluvial incision at that point (Whittaker et al., 2007b). Indeed, permanent (immobile) convexities  
413 may appear in such profiles as a local compensation for lithological contrasts, non-uniform uplift rate  
414 or durable change in water discharge – sediment load balance at tributary junctions (e.g., Brocard  
415 and Van der Beek, 2006; Beckers et al., 2015). In intermediate cases, profile discontinuities can also  
416 show some mobility when they cut through valley damming caused by, e.g., landslides or lava flows,  
417 re-establishing equilibrium in locally perturbed profiles (e.g., Korup, 2006). However, many sets of  
418 mobile knickpoints and knickzones may represent large-scale upstream propagation of an erosion  
419 wave through entire drainage systems which are transiently responding to a relative base level  
420 lowering. This lowering may relate to a relatively sudden drop in base level bought about by river  
421 capture (discussed in 5.2) or reflect the margin of uplifting regions or the crossing of active dip-slip  
422 faults. The notion of response to a specific tectonic signal is important: Whittaker et al. (2008)  
423 showed for rivers in the Apennines that only profiles crossing normal faults that underwent an

424 increase in slip rate within the last ~1 Ma display mobile knickpoints whereas those crossing faults  
425 with slip rates unchanged for several million years have concave-up profiles.

426 Such transient features may take several forms (Lague, 2014) reflecting different deformation events.  
427 In this respect, beyond extended knickzones that often express spatial variations in uplift rate or,  
428 alternatively, an uplift acceleration slow enough to create only a smooth convexity, one distinguishes  
429 vertical step knickpoints separating segments of similar concavity and steepness, i.e., segments  
430 aligned in S-A plots, from slope-break knickpoints opposing a downstream segment of high steepness  
431 and a less steep upstream segment (Fig. 7). It is easily seen that, while the latter result from a change  
432 in uplift regime toward a permanently increased uplift rate, the former are produced by an uplift  
433 pulse temporarily superimposed on a background uplift rate or, in the shortest term, by a coseismic  
434 scarp across the river profile. Direct evidence has been provided for knickpoint formation and  
435 propagation in response to, e.g., increase in fault slip rate (Whittaker et al., 2007a, 2008), coseismic  
436 surface rupture (Yanites et al., 2010; Huang et al., 2013), and postglacial rebound (Bishop et al., 2005;  
437 Castillo et al., 2013). In addition, a great many studies have mapped sets of tectonic knickpoints  
438 sweeping through drainage systems of uplifting regions all over the world (e.g., Zaprowski et al.,  
439 2001; Schoenbohm et al., 2004; Crosby and Whipple, 2006; Berlin and Anderson, 2007; Anthony and  
440 Granger, 2007; Harkins et al., 2007; Cook et al., 2009; Loget and Van den Driessche, 2009; Schildgen  
441 et al., 2010, 2012; Whittaker and Boulton, 2012; Beckers et al., 2015).

442 Concurrently, the theory of knickpoint migration has been examined in the frame of various incision  
443 models that show knickpoint behaviour ranging from purely advective to essentially diffusive  
444 propagation, depending on the major constraint on incision (Crosby et al., 2007). Rearrangement of  
445 equation (5) yields the migration rate, or celerity,  $c$  of the erosion wave in the detachment-limited  
446 setting of bedrock rivers

$$447 \quad c = KA^m S^{n-1} \quad (18)$$

448 which many studies have simplified to

$$449 \quad c = KA^m \quad (19)$$

450 (dimension of  $K$ :  $L^{1-2m}T^{-1}$ ) by assuming  $n$  to be close to unity (e.g., Crosby and Whipple, 2006; Berlin  
451 and Anderson, 2007; Beckers et al., 2015). While the effective value  $n$  may in fact be larger than 1,  
452 this assumption nevertheless allowed these authors to perform successful first-order modelling of  
453 knickpoint propagation. Advected knickpoints retain their shape while migrating upstream at speeds  
454 decreasing in function of a power of  $A$ . Therefore, at any moment, knickpoints have travelled variable  
455 distances in the diverse branches of a system, depending on the rapidity with which they approach  
456 their sources. However, Niemann et al. (2001) showed that the vertical velocity of knickpoints is  
457 constant, provided the two river reaches down- and upstream of the knickpoint satisfy the  
458 equilibrium equation (7) relative to the new and former conditions, respectively, and  $K$  and  $U$  are  
459 spatially uniform. Consequently beyond the lithology-independent geographic distribution of  
460 knickpoints, their altitudinal constancy is thus a testable characteristic of their belonging to a  
461 tectonically-driven erosion wave (e.g., Wobus et al., 2006; Cook et al., 2009). By contrast, under  
462 transport-limited conditions or with a predominant role of the sediment load, with dual tool and  
463 cover effects in bedrock streams, incision models suggest a diffusive or more complex migration of  
464 knickpoints, which may make knickzones undetectable (Crosby et al., 2007). Moreover, the shape of  
465 migrating knickpoints may be altered even in simple detachment-limited conditions in the case  
466 where incision shows a non-linear dependence on channel slope (Tucker and Whipple, 2002;  
467 Finnegan, 2013). Supported by field evidence of channel narrowing at knickpoints (Whipple et al.,  
468 2000; Amos and Burbank, 2007; Whittaker et al., 2007b), a recent advance in the understanding of  
469 the transient response of river profiles has been the replacement in the stream power approach of  
470 the static relation between channel width and  $A$ , via  $Q$ , by an expression that also links it dynamically  
471 with slope (Finnegan et al., 2005; Whittaker et al., 2007b; Attal et al., 2008; Turowski et al., 2009;  
472 Yanites and Tucker, 2010). Integrating several additional variables, Lague (2014) came to the

473 conclusion that, while following a general rule with  $n > 1$ , notably owing to the existence of a  
474 threshold shear stress for erosion and the stochastic occurrence of effective discharge, incision could  
475 locally follow a simpler  $n = 1$  rule at the height of the migrating knickpoint because of the dynamic  
476 relationship between channel narrowing and steepening that prevails there.

477 There are many studies that have used knickpoint data sets with twofold aims: (1) investigating their  
478 origin and controlling factors of propagation, and (2) testing how much SPIMs are able to explain  
479 their distribution, and calibrating the stream power law (e.g., Crosby and Whipple, 2006; Berlin and  
480 Anderson, 2007; Anthony and Granger, 2007; Cook et al., 2009; Loget and Van den Driessche, 2009;  
481 Beckers et al., 2015). As detachment-limited conditions frequently prevail (or are assumed to prevail)  
482 in uplifting areas, the simple SPIM form of equation (5) has been generally used, and the overall  
483 results confirm that the most simple  $n = 1$  assumption is often acceptable as a first-order  
484 approximation (Van der Beek and Bishop, 2003; Berlin and Anderson, 2007; Whittaker and Boulton,  
485 2012; Beckers et al., 2015). Within this frame,  $m$  estimates range from 1.13 for incision through  
486 highly erodible rocks in New Zealand (Crosby and Whipple, 2006) through 0.68 in the Ardenne  
487 (Beckers et al., 2015) to 0.54 for ~8-Ma-old knickpoints in the Colorado (W USA) catchment (Berlin  
488 and Anderson, 2007). Other authors have considered empirical relations between distance travelled  
489 by the knickpoints and catchment size and found a similar power law, whose drainage area exponent  
490 is identical to  $m$  if  $n = 1$ . Again, values of the exponent range from 1.26 (Bishop et al., 2005) to 0.50  
491 (Loget and Van den Driessche, 2009) and 0.34 (Harkins et al., 2007). Measured or modelled rates of  
492 knickpoint displacement, local or averaged over longer distances, have also been published,  
493 supported in some cases by independent incision rate estimates (e.g., Anthony and Granger, 2007;  
494 Cook et al., 2009; Schildgen et al., 2012; Cyr et al., 2014; DiBiase et al., 2015). Though depending on  
495 catchment size, they are often in the order of a few millimetres to decimetres per year, with values  
496 up to a few m/yr only for major rivers (see a compilation in Loget and Van den Driessche, 2009;  
497 Whittaker and Boulton, 2012; Demoulin et al., 2012). Exceptionally high discharges are capable of  
498 causing much faster but highly episodic knickpoint recession. For example, Baynes et al (2015)  
499 demonstrated that three extreme flood events (glacial outburst floods with peak discharge of several  
500  $10^5 \text{ m}^3/\text{s}$ ) caused cumulative knickpoint retreat of more than 2 km in hard columnar basalts during  
501 the Holocene in Iceland. Not surprisingly, snapshot observation of river response to perturbation  
502 tends to record retreat rates higher than those averaged over ky to My periods. Extreme rates of up  
503 to several hundred metres per year have occasionally been recorded over short time scales ( $\sim 10^1$   
504 years) where bedload material is considerably more resistant than the very erodible channel  
505 bedrock, as exemplified by the knickpoint created in the Da'an River (Taiwan) by the surface rupture  
506 of the Chi Chi 1999 earthquake (Cook et al., 2013). Direct observation has also shown that individual  
507 scour events may cause rapid knickpoint retreat even in strong rocks if they are structurally  
508 preconditioned. For instance, Anton et al. (2015) measured 270 m headward erosion over 6 years  
509 from moderate floods ( $< 1500 \text{ m}^3 \text{ s}^{-1}$ ) in fractured granite in NW Spain. However, no clear effect of  
510 lithology has been noted in general on the propagation rate of knickpoints (Roberts and White, 2010;  
511 Whittaker and Boulton, 2012; Beckers et al., 2015).

512 Profile segments upstream of knickpoints may often reflect a pre-uplift steady state, from which  
513 characteristic incision amounts since the uplift event may then be estimated. Using the relict profile  
514 concavity and steepness, equation (12) allows extrapolating channel gradients for its continuation  
515 down to the point of interest, in general the confluence with the trunk stream, and integration of  
516 these slope data yields the ancient profile elevation and the magnitude of incision since it has been  
517 abandoned (e.g., Schoenbohm et al., 2004; Harkins et al., 2007; Cook et al., 2009). Incision amounts  
518 may also be expressed as incision rates if the timing of knickpoint formation is known and the  
519 erosion wave has not yet reached erosion thresholds causing the stagnation of knickpoints (Crosby  
520 and Whipple, 206; Beckers et al., 2015).

## 521 **2.4 Profile inversion and uplift history**

522 Pritchard et al. (2009) and Roberts and White (2010) have suggested combining simple forward  
 523 modelling of river incision with an inversion algorithm in order to reconstruct long-term regional  
 524 uplift histories  $U(t)$ . Such studies have been performed at the continental (Roberts and White, 2010;  
 525 Czarnota et al., 2014) and regional scales (e.g., Roberts et al., 2012; Barnett-Moore et al., 2014). They  
 526 have used a simple general incision rule combining an advective term that describes the propagation  
 527 of the erosion wave under detachment-limited conditions and a diffusive term accounting for the  
 528 transport-limited component of erosion (Roberts and White, 2010; Roberts et al., 2012; Czarnota et  
 529 al. 2014)

$$530 \quad E(x, t) = -KA^m(x) \left( \frac{\partial z}{\partial x} \right)^n + \kappa \frac{\partial^2 z}{\partial x^2} \quad (20)$$

531 where  $\kappa$  is a diffusivity coefficient. They make profiles evolve following

$$532 \quad \frac{\partial z}{\partial t} = U(x, t) + E(x, t) \quad (21)$$

533 As river profiles contain only indirect uplift timescale information determined by  $K$ ,  $m$ ,  $n$ , and  $\kappa$ , these  
 534 parameter values must be chosen with great care. Based on independent data such as, e.g., dated  
 535 paleoprofiles (Czarnota et al., 2014), local incision rate estimates (Wilson et al., 2014) or the present-  
 536 day elevations of dated shallow marine deposits (Barnett-Moore et al., 2014), and on the observation  
 537 that uplift history reconstruction is barely sensitive to a large range of  $\kappa$  variations (Roberts and  
 538 White, 2010), the parameterization of equation (20) may be achieved by systematic search through  
 539 the  $(n, m, K)$  space with a fixed  $\kappa$  value. Best fits generally confirm that the most appropriate value of  
 540  $n$  is unity, while a trade-off is required between  $K$  and  $m$  along the best fit line in the  $(m, K)$  plane.  
 541 Alternatively, Goren et al. (2014), taking  $n = 1$  and including no diffusive term in the erosion  
 542 equation, define  $m$  and  $K$  from chi plots of present profiles. Once parameters are fixed, the inverse  
 543 approach consists in the estimation of a misfit function that both minimizes the difference between  
 544 computed and observed profiles and smooths the  $U(t)$  curve, by systematically varying  $U(t)$  in a  
 545 Monte Carlo process (Roberts and White, 2010). Owing to recent improvements of the method,  
 546 which now deals with non-zero initial topography (Czarnota et al., 2014), variable reference level  
 547 (Barnett-Moore et al., 2014), and non-uniform uplift rates (Goren et al., 2014), remaining major  
 548 assumptions chiefly relate to constant  $K$  through space and time, the role of variable discharges, and  
 549 absence of temporal changes in drainage planform.

550 Inverse modelling of river profiles has been successfully applied at the continental scale to the  
 551 reconstruction of the long-term evolution of dynamic topography in Africa (Roberts and White, 2010)  
 552 and Australia (Czarnota et al., 2014), although the regional study of Barnett-Moore et al. (2014)  
 553 reconstructs somewhat variable uplift histories in adjacent basins of SW Australia. It has also allowed  
 554 a long-term uplift history to be predicted for the Colorado Plateau (Roberts et al., 2012) and the Inyo  
 555 Mountains, California (Goren et al., 2014). Strikingly, all profile inversion studies point to weak or  
 556 non-existent lithological control on long-term incision and knickpoint migration rates. However some  
 557 of the assumptions made by these models, which include the long term erosional dynamics and the  
 558 need for drainage network stability over time, mean that these inversion techniques are not  
 559 necessarily appropriate in every transient landscape. More widely, the outcomes of such large-scale  
 560 analysis of river profiles are probably best seen as producing first-order results on the broad scale,  
 561 but do provide one tool to analyse the evolution of continental drainage in time and space in  
 562 response to long-wavelength mantle processes.

563 Another important point raised by profile inversion studies concerns the very long (up to 120 Ma)  
 564 uplift histories produced, which correspond to long response times. Roberts and White (2010) noted  
 565 however that modifying the trade-off between  $m$  and  $K$  induces no change in the reconstructed  
 566 number and magnitude of uplift events, but larger  $m$  produce younger events. Integrating equation  
 567 (19) and using Hack's law, response time  $\tau$  is expressed as

568

$$\tau = (L^{1-hm} - x^{1-hm}) / (K(1-hm)) \quad (22)$$

569 with  $L$  = river length. Equation (22) shows that response time increases logically with river length but  
 570 also with smaller  $K$ . Yet, at equal  $m$ , say  $m = 0.25$ ,  $K$  values may differ by up to two orders of  
 571 magnitude between various areas, ranging from lowest values around  $5\text{-}15 \text{ m}^{0.5}\text{Myr}^{-1}$  (and longest  
 572 response times) in Australia (Czarnota et al., 2014; Barnett-Moore et al., 2014) to intermediate  
 573 values in Africa (Roberts and White, 2010) and the Colorado Plateau (Berlin and Anderson, 2007;  
 574 Roberts et al., 2012), and largest values in the order of  $100\text{-}500 \text{ m}^{0.5}\text{Myr}^{-1}$  in western Europe and the  
 575 Apennines (Whittaker and Boulton, 2012; Beckers et al., 2015). These differences in  $K$  may explain  
 576 the highly contrasted response times published, of a few million years in the Apennines and Turkey  
 577 (Whittaker and Boulton, 2012) and other active areas worldwide (Baldwin et al., 2003; Demoulin,  
 578 2012) compared with up to 120 Myr in Australia. While lithology has been observed to have a limited  
 579 effect in several studies (e.g., Whittaker and Boulton, 2012; Beckers et al., 2015), climate (through  
 580 precipitation amount, ratio of precipitation to infiltration, availability of abrasive tools in the bed  
 581 load) and uplift rate might be the main controls on such differences. In line with Whittaker and  
 582 Boulton (2012), who have shown that, in the Apennines and SE Turkey, knickpoint migration rates  
 583 scale with fault slip (and associated uplift) rates,  $K$  variations shown above also scale with uplift rates  
 584 that vary from a few  $0.01 \text{ mm/yr}$  in Australia through  $0.1\text{-}0.2 \text{ mm/yr}$  in Colorado to  $0.2\text{-}2 \text{ mm/yr}$  in  
 585 the cited European and Mediterranean case studies.

