

Generalized Pascal triangles and binomial coefficients of words

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Joint work with Julien LEROY and Michel RIGO

Pascal triangle and Sierpiński gasket

Pascal triangle

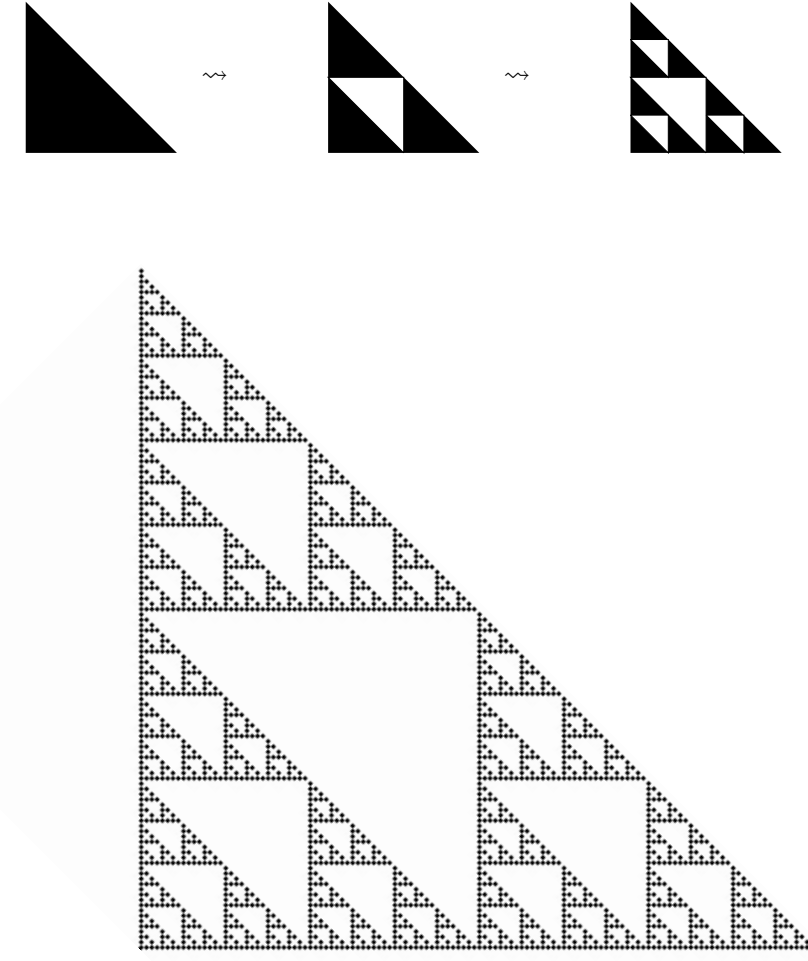
Classical binomial coefficient of integers

