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4 Nonthermal radiative transfer of oxygen 98.9 nm
5 ultraviolet emission : solving an old mystery.

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15 Please cite as:

16

17 Hubert, B., J.-C. Gérard, V. I. Shematovich, D. V. Bisikalo, S. Chakrabarti, and G. R.
18 Gladstone (2015), Nonthermal radiative transfer of oxygen 98.9 nm ultraviolet emission:
19 Solving an old mystery, J. Geophys. Res. Space Physics, 120, doi:10.1002/2014JA020835.

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21 Journal of Geophysical Research

22

Accepted November 2015

23

24 Abstract

25 Sounding rocket measurements conducted in 1988 under high solar activity conditions
26 revealed that the intensity of thermospheric OI emissions at 98.9 nm present an anomalous
27 vertical profile, showing exospheric intensities much higher than expected from radiative
28 transfer model results, which included the known sources of excited oxygen. All attempts
29 based on modeling of the photochemical processes and radiative transfer were unable to
30 account for the higher than predicted brightnesses. More recently, the SOHO-SUMER
31 instrument measured the UV solar flux at high spectral resolution, revealing the importance of
32 a significant additional source of oxygen emission at 98.9 nm that had not been accounted for
33 before. In this study, we simulate the radiative transfer of the OI-98.9 nm multiplet, including
34 the photochemical sources of excited oxygen, the resonant scattering of solar photons, and the
35 effects of non-thermal atoms, i.e. a population of fast-moving oxygen atoms in excess of the
36 Maxwellian distribution. Including resonance scattering of the 98.9 nm solar multiplet, we
37 find good agreement with the previous sounding rocket observation. The inclusion of a
38 nonthermal oxygen population with a consistent increase of the total density produces a larger
39 intensity at high altitude that apparently better accounts for the observation, but such a
40 correction cannot be demonstrated given the uncertainties of the observations. A good
41 agreement between model and sounding rocket observation is also found with the triplet at
42 130.4 nm. We further investigate the radiative transfer of the OI-98.9 nm multiplet, and the
43 oxygen emissions at 130.4 and 135.6 nm using observations from the STP78-1 satellite. We
44 find a less satisfying agreement between the model and the STP78-1 data that can be
45 accounted for by scaling the modelled intensity within a range acceptable given the
46 uncertainties on the STP78-1 absolute calibration.

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49 1. Introduction

50 The photochemistry of thermospheric oxygen includes several exothermal reactions
51 that produce ground state oxygen atoms $O(^3P)$ with a large kinetic energy compared with the
52 average thermal energy of the thermosphere. For example, photodissociation of O_2 and
53 dissociative recombination of O_2^+ ions release fast $O(^3P)$ atoms with an exothermicity that
54 depends on the excitation state of the produced oxygen. Kella et al. [1997] studied the
55 dissociative recombination of O_2^+ and determined the branching ratios of the different
56 reaction products. Several exothermic reactions were also given by Rees [1989] and Roble
57 [1995], and the most important exothermal processes relevant to the oxygen photochemistry
58 are listed with their exothermicity and reaction parameter in **Table 1**, after Richards et al.
59 [1994a].

60 In the upper thermosphere, and, in particular, the exosphere, the frequency of
61 collisions between particles dramatically decreases with increasing altitude, along with the
62 exponentially decreasing gas density. As a result, thermalization of $O(^3P)$ atoms produced by
63 exothermal processes becomes less efficient, and a significant population with large kinetic
64 energy can exist in addition to the high energy tail of the Maxwellian distribution at
65 thermospheric temperature. This excess of fast atoms also known as superthermal,
66 suprathermal, or hot atoms, is indeed predicted by models [Shizgal and Linderfeld, 1980,
67 Shematovich et al., 1994, Gérard et al., 1995]. Monte Carlo simulations of the hot oxygen
68 geocorona by Shematovich et al. [1994] and Gérard et al. [1995] showed that the energy
69 distribution function of the suprathermal oxygen population results from the non-linear
70 interplay between the photochemical exothermal processes producing oxygen atoms, the
71 thermalization of the produced fast atoms and transport through the thermosphere and
72 exosphere. These theoretical results are supported by observation: Yee et al. [1980] deduced a
73 density of 10^5 to 10^6 cm^{-3} hot O atoms at 550 km with a temperature of at least 4000 K, based

74 on twilight measurements of $O^+(^2P)$ emissions at 732 - 733 nm. Likewise, Hedin [1989]
 75 inferred a concentration of hot $O(^3P)$ atoms up to $\sim 10^6 \text{ cm}^{-3}$ around 550 km under high solar
 76 activity conditions. This result relied on a comparison between satellite drag data and mass
 77 spectrometer measurements indicating a scale height anomaly which was attributed to the
 78 presence of a 3% population of superthermal $O(^3P)$ atoms at 4000 K.

79 Cotton et al. [1993b] used the Berkeley Extreme-ultraviolet Airglow Rocket
 80 Spectrometer (BEARS) on board a sounding rocket to measure the vertical brightness profiles
 81 of the oxygen emissions at 130.4 and 98.9 nm, showing an excess above 500 km compared to
 82 the expected theoretical profiles computed using a radiative transfer model. This anomaly was
 83 interpreted as a signature of the scattering of 130.4 and 98.9 nm photons by a population of
 84 hot oxygen atoms above the exobase. The hot oxygen population was modeled as having an
 85 ad hoc density profile, fitted to account for the observed intensity profiles, but lacking
 86 physical grounds, expressed as

$$87 \quad n_h(z) = n_{h0} \exp \left\{ -\frac{z-z_0}{H_h} - \sigma_{\text{col}} [n(z)H(z) - n(z_0)H(z_0)] \right\} \quad (1)$$

88 where n_{h0} is the hot O density at reference altitude z_0 , $H_h = \frac{kT_h}{m_O g}$ is the hot O scale height at
 89 a temperature T_h (~ 4000 K) higher than the Maxwellian value, $n(z)$ is the neutral density
 90 from the MSIS model [Hedin, 1991] with a scale height $H(z)$. The elastic collision cross
 91 section σ_{col} was taken to be $3 \times 10^{-15} \text{ cm}^2$, so that this expression implements a scale height
 92 approach based on a partial thermalization at the exobase. This population was added to the
 93 MSIS number density, resulting in increased scale height and concentration. The higher
 94 oxygen density then resulted in a larger line-wing scattering optical thickness of the
 95 exospheric medium at 130.4 and 98.9 nm, allowing an improved fit to the observations,
 96 despite the lack of physical grounds of the method.

97 Hubert et al. [1999] further analyzed the radiative transfer of the OI $2p^4\ ^3P -$
98 $2p^3\ 3s\ ^3D^0$ 98.9 nm resonance multiplet, accounting for the presence of a non-thermal oxygen
99 population, computed using the physics-based Monte Carlo model of Shematovich et al.
100 [1994]. They used the resonance line radiative transfer model developed by Gladstone [1982,
101 1985] that uses the Feautrier method [Feautrier, 1964] with angle-averaged partial frequency
102 redistribution (AAPR) and assumes a plane-parallel atmosphere. The primary photochemical
103 excitation rate of the $2p^3\ 3s\ ^3D^0$ was computed using the GLocal airglOW (GLOW) model
104 [Solomon et al., 1988; Solomon and Abreu, 1989; Solomon, 2001; Solomon et al., 2001;
105 Bailey et al., 2002]. The atmospheric composition was obtained from MSIS90 model [Hedin,
106 1991], which consists in a semi-empirical representation of the atmosphere that represents the
107 diffusive equilibrium corrected to account for photochemical processes. The hot oxygen
108 population was included as a fraction of the total oxygen density given by MSIS. With this
109 more physical approach, Hubert et al. [1999] found that the inclusion of the hot oxygen
110 population does indeed increase the modeled OI 98.9 nm intensity, but only up to a level
111 insufficient to account for the BEARS sounding rocket measurement of Cotton et al.
112 [1993a,b], obtained under high solar activity conditions ($F_{10.7} = 173$, $\overline{F}_{10.7} \approx 156$ on September
113 30, 1988). None of these attempts to explain the observed OI 98.9 nm emission was thus
114 satisfactory: the method used by Cotton et al. [1993b] to include a nonthermal population and
115 account for the observation has no physical ground, and the detailed modelling of the
116 suprathreshold oxygen geocorona conducted by Hubert et al. [1999] failed to account for the
117 observation. This research topic was then left unsolved and abandoned for several years and,
118 as far as we know, no new research was attempted to explain the mystery until nowadays.

119 The FUV and EUV solar flux has been obtained at very high spectral resolution with
120 the SOHO-SUMER instrument [Curdt et al., 2001], unexpectedly revealing a significant solar
121 OI emission at 98.9 nm, i.e., a source of photons that had not been accounted for before in

122 modeling the OI radiative transfer at that wavelength in the Earth thermosphere. Gérard et al.
123 [2010] modeled the radiative transfer of the OI-98.9 nm emission in the thermosphere of
124 Venus, including photochemical sources and resonance scattering of solar photons. Their
125 results compared well with the Cassini UVIS EUV observation of the Venus thermosphere
126 performed during the Cassini flyby of Venus, up to ~20% over the whole flyby with a much
127 better accuracy over the portion of the flyby presenting the largest 98.9 nm intensity. These
128 authors showed that, for this planet, resonance scattering of solar 98.9 nm photons is a major
129 source of emission at high altitude.

130 In the present study, we model the radiative transfer of the OI 98.9 nm multiplet in the
131 Earth thermosphere including resonance scattering of the solar photons that was not
132 accounted for in previous studies. We also include the effect of the superthermal oxygen
133 population. Theoretical results are compared with the intensity profiles measured with the
134 BEARS sounding rocket and other, earlier observations from the STP78-1 satellite. We will
135 also broaden the scope of our study to two other important oxygen emissions: the OI-130.4
136 and 135.6 nm multiplets, thus including the analysis of a second optically thick (at 130.4 nm)
137 and an optically thin emission (at 135.6 nm).

138 2. Modeling

139 We use the Monte Carlo model described by Shematovich et al. [1994] to compute the
140 non-thermal energy distribution function of thermospheric oxygen. Indeed, we use the same
141 O(³P) energy distribution functions as those used by Hubert et al. [1999]. We did not use
142 updated cross sections in order to ease comparison with previous studies. We do not expect
143 updated cross sections would change the computed hot oxygen population by more than
144 ~10%, which is the uncertainty of the Monte Carlo model results. The exothermal sources of
145 fast oxygen atoms are thus those listed by Richards et al. [1994a] and Hickey et al. [1995].
146 The calculated non-thermal oxygen energy distribution function (EDF) depends on altitude, as

147 does the hot oxygen abundance. **Figure 1** shows the oxygen EDFs at 500 and 600 km altitude,
148 calculated under maximum solar activity conditions ($F_{10.7} = 200$). The superthermal oxygen
149 population consists in the small disturbances found around ~ 1 eV, whereas the high energy
150 hot tail at $E > 2$ eV, conspicuous in logarithmic scale, represents oxygen produced by one of
151 the exothermal processes listed in **Table 1**, which have undergone only a few collisions and
152 are thus still in the initial stages of the thermalization process. **Figure 2** shows the
153 superthermal fraction $[O]_{\text{hot}}/[O]_{\text{tot}}$, the ratio of the hot oxygen to the total $O(^3P)$ densities
154 calculated under solar minimum and solar maximum conditions (the hot oxygen population is
155 just a small contribution in these results). Below the exobase (located around 600 km under
156 maximum solar activity conditions), the ratio varies roughly linearly with altitude, until it
157 starts increasing rapidly above the exobase. The non-thermal EDFs of **Figure 1** were obtained
158 assuming that the total oxygen density profile is that given by the MSIS-90 model, which
159 does not account for the increased scale height due to the hot oxygen population. Such an
160 increase of the scale height implies that the total oxygen concentration should be higher than
161 the MSIS density profile at exospheric temperature. We thus model the radiative transfer of
162 the oxygen UV emissions under four different assumptions:

- 163 1. The oxygen density and temperature profiles are those of the MSIS model.
- 164 2. The total density remains unchanged, but has two contributions: one thermal at
165 T_{MSIS} and one non-thermal at 4000 K, after Hedin and following the MC
166 computation. The superthermal fraction will be that of the Monte Carlo simulation.
167 This assumption implies an increase of the scattering optical thickness in the wings
168 of the oxygen lines, producing an increase of the computed intensity.
- 169 3. Same as 2, but including a diffusive correction of the total density to account for
170 the scale height modification due to the hot population, causing an increase of the
171 scatterer density (as was already done by Hubert et al. [1999]).

172 4. The superthermal and thermal populations coexist, with separate diffusive
 173 equilibrium above the exobase, with the hot fraction given by the MC
 174 computation. The hot O population is assumed to vary linearly below the exobase,
 175 smoothly merging near the exobase. This assumption implies an increase of the
 176 scatterer density larger than that of hypothesis 3, as we will show in the next
 177 paragraphs.

178 Assuming that the thermal and non-thermal populations are separately distributed at
 179 diffusive equilibrium does not make sense below the exobase, where collisions thermalize the
 180 fast oxygen atoms and efficiently couple the hot and thermal oxygen populations. However,
 181 we consider this assumption as a rough, first order, correction above the exobase where
 182 collisions do not allow an efficient loss of the hot O energy to the thermal population. In order
 183 to include the hot oxygen population in the radiative transfer model under assumption 4, we
 184 fitted the ratio $\beta = [\text{O}]_{\text{hot}}/[\text{O}]_{\text{MSIS}}$ using the following expression:

$$\beta = \left(a + b \frac{r - r_0}{H_0} \right) W(r) + \beta_0 \exp \left(- \frac{m g_g R^2}{k T_0} \frac{T_0 - T_h}{T_h} \left(\frac{1}{r_0} - \frac{1}{r} \right) \right) (1 - W(r)) \quad (2)$$

where $W(r) = 1 - 0.5 \left(1 + \operatorname{erf} \left(\frac{r - r_0 - H_0 w_{\text{shift}}}{\sqrt{2} H_0 w_{\text{sig}}} \right) \right)$,

185 r is the geocentric distance, R is the planet radius, g_g is the gravity acceleration at the ground,
 186 k is the Boltzmann constant, m is the mass of the oxygen atom, r_0 , T_0 , and H_0 are the radius,
 187 temperature and scale height at the exobase respectively. The location of the exobase is
 188 determined such that the local scale height equals the collision mean free path with a cross
 189 section of $3 \times 10^{-15} \text{ cm}^2$, i.e., the cross section value used by Cotton et al. [1993b] for their
 190 scale height approach density correction. The first term represents a linear contribution to β ,
 191 introduced to describe the roughly linear part of the profile (shown in **Figure 2**) below the
 192 exobase. The exponential term in the expression for β is obtained by taking the ratio of two

193 isothermal diffusive profiles (accounting for the dependence of g versus r) at different
194 temperatures and densities. Parameters $w_{\text{shift}} = 0$; $w_{\text{sig}} = 0.5$ determine the location and width
195 of the connection between the linear and exponential regimes, with the weighting function
196 $W(r)$ of equation (2) introduced to allow for a smooth transition between the collisional and
197 exospheric regimes. The values of w_{shift} and w_{sig} were chosen to locate the transition at the
198 exobase, across a characteristic length equal to the scale height: apparently a reasonable
199 choice, though somewhat arbitrary. Parameters a , b , β_0 and T_h are fitting parameters
200 determined to match, and extrapolate if necessary, the computed ratios of **Figure 2**,
201 normalizing the total density to the MSIS density in that plot (which is equivalent to
202 assuming that the hot fraction is a small part of the total density in the Monte Carlo
203 computation). **Figure 3** shows the superthermal fraction β fitted to the Monte Carlo
204 simulation results under maximum solar activity. The fitted value of T_h is $\sim 10,500$ K, which
205 corresponds to $3/2 k T_h \sim 1.36$ eV, typical of the exothermal processes producing
206 superthermal $O(^3P)$ atoms. Similar results were obtained for the low solar activity simulation,
207 so that the fitting parameters can be interpolated between both extremes for application at
208 intermediate solar activity. **Table 2** lists the fitting parameters obtained under both solar
209 minimum and maximum conditions. **Figure 4** shows the oxygen density profiles obtained
210 from the MSIS-90 model and under hypothesis 3 and 4 described above, for high solar
211 activity conditions. The largest total density is obtained assuming that the thermal and non-
212 thermal oxygen populations are separately distributed at diffusive equilibrium according to
213 their own temperature and scale height, especially at high altitude, far up in the exosphere.