### 586 **3 Integrative catchment morphometry: the $R/S_R$ approach**

587 Building on the idea that not only individual river profiles but also the fluvial landscape as a whole  
 588 keeps track of uplift events, Demoulin (2011) proposed a new approach to uplift age estimation  
 589 based on a composite landscape metric that integrates information relating to a range of time scales.  
 590 This metric relies on the statistics of incision at nested levels, from individual profiles through  
 591 tributary networks to catchment data at the regional scale. Calculable for every catchment with a  
 592 more than embryonic network of tributaries, the metric  $R$  is the ratio of two-by-two differences  
 593 between the normalized hypsometric integrals of the catchment  $H_b$ , its drainage network  $H_n$  and  
 594 trunk stream  $H_r$ , referring to the long-, middle-, and short-term components of uplift-triggered  
 595 incision, respectively

596

$$R = \frac{\int_0^1 (H_n - H_r) dl^*}{\int_0^1 (H_b - H_n) dl^*} \quad (23)$$

597 where  $l^*$  is the dimensionless expression of length (for  $H_r$  and  $H_n$ ) or area (for  $H_b$ ) (Fig. 8A). It provides  
 598 a quantitative description of the relative progress stages of trunk stream and tributary incision and  
 599 interfluvial denudation, which, based on the concept of headward erosion, translates into an estimate  
 600 of the time elapsed since the fluvial landscape started responding to the latest perturbation that  
 601 induced a relative base-level lowering. However, the intuition that catchment size also determines  
 602 the contrast in response rate between trunk stream and tributary network, thus also  $R$ , is clearly  
 603 evidenced by the generally strong correlation observed between  $A$  and  $R$  within any region of  
 604 homogeneous uplift timing (Fig. 8B). Consequently, uplift age estimates are instead derived from the  
 605 slope  $S_R$  of the linear fit on a semi-logarithmic plot of  $R$  against  $\ln(A)$  (Demoulin, 2011). Indeed, the  
 606 theoretical expectation that, following a base-level fall,  $R$  and  $S_R$  first rapidly increase due to swift  
 607 propagation of incision in the trunk stream, then gradually diminish in the middle term ( $10^4\text{-}10^6$   
 608 years), in parallel with the migration of the erosion front in an increasing number of tributaries and  
 609 sub-tributaries, is fully confirmed by real data from several regions worldwide where uplift age is  
 610 independently constrained, allowing Demoulin (2012) to propose a quantified power relation  
 611 between  $S_R$  and uplift time (Fig. 8C). The very existence of such a relationship underlines the fact that  
 612 the  $R$  metric not only is mostly insensitive to lithology but is also usable across a large range of  
 613 climatic settings. The main limitation to meaningful calculation of  $R$  lies in the catchment planform  
 614 and the related stream network development, whose elongation or systematic irregularity (for

615 example an imbalance between tributary network of the lower and upper catchment halves) bias  $R$   
616 toward extreme values. Practically, after correction for catchment elongation (Demoulin, 2012;  
617 Demoulin et al., 2013), only few catchments, which are in general discarded as outliers of the  $R -$   
618  $\ln(A)$  correlation, cannot be used because of persisting shape-related problems. Another practical  
619 constraint of the method is the extent of the uplifted region, which should be large enough to  
620 encompass a few catchments  $\geq 1000 \text{ km}^2$  in order to stabilize the  $R - \ln(A)$  relation. However,  
621 Demoulin et al. (2015) showed that a substitute approach based on producing  $R$  long profiles of the  
622 longest available rivers may work for smaller areas, with the further potential for identifying river  
623 segments with different  $S_R$  if the river flows across differently uplifted blocks.

#### 624 **4 Crustal deformation and terrace staircases**

625 River terraces appearing as stepped morphologies along valley flanks, which can be essentially  
626 aggradational or degradational in nature, have long been used to infer rates of fluvial incision  
627 (Burbank and Anderson 2012). A degradational, or 'strath' terrace generally results from lateral  
628 erosion into bedrock and displays bevelled bare rock more or less veneered with gravels  
629 corresponding to the former transiting bedload. By contrast, an aggradational, or 'fill', terrace is  
630 characterized by a thicker alluvial cover bearing witness to a longer stage or a higher rate of  
631 sediment accumulation and, often, concomitant larger floodplain widening. In mountainous terrain,  
632 local fill terraces are also frequently found as a result of valley damming by landslides (e.g. Korup et  
633 al., 2006). Terrace formation basically requires that a widened valley floor be formed by lateral  
634 erosion during a stage of vertical (quasi-) stability of the channel before incision resumes, leaving  
635 remnants of the former floodplain above the newly formed valley bottom. Although they are  
636 described in a general context of uplift-driven valley incision, the climatic character of many such  
637 terraces has long been recognized (e.g Bull 1990). In the frame of the stream power model, the  
638 climate control occurs essentially through variations in effective precipitation, which impact directly  
639 effective river discharge and indirectly sediment load (Hancock and Anderson, 2002). Variations in  
640 water discharge and sediment supply in turn may cause high changes in the ratio of lateral to vertical  
641 erosion. We expect in principle that lateral erosion is favoured either by decreased discharge (though  
642 some channel geometries may induce the displacement of peak shear stresses from the axis to the  
643 walls of the channel and increase bank erosion for higher flows; Knight and Sterling, 2000) or by  
644 increased sediment load, leading to aggradation, covering of the channel bottom, and strongly  
645 reduced or stopped channel bedrock incision (Hancock and Anderson, 2002; Finnegan et al., 2007;  
646 Turowski et al., 2008; Johnson and Whipple, 2010; Yanites and Tucker, 2010). Field observation, for  
647 instance in the Liwu River, Taiwan (Hartshorn et al., 2002), suggests that the coupled impact of  
648 changes in discharge and sediment supply is dominated by the latter, promoting lateral erosion  
649 during large floods. This is confirmed by Stark et al. (2010), who note that maximum average  
650 sinuosity of incising rivers is recorded in the typhoon-dominated subtropical area of the western  
651 Pacific where extreme rainfall and flood events are more common, and decreases with the variability  
652 of precipitation on both sides of this latitudinal belt. All this is in line with the common observation in  
653 temperate areas that aggradation and valley widening take place mainly during Quaternary cold  
654 periods, when large snowmelt-driven spring floods occur yearly but still larger sediment fluxes are  
655 delivered by hillslopes and clutter braided floodplains (Bridgland, 2000; Maddy et al., 2001;  
656 Vandenberghe, 2008; Lewin and Gibbard, 2010). A return to lower sediment fluxes may then lead to  
657 incision and terrace formation, especially if high discharges are maintained during the warming  
658 and/or cooling transitions (Bridgland, 2000; Cordier et al., 2006).

659 Field evidence of Quaternary terrace staircases shows that, considering the interplay of uplift and  
660 climate, it is the uplift that determines the amplitude of the vertical spacing between consecutive  
661 terraces while the intensity of climatic oscillations controls the more or less aggradational or  
662 degradational character of the terraces. As a general rule, information about the timing of incision is  
663 more easily extracted from strath than fill terraces because, in the latter case, duration of  
664 aggradation is an additional unknown to resolve before estimating incision rates (Rixhon et al., 2011;

665 Burbank and Anderson, 2012). Lagged or complex responses to perturbation may also blur rate  
666 estimates even in dominantly degradational settings (Bull, 1990; Hancock and Anderson, 2002).

667 While there is now a consensus that the development of terrace flights requires, and their presence  
668 thus attests to, regional uplift (e.g., Maddy, 1997; Bridgland, 2000; Bridgland and Westaway, 2008),  
669 equating the incision rates revealed by such flights with the causative uplift rates is not always  
670 straightforward. Indeed, this assumes that lateral erosion and floodplain development necessary for  
671 later terrace preservation occurred after the incising river had (re)established a steady state profile  
672 indicative of dynamic equilibrium between incision and uplift. However, this might not be true in  
673 many cases where the drainage system response time to a tectonic perturbation is in the order of a  
674 few million years (Whipple, 2001; Whittaker et al., 2007a), much longer than the glacial-interglacial  
675 cycles that control the pace of Quaternary terrace formation. A main requirement for incision rates  
676 being a safe proxy for uplift rates is thus short response time, which is verified chiefly in large rivers  
677 and highly active areas (e.g., Leland et al., 1998; Whittaker and Boulton, 2012; Blöthe et al., 2014). In  
678 the case of climatic perturbation of the incision/uplift dynamic equilibrium, rivers have often been  
679 considered to return rapidly to steady state as soon as the climatic conditions become favourable to  
680 bedrock incision because river profiles may rapidly recover from the small perturbations cold-period  
681 aggradation imposes on them (Bogaart and Van Balen, 2000; Carretier et al., 2006). Based on this  
682 assumption, related to that of parallel terrace profiles, incision rates calculated from terrace age-  
683 elevation data have been thought to be a reliable proxy of uplift rates (e.g., Maddy et al., 2000)

#### 684 **4.1 Estimating incision/uplift rates from terrace studies**

685 Estimating regional incision rates from dated remnants of fluvial terraces requires terrace long  
686 profiles to be reliably reconstructed. In this respect, detailed vertical terrace sequences preserved in  
687 valley reaches constitute important anchor points for along-stream correlation (e.g., Juvigné and  
688 Renard, 1992; Van den Berg, 1996; Bridgland, 2010; Viveen et al., 2012; Harmand and Cordier, 2012).  
689 Terrace levels with distinctive characteristics, such as an anomalously large lateral development (e.g.,  
690 the main terraces of the middle Rhine: Boenigk and Frechen, 2006; Peters and Van Balen, 2007),  
691 thicker than average alluvium, sudden change in the petrological or mineralogical assemblage of the  
692 sediments (e.g., in the Meuse terrace following the capture of the upper Moselle: Pissart et al.,  
693 1997), biostratigraphic markers (e.g., Schreve et al., 2007; Antoine et al., 2007), provide additional  
694 useful constraints, as do soil or duricrust formation and the degree of weathering of pebbles (e.g.,  
695 Pazzaglia and Brandon, 2001; Stange et al., 2013). However, profile reconstruction, which should  
696 allow evaluation of the relative elevation of a terrace with respect to the modern floodplain, i.e.,  
697 incision amounts, is strongly dependent on the quality and density of terrace data, making it often  
698 more or less speculative (Merritts et al., 1994). This is especially true when additional local terraces  
699 complicate the overall picture. In the case of discontinuous terrace treads, geometric criteria of  
700 correlation may be frequently misleading if employed alone because slope relations between the  
701 terrace and modern river profiles are unknown and the geometrically reconstructed profile may even  
702 be largely independent of the paleo-channel gradient if terrace formation is linked to the  
703 propagation of a wave of incision (Finnegan, 2013).

704 When effective dating methods of river sediment was not available beyond the last few ten thousand  
705 years, inferences about terrace chronology strongly depended on local circumstances such as the  
706 presence of Palaeolithic artefacts (e.g., Bridgland et al., 2006; Mishra et al., 2007) or dated tephra in  
707 the terrace deposits (e.g., Izett et al., 1992; Berryman et al., 2000; Dethier, 2001; Pastre, 2004;  
708 Suzuki, 2008), interfingering with dated lava flows (e.g., Westaway et al., 2009; Van Gorp et al.,  
709 2016), direct relationships with glacial features in the European Alps (e.g., Mandier, 1984), or often  
710 hard-to-handle palaeomagnetic data (e.g., Van den Berg, 1996). Subsequently, growing field  
711 evidence that Quaternary terrace sequences have formed in synchrony with the glacial-interglacial  
712 cycles (e.g., Antoine, 1994) led to the still common habit of complementing often sparse numerical  
713 terrace dating with their systematic reference to marine isotopic stages (MIS) (e.g., Bridgland, 2000;  
714 Cordier et al., 2006), even though this approach could be regarded as overly simplistic in many cases,

715 as attested by varying MIS assignments of the Meuse terraces (Van den Berg, 1996; Van Balen et al.,  
716 2000; Westaway, 2002; Bridgland and Westaway, 2014). Since the 1990s, continuous developments  
717 in the luminescence dating techniques and the explosion of cosmogenic (radio)nuclide (CRN) studies  
718 have fostered the establishment of numerical chronologies of terrace sequences up to ~1 Ma (e.g.,  
719 Brocard et al., 2003; Cordier et al., 2006, 2010, 2014; Rixhon et al., 2011; Viveen et al., 2012; Geach  
720 et al., 2015b; Ruszkiczay-Rüdiger et al., 2016). Additionally, the various ways of obtaining CRN ages of  
721 terrace deposits (CRN concentration depth profiles: Braucher et al., 2009; isochron method: Balco  
722 and Rovey II, 2008) has renewed our approach to the exact meaning of the obtained ages, namely  
723 offering opportunities for separating exposure ages (time of terrace abandonment) and aggradation  
724 ages (starting time of terrace sediment accumulation) (Rixhon et al., 2011).

725 Moreover, while primarily needed for rate calculation, reliable age data has also proved extremely  
726 useful in constraining the correlation of terrace treads and revealed that the usual extrapolation of  
727 local ages to whole profiles under the assumption that incision occurs synchronously along the entire  
728 river course may not always be true (Anthony and Granger, 2007; Rixhon et al., 2011; Baynes et al.,  
729 2015). Combined with the study of knickpoints propagating at the expense of the system's main  
730 terrace (Beckers et al., 2015), CRN ages obtained by Rixhon et al. (2011) along the lower Meuse –  
731 tributary lower Ourthe – sub-tributary Amblève drainage line in the Ardenne demonstrate the time-  
732 transgressive formation of this terrace (Fig. 9). This diachronous character of terraces formed  
733 through knickpoint propagation had been previously assumed from the very nature of this erosion  
734 process where tectonic knickpoints had been unequivocally identified (e.g., Zaprowski et al., 2001;  
735 Crosby and Whipple, 2006). Investigating the theoretical implications of this mechanism of terrace  
736 formation for the slope of a profile that has to be restored from discontinuous terrace fragments,  
737 Finnegan (2013) showed that this slope is essentially a function of the ratio between the knickpoint  
738 migration rate and the rate of incision of the river upstream of the knickpoint and, as such, is mostly  
739 different from the slope of the paleo-channel. Using the stream power law of river erosion and based  
740 only on geometric considerations in which the elevation of the retreating knickpoint crest defines the  
741 nascent terrace, he derived an expression of the expected terrace slope  $S_t$

$$742 \quad S_t/S_r = 1 - (S_r/S_k)^{n-1} \quad (24)$$

743 with  $S_r$  = channel slope upstream of the knickpoint and  $S_k$  = knickpoint slope, which highlights the  
744 dependence of the terrace slope on  $n$ . In the frequently assumed case where  $n=1$ , the time-  
745 transgressive terrace formed by knickpoint retreat should display zero slope. While the terrace  
746 slopes downstream for  $n > 1$ ,  $n < 1$  theoretically implies that it has a counterslope gradient (absolute  
747 elevations of the terrace increase downstream). Based on an analysis of waterfalls and knickzones  
748 along rivers of the San Gabriel Mountains, California, DiBiase et al. (2015) further stressed the need  
749 for careful sampling of time-transgressive strath terraces if knickpoint retreat rates are to be  
750 estimated.