$$\binom{m}{k} \quad m, k \in \mathbb{N}$$

Pascal's rule: $\binom{m}{k} = \binom{m-1}{k-1} + \binom{m-1}{k}$

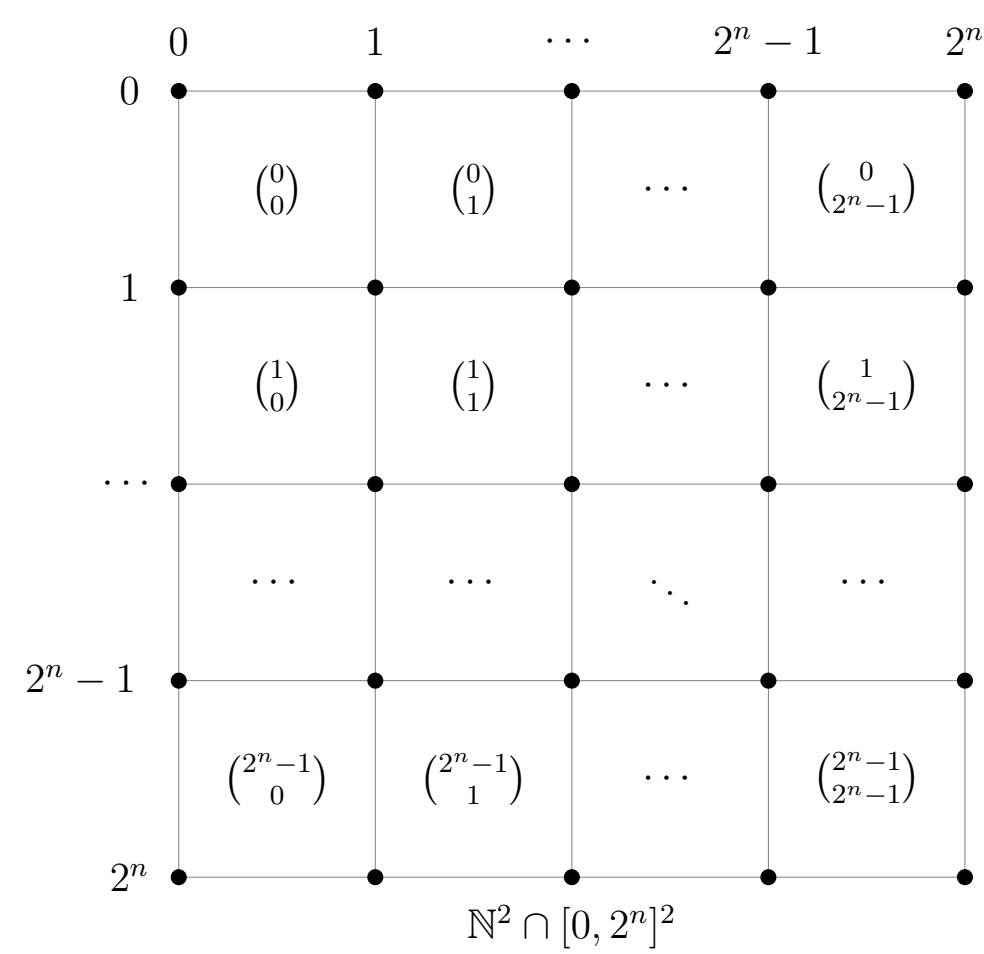
	0	1	2	3	4	5	6	7
0	1	0	0	0	0	0	0	0
1	1	1	0	0	0	0	0	0
2	1	2	1	0	0	0	0	0
3	1	3	3	1	0	0	0	0
4	1	4	6	4	1	0	0	0
5	1	5	10	10	5	1	0	0
6	1	6	15	20	15	6	1	0
7	1	7	21	35	35	21	7	1

Sierpiński gasket



Link between these objects?

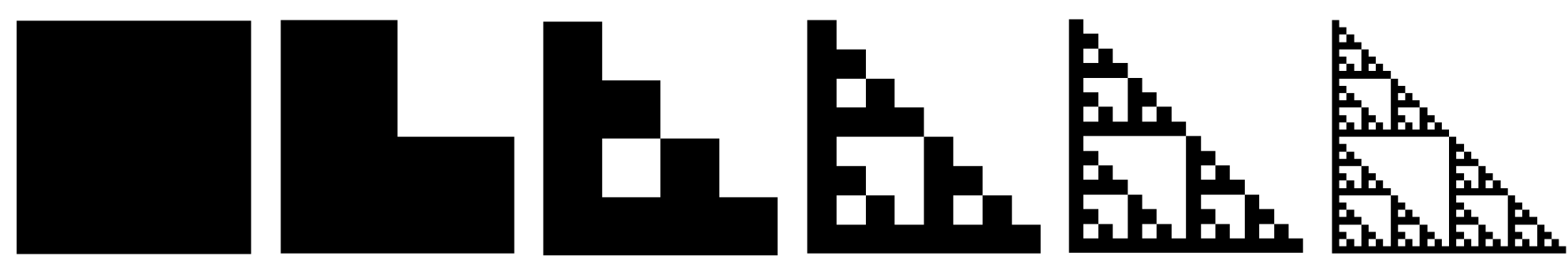
For each $n \in \mathbb{N}$, consider the intersection of the lattice \mathbb{N}^2 with the region $[0, 2^n] \times [0, 2^n]$:



Color the unit square associated with the binomial coefficient $\binom{m}{k}$

in white if $\binom{m}{k} \equiv 0 \pmod{2}$
in black if $\binom{m}{k} \equiv 1 \pmod{2}$.

