

1 **Underground pumped storage hydroelectricity using abandoned works (deep mines or**
2 **open pits) and the impact on groundwater flow**

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19 **Abstract**

20 Underground pumped storage hydroelectricity (UPSH) plants using open-pit or deep
21 mines can be used in flat regions to store the excess of electricity produced during low-
22 demand energy periods. It is essential to consider the interaction between UPSH plants and
23 the surrounding geological media. There has been little work on the assessment of associated
24 groundwater flow impacts. The impacts on groundwater flow are determined numerically
25 using a simplified numerical model which is assumed to be representative of open-pit and
26 deep mines. The main impact consists of oscillation of the piezometric head, and its
27 magnitude depends on the characteristics of the aquifer/geological medium, the mine and
28 the pumping and injection intervals. If an average piezometric head is considered, it drops
29 at early times after the start of the UPSH plant activity and then recovers progressively. The
30 most favorable hydrogeological conditions to minimize impacts are evaluated by comparing
31 several scenarios. The impact magnitude will be lower in geological media with low
32 hydraulic diffusivity. However, the parameter that plays the more important role is the
33 volume of water stored in the mine. Its variation modifies considerably the groundwater flow
34 impacts. Finally, the problem is studied analytically and some solutions are proposed to
35 approximate the impacts, allowing a quick screening of favorable locations for future UPSH
36 plants.

37

38 **Keywords:** Energy Storage, Mining, Hydroelectricity, Numerical modeling

39 **1. Introduction**

40

41 The best option to increase the efficiency of energy plants consists of adjusting the
42 energy generated to the demand. Nuclear energy plants produce a relatively constant energy
43 amount as a function of time, while wind and solar technologies produce energy during time
44 intervals that do not specifically correspond to consumption periods. Pumped storage
45 hydroelectricity (PSH) plants are an alternative way to increase efficiency because they store
46 energy by using the excess of produced electricity. PSH plants consist of two reservoirs of
47 water located at different heights (Steffen, 2012). During periods of low demand, the excess
48 of electricity is used to pump water from the lower reservoir into the upper reservoir, thus
49 transforming electric power into potential energy. Afterwards, during peak demand periods,
50 water is released from the upper to the lower reservoir to generate electricity (Hadjipaschalis
51 et al., 2009, Alvarado et al., 2015). More than 70% of the excess energy generated by
52 conventional plants can be reused via PSH plants (Chen et al., 2009). PSH plants cannot be
53 constructed in flat areas and are commonly placed in mountainous regions. Their
54 construction often generates controversy due to the effects on the land use, landscape,
55 vegetation and wildlife caused by the reservoirs (Wong, 1996). These are not negligible
56 because of the large dimensions of the considered reservoirs, which are usually large to
57 increase the amount of stored energy.

58 Underground pumped storage hydroelectricity (UPSH) could be an alternative means
59 of increasing the energy storage capacity in flat areas where the absence of mountains does
60 not allow for the construction of PSH plants (reservoirs must be located at different heights
61 requiring location in mountainous regions). UPSH plants consist of two reservoirs, with the
62 upper one located at the surface or possibly at shallow depth underground while the lower
63 one is underground. These plants provide three main benefits: (1) more sites can be
64 considered in comparison with PSH plants (Meyer, 2013), (2) landscape impacts are smaller

65 than those of PSH plants, and (3) the head difference between reservoirs is usually higher
66 than in PSH plants; therefore, smaller reservoirs can generate the same amount of energy
67 (Uddin and Asce, 2003). Underground reservoirs can be excavated or can be constructed
68 using abandoned cavities such as old deep mines or open pits. The former possibility has
69 been adopted to increase the storage capacity of lower lakes at some PSH plants (Madlener
70 and Specht, 2013) and allows full isolation of the lower reservoir mitigating the interaction
71 between the used water and the underground environment. While the reuse of abandoned
72 works (deep mines or open pits) is cheaper, the impacts on groundwater can be a problem.
73 Consequently, the interaction between UPSH plants and local aquifers must be considered
74 to determine the main impacts of such a system. Any detailed studies on this interaction have
75 not been published before.

76 In theory, two impacts are expected from the interaction between UPSH plants and
77 groundwater: (1) alteration of the piezometric head distribution in the surrounding aquifer,
78 and (2) modification of the chemical composition of the groundwater. This paper is focused
79 only on the groundwater quantity issue (1). Piezometric head modifications may have
80 negative consequences. Lowering of heads can cause the drying of wells and springs, death
81 of phreatophytes, seawater intrusion in coastal aquifers and ground subsidence (Pujades et
82 al., 2012). Rising water levels may provoke soil salinization, flooding of building basements
83 (Paris et al., 2010), water logging, mobilisation of contaminants contained in the unsaturated
84 zone and numerous geotechnical problems such as a reduction of the bearing capacity of
85 shallow foundations, the expansion of heavily compacted fills under foundation structures
86 or the settlement of poorly compacted fills upon wetting (Marinos and Kavvas, 1997).
87 Therefore, it is of paramount importance to determine the following: (1) what are the main
88 impacts caused by UPSH plants on the groundwater flow, and (2) what is the role of the
89 aquifer and mine characteristics on the impacts? Understanding these will help us to select
90 the best places to locate future UPSH plants. In the same way, it will be very useful to provide

91 simple analytical solutions for rapidly estimating the main trend of possible impacts. This
92 will allow for screening many potential UPSH locations in a short time. After this first
93 screening, detailed numerical models will still be necessary to describe the details of a
94 planned UPSH plant and its impacts before making the definitive choice and beginning
95 construction.

96 Numerical modelling is used for studying several scenarios varying (1) the
97 hydrogeological parameters of the aquifer, (2) the properties of the underground reservoir,
98 (3) the boundary conditions (BCs), and (4) the characteristic time periods when the water is
99 pumped or released. Simulation of a UPSH plant based on real curves of electricity price is
100 also modelled. Analytical procedures are proposed based on existing hydrogeological
101 solutions that estimate the groundwater flow impacts of a theoretical UPSH lower reservoir.

102

103 **2. Problem statement**

104 The geometry of real deep or open pit mines may be complex. Deep mines have
105 numerous galleries and rooms, while open pit mines have irregular shapes. Given that the
106 objective is to determine and study the main impacts in the surrounding aquifer, the geometry
107 of the underground reservoir (mine or open pit) is simplified here: a square underground
108 reservoir (plan view) is considered in unconfined conditions, with a thickness of 100 m
109 (Figure 1). The thickness of the underground reservoir is the same as that of the aquifer. The
110 geometrical simplification is required to reach general and representative results that can be
111 useful in case of deep and open pit mines. If a system of horizontal galleries had been
112 modelled, results would not been suitable for open-pit mines or deep mines with galleries at
113 different depths. However, previous studies have proved that a complex deep mine can be
114 discretized using a single mixing cell and modelled as a single linear reservoir characterised
115 by a mean hydraulic head (Brouyère et al., 2009, Wildemeersch et al., 2010). In addition,
116 groundwater response to pumping in radial collector wells, that can be considered as similar

117 to deep mines, is fully similar to the response produced by a single vertical well with an
118 equivalent radius (Hantush, 1964). The considered aquifer is homogeneous although real
119 underground environments are heterogeneous (vertically and horizontally). This choice is
120 adopted to obtain general and representative solutions. However, results can be extrapolated
121 to heterogeneous underground environments adopting effective parameters. This procedure
122 has been previously used by several authors obtaining excellent results (e.g. in Pujades et
123 al., 2012). The water table is assumed initially at 50-m depth everywhere in the modelled
124 domain. Piezometric head evolution is observed at 50 m from the underground reservoir at
125 two depths: at the bottom of the aquifer and just below the initial position of the water table.
126 These two points are selected considering the delayed water table response in unconfined
127 conditions (explained below). The maximum early groundwater response to pumping or
128 injection in the system cavity is observed at the bottom of the geological medium while the
129 minimum groundwater response is observed at the top of the saturated zone. Therefore, these
130 two points show the maximum and minimum groundwater flow impacts. Groundwater flow
131 exchanges between mines and surrounding aquifers depend on the properties of the mine
132 walls. These can be lined with low hydraulic conductivity materials (concrete) in deep mines
133 or can remain without treatment in case of open-pit mines. Different lining conditions are
134 considered to ascertain their influence on the groundwater flow impacts. External boundaries
135 are located at 2500 m from the underground reservoir.

136 The duration of any pumping/injection cycle is always 1 day, but two types of
137 pumping/injection cycles are considered: regular and irregular. Cycles are regular when (1)
138 the pumping and injection rates are the same, (2) they are consecutive, and (3) they have the
139 same duration (0.5 days). Cycles are irregular when the injection rate is higher. As a result,
140 if there is no external contribution of surface water, pumping takes more time and there is a
141 no-activity period during each cycle. The pumping and injection rates are $1 \text{ m}^3/\text{s}$ when

142 regular cycles are considered, while irregular cycles are simulated with pumping and
143 injection rates of 1 and 2 m³/s, respectively. Pumping lasts 0.5 days and injection 0.25 days.

144

145 **3. Numerical study**

146 3.1. Numerical settings

147 The finite element numerical code SUFT3D (Brouyère et al., 2009 and
148 Wildemeersch et al., 2010) is used to model the unconfined scenarios. This code uses the
149 control volume finite element (CVFE) method to solve the groundwater flow equation based
150 on the mixed formulation of Richard's equation proposed by Celia et al. (1990):

$$151 \quad \frac{\partial \theta}{\partial t} = \nabla \cdot \underline{\underline{K}}(\theta) \cdot \nabla h + \nabla \cdot \underline{\underline{K}}(\theta) \cdot \nabla z + q \quad (1)$$

152 where θ is the water content [-], t is the time [T], $\underline{\underline{K}}$ is the hydraulic conductivity tensor
153 [LT⁻¹], h is the pressure head [L], z is the elevation [L] and q is a source/sink term [T⁻¹]. The
154 used mesh is made up of prismatic 3D elements and is the same in all scenarios. The domain
155 is divided vertically into 16 layers. The thickness of the individual layers is reduced near the
156 water table levels. The top and bottom layers are 10-m thick, while layers located near the
157 water table are 1-m thick. The horizontal size of the elements is 500 m near the boundaries
158 and 10 m in the centre of the domain (Figure 1). The vertical and horizontal discretization
159 and the number of layers are adopted/optimised to reduce the convergence errors. The used
160 mesh allows for reducing these errors to less than $1 \cdot 10^{-7}$ m, which is the chosen value for the
161 convergence criteria.

162 The underground reservoir is discretized as a single mixing cell and modelled as a
163 linear reservoir. Groundwater exchanges vary linearly as a function of the water level
164 difference between the reservoir and the surrounding porous medium (Orban and Brouyère,
165 2006). An internal dynamic Fourier boundary condition (BC) between the underground

166 reservoir and the surrounding aquifer (Wildemeersch et al., 2010) is used to simulate the
 167 groundwater exchanges. The internal Fourier BC is defined as follows:

$$168 \quad Q_i = \alpha' A (h_{aq} - h_{ur}) \quad (2)$$

169 where Q_i is the exchanged flow [L^3T^{-1}], h_{aq} is the piezometric head in the aquifer
 170 [L], h_{ur} is the hydraulic head in the underground reservoir [L], A is the exchange area [L^2]
 171 and α' is the exchange coefficient [T^{-1}]. $\alpha' = (K'/b')$ where K' and b' are the hydraulic
 172 conductivity [LT^{-1}] and the width [L] of the lining, respectively. Different lining conditions
 173 are considered varying the value of α' . Low values of α' simulate lined walls while no
 174 lined walls are characterised by high values of α' . The internal Fourier boundary condition
 175 assumes that groundwater flow exchanges occur in a uniformly distributed manner, which
 176 is not always true. Therefore, results must be carefully considered when groundwater flow
 177 exchanges occur locally. Given that the underground reservoir is characterised by means of
 178 a single mixing cell, groundwater is pumped from (or injected through) all the saturated
 179 thickness of the reservoir. Figure 1 shows the main characteristics of the numerical model.

180 The retention curve and the relative hydraulic conductivity are defined as follows
 181 (Yeh, 1987):

$$182 \quad \theta = \theta_r + \frac{(\theta_s - \theta_r)}{h_b - h_a} (h - h_a) \quad (3)$$

$$183 \quad K_r(\theta) = \frac{\theta - \theta_r}{\theta_s - \theta_r} \quad (4)$$

184 where θ_s is the saturated water content [-], θ_r is the residual water content [-], K_r
 185 is the relative hydraulic conductivity [LT^{-1}], h_b is the pressure head at which the water
 186 content is the same as the residual one [L], and h_a is the pressure head at which the water
 187 content is lower than the saturated one [L]. h_a and h_b are taken as 0 and -5 m (not modified
 188 in any scenario). The applied law to define the transition between the partially saturated and

189 the saturated zones is chosen for its linearity: (1) it does not affect the results of this study,
190 which are focused on the saturated zone, and (2), it allows for elimination of convergence
191 errors that can appear using other laws.