#### 751 **4.2 Terrace profile geometry**

752 The many case studies where sound terrace profiles could be reconstructed from relatively  
753 continuous field evidence have shown that terrace flights display three basic patterns: (1) parallel  
754 profiles, (2) upstream diverging profiles, and (3) downstream diverging profiles (e.g. Pazzaglia et al.,  
755 1998; Colombo, 2005) (Fig. 10). In any case, the relative profile attitude concurrently depends on  
756 changes in equilibrium slope of the successive channel profiles on the one hand and change with  
757 time of the original terrace slope on the other. Supposed to reflect equilibrium conditions (Bull, 1990;  
758 Pazzaglia and Brandon, 2001), parallel terrace profiles (e.g., Westaway, 2002; Stange et al., 2013) are  
759 generally considered to indicate regions of spatially uniform uplift rate (Pazzaglia et al., 1998) but a  
760 further condition for parallelism is that uplift rate also remains constant through time, so that the  
761 successive channel equilibrium profiles have similar gradients. As for upstream diverging profiles  
762 (e.g., Pierce and Morgan, 1992; Colombo, 2005; Hetzel et al., 2006; Boenigk and Frechen, 2006;  
763 Gabris and Nador, 2007), they are usually interpreted as an indication of higher uplift rates near the



764 headwaters than in the lower catchment part (Pazzaglia et al., 1998), i.e., downstream directed tilt  
765 (e.g., Pierce and Morgan, 1992).

766 Conversely, downstream diverging profiles are often thought to reflect higher uplift rates in the  
767 lower course of the river, or upstream directed tilt (Pierce and Morgan, 1992). The more vague  
768 association of such a profile pattern with simple base-level fall (Pazzaglia et al., 1998; Colombo, 2005)  
769 is unlikely as long as no uplift acceleration imposing steeper gradients to the new equilibrium profile  
770 can be established. Only if episodic uplift pulses were superposed on a constant background rate  
771 might a set of parallel terrace profiles converge upstream with a modern transient profile, the lowest  
772 terrace(s) still merging with the present channel profile at the height of knickpoints migrating up the  
773 latter (e.g., Seidl and Dietrich, 1992; Howard et al., 1994; Zaprowski et al., 2001). However, observing  
774 that all middle-sized rivers flowing down the epeirogenetically uplifted Ardenne display downstream  
775 divergent terrace profiles, whatever their orientation, Macar (1957) pointed to an alternative cause  
776 of divergence. According to him, the progressive deepening of valleys through river incision entails a  
777 proportional increase in sediment delivery from lengthened steep valley sides to the channel, which,  
778 for unchanged water discharge, imposes gradually steeper equilibrium channel gradients.

779 Two other aspects of terrace flights, characterized by warped or vertically offset profiles, are also  
780 encountered and bear witness to more local deformation (Fig. 10). Warped profiles are produced by  
781 the growth of a fold oriented orthogonally or obliquely with respect to the river course. If the river's  
782 power is high enough for it to cope with the rate of fold growth, a situation of antecedence develops,  
783 where the pre-existing river incises across the growing fold. If for any (e.g., climatic) reason, incision  
784 is episodically interrupted by terrace formation, every terrace will display a degree of warping  
785 directly proportional to its age, which evidences the geometry of the surface deformation associated  
786 with the fold growth, thus to some extent the type of folding (Scharer et al., 2006; Hubert-Ferrari et  
787 al., 2007), and allows growth rate estimation. The archetypal example of such an evolution was  
788 described for rivers flowing down the Himalaya and crossing the rising anticline that forms the  
789 Siwaliks Hills above the Main Frontal thrust in central Nepal (Lavé and Avouac, 2001) but similar case  
790 studies have also been published from other regions of active folding worldwide (e.g., Molnar et al.,  
791 1994; Haghypour et al., 2012; Veloza et al., 2015). As for vertically offset terrace profiles, though  
792 often obscuring the general profile reconstruction, they may be used for the estimation of  
793 displacement rates on active dip-slip faults crossing a river if tread continuity, age data or other  
794 unambiguous terrace markers allow a reliable terrace correlation (e.g., Rockwell et al., 1984; Peters  
795 and Van Balen, 2007; Abou Romieh et al., 2009; Walker et al., 2010).

#### 796 **4.3 An integrated tectono-climatic model of Quaternary valley incision**

797 Much progress has been achieved in the understanding of Quaternary river incision during the last  
798 two decades. However, distinct research lines were followed within two poorly connected  
799 communities. On the one hand, 'fluvial archive geomorphologists' have performed extensive field  
800 work, analysing and dating predominantly fill terraces from terrace sequences of many alluvial rivers  
801 worldwide (see a recent synthesis in Bridgland and Westaway, 2014). Within the FLAG (Fluvial  
802 Archives Group) framework, river terrace sequences have been mapped, described and, in many  
803 cases, dated in the most varied tectonic settings, from very active mountains (e.g., Tibet:  
804 Vandenberghe et al., 2011; Zhu et al., 2014), through regions of moderate tectonic activity (e.g.,  
805 Syria: Demir et al., 2007; Westaway et al., 2009; Turkey: Bridgland et al., 2012; Maddy et al., 2012;  
806 Iberia: Stokes and Mather, 2003; Cunha et al., 2005; Santisteban and Schulte, 2007; Harvey et al.,  
807 2014), epeirogenetically uplifted (Rhenish shield: Van den Berg, 1996; Pissart et al., 1997; Boenigk  
808 and Frechen, 2006; Cordier et al., 2009; French Central Massif: Pastre, 2004) and tectonically stable  
809 (Britain: Bridgland, 2010; Bridgland et al., 2015; Paris Basin: Cordier et al., 2006; Antoine et al., 2007;  
810 Despriée et al., 2007) areas of NW Europe, Russian Arctic (Alekseev and Drouchits, 2004; Patyk-Kara  
811 and Postolenko, 2004), to cratonic areas (South Africa: Helgren, 1978; Ukrainian shield: Matoshko et  
812 al., 2004; Australia: Nott, 1992; Nott et al., 2002). Some of this research has highlighted that many  
813 rivers worldwide show a similar temporal pattern of increased incision rate since the early Middle

814 Pleistocene, and attributed this to the mid-Pleistocene climatic degradation enhancing erosion and,  
815 thus, erosional isostatic rebound of the crust (Westaway et al., 2003; Bridgland and Westaway, 2008,  
816 2014). This would suggest that the part of the total uplift/incision that responds to this climate-  
817 driven mechanism in tectonically active areas is more or less systematically superposed on the true  
818 tectonic component of uplift. This may be true in epeirogenically deformed continental interiors,  
819 where both components are of the same order of magnitude (e.g., Demoulin and Hallot, 2009) but is  
820 also a subject of debate in more active mountains (see, for example., the aneurysm vs fold growth  
821 controversy about the dominant cause of extreme uplift rate in the eastern Himalayan syntaxis;  
822 Zeitler et al., 2001; Seward and Burg, 2008).

823 On the other hand, process geomorphologists have developed a parallel approach more centred on  
824 numerical modelling of river erosion in uplifting areas and mainly interested in quantifying controls  
825 on incision. A distinctive trait of their research, which has been reviewed mainly in section 2, is the  
826 special interest paid to the transient response of bedrock rivers to tectonic perturbations in active  
827 orogens (recent syntheses in Whipple et al., 2013; Lague, 2014). While modellers have so far made  
828 limited attempts to model terrace formation (e.g., Veldkamp, 1992; Hancock and Anderson, 2002;  
829 Finnegan, 2013; Stange et al., 2014; Norton et al., 2015, Geach et al., 2015a), many fluvial archive  
830 geomorphologists tend to be reluctant to incorporate transient features and their implications, i.e.,  
831 knickpoints and time-transgressive terraces, in their reconstructions of terrace sequences (e.g.,  
832 Bridgland and Westaway, 2012). Equally, it is also highly debatable whether the systematic global  
833 application of the model proposed by Westaway (e.g., 2002, 2012) to terrace sequences is  
834 meaningful in the many regions where loading gradients of erosional origin are much too small to  
835 cause lower crustal flow. Progress in the understanding of the complex interplay between tectonic  
836 activity and surface processes clearly requires the rapprochement of the two communities (Briant et  
837 al., 2016), both of whom essentially work on the same fundamentals.

838 Based on detailed terrace studies in the Ardenne where the climatic and tectonic controls narrowly  
839 intermingle, Demoulin et al. (2012) recently proposed a conceptual hybrid model of valley  
840 downcutting that acknowledges the alternation of climatic terrace succession and knickpoint  
841 propagation. The main ingredients of drainage system incision in the Ardenne during the Quaternary  
842 are a pulse of accelerated uplift ( $\sim 0.3$  mm/yr) around 0.7 Ma superposed on a background uplift rate  
843 close to zero prior to 0.7 Ma and very slightly higher ( $\sim 0.05$  mm/yr) after the pulse (Demoulin and  
844 Hallot, 2009; Beckers et al., 2015) interacting with the glacial-interglacial cycles. Demoulin et al.  
845 (2012) oppose a steady state evolution under constant background uplift, which leads to the  
846 formation of climatic terraces evolving simultaneously in the whole drainage system, in agreement  
847 with the classical cyclic model (e.g., Bridgland, 2000; Vandenberghe, 2008), and a transient episode  
848 responding to the uplift pulse by the creation and propagation in the system of knickpoints, whose  
849 displacements caused from 0.7 Ma onwards the formation of one time-transgressive terrace level in  
850 the Ardennian valleys. Evidenced by CRN dating (Rixhon et al., 2011), the diachronic character of this  
851 particular level is easily measured in the Meuse tributaries ( $A < 4000$  km<sup>2</sup>) but is not resolvable in the  
852 Meuse itself ( $A \approx 20,000$  km<sup>2</sup>) where the erosion wave migrated much faster. Currently located in the  
853 system's headwaters and reactivated during each climate-dependent episode of incision, the  
854 knickpoints constitute a mobile line of separation between an upstream area where the pre-pulse  
855 steady state still induces very limited incision and a downstream region where younger terraces  
856 more or less parallel to the modern profile illustrate the interplay between climate oscillations and  
857 the current steady state uplift/incision rate (Fig. 9B). In this case study, climate oscillations may have  
858 helped in developing a sharp profile discontinuity from a rather small change in U because high  
859 sediment delivery from hillslopes prevented incision during cold periods, temporarily freezing the  
860 system's response and allowing the accumulation of a large finite base level fall at the edge of the  
861 uplifting area before incision resumed at the next climatic transition ( $\sim 20$ -m-high knickpoints  
862 required 65 ky to form from a  $\sim 0.3$  mm/yr increase in uplift rate).

## 863 **5 Planform changes in fluvial systems**

## 864 **5.1 Changes in channel form**

865 Active vertical crustal deformation is recorded not only in the vertical evolution of rivers but also in  
866 changes of the planform patterns of alluvial channels. Based on experiments and field evidence,  
867 Ouchi (1985) showed that a meandering river crossing a growing anticline tends to respond first by  
868 increasing its sinuosity on the oversteepened downstream limb of the fold and, conversely,  
869 straightening its course across the fold's upstream half where the valley profile flattens (**Fig. 11**).  
870 However, in the latter zone, the ponding of the river and the associated aggradation often induce  
871 also the development of reticulate or anabranching channel patterns. In the case of braided rivers,  
872 transverse folding leads to an up-fold transition from braided to meandering-braided pattern.  
873 Downstream the resulting sedimentation and flow concentration forms a straight single channel  
874 incising the fold core before returning to braided channels downstream of the fold. Further examples  
875 of such short-term responses of alluvial rivers have been given by, e.g., Jain and Sinha (2005),  
876 Petrowszki and Timar (2010), Burbank and Anderson (2012). Moreover, Harbor (1998) and Amos and  
877 Burbank (2007) note that the first response of small alluvial rivers to fold growth is by channel  
878 narrowing. Only if the amount of differential uplift increases must channel narrowing be  
879 complemented by gradient steepening, first through channel straightening then knickpoint  
880 formation, in order to maintain antecedence. However, in a study of low-gradient alluvial rivers in SE  
881 Louisiana (USA), Gasparini et al. (2015) are not able to see a clear lead and lag between channel  
882 narrowing and changes in sinuosity in response to small differential uplift rates, nor do they identify  
883 the factors that determine an incisional versus planform response.

884 Based on observation of the response of the braided Da'an River to 10 m uplift of the Dongshi  
885 anticline during the 1999 Chi-Chi earthquake in Taiwan, Cook et al. (2014) recently introduced the  
886 concept of downstream sweep erosion, responsible for gorge eradication. They note that, after a  
887 gorge had rapidly formed through knickpoint retreat across the uplifted valley reach and had  
888 transiently widened through channel wall undercutting, propagation of the knickzone in the  
889 sediment wedge upstream of the anticline largely removed them over a width of 250 m, causing  
890 aggradation in the gorge and exposing the upstream-facing edge of the anticline. Owing to the  
891 abrupt narrowing of a ~800-m-wide braidplain into a 25-m-wide gorge, channel shifts through  
892 avulsions in the plain drove rapid erosion of parts of the exposed anticline scarp, resulting in its  
893 parallel downstream retreat, consumption of the uplifted topography, bevelling of the valley floor,  
894 and shortening of the gorge length (**Fig. 12**). Observing that the rate of this process is one order of  
895 magnitude higher than that of gorge widening and that previous coseismic uplifts of the anticline  
896 have left no trace in the topography, Cook et al. (2014) propose that, at least in the case of episodic  
897 uplift, downstream sweep erosion is an efficient mechanism for the erasure of a gorge created  
898 downstream of a broad floodplain.