214 We model the radiative transfer of the oxygen UV emissions at 98.9 and 130.4 nm
215 using the radiative transfer code developed by Gladstone [1982, 1985], and already used by
216 Cotton et al. [1993b] and Hubert et al. [1999], including the presence of a superthermal
217 oxygen population. The nonthermal population is accounted for as a second scatterer having a

218 high temperature in addition to the thermal oxygen population. The wavelength-dependent
219 optical thickness is then computed accounting for both populations, and the radiative transfer
220 is solved using the Feautrier method. The population of the sublevels of the ground state
221 $O(^3P)$ atoms is nevertheless assumed to be at local thermodynamic equilibrium, while it is
222 assumed that the photochemical reactions populate the sublevels of the upper states according
223 to their degeneracy as their energies are nearly equal, an assumption already made by Link et
224 al. [1988]. The primary source of UV photons at 98.9, 130.4 and 135.6 nm is mainly twofold:
225 photochemical sources (i.e., photoelectron impact excitation of O atoms) and resonance
226 scattering of photons of solar origin. The 130.4 nm multiplet is also populated by branching
227 from the upper state of the OI 102.7 nm multiplet. The 135.6 nm $^3P - ^5S^o$ transition is dipolar
228 forbidden and only excited by photoelectron impact (this includes the cascade from the $3p\ ^3P$
229 level at 777.4 nm). The photochemical sources are calculated using the latest version of the
230 GLOW model [Solomon, 1988] (freely available under Open Source Academic Research
231 License Agreement) adapted to account for the modified oxygen density profile when needed.

232 The GLOW model uses a Crank-Nicholson method to compute the transport of
233 photoelectrons through the Earth thermosphere with a two-stream assumption. In this model,
234 the EUV solar flux is obtained from the EUVAC model between 50 and 1050 Å [Richards et
235 al., 1994b]. Between 18 and 50 Å, the Hinteregger spectrum [Hinteregger et al., 1981] is
236 scaled using the EUVAC algorithm. Below 18 Å, several sources are aggregated to estimate
237 the solar flux, e.g. De Jager [1964], Smith and Gottlieb [1974], Manson [1977], Kreplin et al.
238 [1977], Horan and Kreplin [1981], and Wagner [1988]. The FUV flux is scaled from the
239 Woods and Rottman [2002] spectrum. The photochemical sources of excited oxygen are
240 mainly electron impact on oxygen atoms and dissociative recombination of O_2^+ . The
241 interested reader is referred to the list of reactions available with the GLOW source code

242 which is freely available and to the literature [Nagy and Banks, 1970; Solomon et al., 1988;
243 Solomon and Abreu, 1989; Solomon, 2001; Solomon et al., 2001; Bailey et al., 2002].

244 In addition to the sources computed by the GLOW model, radiative recombination of
245 O^+ producing the $^5S^0$ state is accounted for [Tinsley and Christensen, 1973]. The contribution
246 of this mechanism can be considered negligible for the $3s\ ^3S^0$ state, i.e. for the 130.4 nm
247 excitation. Our modelling of the OI 98.9 nm emission also includes production of oxygen in
248 the $3s\ ^3D^0$ state (via the $3p\ ^3F$ state) by dielectronic recombination [Abreu et al., 1984]. We
249 use the reaction coefficient of Bates [1962] and the needed ionospheric properties come from
250 the IRI model [Bilitza, 1990] used by GLOW. The O^+ concentration relevant for the
251 conditions of the BEARS sounding rocket launch is shown in **Figure 4**. The $3s\ ^3S^0$ upper state
252 of the OI - 130.4 nm transition is also populated by the 1127.8 nm transition from the $3d\ ^3D^0$
253 state to the $3p\ ^3P$ state followed by the transition at 844.6 nm to the $3s\ ^3S^0$ state. Transition
254 between the $3d\ ^3D^0$ state and the $2p^4\ ^3P$ ground state occurs at ~ 102.7 nm forming a sextuplet
255 of FUV lines with large Einstein transition parameters, so that optically thick radiative
256 transfer of the OI 102.7 nm multiplet is also modelled to assess the sources of the OI 130.4
257 nm emission. The photochemical sources of the $3d\ ^3D^0$ state come again from the GLOW
258 model.

259 The solar fluxes at 98.9, 102.7 and 130.4 nm are estimated using the proxy model of
260 Woods and Rottman [2002] to derive values consistent with the $F_{10.7}$ activity index, as was
261 already successfully done by Gérard et al. [2008, 2010] and Hubert et al. [2010] to model the
262 radiative transfer of the optically thick oxygen UV multiplets at 98.9 and 130.4 nm through
263 the atmosphere of Venus and compare the model results with the Cassini-UVIS observations.
264 The proxy model derived by Woods and Rottman [2002] has a spectral resolution of 0.5 nm,
265 too crude to resolve the spectral contributions at 98.9 nm. Using the high spectral resolution
266 model of Tobiska [2004] it was determined that the OI 98.9-nm multiplet contributes $\sim 24\%$

267 to the total in the interval ranging from 98.5 to 100.5 nm under high solar activity conditions.
268 For comparison, the OI 98.9 nm contribution to the quiet Sun high resolution spectrum of
269 Curdt et al. [2001], measured using SOHO-SUMER, in that same interval is estimated to be
270 ~19%. The contribution of the solar OI - 102.7 nm emission between 100.5 and 106.5 nm is
271 estimated to be ~4.2% from the spectrum of Curdt et al. [2001] while the Lyman- β line
272 contributes ~42%. Because the OI 130.4 nm solar emission is very intense, it is not necessary
273 to make a similar correction to the flux deduced from the proxy of Woods and Rottman
274 [2002] for this emission feature. The shape of the OI 130.4 nm multiplet solar line is derived
275 according to the analysis of Gladstone [1992], who fitted the observed solar OI-130.4 nm
276 multiplet line shapes from the Solar Maximum Mission (SMM) satellite using two offset
277 Gaussian functions. The offset and FWHM of the Gaussian functions were separately
278 estimated for each line of the multiplet. The line shapes of the solar OI-98.9 and 102.7 nm
279 multiplets are poorly known. Average values of the parameters obtained by Gladstone [1992]
280 for the 130.4 nm lines were used for these multiplets, as was already done by Gérard et al.
281 [2010] for the OI 98.9 nm emission. The OI 102.7 nm system of lines has an additional
282 source, known as the Bowen mechanism [Bowen, 1935], due to an incidental resonance with
283 the broad solar HI-Lyman- β line at 102.6 nm. We compute the wavelength-dependent optical
284 thickness of oxygen and its absorption of the solar HI Lyman- β , which provides an additional
285 source of excitation of the 102.7 nm upper states and enters the radiative transfer process. The
286 Lyman- β contribution to the solar flux is estimated to be ~42% between 100.5 and 106.5 nm
287 based on the SOHO-Sumer spectrum of Curdt et al. [2002], and the Woods and Rotman
288 [2002] proxy is used again to estimate its value. We account for the solar Lyman beta line
289 shape assuming it is the sum of two offset Gaussian functions having the offset and FWHM
290 values determined by Gladstone [1988]. The optically thick source function of radiative
291 transfer is used to compute the production rate of the $3s\ ^3S^0$ state by branching from the $3d$

292 $^3D^0$ state. The branching ratio is ~30% according to the Einstein transition parameters of
293 Wiese et al. [1996]. For the conditions of September 30, 1988, the O I 98.9, 102.7 and 130.4
294 nm solar fluxes are found to be 6.74×10^8 , 5.9×10^8 and 1.26×10^{10} photons $\text{cm}^{-2} \text{s}^{-1}$
295 respectively while the H I Lyman- β flux is 6.00×10^9 photons $\text{cm}^{-2} \text{s}^{-1}$.

296 In our simulations, the primary excitation rate due to photochemical sources are
297 distributed between the sublevels of the upper state proportionally to their degeneracy. This
298 assumption considers that the population of sublevel k is proportional to $g_k \times \exp(-E_k/kT)$ with
299 all the E_k 's being nearly equal. In the absence of data detailing the distribution of the 98.9 nm
300 solar intensity between the members of the multiplet, it is assumed that the total flux is
301 distributed among the singlet, doublet and triplet of lines in which the sextuplet is
302 decomposed (see next paragraph) according to the degeneracy of their upper level, while,
303 inside of the sub-multiplets (namely the doublet and the triplet), the flux is equally shared
304 between the different lines that compose it.

305 The OI 98.9 nm multiplet results from the transitions between the oxygen $2p^4 \ ^3P$
306 ground state and the excited $2p^3 \ 3s \ ^3D^0$ state. Applying the selection rules of spectroscopy,
307 this transition system is a sextuplet of lines, decomposed into three sub-multiplets according
308 to their upper sub-level (Table 3): a singlet (the transition at 98.8773 nm originating from the
309 $3s \ ^3D^0_{J=3}$ level), a doublet (the transitions at 98.8655 and 99.0204 nm originating from the $3s$
310 $^3D^0_{J=2}$ level) and a triplet of lines (the transitions at 98.8578, 99.0127 and 99.0801 nm
311 originating from the $3s \ ^3D^0_{J=1}$ level), without mixing between the components [Meier, 1991,
312 Link et al. 1988], i.e. the wavelength separation between the lines of the multiplet is so large
313 compared with the Doppler width at local temperature that a photon emitted in a transition can
314 only be reabsorbed (and scattered) by the same transition, thus avoiding radiative coupling
315 between the sub-multiplets.

316 Excited atoms in the $^3D^0$ state do not only relax directly to the ground state, but can
 317 also decay through intermediate energy levels, leading to (among others) the transitions at 799
 318 and 117.2 nm. These branching transitions result in a loss of population through transition
 319 branching, that removes photons from the 98.9 nm radiation field during the radiative transfer
 320 process. This effect is accounted for in the radiative transfer modeling using a single
 321 scattering albedo (ω_0) amounting to 0.99972, 0.9975 and 0.99953 for the singlet ($O(^3P_3) \leftarrow$
 322 $O(3s' ^3D_3)$), doublet ($O(^3P_{2,3}) \leftarrow O(3s' ^3D_2)$) and triplet ($O(^3P_{1,2,3}) \leftarrow O(3s' ^3D_1)$) of lines
 323 respectively, that we deduce from the Einstein transition parameters of the 98.9, 799 and
 324 117.2 nm emissions provided by Wiese et al. [1996]. The OI-130.4 nm multiplet results from
 325 transitions between the OI $2p^4 ^3P$ and $3p ^3S^0$ states. It is a triplet of lines coupled with a
 326 common upper state. The transition parameters that we use in modeling the 130.4 nm
 327 radiative transfer are taken from Wiese [1996]. The oxygen energy levels are from Moore
 328 [1993]. We neglect branching from the $3p ^3S^0$ state through the transitions at 164.13 nm (to
 329 the $2s^22p^4 ^1D$ state) and at 232.5 nm (to the $2s^22p^4 ^1S$ state) as their Einstein transition
 330 parameters are rather low, 1.83×10^3 and 4.61 s^{-1} respectively, resulting in a single scattering
 331 albedo of 0.999997 sufficiently close to 1 to be ignored.

332 For the $2p^4 ^3P - 3d ^3D^0$ transition producing the OI 102.7 nm emission and radiative
 333 transfer, we follow the approximation previously made by Meier [1991] and by Cotton et al.
 334 [1993]. The sextuplet is separated into a triplet ($O(^3P_{1,2,3}) \leftarrow O(3d ^3D_1)$), a doublet ($O(^3P_{2,3}) \leftarrow$
 335 $O(3d ^3D_2)$) and a singlet ($O(^3P_3) \leftarrow O(3d ^3D_3)$) of lines (Table 3) assuming they can be treated
 336 separately. However the small wavelength separation between the lines of the multiplet allows
 337 for a partial coupling across the whole sextuplet. The uncoupling approximation has
 338 nevertheless proven valid because of the large branching towards the $3p ^3P$ state via the
 339 1127.8 nm transition, and because ~85% of the 102.7 nm radiation field is expected to be
 340 found in the singlet [Meier, 1991 ; Meier et al. 1987].

341 3. Simulation results.

342 The vertical profile of the $2p^3 3s' \ ^3D^0$ primary source of photons calculated for the
343 conditions of the BEARS sounding rocket launch of Cotton et al. [1993a,b] using the MSIS-
344 90 oxygen concentration profile is shown in **Figure 5** while **Figure 6** shows the upward and
345 downward photoelectron fluxes computed with the GLOW model without hot oxygen
346 correction. The effect of the hot oxygen corrections considered in this study were estimated
347 and found to remain lower than 4% at worst at all altitudes. The photoelectron fluxes peak at
348 roughly the same altitude as the primary source function, which highlights that the
349 photochemical sources computed by the GLOW model are dominated by photoelectron-
350 impact processes in the lower thermosphere. The primary source (**Figure 5**) represents the
351 rate at which new 98.9 nm photons are introduced into the radiative transfer process by
352 photochemical processes (computed with the GLOW model) and by resonance scattering of
353 solar 98.9 nm photons. It should not be confused with the source function of radiative transfer
354 which represents the number of excited atoms emitting a 98.9 nm photon per second in a unit
355 volume, including the effects of multiple scattering. The contribution of the photochemical
356 sources calculated using the GLOW model (mainly electron impact on oxygen atoms)
357 dominates that of resonance scattering of the solar flux at 98.9 nm at low altitude, while at
358 high altitude, above ~450 km, the resonance scattering primary source dominates over the
359 photochemical processes. The primary source integrated across the whole vertical profile
360 nevertheless remains dominated by the photochemical processes. Inclusion of the hot oxygen
361 population results in an increase of the primary source at high altitude, with a larger increase
362 found when the hot and thermal oxygen populations are assumed to be separately distributed
363 at diffusive equilibrium. This naturally stems from the larger density found in that case above
364 the exobase. The total radiative transfer source function of the OI 98.9 nm multiplet is
365 detailed in **Figure 7**. The radiative transfer is computed separately for the photochemical and

366 resonance scattering primary sources, and summed up afterwards. Indeed, the ground state
367 oxygen concentration is not significantly modified by the transfer of the FUV radiation in the
368 thermosphere so that both sources do not need to be combined to compute the radiative
369 transfer. The altitude-dependent relative importance of the photochemical and solar primary
370 source of photons discussed above also appears in the radiative transfer source function: the
371 solar source becomes important at high altitude only, but the photochemical source remains
372 dominant up to a larger altitude (~675 km) because of the very large photon primary
373 production at low altitude. Inclusion of the hot oxygen population without modifying the total
374 density only increases the optical thickness in the wings of the line shape. It does not make a
375 large difference compared with the MSIS90 reference run, as already pointed out by Hubert et
376 al. [1999]. Inclusion of the density corrections proposed above in the second paragraph
377 (assumptions 3 and 4) produces an increase of the optical thickness of the atmosphere
378 proportional to the density enhancement. This results in a more efficient entrapment of the
379 98.9 nm photons in the thermosphere that causes an increase of the radiative transfer source
380 function.