If we normalize this region by a homothety of ratio $1/2^n$, we get a sequence of compacts in $[0, 1] \times [0, 1]$.



The elements of the latter sequence corresponding to $n \in \{0, \dots, 5\}$

Due to a folklore fact, this sequence converges, for the Hausdorff distance, to the Sierpiński gasket when n tends to infinity.

Binomial coefficients of words

The binomial coefficient $\binom{u}{v}$ of two finite words u and v is the number of times v occurs as a subsequence of u (meaning as a "scattered" subword). For example, if $u = 101001$ and $v = 101$, then $\binom{u}{v} = 6$ since all the occurrences of v inside of u are

101001, 101001, 101001, 101001, 101001, 101001.

This concept is a natural generalization of the binomial coefficients of integers. For an alphabet containing only one letter a , we have

$$\binom{a^m}{a^k} = \binom{m}{k} \quad \forall m, k \in \mathbb{N}.$$

Moreover, due to the following result, we have the analogue of the Pascal's rule for binomial coefficients of words.

Lemma (Chapter 6, [6]): Let Σ be a finite alphabet. For all words $u, v \in \Sigma^*$ and all letters $a, b \in \Sigma$, we have

$$\binom{ua}{vb} = \binom{u}{vb} + \delta_{a,b} \binom{u}{v}.$$

Generalized Pascal triangles

To define a new triangular array, we consider all the words over a finite alphabet $\{a_1, \dots, a_\ell\}$ and we order them by genealogical ordering (i.e. first by length, then by the classical lexicographic ordering for words of the same length, assuming $a_1 < a_2 < \dots < a_\ell$).

If we take the case of a 2-letter alphabet $\{0, 1\}$, we consider the language of the base-2 expansions of integers, assuming without loss of generality that non-empty words start with 1:

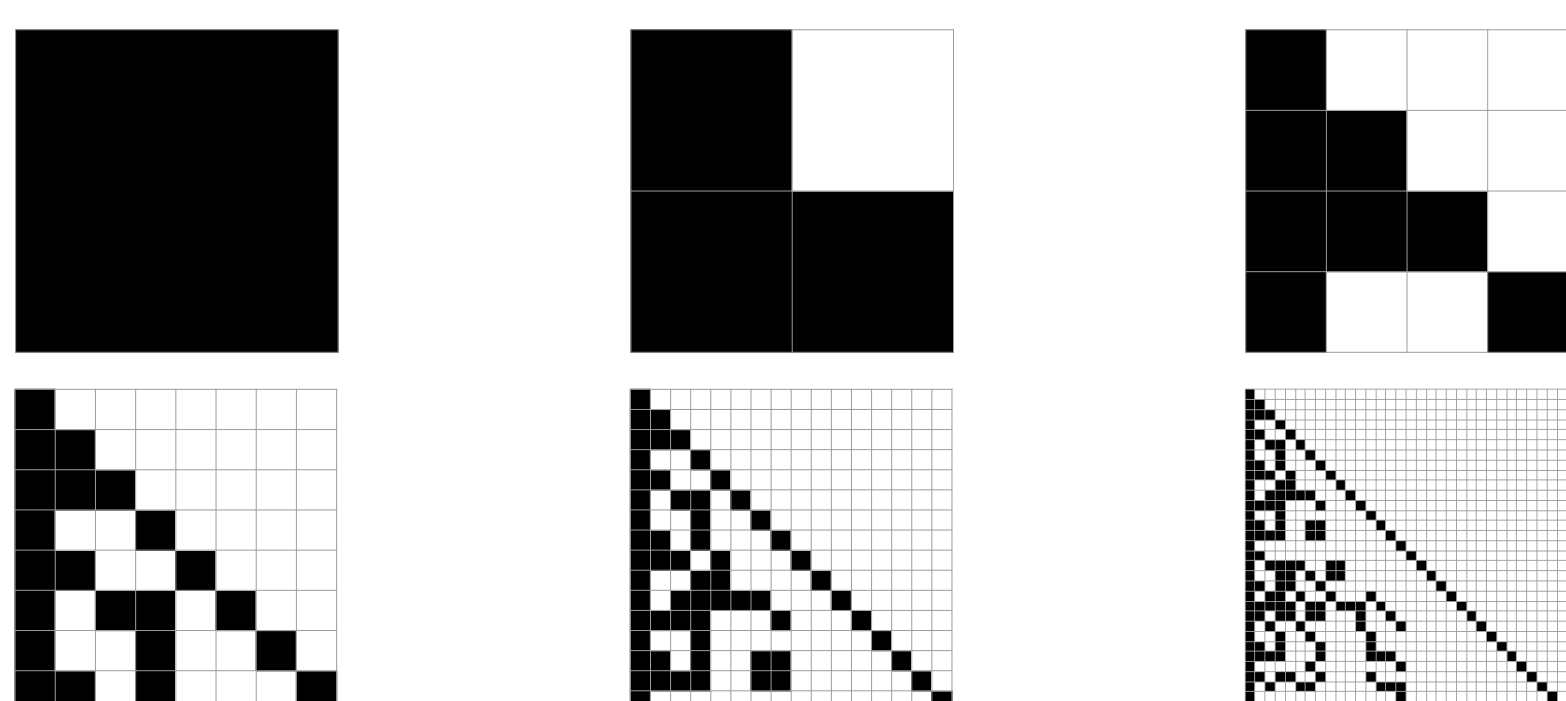
$$L_2 = \text{rep}_2(\mathbb{N}) = \{\varepsilon\} \cup 1\{0, 1\}^*.$$