## 899 **5.2 Capture and drainage reorganisation**

900 If the uplift rate is too high for river erosion to keep pace with it (depending on the balance between  
901 sediment flux, upstream ponding and river power, see Humphrey and Konrad, 2000) and  
902 antecedence cannot be maintained, stream diversion (*sensu* Bishop 1995), generated by, e.g., lake  
903 spillover (Hood et al., 2014) or stream piracy, can lead to drainage reorganisation at a range of scales.  
904 Van der Beek et al. (2002) also show that, in the case of drainage transverse to active fault-  
905 propagation folding, the axial slope developing at the back of the growing fold for a non-zero dip of  
906 the underlying detachment favours stream deflection toward the propagating fold tip, so that the  
907 spacing of transverse rivers is controlled much more by the characteristic fault segment length than  
908 the ratio between uplift and incision rates. Beyond the drainage system planform geometry, traces of  
909 this process in the landscape are mainly windgaps (Burbank et al., 1996) and the incision response to  
910 redistribution of discharge (e.g., Yanites et al., 2013). River captures determined by differential uplift  
911 occur at all spatial scales, from local to subcontinental. To take one example of the latter, in their  
912 compilation of the drainage history in E and SE Tibet, Clark et al. (2004) document a number of  
913 captures and drainage reversal events by which the lower Yangtze successively diverted to the east

914 streams that originally gathered in a single major SE-flowing stream from which the modern Red  
915 River represents the subsisting lower course, while other rivers of the ancient Red River catchment,  
916 including the upper Mekong, Salween and, possibly, Tsangpo-Brahmaputra, would have been  
917 diverted to the S and SW (**Fig. 13A**). Despite lacking age constraints, they argue this large-scale  
918 drainage reorganisation, and especially reversal of the middle Yangtze and capture of the upper  
919 Yangtze, occurred possibly in Oligocene to mid-Miocene times, prior to regional uplift of E Tibet,  
920 which imposed ~2000 m incision since reversal of the flow direction of the middle Yangtze. Based on  
921 mass balancing between eroded and deposited rock volumes and isotopic analysis of sediments from  
922 the Hanoi Basin, Vietnam, Clift et al. (2006) confirm this view of large-scale beheading of the Red  
923 River catchment, possibly including loss of the middle Yangtze, before ~24 Ma. Recently, Kong et al.  
924 (2012) challenged the old age of these events, using detrital zircon U-Pb and cosmogenic  $^{10}\text{Be}/^{26}\text{Al}$   
925 burial ages of fluvial sands to conclude that rerouting of the upper Yangtze would instead have been  
926 realised within the last 1.7 Ma, although these conclusions are disputed by other researchers  
927 (Bridgland and Westaway, 2012).

928 In continental Iberia, river capture has operated on a variety of scales, connecting previously  
929 endorheic continental basins with the Atlantic (e.g., Anton et al., 2012; Martins et al., 2017) and  
930 Mediterranean (e.g., Harvey et al., 2014). These captures, whose drivers have principally been  
931 attributed to differential uplift rates, are associated with rapid and dramatic base-level changes  
932 which stimulate accelerated bedrock erosion in rejuvenated catchments and the development of  
933 transient knickpoints that migrate headward (e.g., Stokes et al., 2002; Mather et al., 2002). One of  
934 the best documented events occurred on a small sedimentary basin scale (capture of 300 km<sup>2</sup> of  
935 adjoining drainage) in the Sorbas Basin (SE Spain). The river capture was first reported by Harvey and  
936 Wells (1987). Later work by Mather (2000a, b), Mather et al. (2003), and Stokes et al. (2002) together  
937 with advances in dating techniques applied to the regional fluvial terrace sequence (e.g., Candy et al.,  
938 2005; Geach et al., 2015b) enable constraints to be placed on rates and nature of landscape change  
939 relating to the 90 m drop in base-level effected by the capture, and migration of the ensuing wave of  
940 incision (Mather et al., 2002). The main response was a dominance of vertical incision following  
941 headward knickpoint migration and a change to lower post-capture width/depth ratio of valley  
942 sections, landsliding of the oversteepened slopes being a secondary valley-side response. The  
943 capture event occurred after a terrace was abandoned at ~70 ka and significantly before aggradation  
944 of the next terrace (~30-40 ka). Based on these dates, a minimum sevenfold increase in incision rate  
945 (>1.4m/ka) has been estimated in the diverted stream after the capture event. The head of the  
946 knickzone has now reached some 20 km upstream since the capture, and is still actively migrating  
947 through the system (e.g., Mather and Stokes, 2016). In contrast, the beheaded drainage has limited  
948 incision and localised aggradation. Similar responses have been recorded in other capture events  
949 (e.g., Azañón et al., 2005).

950 However, stream piracy can also result from a range of non-tectonic causes and the link between  
951 capture and tectonic surface uplift or tilt is often more difficult to isolate and/or to prove. An  
952 instance of this is provided by the Plio-Quaternary captures that made the Aare River successively  
953 belong to the Danube basin, then the Rhône basin (through the paleo-Doubs), and finally to the  
954 modern Rhine basin flowing to the North Sea (Giamboni et al., 2004; Ziegler and Fraefel, 2009;  
955 Schlunegger and Mosar, 2011) (**Fig. 13B**). There, the main driver of captures was base level falls in  
956 different active grabens much more than moderate uplift in the intervening areas. The first westward  
957 diversion of the Aare from the Danube to the Rhône catchment at ~4.2 Ma was caused by headward  
958 incision of the proto-Doubs, whose base level was constituted by the subsiding Bresse graben,  
959 toward the Aare-Danube, which flowed along the SE margin of the Vosges-Black Forest crustal  
960 arching. Likewise, the diversion of the Aare toward the proto-Rhine basin in the north at 2.9 Ma also  
961 resulted from a large gradient in vertical motion between main resuming subsidence in the southern  
962 part of the graben and subordinate uplift of the Sundgau area.

963 Whilst quantitative estimates of the impact of captures (of any origin) on incision rates and patterns  
964 and of the corresponding response times have recently been provided for several case studies (e.g.,  
965 Mather et al., 2002., Stokes et al., 2002; Prince et al., 2011; Schlunegger and Mosar, 2011; Andrews  
966 et al., 2012; Brocard et al., 2012; Yanites et al., 2013; Aslan et al., 2014, Anton et al., 2014), numerical  
967 modelling of the mechanisms governing divide migration and drainage reorganisation has yielded  
968 insights into other aspects of the dynamics of landscape evolution. Willett et al. (2014) devised a new  
969 way to estimate drainage divide disequilibrium, i.e., the degree of competition between streams  
970 eroding the opposite sides of mountain ridges. They note that, by definition linearly related to  
971 steady-state channel elevation, the values of Perron and Royden's (2013)  $\chi$  variable defined in  
972 equation (17) should be equal across water divides that have reached geometric equilibrium  
973 between steady-state catchments. Therefore,  $\chi$  maps (at constant  $m/n$ ) of drainage networks and  
974 comparison of the headwater values across divides highlight the zones out of equilibrium, with  
975 drainage divide migration expected in the direction of higher  $\chi$  values. Though employing several  
976 simplifying assumptions (stream power erosion, uniform U, K, precipitation rates) that are also  
977 typically used in formal inversion techniques (section 2.4), this approach identifies the NW migration  
978 of the Blue Ridge in SW United States and suggests unstable second-order divides on the eastern  
979 flank of the Central Range, Taiwan (Willett et al., 2014).

980 Studying how drainage networks react to a combination of uplift and horizontal shear strain on both  
981 flanks of the Southern Alps of New Zealand, Castelltort et al. (2012) also illustrate how drainage  
982 divide migration conditions different records of the horizontal shear in the river courses on both  
983 sides of the range. Taking into account a transverse gradient in uplift rate (decreasing SE-ward) and  
984 the orographic effect on precipitation, which favours erosion on the western flank, in their modelling  
985 of oblique convergence along the Alpine fault, they show that actively eroding rivers of the NW side  
986 extend their catchment at the expense of those of the other side of the range by gradually pushing  
987 the divide to the SE. Therefore, the NW rivers maintain their course roughly orthogonal to the main  
988 divide through a succession of small drainage reversal and capture events, thus removing the effect  
989 of shear strain from their planform pattern, whereas the less competitive rivers of the SE flank tend  
990 to rotate passively, keeping record of the shear history. Goren et al. (2015) further confirm these  
991 findings in their analysis of rivers draining the western flank of Mount Lebanon, where rotated basins  
992 record distributed horizontal deformation and  $\chi$  differences across secondary divides transverse to  
993 the mount axis image the resulting disequilibrium in divide position. In brief, despite potential  
994 interferences with other controls on drainage network evolution, these studies highlight that crustal  
995 deformation is a primary control on drainage system evolution in active mountains and underline  
996 how powerful numerical modelling is in retrieving the tectonic history from the landscape response  
997 characteristics. However, as shown by laboratory modelling experiments and observations in the  
998 Aconquija Range of NW Argentina (Bonnet and Crave, 2003; Bonnet, 2009), while regional erosion is  
999 prompted by uplift, differential incision and drainage divide shifts may equally result from either  
1000 tectonic (uplift gradient) or non-tectonic (e.g., rainfall gradient, lithological contrast) causes. Finally,  
1001 we do not consider here the primary organisation and general characteristics of drainage networks in  
1002 relation to relief creation (e.g., Hovius, 1996; Talling et al., 1997; Castelltort and Simpson, 2006;  
1003 Perron et al., 2009).

## 1004 **6 Depositional environments: stratigraphy produced by fluvial system deformation**

1005 It is fundamental to appreciate that if fluvial landscapes can respond transiently to tectonic  
1006 perturbations over timescales of millions of years (e.g. Whittaker et al., 2007b; Roberts and White,  
1007 2010), then fluvial stratigraphies, whether preserved in terraces or neighbouring depo-centres, can  
1008 record and preserve the erosional response of landscapes to tectonic forcing over similar periods  
1009 (Allen, 2008; Whittaker et al., 2010; Duller et al., 2012; Michael et al., 2013). In principle, the  
1010 terrestrial sedimentary record therefore provides a "mirror" view of river response to tectonic  
1011 forcing. Such archives are of particular value if their corresponding erosional landscape is no longer  
1012 preserved (Michael et al., 2014), and thus where stratigraphy serves as the only record of mass

1013 transfer across the surface of the Earth in response to past boundary conditions. While the sensitivity  
1014 and response timescales of erosional-depositional systems to high-frequency, high magnitude  
1015 climate changes remain highly contentious (e.g. Jerolmack and Paola, 2010; Simpson and Castelltort,  
1016 2012; Armitage et al., 2013), field studies provide growing evidence that the response of fluvial  
1017 systems to active faulting and, more generally, crustal deformation is indeed reflected in changes to  
1018 the characteristics of sediment both generated in upland catchments and subsequently preserved in  
1019 down-system archives (e.g Milliman and Sivitksi, 1992; Allen 2008; Whittaker et al., 2010; Parsons et  
1020 al., 2012).

1021 For instance, Whittaker et al. (2010) showed that for modern catchments crossing active normal  
1022 faults in central Italy, and responding transiently to an increase in slip rate within the last million  
1023 years, the majority of sediment export came from the migrating knickzone upstream of the faults,  
1024 driven by the associated hillslope response to rapid fluvial incision. The upstream propagation of  
1025 these knickzones was likened to the firing of a “sediment gun” which led to the production of greater  
1026 sediment volumes and the export of coarser grain sizes resulting from landsliding into the channel.  
1027 Similar results have been observed for the Feather River catchment, California, in response to a rapid  
1028 drop in base level (Attal et al., 2015), and together these types of study emphasise the close and  
1029 dynamic coupling of hillslope and river processes in generating fluvial sediment fluxes in tectonically  
1030 active areas (cf Allen, 2008). Numerical models also demonstrate clearly the linkages between  
1031 tectonic forcing, river response and sediment supply (e.g., Cowie et al., 2006; Armitage et al., 2011;  
1032 Van de Wiel and Coulthard, 2010; Simpson and Castelltort, 2012, Allen et al., 2013; Forzoni et al.,  
1033 2014). Cowie et al. (2006) coupled a fault growth and interaction model to the landscape evolution  
1034 model CASCADE and demonstrated that the volumes and locus of sediment export were controlled,  
1035 with a noticeable time lag, by the growth and linkage of fault segments, and this dynamic evolution  
1036 significantly influenced river long profiles, drainage networks and the points at which sediment was  
1037 fluxed to hanging-wall depo-centres as through-going faults increased their slip rates. Recent work by  
1038 Allen et al. (2015) quantified this grain size supply effect and demonstrated that it exerted a  
1039 fundamental control on depositional stratigraphy.

1040 Stratigraphic models explicitly linking catchments to their fluvial stratigraphies have also made plain  
1041 the quantitative links between sediment supply characteristics, driven by landscape response to  
1042 active tectonics, and proximal terrestrial sediment archives. Forzoni et al. (2014) show clearly how  
1043 sediment supply and grain size trends in their 1D model are influenced by tectonic forcing using  
1044 catchments in the Italian Apennines as a template, while Armitage et al. (2011, 2013), using a non-  
1045 linear diffusion approach, show that changes in tectonic uplift rate produce diagnostic patterns in  
1046 fluvial stratigraphy. Their results indicated that grain size trends in sedimentary basins are  
1047 predictable functions of accommodation space creation and of the degree of tectonic perturbation  
1048 affecting the footwall/hangingwall system (cf Fedele and Paola, 2007; Duller et al., 2010). Moreover,  
1049 an increase in fault slip rate resulted in differing vertical grain size trends through the resulting  
1050 stratigraphy, depending on the distance from the depositional fan apex. This response was  
1051 fundamentally caused by the lag-time between the instantaneous generation of tectonic subsidence  
1052 following an increase in fault slip rate, compared to the slower sediment supply response driven by  
1053 the landscape system. Rohais et al. (2012), using an analogue modelling approach, arrived at similar  
1054 conclusions. In particular, their results suggested that trends in sediment calibre in terrestrial  
1055 stratigraphy recorded a non-linear response of their coupled catchment-depositional systems to  
1056 changes in both tectonic and climatic boundary conditions. The result of these changes included a  
1057 time-dependent disequilibrium between sediment supply and sediment transport capacity in the  
1058 modelled catchment. Overall, these studies all indicate that the transient stratigraphy produced by  
1059 river response to tectonic perturbation, such as a change in fault uplift rate, might initially be seen as  
1060 a contemporaneous fining in proximal deposits, as accommodation space is generated initially,  
1061 followed by a prograding wedge of coarse fluvial gravels, as sediment supply and median grain sizes  
1062 exported from upland catchments increase during the transient landscape response phase (cf  
1063 Whittaker et al., 2010). Rates of stratigraphic grain size fining are documented to increase for a

1064 decrease in sediment supply and an increase in accommodation generation, respectively (e.g.,  
1065 Parsons et al., 2012).

1066 It is evident that if fluvial stratigraphy records river response to tectonic forcing, then in principle we  
1067 can exploit, e.g., the nature of a fluvial terrace fill to say something about past tectonic (or  
1068 environmental) forcing. One strategy here is to concentrate on the implied depositional long profile  
1069 gradient of the river channel that deposited the terrace sediments, exploiting grain size analysis of  
1070 the terrace fill. Using a dimensionless shear stress (Shields stress) approximation, where river long  
1071 profile gradients, depths and widths trade off against each other predictably, a number of authors  
1072 have reconstructed palaeo-slopes from fluvial deposits (e.g., Paola and Mohrig, 1996) and thus  
1073 obtained palaeo-long profiles from fluvial stratigraphy. In northern Colorado and Western Nebraska,  
1074 for instance, based on contrasting the apparently differing transport or depositional slopes of Late  
1075 Miocene river sediments with present day long-profile estimates, this approach has led to a lively  
1076 debate as to whether these sediments have been tilted post-depositionally by regional uplift  
1077 processes (McMillan et al., 2002; Duller et al., 2012). Other authors have concentrated on  
1078 constraining stratigraphic grain size fining rates and using this to invert fluvial stratigraphy for both  
1079 sediment fluxes and distribution of tectonic subsidence in areas of active faulting and uplift  
1080 (Whittaker et al., 2011; Paola and Martin, 2012; D'Arcy et al., 2016). Moreover, if the entire down-  
1081 system sediment routing system can be constrained, ideally including non-tectonic controls (e.g.,  
1082 particle abrasion; Attal and Lavé, 2006, 2009), then the stratigraphy can be used to determine the  
1083 volumes, rates and characteristics of sediment eroded from the uplifting area as a whole (Michael et  
1084 al., 2013, 2014). Such an approach is particularly powerful when combined with techniques such as  
1085 detrital thermochronometry (e.g., Kuhlemann, 2007; Whitchurch et al., 2011). These results  
1086 therefore underline that information about river response to tectonic forcing can and should be  
1087 extracted not just from the morphology of, e.g., a terrace fill or fluvial deposit, but also from the  
1088 sedimentary characteristics themselves, and exploiting this record remains an attractive target for  
1089 future research.