381 The source function is not directly observable. The BEARS 98.9 nm intensity profile
382 measured by Cotton et al. [1993b] is shown in **Figure 8**, along with the modeled intensity.
383 The MSIS90 thermal population suffices to account for the observation at low altitude. It
384 could also be considered acceptable above the exobase, owing to the uncertainties affecting
385 the observation. This is a major difference with the simulations of Cotton et al. [1993b] and
386 Hubert et al. [1999] who could not account for the observation in a satisfactory manner
387 because their simulation lacked the inclusion of the resonance scattering of the solar 98.9 nm
388 multiplet. Consideration of the superthermal population without modifying the total density
389 slightly increases the modeled intensity. The diffusive correction accounting for the higher
390 temperature due to the hot oxygen component makes a more significant correction, and the

391 modeled intensity does apparently better match the observation, considering both data points
392 at 600 and 750 km. Inclusion of the separate diffusive equilibrium produces a modelled
393 intensity profile that passes over the observed one, whether the calculated solar maximum
394 superthermal population is used or whether an interpolation (versus the F10.7 index) between
395 the solar minimum and maximum profiles is used (solar activity was already high at the time
396 of the BEARS sounding rocket launch). In the present case, inclusion of the superthermal
397 population with its own diffusive equilibrium appears as an upper bound to the total oxygen
398 density considering the EUV intensity measurement. The new key parameter to consider in
399 order to account for the observed 98.9 nm intensity at high altitude is clearly scattering of the
400 solar multiplet.

401 The simulated intensity for a downward looking geometry from a distant vantage point
402 (i.e. the emerging intensity) can be compared with the intensity obtained for the same line of
403 sight geometry assuming optically thin conditions and neglecting O₂ absorption. Neglecting
404 the effect of the suprathemal atoms, these two intensities are 1.116 and 0.921 kR. In first
405 view, one would expect the optically thick intensity to be larger than the optically thin result,
406 because multiple scattering breaks the isotropic distribution of the primary emission and
407 favors the upward direction by essentially reflecting upwards the downward-propagating
408 photons, as already underlined by Link et al. [1988] concerning the 130.4 nm radiation. The
409 opposite is found because of the absorption by O₂ and, mainly, because of the non-unit single
410 scattering albedo of the 98.9 nm multiplet which causes a “leak” of 98.9 nm photons during
411 the radiation transfer through the thermosphere. The radiative transfer of the photons of solar
412 origin contributes ~0.211 kR to the emergent intensity, i.e. ~19% of the total. Under the
413 optically thin assumption, it is ~0.0970 kR, i.e. ~11% of the total. Because the primary source
414 function associated with the resonance scattering of the solar 98.9 nm multiplet peaks at a
415 higher altitude, it is not absorbed by O₂. The optical depth of the emitting layer being lower

416 than that of the photochemical source, the single scattering albedo leakage is also less
417 important. We then retrieve the intuitively natural trend: the computed optically thick
418 intensity is larger than the optically thin one. These numbers also highlight that resonance
419 scattering of the solar 98.9 nm photons does not dominate the column-integrated source
420 function, and is only important at high altitude. Including the hot oxygen correction does
421 nearly not modify the calculated emergent intensities. Indeed, the hot-O correction is
422 important at high altitude where the gas density is lower, far from the peak of the
423 photoelectron fluxes, so that the photochemical sources computed by the GLOW model are
424 only weakly modified by this correction. Moreover, including the suprathreshold oxygen
425 correction results in an increase of the optical thickness of the high altitude layers, where the
426 solar radiation is resonantly scattered. But the solar flux itself is not modified, so that the
427 radiative energy input from the solar 98.9 nm multiplet remains nearly unchanged. This
428 explains why the contribution of the resonance scattering of the solar 98.9 nm radiation to the
429 emergent intensity is nearly not sensitive to the presence of the hot oxygen population as well.

430 Figure 9 shows the primary source, the source function and the intensity computed for
431 the OI 130.4 nm triplet. As it is well known, the scattering of the solar 130.4 nm flux, which
432 amounts to 1.14×10^{10} ph cm⁻² s⁻¹ in our simulation according to the proxy of Woods and
433 Rotman [2002] (about twice as large as the value reported by Cotton et al. [1993a]), largely
434 dominates the primary source and the source function at high altitude. We inferred, based on
435 the solar spectrum of Curdt et al. [2001], that the 130.22, 130.49 and 130.60 nm lines of the
436 multiplet contribute 32%, 33% and 35% to the total intensity of the solar triplet, respectively,
437 i.e. about one third of the photons in each member of the triplet. This result is similar to that
438 obtained from the high resolution semi-empirical spectrum of Killen et al. [2009] which gives
439 36%, 33% and 31% respectively. The computed intensity, for a 97° zenith angle look
440 direction, is shown in the third panel with the BEARS measurement. The conclusions are

441 similar to those obtained at 98.9 nm. The intensity simulated using the MSIS90 atmosphere
442 accounts fairly well for the data, although the simulation including the hot oxygen atoms and
443 a modified diffusive equilibrium seems to somewhat better account for the observation at high
444 altitude. Assuming the thermal and nonthermal oxygen populations are at separate diffusive
445 equilibrium obviously produces an overestimate of the intensity at high altitude, and should
446 be considered as a lower bound. At low altitude, the calculation slightly underestimates the
447 130.4 nm intensity up to ~500 km, i.e. the altitude where the resonance scattering of the solar
448 multiplet starts to dominate the optically thick source function. This discrepancy can likely be
449 attributed to a slight underestimate of the photochemical source of excitation of the $3s\ ^5S$ state
450 by the GLOW model. Figure 10 recapitulates the intensity profiles simulated at 98.9 nm and
451 130.4 nm using the MSIS atmosphere, corresponding to the conditions prevailing for the
452 BEARS sounding rocket launch. We also show the 135.6 nm and the 1027 intensity profiles.
453 Scattering of the solar light is totally negligible for the 135.6 nm multiplet, while it is
454 important for the other emissions, especially at high altitude.

455 We now compare our model with FUV and EUV measurements of the OI emissions at
456 98.9, 130.4 and 135.6 nm obtained with the EUV spectrometer onboard the USAF STP78-1
457 satellite [Bowyer et al., 1981; Chakrabarti et al., 1983]. We simulate the radiative transfer of
458 the OI 98.9 and 130.4 nm emissions for the conditions prevailing at the time of each
459 individual STP-78 observation, which consist in EUV and FUV spectra recorded during a
460 rotation of the spinning satellite, thus producing angular scans. The background is
461 interpolated from the neighboring wavelength bins of each spectral feature and subtracted.
462 The superthermal oxygen population is obtained by interpolating the hot oxygen fraction
463 versus the F10.7 index between the Monte Carlo simulation results obtained under solar
464 minimum and solar maximum conditions. **Figure 11** shows the 98.9 nm intensity measured
465 during two STP78 orbits on 21 March 1979, i.e., at the equinox ($F10.7 = 181$). On that day,

466 we estimate using the Woods and Rotman [2002] proxy that the solar fluxes of the OI 98.9,
467 102.7 130.4 nm multiplet and of the H I Lyman β line are $\sim 6.98 \times 10^8$, $\sim 6.20 \times 10^8$, $\sim 1.28 \times$
468 10^{10} and $\sim 6.30 \times 10^9$ photons $\text{cm}^{-2} \text{s}^{-1}$ respectively. The data were selected in a latitude
469 interval between -20° and -30° , with the longitude ranging between 160° and 170° . The solar
470 zenith angle ranged between 20° and 30° . The STP78 orbit was nearly circular at 600-km
471 altitude. Although the satellite altitude did not vary significantly during one orbit, we
472 nevertheless account for its exact value in simulating the observation using the radiative
473 transfer model. The data shown in **Figure 11** were accumulated during 10 rotations of the
474 satellite and averaged into viewing zenith angle bins. **Figure 11** also shows the calculated
475 intensity, separating the contributions of the photochemical primary source and the resonant
476 solar source at 98.9 nm. Inclusion of the superthermal O(^3P) population to the MSIS90
477 oxygen density profile causes an increase of the modeled intensity for upward looking
478 directions. The overall shape of the modeled angular scan reproduces the observed one fairly
479 well, but the agreement is not as good as was found for the BEARS sounding rocket
480 measurements. However, it must be noted that the solar flux used in our modeling is
481 uncertain, so that a strict quantitative agreement is not really expected. Moreover, the
482 uncertainty on absolute calibration of the spectrometer onboard STP78-1 was estimated by
483 Link et al. [1988] to be $\sim 20\%$ at 98.9 nm.

484 **Figure 12** shows the brightness of the OI 130.4 and 135.6 nm multiplet observed by
485 STP78 and modeled using the MSIS90 atmosphere (dotted lines), including the non-thermal
486 oxygen correction (solid lines) under conditions identical to those of **Figure 11**. The 135.6 nm
487 emission rate is calculated using the GLOW model [Solomon et al., 1988] and integrated
488 along the lines of sight passing by the STP78-1 location for viewing zenith angles ranging
489 from zenith (0°) to nadir (180°) direction. The computed 130.4 nm intensity is overestimated
490 by roughly a factor 2. Multiplying the total intensity computed accounting for the hot oxygen

491 population and applying diffusive equilibrium by a factor ~ 0.6 produces a good fit to the data.
492 The intensity computed using the MSIS90 density also agrees fairly well with the observed
493 angular scan at the expense of a similar correction factor, considering the uncertainties of the
494 measurements and those of the model. It must be noted that Link et al. [1988] estimated the
495 accuracy of the STP78 absolute calibration to $\sim 50\%$ at 130.4 and 135.6 nm, so that the
496 discrepancy between the modelled and observed 130.4 nm intensity remains acceptable, given
497 that the shape of the computed angular scan fairly corresponds to the observed one. The
498 computed 135.6 nm profiles were smoothed to account for the effective field of view of the
499 spectrometer, resulting from a complex combination of the actual field of view of the
500 instrument and the satellite motion. The shape of the modeled, optically thin OI 135.6 nm
501 brightness angular scan differs somewhat from the observed profile while the absolute value
502 at the peak brightness is fairly well modeled within a factor ~ 2 . Differences in shape can be
503 due to a too small background removal which is performed by interpolating the spectrum
504 from the neighboring wavelength bins, as can be guessed from the residual intensity for
505 upward looking directions or, more likely, to the complicate triangular effective field of view
506 of the observation, that combines the instrument aperture (the STP78-1 instrument slit was
507 large indeed) and the spinning motion of the spacecraft. This issue is without doubt a source
508 of uncertainty at all wavelengths. We verified on more than 100 angular scans that, for
509 upward looking directions, the signal to noise ratio (computed after background subtraction)
510 is very poor at 135.6 nm, generally around 1, making the results very sensitive to an
511 underestimate of the subtracted background. Indeed, one would expect the 135.6 nm signal to
512 decrease to 0 as the observing zenith angle decreases. Consequently, we do not trust the 135.6
513 nm data obtained under upward looking direction. Inclusion of the superthermal oxygen
514 population with the consistent diffusive equilibrium correction does not make a substantial
515 difference.

516 **Figure 13** shows the OI 98.9, 130.4 and 135.6 nm multiplet intensities averaged over
517 two STP78-1 full orbits, on 21 March 1979. Only daytime data are selected, excluding the
518 terminator and south Atlantic anomaly regions. The detailed modelling of each emission is
519 computed for each of the 130 STP78-1 angular scans that were found and selected, and the
520 averaged intensities are then computed so that they can be compared with the observation. A
521 scaling factor is applied to the computed total intensities to fit the data in a least squares
522 sense, in order to cope with the uncertain solar flux. We find, once again, that scattering of
523 solar photons makes a significant contribution to the 98.9 nm radiative transfer at high
524 altitude, amounting to nearly 25% for a horizontal viewing geometry at ~600 km. The general
525 trends described above based on **Figures 10 and 11** appear again in the 2-orbits average:
526 inclusion of the superthermal oxygen population with adapted diffusive equilibrium allows for
527 a slightly better agreement with the observed OI-98.9 nm angular scan. Resonance scattering
528 of the solar 98.9 nm multiplet makes a significant contribution for upward-looking directions,
529 producing a modelled intensity profile that better corresponds to the observed one. Similar
530 conclusions are reached concerning the OI-130.4 nm intensity. The observed detailed shape of
531 the peak of the 135.6 nm emission is reasonably well reproduced by the theoretical
532 simulations, but the smoothing that we apply to account for the effective aperture of the
533 instrument may not be sufficient for the optically thin 135.6 nm emission. Inclusion of the
534 nonthermal atoms in the simulation makes no significant difference. Again, we do not trust
535 135.6 nm data obtained for upward looking directions.

536 4. Discussion.

537 We have revisited the radiative transfer of two important atomic oxygen multiplets in
538 the Earth's thermosphere and exosphere. This analysis was motivated by the relatively recent
539 observation of the OI-98.9 nm multiplet in high resolution solar spectra, i.e., a source of
540 photons that had not been accounted for in past studies of that multiplet, in an attempt to

541 explain the previously reported anomaly in the 98.9 nm intensity observed above the exobase.
542 We used the MSIS90 atmosphere model to describe the thermospheric and exospheric gas and
543 included the previously-modelled effects of a superthermal oxygen geocorona using several
544 approximations. First, splitting the total MSIS90 O(³P) density into a thermal and a non-
545 thermal fraction barely influences the modelled radiative transfer of the oxygen multiplets (as
546 previously reported by Hubert et al. [1999]), despite the increase produced in the optical
547 thickness in the wings of the optically thick spectral line shape. Correcting the MSIS90
548 density profile for the larger oxygen scale height (resulting from the presence of the hot
549 oxygen population), produces a total density increase sufficient to account for the BEARS
550 sounding rocket measurement at 98.9 nm above the exobase. Applying a more radical
551 correction by adding a hot oxygen population in diffusive equilibrium (i.e., having its own
552 scale height) produced an increase in the modelled 98.9 nm intensity above the exobase that
553 exceeds the BEARS-observed profile, and thus appears as an upper bound correction. Indeed,
554 up to our knowledge, no previous study inferred an oxygen density as large as what we
555 calculate under that assumption (except for Cotton et al. [1993b]). We find that scattering of
556 solar photons produces a significant contribution to the 98.9 nm radiative transfer at high
557 altitude, above ~450 km and in the exosphere, that is necessary to account for the observation
558 with only moderate modification of the MSIS90 density profile. Simulations of the OI-130.4
559 nm multiplet show that the hot oxygen correction that we apply is compatible with the
560 observed BEARS intensity profile at 130.4 nm. Our simulations also fairly reproduce the
561 intensity observed by the STP78-1 satellite at 98.9, 130.4 and 135.6 nm. Inclusion of the solar
562 98.9 multiplet in the model produces a better agreement with the observation. Including the
563 hot oxygen population also improves the agreement with the data for upward looking
564 directions, but the associated intensity increase remains comparable with the error affecting
565 the data. The modelled 135.6 nm intensity is in fair agreement with the STP78-1 observed

566 intensity given the uncertainties on the absolute calibration and the complicate effect of the
567 instrumental effective aperture. Inclusion of the nonthermal oxygen atoms makes no
568 substantial difference. As already pointed out by Link et al. [1988], the 135.6 nm can be
569 contaminated by a contribution from the N₂ Lyman-Birge-Hopfield band. We simulated the
570 LBH intensity using the GLOW model and the spectrum measured by Ajello and Shemansky
571 [1985], and found it can contribute ~30% to the 135.6 nm channel, as was already estimated
572 by Link et al. [1988]. This contamination nevertheless remains below the uncertainty on the
573 absolute calibration, and it was not included in the figures presented here.