192 Several scenarios are modelled to determine the influence of different parameters on
193 the calculated piezometric head evolution. One variable is modified in each set of
194 simulations to establish its influence on the groundwater flow impact. Variables assessed
195 include the aquifer parameters (hydraulic conductivity and saturated water content), the
196 underground reservoir attributes (exchange coefficient and underground reservoir volume),
197 the type of BCs and the pumping and injection characteristics. Table 1 summarizes the
198 parameters of each scenario. To consolidate and clarify Table 1, all variables are only
199 specified for Scenario 1 (Sce1) and only the variable modified (and its value) with respect
200 to Sce1 is indicated for the other scenarios. Sce1 is the reference scenario with regular cycles
201 and its characteristics are as follows: K , θ_s and θ_r are 2 m/d, 0.1 and 0.01, respectively.
202 Although these values are representatives of real aquifers, the objectives had been also
203 reached using others parameters. The objective is not to compute the groundwater flow
204 impact in a given aquifer. The goal is to define the general characteristic of the groundwater
205 flow impacts and assess the influence on them of several parameters. No lining is regarded
206 in Sce1, therefore, the exchange coefficient (α') considered in the Fourier exchange fluxes
207 is high ($\alpha'=100 \text{ d}^{-1}$). External boundaries are taken far enough (2500 m) for not biasing
208 results during pumpings and injections (i.e. farther than the influence radius) and a null
209 drawdown can be assumed on them. Therefore, in Sce1 a Dirichlet BC consisting of a
210 prescribed piezometric head at 50 m (the same as the initial head) is applied. In other
211 scenarios, boundaries are also moved closer to the underground reservoir and the BCs are
212 modified to assess their influence on the groundwater flow impacts.

213

214 3.2. Numerical results

215 *3.2.1. General piezometric behavior*

216 Piezometric head evolution in the surrounding aquifer is computed for Scel
217 considering regular cycles (Figures 2a, 2b and 2c). Numerical results are calculated at an
218 observation point located at 50 m from the underground reservoir. Figure 2a displays the
219 computed piezometric head evolution over 500 days at two different depths: at the bottom
220 of the aquifer (100-m depth) and below the initial position of the water table (55-m depth).
221 Figures 2b and 2c show in detail the computed piezometric head evolution at the bottom of
222 the aquifer during early and late simulated times, respectively.

223 Groundwater oscillates in the porous medium consequently to the water pumping and
224 injection into the cavity. Initially, hydraulic head in the underground reservoir is the same as
225 the piezometric head in the aquifer. When water is pumped, the hydraulic head in the
226 underground reservoir decreases rapidly producing a hydraulic gradient between the aquifer
227 and the reservoir. As a result, groundwater seepage creates an inflow into the reservoir
228 reducing the piezometric head. In contrast, when water is injected, it is creating a rapid
229 increase of the hydraulic head in the underground reservoir that is higher than the
230 piezometric head in the surrounding medium. Therefore, water flows out increasing the
231 piezometric head in the aquifer. The groundwater response to the continuous alternation of
232 pumping and injection causes the piezometric head oscillations in the porous medium. The
233 average head (\bar{h}), maximum drawdown and oscillation magnitude are important for
234 groundwater impact quantification. \bar{h} is the head around which groundwater oscillates: it
235 is computed from the maximum and minimum heads of each cycle. \bar{h} increases after the
236 drawdown occurred at early simulated times and reaches a constant value (\bar{h}_{ss}) when a
237 “dynamic steady state” is achieved. In the simulated scenario, \bar{h}_{ss} is the same as the initial
238 piezometric head of the aquifer. “Maximum drawdown” occurs during early cycles, and it is
239 caused by the first pumping. However, the cycle when the maximum drawdown is observed
240 depends on the aquifer parameters as well as on the distance between the underground

241 reservoir and the observation point because the maximum effect of the first pumping is
242 delayed at distant points. Maximum drawdown is only observed during the first cycle close
243 to the underground reservoir. The delayed time at a distant point can be easily calculated
244 from Eq. 5,

$$245 \quad t_D = \frac{SL_{OBS}^2}{T} \quad (5)$$

246 where t_D is the delayed time [T], S is the storage coefficient of the aquifer [-], L_{OBS} is the
247 distance from the underground reservoir to the observation point [L] and T is the
248 transmissivity of the aquifer [L^2T^{-1}]. The delayed time in Scel for an observation point
249 located at 50 m from the underground reservoir is 2.5 days. This agrees with the cycle where
250 the maximum drawdown is observed (see Figure 2).

251 Groundwater behaves quasi-linearly during pumping and injection periods given the
252 large water volume stored in the underground reservoir and the short duration of pumping
253 and injection periods. Most of the pumped water is stored in the underground reservoir, but
254 a relatively small percentage inflows from and flows out towards the surrounding aquifer.
255 These groundwater exchanges produce head increments inside the underground reservoir
256 and therefore in the surrounding aquifer at the end of the first cycles (Figure 2b). The
257 magnitude of these piezometric head increments decreases with time until a dynamic steady
258 state is reached.

259 Piezometric head evolution depends on depth. The computed oscillation magnitude
260 and maximum drawdown are lower at shallower depths. This behaviour is associated with
261 the fact that the delayed water table response in unconfined aquifers is most pronounced at
262 the bottom of the aquifer (Mao et al., 2011). During early pumping times, drawdown
263 evolution at the bottom agrees with the Theis solution with $S = S_s b$ (Neuman, 1972). In
264 contrast, at the water table, drawdown is more similar to the Theis curve (Stallman, 1965)
265 with $S = S_s b + S_y$, where $S_y \approx \theta_s$ is the specific yield (Figure 3). As a result, the early

266 groundwater response to pumping or injection increases with depth, since $S_s \ll S_y$. This fact
267 can be deduced from transient groundwater flow equations such as Thiem or Jacob's
268 equations. Differences between the piezometric head computed at the bottom and the top of
269 the saturated zones increase close to the underground reservoir (Neuman, 1972).

270

271 3.2.2. Influence of aquifer parameters

272 Numerical results for different scenarios computed at 50 m from the underground
273 reservoir are compared to determine the influence of the aquifer parameters on the
274 groundwater flow. Figures 4a and 4b display the computed piezometric head evolution
275 during 500 days at the bottom and at the top of the aquifer, respectively, assuming hydraulic
276 conductivity values of 2 m/d (Sce1), 0.2 m/d (Sce2) and 0.02 m/d (Sce3). The oscillation
277 magnitude decreases logically when K is reduced. This effect is more perceptible at the top
278 of the saturated zone. Similarly, the maximum drawdown also decreases when K is reduced.
279 The reduction of oscillation magnitude and maximum drawdown with lower values of K is
280 a consequence of the groundwater evolution in transient state. The affected area by pumping
281 or injection during 0.5 days decreases with lower values of K . This distance can be computed
282 applying Eq. 5, replacing L_{OBS} by the affected distance of the aquifer by a pumping (or
283 injection) event and t_D by the pumping time. Therefore, if the K of the aquifer is increased,
284 the affected area increases, producing drawdown (or higher drawdown) at locations which
285 would not be affected (or would be less affected) with lower values of K . However, low
286 values of K increase the time needed to reach a dynamic steady state (t_{SS}). As a result, the
287 piezometric head is located above the initial point for a longer time. In fact, \bar{h}_{SS} cannot be
288 compared because a dynamic steady state is not reached for Sce2 and Sce3. However, it is
289 possible to deduce from the following simulations that K does not affect \bar{h}_{SS} . Note that the

290 groundwater flow impact observed at the top of the aquifer when the dynamic steady state
291 is reached will be negligible if K is low (Sce3).

292 The influence of S on the groundwater flow impact in the surrounding aquifer is
293 computed by modifying θ_s because $S = S_s b + S_y \approx S_y \approx \theta_s$. Figures 4c and 4d show the
294 computed piezometric head evolution at 50 m from the underground reservoir at the top and
295 at the bottom of the saturated zone, respectively. Three scenarios are compared: $\theta_s = 0.1$
296 (Sce1), $\theta_s = 0.2$ (Sce4) and $\theta_s = 0.05$ (Sce5). There is not a significant change in the time
297 needed to reach a dynamic steady state. The influence of θ_s on t_{ss} is analysed analytically
298 and explained below (see section 4.2.3). θ_s affects the oscillations magnitude and the
299 maximum drawdown more. These are smaller when θ_s is increased because higher values
300 of θ_s soften the response of the surrounding aquifer in terms of piezometric head variation.
301 In other words, higher values of θ_s require less drawdown to mobilize the same volume of
302 groundwater, reducing the aquifer response to each pumping and injection. \bar{h}_{ss} is equal for
303 the three scenarios. Computed piezometric head evolution varies more at the top than at the
304 bottom of the saturated zone when θ_s is modified. This fact confirms that S depends on (1)
305 S_y at the top of the saturated zone and (2) S_s at the bottom of the aquifer. It is possible to
306 conclude from the results obtained in this section that the impact on groundwater increases
307 with the value of the hydraulic diffusivity of the aquifer (T/S). As a result, impacts will be
308 higher in high-transmissive aquifers, and specifically, in confined high-transmissive aquifers
309 characterized by a low storage coefficient.

310

311 *3.2.3. Influence of reservoir characteristics*

312 The size of the underground reservoir is important to the impact on groundwater
313 flow. Its influence is evaluated by reducing the volume of the reservoir by a factor of 0.25

314 (Sce6) but keeping the same pumping and injection rates. Figures 5a and 5b display the
315 computed piezometric head evolution at 50 m from the underground reservoir for Sce1 and
316 Sce6. Figure 5a displays the computed piezometric head at the bottom of the aquifer, while
317 Figure 5b shows the computed piezometric head at the top of the saturated zone. As
318 expected, if the volume of the underground reservoir is reduced and the pumping and
319 injection rates stay the same, the oscillation magnitude and maximum drawdown increase.
320 Although the magnitude of oscillations is higher for Sce6, \bar{h}_{ss} is logically the same in both
321 scenarios once the dynamic steady state is reached. Significant changes for t_{ss} are not
322 appreciated because the effects of modifying the radius of the underground reservoir are
323 opposite. On the one hand, t_{ss} is lower if the size of the reservoir is reduced because less
324 groundwater flows into the underground reservoir to increase its hydraulic head. On the other
325 hand, t_{ss} is higher because the contact surface between the surrounding aquifer and the
326 underground reservoir decreases when the radius of the underground reservoir is reduced.
327 As a result, the maximum inflow rate decreases. The influence of the underground reservoir
328 size on t_{ss} is evaluated analytically below.

329 Groundwater flow impact is computed by varying exchange coefficient between the
330 underground reservoir and the aquifer (α'). For the reference scenario (Sce1), α' is set large
331 enough (100 d^{-1}) to ensure that water inflows and outflows are not significantly influenced
332 (Willems, 2014). α' implemented for Sce7 and Sce8 are 1 and 0.1 d^{-1} , respectively. Figures
333 5c and 5d display the computed piezometric head evolution at 50 m from the underground
334 reservoir for the three scenarios. The computed piezometric head at the bottom of the aquifer
335 is displayed in Figure 5c, while Figure 5d shows the computed piezometric head at the top
336 of the saturated zone. The oscillation magnitude and maximum drawdown decrease when α'
337 is lower, while \bar{h}_{ss} is the same for the three scenarios. Differences in t_{ss} are not appreciable.
338 The influence of α' is expected to be similar to that of K . Low values of α' reduce the

339 hydraulic connectivity between the underground reservoir and the surrounding aquifer,
340 therefore reducing the groundwater inflow. As a result, more time is needed to increase the
341 average hydraulic head inside the underground reservoir and reach a dynamic steady state.

342

343 3.2.4. Influence of boundary conditions (BCs)

344 The influence of the lateral BCs on the groundwater flow impact was also assessed.
345 Dirichlet BCs are assumed for the reference scenario (Sce1), no-flow BCs for Sce9 and
346 Fourier BCs with a leakage coefficient ($\alpha=0.005 \text{ d}^{-1}$) for Sce10. The size of the aquifer is
347 reduced (500x500 m) to better observe the influence of the boundaries. Simulated pumping-
348 injection cycles are regular. Figures 6a and 6b display computed piezometric head evolution
349 at 50 m from the underground reservoir for Sce1, Sce9 and Sce10. Computed piezometric
350 head evolution is shown at the bottom (Fig 6a) and at the top (Fig 6b) of the saturated zone.
351 Given that variations are hard to distinguish, the computed piezometric head for Sce1 is
352 subtracted from those computed for Sce9 and Sce10 to detect the influence of the lateral BCs
353 (Figure 6c). The oscillation magnitude and maximum drawdown tend to increase with low
354 α Fourier BCs and no-flow BCs. These increments are maximum if BCs are no-flow (Figure
355 6c). Although \bar{h} differs at early simulated times, it is the same for Sce1 and Sce10 and lower
356 for Sce9 once the dynamic steady state is reached. Fourier BCs allow groundwater to flow
357 through the boundaries. Therefore, the maximum \bar{h} , during the dynamic steady state, is the
358 same as with Dirichlet BCs (Figure 6c). However, the time to reach a dynamic steady state
359 is different. This time increases for low α Fourier BCs. In contrast, impervious boundaries
360 do not provide any groundwater to the aquifer. As a result, \bar{h}_{ss} is below the initial head and
361 the dynamic steady state is reached earlier. The piezometric head difference between Sce1
362 and Sce9 (Figure 6c) increases until reaching a maximum that depends on the storage
363 capacity of the aquifer. The difference will be lower (even negligible) for large aquifers with
364 high S .