The first few values of the generalized Pascal triangle P_2 are given in the following table.

	ε	1	10	11	100	101	110	111
ε	1	0	0	0	0	0	0	0
1	1	1	0	0	0	0	0	0
10	1	1	1	0	0	0	0	0
11	1	2	0	1	0	0	0	0
100	1	1	2	0	1	0	0	0
101	1	2	1	1	0	1	0	0
110	1	2	2	1	0	0	1	0
111	1	3	0	3	0	0	0	1

If we consider the words of L_2 that only contain 1's, we obtain the elements of the usual Pascal triangle (in bold).

Using the same construction as before (namely coloring in black and white a grid containing binomial coefficients of words and then normalizing each region by a homothety), we get a sequence of compacts in $[0, 1] \times [0, 1]$.



The first six elements of the latter sequence

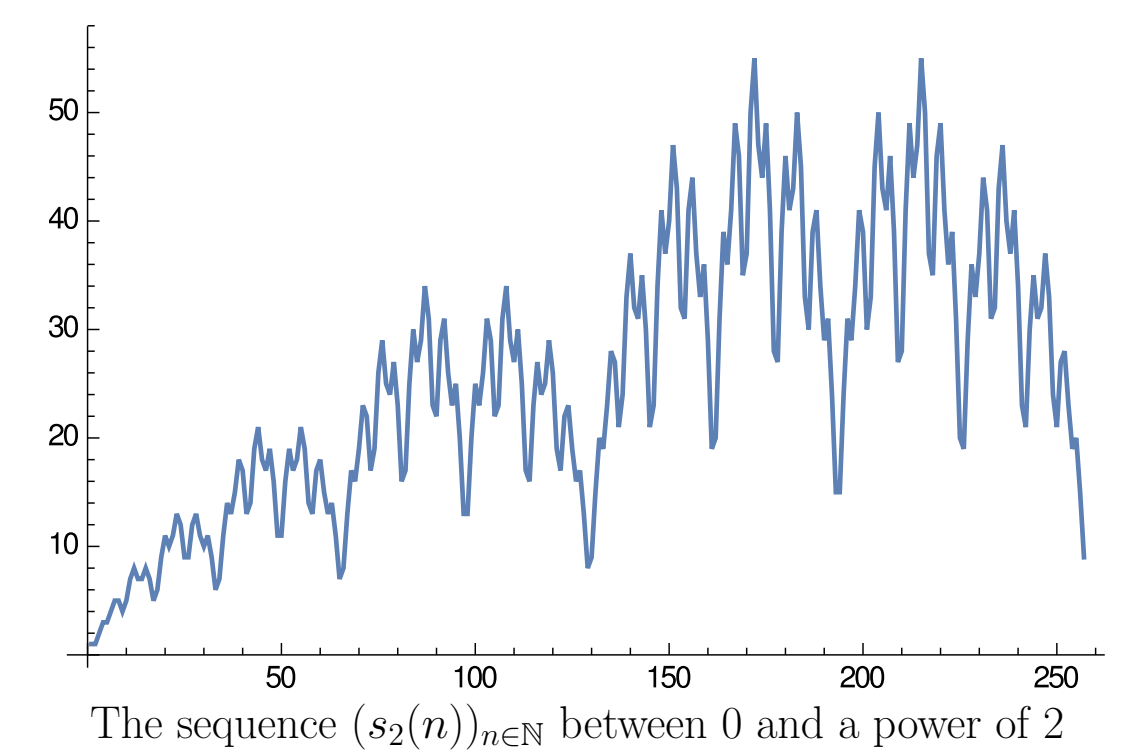
Theorem [3]: The sequence of compact sets defined previously converges, for the Hausdorff distance, to a limit object \mathcal{L} that can be characterized using simple combinatorial properties.

