Evaluation of a Plasma Insulin Model for Glycaemic Control in Intensive Care

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Abstract— Hyperglycaemia is a common complication in the intensive care unit (ICU), and is associated with worsened outcomes. Model-based insulin therapy protocols have been shown to be safe and effective in intensive care. Such protocols rely on correct modeling of glucose-insulin dynamics. In particular, model-based control typically relies on insulin sensitivity (SI) metrics, which are heavily influenced by plasma insulin kinetics. Plasma insulin samples were taken as part of a sepsis study and compared to modeled plasma insulin. Samples were taken in septic patients at the onset of glycaemic control, and once the patient consistently met less than two of the SIRs criteria that help define sepsis. It was found that inter-patient insulin dynamics were more variable at the onset of insulin therapy, than in the later samples after sepsis abated. Overall, the model adequately captured crucial steady state dynamics. Transient dynamics in plasma insulin following a bolus were faster than modeled, indicating greater clearance of insulin than currently modeled.

I. INTRODUCTION

Stress-induced hyperglycaemia is a common complication in the intensive care unit (ICU), even in patients with no history of diabetes [1-3], and is associated with increased mortality and morbidity [1, 4-9]. Insulin therapy can be used to treat hyperglycaemia, but can result in hypoglycaemia, which is associated with increased mortality [10], and results from excessive intra- and inter- patient variability. STAR is a physiological model-based insulin therapy protocol that has proven safe and effective in intensive care [11, 12].

Model-based protocols rely on the inherent accuracy and usability of their models [13]. Key aspects of a glucoseinsulin system compartment model, when used in control, are the plasma and peripheral insulin concentrations. Insulin mediates glucose uptake into body cells, where it is stored or used. Insulin is cleared via liver and kidney clearance, as well as diffusion to interstitial fluid and cellular degradation [14]. Within the STAR model-based framework, an insulin sensitivity parameter is used to describe the time varying and

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patient-specific metabolic effect of insulin on insulinmediated glucose uptake [15]. Thus, accuracy of plasma insulin dynamics has a significant impact of identified parameters such as insulin sensitivity (SI), and, in turn, on the recommended dosing.

In particular, insulin sensitivity is used alongside stochastic modelling methods to predict future changes in blood glucose for a given dose [11, 16]. Thus, reduction of error in modelled plasma insulin can reduce SI variability and further increase the accuracy and utility of the parameter for glycaemic control. This paper evaluates the accuracy of insulin system models for intravenous (IV) insulin administration. Plasma insulin blood samples from a study of sepsis were used to evaluate these models.

II. PATIENTS AND METHODS

A. Sepsis Study Patients

19 patients enrolled in a prospective clinical trial studying sepsis at the Christchurch Hospital Intensive Care Unit (ICU) each had an additional two sets of blood samples assayed for insulin and C-peptide. Patients received insulin therapy (Actrapid, Actrapid, Novo Nordisk, Denmark) under the SPRINT protocol [17], a precursor to the STAR protocol. Patients were included in the study if they met all of the following criteria:

- Age \geq 16 years
- Expected survival \geq 72hrs
- Expected ICU length of stay \geq 48hrs
- Entry to the SPRINT glycaemic control protocol (2 sequential BG measurements ≥ 8mmol/L)
- Suspected sepsis <u>or</u> SIRS score ≥ 3

Patient characteristics are in Table I.

TABLE I. SUMMARY OF SEPSIS STUDY PATIENT CHARACTERISTICS. DATA ARE SHOW AS MEDIAN [IQR] WHERE APPROPRIATE.

N	19
Age (years)	68 [57-75]
Gender (M/F)	10/9
APACHE II score	22.0 [18.3-26.8]
Confirmed Sepsis	79%
Hospital mortality (L/D)	(13/6)
Diagnosed T2DM	3

Patients received treatment for suspected sepsis with antibiotics. No type 1 diabetic patients were included. This study was approved by the Upper South Regional Ethics Committee, New Zealand.

One additional sepsis patient admitted to the ICU after pancreatoduodenectomy (Whipple procedure) was excluded from this analysis as this procedure involved removing a section of the pancreas and may thus have affected insulin secretion beyond model assumptions. Two other patients each only had one set of blood samples assayed as one was discharged from the ICU within 48 hours and the other did not meet the criteria for the second set to be taken.

Each patient had two sets of blood samples taken, where each set consisted of 4 separate samples. The first set of samples (Sample Set 1) was taken at the commencement of the SPRINT protocol [17]. The second set (Sample Set 2) was taken

when the patient consistently met less than 2 of the SIRS criteria (Systemic Inflammatory Response Syndrome) [18].

The first sample of each set was taken immediately prior to bolus delivery of insulin as required by SPRINT (t = -1 min). The remaining three samples were taken at t = 10, 40, and 60 minutes. Plasma was separated from the blood samples and frozen for subsequent analysis.

Insulin concentrations were determined using immunometric assays (Elecsys 2010, Roche Diagnostics, Germany). The reported coefficients of variation (CV_A) for the insulin assays were 3.8% [19, 20].