## 1090 **7 Conclusion: challenges and prospects**

1091 In this review, we illustrate the wealth of information fluvial archives and present-day characteristics  
1092 of rivers and drainage systems contain about vertical crustal deformation and associated landscape  
1093 evolution. However, developments in the study of the fluvial system response to tectonic forcing are  
1094 currently so fast and involve so many different approaches that a review such as this can only touch  
1095 on the wide range of literature addressing these important topics. While this review shows that a  
1096 great deal of progress has been made in understanding how uplift influences both the erosional  
1097 landforms generated by fluvial processes, and their depositional stratigraphy, a number of key  
1098 challenges remain. To conclude, we highlight some of major issues that will have to be addressed in  
1099 future research about the links between crustal deformation and river evolution. In particular we  
1100 need to:

1101 (1) Reduce uncertainties linked to how the effects of non-tectonic controls on river erosion (lithology,  
1102 hillslope sediment delivery, sediment flux, channel hydraulic geometry, stochasticity of effective  
1103 discharge) affect the river response to tectonic perturbations

1104 (2) Increase the quantity and quality of integrated data sets that combine field evidence (e.g., fluvial  
1105 terraces, sediment load), erosion and incision rates (CRN, low-T thermochronology), river profile  
1106 analysis, and drainage system morphometry. This would provide a strong support to model  
1107 benchmarking and an improved understanding of the spatio-temporal characteristics of tectonic  
1108 forcing

1109 (3) Exploit the tectonic information contained in the sediment component of fluvial systems (material  
1110 characteristics and depositional environments)

1111 (4) Explore all prospects offered by new high-resolution remote sensing products (Lidar DSMs and  
1112 DTMs, three-dimensional scanning, global high-resolution DTMs)

1113 (5) Bridge the gaps between research communities (e.g., modellers vs field geomorphologists,  
1114 specialists of surface versus deep crustal processes) to increase the consistency of the global picture.

1115 **Acknowledgments.** We warmly thank reviewer M. Attal and guest editor D. Bridgland for their  
1116 constructive comments, which helped improve the balance between viewpoints on some  
1117 controversial issues.

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1879

1880 **Figure captions**

- 1881 1. Graded (Aisne) versus transient (Hoëgne) river long profiles and their analysis by S-A plots, as  
1882 exemplified by two Ardennian rivers. Note that an abrupt change in bedrock lithology could impose  
1883 the same kind of discontinuity to a steady state profile as that displayed by the transient Hoëgne  
1884 profile. However, the S-A plot would not display this type of  $k_s$  change.
- 1885 2. A. Hack's  $SL$  index definition and measurement for three 2-km-long reaches of the Aisne  
1886 (Ardenne):  $SL$  variations are small along a graded stream. B. Example of  $SL$  mapping: Gallego upper  
1887 catchment (Spanish Pyrenees, UTM zone 30T) (from Troiani et al., 2014, fig. 9).
- 1888 3. Metrics of the geometric concavity of a river profile (after Demoulin, 1998). The combination of  
1889 two metrics, namely  $E_q$  (normalized distance to source of  $H_{max}$ ) and either  $E_r$  (light yellow area) or  
1890  $H_{max}$  (maximum normalized difference in elevation) completely describes the profile concavity.
- 1891 4.  $SL$  (A) and  $k_{sn}$  (B) maps featuring river profile steepness in the same area of the San Gabriel  
1892 Mountains, California, and showing the overall consistency between both types of measurements (A.  
1893 modified after Keller 1986; B. from DiBiase et al., 2010).
- 1894 5. Long profile metrics of the Kerynitis River (northern Peloponnese, Greece). The profile is extracted  
1895 from a 20-m-resolution DEM. A. S-A plot displaying such noisy slope data that concavity and  
1896 steepness estimates are erratic and hardly meaningful and segment separation rather subjective (the  
1897 featured separation is imported from the chi plot in B). B. Segmented chi plot of the same profile.  
1898 Segment separation is performed by visual inspection of the chi plot of the entire stream. Best fit  
1899  $m/n$  represent concavity values; slope of best fits (in brackets) indicates segment steepness. These  $k_s$   
1900 steepness values are related to different concavities and thus not directly comparable. Normalized  
1901 steepness values  $k_{sn}$  of 0.32 and 0.25 ( $\theta_{ref} = 0.5$ ) are obtained for example for segments S1 and S2,  
1902 respectively, i.e., in inverse relation with respect to the corresponding  $k_s$  values. Note that the scale  
1903 of chi axis changes with best fit  $m/n$ , resulting in altered relative lengths of the successive segment  
1904 plots. WP. Whole profile.
- 1905 6. Relation between steepness index and uplift rate. A. Modelled for streams in the low and high  
1906 uplift zones (LUZ and HUZ, respectively) of the Mendocino triple junction area, northern California  
1907 (from Snyder et al., 2003). Fitting a curve to both points requires either taking into account a  
1908 threshold shear stress (model of Tucker and Bras, 2000) or taking  $n = 3.8$ . B. Compilation of published  
1909 data, assuming that the investigated fluvial landscapes are close to or at steady state and, thus,  
1910 denudation, incision, and uplift rates are equal (from Lague, 2014, with references therein).
- 1911 7. Types of knickpoint in synthetic stream profiles (left) and their appearance on S-A plots (right). A.  
1912 Vertical step knickpoint separating segments of same  $\theta$  and  $k_{sn}$  (e.g., transient knickpoint produced  
1913 by a pulse of uplift; permanent lithologic knickpoint). B. Slope-break knickpoint trailing a new higher-  
1914  $k_{sn}$  downstream profile in equilibrium with increased uplift rate; steady-state concavity is unchanged  
1915 after passage of the knickpoint. C. Knickzone pointing to either disequilibrium downstream profile ( $k_s$   
1916 meaningless) or steady-state spatial gradient in uplift. S1 and S2: segments upstream and  
1917 downstream of the profile discontinuity, respectively;  $k_{s(n)}$  and  $\theta$  are numbered accordingly in the  
1918 right-hand graphs.
- 1919 8. A. Description of the  $R$  metric components for the Selinous River (northern Peloponnese):  $I^* = I/I_0$ ,  
1920 with  $I_0$  = length of the river, cumulative length of its drainage network, and basin area respectively for  
1921  $H_r$ ,  $H_n$ , and  $H_b$ ;  $h^* = h/h_0$ , with  $h_0$  = basin relief.  $H_b$ ,  $H_n$  and  $H_r$ : hypsometric curves of the basin, the  
1922 drainage network, and the trunk stream ( $H_r$  is therefore simply the trunk stream long profile).  $E$   
1923 describes the basin's elongation. For the definition of  $R$ , see equation (22) in the main text. B. Control  
1924 of drainage area  $A$  on  $R$ , illustrated in the northern (N), central ('Centre'), and southern (S) parts of  
1925 the Rhenish shield (W Europe). The slope  $S_R$  of the relation is characteristic of each subregion with a  
1926 distinct age of the tectonic perturbation ( $t_U$  = age of last uplift pulse) (modified after Demoulin,  
1927 2011). C. Empirical power law dependence of  $S_R$  on time since the last tectonic perturbation,

1928 obtained from  $S_R$  estimates in regions with uplift of known age. RS. Rhenish shield (from Demoulin,  
1929 2012).

1930 9. A.  $^{10}\text{Be}/^{26}\text{Al}$  terrace ages (yellow stars, in ka – after Rixhon et al., 2011) of abandonment of the  
1931 time-transgressive "Younger Main Terrace" along the Lower Meuse – lower Ourthe – Amblève  
1932 drainage line (Ardenne, UTM zone 31U). Additional age data come from a buried knickpoint in a  
1933 beheaded valley (red star) and the corresponding knickpoints in modern channels (circled green  
1934 stars). B. Sketch of river incision and terrace pattern associated with the propagation of an erosion  
1935 wave caused by rapid base level fall, showing that, in this case, geometrically reconstructed terraces  
1936 parallel to the modern profile would not catch the actual incision history of the river (after Demoulin  
1937 et al., 2012).

1938 10. Examples of terrace profile patterns (variable distance and elevation scales). A. Parallel: Segre  
1939 River, Spanish Pyrenees (modified after Stange et al., 2013). B. Upstream diverging: Shiyou He River,  
1940 NE Tibet (modified after Hetzel et al., 2006). C. Downstream diverging: Pakarae River, North Island,  
1941 New Zealand (modified after Litchfield et al., 2010). D. Parallel, diverging from the modern channel  
1942 profile: Rappahannock River, Virginia, USA (modified after Howard et al., 1994). E. Warped: Bagmati  
1943 River, central Nepal (modified after Lavé and Avouac, 2000). MFT Main Frontal Thrust.

1944 11. Form change as first response of a meandering alluvial channel to a nascent anticlinal fold  
1945 orthogonal to the river (modified after Ouchi, 1985). Sinuosity increases in order to compensate for  
1946 the increased channel gradient on the downstream-dipping limb of the fold. Reduced gradients  
1947 upstream of the fold induce straightening and reticulating of the channel.

1948 12. Downstream sweep erosion upstream of the Da'an river gorge incised in the anticline which was  
1949 reactivated during the 1999 Chi-Chi earthquake (Taiwan). a. Channel width versus distance along the  
1950 gorge (the gorge reach described in **a** is located between white arrows in **b**). Dated vertical lines  
1951 show knickpoint location during gorge growth. b. Evolution with time of gorge edges, downstream  
1952 retreat of the upstream-facing slope scarp of the anticline, and sweeping channel in the broad  
1953 floodplain upstream of the anticline (from Cook et al., 2014).

1954 13. Examples of captures and drainage reorganisation. A. Margin of E and SE Tibet: progressive  
1955 capture of a large part of the paleo-Red River drainage network (highlighted in yellow) by the lower  
1956 Yangtze (highlighted in white). This evolution probably took place in Miocene times as a consequence  
1957 of the first uplift stages in eastern Tibet. Tentatively numbered red stars locate the successive  
1958 capture events that beheaded the Red River catchment, in parallel with progressive drainage reversal  
1959 along the middle Yangtze. Green stars and arrows suggest that similar events occurred possibly also  
1960 on the other side of the uplifted region, at the benefit of the present Mekong, Salween and, possibly,  
1961 Brahmaputra rivers (modified after Clark et al., 2004 and Clift et al., 2006). B. Aare River, northern  
1962 margin of the Central Alps: timing, in Ma, of the successive captures (red stars, with arrows indicating  
1963 the change in flow direction) that diverted the Aare successively from the Danube to the Rhone (via  
1964 the Doubs) catchment, then from the Rhone to the upper Rhine catchment. A more recent event  
1965 diverted also the Alpine Rhine at the benefit of the upper Rhine catchment. The successive courses of  
1966 the Aare are denoted by wide turquoise, medium-width middle blue, and thin dark blue vectors  
1967 (modified after Ziegler and Fraefel, 2009).

1968



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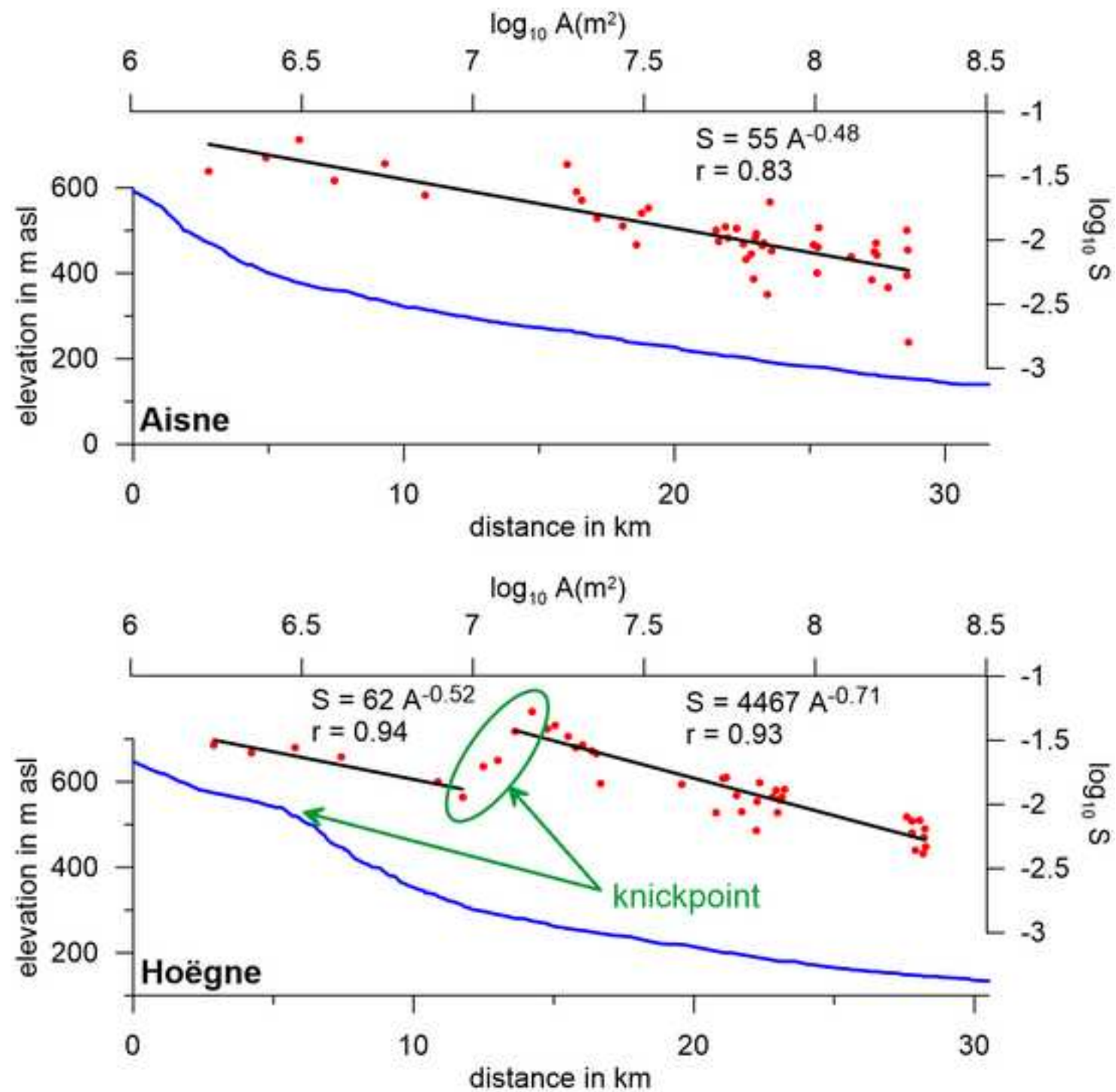