It is straightforward to adapt our reasonings, constructions and results to a more general setting, namely we fix a prime number p and a rest $r \in \{1, \dots, p-1\}$ and we color the squares in the grid in black if the corresponding binomial coefficient is congruent to r modulo p or white otherwise.

Counting positive binomial coefficients

For each $n \in \mathbb{N}_0$, we let $s_2(n)$ denote the number of positive binomial coefficients on the n th row of the generalized Pascal triangle P_2 . We also set $s_2(0) := 1$. The first few terms of s_2 are

1, 1, 2, 3, 3, 4, 5, 5, 4, 5, 7, 8, 7, 7, 8, 7, 5, 6, 9, 11, 10, 11, 13, 12, 9, 9, 12, 13, 11, 10, ...



The sequence $(s_2(n))_{n \in \mathbb{N}}$ between 0 and a power of 2

Definition: Let $s = (s(n))_{n \in \mathbb{N}}$ be a sequence of integers and let $k \geq 2$. The k -kernel of s is the set of subsequence

$$\mathcal{K}_k(s) = \{(s(k^i \cdot n + j))_{n \in \mathbb{N}} \mid i \geq 0 \text{ and } 0 \leq j < k^i\}.$$

A sequence s is k -automatic if its k -kernel is finite. A sequence s is k -regular if there exist a finite number of sequences $(t_1(n))_{n \in \mathbb{N}}, \dots, (t_\ell(n))_{n \in \mathbb{N}}$ such that each sequence $(t(n))_{n \in \mathbb{N}} \in \mathcal{K}_k(s)$ is a \mathbb{Z} -linear combination of the t_j 's. A sequence s is k -synchronized if the language $\{\text{rep}_k(n, s(n)) \mid n \in \mathbb{N}\}$ is accepted by some finite automaton.

Remark [2]: k -automatic $\subseteq k$ -synchronized $\subseteq k$ -regular.

Proposition [4]: The sequence s_2 is 2-regular but not 2-synchronized.

Extension to other numeration systems

Instead of considering the language L_2 , we restrict ourselves to words that contain no factor of the form 11. We are thus left with the language