574 We also simulated the 98.9, 130.4 and 135.6 nm intensities using the Atmospheric
575 Ultraviolet Radiance Integrated Code (AURIC) model [Strickland et al., 1995, 1997, 1999,
576 Swaminathan et al., 1998, Siskind et al., 1995, Majeed et al., 1997]. This model has a more
577 sophisticated solver that uses a multistream approximation, while the GLOW model uses a 2-
578 streams Crank-Nicholson method. We refer the interested reader to the literature and to the
579 documentation freely available from Computational Physics INC. for a detailed description of
580 the AURIC model. Although the intensities computed with the AURIC model slightly differ
581 from those of the GLOW model, the main results discussed above remain: scattering of the
582 solar 98.9 nm multiplet is an essential contribution to the 98.9 nm radiative transfer at high
583 altitude, inclusion of the suprathermal oxygen population produces a slight increase of the OI
584 98.9 and 130.4 nm intensity in the exosphere, while it makes no significant difference at 135.6
585 nm. It must also be kept in mind that the uncertainties on the solar flux (both the ionizing
586 EUV radiation and the 98.9 and 130.4 nm incident fluxes) are such that applying a correction
587 factor (not too different from 1) to the computed intensity is generally an acceptable fix that
588 reconciles the model and the observation, providing that the overall shape of the modelled
589 profiles sufficiently resemble the observation (which was not the case at 98.9 nm before
590 inclusion of the solar source).

591 Because scattering of 98.9 nm solar radiation is the major contribution to the primary
592 source of photons at exospheric altitudes, a detailed knowledge of the spectral distribution of
593 the multiplet lines would be needed for successful modelling. Indeed, we used a rough
594 approximation assuming that the solar 98.9 nm line shape could be deduced from the optically
595 thick 130.4 nm solar line shape, fitted using two offset Gaussians. Because the transition
596 parameters of these two multiplets are different, it is not obvious that this assumption is
597 sufficiently accurate. Only a detailed measurement of the solar 98.9 nm line shape at very
598 high spectral resolution could lead to a reliable improvement in our knowledge of the solar
599 98.9 nm optically thick spectral distribution. It can be expected that a narrower spectral
600 distribution of the solar radiation would allow for more incident photons to be scattered by
601 oxygen atoms before being absorbed by O₂ molecules deeper in the atmosphere, thus
602 increasing the number of photons undergoing radiative transfer at high altitude, and perhaps
603 producing a larger computed intensity above the exobase. This would reduce the need for an
604 increased exospheric oxygen density. Estimating the quantitative magnitude of this effect will,
605 however, remain beyond reach until the detailed solar line shapes of the 98.9 nm multiplet are
606 known. Moreover, the absolute value of the 98.9 nm solar flux is itself an important source of
607 uncertainties. The whole modelling is indeed sensitive to the solar flux: the photochemical
608 production rates are proportional to the solar flux, and also depends on its spectral shape. The
609 resonance scattering primary sources at 98.9 and 130.4 nm do also depend on both the
610 absolute flux, but also on its distribution among the members of the multiplet and on the
611 detailed high resolution line shape of the solar lines, which is unknown except for the 130.4
612 nm multiplet. Despite these uncertainties, agreement with the BEARS data is satisfactory,
613 without the need of any scaling of the modelled intensity. The agreement is not so good with
614 the STP78 data, but the uncertainties on the absolute calibration of that spectrometer are also

615 large, so that one can consider applying a multiplication factor to better model the data. The
616 shape of the modelled intensity angular scans is then fairly well reproduced by the modelling.

617

618 We also attempted to use the interesting semi-empirical spectrum of Killen et al.
619 [2009] in the EUV. Fitting a Gaussian function to lines of the OI-989 multiplet, it appears
620 these lines have an FWHM of $\sim 0.041 \text{ \AA}$, i.e. representative of a temperature of $\sim 53000 \text{ K}$ (a
621 temperature that can easily be reached in the transition region between the solar chromosphere
622 and the corona). Also, the total intensity is distributed between the triplet ($O(^3P_{1,2,3}) \leftarrow$
623 $O(^3D_1)$), doublet ($O(^3P_{2,3}) \leftarrow O(^3D_2)$) and singlet ($O(^3P_3) \leftarrow O(^3D_3)$) proportionally to the
624 degeneracy of the upper state (which, up to $\sim 0.03\%$, is equivalent to a thermal population of
625 the upper sub-levels) while inside of a given sub-multiplet, the intensity is distributed
626 proportionally to the Einstein spontaneous transition parameters. The OI- 989 \AA total intensity
627 is however rather weak in that spectrum: it contributes to $\sim 1.2\%$ of the total between 985 and
628 1005 \AA , in contrast with the observed SOHO-SUMER spectrum [Curdt et al., 2001] for which
629 we find $\sim 19\%$ (27% if the continuous background is removed). The Gaussian shape does
630 however concentrate proportionally more photons near the line center than the two-offset
631 Gaussian shape used above. This does however not fully compensate for the much lower flux
632 predicted by this spectrum. Using these spectral properties and applying the same procedure
633 as above to estimate the total OI-989 solar intensity, we naturally find that the solar source
634 provides a small contribution to the 989 radiation field at high altitude, so that it becomes
635 difficult to account for the BEARS observation.

636

637 The suprathermal oxygen density correction that we apply here assumes that the hot
638 oxygen population produces a temperature increase that modifies the oxygen scale height and

639 diffusive profile above the exobase. In the absence of collision, this approximation could be
640 insufficient far above the exobase. The assumption of separate diffusive equilibrium for the
641 thermal and nonthermal oxygen population nevertheless produces an upper bound, and the
642 real profile may be between these two approximations. The BEARS observed OI intensities at
643 98.9 and 130.4 nm are nevertheless compatible with the simple diffusive equilibrium
644 assumption.

645 Our radiative transfer model assumes that the ground state oxygen atoms $O(^3P)$ are at
646 local thermodynamic equilibrium (LTE), i.e. that the population of the $O(^3P)$ sublevels is
647 proportional to the degeneracy of each level, given that the sublevels have nearly the same
648 energy. In the thermosphere, this assumption is valid because collisions occur sufficiently
649 often to maintain the LTE population. However, in the exosphere, collisions are less frequent
650 and a departure from equilibrium can occur. Cotton [1991] analyzed the effect of non-LTE
651 population of the $O(^3P)$ sublevels on the OI-130.4 nm radiative transfer, accounting for
652 collisions, fine structure radiative decay and resonance scattering, iteratively running a
653 radiative transfer code to consistently estimate the non-LTE population accounting for the
654 three processes. He found that the collisional lifetime largely dominates below 600 km (i.e.
655 about the exobase) but that radiative decay and resonance scattering begin playing a role
656 above that altitude.

657 We developed a model of the non-LTE (NLTE) population of the ground state oxygen
658 that uses the more recent reaction cross sections of Zygelman et al. [1994] describing the non-
659 elastic collisions between oxygen atoms in the 3P state. We also included the effect of
660 electrons using the reaction rate coefficients of Péquignot [1990]. Infrared relaxation of the
661 upper state of the multiplet is included using the Einstein transitions parameters $A_{J=1 \rightarrow J=2} = 8.9$
662 $\times 10^{-5} \text{ s}^{-1}$, $A_{J=0 \rightarrow J=2} = 1.34 \times 10^{-10} \text{ s}^{-1}$ and $A_{J=0 \rightarrow J=1} = 1.75 \times 10^{-5} \text{ s}^{-1}$ [Froese Fisher and Saha,
663 1983]. Sharma et al. [1994]] did also use these reaction coefficients to study the NLTE

664 population of ground state oxygen up to ~600 km and detail the equilibrium relations
665 balancing the production and loss rates of the ground state sub-levels. They found that the
666 ground state oxygen population very closely remains at LTE up to the exobase. We
667 introduced the effect of collisions with molecular oxygen and nitrogen to enforce that the
668 distribution will remain at LTE at very low altitude. In the absence of any knowledge of the
669 needed cross sections, we estimated them roughly by summing the relevant cross sections
670 from Zygelman et al. [1994] and apply a scaling by the mass of the colliding partner. This
671 procedure does not pretend to provide accurate cross sections: it is only introduced as a rough
672 first guess that will warranty LTE at low altitude (where it would in principle not be necessary
673 as collisions between atomic oxygen atoms are very frequent and opposite processes satisfy
674 the detail balance conditions). We also include the effect of UV radiative transfer on the
675 NLTE distribution of the ground state sublevels using an iterative method. Absorption of
676 radiation is computed for every modelled UV multiplet using the wavelength-dependent
677 angle-averaged intensity as explained by Mihalas [1978], which contribute as loss rates for
678 the ground state sub-levels. The radiative transfer source functions (again detailed for every
679 line of every modelled multiplet) contribute to the production rate of the ground state
680 sublevels (a small correction is applied to cope with the single scattering albedo, so that the
681 total production and loss rates of the ground state sublevels, associated with the UV radiation
682 field, are equal for obvious reasons of conservation of the total number of particles). To start
683 the iterative resolution, we first solve for the NLTE distribution of the ground state oxygen
684 neglecting any radiative transfer effect. The radiative transfer (of the 102.7, 130.4 and 98.9
685 nm multiplets) is solved using that NLTE result, and the output is used to retrieve the NLTE
686 population including the radiative transfer results. The procedure is repeated iteratively using
687 the newly-computed NLTE population until the maximum relative change of population
688 across the whole vertical profile is found to be smaller than 0.2% from one iteration to the

689 other. This threshold was chosen to limit the number of iterations, as the iteration process is
690 compute-intensive, while still obtaining a sufficiently good estimate of the NLTE population.

691 **Figure 14** shows the NLTE population that we obtain using this method, under the
692 conditions prevailing for the BEARS sounding rocket launch. Clearly, The population nearly
693 follows the LTE distribution up to ~600 km, i.e. near the exobase. At higher altitude, a
694 surprising result is found: the J=0 level is more populated than under LTE conditions. We
695 found that this is due to 2 processes: first, the electron collision reaction rates of Péquignot
696 [1990] favor the excitation of the J=0 level. Second, the radiative transfer modifies the NLTE
697 population of the O(³P) sublevels. Indeed, we verified that accounting for the inelastic
698 collisions between O(³P) atoms only (and the infrared relaxation), the population would tend
699 to concentrate in the J=2 sublevel as the altitude is increased. This result was obtained using
700 the MSIS atmosphere. Accounting for the non-thermal distribution of the kinetic energy of the
701 oxygen atoms slightly modifies the picture, especially above ~1000 km, but not as to
702 dramatically change the total intensity of the UV multiplets resulting of radiative transfer in
703 the altitude range where the BEARS data were obtained.

704 The effect of the NLTE population on the modeled 98.9 nm intensity is shown in
705 **figure 15**. The computed total intensity is very nearly the same as the LTE result shown in
706 **figure 8**, although, as pointed out by Cotton et al. [1991], the detailed relative importance of
707 the lines of the multiplet can be modified. It remains that scattering of the solar 98.9 nm
708 multiplet remains an important source of radiation, necessary to account for the BEARS data.

709 This NLTE modelling shown here does suffer a weakness: it neglects transport from
710 the exobase region upwards. Indeed, a particle having a kinetic energy $E=kT$ at the exobase
711 can reach an altitude located one scale height above the exobase. For example, let's assume
712 the exobase is located, say, at $z_{\text{exo}}= 600$ km where the gravific acceleration is

713 $g \sim 9.81 * R_E^2 / r_{exo}^2 \sim 8.2 \text{ m s}^{-2}$ and the temperature is $T \sim 1000 \text{ K}$. Across small distances, let us
714 neglect variations of g with altitude and write the potential $V = m g z$. If a particle moves
715 upward with a kinetic energy $E = \alpha kT$, it can rise up to an altitude such that $\Delta V = \alpha kT$ so that
716 $\Delta z = \alpha kT / mg = \alpha H$ with H the scale height. Replacing g and T by their assumed values and
717 using the atomic oxygen mass, one finds that if $\alpha = 1$, $\Delta z = H = 63 \text{ km}$. This altitude is
718 reached after a travel time of $\sim 125 \text{ s}$ and the particle returns freely to the exobase after ~ 250
719 s. Similarly, particles having an energy of $6 kT$ would reach an altitude of $\sim 1000 \text{ km}$
720 (accounting for the decrease of g with altitude) in a time of flight on the order of $\sim 300 \text{ s}$ and
721 return to the exobase after $\sim 600 \text{ s}$. These time scales (which neglect the effect of infrequent
722 collisions still occurring in the exosphere) are much smaller than the radiative lifetime of the
723 ground state oxygen sublevels that amount to several hours ($\sim 16 \text{ h}$ for $J=1$ and $\sim 3 \text{ h}$ for $J=0$),
724 and it can be guessed that above the exobase, transport will be an important factor that will
725 tend to “project” the nearly LTE distribution of the exobase upward into the exosphere. The
726 real population of the ground state oxygen in the lower exosphere (a few scale heights above
727 the exobase) is thus certainly closer to LTE than what was computed here. Solving the
728 problem in a consistent manner would require the development of a Monte Carlo model
729 coupling the non-thermal gas dynamics accounting for photochemistry with the radiative
730 transfer. Such a study is beyond the scope of the present work but the discussion in the
731 above paragraphs suggest that we may expect that the population of the ground state oxygen
732 does remain close to LTE across several scale heights above the exobase.

733 5. Conclusions

734 We have modelled the radiative transfer of the OI-98.9 nm multiplet and compared the
735 results with observations from the BEARS sounding rocket and from the STP78-1 satellite.
736 We find that the resonance scattering of 98.9 nm photons of solar origin is a primary photon
737 source that cannot be neglected at high altitude, above $\sim 450 \text{ km}$ under high solar activity

738 conditions. We find that the inclusion of a hot oxygen geocorona with a corrected diffusive
739 equilibrium in the exosphere produces an increase of the optical thickness of the medium that
740 allows us to reach a better agreement between the modelled and observed OI-98.9 nm
741 intensity. This increased oxygen density makes little difference to the modelled OI 130.4 nm
742 intensity at high altitude, and no substantial difference to the computed 135.6 nm intensity.
743 Inclusion of resonance scattering of the solar 98.9 nm multiplet, that was not accounted for in
744 previous studies, allows to account for the BEARS sounding rocket measurement at high
745 altitude.

746

747 Acknowledgements

748 This research was supported by the Belgian Fund for Scientific Research (FNRS)
749 grant 1.5093.12 (Crédit aux Chercheurs). B. Hubert is supported by the Belgian Fund for
750 Scientific Research (FNRS), S. Chakrabarti is supported by grants ONR N00014-13-1-0266
751 and NSF AGS-1315354. This work was supported by the FRFC grant n° 2.4541.11. All data
752 used in this study can be obtained from us on request. The authors thank both reviewers for
753 helpful comments.