365 Actual aquifers may be delimited by different BCs. Thus, BCs are combined in Sce11
366 and Sce12, and the results are compared with those computed for Sce1 (Figures 7a and 7b).
367 Three no-flow BCs and one Dirichlet BC are implemented in Sce11. The three impervious
368 boundaries are replaced by Fourier BCs in Sce12 ($\alpha=0.005 \text{ d}^{-1}$). The location of the BCs
369 adopted and the point where the piezometric head evolution is computed are displayed in
370 Figure 7c. Figures 7a and 7b show the computed piezometric head evolution at 50 m from
371 the underground reservoir at the bottom (Fig 7a) and the top (Fig 7b) of the saturated zone
372 for Sce1, Sce11 and Sce12. The computed piezometric head for Sce1 is subtracted from
373 those computed for Sce11 and Sce12 to detect the influence of the lateral BCs (Figure 7d).
374 The oscillation magnitude and maximum drawdown increase for Sce11 and Sce12.
375 However, \bar{h}_{ss} is equal to the initial piezometric head of the aquifer in all scenarios. This
376 occurs because at least one boundary can provide groundwater to the aquifer. Computed
377 piezometric head evolutions are only different during the early simulated times. The
378 calculated piezometric head for Sce12 needs less time to reach a dynamic steady state
379 because Fourier BCs provide more water than no-flow ones (Sce11).

380

381 *3.2.5. Influence of the pumping and injection periods*

382 Figure 8 compares the computed piezometric head evolution at 50 m from the
383 underground reservoir at the bottom (Fig 8a) and at the top (Fig 8b) of the saturated zone.
384 Regular (Sce1) and irregular (Sce13 and Sce14) cycles are considered. The aquifer
385 parameters and underground reservoir characteristics are the same in all scenarios. The
386 pumping period is identical for Sce1, Sce13 and Sce14, consisting of pumping $1 \text{ m}^3/\text{s}$ from
387 the beginning to the halfway point of each cycle. Differences lie in the second half of the
388 cycles. In Sce1, injection starts just after the pumping, at a rate of $1 \text{ m}^3/\text{s}$ for 0.5 days. In
389 Sce13, injection starts just after the pumping, at a rate of $2 \text{ m}^3/\text{s}$ for 0.25 days. Finally, in
390 Sce14, injection is simulated during the last 0.25 days of each cycle at a rate of $2 \text{ m}^3/\text{s}$.

391 The oscillation magnitude is larger for irregular cycles because a smaller volume of
392 water flows out from the underground reservoir if the injection takes only 0.25 days. As a
393 result, the piezometric head increment caused by irregular cycle injections is higher than
394 those produced from regular cycles. However, the increment in the oscillations magnitude is
395 negligible when compared with them. Maximum drawdown is higher in Sce14 (Figures 8c
396 and 8d) because groundwater flows into the underground reservoir after the pumping (during
397 the no-activity period), which increases the groundwater flow impact on the surrounding
398 aquifer. In contrast, injection in Sce13 raises the head rapidly in the underground reservoir
399 exceeding the piezometric head and reducing the volume of groundwater that flows into the
400 underground reservoir.

401 Similarly, \bar{h}_{ss} depends on the characteristics of the injection period. In Sce14, the
402 head in the underground reservoir is below the initial piezometric head in the surrounding
403 aquifer during the no-activity periods of each cycle. As a result, groundwater flows into the
404 reservoir, increasing \bar{h}_{ss} inside the underground reservoir and therefore in the surrounding
405 aquifer. Contrary to this, the head in the underground reservoir is above the piezometric head
406 in the surrounding aquifer during no-activity periods of Sce13. Thus, the volume of
407 groundwater that flows into the underground reservoir is lower.

408

409 *3.2.6. Test on an actual pumping-injection scenario*

410 A one-year simulation based on pumping and injection intervals deduced from actual
411 electricity price curves is undertaken to evaluate if piezometric head evolution is similar to
412 those computed assuming ideal cycles (regular or irregular). Sce1 is considered for the
413 simulation. Three 14-day electricity price curves are used to define the pumping and
414 injection periods (Figure 9). Each curve belongs to one season (winter, summer and spring).
415 The pumping and injection periods for each season are completed by repeating the 14-day
416 curves, and the annual curve of pumping and injection periods is obtained by assuming that

417 the electricity price curve for autumn is similar to that of spring. It is considered that the
418 pumping and injection rates are the same ($1 \text{ m}^3/\text{s}$) and that there is not any external
419 contribution of surface water. Figure 10 displays the computed piezometric head at 50 m
420 from the underground reservoir at the top (Fig 10a) and at the bottom (Fig 10b) of the
421 saturated zone. Piezometric head evolution in the surrounding aquifer is similar to that
422 computed assuming ideal cycles. After an initial drawdown, the piezometric head recovers
423 and tends to reach a dynamic steady state. \bar{h}_{ss} is stabilized at the end of winter, and it does
424 not vary much in spring. However, it increases in the summer and decreases in the autumn.
425 The difference in \bar{h}_{ss} between seasons is related to the pumping and injection characteristics.
426 Intervals between pumping and injection periods are generally longer in summer than in the
427 other seasons (Figure 9), which agrees with the fact that sunset occurs later in summer.
428 Similarly to when irregular cycles are simulated, if the no-activity period between pumping
429 and injection takes more time, more groundwater flows into the underground reservoir. Thus,
430 the average head inside the underground reservoir increases, and \bar{h}_{ss} is higher.

431

432 **4. Analytical study**

433 4.1 Analytical settings

434 The underground reservoir can be regarded as a large diameter well if no lining is
435 considered. Therefore, drawdown caused by pumping can be determined analytically using
436 the Papadopoulos-Cooper (1967) and Boulton-Streltsova (1976) equations. The Papadopoulos-
437 Cooper (1967) exact analytical solution allows for computing drawdown (s) in a confined
438 aquifer:

$$439 \quad s = \frac{Q}{4\pi K b} F(u, \alpha_w, r_0/r_{ew}) \quad (6)$$

440 where b is the aquifer thickness [L], Q is the pumping rate [L^3T^{-1}], r_{ew} is the radius of
441 the screened well [L], and r_0 is the distance from the observation point to the centre of the

442 well [L]. $\alpha_w = r_{ew} S / r_c$, where r_c is the radius of the unscreened part of the well [L], and
 443 $u = r_0^2 S / 4Kbt$, where t is the pumping time [T]. It is considered that $\alpha_w = S$ because
 444 $r_c = r_{ew}$. Values of the function F have been previously tabulated (Kruseman and de Ridder,
 445 1994).

446 Boulton and Streltsova (1976) proposed an analytical model for transient radial flow
 447 (towards a large diameter well) in an unconfined aquifer considering the partial penetration
 448 of the well and anisotropy of the aquifer (Singh, 2009). Their solution is only applicable for
 449 early pumping times and allows computing drawdown during the first stage of the typical S-
 450 shaped response (in a log-log drawdown-time diagram) of an unconfined aquifer (Kruseman
 451 and de Ridder, 1994):

$$452 \quad s = \frac{Q}{4\pi Kb} F(u, S, \beta, r_o/r_{ew}, b_1/b, d/b, b_2/b) \quad (7)$$

453 where b_1 is the distance from the water table to the bottom of the well [L], d is the
 454 distance from the water table to the top of the well [L], and b_2 is the distance from the water
 455 table to the depth where the piezometer is screened [L] (Figure 11). $\beta = (r/b)^2 K_v / K_h$,
 456 where K_v and K_h are the vertical [LT⁻¹] and horizontal [LT⁻¹] hydraulic conductivities.

457 These analytical solutions are combined with other ones for determining the mid-
 458 term groundwater flow impacts of the repeated cycles. Procedures combined are (1)
 459 equations of large diameter wells, (2) methods used to assess cyclic pumpings, and (3) the
 460 image well theory (Ferris et al., 1962). Numerous variables are involved in Eq. 7, which
 461 makes it difficult to compute function F . As a result, the number of tabulated values is very
 462 limited. For this reason, the analytical solutions proposed below are tested using the
 463 Papadopoulos-Cooper (1967) equation. It is important to remark that results obtained in this
 464 section are only useful when groundwater exchanges are not limited by any lining.
 465 Therefore, the proposed solutions can be applied in open-pit mines and must be carefully

466 applied in lined deep mines. It was considered to use analytical solutions of radial collector
 467 wells instead of solutions for large diameter wells. However, the groundwater response to
 468 radial collector wells in observation points located further than the maximum distance
 469 reached by the radial drains is equivalent to the groundwater response to single vertical wells
 470 with an equivalent radius (Hantush, 1964). Given that the goal of this study is to assess
 471 impacts in the surrounding aquifer and not in the exploited area, equations for large diameter
 472 wells are considered as suitable.

473

474 4.2 Analytical results

475 4.2.1. Time to reach a dynamic steady state

476 Figure 2c shows in detail the piezometric head evolution computed numerically for
 477 Sce1 once a dynamic steady state is reached. The dynamic steady state occurs when the
 478 maximum (or minimum) piezometric heads of two consecutive cycles are the same.
 479 Therefore, the difference in the piezometric head between times n and $n-1$ is 0. Drawdown
 480 at n (Eq. 8) and $n-1$ (Eq. 9) can be written using equations of large diameter wells:

$$481 \quad s_n = \left(\frac{Q}{4\pi T} \right) \left[F_{[n]} - F_{[n-0.5]} + F_{[n-1]} - \dots + F_{[1]} - F_{[0.5]} \right] \quad (8)$$

$$482 \quad s_{n-1} = \left(\frac{Q}{4\pi T} \right) \left[F_{[n-1]} - F_{[n-1.5]} + F_{[n-2]} - \dots + F_{[1]} - F_{[0.5]} \right] \quad (9)$$

483 These equations consider that pumping and injection periods are consecutive and take the
 484 same duration (0.5 days). Each function F represents one pumping or injection and depends
 485 on the variables shown in Eq. 6 and/or Eq. 7. The number between brackets is the duration
 486 (in days) from the start of each pumping or injection event to the considered time when s is
 487 computed. These equations become tedious for a great number of cycles because an
 488 additional term is required to implement each pumping or injection. Moreover, F must be
 489 computed for each pumping and injection because the time changes. Equations are simplified
 490 by applying the principle of superposition (Kruseman and de Ridder, 1994) using increments

491 of the function F (ΔF) because they are proportional to the drawdown increments. As an
 492 example, ΔF considered during the two first regular cycles are shown in Table 2. Drawdown
 493 at any time can be easily calculated by adding ΔF from the first pumping and multiplying it
 494 by $Q/4\pi Kb$. Given that some increments have opposite signs, they will be eliminated to
 495 simplify the final equation. Drawdown equations after the first pumping (0.5 days; Eq. 10),
 496 the first injection (1 day; Eq. 11) and the second pumping (1.5 days; Eq. 12) can be written
 497 using ΔF from Table 2 as follows:

$$498 \quad s_{0.5} = \left(\frac{Q}{4\pi T} \right) \left[\Delta F_{[(0) \text{ to } (0.5)]} \right] \quad (10)$$

$$499 \quad s_1 = \left(\frac{Q}{4\pi T} \right) \left[\Delta F_{[(0.5) \text{ to } (1)]} - \Delta F_{[(0) \text{ to } (0.5)]} \right] \quad (11)$$

$$500 \quad s_{1.5} = \left(\frac{Q}{4\pi T} \right) \left[\Delta F_{[(1) \text{ to } (1.5)]} - \Delta F_{[(0.5) \text{ to } (1)]} + \Delta F_{[(0) \text{ to } (0.5)]} \right] \quad (12)$$

501 Note that increments of F used in Eq. 12 are those included in the third column of
 502 Table 2 but are not being multiplied by 2. For practical purposes, the drawdown equation at
 503 any time can be easily written in terms of ΔF following the next steps:

- 504 1) Split the function F of a continuous pumping into increments of ΔF . The
 505 duration of the increments must be equal to that of the pumping and injection
 506 intervals. ΔF must be ordered from late to early times (e.g., $\Delta F_{[(1.5) \text{ to } (2)\text{days}]}$,
 507 $\Delta F_{[(1) \text{ to } (1.5)\text{days}]}$, $\Delta F_{[(0.5) \text{ to } (1)\text{days}]}$, $\Delta F_{[(0) \text{ to } (0.5)\text{days}]}$, ...).
- 508 2) Change the sign of ΔF (from positive to negative) every two ΔF increments
 509 following the ordered list in the previous step. If the first cycle starts with a
 510 pumping, change the sign to the ΔF located in even positions (second, fourth,
 511 sixth ...). In contrast, if the first cycle starts with an injection, change the sign
 512 of the ΔF placed in odd positions (first, third, fifth ...).