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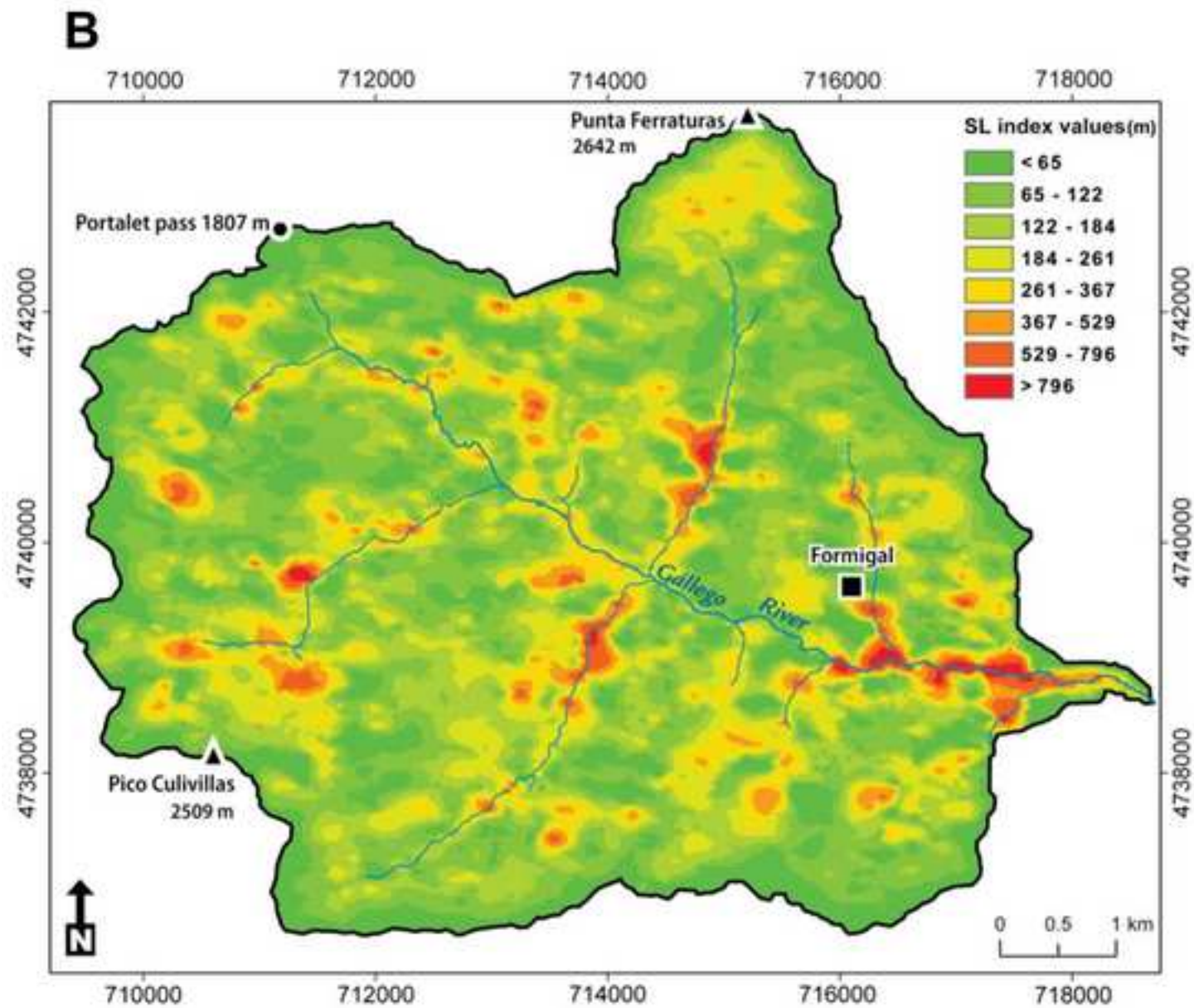
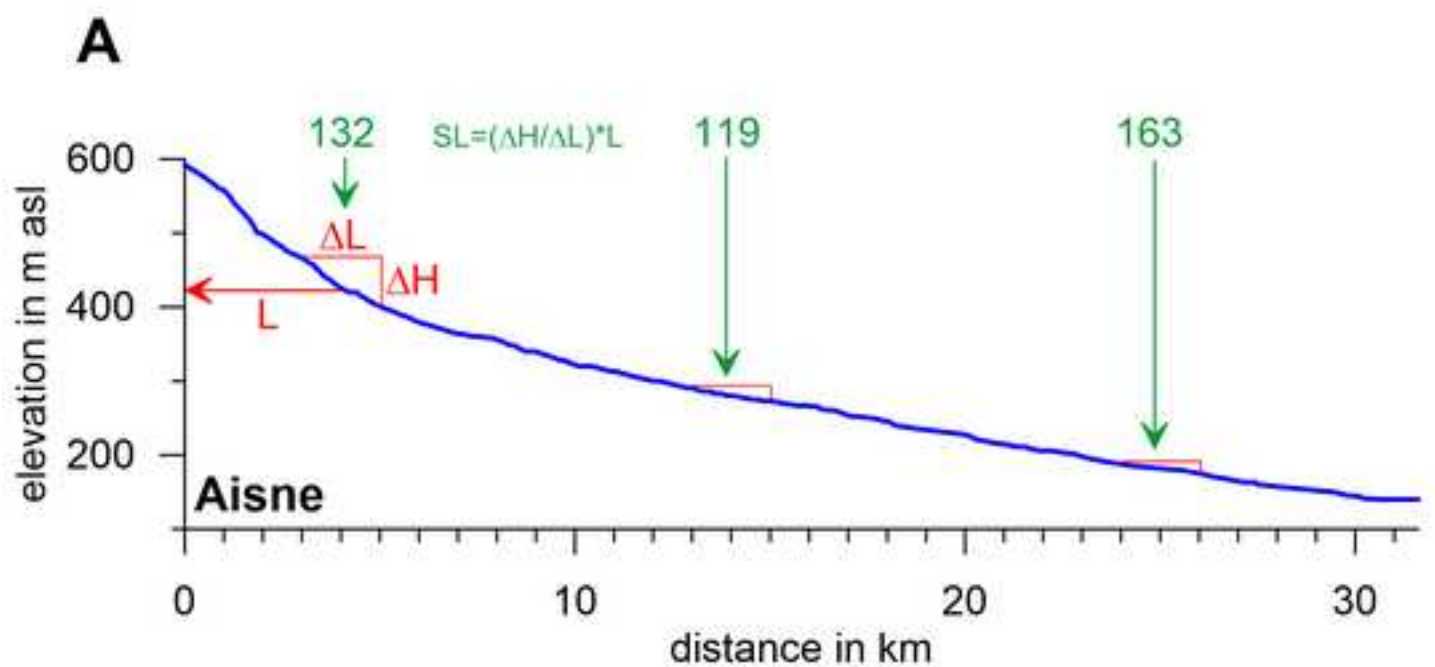


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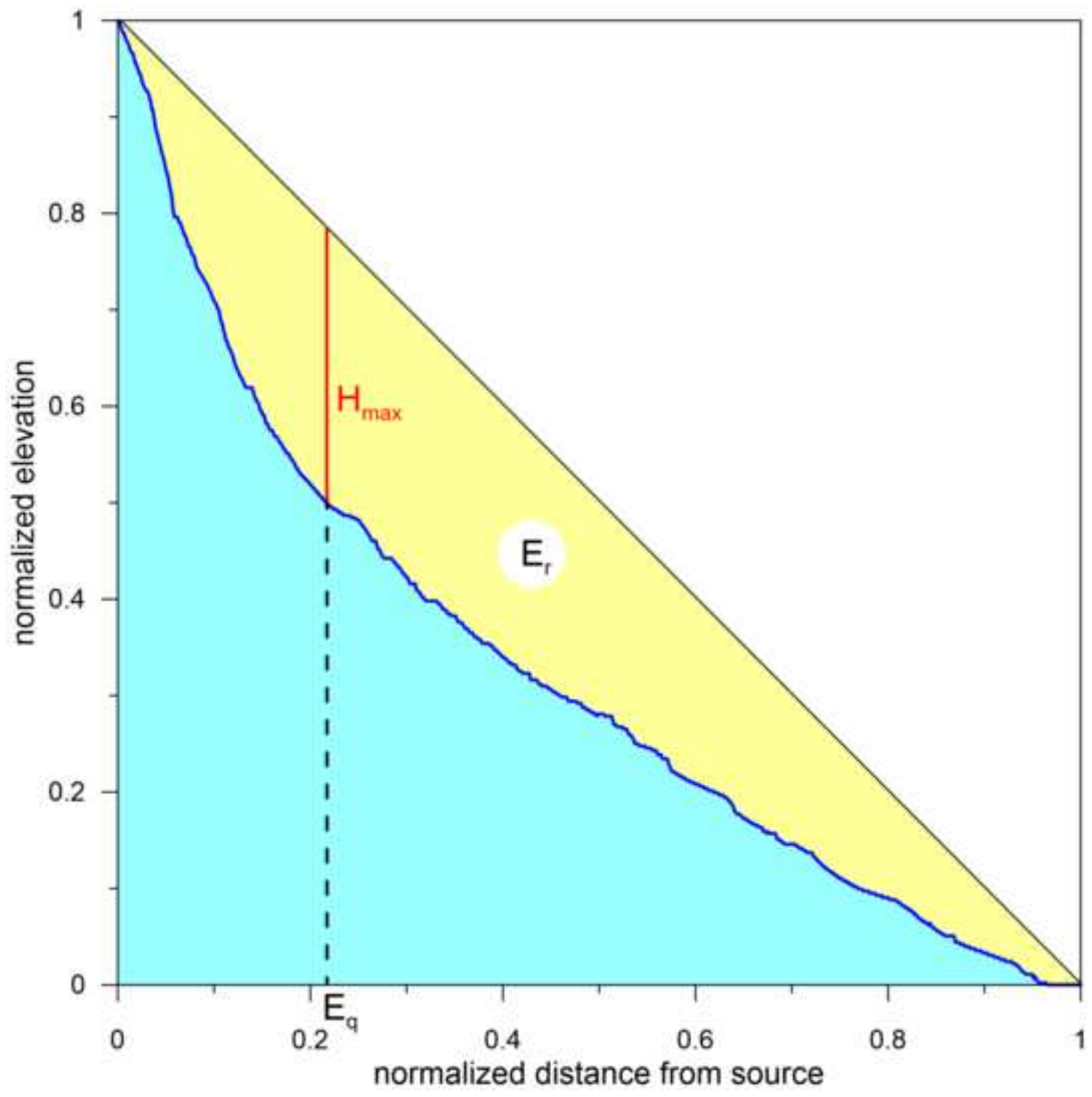


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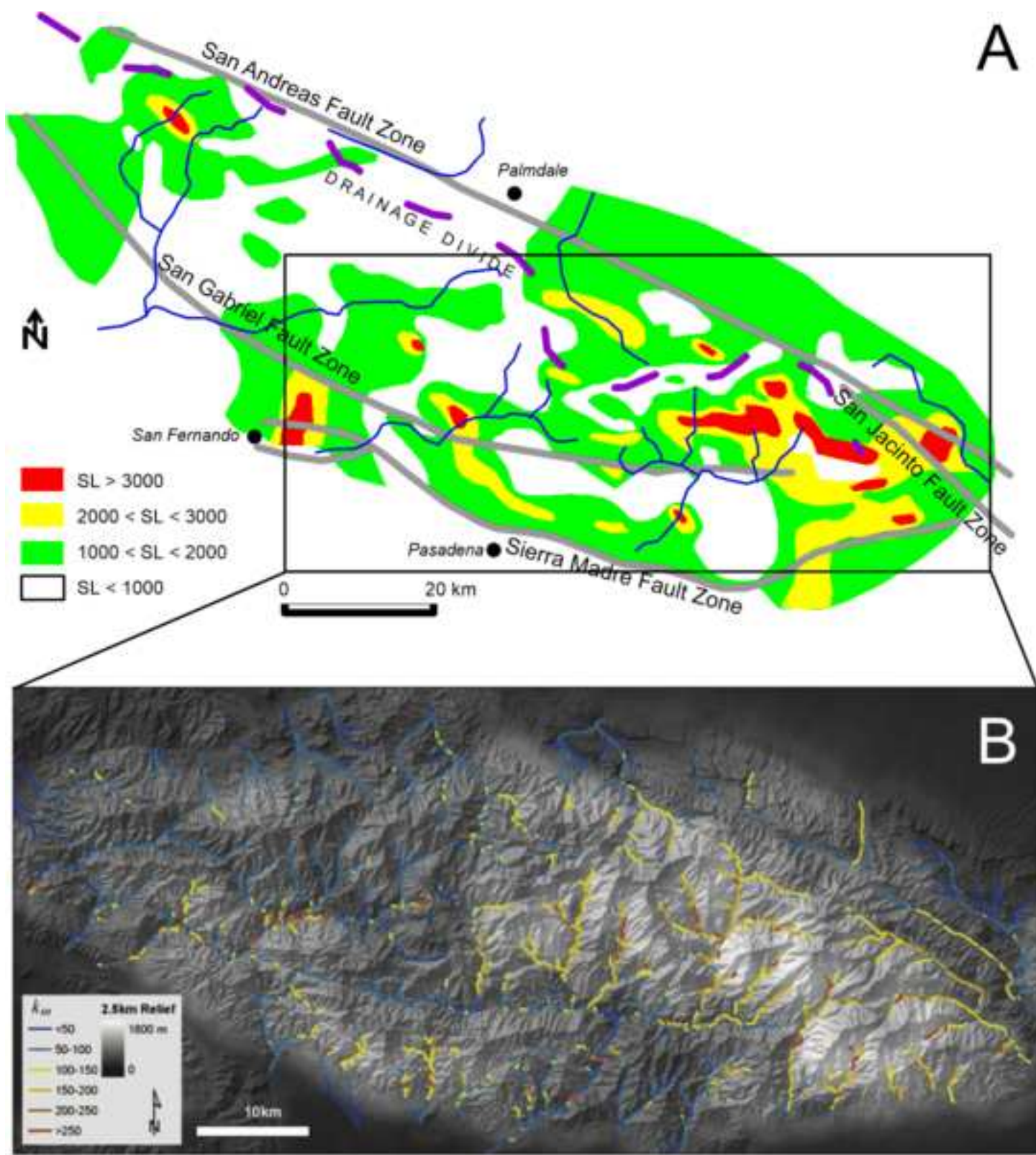