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916

917

918

919 **Tables.**

920

Reaction	Reaction coefficient (cm ⁻³ s ⁻¹)	ΔE (eV)
NO ⁺ + e → N + O	4.3x10 ⁻⁷ (T _e /300) ⁻¹ (22%)	2.75
NO ⁺ + e → N(² D) + O	4.3x10 ⁻⁷ (T _e /300) ⁻¹ (78%)	0.38
O ₂ ⁺ + e → O + O	Torr et al. [1990] (FLIP model)	4.42
O + N(² D) → N(⁴ S) + O	~7x10 ⁻¹³	2.38
O + O(¹ D) → O + O	8x10 ⁻¹²	1.96
O + O ⁺ (² P) → O ⁺ + O	4x10 ⁻¹⁰	5.00
O+O ⁺ (² D) → O ⁺ + O	5x10 ⁻¹²	3.31
N(² D) + O ⁺ → N ⁺ + O	5x10 ⁻¹¹	1.46
O ₂ + O ⁺ → O ₂ ⁺ + O	2.1x10 ⁻¹¹ (T _n + 2 T _i /3x300) ^{-0.763}	1.55
N ₂ + O ⁺ (² D) → N ₂ ⁺ + O	8x10 ⁻¹⁰	1.33
O(¹ D) + N ₂ → O + N ₂	2.0x10 ⁻¹¹ exp(107.8 T)	1.96
O ⁺ + H → O + H ⁺	2.2x10 ⁻¹¹ T ^{0.5}	kT _i
N(² D) + O ₂ → NO + O	6x10 ⁻¹²	3.76
N(² P) + O → N + O	1.7x10 ⁻¹¹	3.58
NO + N → N ₂ + O	3.4x10 ⁻¹¹	3.25
N(⁴ S) + O ₂ → NO + O	4.4x10 ⁻¹² exp(-3220/T)	1.385
N ⁺ + O ₂ → NO ⁺ + O	2x10 ⁻¹⁰	6.67
O ⁺ (² D) + O ₂ → O ₂ ⁺ + O	7x10 ⁻¹⁰	4.865
O ⁺ (² P) + N ₂ → N ₂ ⁺ + O	4.8x10 ⁻¹⁰	3.02
O(¹ D) + O ₂ → O ₂ + O	2.9x10 ⁻¹¹ exp(67.5/T)	1.96
O ₂ ⁺ + N → NO ⁺ + O	1.2x10 ⁻¹⁰	4.2
NO + N(² D) → N ₂ + O	7x10 ⁻¹¹	5.63
N ₂ [*] (v = i) + O → N ₂ (v' = i-1) + O	M ^c Neal et al. [1974]	

921

922 **Table 1.** Important exothermal reaction processes producing fast oxygen atoms, with
 923 their reaction coefficients and exothermicity ΔE (after Richards et al. [1994]).

924

F _{10.7}	a x 10 ²	b x 10 ³	β ₀ x 10 ²	T _h (K)
70	0.790	2.934	0.729	10644
200	1.064	2.564	1.048	10487

925

926 **Table 2.** Fitting parameters obtained for the hot versus thermal ratio of equation (2)
 927 under solar minimum and maximum conditions.

928

Feature	λ (nm)	g_l	g_u	A_{ul} (s^{-1})	ω_0
O I (135.6 nm) $^3P_{2,1} \leftarrow ^5S_2$	135.5598	5	5	4.20(3)	1
	135.8512	3	5	1.36(3)	1
O I (130.4 nm) $(^3P_{2,1,0} \leftarrow ^3S^o_1)$	130.2168	5	3	3.41(8)	1
	130.4858	3	3	2.03(8)	1
	130.6029	1	3	6.76(7)	1
O I (102.7 nm) $^3P_{2,1,0} \leftarrow 3d\ ^3D^o_{3,2,1}$	102.5762	5	3	2.11(6)	0.7433
	102.7431	3	3	3.17(7)	0.7433
	102.8157	1	3	4.22(7)	0.7433
	102.5762	5	5	1.91(7)	0.7112
	102.7431	3	5	5.71(7)	0.7112
	102.5762	5	7	7.66(7)	0.7106
O I (98.9 nm) $^3P_{2,1,0} \leftarrow 3s\ ^3D^o_{3,2,1}$	98.8578	5	3	6.47(6)	0.99953
	99.0127	3	3	9.47(7)	0.99953
	99.0801	1	3	1.25(8)	0.99953
	98.8655	5	5	5.77(7)	0.99975
	99.0204	3	5	1.68(8)	0.99975
	98.8773	5	7	2.26(8)	0.99972

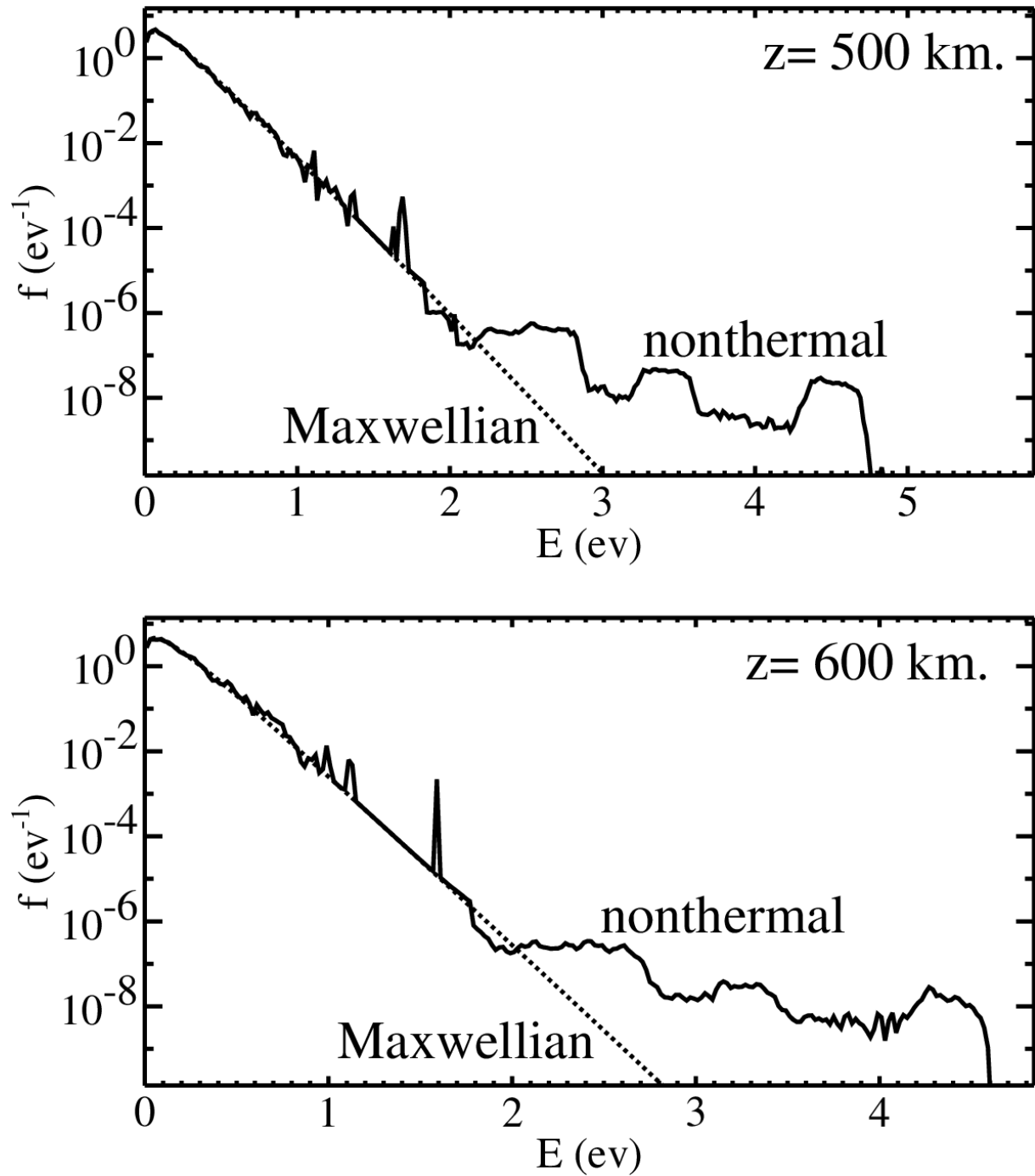
930

931 Table 3. Transition parameters of the oxygen FUV and EUV multiplets. Read 4.20(3)
932 as 4.20×10^3 . The degeneracies are computed from the angular momentum quantum number
933 ($g = 2J + 1$). The lines multiplets are separated in sub-multiplets according to their upper
934 substates, that can be identified from the degeneracy g_u . The single scattering albedos used in
935 our simulations are given in the last column, based on the Einstein transition parameters of the
936 branching transitions and those listed here. All numbers are from Reader et al. [1980] and
937 Wiese et al. [1996] and can be easily found on the NIST web site.

938

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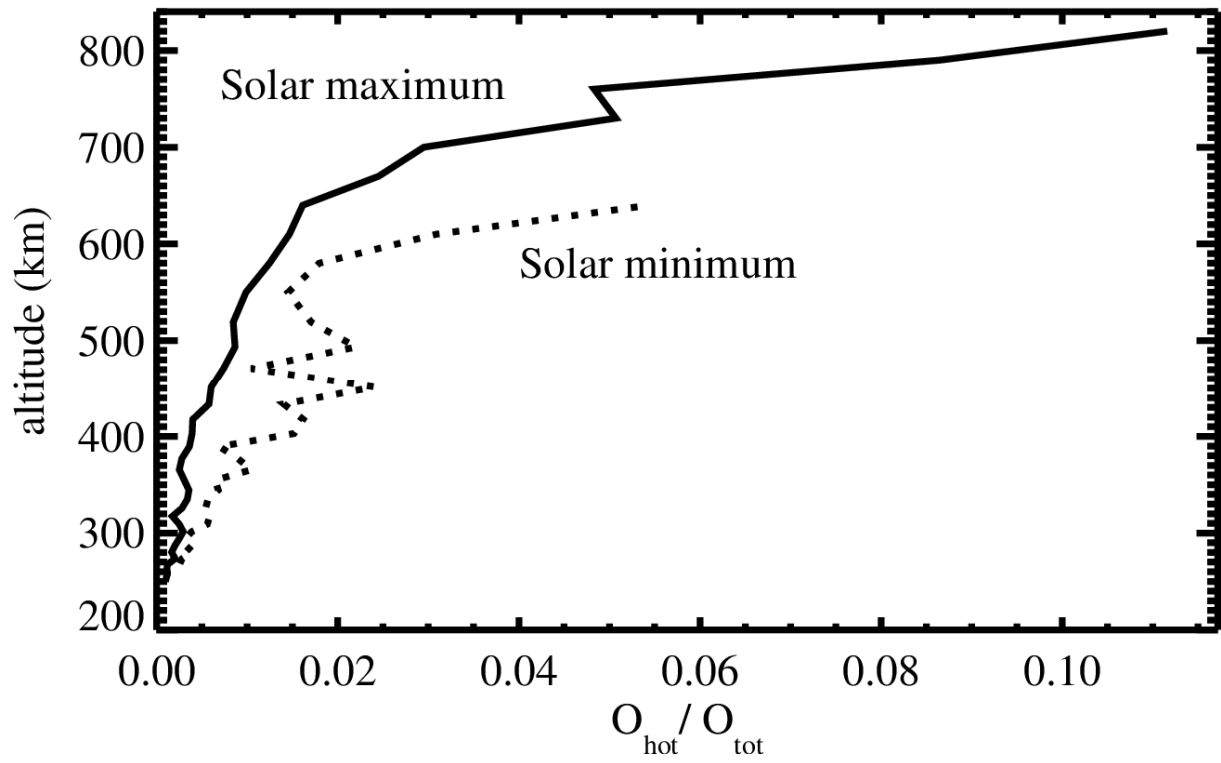
FIGURES.



941

942 **Figure 1.** Oxygen distribution functions computed at 500 and 600 km under high solar
 943 activity. Solid lines represent the Monte Carlo simulation results, while the dotted lines show
 944 the Maxwellian distribution function at the temperature predicted by the MSIS model. The
 945 superthermal population consists in the small disturbances found near $E = 1$ eV.

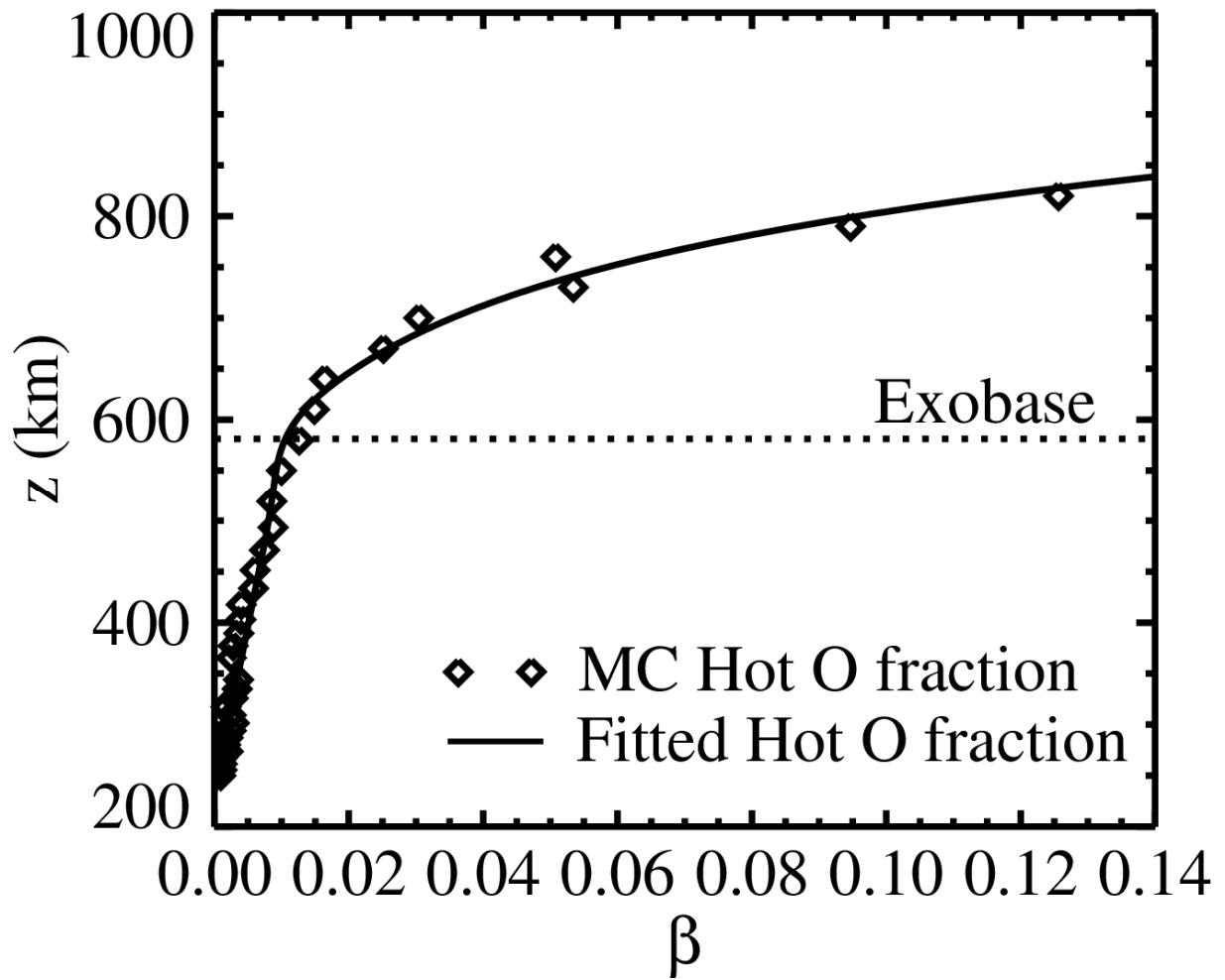
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947

948 **Figure 2.** Ratio of the computed superthermal, hot, oxygen density over the total
 949 oxygen density, computed under solar minimum and solar maximum conditions.