513 3) Add all ΔF (considering their sign) from the first pumping until reaching the
 514 time when the drawdown has to be calculated and multiply by $Q/4\pi Kb$.

515 Thus, the drawdown at times n and $n-1$, considering that the first cycle starts with a
 516 pumping event, is expressed by Eq. 13 and Eq. 14, respectively:

$$517 \quad s_n = \left(\frac{Q}{4\pi T} \right) \left[\Delta F_{[(n-0.5) \text{ to } \langle n \rangle]} - \Delta F_{[(n-1) \text{ to } \langle n-0.5 \rangle]} + \Delta F_{[(n-1)-0.5 \text{ to } \langle n-1 \rangle]} - \dots + \Delta F_{[(0.5) \text{ to } \langle 1 \rangle]} - \Delta F_{[\langle 0 \rangle \text{ to } \langle 0.5 \rangle]} \right]$$

518 (13)

519 and

$$520 \quad s_{n-1} = \left(\frac{Q}{4\pi T} \right) \left[\Delta F_{[(n-1)-0.5 \text{ to } \langle n-1 \rangle]} - \dots + \Delta F_{[(0.5) \text{ to } \langle 1 \rangle]} - \Delta F_{[\langle 0 \rangle \text{ to } \langle 0.5 \rangle]} \right] \quad (14)$$

521 Dynamic steady state occurs if $s_n \approx s_{n-1}$:

$$522 \quad \Delta F_{[(n-0.5) \text{ to } \langle n \rangle]} \approx \Delta F_{[(n-1) \text{ to } \langle n-0.5 \rangle]} \quad (15)$$

523 This takes place when ΔF does not vary (i.e., the slope of F is constant) with radial
 524 flow. t_{ss} can be determined by plotting the tabulated values of F versus $1/u$ and identifying
 525 the point from which the slope of F does not vary or its change is negligible. However, this
 526 procedure is too arbitrary. Therefore, it is proposed to determine t_{ss} from the derivative of
 527 F with respect to the logarithm of $1/u$. Flow behaviour is totally radial and dynamic steady
 528 state is completely reached when $dF/d\ln(1/u) = 1$ ($= 2.3$ if the derivative is computed with
 529 respect to $\log_{10}(1/u)$). For practical purposes, it is considered that dynamic steady state is
 530 completely reached when $dF/d\ln(1/u) < 1.1$. However, dynamic steady state is apparently
 531 reached when the radial component of the flow exceeds the linear one because more than
 532 90% of \bar{h} is recovered when that occurs. The time when dynamic steady state is apparently
 533 reached can be easily determined from the evolution of $dF/d\ln(1/u)$ because its value
 534 decreases. As an example, Figure 12 shows $dF/d\ln(1/u)$ versus $1/u$ considering Scel for a

535 piezometer located at 50 m from the underground reservoir (values of F and u are tabulated
536 in Kruseman and de Ridder, 1994). Flow behaviour is totally radial ($dF/d\ln(1/u) < 1.1$) for
537 $1/u > 500$, and the percentage of radial flow exceeds the linear one for $1/u \approx 50$. More
538 precision is not possible because there are no more available values of F . Actual times are
539 calculated by applying $t = r_0^2 S / 4Kbu$. Considering the characteristics of Sce1 (Figure 2a
540 displays the computed piezometric head evolution for Sce1), a dynamic steady state will be
541 completely reached after 1250 days and practically reached after 125 days, which agrees
542 with the piezometric head evolution shown in Figure 2a. Transition from linear to radial flow
543 is observed at different times depending on the location of the observation point. The
544 dynamic steady state is reached before at observation points closer to the underground
545 reservoir. Note that, if the observation point is too far (more than 10 times the radius of the
546 underground reservoir) from the underground reservoir, the slope of F is constant from early
547 times and values of $dF/d\ln(1/u)$ do not decrease with time. In these cases, the piezometric
548 head oscillates around the initial one from the beginning.

549 This procedure to calculate t_{ss} is only useful if the aquifer boundaries are far enough
550 away so that they do not affect the observation point before the groundwater flow behaves
551 radially. If the boundaries are closer, dynamic steady state is reached when their effect
552 reaches the observation point. This time (t_{BSS}) can be calculated from Eq. 16

$$553 \quad t_{BSS} = \frac{[L + (L - r_0)]^2 S}{T} \quad (16)$$

554 where L is the distance from the underground reservoir to the boundaries [L].

555

556 *4.2.2. Oscillations magnitude*

557 A solution for estimating oscillations magnitude is proposed by following a similar
 558 procedure to that above. Drawdown at time n-0.5 applying the principle of superposition in
 559 terms of ΔF is:

$$560 \quad s_{n-0.5} = \left(\frac{Q}{4\pi T} \right) \left[\Delta F_{[(n-1) \text{ to } (n-0.5)]} - \Delta F_{[(n-1)-0.5 \text{ to } (n-1)]} + \dots - \Delta F_{[(0.5) \text{ to } (1)]} + \Delta F_{[(0) \text{ to } (0.5)]} \right] \quad (17)$$

561 Oscillations magnitude is computed by subtracting drawdown at time n-0.5 (Eq. 17)
 562 from drawdown at time n (Eq. 13):

$$563 \quad s_n - s_{n-0.5} = \left(\frac{Q}{4\pi T} \right) \left[\Delta F_{[(n-0.5) \text{ to } (n)]} - 2\Delta F_{[(n-1) \text{ to } (n-0.5)]} + 2\Delta F_{[(n-1)-0.5 \text{ to } (n-1)]} - \dots + 2\Delta F_{[(0.5) \text{ to } (1)]} - 2\Delta F_{[(0) \text{ to } (0.5)]} \right]$$

564 (18)

565 Eq. 18 can be simplified assuming that ΔF (and therefore the drawdown) produced
 566 by a pumping event is similar to the ΔF caused by an injection started just after (i.e.

567 $\Delta F_{[(0.5) \text{ to } (1)]} \approx \Delta F_{[(0) \text{ to } (0.5)]}$ or $\Delta F_{[(n) \text{ to } (n-0.5)]} \approx \Delta F_{[(n-0.5) \text{ to } (n-1)]}$). Therefore, maximum head
 568 oscillation (Δs) can be approximated as:

$$569 \quad \Delta s = \left(\frac{Q}{4\pi T} \right) \left[\Delta F_{[(0) \text{ to } (0.5)]} \right] \quad (19)$$

570 It is the same solution as the one used to compute drawdown caused by pumping (or
 571 injection) during 0.5 days. If boundaries are too close and can affect the zone of interest, the
 572 oscillations magnitude must be calculated using Eq. 18 and applying the image well theory
 573 (Ferris et al., 1962). Eq. 19 is obtained considering that dynamic steady state is reached.

574 However, it can be also derived subtracting Eq. 11 from Eq. 12 and assuming that

575 $\Delta F_{[(1) \text{ to } (1.5)]} \approx \Delta F_{[(0.5) \text{ to } (1)]}$ and $\Delta F_{[(0.5) \text{ to } (1)]} \approx \Delta F_{[(0) \text{ to } (0.5)]}$. Eq. 19 is an approximation and

576 calculations errors are higher when T and S increase. Δs at the top of the saturated zone and

577 at 50 m from the underground reservoir is calculated analytically for Sce1. Results are

578 compared with those computed numerically (Figure 2a). Δs at the bottom of the aquifer is

579 not calculated since the thickness of aquifer influenced by S_s during early pumping or

580 injection times is unknown. Oscillations magnitude calculated analytically is 0.27 m which
581 agrees with the numerical results (0.26 m). ΔF is obtained from the tabulated values of the
582 Papadopulos-Cooper (1967) equation since those available from the Boulton-Streltsova
583 (1976) equation are too limited.

584

585 *4.2.3. Influence of the storage coefficient of the aquifer (S) and the volume of the*
586 *underground reservoir on the groundwater flow impacts*

587 Numerical results do not allow for determination of the influence of S and the volume
588 of the underground reservoir on t_{ss} . However, both variables are involved in the function F
589 used in the equations of large diameter wells. t_{ss} in a point located at 50 m from the
590 underground reservoir for Sce1 (125 days) is compared with those calculated varying S and the
591 volume of the underground reservoir (Sce6 is considered). Firstly, if S is reduced two orders of
592 magnitude ($S=0.001$), $dF/d\ln(1/u)$ calculated at 50 m from the underground reservoir starts to
593 decrease at $1/u = 5000$. Applying $t = r_0^2 S / 4Kbu$, time to reach a dynamic steady state is 125
594 days, which is the same as the time computed for Sce1. Secondly, if the volume of the
595 underground reservoir is reduced by a factor of 0.25 (Sce6), $dF/d\ln(1/u)$ starts to decrease for
596 the same value of $1/u$ as that for Sce1 (i.e. $1/u = 50$). However, dynamic steady state is reached
597 after 70 days at Sce6 because r_0 is smaller than in other scenarios. The non dependence of t_{ss}
598 with respect to S is not strange. t_{ss} is reached when the radial component of the flow exceeds
599 the linear one, which depends on T and the volume of the underground reservoir. The volume
600 of groundwater (radial component) mobilized during each pumping and injection does not
601 depend on S ; this is always the same, as can be deduced from Figures 4c and 4d. If S is reduced,
602 oscillations magnitude is higher to mobilize the same volume of groundwater and vice versa.
603 As a result, S does not play a special role in the balance between the radial and linear
604 components of the flow.

605

606 **5. Summary and conclusions**

607 Underground pumped storage hydroelectricity (UPSH) can be used to increase the
608 efficiency of conventional energy plants and renewable energy sources. However, UPSH
609 plants may impact aquifers. The interaction between UPSH plants and aquifers, which has
610 not been previously studied, is investigated in this paper to determine the groundwater flow
611 impacts and the conditions that mitigate them.

612 It is observed that the main groundwater flow impact involves the oscillation of the
613 piezometric head. Groundwater head in the geological medium around the cavity oscillates
614 over time dropping during early simulated times and recovering afterwards, until reaching a
615 dynamic steady-state. \bar{h}_{ss} is similar to the initial head. It is therefore important because in
616 this case, impact will be negligible as the combination of geological medium and
617 underground reservoir characteristics favor small head oscillations in the aquifer.

618 The delayed water table response in unconfined conditions affects enormously the
619 groundwater flow impacts. The maximum impact occurs at the bottom of the aquifer while
620 the minimum is observed at the top of the saturated zone. This effect is not observed in
621 confined aquifers because the delayed water table response only occurs in unconfined
622 aquifers (Kruseman and de Ridder, 1994).

623 The respective influence on groundwater-flow impacts of all of the assessed variables
624 is summarized in Table 3. In general terms, groundwater flow impacts are lower when the
625 hydraulic diffusivity of the geological medium is reduced, but more time is needed to reach
626 a dynamic steady state (t_{ss}). As a result, impacts will be especially higher in transmissive
627 confined aquifers. The exchange coefficient, which is low in case of lined mine walls, plays
628 an important role reducing the groundwater flow impacts when low values are implemented.
629 It is noticed that pumping-injection characteristics also affect the groundwater flow impacts.
630 The oscillations magnitude increases when the duration of pumping and injection events are

631 shorter (the same volume of water is injected) and the maximum drawdown and \bar{h}_{ss} are
632 higher if the injection is not undertaken just after the pumping. Although numerical results
633 are obtained considering ideal cycles, they are representative of actual scenarios because the
634 general trend of groundwater flow impacts is similar to those based on actual price electricity
635 curves (Figure 10). An interesting finding is that the volume of the underground reservoir
636 (i.e. the storage capacity of the reservoir) is the most important variable influencing the
637 groundwater flow impact. This fact is of paramount importance in the selection of mines to
638 be used as lower reservoirs for UPSH plants because groundwater flow impacts will be
639 negligible when the stored water volume in the underground reservoir is much higher than
640 the pumped and injected water volume during each cycle.

641 It is also evaluated how BCs affect the groundwater flow impacts. \bar{h}_{ss} will be the
642 same as the initial head only if there is one boundary that allows groundwater exchange.
643 Closer boundary conditions affect the calculated magnitude of the oscillations, which
644 increases with Fourier and no-flow BCs and decreases with Dirichlet BCs.

645 Analytical approximations are proposed as screening tools to select the best places
646 to construct UPSH plants considering the impact on groundwater flow. These solutions allow
647 computation of the oscillation magnitude and t_{ss} . These analytical solutions can be also used
648 to estimate hydrogeological parameters from the piezometric head evolution produced by
649 consecutive pumping and injection events in large diameter wells.

650

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656

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726 Laboratory, Oak Ridge, Tennessee.

727 **Table Captions:**

728 **Table 1.** Main characteristics of the simulated scenarios. All variables are only
729 specified for Sce1. The variable modified (and its value) with respect Sce1 is indicated at
730 the other scenarios. K is the hydraulic conductivity, θ_s is the saturated water content, α' is
731 the exchange coefficient of the internal Fourier boundary condition and BC is the boundary
732 condition adopted in the external boundaries.

733

734 **Table 2.** Example of the increments of the function F during the two first regular
735 cycles used to simplify the drawdown equations considering the principle of superposition.