B. ICING Model

For this study, the clinically validated Intensive Control Insulin-Nutrition-Glucose (ICING) model of the glucoseinsulin system was used [11, 15] to describe blood glucose, G, plasma insulin, I, and peripheral insulin, Q, concentrations:

$$\dot{G} = -p_G G(t) - S_I G(t) \frac{Q(t)}{1 + \alpha_G Q(t)} + \frac{P(t) + PN(t)}{\frac{V_g}{V_g}} + \frac{EGP_b - CNS}{\frac{V}{V_g}}$$
(1)

$$\dot{I} = -\frac{n_L I(t)}{1 + \alpha_I I(t)} - n_K I(t) - n_I (I(t) - Q(t)) + \frac{u_{ex}^g(t)}{V_I} + (1 - x_L) u_{en}$$
(2)

$$\dot{Q} = n_I \left(I(t) - Q(t) \right) - n_C \frac{Q(t)}{1 + \alpha_G Q(t)}$$
(3)

$$u_{en} = max(16.67, \frac{14*G}{1+0.0147*G} - 41)$$
(4)

Where *P* and PN are glucose appearance from enteral and parenteral routes respectively. u_{ex} is insulin introduced via IV bolus or infusion, and u_{en} is pancreatic insulin secretion. Further parameter descriptions and values can be found in Table 2.

TABLE II. ICING MODEL PARAMETER DESCRIPTION AND VALUES
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	Variable Description	Value and/or
	Variable Description	units
p_{G}	Endogenous glucose clearance	0.006 min ⁻¹
S_I	Insulin sensitivity*	L/mU/min
α_G	Insulin-mediated glucose uptake saturation	1/65 L/mU
EGP_b	Basal endogenous glucose production	1.16 mmol/min
CNS	Central nervous system glucose uptake	0.3 mmol/min
V_{q}	Distribution volume for glucose	13.3 L
\tilde{n}_L	Liver clearance of insulin	0.158 min ⁻¹
n_{κ}	Kidney clearance of insulin	0.054 min ⁻¹
n_I	$Plasma \leftrightarrow interstitial insulin diffusion$	0.0075 min^{-1}
n_c	Peripheral degradation of insulin	0.0075 min^{-1}
x_L	First pass hepatic clearance of insulin	0.67
α_I	Saturation on liver clearance of insulin	1.7x10 ⁻³ L/mU
V_I	Distribution volume for insulin	4.0 L

*Insulin sensitivity is fit on a time varying, per-patient basis from measured BG data.

C. Analysis of model accuracy

Model error was analyzed in terms of the difference between measured and modeled insulin (vertical error) and perpendicular error. Vertical error is defined:

$$E_{vert} = I_{assay} - I_{modelled} \tag{5}$$

Perpendicular error is the smallest distance between a data point and the model, and better takes into account timing (horizontal) error in cases where the model gradient is very high. Perpendicular error is minimized a total least squares approach [21]. Perpendicular error is defined:

$$E_{perp} = min(\sqrt{\left(I_{assay} - I_{modelled,tn+i}\right)^2 + \left(t_{assay} - t_{n+i}\right)^2}$$
(6)

Where t_n is the assay time, and t_{n+i} is the time corresponding to some nearby model solution. For each set of 4 samples the RMS vertical and perpendicular error was calculated.

To test sensitivity of insulin dynamics to clearance parameter values n_L , n_K , n_I and n_C were multiplied by a constant, ξ , which was allowed to range between 0.1 and 3.0. The intention was to find the ξ which resulted in the best model fit with minimized perpendicular and vertical error.

III. RESULTS AND DISCUSSION

Measured sample results are shown in Table III. While plasma insulin was not significantly different (p=0.11), plasma C-peptide concentration was much higher across Sample set 1 (p<<0.001, Table III), indicating that insulin secretion was much higher in these samples, or clearance of C-peptide was lower but insulin clearance was not. This was also true when comparing C-peptide concentration across the first sample of each sample set (2225 [980-2735] vs. 799 [478 – 1000] pmol/L, p=0.002), indicating that steady-state pre-insulin-bolus insulin secretion is higher when patients are septic.

	Time since TGC onset [hrs]	Insulin [mU/L]	C-peptide concentration [pmol/L]
Sample set 1	-	24.0 [10.4 - 52.7]	2050 [993 - 2770]
Sample set 2	84 [77-142]	20.9 [7.9 – 42.9]	758[487 - 1052]
All	-	20.9 [8.6 - 51.4]	1270 [558 - 2345]

Plasma insulin concentrations and model solution are shown in Figure I. It can be seen that in most cases the initial insulin clearance is faster than currently modeled. This result is also seen in Table II, where $\xi > I$ resulted in improved model fit across most of the samples. Across both initial and follow up sampling groups, the median [IQR] value of ξ that was required to optimize model fit was 2.1 [1.3 - 2.7], suggesting that generalized insulin clearance is twice as fast as the value originally modeled, and thus that one or more of the clearance dynamics is significantly faster than currently modeled.