950

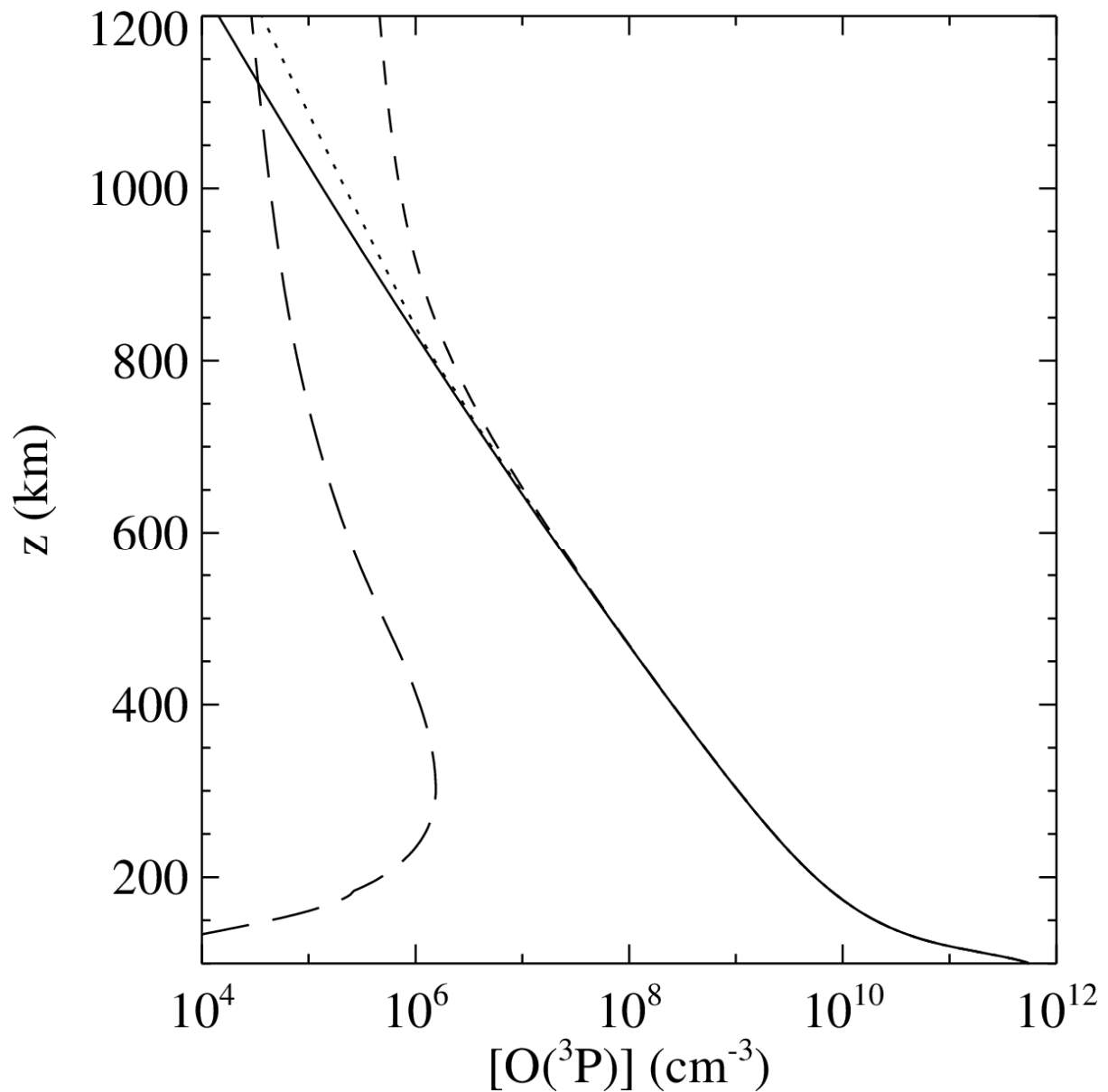


951

952 **Figure 3.** Fraction of superthermal oxygen atoms computed under maximum solar
 953 activity (diamonds) and fitted using the function of equation 2 (solid line). The horizontal
 954 dotted line shows the altitude of the exobase.

955

956



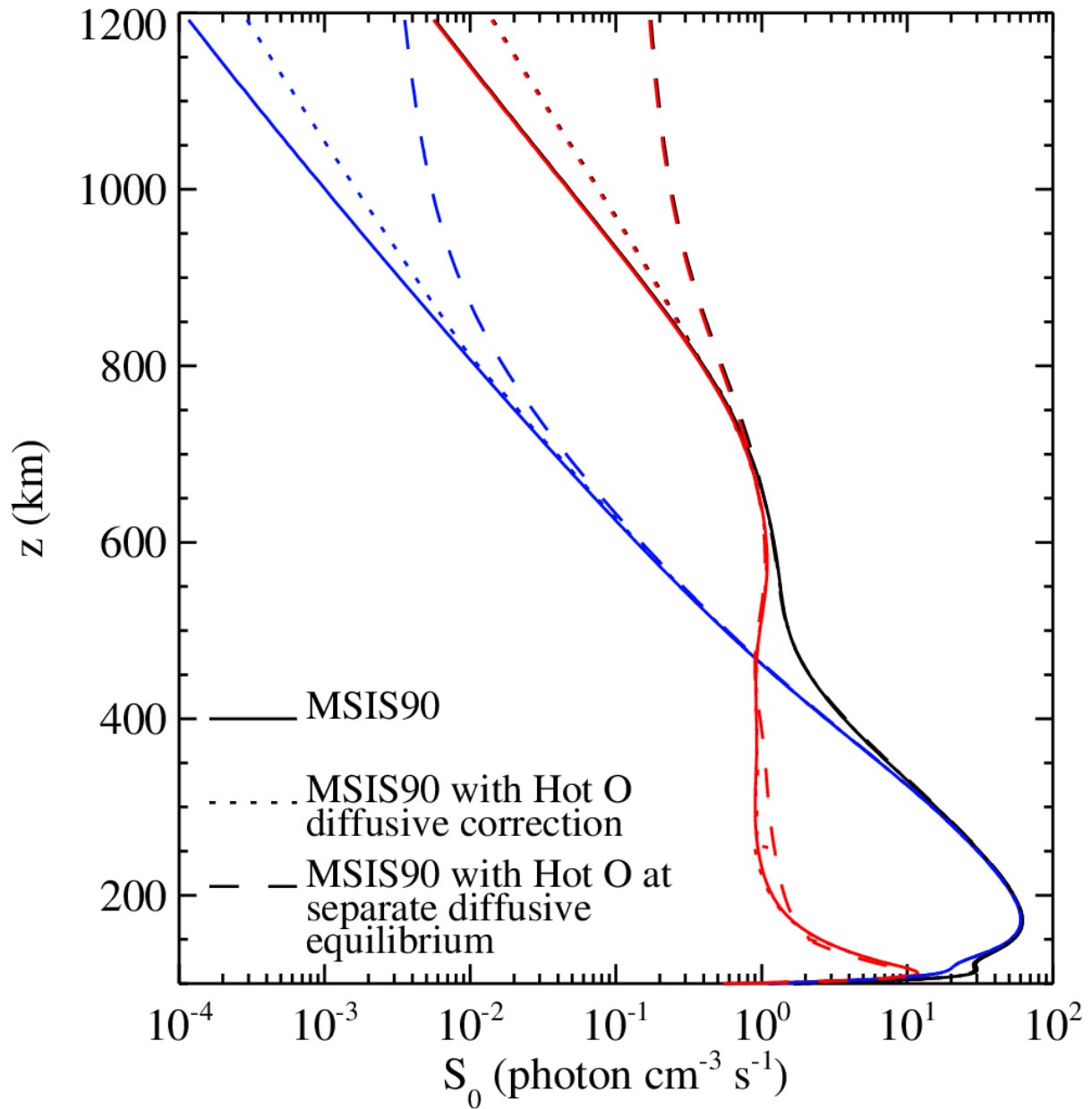
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958

959 **Figure 4.** Oxygen density profile from the MSIS-90 model (solid line), with a
 960 diffusive correction accounting for the presence of a hot oxygen component (dotted line) and
 961 assuming that the thermal and non-thermal oxygen components follow separate diffusive
 962 equilibrium profiles above the exobase (dashed line). The long dashes represent the O⁺
 963 concentration.

964

965

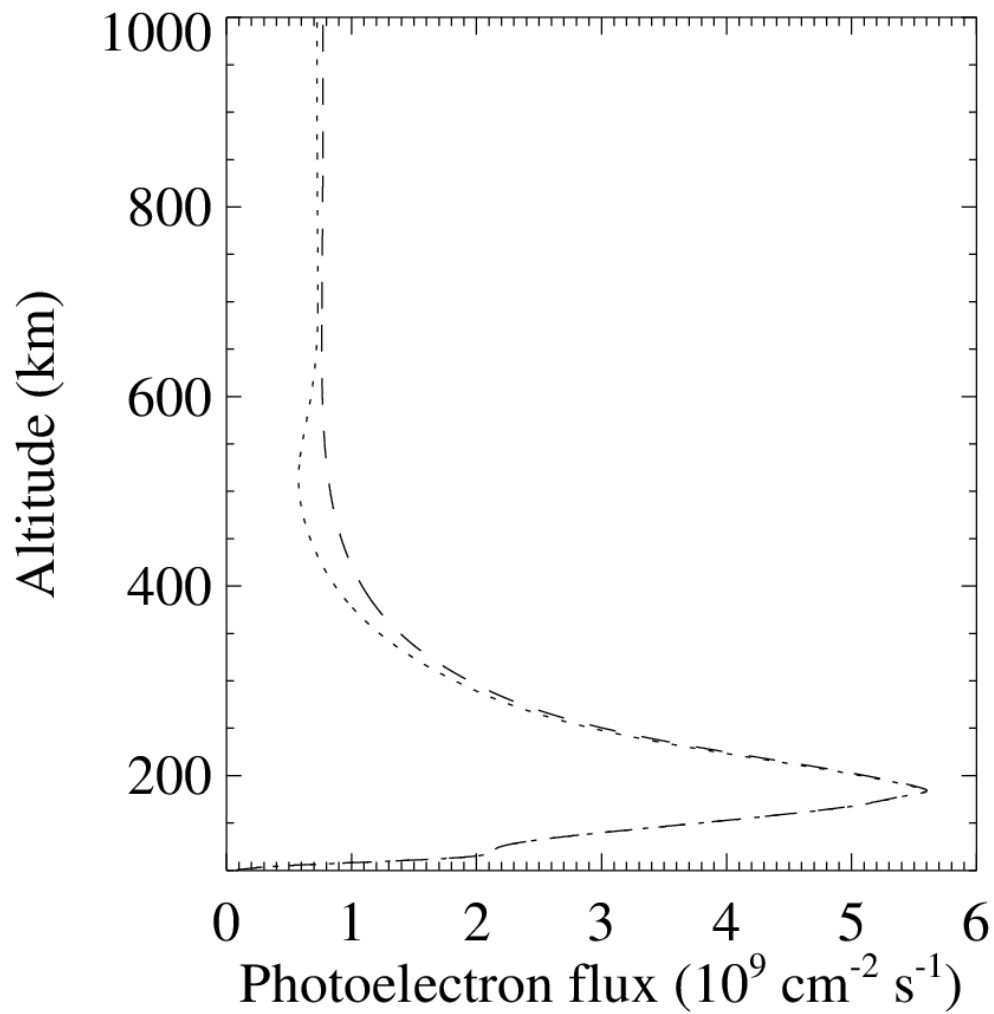


966

967 **Figure 5.** Primary source of 98.9 nm photons computed using the MSIS90
 968 atmosphere (solid line); MSIS90 atmosphere corrected at diffusive equilibrium for the
 969 presence of the hot oxygen population (dotted line), and summing the MSIS90 oxygen density
 970 with the hot O density at separate diffusive equilibrium (dashed line). Blue lines show the
 971 photochemical sources, red lines show the resonance scattering of the solar photons, black
 972 lines show the total. The solar contribution to the primary source becomes dominant around
 973 450 km.

974

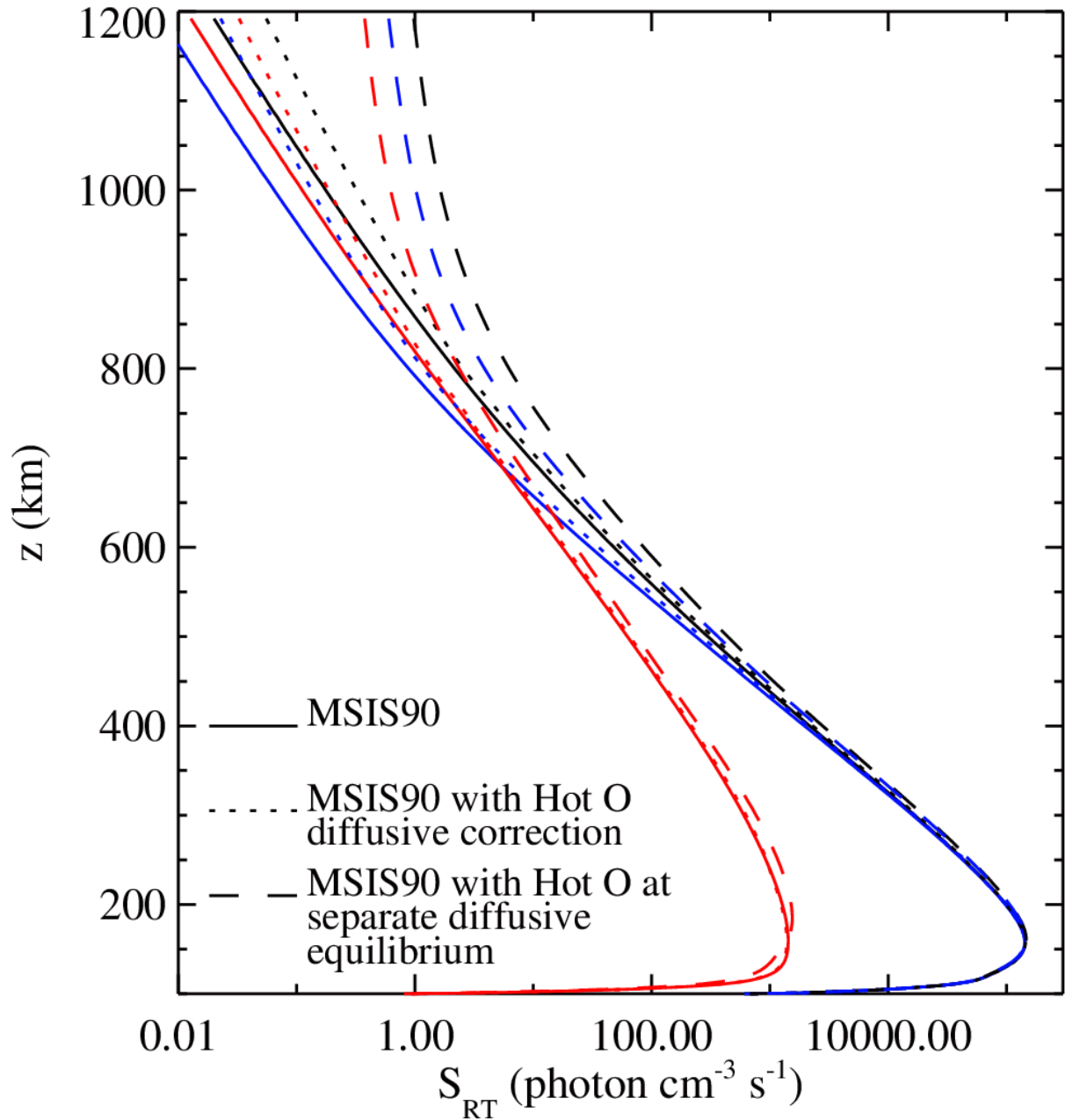
975



976

977 **Figure 6.** Upward (dashed line) and downward (dotted line) photoelectron fluxes
 978 computed using the glow model without any hot oxygen correction to the atmosphere of the
 979 MSIS90 model, for the conditions prevailing when the BEARS sounding rocket was
 980 launched.