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737 **Table 3.** Influence of the different variables on the groundwater flow impact. The
738 influence of boundary and cycle characteristics is in reference to the computed piezometric
739 head evolution considering Dirichlet boundary conditions and regular cycles (Sce1).

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Table 1

Scenarios	K (m/d)	θ_s	Volume (hm ³)	α'	BC	Cycle
Sce1	2	0.1	0.5	100	4 Dirichlet	Regular
Sce2	0.2	-	-	-	-	-
Sce3	0.02	-	-	-	-	-
Sce4	-	0.2	-	-	-	-
Sce5	-	0.05	-	-	-	-
Sce6	-	-	0.125	-	-	-
Sce7	-	-	-	1	-	-
Sce8	-	-	-	0.1	-	-
Sce9	-	-	-	-	4 No-flow	-
Sce10	-	-	-	-	4 Fourier	-
Sce11	-	-	-	-	3 No-flow + 1 Dirichlet	-
Sce12	-	-	-	-	3 No-flow + 1 Fourier	-
Sce13	-	-	-	-	-	Irregular (injection after pumping)
Sce14	-	-	-	-	-	Irregular (injection during the last 0.25d)

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Table 2

Time intervals		0 to 0.5 days	0.5 to 1 days	1 to 1.5 days	1.5 to 2 days
1 st cycle	Pumping	$\Delta F(0 \text{ to } 0.5\text{d})$	$\Delta F(0.5 \text{ to } 1\text{d})$	$\Delta F(1 \text{ to } 1.5\text{d})$	$\Delta F(1.5 \text{ to } 2\text{d})$
	Injection	-	$-2 \times \Delta F(0 \text{ to } 0.5\text{d})$	$-2 \times \Delta F(0.5 \text{ to } 1\text{d})$	$-2 \times \Delta F(1 \text{ to } 1.5\text{d})$
2 nd cycle	Pumping	-	-	$2 \times \Delta F(0 \text{ to } 0.5\text{d})$	$2 \times \Delta F(0.5 \text{ to } 1\text{d})$
	Injection	-	-	-	$-2 \times \Delta F(0 \text{ to } 0.5\text{d})$

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Table 3

Variables	Max. drawdown	Oscillations magnitude	Time to reach dynamic steady state (t_{ss})	Average head in steady state (h_{ss})
Higher K	Up	Up	Down	=
Higher S	Down	Down	Up	=
Higher Volume	Down	Down	Up	=
Higher α'	Up	Up	\approx	=
4 No-flow boundaries	Up	Up	Down or =	Down
4 Fourier boundaries	Up	Up	Up	=
3 No-flow boundaries	Up	Up	Up	=
3 Fourier boundaries	Up	Up	Up	=
Irregular cycles (injection starts at 0.5d)	Down	Up	\approx	Down
Irregular cycles (injection starts at 0.75d)	Up	Up	\approx	Up

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765 **Figure captions:**

766 **Figure 1.** General and detailed view of the numerical model. Main characteristics are
767 displayed. The red dashed lines highlight the area where the external boundary conditions
768 are implemented. Applied boundary conditions are Dirichlet, Fourier or no-flow depending
769 on the objective of the simulation.

770 **Figure 2.** Computed piezometric head evolution at 50 m from the underground reservoir for
771 Sce1. a) Piezometric head evolution during 500 days at the top (red) and at the bottom (grey)
772 of the saturated zone. b) Detail of the computed piezometric head oscillations at the bottom
773 of the aquifer during the first 20 days. c) Detail of the computed piezometric head oscillations
774 at the bottom of the aquifer during the last 10 days

775 **Figure 3.** Dimensionless drawdown versus dimensionless time t_s and t_y for $\sigma = S/S_y = 10^{-2}$,
776 $b_D=1$ and $K_D=1$. Modified from Neuman (1972). t_s and t_y are the dimensionless times with
777 respect to S_s and S_y , b_D the dimensionless thickness with respect to b , K_D the dimensionless
778 hydraulic conductivity with respect to K and z_D the dimensionless distance with respect to b
779 from the bottom of the aquifer to the depth where drawdown is calculated.

780 **Figure 4.** Computed piezometric head evolution at 50 m from the underground reservoir for
781 Sce1, Sce2, Sce3, Sce4 and Sce5. Influence of K on the groundwater flow impact is assessed
782 by comparing numerical results of Sce1, Sce2 and Sce3 at (a) the bottom and (b) the top of
783 the saturated zone in the surrounding aquifer. Similarly, influence of S on the groundwater
784 flow impact is evaluated by comparing numerical results of Sce1, Sce4 and Sce5 at (c) the
785 bottom and (d) the top of the saturated zone in the surrounding aquifer.

786 **Figure 5.** a) and b) Computed piezometric head evolution at 50 m from the underground
787 reservoir at the bottom and the top of the saturated zone in the surrounding aquifer for Sce1
788 and Sce6. c) and b) computed piezometric head evolution at 50 m from the underground
789 reservoir at the bottom and the top of the saturated zone in the surrounding aquifer for
790 scenarios Sce1, Sce7 and Sce8.

791 **Figure 6.** a) and b) Computed piezometric head evolution at 50 m from the underground
792 reservoir at the bottom and the top of the saturated zone in the surrounding aquifer for three
793 scenarios where the lateral BCs are varied. Dirichlet BCs are assumed for Sce1, no-flow BCs
794 for Sce9 and Fourier BCs for Sce10. c) Piezometric head differences between Sce1 and Sce9
795 and between Sce1 and Sce10.

796 **Figure 7.** a) and b) Computed piezometric head evolution at 50 m from the underground
797 reservoir at the bottom and the top of the saturated zone in the surrounding aquifer for three
798 scenarios where the lateral BCs are varied and combined. Dirichlet BCs are assumed for
799 Sce1, one Dirichlet and three no-flow BCs for Sce11, and one Dirichlet and three Fourier
800 BCs for Sce12. c) Sketch of the numerical model to identify where the BCs are changed and
801 the location of the computation point. d) Piezometric head differences between Sce1 and
802 Sce11 and between Sce1 and Sce12.

803 **Figure 8.** a) and b) Computed piezometric head evolution at 50 m from the underground
804 reservoir at the bottom and the top of the saturated zone in the surrounding aquifer for Sce1,
805 Sce13 and Sce14. Duration and rate of pumping and injection periods are modified in Sce13
806 and Sce14. c) and d) Detail (30 first days) of the piezometric head evolution at the bottom
807 and the top of the saturated zone for Sce1, Sce13 and Sce14.

808 **Figure 9.** 14-days electricity price curves of three different seasons: (a) winter, (b) spring,
809 (c) summer. It is assumed that the electricity price curve of autumn is similar to that of spring.
810 Pumping and injection periods are established from these curves (top of the plots).

811 **Figure 10.** Computed piezometric head evolution during one year based on real demand
812 curves. Piezometric head is calculated at 50 m from the underground reservoir at (a) the
813 bottom and (b) the top of the saturated zone in the surrounding aquifer. Simulations are
814 undertaken for Sce1.

815 **Figure 11.** General and detailed views of the modeled unconfined aquifer. Elements size is
816 reduced around the reservoir (horizontal direction) and around the water table (vertical
817 direction).

818 **Figure 12.** $dF/d\ln(1/u) < 1.1$ versus $1/u$ for a piezometer located at 50 m from an underground
819 reservoir.