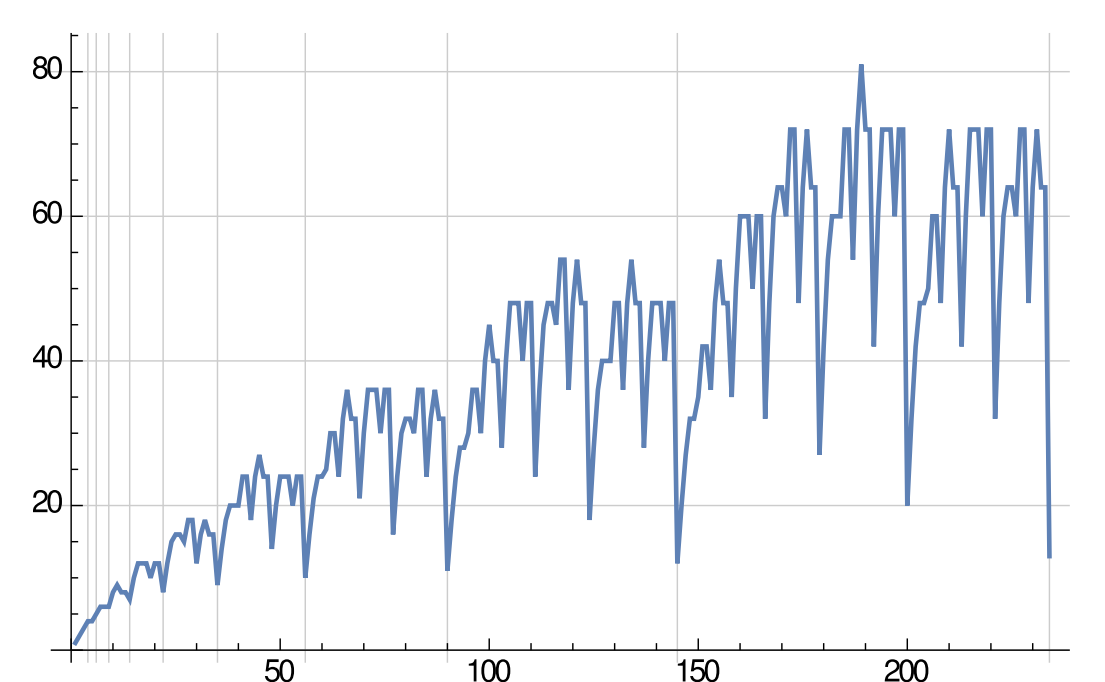
$$L_F = \varepsilon \cup 1\{0, 01\}^*$$

which is the language of the Zeckendorf numeration system based on the Fibonacci numbers defined by $F(0) = 1$, $F(1) = 2$ and $F(n+2) = F(n+1) + F(n)$ for all $n \in \mathbb{N}$. We define a new generalized Pascal triangle P_F using those words.

For each $n \in \mathbb{N}$, we let $s_F(n)$ denote the number of positive binomial coefficients on the $(n+1)$ th row of the generalized Pascal triangle P_F . The first few terms of s_F are

1, 2, 3, 4, 4, 5, 6, 6, 6, 8, 9, 8, 8, 7, 10, 12, 12, 12, 10, 12, 12, 8, 12, 15, 16, 16, 15, ...

	ε	1	10	100	101	1000	1001	1010
ε	1	0	0	0	0	0	0	0
1	1	1	0	0	0	0	0	0
10	1	1	1	0	0	0	0	0
100	1	1	2	1	0	0	0	0
101	1	2	1	0	1	0	0	0
1000	1	1	3	3	0	1	0	0
1001	1	2	2	1	2	0	1	0
1010	1	2	3	1	1	0	0	1



The sequence $(s_F(n))_{n \in \mathbb{N}}$ between 0 and a Fibonacci number

Extension of k -regularity [1, 7]: Let $s = (s(n))_{n \in \mathbb{N}}$ be a sequence of integers and let $k \geq 2$. The k -kernel $\mathcal{K}_k(s)$ of s can be obtained under the following process. First, fix a word $w \in \{0, 1, \dots, k-1\}^*$ and select all the nonnegative integers whose base- k expansions with leading 0's end with this word w . Then, evaluate s at those integers to create a specific subsequence of the k -kernel. Let w vary in $w \in \{0, 1, \dots, k-1\}^*$ to obtain the entire k -kernel.

The F -kernel $\mathcal{K}_F(s)$ of s can be obtained under the same technique. First, fix a word $w \in \{0, 1\}^*$ and select all the nonnegative integers whose Fibonacci representations with leading 0's end with this word w . Then, evaluate s at those integers to create a specific subsequence of the F -kernel. Let w vary in $w \in \{0, 1\}^*$ to obtain the entire F -kernel.

	n	$\text{rep}_2(n)$	$s(n)$	$w = 0$	n	$\text{rep}_F(n)$	$s(n)$
0	ε	$s(0)$	0	0	ε	$s(0)$	
1	1	$s(1)$	1	1	1	$s(1)$	
2	10	$s(2)$	2	10	$s(2)$		
3	11	$s(3)$	3	100	$s(3)$		
4	100	$s(4)$	4	101	$s(4)$		
5	101	$s(5)$	5	1000	$s(5)$		

Definition: A sequence $s = (s(n))_{n \in \mathbb{N}}$ is F -automatic if its F -kernel is finite. A sequence s is F -regular if there exist a finite number of sequences $(t_1(n))_{n \in \mathbb{N}}, \dots, (t_\ell(n))_{n \in \mathbb{N}}$ such that each sequence $(t(n))_{n \in \mathbb{N}} \in \mathcal{K}_F(s)$ is a \mathbb{Z} -linear combination of the t_j 's.