981

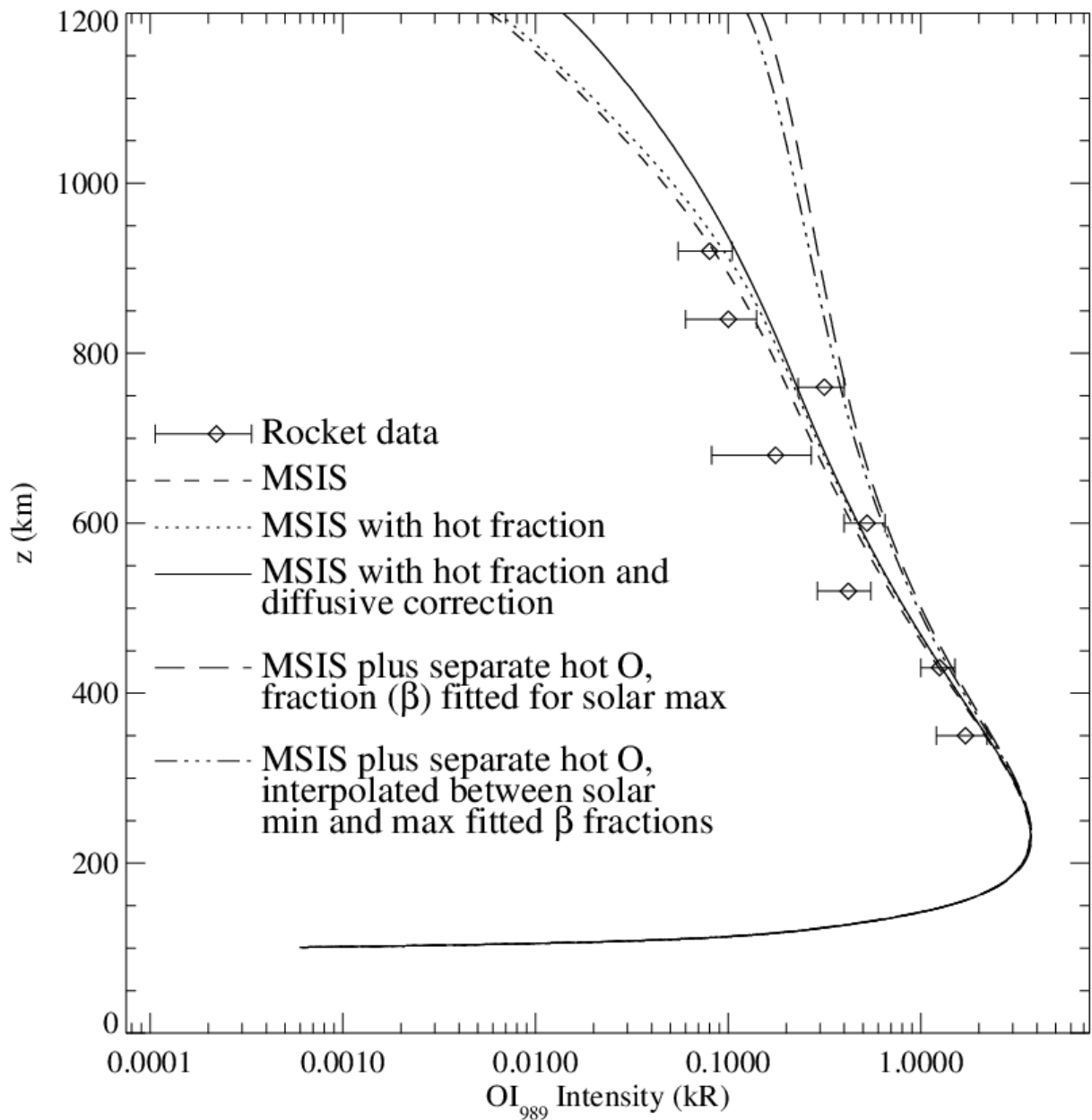


982

983 **Figure 7.** Radiative transfer source function of the OI 98.9 nm multiplet computed
 984 using the MSIS90 atmosphere (solid lines); MSIS90 atmosphere corrected at diffusive
 985 equilibrium for the presence of the hot oxygen population (dotted lines), and summing the
 986 MSIS90 oxygen density with the hot O density at separate diffusive equilibrium (dashed
 987 lines). Blue lines show the photochemical sources, red lines show the resonance scattering of
 988 the solar photons, black lines show the total.

989

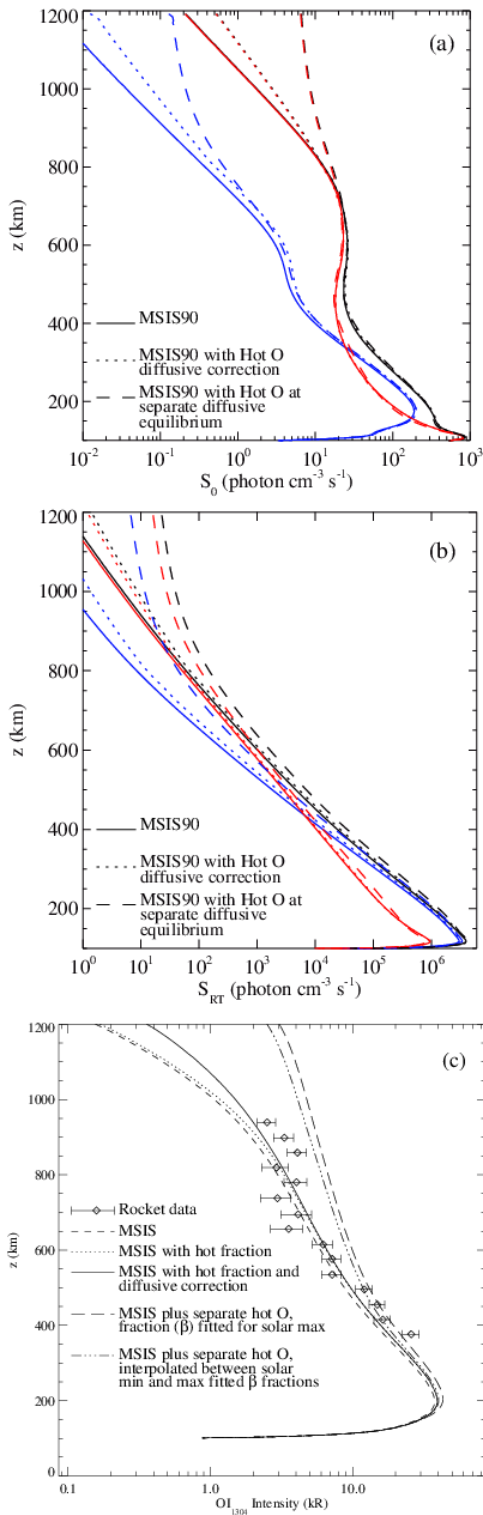
990



991

992 **Figure 8.** OI 98.9 nm multiplet intensity measured during the BEARS sounding rocket
 993 launch (diamonds) and modeled using the MSIS90 atmosphere (short dashes), the MSIS90
 994 oxygen total density with a hot oxygen fraction as computed with the Monte Carlo model
 995 (dotted lines), the MSIS90 oxygen profile corrected at diffusive equilibrium to account for the
 996 hot oxygen population (solid lines), the MSIS90 oxygen density increased by the hot oxygen
 997 population at separate diffusive equilibrium according to the Monte Carlo computation under
 998 solar maximum conditions (long dashes) and the MSIS90 oxygen density increased by the hot
 999 oxygen population interpolated versus $F_{10.7}$ using the solar minimum and solar maximum
 1000 Monte Carlo simulations (dash-dot lines).

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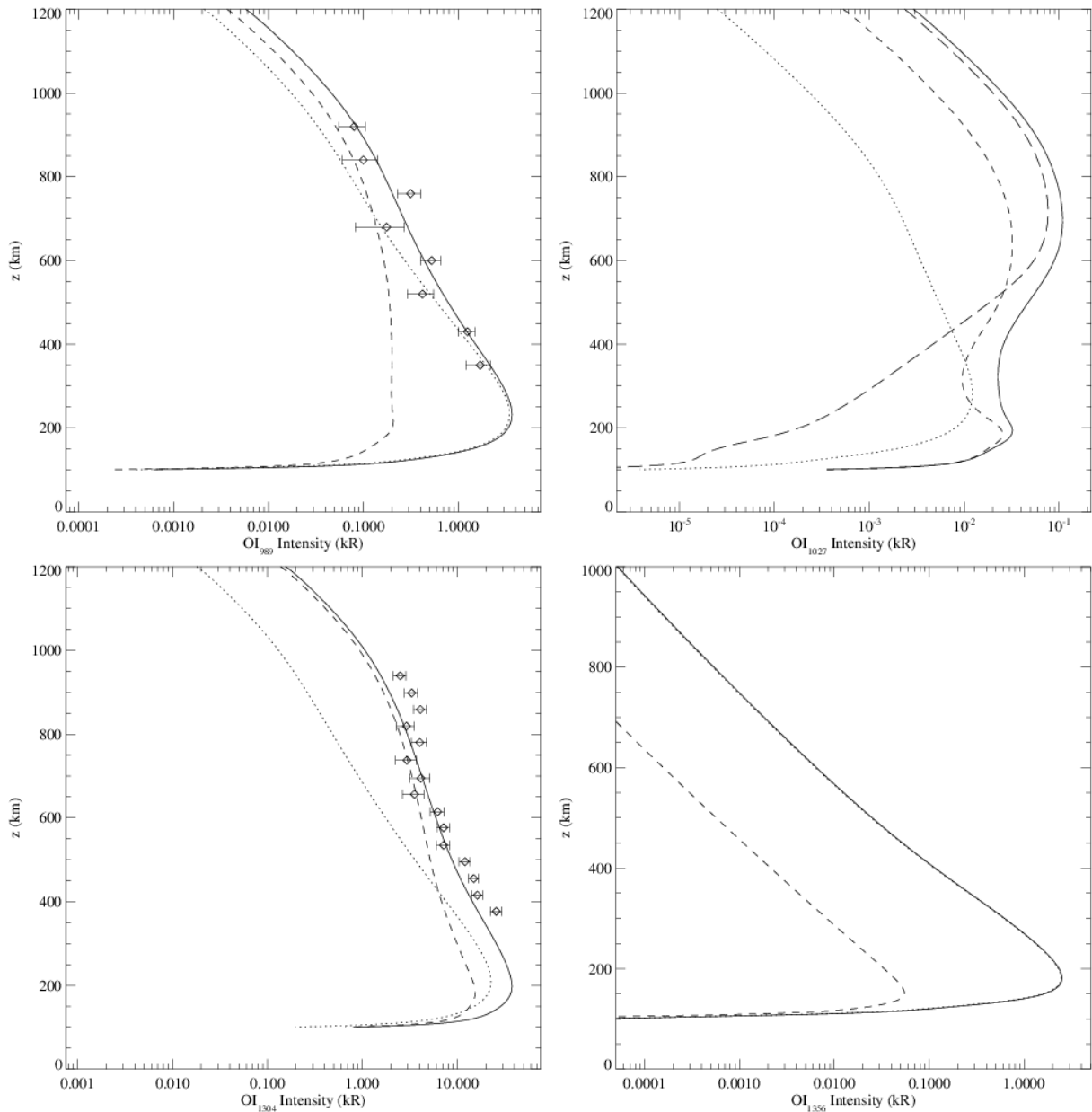


1002

1003 Figure 9. Primary source function (a), optically thick source function (b) and intensity
 1004 (c) of the 130.4 nm oxygen triplet. Plotting conventions are identical to those of figures 5, 7
 1005 and 8.

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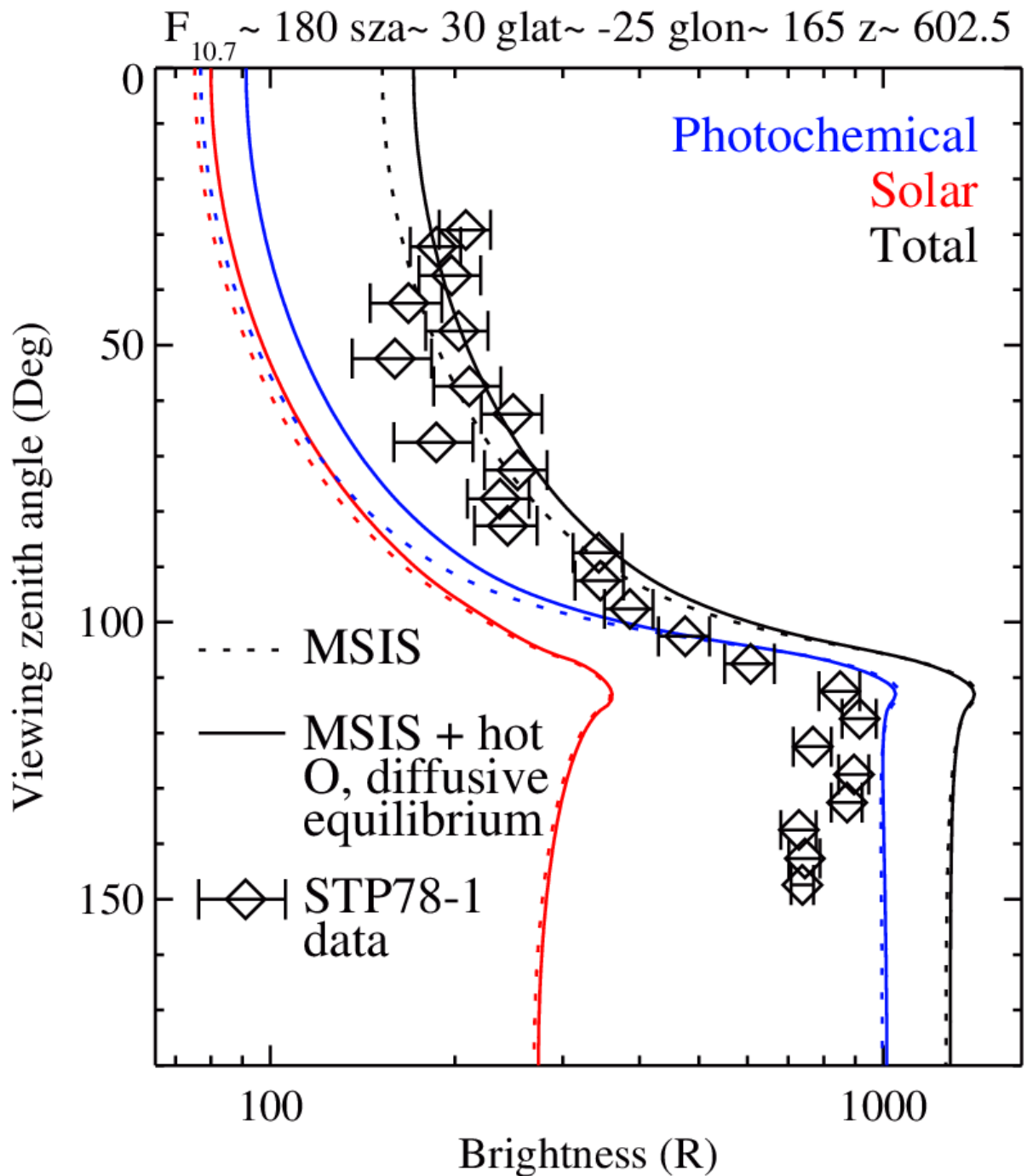
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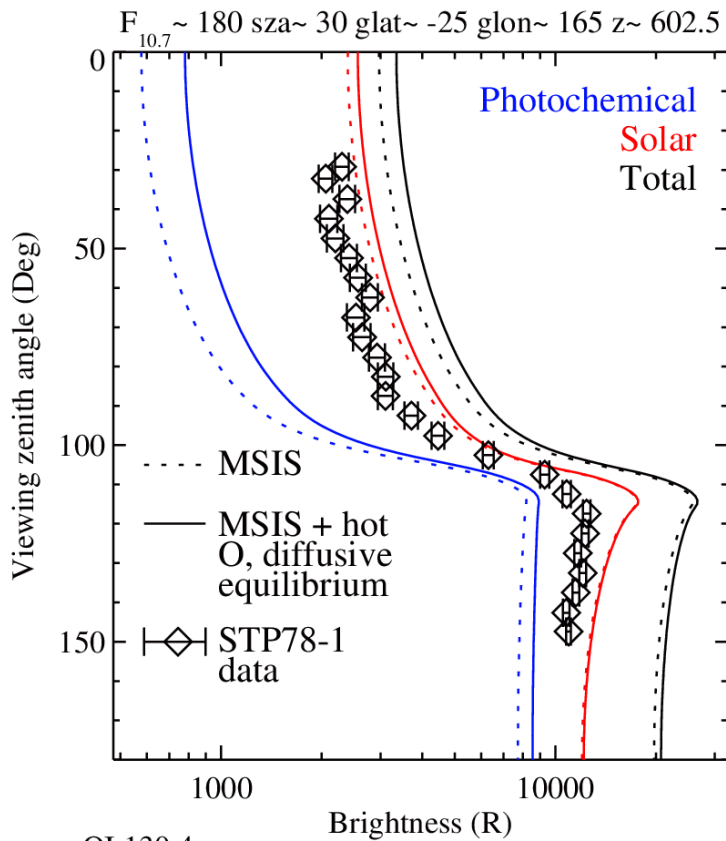
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Figure 10. Vertical profile of the intensity of the OI 98.9, 102.7, 130.4 and 135.6 nm multiplets. The dotted (dash) lines represent the contribution from the photochemical sources (from the scattering of the solar multiplet, respectively). For the 120.7 nm multiplet, the long dashes represent the contribution due to the scattering of the solar Lyman- α . The solid lines represent the total. The diamonds show the BEARS data, obtained at 98.9 and 130.4 nm.