820

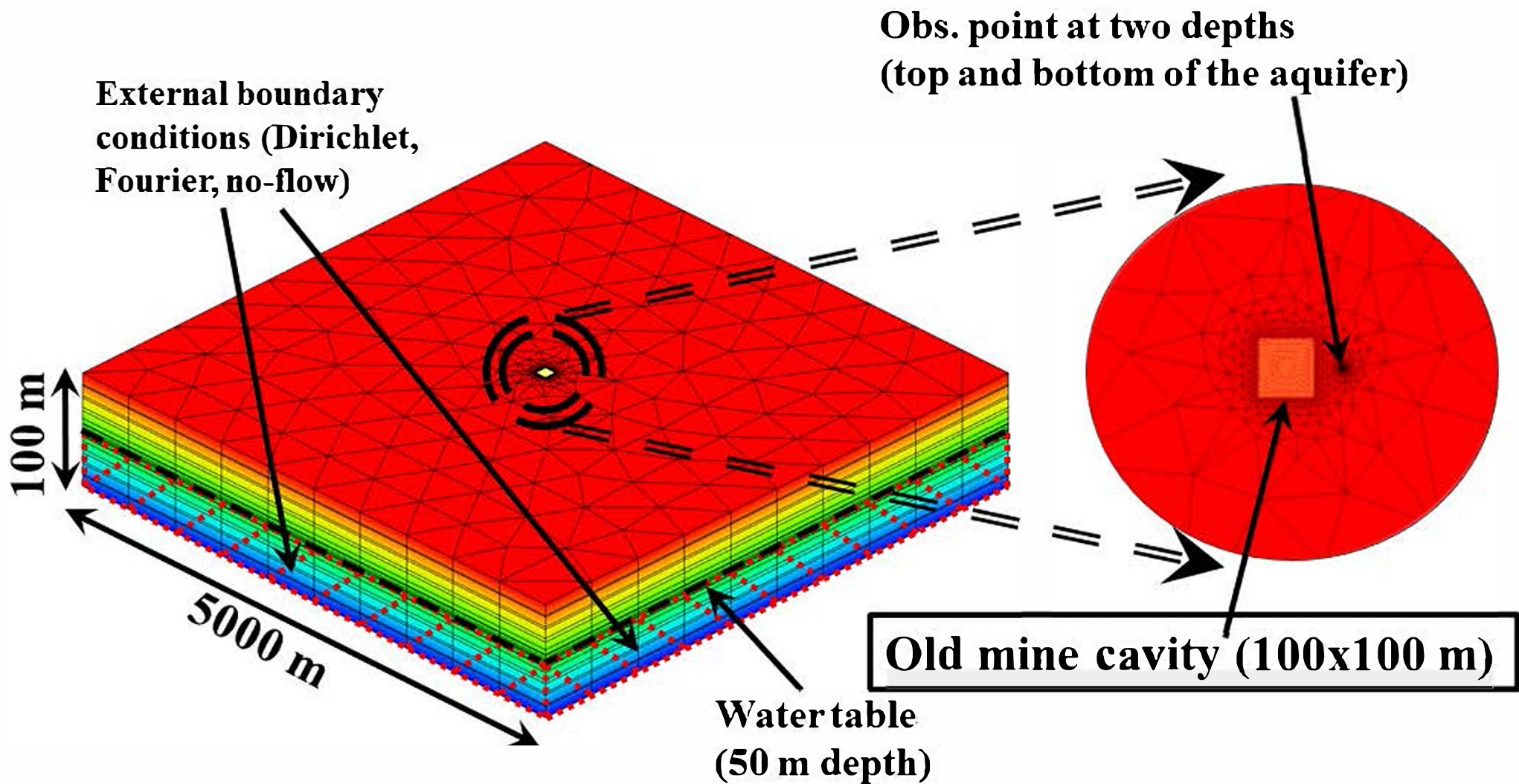


Figure 1

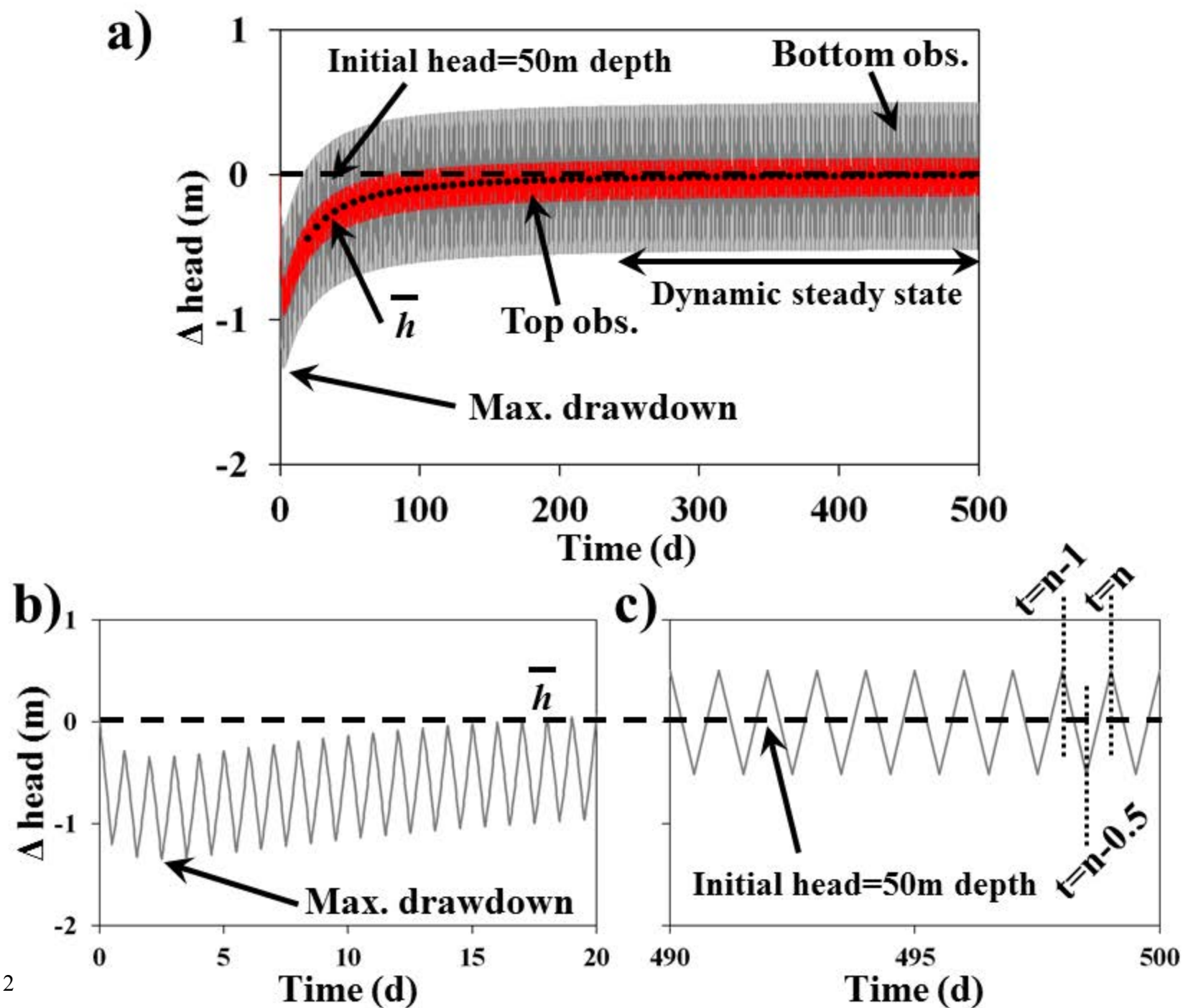


Figure 2

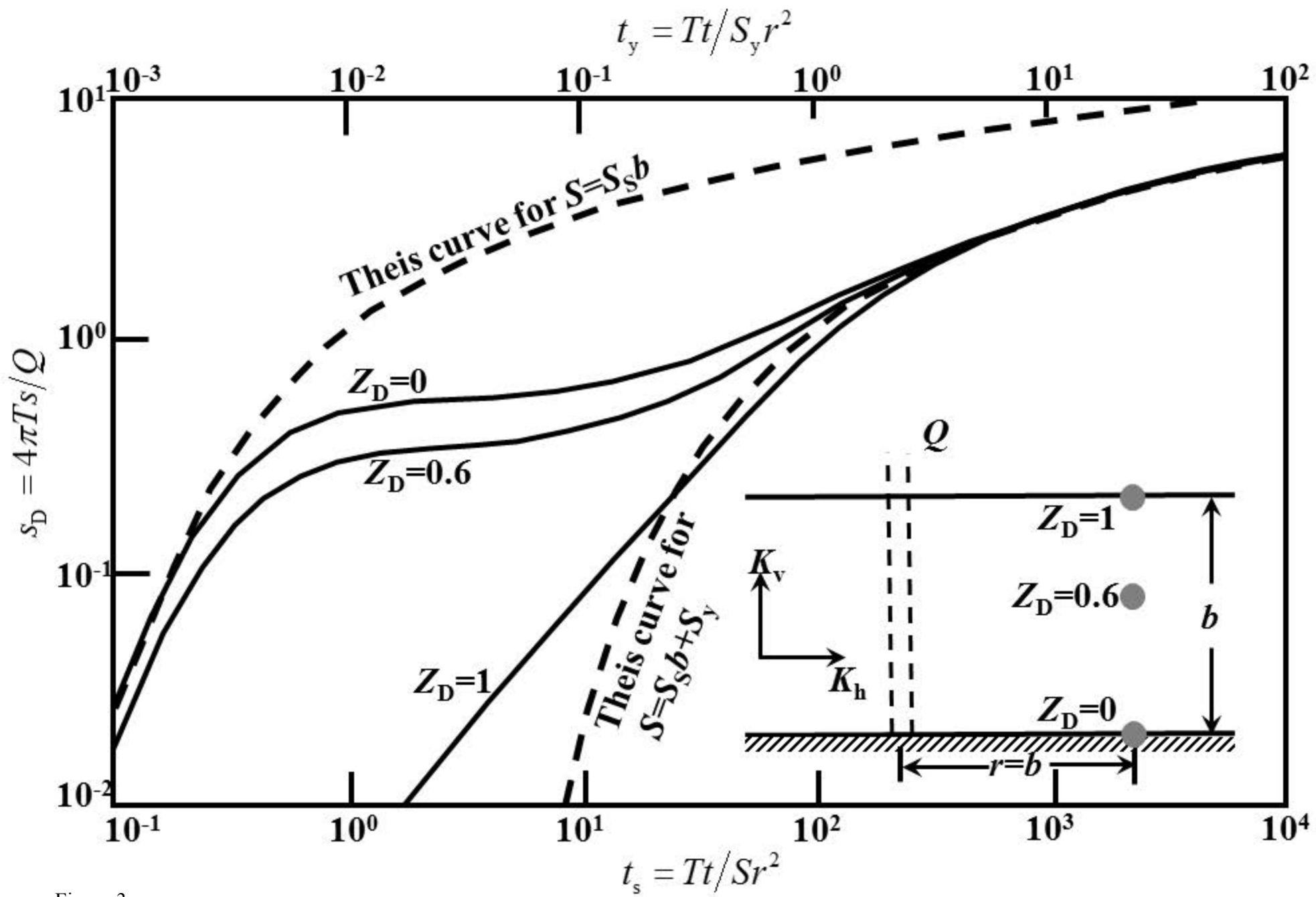


Figure 3

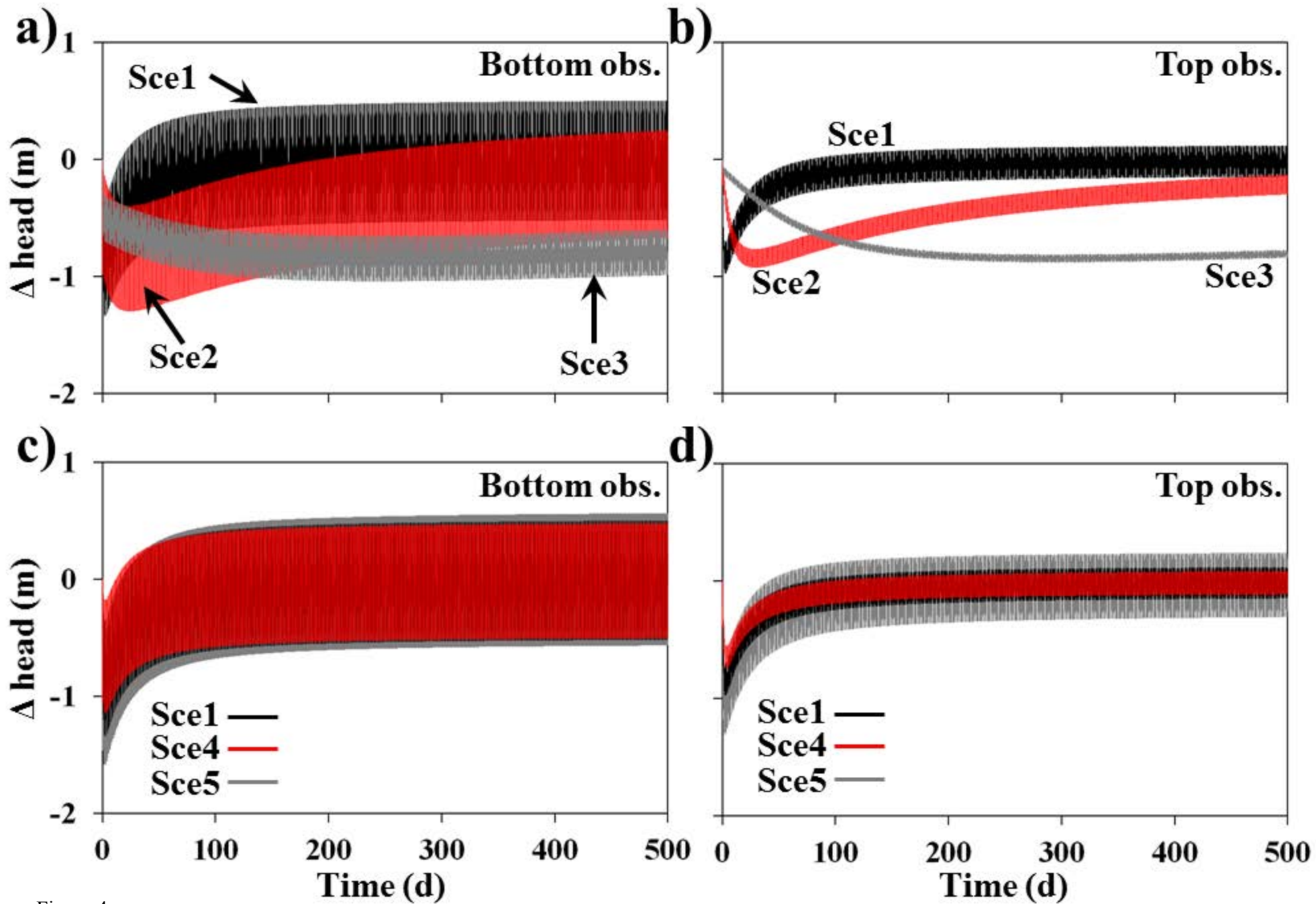


Figure 4