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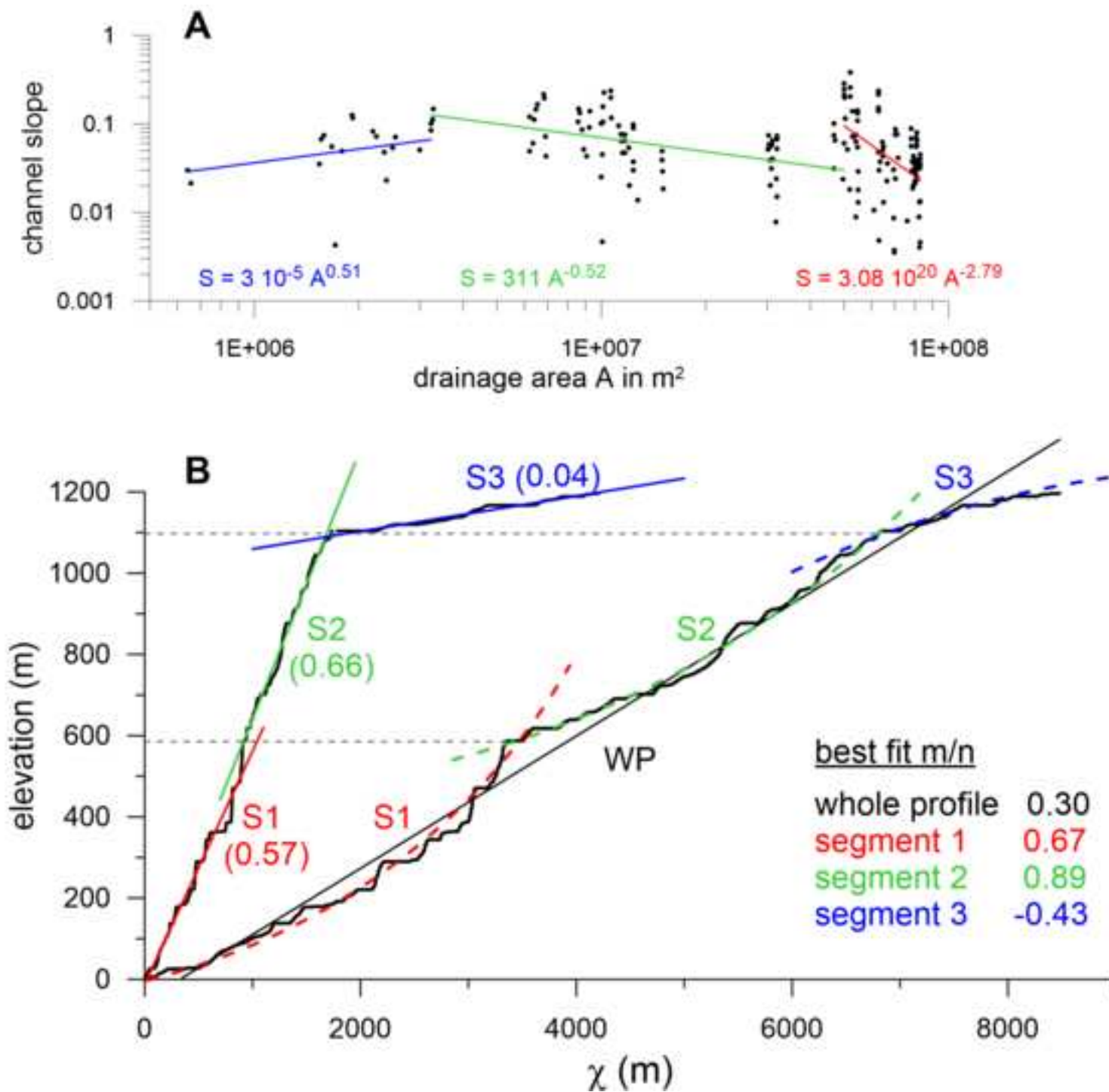


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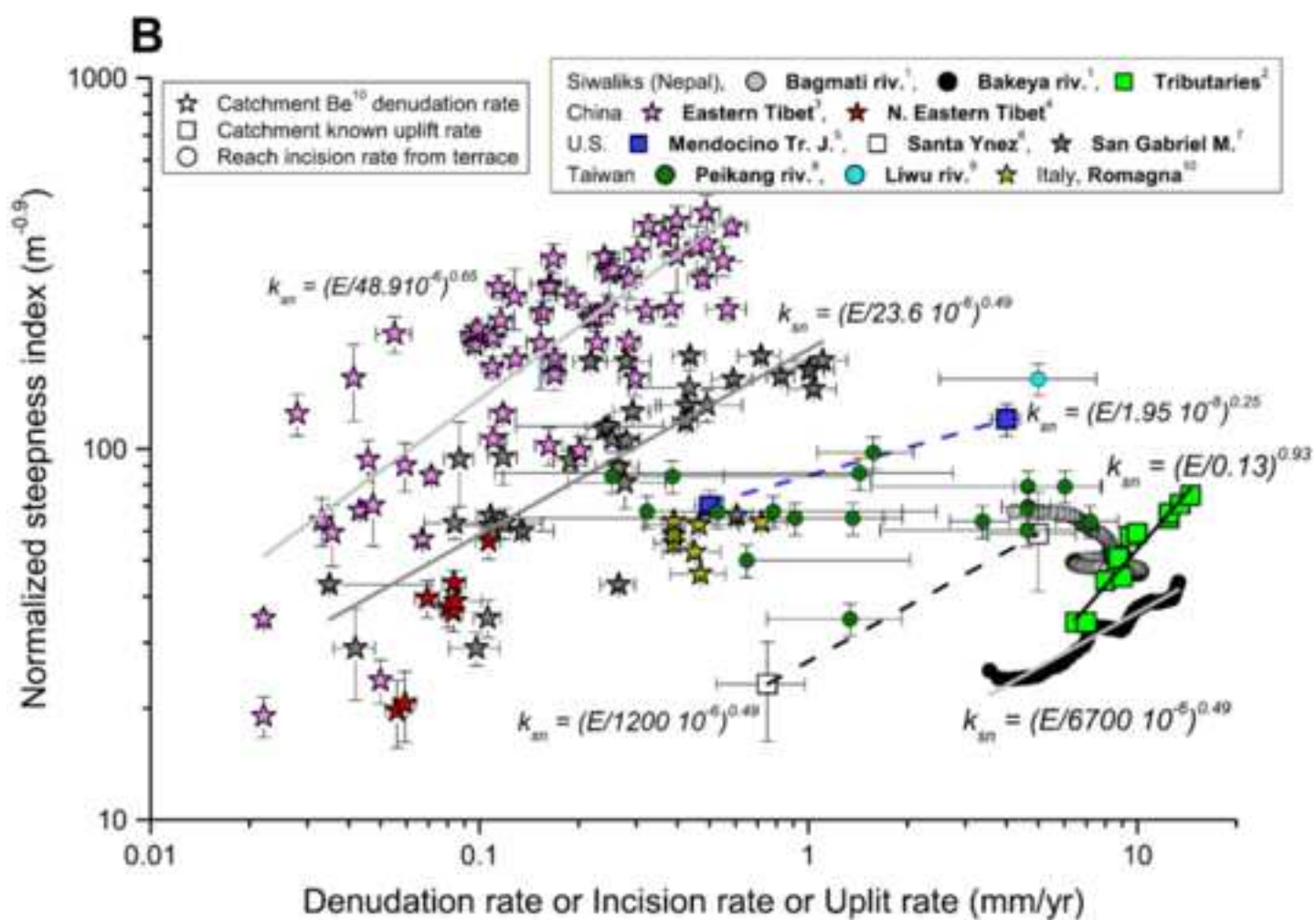
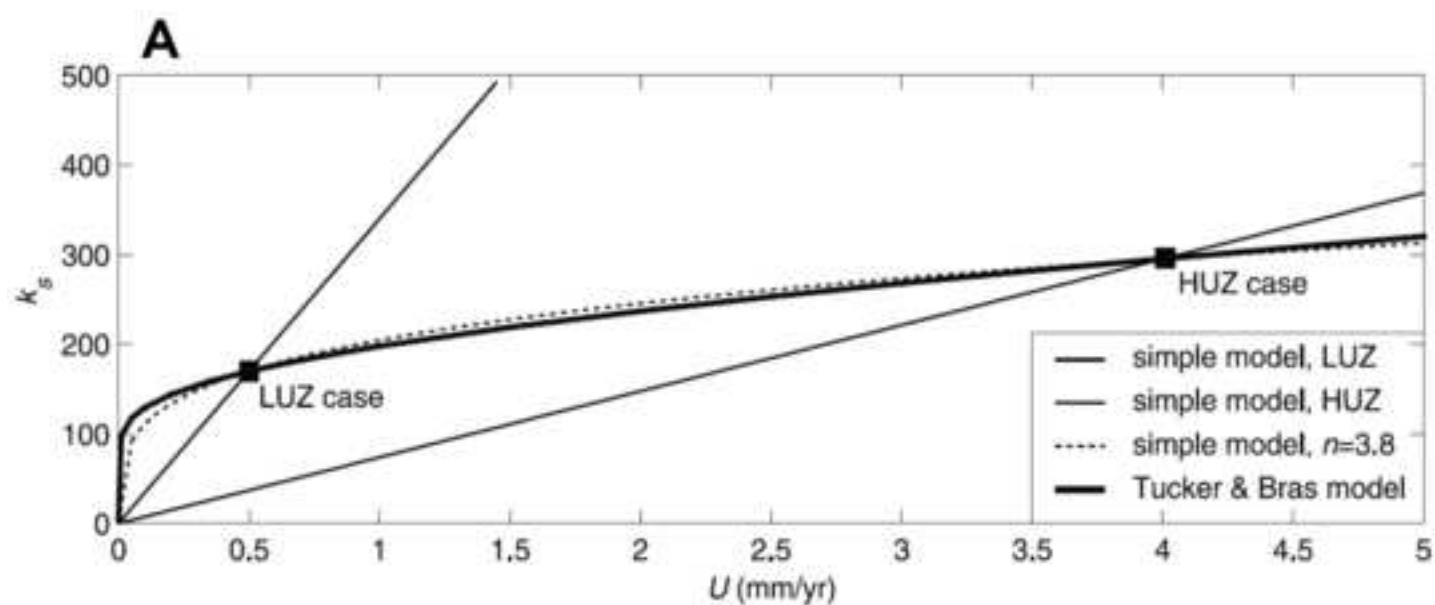


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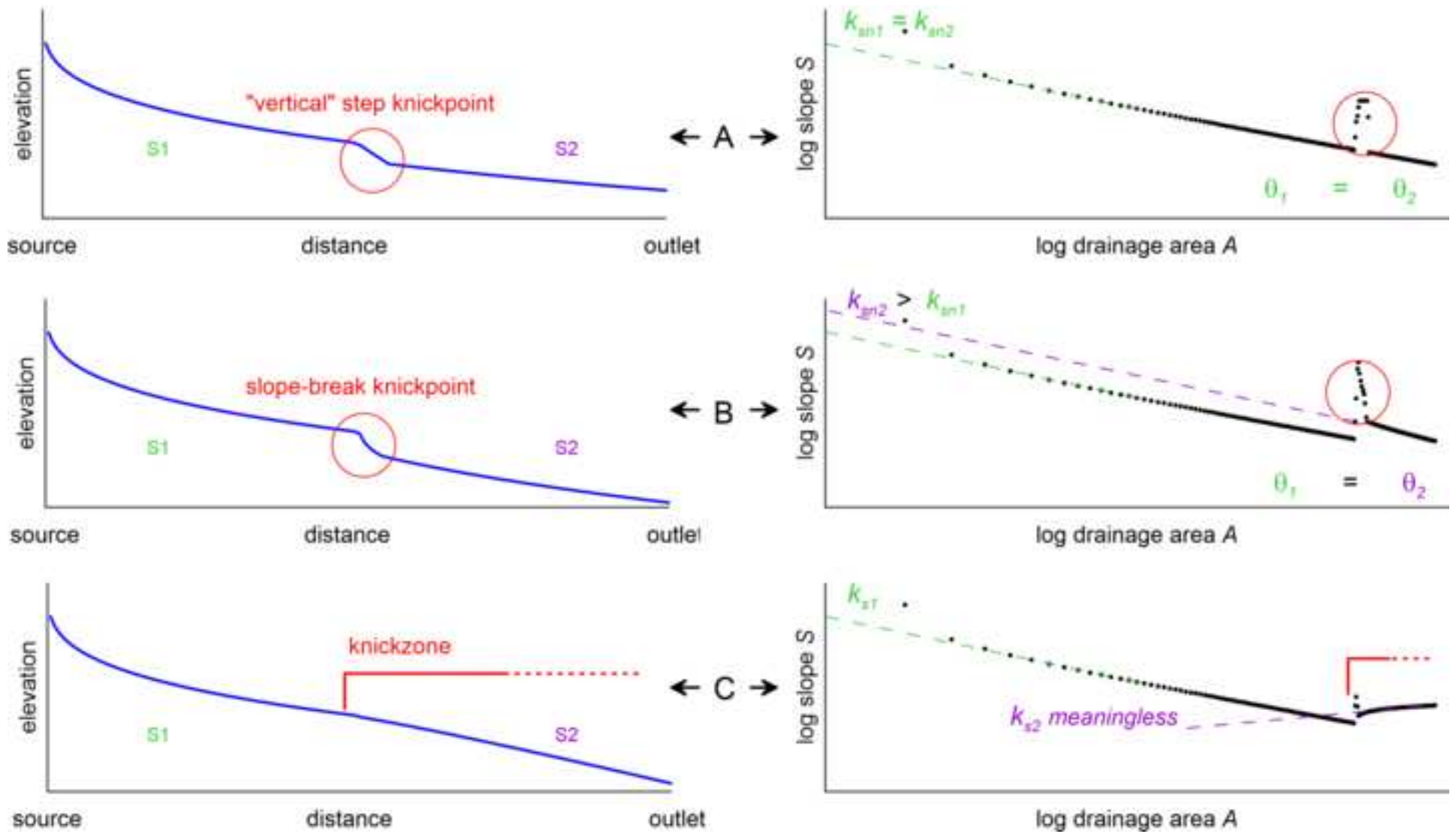


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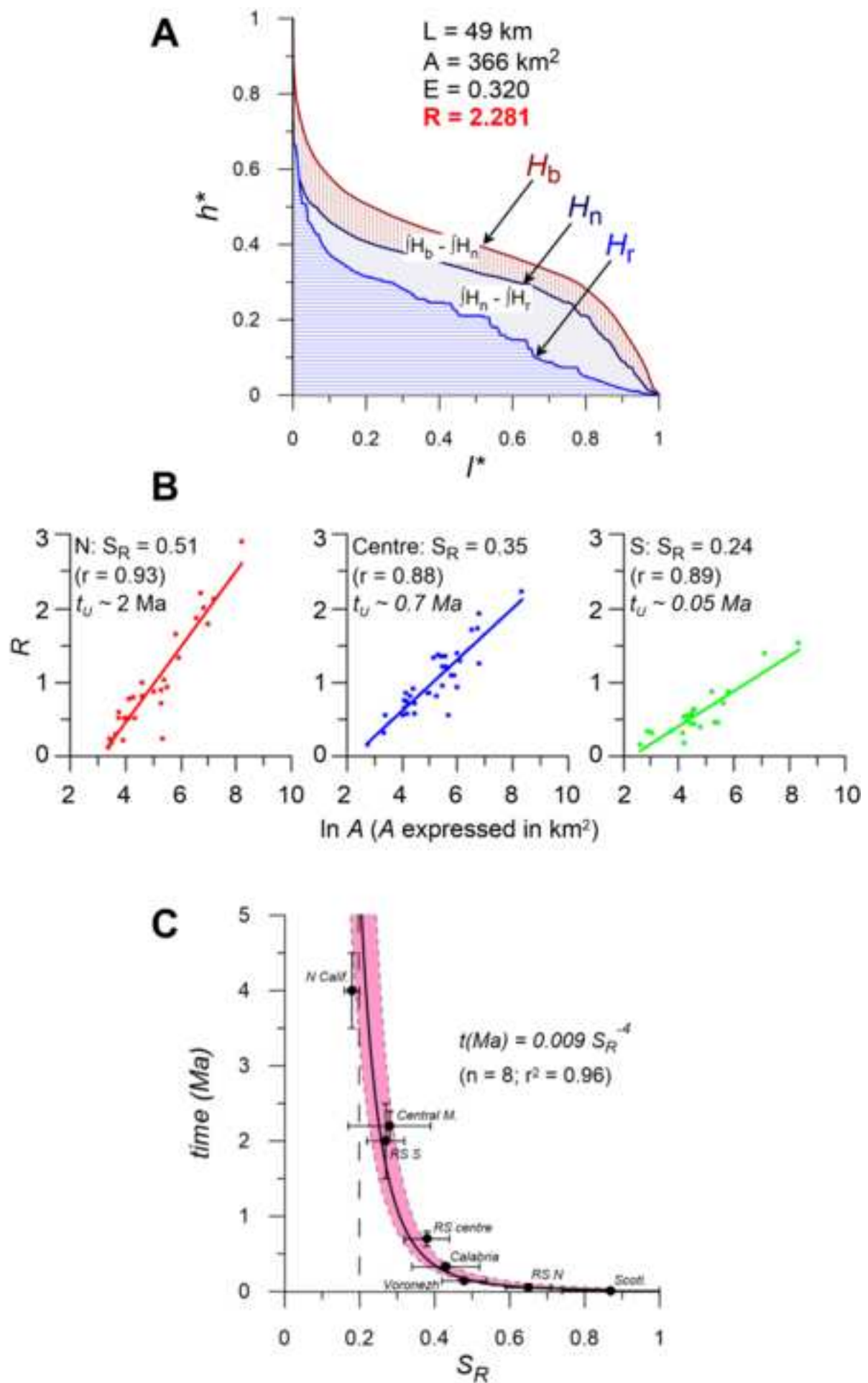