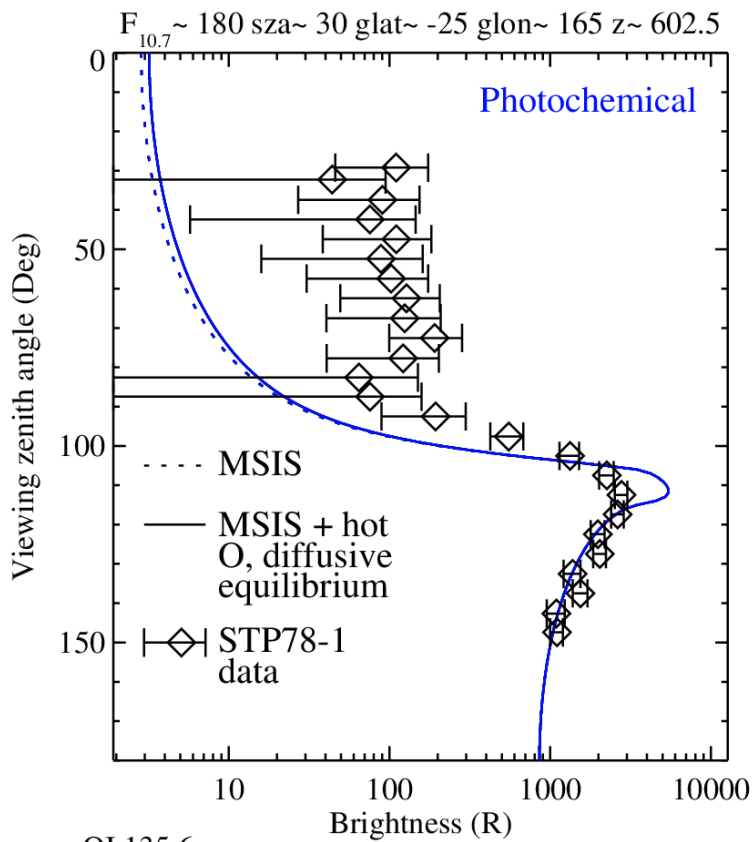


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1015 **Figure 11.** Oxygen 98.9 nm intensity measured by the STP78-1 satellite on March 21
 1016 1979. Data obtained during 10 rotations of the satellite (angular scans) were accumulated,
 1017 according to geographical selection criteria: the latitude of the viewing tangent height was
 1018 located between -20° and -30° , the longitude between 160° and 170° , and the solar zenith
 1019 angle between 20° and 40° . The radiative transfer computed brightness is also shown. Red
 1020 lines represent the contribution of the resonantly scattered solar photons entering radiative
 1021 transfer, the blue lines show the contribution of the photochemical sources of photons, the
 1022 black curves represent the total. Dotted lines show the brightness computed using the MSIS90
 1023 atmosphere. Solid lines show the brightness computed including the hot oxygen population
 1024 and correcting the total density accordingly applying diffusive equilibrium.



OI 130.4 nm



OI 135.6 nm

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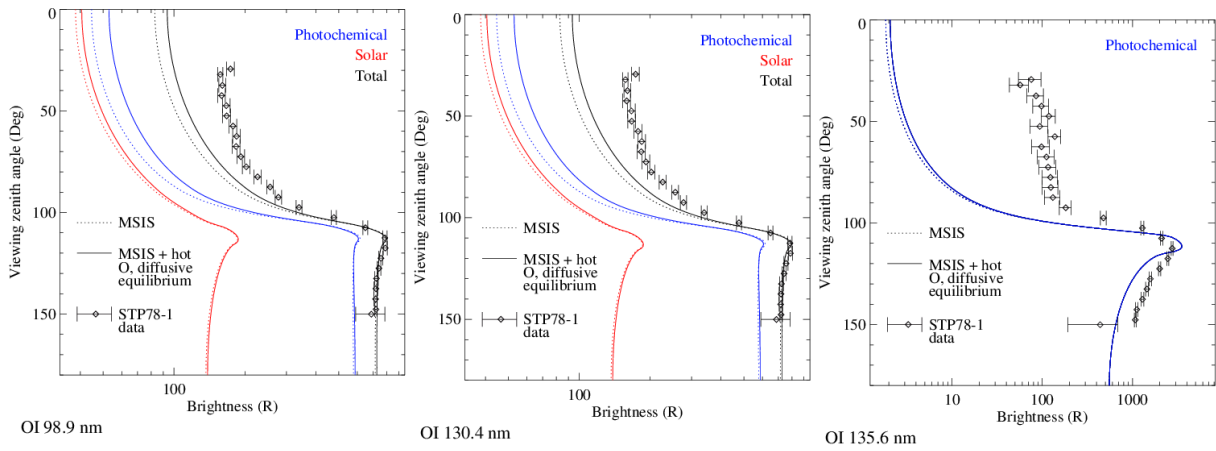
Figure 12. OI 130.4 and 135.6 nm intensities measured by the STP78-1 satellite on 21 March 1979 at daytime. Data obtained during 10 rotations of the satellite (angular scans) were

1028 accumulated, according to geographical selection criteria: the latitude of the viewing tangent
1029 height was located between -20° and -30° , the longitude between 160° and 170° , and the solar
1030 zenith angle between 20° and 40° . The 130.4 nm radiative transfer computed brightness is
1031 also shown (upper panel). Red lines represent the contribution of the resonantly scattered
1032 solar photons entering radiative transfer, the blue lines show the contribution of the
1033 photochemical sources of photons, the black curves represent the total. Dotted lines show the
1034 brightness computed using the MSIS90 atmosphere. Solid lines show the brightness computed
1035 including the hot oxygen population and correcting the total density accordingly applying
1036 diffusive equilibrium. The simulated OI 135.6 nm intensity is also shown (lower panel)

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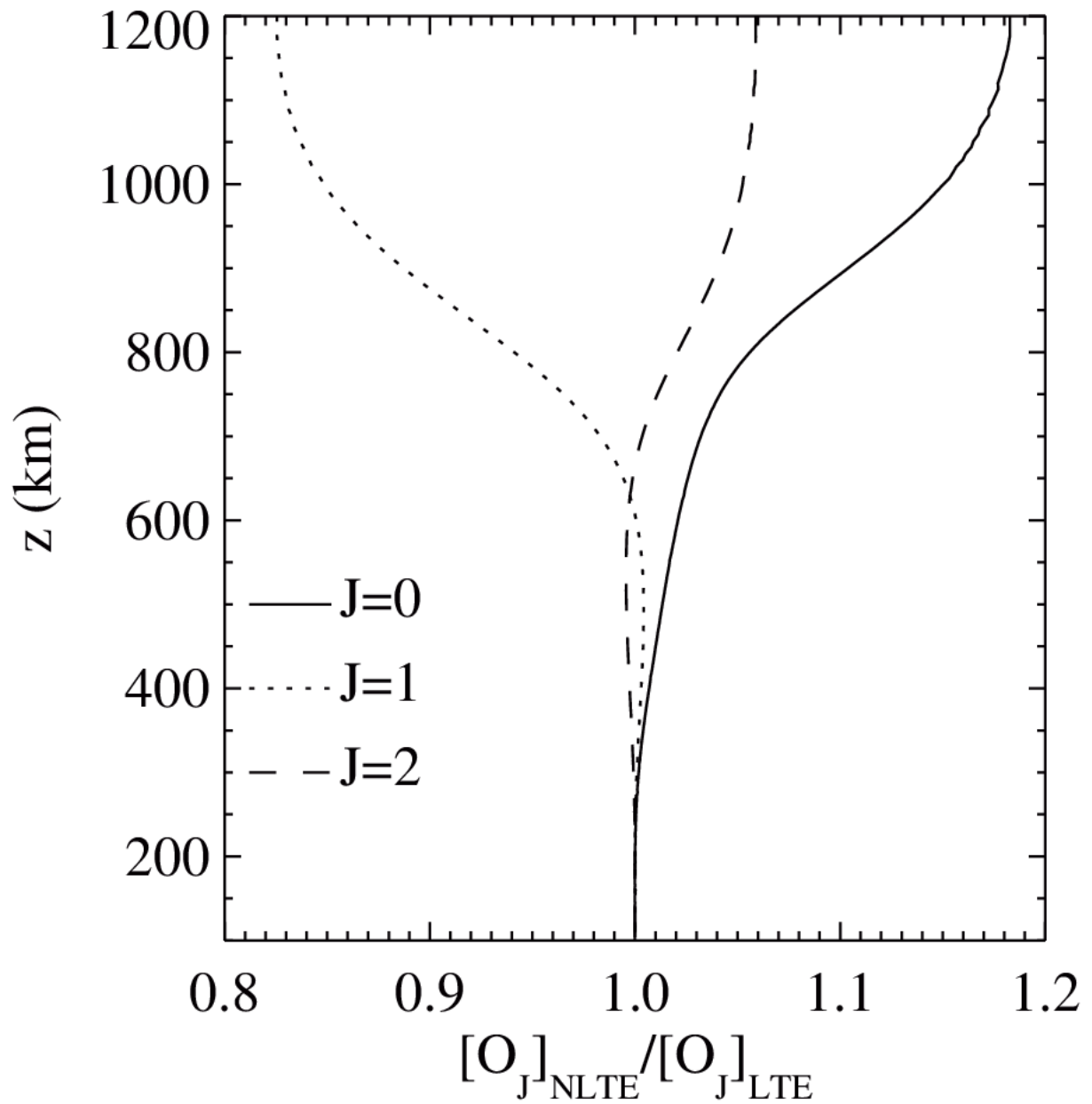
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Figure 13. Dayglow intensity measured by the STP78-1 satellite on 21 March 1979 at 98.9 nm (left panel), 130.4 nm (middle panel) and 135.6 nm (right panel), average on two orbits. The average modeling of these emissions is also shown (black curves) detailing the contributions of photochemical origin (blue curves) and solar origin (red curves). Dotted lines represent simulations realized using the MSIS90 atmosphere, solid curves account for the presence of the superthermal oxygen population assumed and correcting the total density accordingly applying diffusive equilibrium. A scaling factor is applied to the modeled total intensities to fit the observed brightness in a least squares sense (for look zenith angles larger than 100°).

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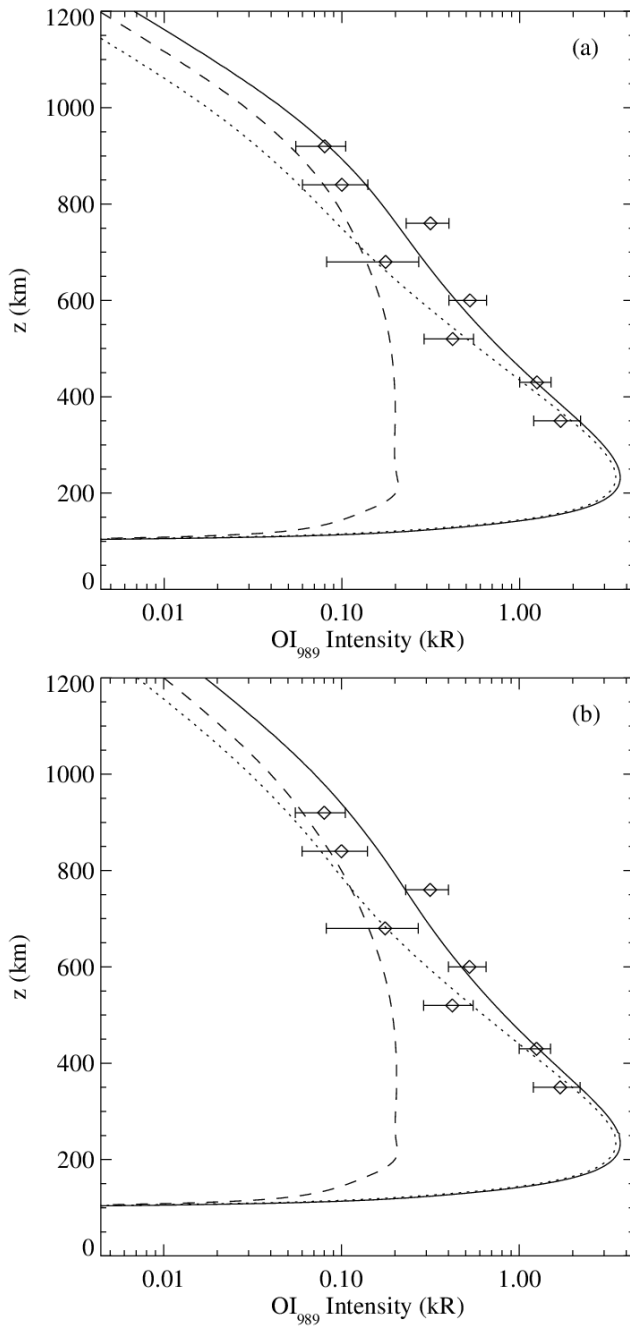
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1053 **Figure 14.** Ratio between the non-LTE and LTE populations of the ground state
 1054 oxygen sublevels, obtained assuming the atmosphere is given by the MSIS model, the
 1055 ionosphere by the IRI model and accounting for the effect of UV radiative transfer.

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1057

1058 **Figure 15.** OI 98.9 nm intensity profile computed under the conditions of the BEARS
 1059 sounding rocket launch applying an NLTE population of the ground state oxygen atoms
 1060 consistent with the computed UV radiation field. The MSIS atmosphere is used in panel a,
 1061 while atomic oxygen has a nonthermal energy distribution in panel b and the diffusive density
 1062 profile is corrected accordingly. Dotted (dashed) lines represent the intensity resulting from
 1063 the photochemical sources of radiation (from the scattering of the solar 98.9 multiplet,
 1064 respectively) and the solid line is the total. The diamonds represent the BEARS observation.

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