In the original formulation of ICING model parameters, Lin et al used a combination of known C-peptide dynamics, and grid search over a likely physiological parameter range [15]. The grid search selected values such that the difference between modeled and measured BG was minimized. It is thus likely that, while these insulin clearance parameters are within a physiologically likely range, insulin dynamics may in reality be faster.

Model error was higher (p \leq 0.06) in the first set of blood samples, corresponding to the onset of tight glycaemic control in a septic patient, reflecting the higher inter-patient variability seen in plasma insulin clearance at this stage in a patient's infection state. Table III indicates that insulin was cleared faster at the time the first set of samples was taken (higher ξ). C-peptide results also suggest higher insulin secretion in the septic sample cohort, reflecting relative insulin resistance. This result seems to indicate that insulin dynamics are disease state dependant in their value.

The second set of samples were taken when a patient consistently met less than two of the SIRS criteria, reflecting improved patient condition. Lower model error in this set of samples indicates that in less ill patients insulin dynamics are more consistent between patients, and are thus more easily modeled. ξ was also lower, indicating that insulin was cleared more slowly than in the first sample sets.

Model error in general was higher in the first half hour following the insulin bolus, where plasma insulin was more dynamic. The vertical error is greater than the 3.8% error associated with the immunoassay procedure. However, the difference between vertical and perpendicular error is high, indicating that slight offsets in time result in significant differences in insulin concentration due to large gradients in the modeled trajectory around a bolus. In this situation, perpendicular error gives a more informative reference for model error, as timing offsets between model and samples in samples are clinical reality due to a number of factors, such as timing differences in sampling and therapy.

In the case of the STAR protocol, the ability of the model to capture the steady state dynamics is more important than the ability to capture the first transient insulin peak following an IV insulin bolus. This priority occurs because, while transient plasma insulin contributes to initial BG drop, final BG at the end of an hour is more dependent on the steady state plasma insulin concentration. This final BG value is what drives the control protocol, and measured response based on infrequent BG measurements is more important than the transient trajectory in between those measurements.



FIGURE I. ASSAY VALUES AND MODELED RESPONSE ACROSS ALL PATIENTS AND SAMPLING GROUPS.

	ζ=1.0		Minimum error		
	RMS Vertical error [mU/L]	$\frac{RMS}{Perpendicular}$ $\frac{error}{\sqrt{[mU]^2 + [min]^2}}$	ξ	RMS Vertical error	RMS Perpendicular error
Sample set 1	202 [116.2 - 454.3]	24.8 [18.9 - 71.7]	2.4 [1.1 – 2.7]	158.6 [64.6 -318.9]	16.0 [11.7 - 31.2]
Sample set 2	87.4 [101.1 -128.3]	18.6 [13.7 – 33.2]	1.8 [1.3 – 2.3]	62.1 [29.6 - 128.6]	11.3 [4.7 – 18.0]
All	123.7 [89.2 – 234.7]	22.7 [15.4 - 37.9]	2.1 [1.3 – 2.7]	97.1 [43.5 – 192.5]	13.3 [9.3 – 19.8]

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2.

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4.

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Blood samples were to be taken at 0, 10, 40, and 60 minutes after an IV insulin bolus, but in some cases clinical workload and/or repeated sampling requirements resulted in a delay. Figure I shows that this sampling regime is insufficient to capture the initial data peak. A sampling regime of 0, 5, 10, 20 and 60 minutes would better capture initial insulin dynamics.

In general, Figure I shows that the insulin kinetics of the ICING model fall within what might be clinically observed. Across most samples, insulin clearance was higher than currently modeled, and insulin clearance was highest at the onset of glycaemic control when the patients were most unwell. Inter- and intra- patient variability in the rate of insulin clearance (Table IV) reflects previously observed variability in human glucose-insulin physiology [22], and the need for adaptive control methods [23].

These results suggest that the insulin clearances within the model should be made faster. However, the ICING model must be generalisable to all patient cohorts across the ICU, unless clear condition or patient specific differences can be consistently noted at the bedside. Further work over other ICU patient cohorts and underlying disease conditions is required to develop more condition and time dependant modeling of clearance parameters. Overall, crucial steady state plasma insulin levels following an IV insulin bolus are captured.

IV. CONCLUSION

Plasma insulin samples were taken in sepsis patients at glycaemic control onset, and once the patient met less than 2 of the SIRs criteria, a median of 84 hours later. The ICING model's insulin kinetic models were evaluated against these samples, and it was found that in general plasma insulin clearances were faster than currently modeled. Inter-patient variability was higher at the onset of glycaemic control. Model fitting error and insulin clearance was lower in the second set of samples. C-peptide concentration was higher in the first set of samples, with similar plasma insulin concentrations, suggesting that insulin secretion was higher when the patient was more ill, and relative insulin sensitivity was lower. These overall results suggest that insulin kinetics are condition dependant. Thus, it is critical to develop greater data sets and delineate the variation across common or particularly critical, such as sepsis, patient conditions. Capturing this variability in the insulin dynamics modeling will ensure that all other, already well-proven models remain fully generalisable.

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