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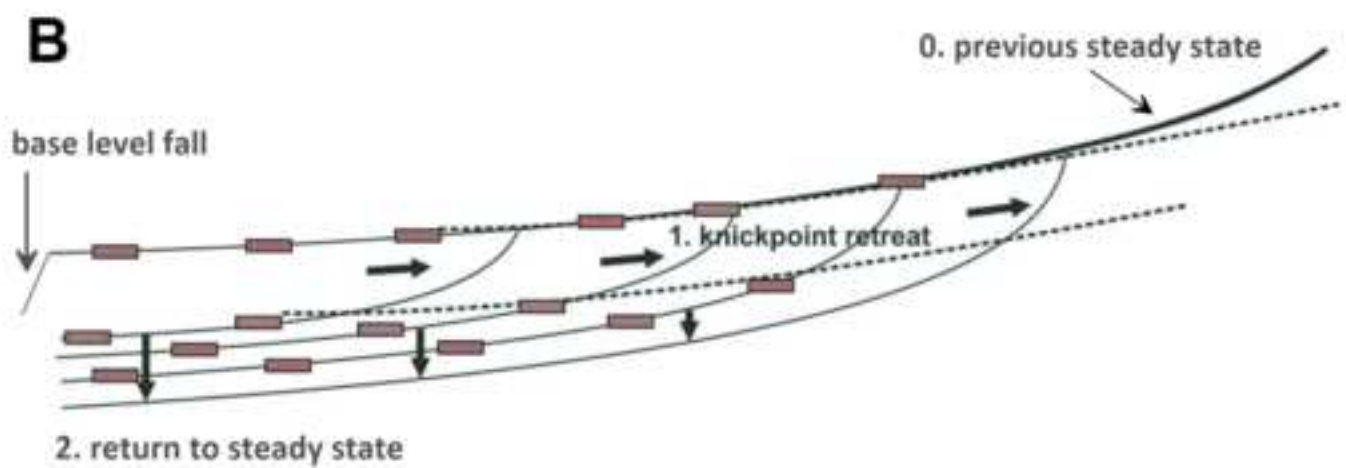
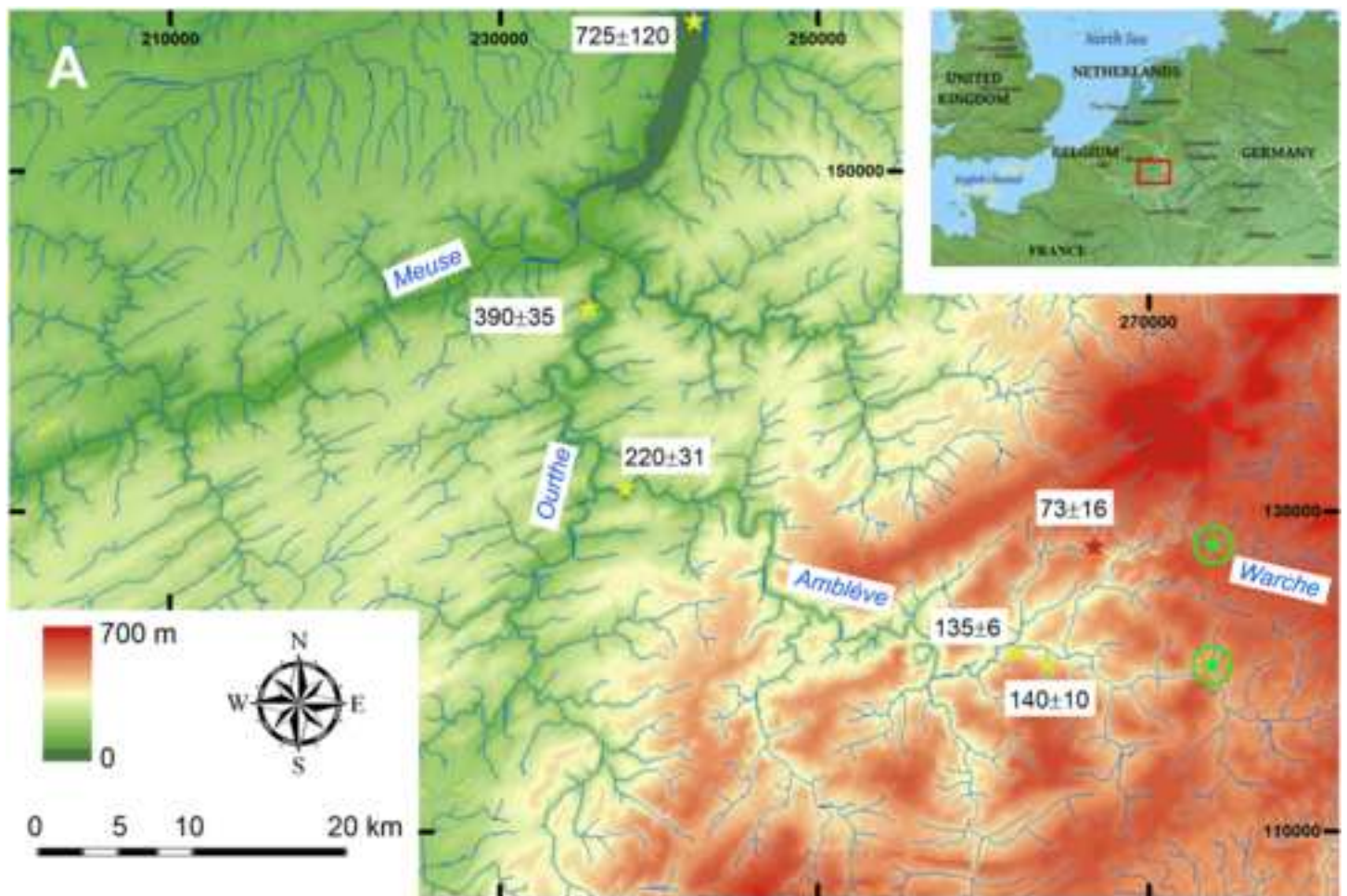


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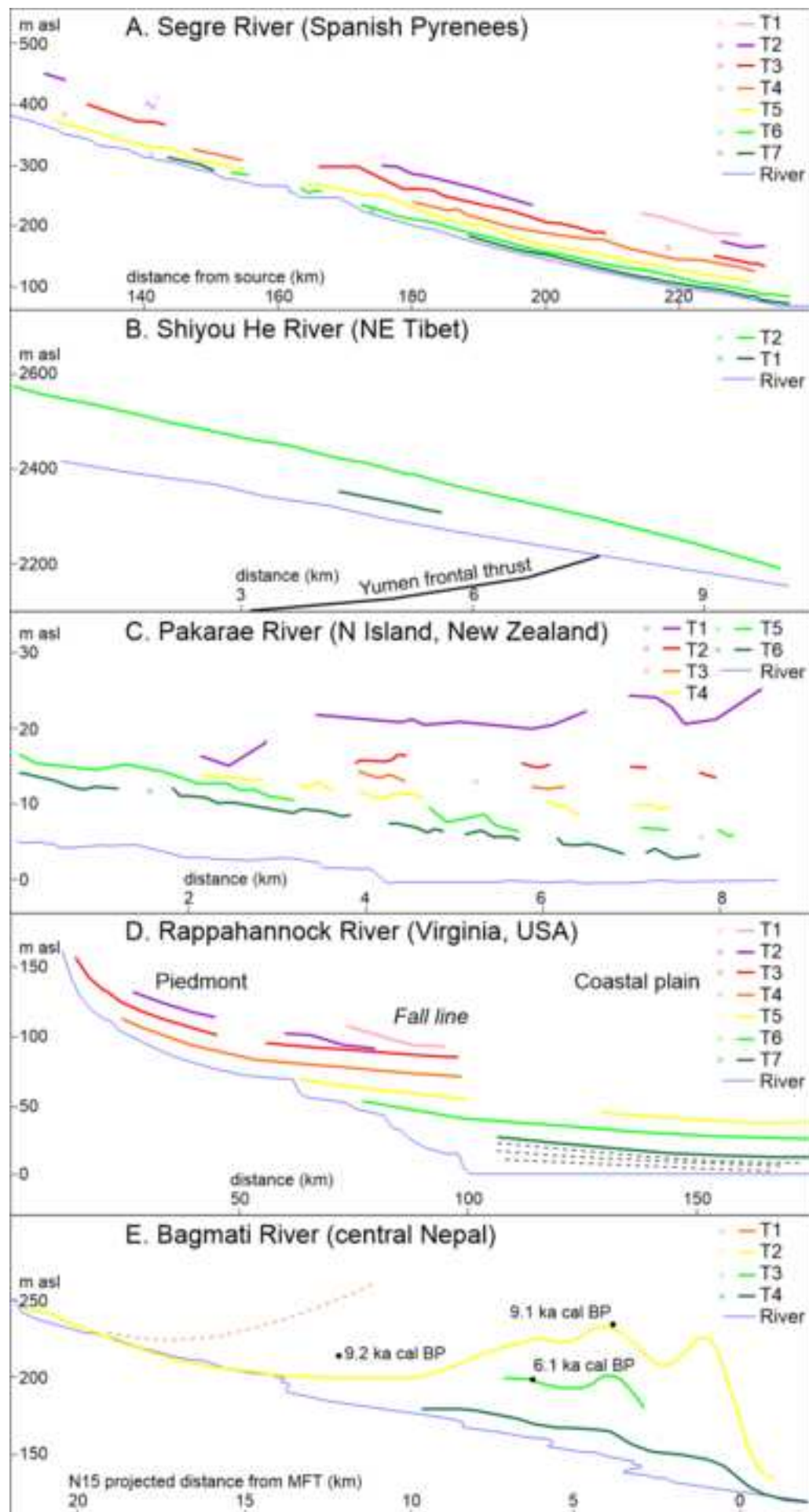
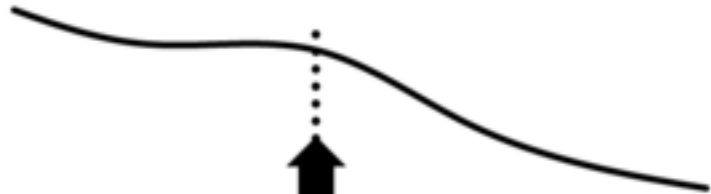


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profile



planform



reticulation

increased sinuosity

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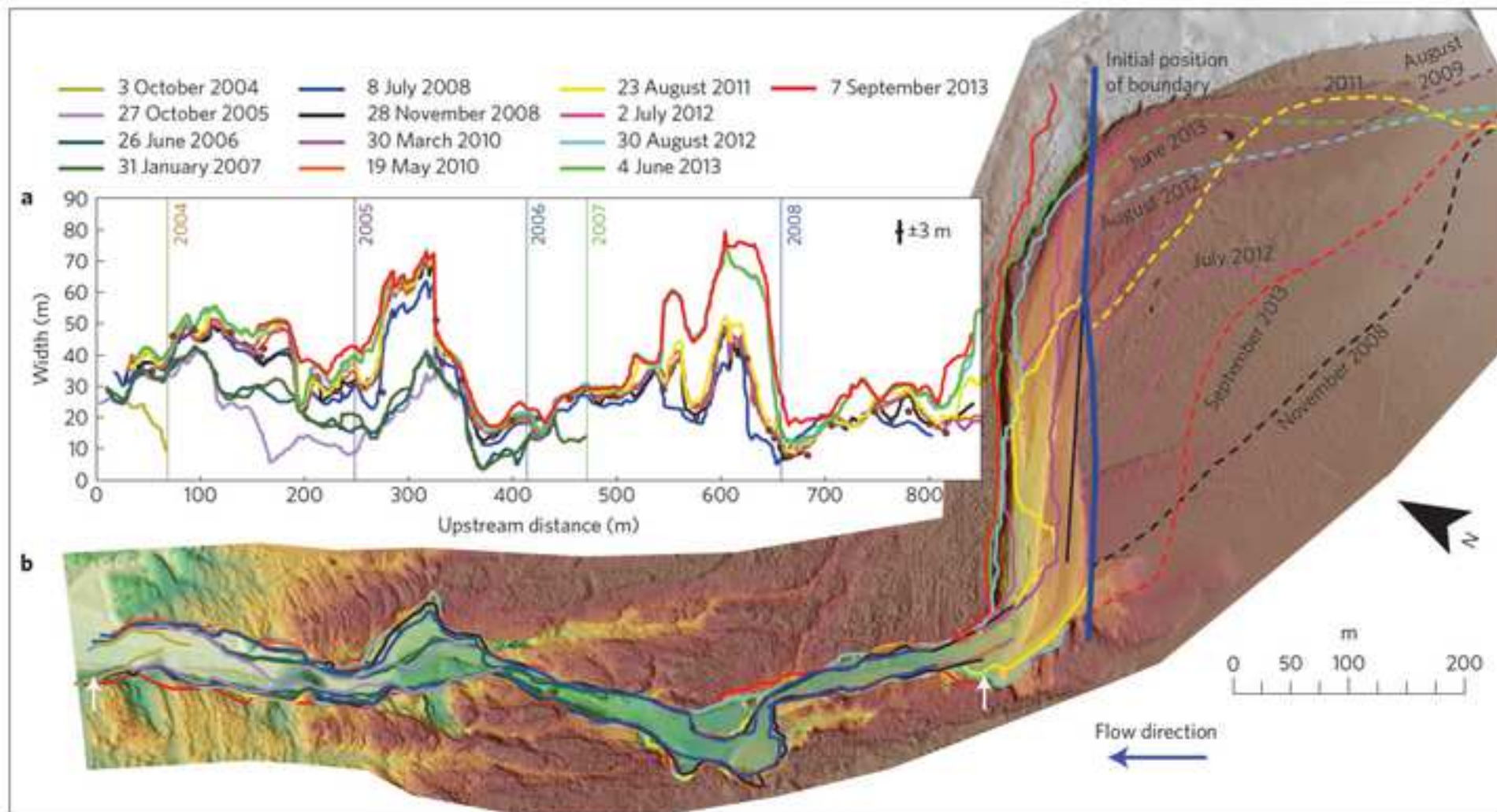


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