Proposition [4]: The sequence s_F is F -regular.

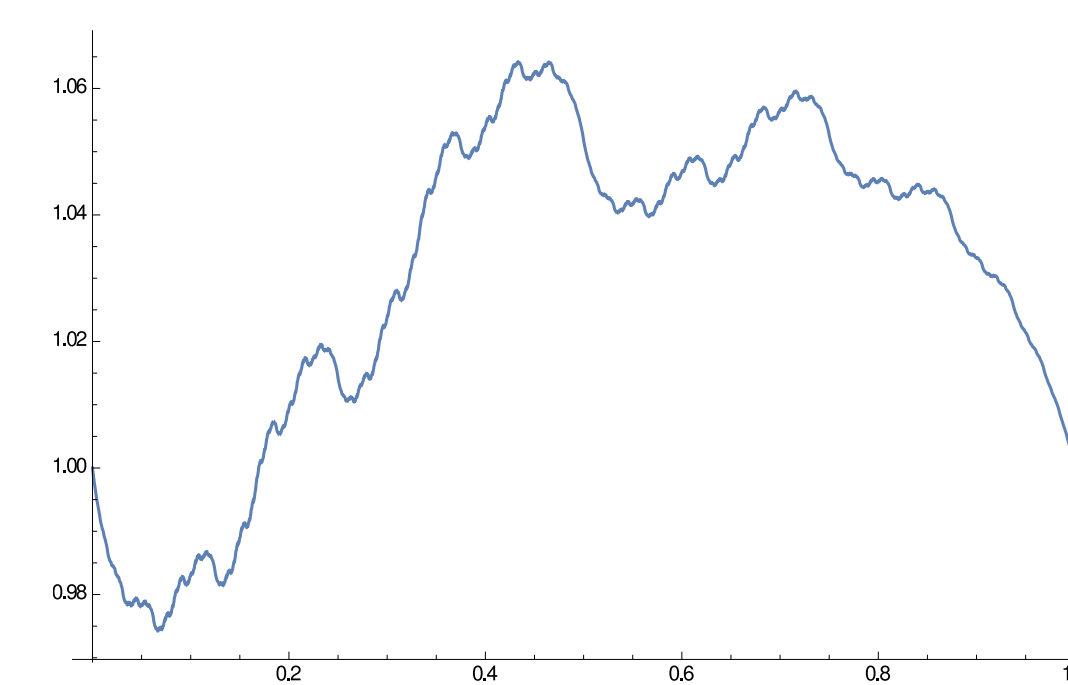
Asymptotic behavior of the summatory function of $(s_2(n))_{n \in \mathbb{N}_0}$

Definition: For each $n \in \mathbb{N}_0$, we define $A(n) = \sum_{i=0}^n s_2(i)$ and we set $A(0) := 0$. The sequence $(A(n))_{n \in \mathbb{N}_0}$ is the summatory function of the sequence $(s_2(n))_{n \in \mathbb{N}_0}$. The first few terms of $(A(n))_{n \in \mathbb{N}_0}$ are

1, 3, 6, 9, 13, 18, 23, 27, 32, 39, 47, 54, 61, 69, 76, 81, 87, 96, 107, 117, ...

Theorem [5]: There exists a continuous function Φ over $[0, 1]$ such that $\Phi(0) = 1$, $\lim_{\alpha \rightarrow 1^-} \Phi(\alpha) = 1$ and the sequence $(A(n))_{n \in \mathbb{N}_0}$ satisfies, for all $n \geq 1$,

$$A(n) = 3^{\log_2(n)} \Phi(\text{relp}_2(n)) = N^{\log_2 3} \Phi(\text{relp}_2(n)).$$



The function Φ in $[0, 1]$

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