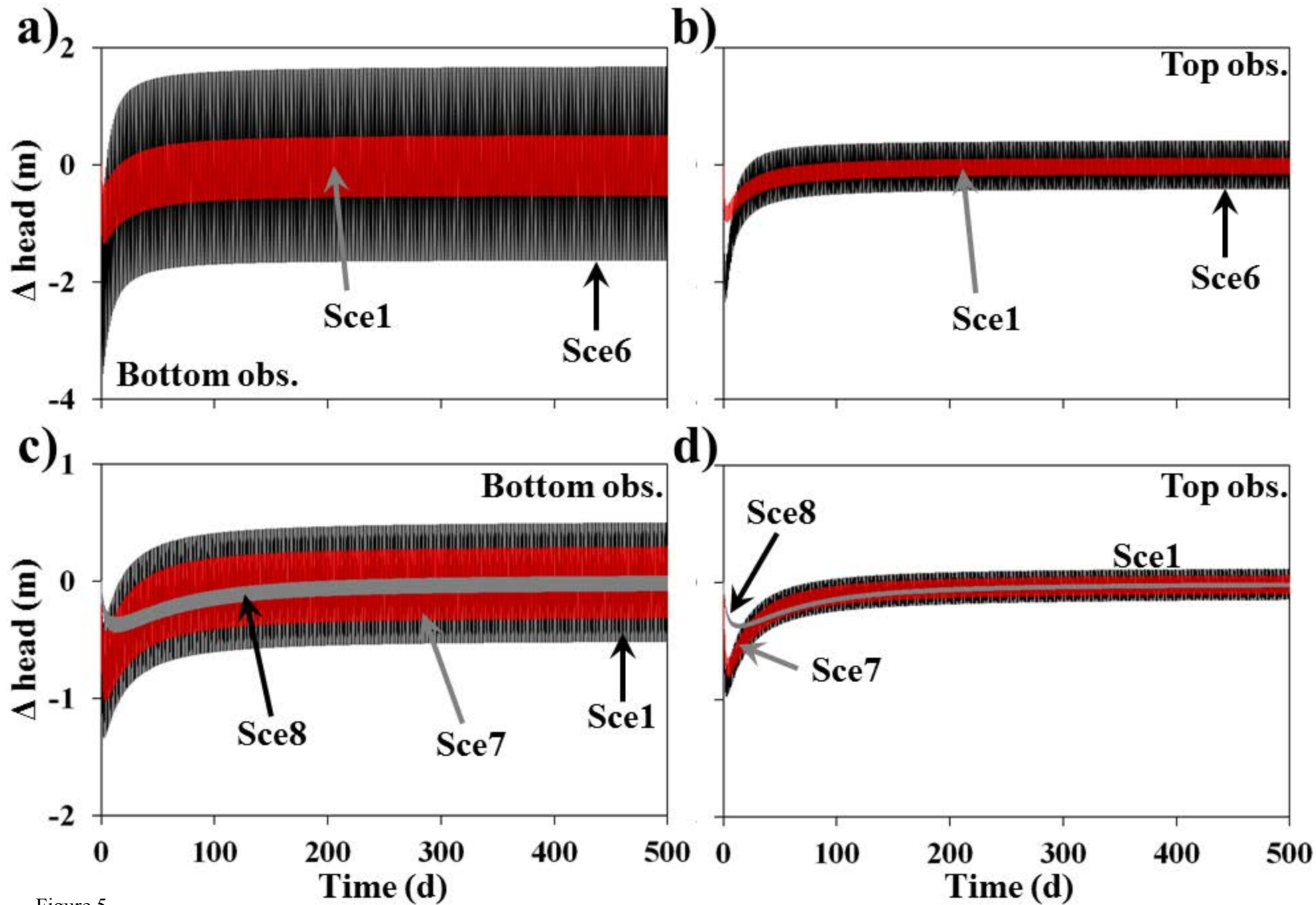


Figure 5

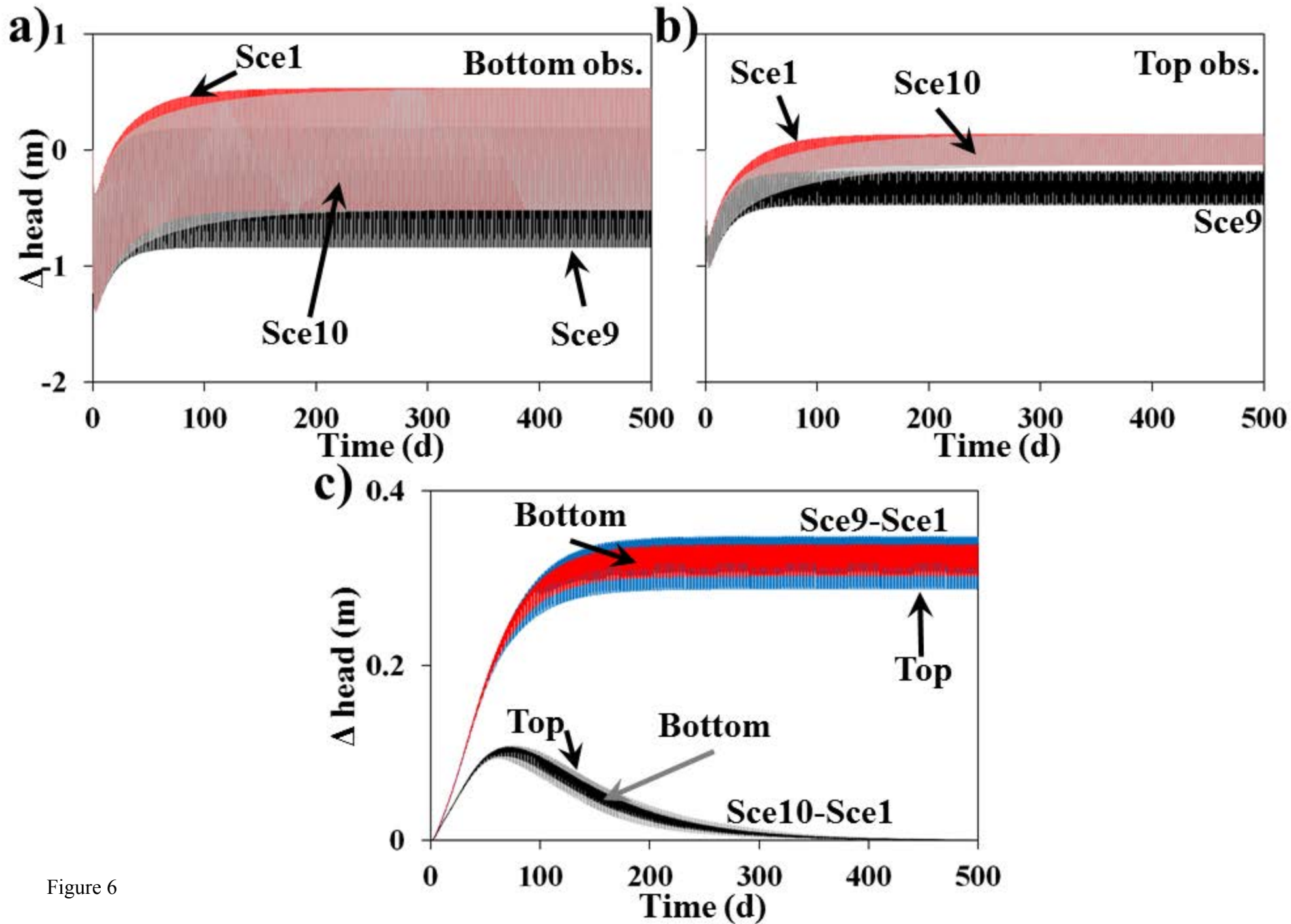


Figure 6

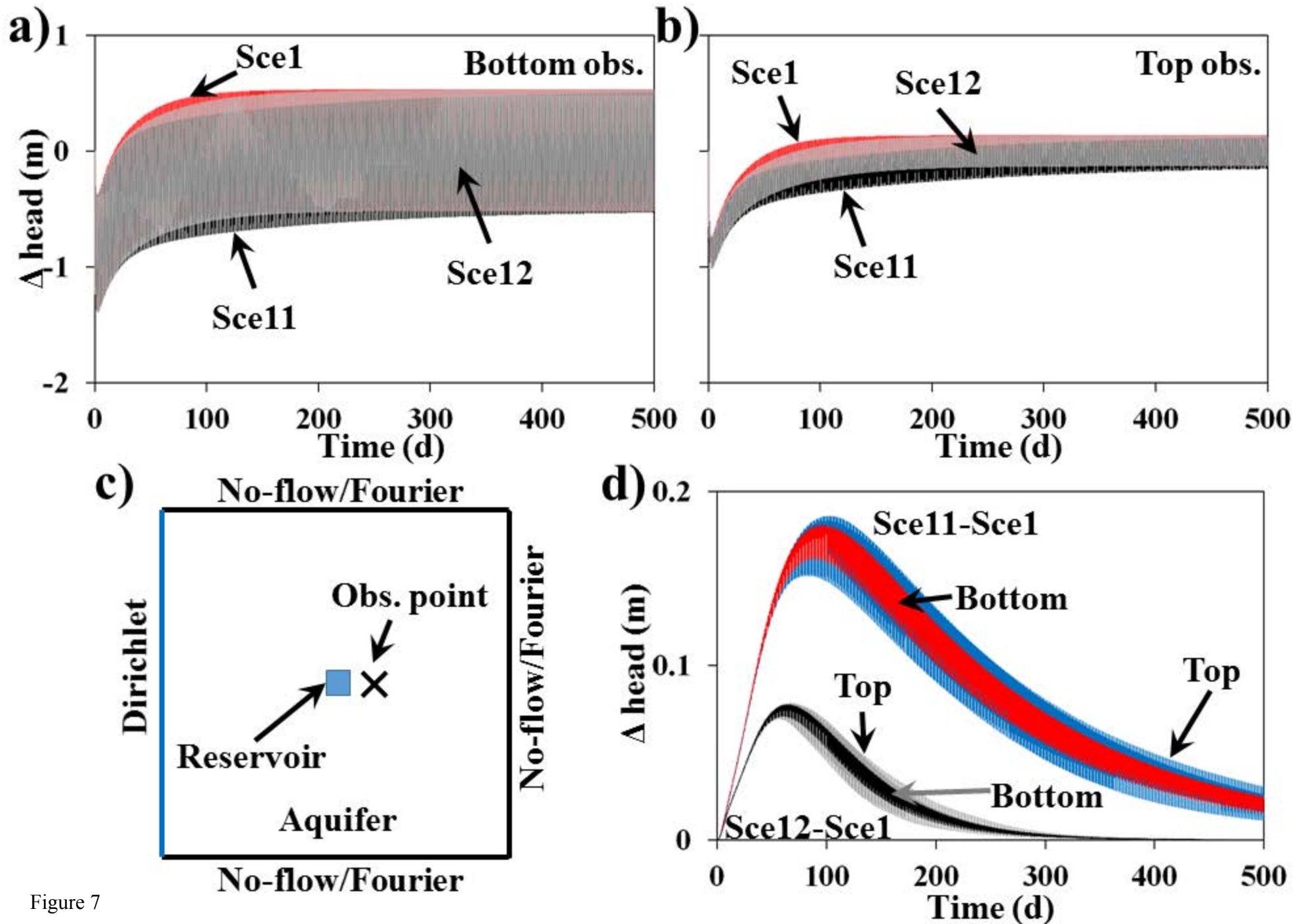


Figure 7

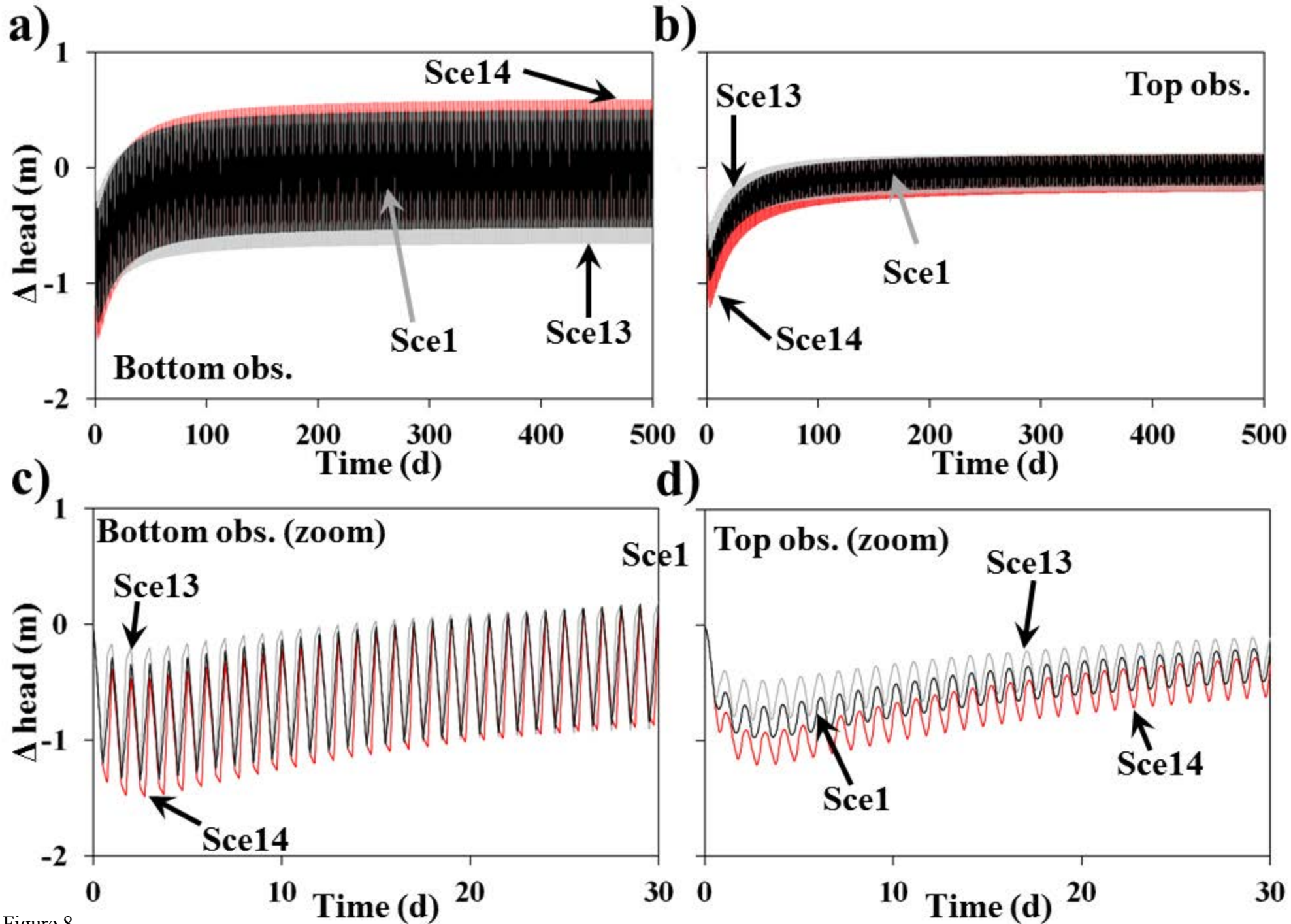


Figure 8

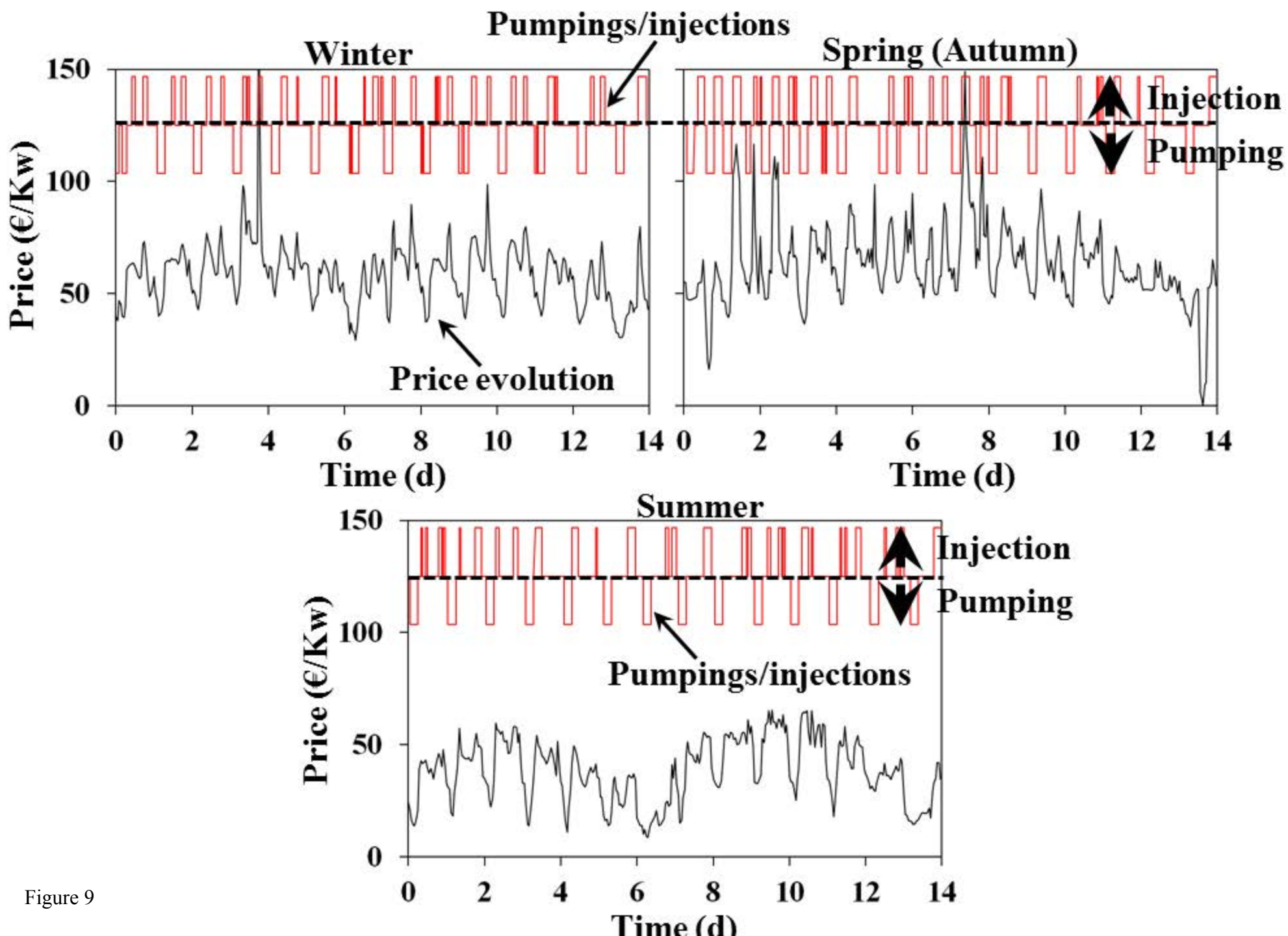


Figure 9

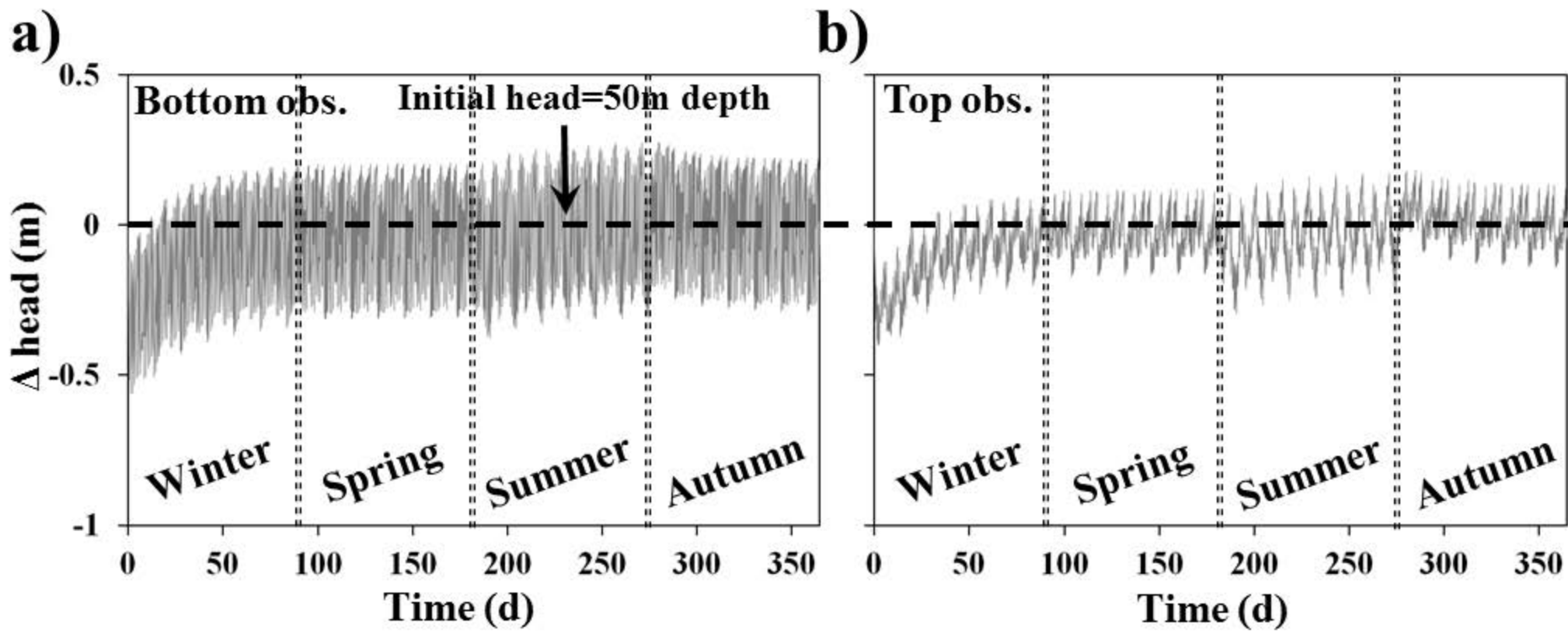


Figure 10

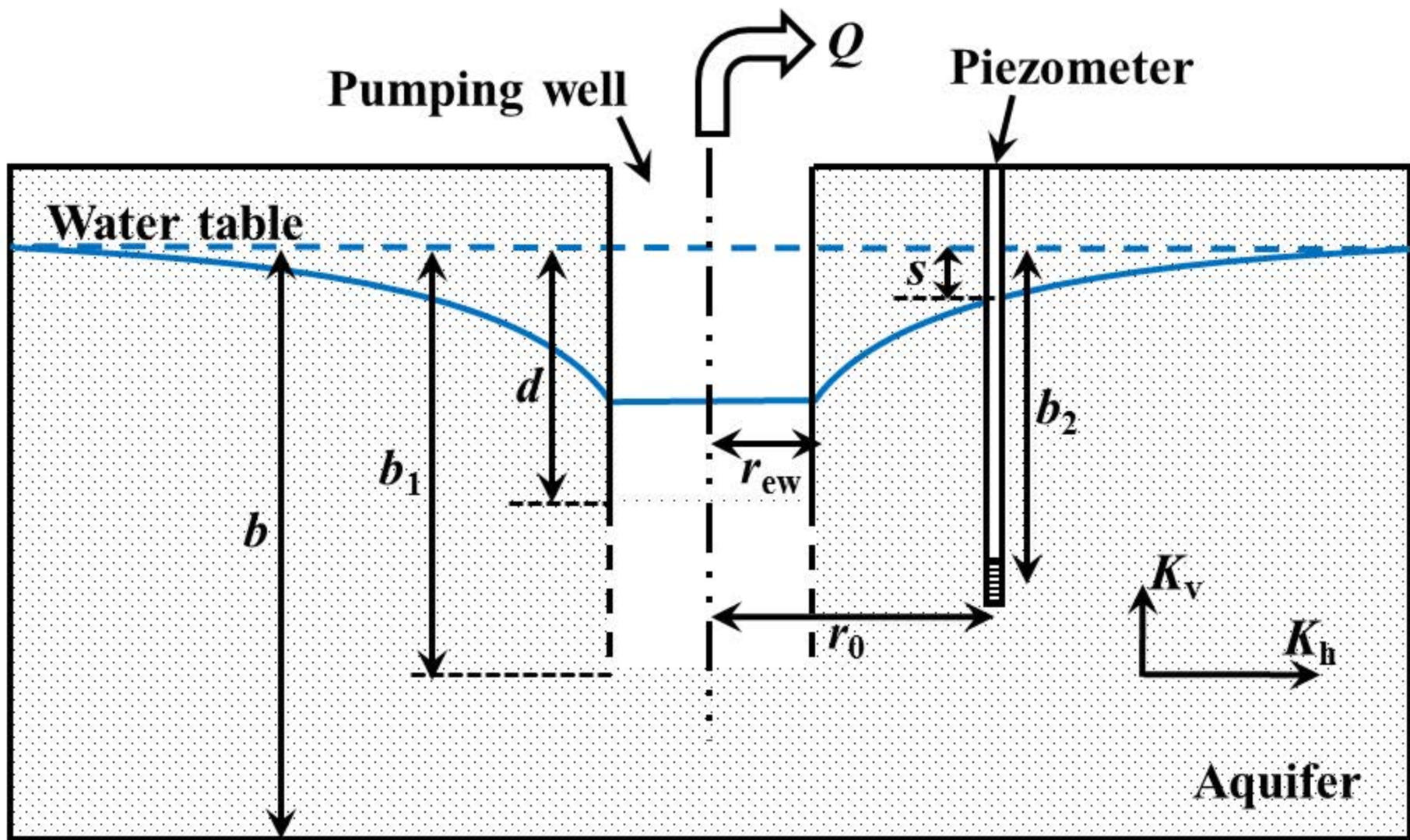


Figure 11

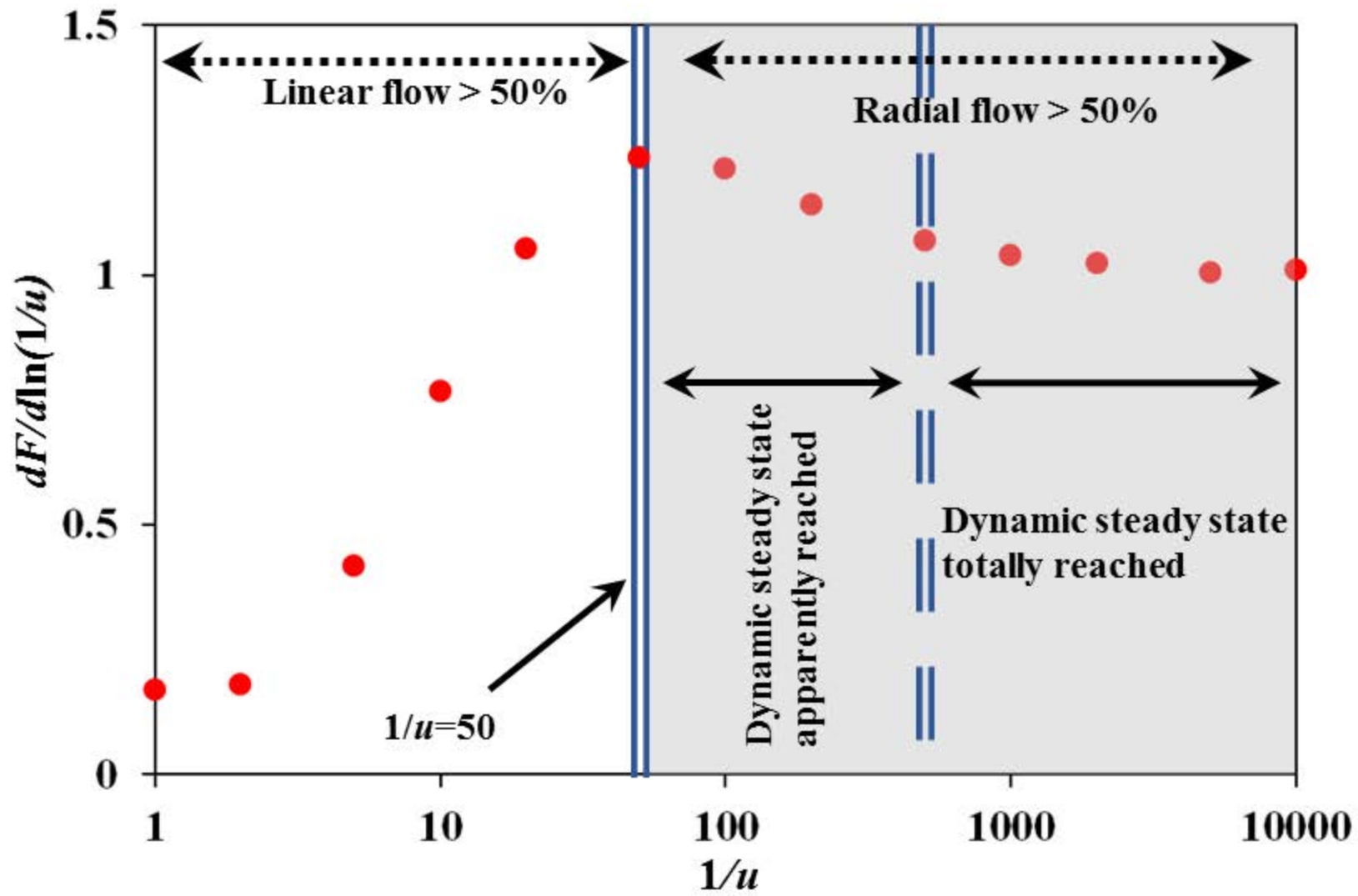


Figure 12